

# A UNIVERSAL MODEL FOR ERGODIC TRANSFORMATIONS ON SEPARABLE MEASURE SPACES

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## 1. INTRODUCTION

Let  $\mathcal{C}$  be the class of all objects  $(X, \Sigma, \mu, T)$ , where  $(X, \Sigma, \mu)$  is a measure space with  $X \in \Sigma$  and  $\mu(X) = 1$ , and where  $T: X \rightarrow X$  is a  $\mu$ -measurable measure-preserving transformation, that is, where for every  $A \in \Sigma$  we have the relations

$$T^{-1}A \in \Sigma \quad \text{and} \quad \mu(T^{-1}A) = \mu(A).$$

Where no confusion can arise, we shall write  $(X, \mu, T)$  instead of  $(X, \Sigma, \mu, T)$ .

If  $(X, \mu, T) \in \mathcal{C}$ , we denote by  $U_T$  the linear isometry of  $L^2(X, \mu)$  into itself defined by

$$U_T f = f \circ T \quad \text{for } f \in L^2(X, \mu).$$

We say that  $(X, \mu, T) \in \mathcal{C}$  is the *homomorphic image* of  $(X_0, \mu_0, T_0) \in \mathcal{C}$  if there exists a linear isometry

$$\Phi: L^2(X, \mu) \rightarrow L^2(X_0, \mu_0)$$

satisfying the two conditions

- 1)  $\Phi U_T = U_{T_0} \Phi$ ,
- 2)  $\Phi(fg) = \Phi(f) \cdot \Phi(g)$  for  $f, g \in L^\infty(X, \mu)$

[the conditions imply that  $\Phi L^\infty(X, \mu) \subset L^\infty(X_0, \mu_0)$ ]. For example, we can obtain such an isometry  $\Phi$  from a measure-preserving transformation  $\phi: X_0 \rightarrow X$  such that  $\phi \circ T_0 = T \circ \phi$  by putting

$$\Phi f = f \circ \phi \quad \text{for } f \in L^2(X, \mu).$$

Under certain conditions, every such isometry can be obtained in this way (see [2, pp. 42-45] and [3, pp. 294-302]).

We say that  $(X_0, \mu_0, T_0) \in \mathcal{C}$  is a *universal model* for a class  $\mathcal{C}' \subset \mathcal{C}$  if every  $(X, \mu, T) \in \mathcal{C}'$  is a homomorphic image of  $(X_0, \mu_0, T_0)$ .

In this paper we shall construct a universal model  $(\hat{N}, \hat{\mu}, \hat{\tau}) \in \mathcal{C}$  for the class  $\mathcal{C}_{e,s}$  of the  $(X, \Sigma, \mu, T)$  such that  $T$  is *ergodic* and  $(X, \mu)$  is separable (that is, there exists a countable subset  $\Sigma' \subset \Sigma$  such that to every  $A \in \Sigma$  and every  $\varepsilon > 0$  there corresponds  $A' \in \Sigma'$  with  $\mu(A \Delta A') < \varepsilon$ ; or, equivalently,  $L^2(X, \mu)$  is separable). The transformation  $\hat{\tau}$  is invertible (modulo  $\hat{\mu}$ ), but it is not ergodic, and the measure space  $(\hat{N}, \hat{\mu})$  is not separable; in fact, a universal model for the class  $\mathcal{C}_{e,s}$  cannot be separable.

The idea of this model was suggested by an "heuristic proof" indicated in [2] (see the end of the paragraph "Comments on the ergodic theorem").

The following problems are still open:

I. Is there a universal *ergodic* model for  $\mathcal{E}_{e,s}$ ?

II. Is  $(\hat{N}, \hat{\mu}, \hat{\tau})$  a universal model for the class  $\mathcal{E}_s$  of the separable but not necessarily ergodic measure spaces  $(X, \mu, T)$ ? If the answer is negative, is there a universal model for  $\mathcal{E}_s$ ?

