

## Decision Procedure for a Class of $(L_{\omega_1\omega})_t$ -Types of $T_3$ Spaces

JUAN CARLOS MARTINEZ

The  $(L_{\omega\omega})_t$ -types of  $T_3$  spaces are introduced in [1]. An effective procedure is then obtained to decide whether a type is satisfiable in some  $T_3$  space. The expressibility of  $(L_{\omega_1\omega})_t$  for  $T_3$  spaces is studied in [2]. For this purpose a class of  $(L_{\omega_1\omega})_t$ -types is introduced and in this way we obtain a characterization of the  $(L_{\omega_1\omega})_t$ -equivalence for a wide class of  $T_3$  spaces. In the present paper, we prove that there is a decision procedure for this class of types.

**1 Preliminaries** Suppose that  $A$  is a  $T_3$  space and  $A^*$  is a subset of  $A$ . The  $n$ -move game  $G_n(A^*, A)$  between two players, I and II, is defined as follows. In his  $i$ -th move ( $i = 1 \dots n$ ) player I chooses an arbitrary finite sequence  $a_1, \dots, a_r$  of points in  $A$  and then in his  $i$ -th move player II chooses a sequence of  $r$  neighborhoods  $U_1$  of  $a_1, \dots, U_r$  of  $a_r$  in  $A$ . Let  $U'_1, \dots, U'_m$  be all the neighborhoods chosen by II during the game. Player I wins if  $A^* \subset U'_1 \cup \dots \cup U'_m$ ; otherwise, player II wins. Then,  $A^*$  is *accessible* (in the space  $A$ ) if for some  $n \in \omega$  player I has a winning strategy in the game  $G_n(A^*, A)$ . With this notion we can study the behavior of convergence. If  $a \in A$  we say that  $A^*$  converges to  $a$ ,  $A^* \rightarrow a$ , if  $a$  is an accumulation point of  $A^*$ . If  $A^* \rightarrow a$  the following two types of convergence are considered:

- (i)  $A^* \xrightarrow{0} a$ , if for every neighborhood  $U$  of  $a$  we have that  $A^* \cap U$  is not accessible.
- (ii)  $A^* \xrightarrow{1} a$ , if there is a neighborhood  $U$  of  $a$  with  $A^* \cap U$  accessible.

The set  $S_n$  of  $n$ -types is then defined by induction on  $n$ :

$$S_0 = \{*\}, S_{n+1} = P \left( \bigcup_{\lambda=0,1} \{(\alpha, \lambda) : \alpha \in S_n\} \right),$$

where  $P(X)$  denotes the power set of  $X$ .

*Received July 8, 1985; revised November 20, 1985*

The  $n$ -type of  $a \in A$  is defined inductively by:

$$s_0(a, A) = *, \quad s_{n+1}(a, A) = \bigcup_{\lambda=0,1} \{(\alpha, \lambda) : \alpha \in S_n \text{ and } A_\alpha \xrightarrow{\lambda} a\},$$

where  $A_\alpha = \{a \in A : s_n(a, A) = \alpha\}$ .

For  $m, n \in \omega$  with  $m \leq n$  and  $\alpha \in S_n$  the  $m$ -type  $(\alpha)_m$  is defined in a way such that if  $A$  is a  $T_3$  space and  $a \in A$ ,  $s_m(a, A) = (s_n(a, A))_m$  (cf. [2]). So, for  $m \leq n$ , the  $n$ -type of  $a$  determines the  $m$ -type of  $a$ .

By means of these types we obtain a characterization of the  $(L_{\omega_1\omega})_I$ -equivalence for the class of  $T_3$  spaces of  $a$ -finite type. A space  $A$  is of  $a$ -finite type if for some  $n_0 \in \omega$ :  $s_{n_0}(a, A) = s_{n_0}(a', A)$  implies  $s_n(a, A) = s_n(a', A)$  for all  $n > n_0$ ,  $a, a' \in A$ . Two  $T_3$  spaces  $A$  and  $B$  are  $a$ -type equivalent if for every  $n$ -type  $\alpha$  we have:

- (i)  $A$  and  $B$  have the same number of points of  $n$ -type  $\alpha$
- (ii)  $A_\alpha$  is accessible iff  $B_\alpha$  is accessible.

