

# SMOOTH $S^1$ ACTIONS ON HOMOTOPY $CP^4$ 'S

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**Introduction.** If the circle group  $S^1$  acts smoothly on a  $2n$ -manifold  $P$  which is homotopy equivalent to the complex projective  $n$ -space  $CP^n$ , then the tangent and Hopf bundle fibers over fixed points give a set of  $S^1$  representations. For  $n=3$  Petrie constructed smooth  $S^1$  actions which do not have the fixed point representations of linear actions ([6, II§4] and also [4, II§8]; a *linear* action on  $CP^n$  is one given in homogeneous coordinates by  $t[z_0: \dots : z_n] = [t^{a_0}z_0: \dots : t^{a_n}z_n]$  for some  $a_0, \dots, a_n \in \mathbb{Z}$ ). For  $n=4$ , Theorem 4.1 of this paper has the following corollary.

**THEOREM 1.** *If  $P$  is a homotopy  $CP^4$  which has a smooth  $S^1$  action, then there is a linear  $S^1$  action on  $CP^4$  with the same fixed point tangent and Hopf bundle representations as those of the action on  $P$ .*

In particular, as pointed out by J. Shaneson, Petrie's exotic actions on  $CP^3$  do not extend smoothly to  $CP^4$ .

Petrie conjectured that if  $P$  is a homotopy  $CP^n$  which admits a nontrivial  $S^1$  action then  $\hat{A}(P) = \hat{A}(CP^n)$  in  $H^*(P; \mathbb{Q})$  ([6, p. 105]). This has been verified for  $n=3$  by Dejter [2] and for various fixed-point set conditions by Wang [8], Tsukada and Washiyama [7], and Masuda [5]. Hattori [3, Prop. 4.15] has shown it for quasilinear actions (Definition 1B of this paper), which together with Theorem 1 yields the following.

**THEOREM 2.** *If  $P$  is a homotopy  $CP^4$  which has a nontrivial smooth  $S^1$  action then  $\hat{A}(P) = \hat{A}(CP^4)$ .*

In this paper the definition of Petrie's  $\psi$  polynomials and some of his results on them are quoted (§1) and the possibilities for these polynomials for homotopy  $CP^4$ 's are restricted by using properties of stationary sets of subgroups of  $S^1$  (§2 and §3), ultimately leaving quasilinearity as the only possibility (§4). Throughout, the real dimension of a manifold  $M$  is denoted by  $\dim M$ , the set of points of  $M$  fixed by  $G \subset S^1$  by  $F(M, G)$ , and the order of the largest subgroup of  $S^1$  which fixes all points of  $M$  by  $|\text{Stab } M|$ ;  $N \subset M$  indicates that  $N$  is a smoothly and equivariantly embedded submanifold of  $M$ , with normal bundle  $\nu(N, M)$ .

1. Let  $P$  be a homotopy  $CP^n$  with an effective smooth  $S^1$  action. Choose a lifting of the action to the Hopf bundle  $\eta$  over  $P$  so that  $\eta$  is an  $S^1$  equivariant vector bundle (see [6, II, Prop. 1.1]). Let  $P_0, \dots, P_k$  be the components of  $F(P, S^1)$ . For each  $P_i$ ,  $0 \leq i \leq k$ , there are elements  $\eta|_x = t^{a_i}$  and  $\nu(P_i, P)|_x = \sum_{j=1}^{n-m_i} t^{b_{ij}}$  of  $R(S^1) = \mathbb{Z}[t, t^{-1}]$ , where  $m_i = \frac{1}{2} \dim P_i$  and  $x$  is any point of  $P_i$ .

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DEFINITION 1A. (Notation as above.) For  $x \in P_i$ ,

$$\psi(x, t) = \prod_{j \neq i} (1 - t^{a_i - a_j})^{m_j} \prod_{k=1}^{n-m_i} (1 - t^{b_{ik}})^{-1}.$$

Petrie defined these polynomials and established their major properties, some of which we quote as the following lemma (see [6, II§2]; also [2, p. 86]).

