

PRIMITIVE INVARIANTS AND CONJUGATE CLASSES OF FUNDAMENTAL REPRESENTATIONS OF A COMPACT SIMPLY CONNECTED LIE GROUP

Shôrô Araki

Let G be a compact, connected, and simply connected Lie group. The rational cohomology algebra of G can be expressed as an exterior algebra

$$H^*(G; \mathbb{Q}) = \Lambda_{\mathbb{Q}}(x_1, \dots, x_\ell),$$

where $\deg x_i = 2m_i - 1$ ($1 \leq i \leq \ell$) and $\ell = \text{rank } G$. The integers m_i are called the *primitive invariants* of G .

Among the complex representations of G there are ℓ fundamental ones, ρ_1, \dots, ρ_ℓ that are irreducible, map a fixed maximal torus T of G onto diagonal matrices, and have highest weights $\omega_1, \dots, \omega_\ell$ satisfying the relations

$$2 \langle \omega_i, \alpha_j \rangle / \langle \alpha_j, \alpha_j \rangle = \delta_{ij} \quad (1 \leq i, j \leq \ell)$$

for a simple system of roots $\{\alpha_1, \dots, \alpha_\ell\}$ of G with respect to T . The set $\{\rho_1, \dots, \rho_\ell\}$ is closed under conjugation up to equivalence; that is, the conjugate representation $\bar{\rho}_i$ of ρ_i is equivalent to some ρ_j , so that it can be decomposed into conjugate classes. Each class consists of one self-conjugate representation or two mutually conjugate representations.

In this note we give a general proof of the following theorem.

THEOREM. *The number of even primitive invariants of G is equal to the number of conjugate classes of fundamental representations.*

(Professor Armand Borel has pointed out to the author that this theorem can be proved by a method that does not involve KO theory, but still uses Atiyah's result [1].)

The theorem partially illustrates the phenomenon that the number of even primitive invariants is generally larger than the number of odd primitive invariants. (From this point of view, the case $G = \text{SU}(2n + 1)$ looks rather exceptional.) When no simple factor of G has outer automorphisms, then every ρ_i is self-conjugate, hence every m_i is even.

1. Our basic tool is the rational KO-cohomology, that is, a cohomology theory assigning to each space X (finite CW-complex) the groups $\text{KO}^i(X) \otimes \mathbb{Q}$ ($i \in \mathbb{Z}$), which we denote by $\text{KO}_{\mathbb{Q}}$ [2]. Let

$$\varepsilon: \text{KO}^i(X) \rightarrow K^i(X) \quad \text{and} \quad \rho: K^i(X) \rightarrow \text{KO}^i(X) \quad (i \in \mathbb{Z})$$

denote natural transformations induced by complexification and real restriction of vector bundles respectively [2], [3]. Let g be the generator of K^{-2} (a point) given by the reduced Hopf bundle, let λ and μ be the generators of KO^{-4} (a point) and

Received January 5, 1966 and December 6, 1966.
Work supported in part by NSF grant GP-4069.

KO^{-8} (a point) such that $\rho(g^2) = \lambda$ and $\varepsilon(\mu) = g^4$ [3]. Then $\lambda^2 = 4\mu$, and the multiplication (tensor product) with μ gives the Bott periodicity isomorphism β of KO .

Put $\lambda' = \lambda/2 \in KO^{-4}$ (a point) $\otimes \mathbb{Q}$. Now we easily see that multiplication with λ' gives a periodicity isomorphism

$$\beta': KO^i(X) \otimes \mathbb{Q} \simeq KO^{i-4}(X) \otimes \mathbb{Q} \quad (i \in \mathbb{Z})$$

of period 4, which we may call the Bott periodicity isomorphism of $KO_{\mathbb{Q}}$. Since $\lambda'^2 = \mu$, β' is compatible with β in the sense that β'^2 is the isomorphism induced by β . Identifying $KO^i(X) \otimes \mathbb{Q}$ with $KO^{i-4}(X) \otimes \mathbb{Q}$ by β' , we obtain a multiplicative \mathbb{Z}_4 -graded cohomology theory, which we denote by $KO'_{\mathbb{Q}}$; that is,