Then, if  $A$  and  $B$  are  $T_3$  spaces of  $a$ -finite type we have:  $A$  and  $B$  are  $(L_{\omega_1\omega})_I$ -equivalent iff  $A$  and  $B$  are  $a$ -type equivalent (cf. [2]).

An  $n$ -type  $\alpha$  is *satisfiable* in  $A$  if there is an  $a \in A$  with  $s_n(a, A) = \alpha$ . The set of satisfiable  $n$ -types in  $A$  is denoted by  $S_n(A)$ . In this paper, we find an effective procedure to decide whether for a nonempty set  $S$  of  $n$ -types and  $f: S \rightarrow \{0, 1\}$  there exists a  $T_3$  space  $A$  such that  $S = S_n(A)$  and, for any  $\alpha \in S$ ,  $f(\alpha) = 1$  iff  $A_\alpha$  is accessible.

Ziegler's notion of an  $\omega$ -tree employed in [1] to find a decision procedure for the  $(L_{\omega\omega})_I$ -types of  $T_3$  spaces will also be useful in our case. This notion can be found in [1] and [2]. If  $(T, \leq)$  is an  $\omega$ -tree and  $\sigma_\leq$  is the topology induced by  $\leq$ , we say that  $(T, \sigma_\leq)$  is an  $\omega$ -topological tree.

In the present paper, we presuppose acquaintance with [2] on the basic properties of the accessible sets. We refer to that paper for examples and basic ideas.

**2 The decision procedure** Suppose that  $A$  is a  $T_3$  space and  $A_1^*, A_2^*$  are subsets of  $A$ . If  $A_1^* \rightarrow a$  for every  $a \in A_2^*$ , we write  $A_1^* \rightarrow A_2^*$ . If  $A_1^* \xrightarrow{\lambda} a$  for every  $a \in A_2^*$ , we write  $A_1^* \xrightarrow{\lambda} A_2^*$  ( $\lambda = 0, 1$ ). The easy proof of the next lemma is left to the reader.

**Lemma 1** Suppose that  $A$  is a  $T_3$  space,  $A_1^*, A_2^*, A^*$  are subsets of  $A$  and  $a \in A$ . We have:

- (a) If  $A_1^* \xrightarrow{0} A_2^*$  and  $A_2^* \rightarrow a$ ,  $A_1^* \xrightarrow{0} a$ .
- (b) If  $A_1^* \rightarrow A_2^*$  and  $A_2^* \xrightarrow{0} a$ ,  $A_1^* \xrightarrow{0} a$ .
- (c) If  $A^* \rightarrow A^*$ ,  $A^* \xrightarrow{0} A^*$ .

We say that  $(P, \prec, \rho, \mu)$  is an *accessibility relation* (in the sequel we shall say  $a$ -relation) if  $P$  is a nonempty finite set,  $\prec$  is a transitive binary relation on  $P$ ,  $\rho: \{(p, q) : p, q \in P \text{ with } p \prec q\} \rightarrow \{0, 1\}$  and  $\mu: P \rightarrow \{0, 1\}$  are functions such that the following three conditions hold (we write  $p \cong q$  if  $p \prec q$  or  $p = q$ ):

- (i) For every  $p \in P$ :  
 $p \triangleleft p$  implies  $\rho(p, p) = 0$ .
- (ii) For every  $p, q, p', q' \in P$  with  $p \cong p' \triangleleft q' \cong q$ :  
 $\rho(p, q) = 1$  implies  $\rho(p', q') = 1$ .
- (iii) For every  $p, q \in P$  with  $p \triangleleft q$ :  
 $\mu(q) = 1$  implies  $\mu(p) = 1$  and  $\rho(p, q) = 1$ .

We say that  $q \in P$  is minimal if there is no  $p \in P$  with  $p \triangleleft q$ .