The main result is established in Section 5; the other paragraphs are introductory, and the proofs are given for the sake of the completeness.

## 2. THE SPACE $\hat{N}$

Consider the space  $N = \{1, 2, \dots\}$  equipped with the discrete topology, and let  $\hat{N}$  be the Stone-Čech compactification of  $N$ . Then  $N$  is dense in  $\hat{N}$ , and every bounded complex sequence can be uniquely extended to a continuous function on  $\hat{N}$  [1, p. 872], so that we can identify the algebra  $m$  of bounded complex sequences (with the usual multiplication of coordinates) with the algebra  $C(\hat{N})$  of the complex continuous functions on  $\hat{N}$ , and  $\hat{N}$  itself with the space of the maximal ideals of  $m$ .

Every point of  $N$  is open in  $\hat{N}$ , therefore the set  $N_\infty = \hat{N} - N$  is compact.

LEMMA 1. A point  $x \in \hat{N}$  belongs to  $N_\infty$  if and only if

$$|f(x)| \leq \limsup_{n \rightarrow \infty} |f(n)| \quad \text{for every } f \in C(\hat{N}).$$

Suppose that  $x = p \in N$ , and consider the function  $f \in C(\hat{N})$  defined by  $f(n) = 1/n$  for  $n \in N$ . Then  $\limsup_{n \rightarrow \infty} |f(n)| = 0$ , but  $|f(x)| = 1/p > 0$ .

Conversely, let  $x \in N_\infty$  and  $f \in C(\hat{N})$ . There exists a sequence  $\{n_p\}$  in  $N$  such that  $\lim_{p \rightarrow \infty} f(n_p) = f(x)$ . In fact, we can suppose that  $f(x) = 0$ . If the desired sequence  $\{n_p\}$  does not exist, then  $\liminf |f(x)| > 0$ . Put

$$g(n) = \begin{cases} 1/f(n) & \text{if } f(n) \neq 0, \\ 1 & \text{if } f(n) = 0. \end{cases}$$

Then  $\{g(n)\} \in m$  and  $g(n)f(n) = 1$ , except for finitely many values  $n$ . It is now evident that  $f(z)g(z) = 1$  on  $N_\infty$ , and in particular  $f(x) \neq 0$ , which contradicts our hypothesis.

## 3. THE TRANSFORMATION $\hat{\tau}$

Consider the mapping  $\tau: C(\hat{N}) \rightarrow C(\hat{N})$  defined by

$$(\tau f)(n) = f(n+1) \quad \text{for all } f \in C(\hat{N}) \text{ and } n \in N.$$

We remark that every function  $g \in C(\hat{N})$  is of the form  $g = \tau f$  for some  $f \in C(\hat{N})$ .

For every  $x \in \hat{N}$ , the mapping  $f \rightarrow (\tau f)(x)$  is a continuous homomorphism of  $C(\hat{N})$  onto the algebra of complex numbers; therefore there exists a point  $\hat{\tau}x \in \hat{N}$  such that

$$(\tau f)(x) = f(\hat{\tau}x) \quad \text{for every } f \in C(\hat{N}).$$

PROPOSITION 1. *The mapping  $\hat{\tau}: \hat{N} \rightarrow \hat{N}$  is one-to-one and continuous, and  $\hat{\tau}(N_\infty) = N_\infty$ .*

Let  $x_1, x_2 \in \hat{N}$  be such that  $\hat{\tau}x_1 = \hat{\tau}x_2$ . Let  $g = \tau f$  be an arbitrary function of  $C(\hat{N})$ . Then  $(\tau f)(x_1) = (\tau f)(x_2)$ , that is,  $g(x_1) = g(x_2)$ . Therefore  $x_1 = x_2$ , whence  $\hat{\tau}$  is one-to-one.