LEMMA 1.1. *Let  $x \in P_i \subset F(P, S^1)$ .*

- (i)  $\psi(x, t) \in R(S^1)$ .
- (ii) *For  $m \in \mathbb{Z}$  let  $n(m)$  and  $d(m)$  be the number of numerator exponents and denominator exponents, respectively, of  $\psi(x, t)$  which are integral multiples of  $m$ . Then  $n(m) \geq d(m)$  and if  $m$  is a prime power then  $n(m) = d(m)$ .*
- (iii)  $|\psi(x, 1)| = 1$  and consequently  $\prod_{j \neq i} |a_i - a_j| = \prod_{k=1}^{n-m_i} |b_{ik}|$ .

If  $P$  is the standard  $CP^n$  and the action is linear then each  $\psi(x, t)$  is a unit in  $R(S^1)$ , as is clear from homogeneous coordinates. However, the  $\psi$  polynomials of Petrie's exotic actions on  $CP^3$  are not units ([6, pp. 150–151]). This leads to the following definition (cf. [2, p. 87], [5, p. 131]).

DEFINITION 1B. An  $S^1$  action on  $P$  is *quasilinear* if  $\psi(x, t)$  is a unit in  $R(S^1)$  for each  $x \in F(P, S^1)$ .

We will be using invariant submanifolds of  $P$  to relate the two sets of representations in the  $\psi$  polynomials. We quote a useful result of Petrie ([6, p. 135–137]).

LEMMA 1.2. *Let  $x, y \in F(P, S^1)$  have Hopf bundle fiber representations  $t^a, t^b$  respectively. If  $m$  is a prime power then  $x$  and  $y$  are in the same component of  $F(P, Z_m)$  if and only if  $a \equiv b \pmod{m}$ .*

The simplest nontrivial  $S^1$  manifolds are 2-spheres. A nontrivial smooth  $S^1$  action on  $S^2$  clearly has exactly two fixed points and exactly one nonfixed orbit type, so that it is given by  $t[z_0, z_1] = [z_0, t^m z_1]$ , for a unique positive integer  $m$ , in some system of homogeneous coordinates on  $S^2 = CP^1$ .

DEFINITION 1C. For  $m \in \mathbb{Z}$ ,  $S^2(m)$  denotes an  $S^1$  manifold which is equivariantly diffeomorphic to  $CP^1$  with the  $S^1$  action  $t[z_0, z_1] = [z_0, t^m z_1]$ .

This notation includes the trivial action, as  $S^2(0)$ , and the semifree action, as  $S^2(1)$  or  $S^2(-1)$ . Clearly  $S^2(m)$  and  $S^2(n)$  are equivariantly diffeomorphic if and only if  $|m| = |n|$ . As usual an  $S^2(m)$  in another manifold will be assumed smoothly and equivariantly embedded.

LEMMA 1.3. *Let  $x, y$  be two  $S^1$  fixed points in an  $S^2(m) \subset P$  which carries  $k$  times a generator of  $H_2(P; \mathbb{Z})$ . If the Hopf representations are  $\eta | x = t^a$  and  $\eta | y = t^b$ , then  $a - b = km$  and for  $m \neq 0$  each of  $\psi(x, t), \psi(y, t)$  contains  $(1 - t^{km}) / (1 - t^m)$  times a unit of  $R(S^1)$ .*

*Proof.* Chern class calculations imply that  $\eta | S^2(m)$  has underlying vector bundle  $\xi^k$ , the  $k$ -fold tensor product of the Hopf bundle  $\xi$  over  $S^2$ . Now  $\xi$  and hence  $\xi^k$  can be made into  $S^1$  equivariant bundles over  $S^2(m)$  by lifting the

homogeneous coordinate action, and then (switching  $x$  and  $y$  if necessary)  $\xi^k | x = t^0 = 1$  and  $\xi^k | y = t^{km}$ . Since  $\xi^k$  and  $\eta | S^2(m)$  have the same underlying bundle, by [6, I, Thm. 6.1] the first is the tensor product of the second by a unit in  $R(S^1)$ . The only unit which gives the right representation at  $x$  is  $t^{-a}$ , so  $\xi^k = t^{-a} \otimes \eta | S^2(m)$ , whence  $\xi^k | y = t^{km} = t^{b-a}$ . This proves the first statement, and the second follows straightforwardly using Definition 1A.  $\square$

LEMMA 1.4. *If  $x_1$  and  $x_2$  are points in different  $S^1$  fixed point set components of a connected smooth  $S^1$  manifold  $M$  with principal orbit type  $S^1/Z_m$ , and each of the tangent bundle representations  $\tau M | x_i$ ,  $i = 1, 2$ , contains a  $t^m$  or  $t^{-m}$  component, then  $M$  contains an  $S^2(m)$  which contains  $x_1$  and  $x_2$ .*

*Proof.* The  $S^2(m)$  can be constructed straightforwardly using, for example, [1, IV§3, VI§2].

