

## BCK and BCI Logics, Condensed Detachment and the 2-Property

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**Abstract** Some of the main properties of the BCK and BCI logics of implication are summarized, focusing on their connections with their condensed logics and with combinators and lambda-calculus. (A condensed logic is the set of all formulas deducible from the logic's axioms by the condensed detachment rule of Carew Meredith.) A full proof is given of the preservation of the 2- and 1-2-properties by condensed detachment, based on ideas of S. Jaskowski.

### *1 Introduction and notation*

**1.0 Introduction** This article is a summary of some of the main properties of implicational BCK and BCI logics, focusing on their connections with combinators and their condensed logics. (A condensed logic is the set of all formulas provable from the logic's axioms by Carew Meredith's rule of condensed detachment.)

The material outlined here will not be new; it will mainly be from the work of N. D. Belnap, M. W. Bunder, S. Hirokawa, and R. K. Meyer, but above all from that of S. Jaskowski.

The key definitions will be sketched in Section 1, then BCK-logic will be treated in Section 2 and BCI-logic in Section 3.

A proof of the preservation of the 2- and 1-2-properties by condensed detachment will be given in Section 4. It will be based on ideas from Jaskowski [24]. (A formula has the 2-property when each variable in it occurs exactly twice, and the 1-2 property when each variable in it occurs at most twice.) The first published proof of the preservation of the 2-property is in Belnap [3], which is probably not widely available now.

Most proofs other than the 2- and 1-2-preservation proof will be omitted. Detailed references to the literature will be included, however.

*Received December 10, 1990; revised January 15, 1992*

No attempt will be made to be comprehensive. BCK and BCI logics are at present under active study by several workers and significant new results have been discovered even in the short time since this paper was first drafted. (See the most recent papers in the References.) In particular, algebras related to BCK and BCI logics are outside the scope of this summary; so are connectives other than implication.

### 1.1 Propositional logic

**Basic notation 1.1.1** *Implicational propositional formulas* will be denoted by “ $\alpha$ ”, “ $\beta$ ”, “ $\gamma$ ”, “ $\delta$ ”, . . . . They will contain no constants, only variables (denoted by “ $a$ ”, “ $b$ ”, “ $c$ ”, “ $d$ ”, . . . ), and their only connective will be implication, “ $\rightarrow$ ”.

Syntactic identity of formulas will be denoted by “ $\equiv$ ”.

Each system to be discussed will use some of the following formulas as axioms:

- |      |   |   |
|------|---|---|
| (B)  | $(a \rightarrow b) \rightarrow ((c \rightarrow a) \rightarrow (c \rightarrow b))$ | (principal type of combinator <b>B</b> ,<br>see Notation 1.2.1) |
| (B') | $(a \rightarrow b) \rightarrow ((b \rightarrow c) \rightarrow (a \rightarrow c))$ | (principal type of <b>B'</b> or <b>CB</b> )                     |
| (C)  | $(a \rightarrow (b \rightarrow c)) \rightarrow (b \rightarrow (a \rightarrow c))$ | (principal type of <b>C</b> )                                   |
| (I)  | $a \rightarrow a$   | (principal type of <b>I</b> or <b>CKK</b> )                     |
| (K)  | $a \rightarrow (b \rightarrow a)$   | (principal type of <b>K</b> )                                   |
| (W)  | $(a \rightarrow (a \rightarrow b)) \rightarrow (a \rightarrow b)$                 | (principal type of <b>W</b> ).                                  |

The following two rules will be used:

- (i) *Modus Ponens* or *Detachment*: from  $\alpha \rightarrow \beta$  and  $\alpha$ , deduce  $\beta$ .
- (ii) *Substitution*: from  $\alpha$  deduce  $\sigma(\alpha)$ , where  $\sigma$  is any substitution of formulas for variables which do not occur in non-axiom assumptions in the deduction above  $\alpha$ .

**Definition 1.1.2** For any set  $\mathcal{A}$  of formulas,  $\mathcal{A}$ -*logic* is the set of all formulas (called  $\mathcal{A}$ -*theorems*) provable from members of  $\mathcal{A}$  (called  $\mathcal{A}$ -*axioms*) by Modus Ponens and Substitution. Iff  $\beta$  is an  $\mathcal{A}$ -theorem we say

$$\vdash_{\mathcal{A}} \beta,$$

and iff  $\beta$  is deducible from  $\mathcal{A}$ -axioms and all or some of  $\alpha_1, \dots, \alpha_n$  we say

$$\alpha_1, \dots, \alpha_n \vdash_{\mathcal{A}} \beta.$$

The special cases  $\mathcal{A} = \{(B),(C),(K)\}$  and  $\mathcal{A} = \{(B),(C),(I)\}$  are called *BCK-logic* and *BCI-logic*, respectively.

**Notation 1.1.3: Variables and substitution** The set of all variables occurring in a formula  $\alpha$  is called

$$\text{Vars}(\alpha).$$

Let  $a_1, \dots, a_k$  be distinct variables,  $\delta_1, \dots, \delta_k$  be any formulas, and  $\sigma$  be the operation of simultaneously substituting  $\delta_1, \dots, \delta_k$  for  $a_1, \dots, a_k$ , respectively; we shall use the notation

$$\sigma = [\delta_1/a_1, \dots, \delta_k/a_k], \quad \sigma(\alpha) = [\delta_1/a_1, \dots, \delta_k/a_k]\alpha.$$

We shall say the *domain* of  $\sigma$  is the set  $\{a_1, \dots, a_k\}$  and the *range* of  $\sigma$  is the set of all variables occurring in  $\delta_1, \dots, \delta_k$ . The following notation from the literature will also be used.

(i)  $\sigma$  is an *alphabetic* or *trivial variation* of  $\alpha$  (and  $\sigma(\alpha)$  is an *alphabetic* or *trivial variant* of  $\alpha$ ) iff  $\{a_1, \dots, a_k\} \supseteq \text{Vars}(\alpha)$  and  $\delta_1, \dots, \delta_k$  are mutually distinct variables.

(ii)  $\sigma$  is a *purely structural substitution* or a *structuring* relative to  $\alpha$  iff  $\{a_1, \dots, a_k\} \supseteq \text{Vars}(\alpha)$  and every variable occurring in  $\delta_1, \dots, \delta_k$  occurs only in one of  $\delta_1, \dots, \delta_k$ , say in  $\delta_i$ , and only occurs once in  $\delta_i$ .

(iii)  $\sigma$  is a *variables-for-variables substitution* or an *identification* iff  $\delta_1, \dots, \delta_k$  are variables.