To prove that  $\hat{\tau}$  is continuous, let  $x_0 \in \hat{N}$ , and let  $V$  be a neighbourhood of  $\hat{\tau}x_0$  to the form

$$V = \{x: |f_j(x) - f_j(\hat{\tau}x_0)| < \varepsilon; f_j \in C(\hat{N}), j = 1, 2, \dots, k\}.$$

Then

$$W = \{x: |(\tau f_j)(x) - \tau f_j(x_0)| < \varepsilon; j = 1, 2, \dots, k\}$$

is a neighbourhood of  $x_0$ , and  $\hat{\tau}W \subseteq V$ .

We remark that if  $n \in N$ , then  $\hat{\tau}n = n + 1$ . If  $x \in N_\infty$ , then, by Lemma 1,

$$|f(\hat{\tau}x)| = |\tau f(x)| \leq \limsup_{n \rightarrow \infty} |(\tau f)(n)| = \limsup_{n \rightarrow \infty} |f(n+1)| = \limsup_{n \rightarrow \infty} |f(n)|$$

for every  $f \in C(\hat{N})$ . Therefore, again by Lemma 1,  $\hat{\tau}x \in N_\infty$ . It follows that  $\hat{\tau}(N_\infty) \subseteq N_\infty$ .

Conversely, let  $y \in N_\infty$ . For every function  $f \in C(\hat{N})$ , define the function  $f_\tau \in C(\hat{N})$  by  $f_\tau(1) = 0$  and  $f_\tau(n+1) = f(n)$  for  $n \in N$ . It follows that  $\tau(f_\tau) = f$ . Moreover,  $(\tau f)_\tau(z) = f(z)$  for  $z \in N_\infty$ . Since the mapping  $f \rightarrow f_\tau(y)$  is a continuous homomorphism of  $C(\hat{N})$  onto the algebra of the complex numbers, there exists a point  $x \in \hat{N}$  such that

$$f(x) = f_\tau(y) \quad \text{for every } f \in C(\hat{N}).$$

By virtue of Lemma 1, we conclude that  $x \in N_\infty$ . Consequently,

$$f(\hat{\tau}x) = (\tau f)(x) = (\tau f)_\tau(y) = f(y) \quad \text{for every } f \in C(\hat{N}),$$

and therefore  $\hat{\tau}x = y$ .

COROLLARY. *The restriction  $\tau_\infty$  of  $\hat{\tau}$  to  $N_\infty$  is a homeomorphism of  $N_\infty$ .*

In fact,  $N_\infty$  is compact,  $\tau_\infty$  is continuous and one-to-one, and  $\tau_\infty(N_\infty) = N_\infty$ .

*Remark.* There exists no fixed point of  $N_\infty$  for  $\tau_\infty$ . Indeed, suppose that  $\tau_\infty y = y$  for some  $y \in N_\infty$ . Then

$$f(y) = (\tau f)(y) \quad \text{for every } f \in C(\hat{N}),$$

and in particular, this is true for the function  $g$  defined by the sequence  $\{0, 1, 0, 1, \dots\}$ . The function  $\tau g$  is defined by the sequence  $\{1, 0, 1, 0, \dots\}$ , and since  $g + \tau g = 1$  and  $g \cdot \tau g = 0$ , it follows that  $2g(y) = 1$  and  $g(y)^2 = 0$ , which is impossible.

4. THE MEASURE  $\hat{\mu}$ 

For every function  $f \in C(\hat{N})$ , put

$$\|f\| = \limsup \frac{|f(1) + f(2) + \cdots + f(n)|}{n}.$$

Then  $\|f\| \leq \|f\|$ , where

$$\|f\| = \sup_{x \in \hat{N}} |f(x)| = \sup_{n \in \mathbb{N}} |f(n)|,$$

and  $\|f\|$  is a seminorm on  $C(\hat{N})$ .