2. Henceforth  $P$  is assumed to be a homotopy  $CP^4$ , so that there are at most five components  $P_0, \dots, P_k$  of  $F(P, S^1)$  and  $\sum_{i=0}^k (\frac{1}{2} \dim P_i + 1) = 5$  ([1, VII, Thm. 5.1]).

Choose and label five distinct points  $x_0, \dots, x_4$  so that for  $0 \leq i \leq k$  exactly  $\frac{1}{2} \dim P_i + 1$  of them are in  $P_i$ . (These are to be chosen once for all, but the labels—i.e., the subscripts—will be permuted as convenient.)

Henceforth, for  $0 \leq i \leq 5$ ,  $a_i$  is the exponent of the Hopf fiber representation  $\eta | x_i$ , and  $\psi(x_i, t)$  will be abbreviated  $\psi_i(t)$ .

The following definition is motivated by the fact that the standard  $CP^2$  contains three nicely embedded (in homogeneous coordinates) 2-spheres which determine the fixed point representations of a linear action.

DEFINITION 2. A component  $L$  of  $F(P, G)$ ,  $G$  a subgroup of  $S^1$ , will be called *standard* if  $\dim L = 4$ , the rational Euler characteristic  $\chi(L) = 3$ , and either  $G = S^1$  or else  $L$  contains three distinct  $S^2(m)$ 's (for up to three values of  $m$ ), each of which carries a generator of  $H_2(P; \mathbb{Z})$  and contains exactly two of the  $\{x_i\}$ , and any two of which intersect in exactly one point.

LEMMA 2.1. *If  $x_i$  is in a standard submanifold of  $P$  and  $\psi_i(t)$  is not a unit, then there are positive integers  $a, b$  and  $r$ , with  $a > 1$ ,  $b > 1$  and  $(a, b) = 1$ , such that  $\psi_i(t)$  is equal to  $(1 - t^{abr})(1 - t^r) / [(1 - t^{ar})(1 - t^{br})]$  times a unit in  $R(S^1)$ .*

*Proof.* This follows straightforwardly from Definition 1A, Definition 2, Lemma 1.3, and Lemma 1.1.  $\square$

The next two lemmas show that certain submanifolds of  $P$  are standard.

LEMMA 2.2. *If  $\dim L = 4$ ,  $\chi(L) = 3$ ,  $F(L, S^1)$  contains nonisolated points, and  $L$  is a component of  $F(P, Z_n)$  for  $Z_n \subset S^1$ , then  $L$  is standard.*

*Proof.* By [1, VII, Thm. 5.1] and the hypotheses,  $F(L, S^1) \subset F(P, S^1)$  is either a homotopy  $CP^2$ —in which case it is all of  $L$ , which is thereby standard—or an  $S^2$  and a single point  $x$ . In this case  $L$  has only one non-fixed orbit type, so by Lemma 1.4 it contains two  $S^2(r)$ 's,  $r = |\text{Stab } L|$ , each of which contains  $x$  and

one of the two labeled points in the  $S^1$  fixed  $S^2 = S^2(0)$ . These carry generators of  $H_2(P; Z)$  by [1, VII, Thm. 5.1] for the  $S^2(0)$  and by this and intersection class considerations for the  $S^2(r)$ 's.  $\square$

LEMMA 2.3. *If  $\dim L = 4$  and  $L$  is a component of  $F(P, Z_p)$  for  $Z_p \subset S^1$  and  $p$  a prime power, then  $L$  is standard.*