(iv)  $\sigma$  is a *unifier* of a pair  $\{\alpha_1, \alpha_2\}$  of formulas iff  $\sigma(\alpha_1) \equiv \sigma(\alpha_2)$ .

(v)  $\sigma$  is a *most general unifier* of  $\{\alpha_1, \alpha_2\}$  iff

(a)  $\sigma$  is a unifier of  $\{\alpha_1, \alpha_2\}$ , and

(b) every other unifier  $\sigma'$  of  $\{\alpha_1, \alpha_2\}$  *factors through*  $\sigma$  in the sense that

$$\sigma'(\alpha_1) \equiv \sigma''(\sigma(\alpha_1)), \quad \sigma'(\alpha_2) \equiv \sigma''(\sigma(\alpha_2))$$

for some  $\sigma''$  depending on  $\sigma'$ .

**Lemma 1.1.4** *For every formula  $\alpha$  and substitution  $\sigma$  we can find a purely structural substitution  $\sigma_{\text{struc}}$  relative to  $\alpha$  and a variables-for-variables substitution  $\sigma_{\text{var}}$  such that  $\sigma_{\text{var}}(\sigma_{\text{struc}}(\alpha)) \equiv \sigma(\alpha)$ . Also the range of  $\sigma_{\text{struc}}$  can be made disjoint from any given finite set of variables.*

*Proof:* ([24] Lemma 2.1) Let  $a_1, \dots, a_k$  be the variables in  $\alpha$  and let

$$\sigma(\alpha) \equiv [\delta_1/a_1, \dots, \delta_k/a_k]\alpha.$$

Construct formulas  $\delta_1^*, \dots, \delta_k^*$  from  $\delta_1, \dots, \delta_k$  by replacing each variable-occurrence in each  $\delta_i$  by a distinct new variable. Then define

$$\sigma_{\text{struc}} = [\delta_1^*/a_1, \dots, \delta_k^*/a_k].$$

**Definition 1.1.5: The condensed detachment rule (Rule D)** This rule was introduced by Carew Meredith in the 1950's and is outlined as follows. (For details, see Hindley and Meredith [17] §2.)

Premises: any pair of formulas  $\alpha \rightarrow \beta, \gamma$ . To find a conclusion, first change  $\gamma$  by alphabetic variation to a  $\gamma'$  with no variables in common with  $\alpha$ . Then seek a unifier of  $\{\alpha, \gamma'\}$ . (If none exists, there is no conclusion.) If a unifier exists, let  $\sigma$  be any most general unifier which satisfies the condition

$$\text{Vars}(\sigma(\alpha)) \cap [\text{Vars}(\beta) - \text{Vars}(\alpha)] = \emptyset.$$

Conclusion:  $\sigma(\beta)$ .

The conclusion is easily shown to be unique modulo alphabetic variation and will be called here

$$D((\alpha \rightarrow \beta), \gamma).$$

**Definition 1.1.6: Condensed logics** For any set  $\mathcal{A}$  of formulas, *condensed  $\mathcal{A}$ -logic* is the set of all formulas provable from members of  $\mathcal{A}$  by Rule D.

(Clearly, condensed  $\mathcal{A}$ -logic is a subset of  $\mathcal{A}$ -logic; for further discussion see [17] §7.)

**Definition 1.1.7: The 2- and 1-2-properties** A formula  $\alpha$  has the *2-property* [*1-2-property*] iff each variable in  $\alpha$  occurs exactly twice [at most twice].

**Theorem 1.1.8: Preservation of 1-2 and 2** *Rule D preserves the 1-2- and 2-properties. That is, if  $D((\alpha_2 \rightarrow \beta_2), \alpha_1)$  exists, then:*

- (i) *if  $\alpha_1$  and  $(\alpha_2 \rightarrow \beta_2)$  have the 1-2-property, then so does  $D((\alpha_2 \rightarrow \beta_2), \alpha_1)$ ;*
- (ii) *if  $\alpha_1$  and  $(\alpha_2 \rightarrow \beta_2)$  have the 2-property, then so does  $D((\alpha_2 \rightarrow \beta_2), \alpha_1)$ .*

*Proof:* See Section 4, Theorems 4.5 and 4.6.

## 1.2 Combinators

**Notation 1.2.1** Combinatory logic and  $\lambda$ -calculus are formal theories of operators; in each theory there is a set of *terms* (CL-terms or  $\lambda$ -terms) and a *reducibility relation*  $\triangleright$ . For more details see Hindley and Seldin [18]; Chapter 2 for CL and Chapter 1 for  $\lambda$ -calculus. A short outline of CL is in [17] §§3–4 and a thorough treatment of  $\lambda$ -calculus is in Barendregt [2]. We shall just note some particularly relevant points here.

In both CL and  $\lambda$ -calculus a *combinator* is a term without free variables. In both theories one can define combinators **B**, **B'**, **C**, **I**, **K**, **W** with the reduction properties

$$\begin{aligned} \mathbf{B}XYZ \triangleright X(YZ), \quad \mathbf{B}'XYZ \triangleright Y(XZ), \quad \mathbf{C}XYZ \triangleright XZY, \\ \mathbf{I}X \triangleright X, \quad \mathbf{K}XY \triangleright X, \quad \mathbf{W}XY \triangleright XYY. \end{aligned}$$

The  $\triangleright$ -relations in CL and  $\lambda$ -calculus do not correspond exactly; reducibility in CL is called *weak reducibility* ( $\triangleright_w$ ) and reducibility in  $\lambda$ -calculus  *$\beta$ -reducibility* ( $\triangleright_{\lambda\beta}$ ).

A weak reducibility relation  $\triangleright_{\lambda w}$  can be defined in  $\lambda$ -calculus, see Hindley [16] §3; it is weaker than  $\triangleright_{\lambda\beta}$  in the sense that  $X \triangleright_{\lambda w} Y$  implies  $X \triangleright_{\lambda\beta} Y$  but not conversely.

In CL and  $\lambda$ -calculus a (*weak* or  *$\beta$ -*) *normal form* is an irreducible term.

**Definition 1.2.2** In CL a **BCK-combinator** is any combinator built using occurrences of **B**, **C**, **K** only. Similarly: **BCI-combinators**, **BB'I-combinators**, etc.

In  $\lambda$ -calculus a **BCK- $\lambda$ -term** is a  $\lambda$ -term  $T$  such that for each subterm  $\lambda x.M$  of  $T$ , the  $M$  contains at most one free occurrence of  $x$ . A **BCK- $\lambda$ -term** with no free variables is called a **BCK-combinator**.