Consider now the set  $C_0$  of functions  $f \in C(\hat{N})$  such that the sequence

$$\left\{ \frac{f(1) + f(2) + \cdots + f(n)}{n} \right\}$$

converges. Then  $C_0$  is a linear subspace of  $C(\hat{N})$  containing all constant functions, and

$$I(f) = \lim_{n \rightarrow \infty} \frac{f(1) + f(2) + \cdots + f(n)}{n}$$

is a linear functional on  $C$  satisfying the inequality

$$|I(f)| \leq \|f\| \quad \text{for } f \in C_0.$$

Moreover, if  $f \in C_0$  and  $f \geq 0$ , then  $I(f) = \|f\| \geq 0$ , and if  $\lim_{n \rightarrow \infty} f(n) = c$ , then  $I(f) = c$ ; in particular,  $I(1) = 1$ .

**PROPOSITION 2.** *There exists a positive, regular Borel measure  $\hat{\mu}$  on  $\hat{N}$  such that  $(\hat{N}, \hat{\mu}, \hat{\tau}) \in \mathcal{E}$  and*

$$\int f d\hat{\mu} = \lim_{n \rightarrow \infty} \frac{f(1) + f(2) + \cdots + f(n)}{n} \quad \text{for } f \in C_0.$$

*Moreover,  $\hat{\tau}$  is invertible (modulo  $\hat{\mu}$ ), but is not ergodic with respect to any measure  $\hat{\mu}$  satisfying the preceding conditions.*

Using the Hahn-Banach theorem, we can extend  $I$  to a linear functional  $\hat{I}$  on  $C(\hat{N})$  such that

$$|\hat{I}(f)| \leq \|f\| \quad \text{for } f \in C(\hat{N}).$$

The functional  $\hat{I}$  is positive. Indeed, let  $f \geq 0$  be a function of  $C(\hat{N})$ . To prove that  $\hat{I}(f) \geq 0$ , we take a function  $g \in C_0$  such that  $f \leq g$  (for example,  $g(x) \equiv \|f\|$ ). Then  $\hat{I}(g) = \|g\|$  and  $0 \leq g - f \leq g$ , and therefore  $\|g - f\| \leq \|g\|$ . It follows that

$$\hat{I}(g) - \hat{I}(f) = \hat{I}(g - f) \leq |\hat{I}(g - f)| \leq \|g - f\| \leq \|g\|,$$

whence  $\hat{I}(f) \geq \hat{I}(g) - \|g\| = 0$ .

By the Riesz-Kakutani theorem, there exists a positive regular Borel measure  $\hat{\mu}$  on  $\hat{N}$  such that

$$\hat{I}(f) = \int f d\hat{\mu} \quad \text{for } f \in C(\hat{N}).$$

In particular, for  $f \in C_0$ ,

$$\int f d\hat{\mu} = I(f) = \lim_{n \rightarrow \infty} \frac{f(1) + \dots + f(n)}{n}$$

and  $\hat{\mu}(N) = I(1) = 1$ .

Since  $\hat{\tau}$  is continuous, it is  $\hat{\mu}$ -measurable. The transformation  $\hat{\tau}$  is also measure-preserving. In fact, for every function  $f \in C(\hat{N})$ , we can write

$$\frac{(f - \tau f)(1) + (f - \tau f)(2) + \dots + (f - \tau f)(n)}{n} = \frac{f(1) - f(n+1)}{n} \rightarrow 0.$$

Therefore  $f - \tau f \in C_0$  and  $I(f - \tau f) = 0$ , and consequently  $\hat{I}(f) = \hat{I}(\tau f)$ . It follows that  $\hat{\mu}(A) = \hat{\mu}(\hat{\tau}^{-1} A)$  for every  $\hat{\mu}$ -measurable set  $A \subset \hat{N}$ .

It remains to prove that  $\hat{\tau}$  is not ergodic with respect to  $\hat{\mu}$ . Consider the function  $f \in C(\hat{N})$  defined by

$$f(n) = \begin{cases} 1 & \text{if } (2k - 1)^2 < n \leq (2k)^2, \\ 0 & \text{if } (2k)^2 < n \leq (2k + 1)^2. \end{cases}$$

This function takes only the values 0 and 1; hence it is the characteristic function of a set  $M \subset \hat{N}$  that is closed and open.