*Proof.* [1, VII, Thm. 3.1] implies that  $\chi(L) = 3$ , so that the conclusion follows from Lemma 2.2 if  $F = F(L, S^1)$  contains nonisolated points. Otherwise  $F$  consists of three isolated points, and the required three  $S^2(m)$ 's are obtained in the obvious way from the components of  $\tau(L) | x_i$  for  $x_i \in F$ —that is, if  $\tau(L) | x_i = t^a + t^b$  then  $x_i$  is in an  $S^2(a)$  and an  $S^2(b)$  in  $L$ . Two such 2-spheres with non-principal orbit types clearly intersect in (at least) one point of  $F$ , and cannot intersect in two: if  $L$  contained  $S^2(ar)$ ,  $S^2(br)$  with  $a > 1$ ,  $b > 1$ ,  $r = |\text{Stab } L|$  (so that  $(a, b) = 1$ ) and  $S^2(ar) \cap S^2(br) = \{x_i, x_j\} \subset F$ , then intersection class considerations would imply that one, say  $S^2(ar)$ , carried a generator of a  $Z$  summand of  $H_2(L; Z)$ , and then (because of its Chern class)  $\nu = \nu(S^2(ar), L)$  would be the Hopf bundle or its dual, so that by Lemma 1.3 the exponents of  $\nu | x_i$ ,  $\nu | x_j$ —clearly some combination of  $\pm br$ —would differ by  $ar$ , contradicting  $(a, b) = 1$ . It follows that principal orbit (i.e., Lemma 1.4) spheres, if any, can be arranged consistently with Definition 2 also. To show, finally, that these 2-spheres carry generators of  $H_2(P; Z)$ , let one carry  $k[P] \cap c^3$  where  $[P] \in H_4(P; Z)$  is the fundamental class and  $c$  generates  $H^*(P; Z)$ . Then  $L$  carries  $\pm k^2[P] \cap c^2$ , and  $(p, k) = 1$  by [1, VII, Thm. 3.1]. Suppose  $|k| > 1$ . Let  $q$  be the greatest power dividing  $k|\text{Stab } L|$  of a prime dividing  $k$ . Since  $k|\text{Stab } L|$ , and hence  $q$ , divides  $a_i - a_j$  for each  $x_i, x_j \in F$  (Lemma 1.3 and the foregoing), by Lemma 1.2  $F$  is contained in a component  $K$  of  $F(P, Z_q)$ . Then  $\dim K \geq 4$ . Since  $Z_q$  can fix at most one of the  $S^2(m)$ 's in  $L$  ( $q \nmid |\text{Stab } L|$ ) and so moves at least two,  $K \cap L$  contains at least one isolated point. Hence  $\dim K = 4$  and  $K$  also contains three 2-spheres, each of which carries  $\pm h[P] \cap c^3$  for some  $h$  prime to  $q$ . Thus  $K \cap L = F$ , which implies using intersection classes that  $3 \geq |[K] \cdot [L]| = h^2 k^2$ . Hence  $|k| = 1$  after all, and the proof is complete.  $\square$

The following lemma prepares for Lemma 2.5, which, with Lemma 3.5, limits the possibilities for nonquasilinear actions to what are in effect extensions of Petrie's actions on  $CP^3$ .

LEMMA 2.4. *If the action on  $P$  is not quasilinear and no  $F(P, Z_p)$  contains a six-dimensional component for any prime power  $p$ , then  $\psi_i(t)$  is a unit for at least four values of  $i$ .*

*Proof.* We may assume that  $\psi_1(t)$  is not a unit. Then it follows from Lemma 1.1 that the denominator of  $\psi_1(t)$  contains terms  $1 - t^m$  with  $|m| > 1$ , and from the second hypothesis and Lemma 2.3 that each such  $t^m$  determines an  $S^2(m)$  in  $P$  containing  $x_1$ . If all such 2-spheres carried generators of  $H_2(P; Z)$  then  $\psi_1(t)$  would be a unit by Lemma 1.3, so for some  $a > 1$ ,  $x_1$  is in an  $S^2(a)$  carrying  $k > 1$  times a generator of  $H_2(P; Z)$ . Let  $x_2$  be in this  $S^2(a)$  also, so that  $|a_1 - a_2| = ak$  (Lemma 1.3), and then Lemma 1.2 implies that  $x_1$  and  $x_2$  are in an  $S^2(b)$  with