A **BCI- $\lambda$ -term** is a  $\lambda$ -term  $T$  such that for each subterm  $\lambda x.M$  of  $T$ , the  $M$  contains exactly one free occurrence of  $x$ . A **BCI- $\lambda$ -term** with no free variables is called a **BCI-combinator**.

There is a precise correspondence between **BCK-combinators** in CL and those in  $\lambda$ -calculus (and similarly for **BCI**); see [16] §1.

**Notation 1.2.3: Type assignment** *Types* are just formulas as defined in Basic Notation 1.1.1. The variables in types will often be called *type-variables* to contrast with variables that occur in terms.

Types are assigned to certain CL-terms and  $\lambda$ -terms by certain axioms and

rules; for details see [18], Chapter 14 for CL and Chapter 15 for  $\lambda$ -calculus. For a term  $X$  whose free variables are among  $x_1, \dots, x_n$ , the notation

$$x_1 : \alpha_1, \dots, x_n : \alpha_n \vdash X : \beta$$

means that if we assign type  $\alpha_1$  to  $x_1, \dots, \alpha_n$  to  $x_n$  (and  $x_1, \dots, x_n$  are distinct), then we can deduce by the type-assignment rules that  $X$  receives type  $\beta$ . If we can make such a deduction, we call  $X$  *typable* or *stratified*.

It can be shown that each typable term receives a *principal type* (or *principal type-scheme* or *p.t.s.*) of which all its other types are substitution instances. For details see Hindley [15], summarized in [17] §6. The principal types of **B**, **B'**, **C**, **I**, **K**, **W** turn out to be just the formulas (B), (B'), (C), (K), (I), (W) in Basic Notation 1.1.1 above.

It can also be shown that if  $x_1 : \alpha_1, \dots, x_n : \alpha_n \vdash X : \beta$  and  $x_1, \dots, x_n$  are exactly the variables free in  $X$ , then there is a *principal deduction* giving, say,

$$x_1 : \gamma_1, \dots, x_n : \gamma_n \vdash X : \delta,$$

such that every other deduction of a type for  $X$  from types for  $x_1, \dots, x_n$  is obtainable from the principal one by substituting types for type-variables.

**Theorem 1.2.4: Strong normalization** *In CL and  $\lambda$ -calculus every typable term  $X$  reduces to a normal form. Further, all reductions starting at  $X$  are finite.*

*Proof:* [18] Appendix 2, or other standard texts.

**Theorem 1.2.5: Subject reduction** *In CL and  $\lambda$ -calculus, types propagate down a reduction; i.e., if*

$$x_1 : \alpha_1, \dots, x_n : \alpha_n \vdash X : \beta$$

and  $X \triangleright Y$ , then

$$x_1 : \alpha_1, \dots, x_n : \alpha_n \vdash Y : \beta.$$

*Proof:* [18] Chs. 14, 15, or other standard texts.

## 2 BCK-logic

### 2.1 Basics

**Note 2.1.1** Roughly speaking, BCK-logic is the fragment of intuitionist logic in which an assumption  $\alpha$  may be used at most once in a proof of  $\alpha \rightarrow \beta$ . The first study of a logic with this restriction seems to have been Fitch [13]. We shall only look at the implicational fragment of BCK-logic here.

**Definition 2.1.2** **BCK-logic** (strictly speaking, the implicational fragment of BCK-logic) is the set of all formulas (as in Basic Notation 1.1.1) provable by the rules of Modus Ponens and Substitution from the following axioms:

$$\text{(B)} \quad (a \rightarrow b) \rightarrow ((c \rightarrow a) \rightarrow (c \rightarrow b)),$$

$$\text{(C)} \quad (a \rightarrow (b \rightarrow c)) \rightarrow (b \rightarrow (a \rightarrow c)),$$

$$\text{(K)} \quad a \rightarrow (b \rightarrow a).$$

**Theorem 2.1.3: Deduction theorem** *If  $\alpha, \delta_1, \dots, \delta_n \vdash_{\text{BCK}} \beta$  by a deduction in which  $\alpha$  occurs as a non-axiom assumption at most once, then*

$$\delta_1, \dots, \delta_n \vdash_{\text{BCK}} (\alpha \rightarrow \beta).$$

*Proof:* Straightforward; Cf. [17] Theorem 1.12.

**Discussion 2.1.4: Relations to other logics** (i) The restriction on the use of  $\alpha$  in the Deduction Theorem ties in with Lemma 2.3.3(iii) below which says, in effect, that in BCK-logic an assumption  $\alpha$  can be used at most once in a proof of  $\alpha \rightarrow \beta$ . This makes BCK-logic much weaker than intuitionist and classical logics and allows it to serve as the base for a type-free mathematics in the style of the early work of Church and Curry. Church and Curry started their work using stronger logics and found their way blocked by contradictions, but if we weaken the underlying logic by forbidding multiple use of assumptions, the contradictions disappear and we can develop type-free higher-order mathematical systems and prove them consistent. (Some examples are in Fitch [13], Bunder [6], Bunder [7], Bunder and da Costa [11], and Komori [26].)

(ii) For implicational fragments we have

$$\text{Classical logic} \supset \text{intuitionist logic} \supset \text{BCK-logic}.$$

(Intuitionist implicational logic is known to coincide with BCKW-logic.)

(iii) The following two formulas are easily proved in BCK-logic, in fact in condensed BCK-logic (see later).

- (I)  $a \rightarrow a$  (principal type of combinator **CKK**),  
 (B')  $(a \rightarrow b) \rightarrow ((b \rightarrow c) \rightarrow (a \rightarrow c))$  (principal type of **CB**).

In contrast, the following is not provable in BCK-logic (cf. 2.1.4(i) above):

- (W)  $(a \rightarrow (a \rightarrow b)) \rightarrow (a \rightarrow b)$  (principal type of **W**).

**References 2.1.5** A passing mention of BCK-logic is made in Curry and Feys [12] §9F5, p. 338. Some more substantial references are: Meredith and Prior [28] §8 (summarized in Prior [32], p. 316 §12.71); Blok and Pigozzi [5] §5.2.3; Bunder [10]; also the references in 2.1.4(i) above, and all titles containing “BCK” in the references at the end of this paper.

## 2.2 Characterization of BCK-provability

**Theorem 2.2.1: Characterization** *A formula  $\alpha$  is BCK-provable iff  $\alpha$  is the result of a variables-for-variables substitution in a classical tautology  $\beta$  which has the 1-2-property.*

*Proof:* [24] §6.