For every  $n \in N$ , let  $h = h(n) \in N$  be defined by the inequalities

$$(2h - 1)^2 < n \leq (2h + 1)^2.$$

Then

$$\frac{\sum_{k=1}^{h-1} [(2k)^2 - (2k - 1)^2]}{(2h + 1)^2} \leq \frac{f(1) + \dots + f(n)}{n} \leq \frac{\sum_{k=1}^h [(2k)^2 - (2k - 1)^2]}{(2h - 1)^2},$$

and a simple computation shows that

$$\frac{2h^2 - 3h + 1}{(2h + 1)^2} \leq \frac{f(1) + \dots + f(n)}{n} \leq \frac{2h^2 + h}{(2h - 1)^2}.$$

Since  $h \rightarrow \infty$  as  $n \rightarrow \infty$ , we deduce that

$$\lim_{n \rightarrow \infty} \frac{f(1) + \dots + f(n)}{n} = \frac{1}{2};$$

therefore  $f \in C_0$  and  $\hat{\mu}(M) = \int f d\hat{\mu} = 1/2$ .

On the other hand,

$$\frac{|\tau f(1) - f(1)| + |\tau f(2) - f(2)| + \dots + |\tau f(n) - f(n)|}{n} \leq \frac{2h}{(2h - 1)^2},$$

and therefore  $I(|\tau f - f|) = 0$ . It follows that

$$\hat{\mu}(M\Delta\hat{\tau}^{-1}M) = I(|\tau f - f|) = 0;$$

consequently  $\hat{\tau}$  is not ergodic.

We shall show that  $\hat{\mu}(N) = 0$ , which implies that  $\hat{\mu}$  is concentrated on  $N_\infty$ . Indeed, every point  $p \in N$  is open and closed in  $\hat{N}$ , and the characteristic function  $f_p$  of the set  $\{p\}$  defined by  $f_p(n) = \delta_{pn}$  is continuous. Since

$$\frac{f_p(1) + \dots + f_p(n)}{n} = \frac{1}{n} \rightarrow 0 \quad (p \leq n \rightarrow \infty),$$

$f_p \in C_0$  and  $\hat{\mu}(\{p\}) = I(f_p) = 0$ . It follows that if we consider the transformation  $\tau^1: \hat{N} \rightarrow \hat{N}$  with  $\tau^1(n) = n$  for  $n \in N$  and  $\tau^1(x) = \hat{\tau}(x)$  for  $x \in N_\infty$ , we obtain an invertible measurable transformation,  $\hat{\mu}$ -almost everywhere equal to  $\hat{\tau}$ ; therefore  $\hat{\tau}$  is itself invertible modulo  $\hat{\mu}$ .

*Remark.* The measure  $\hat{\mu}$  is not unique. In the sequel, we assume that  $\hat{\mu}$  is fixed.

### 5. THE MAIN RESULT

**THEOREM.**  $(\hat{N}, \hat{\mu}, \hat{\tau})$  is a universal model for the class  $\mathcal{C}_{e,s}$ .

Let  $(X, \mu, T) \in \mathcal{C}_{e,s}$ . For every function  $f \in L^1(\mu)$ , the individual ergodic theorem for ergodic transformations [2, pp. 31-34] implies that

$$(1) \quad \lim_{n \rightarrow \infty} \frac{f(x) + f(Tx) + \dots + f(T^{n-1}x)}{n} = \int_X f d\mu$$

$\mu$ -almost everywhere.

There exists a countable set  $\mathcal{A} \subseteq L^\infty(X, \mu)$  with the following properties:

- 1)  $f, g \in \mathcal{A}$  implies  $fg \in \mathcal{A}$  and  $\alpha f + \beta g \in \mathcal{A}$  for all rational complex numbers  $\alpha, \beta$ ;  $f \equiv 1 \in \mathcal{A}$ ;
- 2)  $f \in \mathcal{A}$  implies  $\bar{f} \in \mathcal{A}$ ;
- 3)  $\mathcal{A}$  is dense in  $L^2(X, \mu)$ ;
- 4)  $f \in \mathcal{A}$  implies  $f \circ T \in \mathcal{A}$ .