$b \mid k$  and  $b > 1$ . Hence for  $h = k/b$  each of  $\psi_1(t), \psi_2(t)$  contains a unit times  $(1 - t^{abh})/[(1 - t^a)(1 - t^b)]$ , and Lemma 1.1 implies that each of these numerators contains  $1 - t$  also. Assign subscripts 3, 4 so that  $|a_1 - a_4| = |a_2 - a_3| = 1$ . If  $m = |a_1 - a_3|$  and  $n = |a_3 - a_4|$  then clearly  $m = abh \pm 1$ ,  $n \equiv \pm 1 \pmod{m}$ , and neither  $a$  nor  $b$  is congruent to 1 mod  $m$ . Now if  $\psi_3(t)$  were a unit, there would be  $S = S^2(m) \subset P$  containing  $x_1$  and  $x_3$ , and since  $S \subset F(P, Z_m)$  the  $S^1$  representations  $\nu(S, P) \mid x_1 = t^a + t^b + t^c$ ,  $\nu(S, P) \mid x_3 = t + t^n + t^d$  (up to signs of exponents, some  $c, d \in \mathbb{Z}$ ) would pass to the same element of  $R(Z_m) = R(S^1)/(1 - t^m)$  — that is,  $\{a, b, c\}$  and  $\{\pm 1, n, d\} = \{\pm 1, \pm 1, d\}$  would be the same subset of  $Z_m$ . As this is not the case,  $\psi_3(t)$  and, by a similar argument,  $\psi_4(t)$  are not units.  $\square$

LEMMA 2.5. *Under the hypotheses of Lemma 2.4, for an appropriate sub-scripting  $\psi_0(t)$  is a unit and  $\psi_i(t)$ ,  $1 \leq i \leq 4$ , is a unit times*

$$(1 - t^{ab})(1 - t)/[(1 - t^a)(1 - t^b)]$$

for coprimes  $a > 1$ ,  $b > 1$ .

*Proof.* By [1, VII, Thm. 3.1] and the hypotheses,  $F(P, Z_2) = S \cup L$  where  $S$  is a 2-sphere and  $\dim L = 4$  and hence (Lemma 2.3)  $L$  is standard. Let  $x_0, x_1, x_3 \in L$ , so that  $x_2, x_4 \in S$  and  $a_2 - a_4$  is even. By Lemma 2.4 we may assume that  $\psi_1(t)$  and  $\psi_3(t)$  are not units. Lemma 2.1 gives  $a, b, r, \lambda \in \mathbb{Z}$  with  $a > 1$ ,  $b > 1$ ,  $(a, b) = 1$ ,  $r \geq 1$ , such that  $\psi_1(t) = t^\lambda(1 - t^{abr})(1 - t^r)/[(1 - t^{ar})(1 - t^{br})]$ . Then  $r = 1$  by the hypotheses and Lemma 2.3, and  $L \subset F(P, Z_2)$  implies that  $a$  and  $b$  are odd. Hence the numerator exponents  $ab, 1$  of  $\psi_1(t)$  may be taken to be  $|a_1 - a_2|, |a_1 - a_4|$  respectively, and then  $\psi_2(t)$  is a multiple of  $\psi_1(t)$  by Lemma 1.2 and Lemma 1.3. Similarly there are odd coprimes  $c > 1$ ,  $d > 1$  and  $\mu \in \mathbb{Z}$  such that  $\psi_3(t) = t^\mu(1 - t^{cd})(1 - t)/[(1 - t^c)(1 - t^d)]$ . Now  $cd$  is equal to one of  $|a_3 - a_4|, |a_3 - a_2|$ , and if it were the latter then  $\psi_2(t)$  would be a multiple of  $\psi_1(t)\psi_3(t)$ , implying  $|a_2 - a_4| = 1$ . As  $a_2 - a_4$  is even,  $cd = |a_3 - a_4|$  instead, and  $\psi_4(t)$  is a multiple of  $\psi_3(t)$ . Similar considerations vis-a-vis  $\psi_2(t), \psi_4(t)$  show that  $\psi_0(t)$  is a unit, and this and computations similar to those of [2, pp. 90-92] show that  $\{a, b\} = \{c, d\}$ .