**Corollary 2.2.2** ([24] Lemma 6.1) *Each classical tautology with the 1-2-property is BCK-provable.*

**Corollary 2.2.3** *For formulas  $\alpha$  with the 1-2-property, classical logic is no stronger than BCK logic: i.e.,*

$\alpha$  is a classical tautology  $\Leftrightarrow \alpha$  is provable in intuitionist logic  
 $\Leftrightarrow \alpha$  is provable in BCK-logic.

*Proof:* BCK  $\Rightarrow$  Int  $\Rightarrow$  Class, trivially. Class  $\Rightarrow$  BCK by Corollary 2.2.2.

**Theorem 2.2.4: Decidability of BCK** *There is a decision procedure for BCK-provability which outputs a proof of each provable formula.*

*Proof:* [24] Theorem 6.5.

### 2.3 Correspondence between BCK-logic and combinators

**Note 2.3.1** The connection between systems of implicational logic and systems of combinators was probably known to Curry since the 1930's. The main features of the correspondence between BCK-logic and combinators will be outlined here. For further details see [17] and [16].

**Definition 2.3.2: BCK-abstraction in CL** Let  $x$  be a term-variable. For each CL-term  $Y$  in which  $x$  occurs at most once, a CL-term called  $\lambda^{abde}x.Y$  is defined thus (algorithm (abde) of [12] §6A):

- (a)  $\lambda^{abde}x.Y \equiv \mathbf{K}Y$  if  $x$  does not occur in  $Y$ ;
- (b)  $\lambda^{abde}x.x \equiv \mathbf{I}$ ;
- (d)  $\lambda^{abde}x.UV \equiv \mathbf{B}U(\lambda^{abde}x.V)$  if  $x$  occurs in  $V$  but not in  $U$ ;
- (e)  $\lambda^{abde}x.UV \equiv \mathbf{C}(\lambda^{abde}x.U)V$  if  $x$  occurs in  $U$  but not in  $V$ .

#### Lemma 2.3.3

- (i)  $\lambda^{abde}x.Y$  does not contain  $x$ .
- (ii)  $(\lambda^{abde}x.Y)x \triangleright_w Y$ .
- (iii) If  $x$  occurs in  $Y$  more than once, then there is no way to define abstraction with respect to  $x$  using only **B**, **C**, **K**; i.e., there is no term  $Y^*$ , composed only of **B**, **C**, **K** and parts of  $Y$  other than  $x$ , with the property  $Y^*x \triangleright_w Y$ .

*Proof:* (i)–(ii) are straightforward, cf. [12] §6A, Theorem 2. For (iii): the reductions for **B**, **C**, **K** in Basic Notation 1.1.1 cannot change the single occurrence of  $x$  in  $Y^*x$  to multiple occurrences in  $Y$ .

**Theorem 2.3.4: Abstraction and p.t.s.** *Abstraction by algorithm (abde) preserves principal types. That is, if  $x, y_1, \dots, y_m$  include all the variables free in  $Y$  and  $x$  occurs at most once in  $Y$ , and there is a principal deduction giving*

$$x : \alpha, y_1 : \delta_1, \dots, y_m : \delta_m \vdash Y : \beta,$$

*then there is a principal deduction giving*

$$y_1 : \delta_1, \dots, y_m : \delta_m \vdash \lambda^{abde}x.Y : (\alpha \rightarrow \beta).$$

*Proof:* Straightforward case-checking in the definition of  $\lambda^{abde}x.Y$ .

**Theorem 2.3.5: Typability for BCK** *In CL and  $\lambda$ -calculus all BCK-combinators are typable.*

*Proof:* [16] Corollary 4.1.1.

**Theorem 2.3.6: Subject-conversion for BCK**

- (i) *If  $X$  and  $Y$  are BCK-combinators and  $X \triangleright Y$  by weak reduction in CL or  $\lambda$ -calculus, then  $X$  and  $Y$  receive exactly the same types and hence have the same principal types.*
- (ii) *In CL and  $\lambda$ -calculus, all reductions of a BCK-combinator terminate.*
- (iii) *In CL the principal type of a BCK-combinator is the same as that of its weak normal form.*

*Proof:*

- (i) In  $\lambda$ -calculus this is [16] Theorem 3.8. In CL, note that every type of  $X$  is a type of  $Y$  by Theorem 1.2.5. To prove the converse, note that by Theorem 2.3.5 all BCK-combinators are typable, so any subterms of  $X$  that are cancelled in the reduction  $X \triangleright_w Y$  are typable. Hence we can apply the subject-expansion theorem in [12] §9C4.
- (ii) Reducing a BCK-combinator does not duplicate any parts of terms, so terms shorten as they are reduced.
- (iii) By (i).

**Warning 2.3.7** In  $\lambda$ -calculus Theorem 2.3.6(i) and (iii) fail for  $\triangleright_{\lambda\beta}$ , and the principal type of a BCK-combinator may differ from that of its  $\beta$ -normal form; see D. Meredith's counter-example in [16] §3.4.

**Note 2.3.8** The study of BCK-combinators and their types is by no means complete; for further results see especially the papers by Bunder, Hirokawa, and Komori in the References.

## 2.4 Condensed BCK-logic

**Definition 2.4.1** Condensed BCK-logic is the set of all formulas provable from (B), (C), and (K) by Rule D. These formulas are called *condensed BCK-theorems*.

**Theorem 2.4.2** *The condensed BCK-theorems are exactly the principal types of BCK-combinators.*

*Proof:* [17] Theorem 6.7.

**Theorem 2.4.3** *If  $\alpha$  is a condensed BCK-theorem, then so is every formula obtained from  $\alpha$  by a purely structural substitution.*

*Proof:* Meyer and Bunder [30] show that this holds for every logic that extends BB'I-logic, and BCK extends BB'I by 2.1.4(iii).

**Theorem 2.4.4: The BCK-1-2 theorem** *Every condensed BCK-theorem has the 1-2-property.*

*Proof:* Axioms (B), (C), (K) clearly have the 1-2-property. And by Theorem 1.1.8(i), Rule D preserves it.

**Theorem 2.4.5: Uniqueness (Hirokawa [19])** *In  $\lambda$ -calculus, for each type  $\alpha$  there is at most one BCK-combinator in  $\beta$ -normal form whose principal type is  $\alpha$ .*



### 2.5 Relation between BCK and condensed BCK

**Note 2.5.1** Every BCK-theorem is a substitution instance of a condensed BCK-theorem (because all the types of a combinator are instances of its principal type). But further, we have the following.

**Theorem 2.5.2** *Every BCK-theorem is obtainable from a condensed BCK-theorem by a variables-for-variables substitution.*

*Proof:* By Theorem 2.4.3 and Lemma 1.1.4. (Another proof is in Bunder [10].)