In fact, we can start from a countable set  $\mathcal{A}_0$  dense in  $L^2(X, \mu)$ , then take the set  $\mathcal{A}_1$  of functions of the form  $f \circ T^n$  and  $\bar{f} \circ T^n$  with  $f \in \mathcal{A}_0$  and  $n = 0, 1, 2, \dots$ , and finally take  $\mathcal{A}$ , the algebra over the complex rational numbers generated by  $\mathcal{A}_1$ . We may also suppose that

$$5) \quad |f(x)| \leq \|f\|_\infty \text{ for every } x \in X \text{ and } f \in \mathcal{A}.$$

Since  $\mathcal{A}$  is countable, there exists a  $\mu$ -negligible set  $N_0 \subset X$  such that (1) holds for every  $x \in X - N_0$  and for every  $f \in \mathcal{A}$ . Let  $y \in X - N_0$ . For every  $f \in \mathcal{A}$ , the sequence  $\{f(T^n y)\}$  is bounded, hence it belongs to  $m$ .

We now define the mapping  $\Phi: \mathcal{A} \rightarrow C(\hat{N})$  by the equation

$$(2) \quad (\Phi f)(n) = f(T^n y) \quad \text{for } f \in \mathcal{A} \text{ and } n \in N.$$

From equation (1) we deduce that for  $f \in \mathcal{A}$  the function  $\Phi f$  belongs to  $C_0$  and that

$$(3) \quad \int \Phi f d\hat{\mu} = \int f d\mu.$$

We remark that  $\Phi f$  depends on the choice of the element  $y$ , but that the integral  $\int \Phi f d\hat{\mu}$  is independent of  $y$ . In the sequel,  $y$  will be fixed.

It is easy to verify that  $\Phi$  has the following properties:

(i)  $\Phi(\alpha f + \beta g) = \alpha \Phi(f) + \beta \Phi(g)$  for  $f, g \in \mathcal{A}$  and for all rational complex numbers  $\alpha, \beta$ ;  $\Phi 1 = 1$ ;

(ii)  $\Phi(fg) = \Phi f \cdot \Phi g$  for  $f, g \in \mathcal{A}$ ;

(iii)  $\Phi(\bar{f}) = \overline{\Phi f}$  for  $f \in \mathcal{A}$ ;

(iv)  $\Phi U_T = U_{\hat{\tau}} \Phi$ .

For instance, for every  $f \in \mathcal{A}$  and  $n \in N$  we have the relations

$$\Phi U_T f(n) = \Phi(f \circ T)(n) = f(T^{n+1} y) = \Phi f(n+1) = \Phi f(\hat{\tau} n) = U_{\hat{\tau}} \Phi f(n).$$

From (3) we deduce that  $\Phi$  is an isometry from  $\mathcal{A} \subset L^2(X, \mu)$  into  $C(\hat{N}) \subseteq L^2(\hat{N}, \hat{\mu})$ :

$$(f, g) = \int f \bar{g} d\mu = \int \Phi(f \bar{g}) d\hat{\mu} = \int \Phi f \cdot \Phi(\bar{g}) d\hat{\mu} = \int \Phi f \cdot \overline{\Phi g} d\hat{\mu} = (\Phi f, \Phi g).$$

Since  $\mathcal{A}$  is dense in  $L^2(X, \mu)$ , it follows that  $\Phi$  can be extended to a linear isometry from  $L^2(X, \mu)$  into  $L^2(\hat{N}, \hat{\mu})$ ; we shall also denote the extension by  $\Phi$ . Equation (iv) remains valid for the extended  $\Phi$ .