3. Throughout this section we assume that there is a prime  $p$  and a  $p$ -subgroup  $G \subset S^1$  such that  $F(P, G) = M \cup \{x_0\}$ . Then  $\dim M = 6$  and  $M$  is a mod  $p$  cohomology  $CP^3$  ([1, VII, Thm. 3.1]).

Note that  $\{x_1, x_2, x_3, x_4\} \subset M$ . Define  $b_i$  for  $1 \leq i \leq 4$  by  $\nu(M, P) \mid x_i = t^{b_i}$ . The next four lemmas cancel  $(1 - t^{a_i - a_0})/(1 - t^{b_i})$  in  $\psi_i(t)$ .

LEMMA 3.1. *For  $1 \leq i \leq 4$ ,  $a_i - a_0 \mid b_i$ .*

*Proof.* Any prime power which divides  $a_i - a_0$  must divide  $b_i$  also by Lemma 1.2 and the fact that  $M$  has codimension 2 in  $P$ .

LEMMA 3.2. *If  $x_0$  is in no six-dimensional component of  $F(P, Z_q)$  for any prime power  $q$ , then  $|b_i| = |a_i - a_0|$  for  $1 \leq i \leq 4$  and moreover  $\psi_0(t)$  is a unit.*

*Proof.* Either  $|a_i - a_0| > 1$  or  $|a_i - a_0| = 1$ . For the first case, let  $m$  be a prime power which divides  $a_i - a_0$ . Then  $x_0$  and  $x_i$  are in one component  $L$  of  $F(P, Z_m)$  by Lemma 1.2, and  $\dim L \leq 4$  by hypothesis. Thus  $L$  contains (possibly by

Lemma 2.3) an  $S^2(b_i)$  containing  $x_0$  and  $x_i$ , and it follows from Lemma 1.3 and Lemma 3.1 that  $|b_i| = |a_i - a_0|$ . For the other case  $|a_i - a_0| = 1$ , suppose that  $b_i$  is a multiple of a prime  $n$ . By Lemma 1.1,  $n \mid a_i - a_k$  for some  $k \neq 0, i$ . Then  $x_k \in M$  and also, by Lemma 1.2,  $x_i$  and  $x_k$  are in a component  $N$  of  $F(P, Z_n)$ . Since  $N \not\subset M$ ,  $n \mid b_k$ , and this,  $x_0 \notin N$  and the first part of this proof imply that  $|a_k - a_0| = 1$ . But then  $2 = |a_k - a_0| + |a_i - a_0| \geq |a_k - a_i| \geq np$  (the last inequality by Lemma 1.2;  $p$  is the prime determining  $M$ ). Consequently no such  $n$  can divide  $b_i$ , and again  $|b_i| = |a_i - a_0|$ . Finally, by the first part of this proof  $\psi_0(t)$  cancels to a unit times a quotient of  $(1-t)$ 's and so is a unit by Lemma 1.1.  $\square$

LEMMA 3.3. *If  $F(P, S^1)$  is isolated then  $|b_i| = |a_i - a_0|$  for  $1 \leq i \leq 4$ .*

*Proof.* If  $A = \{b_i : |b_i| > |a_i - a_0|\}$  is not empty, we may assume that  $b_1 \in A$  and also that

$$(3.3) \quad |b_1| = \max\{|b_i| : b_i \in A\}.$$

For  $b = |b_1|$  and  $n$  a prime power which divides  $b_1$  and not  $a_1 - a_0$  let  $B, N$  be the components containing  $x_1$  of  $F(P, Z_b), F(P, Z_n)$  respectively.  $B$  contains more than one  $S^1$  fixed point ([1, IV, Cor. 2.3]) and  $x_0 \notin B \subset N$  (Lemma 1.2), so we may assume  $x_2 \in B$ . Then  $n \mid b_2$  and  $n \nmid a_2 - a_0$ , so  $b_2 \in A$ . For  $i = 1, 2$ , let  $k_i = |b_i / (a_i - a_0)| > 1$ . Now  $|b_2| \leq |b_1|$  by (3.3) and  $b = |b_1|$  divides  $b_2$  since  $B \subset F(P, Z_b)$ , so  $|b_2| = |b_1|$  and by Lemma 1.4 there is an  $S^2(b) \subset B$  containing  $x_1$  and  $x_2$ . Now  $a_1 - a_2$  is nonzero by Lemma 1.2 and the hypothesis that  $F(P, S^1)$  is isolated, and  $(b, p) = 1$  ( $p$  the prime determining  $M$ ). These, Lemma 1.3, and Lemma 1.2 imply the second inequality in

$$b < pb \leq |a_1 - a_2| \leq |a_1 - a_0| + |a_0 - a_2| = |b_1|/k_1 + |b_2|/k_2 = b/k_1 + b/k_2 \leq b.$$

This contradiction implies that  $S$  is empty, as was to be shown.  $\square$

If neither of the hypotheses of the previous two lemmas apply, we have the following conclusion.