**Warning 2.5.3** It is tempting to conjecture that a BCK-theorem is a condensed BCK-theorem iff it has the 1-2-property. But this is false; for example, the formula

$$\alpha \equiv a \rightarrow (a \rightarrow (b \rightarrow b))$$

has the 1-2-property and is a BCK-theorem, being a type of  $\mathbf{K(KI)}$ , but it is not a condensed BCK-theorem. (If  $\alpha$  was a p.t.s. of a BCK-combinator  $X$ , then it would be a p.t.s. of the  $\beta$ -normal form of  $X$ . But this is impossible, because by Ben-Yelles [4] Chapter 3 the only  $\beta$ -normal form with type  $\alpha$  is  $\lambda xyz.z$ , for which this type is not a p.t.s.)

**Theorem 2.5.4: Incompleteness of D** *Rule D is not complete for BCK-logic, i.e., there are BCK-theorems that are not condensed BCK-theorems.*

*Proof:* By 2.5.3 above.

## 3 BCI-logic

### 3.1 Definition and basics

**Note 3.1.1** BCI-logic seems to have been first studied in the 1950's by Carew Meredith along with BCK-logic. Roughly speaking, a proof of  $\alpha \rightarrow \beta$  is a BCI-proof iff the assumption  $\alpha$  is used exactly once in it. Here we shall be concerned with implication only.

**Definition 3.1.2** BCI-logic (or strictly speaking, its implicational fragment) is the set of all formulas provable by Modus Ponens and Substitution (see Basic Notation 1.1.1) from the axioms

$$(B) \quad (a \rightarrow b) \rightarrow ((c \rightarrow a) \rightarrow (c \rightarrow b)),$$

$$(C) \quad (a \rightarrow (b \rightarrow c)) \rightarrow (b \rightarrow (a \rightarrow c)),$$

$$(I) \quad a \rightarrow a.$$

**Theorem 3.1.3: Deduction theorem** *If  $\alpha, \delta_1, \dots, \delta_n \vdash_{\text{BCI}} \beta$  by a deduction in which  $\alpha$  occurs as a non-axiom assumption exactly once, then*

$$\delta_1, \dots, \delta_n \vdash_{\text{BCI}} (\alpha \rightarrow \beta).$$

*Proof:* Cf. [17] Theorem 1.12.

**Discussion 3.1.4: Relations to other logics** (i) This theorem ties in with Lemma 3.3.3(iv) below which says in effect that in BCI-logic a premise  $\alpha$  can-

not be used more than once in a proof of  $\alpha \rightarrow \beta$  (and must be used at least once). The condition that  $\alpha$  must be used at least once implies that BCI-logic is a restriction of Church’s relevance logic  $\mathbf{R}_\rightarrow$ , whose axioms are (B), (C), (I), (W). (See Anderson and Belnap [1] Chapter 1 §3; the theorems of  $\mathbf{R}_\rightarrow$  are exactly the types of  $\lambda\mathbf{I}$ -combinators.)

(ii) By 2.1.4(iii) the formula (I) is a BCK-theorem and (B’) is a BCI-theorem, so

$$\text{BCK-logic} \supset \text{BCI-logic} \supset \text{BB'I-logic}.$$

(iii) The system called Linear Logic developed in the 1980’s by J-Y. Girard as part of his analysis of the concept of computation contains an implication concept satisfying the condition that a premise  $\alpha$  must be used exactly once in a proof of  $\alpha \rightarrow \beta$ . Implicational BCI-logic is in fact a fragment of Linear Logic, though a very small fragment.

**References 3.1.5** The earliest published results on BCI-logic are in [28] §7, where they are attributed to work of Meredith done in 1956 and presumably not published then. They are summarized in [32] p. 316 §12.7. For later work see Meredith [29], Blok and Pigozzi [5] §5.2.3, and the references in the present Section 3.

### 3.2 Characterization of BCI-provability

**Theorem 3.2.1: Characterization** *A formula  $\alpha$  is BCI-provable iff  $\alpha$  is the result of a variables-for-variables substitution in a formula  $\beta$  which is a C’-tautology (see below) with the 2-property.*

*Proof:* Jaskowski [24] §6.

**Definition 3.2.2** ([24] §3) *A C’-tautology is a formula that takes the values T or  $\frac{1}{2}$  but not F, for each valuation of its variables, when “ $\rightarrow$ ” is interpreted by the following matrix:*

$\rightarrow$	T	$\frac{1}{2}$	F
T	T	F	F
$\frac{1}{2}$	T	$\frac{1}{2}$	F
F	T	T	T

**Theorem 3.2.3: C’ and Classical Tautologies** *A formula  $\alpha$  with the 1-2-property is a C’-tautology iff*

- (i)  $\alpha$  is a classical tautology with the 2-property, and
- (ii)  $\alpha$  is not the result of a variables-for-variables substitution in a classical tautology without the 2-property.

*Proof:* [24] Theorem 6.3.

**Claim 3.2.4** *No variable occurs just once in a C’-tautology, so every C’-tautology with the 1-2-property has the 2-property.*

*Proof:* (M. W. Bunder, informal communication.) Let  $v$  occur only once in  $\alpha$ . By [24] Lemma 3.4, if  $v$  is given the value T and all other variables the value  $\frac{1}{2}$ , then  $\alpha$  gets the value F or T according as the position of  $v$  is negative or positive in  $\alpha$ . The following dual lemma is also easy to prove: if  $v$  is given the value F and all other variables the value  $\frac{1}{2}$ , then  $\alpha$  gets the value F or T according as the position of  $v$  is positive or negative in  $\alpha$ . By these two lemmas,  $\alpha$  is not a  $C'$ -tautology.

**Warning 3.2.5** The use of  $C'$ -tautologies in the Characterization Theorem 3.2.1 could not be replaced by that of classical tautologies, as not every classical tautology with the 2-property is a BCI-theorem.

*Proof:* Consider the formula

$$a \rightarrow (a \rightarrow (b \rightarrow b)).$$

If this was a BCI-theorem, it would be a type in  $\lambda$ -calculus of a **BCI**-combinator  $X$ , and hence of its  $\beta$ -normal form  $X^*$ . But the only  $\beta$ -normal form with this type is  $\lambda xyz.z$  (see Warning 2.5.3), and this is not a **BCI**-combinator.