If  $(P, \triangleleft, \rho, \mu)$  is an  $a$ -relation, it is very easy to check:

- (i) For all  $p, q, r \in P$  with  $p \cong r \cong q$  and  $p \neq q$ :  
 $\rho(p, q) = 1$  implies  $r \triangleleft r$ .
- (ii) For all  $p, q \in P$  with  $p \cong q$ :  
 $\mu(q) = 1$  implies  $p \triangleleft p$ .

Now suppose that  $A$  is an  $\omega$ -topological tree. Note that the following hold:

- (i) Any infinite path of  $A$  is a nonaccessible set.
- (ii) For  $a \in A$  and  $n \in \omega$ , the set of all the points of the paths of origin  $a$  and length  $\leq n$  is accessible.

If  $a \in A$  the set of immediate successors of  $a$  is denoted by  $N(a)$ .

**Lemma 2** *Suppose that  $(P, \triangleleft, \rho, \mu)$  is an  $a$ -relation. Then, there is an  $\omega$ -topological tree  $A$  and a partition  $(A_p)_{p \in P}$  of  $A$  such that for every  $p, q \in P$  the following hold:*

- (a)  $A_q \rightarrow a$  for some  $a \in A_p$  implies  $A_q \rightarrow A_p$
- (b)  $A_q \rightarrow A_p$  iff  $p \triangleleft q$
- (c)  $A_q \rightarrow A_p$  implies  $A_q \xrightarrow{\rho(p,q)} A_p$
- (d)  $A_p$  accessible iff  $\mu(p) = 1$ .

*Proof:* We are going to construct pairwise disjoint sets  $A_p^n$  for  $p \in P$  and  $n \in \omega$  by induction on  $n$ .

If  $\mu(p) = 1$  and  $p$  is minimal,  $A_p^0$  is a nonempty finite set. If  $\mu(p) = 1$  and  $p$  is not minimal,  $A_p^0 = \emptyset$ . If  $\mu(p) = 0$ ,  $A_p^0$  is a denumerable infinite set.

Suppose that  $A_p^n$  is defined for all  $p \in P$ . Assume that  $p, q \in P$ ,  $p \triangleleft q$ , and  $a \in A_p^n$ . If  $\rho(p, q) = 1$ , we consider a denumerable infinite set  $A_{q,a}^n$ . We suppose that  $A_{q,a}^n \subset N(a)$ . If  $\rho(p, q) = 0$  we consider a denumerable infinite set  $A_{q,a}^{n,k}$  for each  $k \in \omega$ ; then, the following are assumed:

- (i)  $A_{q,a}^{n,0} \subset N(a)$
- (ii) For every  $b' \in A_{q,a}^{n,k+1}$  there is a  $b \in A_{q,a}^{n,k}$  such that  $b' \in N(b)$
- (iii) For every  $b \in A_{q,a}^{n,k}$  there is only a  $b' \in A_{q,a}^{n,k+1}$  with  $b' \in N(b)$ .

We put

$$A_{q,a}^n = \dot{\bigcup}_{k \in \omega} A_{q,a}^{n,k}.$$

For each  $q \in P$  we set

$$A_q^{n+1} = \dot{\bigcup} \{A_{q,a}^n : a \in A_p^n \text{ and } p \triangleleft q \text{ for some } p\}.$$

Suppose that  $a \in A_p^n$ . If  $n \geq 1$  and there are  $b$  and  $k$  such that  $a \in A_{p,b}^{n-1,k}$ , we consider the immediate successor  $a'$  of  $a$  in  $A_{p,b}^{n-1,k+1}$  and set

$$N(a) = \{a'\} \cup \bigcup_{\substack{p < q \\ \rho(p,q)=1}} A_{q,a}^n \cup \bigcup_{\substack{p < q \\ \rho(p,q)=0}} A_{q,a}^{n,0}$$

Otherwise,

$$N(a) = \bigcup_{\substack{p < q \\ \rho(p,q)=1}} A_{q,a}^n \cup \bigcup_{\substack{p < q \\ \rho(p,q)=0}} A_{q,a}^{n,0}$$

Now we put  $A_p = \bigcup_{n \in \omega} A_p^n$  and  $A = \bigcup_{p \in P} A_p$ .