$$KO'_{\mathbb{Q}}(X) = \sum_{i=0}^3 KO^{-i}(X) \otimes \mathbb{Q}.$$

Put

$$ch_{\mathbb{R}} = ch \circ \varepsilon: KO \rightarrow H^*(; \mathbb{Q}).$$

Since ch and ε are additive and multiplicative natural transformations, $ch_{\mathbb{R}}$ also has this property. We can also define $ch_{\mathbb{R}}$ on $KO_{\mathbb{Q}}$ by putting $ch_{\mathbb{R}} = ch_{\mathbb{R}} \otimes 1_{\mathbb{Q}}$. Then

$$ch_{\mathbb{R}}(\lambda') = ch(g^2) = 1 \in H^0(\text{a point}; \mathbb{Z}).$$

Hence, for any $x \in KO^i(X) \otimes \mathbb{Q}$,

$$ch_{\mathbb{R}}(x) = ch_{\mathbb{R}}(\beta'(x));$$

this means that $ch_{\mathbb{R}}$ induces an additive and multiplicative natural transformation

$$ch_{\mathbb{R}}: KO'_{\mathbb{Q}} \rightarrow H^*(; \mathbb{Q}),$$

which we also denote by the same symbol. Since $KO'_{\mathbb{Q}}$ (a point) is isomorphic to \mathbb{Q} and $ch_{\mathbb{R}}(1) = 1$, we have an isomorphism

$$ch_{\mathbb{R}}: KO'_{\mathbb{Q}}(\text{a point}) \simeq H^*(\text{a point}; \mathbb{Q}).$$

Thus, by a general argument using spectral sequences [2], [4, Anhang, pp. A1-A14], we see that

$$(1) \quad ch_{\mathbb{R}}: KO'_{\mathbb{Q}}(X) \simeq H^*(X; \mathbb{Q})$$

for each finite CW-complex X . Moreover, observing that

$$ch_{\mathbb{R}}(KO^i(X)) \subset \sum_n H^{4n+i}(X; \mathbb{Q})$$

and giving $H^*(X; \mathbb{Q})$ a \mathbb{Z}_4 -grading by $\sum_n H^{4n+i}(X; \mathbb{Q})$ ($-3 \leq i \leq 0$), we see that the above isomorphism (1) is degree-preserving.

The relation (1) and the multiplicativity of $ch_{\mathbb{R}}$ imply the Künneth isomorphism

$$KO'_Q(X) \otimes KO'_Q(Y) \simeq KO'_Q(X \times Y)$$

for any finite CW-complexes X and Y.

2. Now we consider the case where X is a compact simply connected Lie group G. By the isomorphism (1), $KO'_Q(G)$ is an exterior algebra over Q of dimension 2^ℓ . Since (1) is degree-preserving, it induces a degree-preserving isomorphism of modules of indecomposable elements (that is, reduced cohomologies modulo the subgroups of decomposable elements), and each one of these groups is degreewise isomorphic to a subgroup generated by a set of generators of the exterior algebra. Hence we see that the following statement holds.

(2) *In any set of generators of the exterior algebra $KO'_Q(G)$, the number of generators of degree -1 (or -3) is equal to the number of even (or odd) primitive invariants of G.*

Let $\alpha: G \rightarrow U(n)$ be a complex representation of G. The representation α , followed by the inclusion $U(n) \subset U$, gives an element $\iota(\alpha) \in [G, U] = K^{-1}(G)$. Atiyah [1] proved that

$$\text{ch}(\iota(\rho_1) \cdots \iota(\rho_\ell))[G] = 1.$$

In particular,

$$(3) \quad \text{ch}(\iota(\rho_1) \cdots \iota(\rho_\ell)) \neq 0.$$

By reindexing, we may assume that ρ_1, \dots, ρ_s are self-conjugate and $\{\rho_{s+i}, \rho_{s+m+i}\}$ ($1 \leq i \leq m$ and $m = (\ell - s)/2$) form conjugate classes. Then $s + m$ is the number of conjugate classes of fundamental representations. Let

$$y_i = \rho(\iota(\rho_i)) \in KO^{-1}(G) \quad (1 \leq i \leq s + m),$$

$$y_{s+m+i} = \rho(g \cdot \iota(\rho_{s+i})) \in KO^{-3}(G) \quad (1 \leq i \leq m).$$