LEMMA 3.4. *If each point of  $F(P, S^1)$  is contained in a six-dimensional component of  $F(P, Z_q)$  for some prime power  $q$ , and  $F(P, S^1)$  contains nonisolated points, then the action on  $P$  is quasilinear.*

*Proof.* By hypothesis there are powers  $p, q$  of different primes such that  $F(P, Z_p) = M \cup \{x_0\}$  and  $F(P, Z_q) = N \cup \{x_1\}$  where  $x_0 \in N$ ,  $x_1 \in M$  and  $\dim M = \dim N = 6$ . Then the rational Euler characteristic  $\chi(M \cap N) = \chi(F(M \cap N, S^1)) = 3$  and by general position  $\dim(M \cap N) = 4$ .  $F(P, S^1)$  contains a component  $S$  with  $\dim S \geq 2$  by hypothesis, and clearly  $S \subseteq M \cap N$ , so by [1, VII, Thm. 5.1]  $F(M \cap N, S^1)$  is either a homotopy  $CP^2$  or an isolated point and an  $S^2$ . Hence (use [1, IV, Cor. 2.3] if necessary) one component  $L$  of  $M \cap N$  contains  $F(M \cap N, S^1)$  and, by Lemma 2.2, is standard. Thus for  $2 \leq i \leq 4$ ,  $x_i \in L \subset M \cap N$ ,  $p \mid a_i - a_1$  and  $q \mid a_i - a_0$ , the conclusion of Lemma 2.1 is impossible, and  $\psi_i(t)$  is a unit. Next, if some prime power not dividing  $|\text{Stab } N|$  divides three members of  $A = \{a_0 - a_j : 1 \leq j \leq 4\}$ , then using Lemma 1.2 it follows as above that  $\psi_0(t)$  is a

unit. Otherwise, each prime which divides one or two members of  $A$  determines by Lemma 1.2 one or (by Lemma 2.3) two  $S^2(m)$ 's each of which contains  $x_0$  and one point of  $M$ , and by an intersection class argument and Lemma 1.3 the relevant terms in  $\psi_0(t)$  cancel. This leaves  $\psi_0(t)$  a unit times, possibly, a quotient of  $(1-t)$ 's or  $(1-t^q)$ 's, so that by Lemma 1.1 it is in any case a unit. Similarly  $\psi_1(t)$  is a unit.  $\square$

We may now in effect restrict the nonquasilinear part of the action to  $M$  and use DeJter's calculations for  $CP^3$ . (We again use the notation of the first two paragraphs of this section.)

**LEMMA 3.5.** *If the action on  $P$  is not quasilinear then  $\psi_0(t)$  is a unit and  $\psi_i(t)$ ,  $1 \leq i \leq 4$ , is a unit times  $(1-t^{abr})(1-t^r)/[(1-t^{ar})(1-t^{br})]$  for integers  $a, b, r$  with  $a > 1$ ,  $b > 1$ ,  $(a, b) = 1$  and  $r$  a nonzero multiple of  $p$ .*