If  $(P, <, \rho, \mu)$  is an  $a$ -relation and  $p \in P$  we define the  $n$ -type of  $p$  in  $P$ ,  $s_n(p, P)$ , by induction on  $n$  as follows:

$$\begin{aligned} s_0(p, P) &= *, \\ s_{n+1}(p, P) &= \{(\beta, \lambda_\beta) : \text{(a) the set } J \text{ of all } q \in P \text{ with } p < q \text{ and } s_n(q, P) = \\ &\quad \beta \text{ is nonempty, and (b) } \lambda_\beta = 0 \text{ if there is a } q \in J \text{ with } \rho(p, \\ &\quad q) = 0, \lambda_\beta = 1 \text{ otherwise}\}. \end{aligned}$$

Proceeding by induction on  $n$  it is easy to prove the following lemma.

**Lemma 3** *Let  $(P, <, \rho, \mu)$  be an  $a$ -relation. Suppose  $A$  is an  $\omega$ -topological tree with a partition  $(A_p)_{p \in P}$  satisfying (a)–(d) of Lemma 2. Then, for every  $p \in P$ ,  $a \in A_p$  and  $n \in \omega$  we have that  $s_n(a, A) = s_n(p, P)$ .*

**Theorem** *Suppose that  $S$  is a nonempty set of  $n$ -types and  $f: S \rightarrow \{0, 1\}$ . The following two conditions are equivalent:*

- (a) *There is a  $T_3$  space  $A$  with  $S = S_n(A)$  and such that, for every  $\gamma \in S$ ,  $f(\gamma) = 1$  iff  $A_\gamma$  is accessible.*
- (b) *There is an  $a$ -relation  $(S', <, \rho, \mu)$  such that:*
  - (i)  $S' \subset S_{n+1}$  and  $S = \{(\alpha)_n : \alpha \in S'\}$
  - (ii)  $\alpha = s_{n+1}(\alpha, S')$  for all  $\alpha \in S'$
  - (iii) For each  $\gamma \in S$ :  $f(\gamma) = 1$  iff  $\mu(\alpha) = 1$  for every  $\alpha \in S'$  with  $(\alpha)_n = \gamma$ .

*Proof:* By using Lemma 3, it is easy to prove that (b) implies (a).

Conversely, let  $A$  be a  $T_3$  space with  $S = S_n(A)$  and such that, for every  $\gamma \in S$ ,  $f(\gamma) = 1$  iff  $A_\gamma$  is accessible. Put

$$S' = S_{n+1}(A).$$

We define the binary relation  $\vdash$  on  $S'$  by

$$\alpha \vdash \beta \text{ iff } A_\beta \rightarrow a \text{ for some } a \in A_\alpha.$$

Let  $(\alpha_1, \dots, \alpha_k)$  be a finite sequence of  $n + 1$ -types of  $S'$  with  $k \geq 2$ . We say that  $(\alpha_1, \dots, \alpha_k)$  is a *chain* if  $\alpha_i \vdash \alpha_{i+1}$  for  $1 \leq i \leq k - 1$ .

If  $\alpha \vdash \beta$ , we define  $\rho'(\alpha, \beta)$  by

$$\rho'(\alpha, \beta) = \begin{cases} 0, & \text{if } A_\beta \xrightarrow{0} a \text{ for some } a \in A_\alpha. \\ 1, & \text{otherwise.} \end{cases}$$

If  $w = (\alpha_1, \dots, \alpha_k)$  is a chain, we define  $\rho'(w)$  by

$$\rho'(w) = \begin{cases} 0, & \text{if there is an } i \text{ with } 1 \leq i \leq k - 1 \text{ and } \rho'(\alpha_i, \alpha_{i+1}) = 0. \\ 1, & \text{otherwise.} \end{cases}$$

Now we introduce the transitive binary relation  $\triangleleft$  on  $S'$  as follows:

$\alpha \triangleleft \beta$  iff one of the following two conditions holds:

- (i) There is a chain  $w$  of the form  $(\alpha, \dots, \beta)$  with  $\rho'(w) = 0$ .
- (ii) There is a chain of the form  $(\alpha, \dots, \beta)$  and there is no chain of the form  $(\beta, \dots, \beta)$ .