Then

$$\text{ch}_R(y_i) = 2 \cdot \text{ch}(\iota(\rho_i)) \quad (1 \leq i \leq s),$$

$$\text{ch}_R(y_{s+i}) = \text{ch}(\iota(\rho_{s+i}) + \iota(\rho_{s+m+i})) \quad (1 \leq i \leq m),$$

$$\text{ch}_R(y_{s+m+i}) = \text{ch}(\iota(\rho_{s+i}) - \iota(\rho_{s+m+i})) \quad (1 \leq i \leq m).$$

Hence

$$\text{ch}_R(y_1 \cdots y_\ell) = \pm 2^{s+m} \cdot \text{ch}(\iota(\rho_1) \cdots \iota(\rho_\ell)).$$

Thus, by (3) and (1),

$$y_1 \cdots y_\ell \neq 0$$

in $KO'_Q(G)$, which implies that y_1, \dots, y_ℓ generate an exterior subalgebra of dimension 2^ℓ since the y_i have odd degrees. Finally, by the dimensionality argument, we obtain the relation

$$(4) \quad KO'_Q(G) = \Lambda_Q(y_1, \dots, y_\ell),$$

where the right-hand member has exactly $s + m$ generators y_i ($1 \leq i \leq s + m$) of degree -1.

The proposition (2) and the relation (4) prove the theorem.

3. Our theorem implies the following two corollaries.

COROLLARY 1. *The primitive invariants of G are all even if and only if every fundamental representation is self-conjugate.*

For a homogeneous space G/K of compact groups, it is well known [5] that the Euler characteristic $\chi(G/K)$ is not zero if and only if $\text{rank } G = \text{rank } K$. Hence, for a symmetric pair (G, K) of a compact semisimple group G , $\chi(G/K) \neq 0$ if and only if the involution σ of the pair is an inner automorphism of G , which is equivalent to saying that $\rho_i \circ \sigma \sim \rho_i$ for all fundamental representations ρ_i of the universal covering group \tilde{G} of G . When the dual noncompact group of (G, K) is a Chevalley group, that is, when its Lie algebra is the normal form of the complexification, then $\rho_i \circ \sigma \sim \bar{\rho}_i$ for all ρ_i , since both σ and the conjugation transform every weight to its negative, for a suitable T . Thus we obtain from Corollary 1 a general proof of a remark of Ono [6]. (The author is indebted to Professor Hans Samelson, who pointed out Ono's remark to him.)

COROLLARY 2. *Let (G, K) be a symmetric pair of a compact, semisimple Lie group G such that its dual noncompact group is a Chevalley group. Then $\chi(G/K) \neq 0$ if and only if the primitive invariants of G are all even.*

REFERENCES

1. M. F. Atiyah, *On the K-theory of compact Lie groups*, Topology 4 (1965), 95-99.
2. M. F. Atiyah and F. Hirzebruch, *Vector bundles and homogeneous spaces*, Proc. Sympos. Pure Math., Vol. 3, Differential Geometry, 7-38, Amer. Math. Soc., 1961.
3. R. Bott, *Quelques remarques sur les théorèmes de périodicité*, Bull. Soc. Math. France 87 (1959), 293-310.
4. A. Dold, *Halbexakte Homotopiefunktoren*, Lecture Notes in Mathematics, 12, Springer-Verlag, Berlin-Heidelberg-New York, 1966.
5. H. Hopf and H. Samelson, *Ein Satz über die Wirkungsräume geschlossener Liescher Gruppen*, Comment. Math. Helv. 13 (1941), 240-251.
6. T. Ono, *The Gauss-Bonnet theorem and the Tamagawa number*, Bull. Amer. Math. Soc. 71 (1965), 345-348.

Stanford University
and
Osaka City University