**Theorem 3.2.6: Axiomatization of  $C'$**  *The following are equivalent:*

- (i)  $\alpha$  is a  $C'$ -tautology;
- (ii)  $\alpha$  is provable in the implicative fragment  $\mathbf{RM}_\rightarrow$  of the logic  $\mathbf{RM}$  of [1] §8.15;
- (iii)  $\alpha$  is provable by Modus Ponens and Substitution from the axioms (B), (C), (I), (W) and the following:

$$\mathbf{(M)} \quad a \rightarrow (a \rightarrow a) \quad (\text{the "mingle" axiom}),$$

$$\mathbf{(*)} \quad (((a \rightarrow b) \rightarrow b) \rightarrow a) \rightarrow c \rightarrow (((b \rightarrow a) \rightarrow a) \rightarrow b) \rightarrow c.$$

*Proof:* (i)  $\Leftrightarrow$  (ii): [1] §29.3.2 (by R. K. Meyer).

(ii)  $\Rightarrow$  (iii): By Meyer and Parks [31],  $\mathbf{RM}_\rightarrow$  is axiomatizable by (B'), (W), (\*) and

$$\mathbf{(CI)} \quad a \rightarrow ((a \rightarrow b) \rightarrow b).$$

But (CI) is the p.t.s. of **CI** and (B') is the p.t.s. of **CB**, so (B'), (W), (\*), and (CI) are provable from (B), (C), (I), (W), (M), (\*).

(iii)  $\Rightarrow$  (ii): (B), (C), (I), and (M) are easily seen to be  $C'$ -tautologies; hence, since (i)  $\Leftrightarrow$  (ii), they are  $\mathbf{R}_\rightarrow$ -theorems. Also (W) and (\*) are  $\mathbf{R}_\rightarrow$ -theorems by the result in Meyer and Parks [31] quoted above.

**Theorem 3.2.7: Decidability of BCI** *There is a decision procedure for BCI-provability which outputs a proof of each provable formula.*

*Proof:* [24] Theorem 6.5.

### 3.3 Corresponding combinators

**Note 3.3.1** A formula is BCI-provable iff it is a type of a **BCI**-combinator. (See [17] and [16] for details.)

**Definition 3.3.2: BCI-abstraction in CL** Let  $x$  be a term-variable. For each CL-term  $Y$  in which  $x$  occurs exactly once, a CL-term called  $\lambda^{bde}x.Y$  is defined by algorithm (*bde*) of [12] §6A thus.

- (b)  $\lambda^{bde}x.x \equiv \mathbf{I}$ ;
- (d)  $\lambda^{bde}x.UV \equiv \mathbf{BU}(\lambda^{bde}x.V)$  if  $x$  occurs in  $V$  but not in  $U$ ;
- (e)  $\lambda^{bde}x.UV \equiv \mathbf{C}(\lambda^{bde}x.U)V$  if  $x$  occurs in  $U$  but not in  $V$ .

**Lemma 3.3.3**

- (i)  $\lambda^{bde}x.Y$  does not contain  $x$ ;
- (ii)  $\lambda^{bde}x.Y$  is defined iff  $x$  occurs in  $Y$  exactly once;
- (iii) Further, if  $x$  does not occur in  $Y$  exactly once, then there is no way to define abstraction with respect to  $x$  using only  $\mathbf{B}, \mathbf{C}, \mathbf{I}$ ; i.e., there is no term  $Y^*$ , composed only of  $\mathbf{B}, \mathbf{C}, \mathbf{I}$  and parts of  $Y$  other than  $x$ , with the property that  $Y^*x \triangleright_w Y$ .

*Proof:*

- (i)–(ii) Cf. [12] §6A Theorem 2.
- (iii) The reductions for  $\mathbf{B}, \mathbf{C}, \mathbf{I}$  in Basic Notation 1.1.1 cannot cancel or duplicate the single occurrence of  $x$  in  $Y^*x$ .

**Theorem 3.3.4: Abstraction and p.t.s.** Abstraction by algorithm (*bde*) preserves principal types. That is, if  $x, y_1, \dots, y_m$  include all the variables free in  $Y$  and  $x$  occurs exactly once in  $Y$ , and there is a principal deduction giving

$$x : \alpha, y_1 : \delta_1, \dots, y_m : \delta_m \vdash Y : \beta,$$

then there is a principal deduction giving

$$y_1 : \delta_1, \dots, y_m : \delta_m \vdash \lambda^{bde}x.Y : (\alpha \rightarrow \beta).$$

*Proof:* Special case of Theorem 2.3.4.

**Theorem 3.3.5: Typability for BCI** ([16] §5) In CL and  $\lambda$ -calculus all **BCI-combinators** are typable.

**Theorem 3.3.6: Subject-conversion for BCI**

- (i) Let  $X$  and  $Y$  be **BCI-combinators**. If  $X \triangleright_w Y$  in CL or  $X \triangleright_{\lambda\beta} Y$  in  $\lambda$ -calculus, then  $X$  and  $Y$  receive exactly the same types and hence have the same p.t.s.
- (ii) In CL and  $\lambda$ -calculus, all reductions of a **BCI-combinator** terminate.
- (iii) The p.t.s. of a **BCI-combinator** is the same as that of its normal form (weak normal form in CL,  $\beta$ -normal form in  $\lambda$ -calculus).

*Proof:*

- (i) In  $\lambda$ -calculus this is [16] Theorem 5.1. In CL, the subject-expansion theorem in [12] §9C4 applies, since reducing a **BCI-combinator** does not duplicate or cancel any terms.
- (ii) By Theorem 2.3.6(ii).
- (iii) By (i).

### 3.4 Condensed BCI-logic

**Definition 3.4.1** Condensed BCI-logic is the set of all formulas provable from (B), (C), and (I) by Rule D (Definition 1.1.5). These formulas are called *condensed BCI-theorems*.

**Theorem 3.4.2** *The condensed BCI-theorems are exactly the principal types of BCI-combinators.*

*Proof:* [17] Theorem 6.7.

**Theorem 3.4.3** *If  $\alpha$  is a condensed BCI-theorem then so is every formula obtained from  $\alpha$  by a purely structural substitution.*

*Proof:* [30].

**Theorem 3.4.4: The BCI-2 Theorem** *Every condensed BCI-theorem has the 2-property.*

*Proof:* The axioms (B), (C), (I) clearly have it and Rule D preserves it by Theorem 1.1.8(ii).

**Theorem 3.4.5: Decidability of condensed BCI** *There is a decision procedure for provability in condensed BCI-logic which outputs a proof of each provable formula.*

*Proof:* Theorems 3.2.7 and 3.5.3.