It remains to prove that equation (ii) remains valid for  $f, g \in L^\infty(X, \mu)$ . We shall prove more, namely, that the equation remains valid for  $f \in L^2(\mu)$  and  $g \in L^\infty(\mu)$ . We shall divide the proof into several parts.

a) Equation (ii) is valid for  $f \in \mathcal{A}$  and  $g \in L^\infty(\mu)$ . In fact, there exists a sequence  $\{g_n\}$  in  $\mathcal{A}$  converging to  $g$  in  $L^2(\mu)$ . Since  $f$  is bounded,  $fg_n \rightarrow fg$  in  $L^2(\mu)$ . Therefore  $\Phi(g_n) \rightarrow \Phi(g)$  and  $\Phi(fg_n) \rightarrow \Phi(fg)$  in  $L^2(\mu)$ . Since  $\Phi f$  is bounded (being continuous on  $\hat{N}$ ) we see that

$$\Phi f \cdot \Phi g_n \rightarrow \Phi f \cdot \Phi g \quad \text{in } L^2(\mu).$$

For every  $n$ ,  $\Phi(fg_n) = \Phi(f)\Phi(g_n)$ ; therefore, passing to the limit, we obtain the relation

$$\Phi(fg) = \Phi f \cdot \Phi g.$$

b) If  $g \in L^\infty(\mu)$ , then  $\Phi g \in L^\infty(\hat{\mu})$  and

$$(4) \quad \|\Phi g\|_\infty \leq \|g\|_\infty.$$

For  $f \in \mathcal{A}$ , condition 5) implies that

$$\max_{x \in \hat{N}} |\Phi f(x)| = \sup_n |f(T^n y)| \leq \|f\|_\infty,$$

so that (4) is valid for  $g = f \in \mathcal{A}$ . Hence, denoting by  $\overline{\mathcal{A}}$  the closure of  $\mathcal{A}$  in  $L^\infty(\mu)$ , we deduce from continuity that  $\Phi$  is a linear multiplicative mapping of  $\overline{\mathcal{A}}$  into  $L^\infty(\hat{\mu})$  and that it satisfies (4). But  $\overline{\mathcal{A}}$  is a subalgebra of  $L^\infty(\mu)$  satisfying the conditions

- $\alpha)$   $1 \in \overline{\mathcal{A}}$ ;
- $\beta)$   $f \in \overline{\mathcal{A}}$  implies  $\bar{f} \in \overline{\mathcal{A}}$ .

For  $c > 0$ , let  $\phi_c$  be defined on the set of complex numbers by

$$\phi_c(z) = \begin{cases} z & \text{if } |z| < c, \\ c \frac{z}{|z|} & \text{if } |z| \geq c. \end{cases}$$

Evidently  $\phi_c$  is continuous and can be uniformly approximated on  $|z| \leq C$  by polynomials  $p(z, \bar{z})$  in  $z$  and  $\bar{z}$ . Thus, if  $f \in \overline{\mathcal{A}}$  and  $\|f\|_\infty \leq C$ , then  $\overline{\phi_c \circ f}$  is the limit in  $L^\infty(\mu)$  of elements of the form  $p(f, \bar{f}) \in \overline{\mathcal{A}}$ ; hence  $\overline{\phi_c \circ f} \in \overline{\mathcal{A}}$  for all  $c > 0$  and all  $C < \infty$ .

Now let  $g \in L^\infty(\mu)$  be given, and suppose  $f_n \in \mathcal{A}$  and  $f_n \rightarrow g$  in  $L^2(\mu)$ . Taking  $\varepsilon > 0$ ,  $c = \|g\|_\infty + \varepsilon$ , and  $h_n = \phi_c \circ f_n$ , we deduce that  $h_n \in \overline{\mathcal{A}}$  and  $h_n \rightarrow \overline{g}$  in  $L^2(\mu)$ ; hence  $\Phi h_n \rightarrow \Phi \overline{g}$  in  $L^2(\hat{\mu})$ . Moreover, we may suppose that  $\Phi h_n \rightarrow \Phi g$ ,  $\hat{\mu}$ -almost everywhere. Since (4) is valid for the functions of  $\overline{\mathcal{A}}$ , we see that