*Proof.* By hypothesis some  $\psi_k(t)$  is not a unit and by Lemma 3.2 we may assume  $k \neq 0$ . Then for  $1 \leq i \leq 4$  the terms in  $\psi_i(t)$  with exponents  $a_i - a_0$  and  $b_i$  cancel to a unit by Lemma 3.2, Lemma 3.3 and Lemma 3.4, and calculations identical to those at [2, pp. 90–92] show that these polynomials are as claimed, with  $r = |\text{Stab } M|$ . As for  $\psi_0(t)$ , if a prime power  $q$  divides  $a_0 - a_i$  then by Lemma 1.2  $x_0$  and  $x_i$  are in a component  $L$  of  $F(P, Z_q)$ . By the above the exponents of the tangent bundle  $S^1$  representation  $\tau P|_{x_i}$ , which appear in the denominator of  $\psi_i(t)$ , are, up to signs,  $ar$ ,  $br$ ,  $(ab \pm 1)r$  and  $a_i - a_0$ . Since  $(q, r) = 1$  and  $q$  can divide at most one of  $a$ ,  $b$ ,  $ab \pm 1$ ,  $\dim L \leq 4$  and (possibly by Lemma 2.3)  $L$  contains an  $S^2(a_i - a_0)$  containing  $x_0$  and  $x_1 \in M$  and (by intersection class considerations) carrying a generator of  $H_2(P; Z)$ . Hence  $\psi_0(t)$  cancels to a quotient of  $(1-t)$ 's by Lemma 1.3, and is a unit by Lemma 1.1.  $\square$

**4.** The previous two sections imply that if the action on  $P$  is not quasilinear then there is an  $S^2(m)$  which contains fixed points  $x_0, x_1$  such that  $\psi_0(t)$  is a unit and  $\psi_1(t)$  is not. The  $\nu(S^2(m), P)$  representations at these points turn out to be incompatible.

**THEOREM 4.1.** *If  $P$  is a homotopy  $CP^4$  with a smooth  $S^1$  action then the action is quasilinear.*

*Proof.* Assume to the contrary that  $P$  has a smooth  $S^1$  action which is not quasilinear. By [1, VII, Thm. 5.1], Lemma 2.5 and Lemma 3.5, there are  $\{x_0, \dots, x_4\} \subset F(P, S^1)$  such that  $\psi_0(t)$  is a unit;  $\psi_1(t) = \pm t^\lambda (1-t^{abr})(1-t^r)/[(1-t^{ar})(1-t^{br})]$  for integers  $a, b, r, \lambda$  with  $a > 1$ ,  $b > 1$ ,  $(a, b) = 1$ ,  $r \neq 0$ ; and  $|a_1 - a_0| \geq |a_2 - a_0|$ ,  $|a_1 - a_2| = abr$ ,  $|a_1 - a_3| = r$ ,  $|a_1 - a_4| = (ab \pm 1)r$ . Now  $m = |a_0 - a_1|$  is prime to  $r$  and at least two of  $a$ ,  $b$ ,  $ab \pm 1$ , so  $(m, a) = (m, b) = 1$  by Lemma 1.2 and Lemma 2.3, and, since  $2m \geq |a_0 - a_1| + |a_0 - a_2| \geq |a_1 - a_2| = abr$ ,  $m > abr/2$ . Moreover, since  $1-t^m$  cancels in both  $\psi_0(t)$  and  $\psi_1(t)$ , Lemma 1.4 yields an  $S^2(m)$  which contains  $x_0$  and  $x_1$  and, by Lemma 1.3, carries a generator of  $H_2(P; Z)$ . The  $\nu = \nu(S^2(m), P)$  representations at  $x_0$  and  $x_1$  (determined from  $\tau P|_{x_i}$  as reflected in the denominator of  $\psi_i(t)$ ,  $i = 0, 1$ ) are up to signs of exponents

$$\nu|_{x_0} = t^{a_0 - a_2} + t^{a_0 - a_3} + t^{a_0 - a_4}, \quad \nu|_{x_1} = t^{ar} + t^{br} + t^{abr+er}$$

for  $|e| = 1$ . From above, the exponents of  $\nu|_{x_0}$  are equal to  $m \pm abr$ ,  $m \pm r$ ,  $m \pm (ab + e)r$  respectively. Since  $\nu$  is a  $Z_m$  equivariant bundle over the trivial  $Z_m$  space  $S^2(m)$ ,  $\nu|_{x_0}$  and  $\nu|_{x_1}$  pass to the same element of  $R(Z_m) = R(S^1)/(1 - t^m)$  — that is, for some choice of signs

$$\{\pm abr, \pm r, \pm(ab + e)r\} = \{\pm ar, \pm br, \pm(ab + e)r\} \subset Z_m.$$

Straightforward computations show that this is incompatible with  $(a, m) = (b, m) = 1$  and  $m > abr/2$ .

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