If  $\alpha \triangleleft \beta$  we define  $\rho(\alpha, \beta)$  by

$$\rho(\alpha, \beta) = \begin{cases} 0, & \text{if there is a chain } w \text{ of the form } (\alpha, \dots, \beta) \\ & \text{with } \rho'(w) = 0. \\ 1, & \text{otherwise.} \end{cases}$$

Suppose that  $\alpha \in S'$  and  $\gamma \in S$ . We need the following four statements:

- (1) If  $\alpha \triangleleft \beta$ , then  $A_{(\beta)_n} \rightarrow A_\alpha$ .
- (2) If  $A_\gamma \rightarrow A_\alpha$ , then there is a  $\beta \in S'$  with  $(\beta)_n = \gamma$  and  $\alpha \triangleleft \beta$ .
- (3) If  $A_\gamma \xrightarrow{0} A_\alpha$ , then there is a  $\beta \in S'$  with  $(\beta)_n = \gamma$ ,  $\alpha \triangleleft \beta$  and  $\rho(\alpha, \beta) = 0$ .
- (4) If  $A_\gamma \xrightarrow{1} A_\alpha$ , then for any  $\beta \in S'$  with  $(\beta)_n = \gamma$  and  $\alpha \triangleleft \beta$  we have that  $\rho(\alpha, \beta) = 1$ .

Clearly, if  $\beta, \beta' \in S'$  and  $A_{(\beta)_n} \rightarrow a$  for some  $a \in A_{\beta'}$  then  $A_{(\beta)_n} \rightarrow A_{\beta'}$ . So, we obtain (1).

To verify (3), note that if  $A_\gamma \xrightarrow{0} A_\alpha$  then for every  $a \in A_\alpha$  there is a  $\beta \in S'$  with  $(\beta)_n = \gamma$  and  $A_\beta \xrightarrow{0} a$ .

By Lemma 1 (a) and (b) we see that if  $\alpha \triangleleft \beta$  and  $\rho(\alpha, \beta) = 0$  then  $A_{(\beta)_n} \xrightarrow{0} A_\alpha$ . Therefore, (4) holds.

To prove (2), we may assume that  $A_\gamma \xrightarrow{1} A_\alpha$  (otherwise, it would be enough to apply (3)). Consider

$$C = \{\beta \in S' : (\beta)_n = \gamma \text{ and there is a chain of the form } (\alpha, \dots, \beta)\}.$$

It is easy to see that  $C \neq \emptyset$ . Now we put

$$D = \{\beta \in S' : (\beta)_n = \gamma \text{ and } \alpha \triangleleft \beta\}.$$

Suppose that  $D = \emptyset$ . Then we would have that for every  $\beta \in C$  there is a chain of the form  $(\beta, \dots, \beta)$ . Thus, if  $\beta \in C$ ,

$$A_\gamma \rightarrow A_\beta.$$

Since  $A_\gamma \xrightarrow{1} A_\alpha$  and there is a chain of the form  $(\alpha, \dots, \beta)$ ,

$$A_\gamma \xrightarrow{1} A_\beta.$$

Therefore,

$$\bigcup_{\substack{\beta \vdash \beta' \\ (\beta')_n = \gamma}} A_{\beta'} \xrightarrow{1} A_\beta.$$

Consequently,

$$\bigcup_{\beta \in C} A_\beta \stackrel{1}{\mapsto} \bigcup_{\beta \in C} A_\beta,$$

which contradicts Lemma 1 (c).