**Theorem 3.4.6: Characterization of condensed BCI** *The theorems of condensed BCI-logic are exactly the C'-tautologies with the 2-property.*

*Proof:* Theorems 3.2.1 and 3.5.3.

**Note 3.4.7** An alternative characterization of condensed BCI-theorems is in Hirokawa [20] Theorem 3.

### 3.5 Relation between BCI and condensed BCI

**Note 3.5.1** Every BCI-theorem is a substitution instance of a condensed BCI-theorem (just as all the types of a combinator are instances of its p.t.s.). More strongly, we have the following.

**Theorem 3.5.2** *Every BCI-theorem is obtainable from a condensed BCI-theorem by a variables-for-variables substitution.*

*Proof:* By Theorem 3.4.3 and Lemma 1.1.4. (Another proof is in [10].)

**Theorem 3.5.3** *A BCI-theorem is a condensed BCI-theorem iff it has the 2-property.*

*Proof:* (Cf. Meyer and Bunder [30]) By [3] every condensed BCI theorem has the 2-property. For the converse, let  $\alpha$  be a BCI-theorem with the 2-property. By Theorem 3.5.2,  $\alpha$  is obtainable by a variables-for-variables substitution from a condensed BCI-theorem  $\alpha^*$ , but by [3]  $\alpha^*$  has the 2-property as well as  $\alpha$ , so  $\alpha^*$  must be just an alphabetic variant of  $\alpha$ .

**Theorem 3.5.4: Incompleteness of D** *Rule D is not complete for BCI-logic, i.e., there are BCI-theorems that are not condensed BCI-theorems.*

*Proof:* The formula  $(a \rightarrow a) \rightarrow (a \rightarrow a)$  is a BCI-theorem (because it is a type that can be assigned to I), but by Theorem 3.5.3 it is not a condensed BCI-theorem because it does not have the 2-property.

**3.6 Particular BCI-theorems** The following are some BCI-theorems which may be of interest. They are also condensed BCI-theorems. In the list each theorem is shown on the left and the combinator of which it is a principal type is on the right.

Parentheses omitted from formulas should be restored by association to the right.

**3.6.1** The following are from [30] and are provable in condensed BB'I-logic as well as BCI. Let  $\mathbf{B}' \equiv \mathbf{CB}$ .

- (1)  $(a \rightarrow a') \rightarrow (b \rightarrow b') \rightarrow (a' \rightarrow b) \rightarrow (a \rightarrow b')$   
 $\lambda uvwx.v(w(ux))$  or  $\mathbf{B}(\mathbf{B}'\mathbf{B})(\mathbf{BBB}')$ ;
- (2)  $(a \rightarrow a') \rightarrow (b \rightarrow c \rightarrow c') \rightarrow b \rightarrow (c' \rightarrow a) \rightarrow (c \rightarrow a')$   
 $\lambda uvwxy.u(x(vwy))$  or  $\mathbf{BB}(\mathbf{B}(\mathbf{B}'\mathbf{B}')\mathbf{B})\mathbf{B}$ ;
- (3)  $(a \rightarrow a') \rightarrow (b \rightarrow c \rightarrow c') \rightarrow b \rightarrow (a' \rightarrow c) \rightarrow (a \rightarrow c')$   
 $\lambda uvwxy.vw(x(uy))$  or  $\mathbf{BB}(\mathbf{B}(\mathbf{B}'\mathbf{B})(\mathbf{BBB}'))$ ;
- (4)  $(a \rightarrow c \rightarrow c') \rightarrow (b \rightarrow c' \rightarrow c'') \rightarrow a \rightarrow b \rightarrow c \rightarrow c''$   
 $\lambda uvwxy.vx(uy)$  or  $\mathbf{B}(\mathbf{B}(\mathbf{B}'\mathbf{B}')\mathbf{B}')(\mathbf{BB}')$ ;

**3.6.2** The following are from [24].

- (1)  $a_1 \rightarrow \dots \rightarrow a_n \rightarrow (a_1 \rightarrow \dots \rightarrow a_n \rightarrow b) \rightarrow b$   $\lambda u_1 \dots u_n v.vu_1 \dots u_n$ ;
- (2)  $(a \rightarrow b_1 \rightarrow \dots \rightarrow b_n \rightarrow a' \rightarrow c) \rightarrow (a' \rightarrow b_1 \rightarrow \dots \rightarrow b_n \rightarrow a \rightarrow c)$   
 $\lambda uvw_1 \dots w_n x.wxw_1 \dots w_n v$ ;
- (3)  $(b_1 \rightarrow \dots \rightarrow b_n \rightarrow a \rightarrow c) \rightarrow (a \rightarrow b_1 \rightarrow \dots \rightarrow b_n \rightarrow c)$   
 $\lambda uvw_1 \dots w_n.uw_1 \dots w_n v$ ;
- (4)  $(a \rightarrow b \rightarrow c) \rightarrow (d \rightarrow a) \rightarrow (b \rightarrow d \rightarrow c)$   $\lambda uvwx.u(vx)w$ ;
- (5)  $(a \rightarrow a') \rightarrow (b_1 \rightarrow \dots \rightarrow b_n \rightarrow a' \rightarrow c) \rightarrow (b_1 \rightarrow \dots \rightarrow b_n \rightarrow a \rightarrow c)$   
 $\lambda uvw_1 \dots w_n x.vw_1 \dots w_n(ux)$ ;
- (6)  $(a \rightarrow a') \rightarrow ((b_1 \rightarrow \dots \rightarrow b_n \rightarrow a \rightarrow c) \rightarrow d) \rightarrow ((b_1 \rightarrow \dots \rightarrow b_n \rightarrow a' \rightarrow c) \rightarrow d)$   
 $\lambda uvw.v(\lambda x_1 \dots x_n y.wx_1 \dots x_n(uy))$ ;
- (7)  $(a \rightarrow b \rightarrow a') \rightarrow ((c_1 \rightarrow \dots \rightarrow c_n \rightarrow a \rightarrow d) \rightarrow e) \rightarrow b \rightarrow ((c_1 \rightarrow \dots \rightarrow c_n \rightarrow a' \rightarrow d) \rightarrow e)$   
 $\lambda uvwx.v(\lambda y_1 \dots y_n z.xy_1 \dots y_n(uzw))$ ;
- (8)  $(a \rightarrow b) \rightarrow (c \rightarrow d) \rightarrow c \rightarrow (d \rightarrow a) \rightarrow b$   $\lambda uvwx.u(x(vw))$ .

**Note 3.6.3** Formula (5) in 3.6.2 is also provable in condensed BB'I-logic, being a p.t.s. of  $(\mathbf{BB})^n \mathbf{B}'$ , where  $X^n Y$  is  $X(X(\dots(XY)\dots))$  with  $n$   $X$ 's.