$$\|\Phi h_n\|_\infty \leq c = \|g\|_\infty + \varepsilon.$$

Thus,  $|\Phi g| \leq \|g\|_\infty + \varepsilon$   $\hat{\mu}$ -almost everywhere, that is,  $\|\Phi g\|_\infty \leq \|g\|_\infty + \varepsilon$ . Since  $\varepsilon > 0$  is arbitrary, we obtain (4) for every  $g \in L^\infty(\mu)$ .

c) Equation (ii) holds for  $f \in L^2(\mu)$  and  $g \in L^\infty(\mu)$ . Let  $\{f_n\}$  be a sequence in  $\mathcal{A}$  such that  $f_n \rightarrow f$  in  $L^2(\mu)$ . Since  $g \in L^\infty(\mu)$ , we see that  $f_n g \rightarrow fg$ . Therefore

$$\Phi(f_n) \rightarrow \Phi(f) \quad \text{and} \quad \Phi(f_n g) \rightarrow \Phi(fg) \quad \text{in } L^2(\hat{\mu}).$$

Since by b)  $\Phi g \in L^\infty(\hat{\mu})$ , we deduce that  $\Phi(f_n)\Phi(g) \rightarrow \Phi(f)\Phi(g)$ . For every  $n$ , a) implies that  $\Phi(f_n g) = \Phi(f_n)\Phi(g)$ ; therefore, passing to the limit, we conclude that  $\Phi(fg) = \Phi(f)\Phi(g)$ .

*Remark.* The countability hypothesis was used only to get a point  $y \in X$  such that (1) holds for every function of a class  $\mathcal{A}$  satisfying conditions 1) to 4), and this last property was indeed used in the proof; therefore  $(\hat{N}, \hat{\mu}, \hat{\tau})$  is a universal model for the class of all ergodic transformations possessing the preceding property. An interesting problem is whether  $(\hat{N}, \hat{\mu}, \hat{\tau})$  itself has this property.

**PROPOSITION 3.** *There exists no separable universal model for the class  $\mathcal{C}_{e,s}$ .*

Suppose that  $(X_0, \mu_0, T_0)$  is a universal model for  $\mathcal{C}_{e,s}$ . Let  $X$  be the complex unit circle, and let  $\mu$  denote Haar measure on  $X$ . Let  $c \in X$  be such that  $c^n \neq 1$  for  $n = \pm 1, \pm 2, \dots$ , and define the transformation  $T: X \rightarrow X$  by  $Tx = cx$



for  $x \in X$ . Then  $(X, \mu, T) \in \mathcal{C}_{e,s}$  [2, pp. 25-30]. Moreover,  $T$  is invertible. Consider the unitary operator  $U_T$  on  $L^2(X, \mu)$  induced by  $T$ . The function  $f(x) = x$  satisfies the condition

$$f(cx) = cf(x) \quad \text{for } x \in X,$$

that is,  $U_T f = cf$ .

Let  $\Phi: L^2(X, \mu) \rightarrow L^2(X_0, \hat{\mu}_0)$  be a linear isometry such that  $\Phi U_T = U_{T_0} \Phi$ .

Then

$$U_{T_0} \Phi f = \Phi U_T f = \Phi cf = c \Phi f;$$

therefore  $c$  is a proper value for  $U_{T_0}$ . Since the set of numbers  $c \in X$  with  $c^n \neq 1$  for  $n = \pm 1, \pm 2, \dots$  is uncountable, it follows that the set of proper values of  $U_{T_0}$  is uncountable, and therefore  $L^2(X_0, \mu_0)$  contains an uncountable family of orthogonal elements different from 0. We deduce that  $(X_0, \mu_0)$  is not separable.

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