We define  $\mu: S' \rightarrow \{0, 1\}$  as follows:

$$\mu(\alpha) = \begin{cases} 1, & \text{if } A_\alpha \text{ is accessible and for any } \alpha' \prec \alpha \text{ } A_{\alpha'} \text{ is accessible and} \\ & \rho(\alpha', \alpha) = 1. \\ 0, & \text{otherwise.} \end{cases}$$

So, if  $\alpha$  is minimal we have that  $\mu(\alpha) = 1$  iff  $A_\alpha$  is accessible.

Note that  $(S', \prec, \rho, \mu)$  is an  $a$ -relation and  $S = \{(\alpha)_n: \alpha \in S'\}$ . By (1) . . . (4) we can prove by induction on  $m$  that if  $m \leq n + 1$  and  $\alpha \in S'$ :

$$(\alpha)_m = s_m(\alpha, S').$$

Hence,

$$\alpha = s_{n+1}(\alpha, S') \quad \text{for every } \alpha \in S'.$$

One can check that if  $\gamma \in S$ :

$$A_\gamma \text{ accessible iff } \mu(\alpha) = 1 \text{ for all } \alpha \in S' \text{ with } (\alpha)_n = \gamma.$$

We immediately obtain from the theorem that there is an effective procedure to decide whether a given  $n$ -type is satisfiable in some  $T_3$  space. This result was announced in [2]. Note that, for any  $n$ -type  $\alpha$ , if  $\alpha$  is satisfiable in some  $T_3$  space then  $\alpha$  is satisfiable in some  $T_3$  space of  $a$ -finite type.

**Corollary** *There is an effective procedure to decide whether for  $S \subset S_0 \cup \dots \cup S_n$  with  $S \cap S_k \neq \emptyset$  ( $k \leq n$ ) and  $f: S \rightarrow \{0, 1\}$  there is a  $T_3$  space  $A$  such that:*

$$\begin{aligned} S \cap S_k &= S_k(A) \quad (k \leq n), \\ f(\gamma) &= 1 \text{ iff } A_\gamma \text{ accessible} \quad (\gamma \in S). \end{aligned}$$

*Proof:* If such a space  $A$  exists, for  $k < n$  we have:

- (i)  $S \cap S_k = \{(\alpha)_k: \alpha \in S \cap S_n\}$
- (ii) If  $\gamma \in S \cap S_k$ ,  
 $f(\gamma) = 1$  iff  $f(\alpha) = 1$  for every  $\alpha \in S \cap S_n$  with  $(\alpha)_k = \gamma$ .

**Remark:** If  $A$  is a  $T_3$  space,  $E_n^A: S_n \rightarrow \omega \cup \{\infty\}$  is defined in [2] by  $E_n^A(\alpha) =$  number of  $a \in A$  with  $s_n(a, A) = \alpha$ . By a method similar to the one we have been using, we can find an effective procedure to decide whether for  $h: S_n \rightarrow \omega \cup \{\infty\}$  and  $f: \{\gamma \in S_n: h(\gamma) \neq 0\} \rightarrow \{0, 1\}$  there is a  $T_3$  space  $A$  such that  $h = E_n^A$  and, for any  $\gamma \in S_n$  with  $h(\gamma) \neq 0$ ,  $f(\gamma) = 1$  iff  $A_\gamma$  is accessible. Then, in the definition of the accessibility relation, we have to include a function  $H: P \rightarrow \{n: n \geq 1\} \cup \{\infty\}$  such that for every  $p \in P$ :

- $p$  nonminimal implies  $H(p) = \infty$
- $p$  minimal implies  $(H(p) = \infty \text{ iff } \mu(p) = 0)$ .

## REFERENCES

- [1] Flum J. and M. Ziegler, *Topological Model Theory*, Lecture Notes in Mathematics 769, Springer-Verlag, Berlin, 1980.
- [2] Martinez, J. C., "Accessible sets and  $(L_{\omega_1, \omega})_I$ -equivalence for  $T_3$  spaces," *The Journal of Symbolic Logic*, vol. 49 (1984), pp. 961–967.

*Departamento de Ecuaciones Funcionales  
Facultad de Matemáticas  
Universidad Complutense  
28040 Madrid, Spain*