## 4 The 1-2- and 2-preservation theorems

**4.1 Introduction** In this section we shall prove that Rule D preserves the 1-2- and 2-properties (as stated in Theorem 1.1.8).

The first published proof of either of these results was the proof of 2-preservation in Belnap [3]. Belnap's method also shows that Rule D preserves the 1-2-property, although he did not claim this; in fact the 1-2-theorem needs slightly

less proof. The core of [3] is an analysis of a series of repeated compositions of two one-to-one functions.

It may be of interest that the proof of a different result in Jaskowski [24] contains an argument rather like Belnap's, that would probably have led Jaskowski to the 1-2-preservation theorem had he been concerned with it. Jaskowski's argument is an analysis of two equivalence relations instead of two one-to-one functions, and does not go so far as Belnap's. It would not have given Belnap's 2-theorem without a little extra work, but would have given the slightly easier 1-2-theorem with very little modification.

In this section the 1-2-preservation theorem is proved by a modified Jaskowski argument. A proof of the 2-preservation theorem is given afterwards by adding an argument of Belnap's onto the end of Jaskowski's.

**Note 4.2** Other proofs of the 1-2- and 2-preservation theorems are in Meyer and Bunder [30] and Bunder [8].

The 2-preservation theorem and its background are discussed in Kalman [25], though no proof is given. It is stated and used in Wos et al. [33] which refers to Belnap for the proof.

**Lemma 4.3** *Purely structural substitutions preserve the 1-2- and 2-properties. That is, if  $\alpha$  has the 2- or 1-2-property and  $\sigma$  is a purely structural substitution relative to  $\alpha$  then  $\sigma(\alpha)$  has the same property (2- or 1-2-) as  $\alpha$ .*

*Proof:* Let  $\sigma(\alpha) = [\delta_1/a_1, \dots, \delta_k/a_k](\alpha)$ . If a variable  $a_i$  occurs exactly  $n$  times in  $\alpha$  and is replaced by  $n$  copies of  $\delta_i$  in  $\sigma(\alpha)$ , then each variable in  $\delta_i$  occurs exactly once in each copy and hence occurs exactly  $n$  times in  $\sigma(\alpha)$ .

**Lemma 4.4** (i) *Let  $\{\alpha_1, \alpha_2\}$  have a most general unifier  $\sigma$ . Then there exist a purely structural substitution  $\sigma_{\text{struc}}$  relative to  $\alpha_1$  and  $\alpha_2$ , and a variables-for-variables substitution  $\sigma_{\text{var}}$ , such that*

$$\sigma_{\text{var}}(\sigma_{\text{struc}}(\alpha_i)) \equiv \sigma(\alpha_i) \quad (i = 1, 2),$$

*and if we define  $\alpha_i^* \equiv \sigma_{\text{struc}}(\alpha_i)$ , then  $\sigma_{\text{var}}$  is a most general unifier of  $\{\alpha_1^*, \alpha_2^*\}$ .*

(ii) *If  $\alpha_1$  and  $\alpha_2$  have no common variables then  $\sigma_{\text{struc}}$  can be defined so that there are no variables common to  $\alpha_1^*$  and  $\alpha_2^*$ .*

*Proof:* For (i): apply Lemma 1.1.4 to  $\sigma$ . Clearly  $\sigma_{\text{var}}$  unifies  $\{\alpha_1^*, \alpha_2^*\}$ . To show  $\sigma_{\text{var}}$  is most general, suppose another substitution  $\tau$  also unifies  $\{\alpha_1^*, \alpha_2^*\}$ . Then the composition  $\tau \circ \sigma_{\text{struc}}$  unifies  $\{\alpha_1, \alpha_2\}$ . But the m.g.u. of  $\{\alpha_1, \alpha_2\}$  is  $\sigma$ , so

$$\tau \circ \sigma_{\text{struc}} = \rho \circ \sigma$$

for some substitution  $\rho$ . Then for  $i = 1, 2$ ,

$$\tau(\alpha_i^*) \equiv \tau(\sigma_{\text{struc}}(\alpha_i)) \equiv \rho(\sigma(\alpha_i)) \equiv \rho(\sigma_{\text{var}}(\alpha_i^*)),$$

so  $\sigma_{\text{var}}$  is most general. For (ii): use the "also" clause in Lemma 1.1.4.

**Theorem 4.5: The D-1-2 theorem** *Rule D (the condensed detachment rule) preserves the 1-2-property. That is, if  $\alpha_1$  and  $(\alpha_2 \rightarrow \beta_2)$  have the 1-2-property and  $D((\alpha_2 \rightarrow \beta_2), \alpha_1)$  exists, then it has the 1-2-property.*

*Proof:* (Based on the proofs of [24] Theorems 1.1 and 2.2.) Let  $D((\alpha_2 \rightarrow \beta_2), \alpha_1)$  exist and  $\alpha_1$  and  $(\alpha_2 \rightarrow \beta_2)$  have the 1-2-property. Make an alphabetic vari-

ation if necessary to ensure that  $\alpha_1$  and  $(\alpha_2 \rightarrow \beta_2)$  have no common variables. A fairly routine proof shows that this will leave  $D((\alpha_2 \rightarrow \beta_2), \alpha_1)$  unchanged modulo alphabetic variation. Let  $\sigma$  be an m.g.u. of  $\{\alpha_1, \alpha_2\}$  satisfying the condition in Rule D, namely

$$\text{Vars}(\sigma(\alpha_2)) \cap [\text{Vars}(\beta_2) - \text{Vars}(\alpha_2)] = \emptyset.$$

By Lemma 4.4 we can assume that  $\sigma$  is a variables-for-variables substitution. (Note that by Lemma 4.3,  $\sigma_{\text{struc}}(\alpha_1)$  and  $\sigma_{\text{struc}}(\alpha_2 \rightarrow \beta_2)$  have the 1-2-property, where  $\sigma_{\text{struc}}$  is determined by Lemma 4.4.) Let

$$(1) \quad \alpha \equiv \sigma(\alpha_1) \equiv \sigma(\alpha_2), \quad \beta \equiv \sigma(\beta_2).$$

Consider the formula  $\alpha_2 \rightarrow \beta_2$ : number all the variable-places in it from left to right; say  $1, \dots, n$  are in  $\alpha_2$  and  $n + 1, \dots, m$  are in  $\beta_2$ . Now  $\sigma$  substitutes only variables for variables, so by (1) there are the same number of variable-places in  $\alpha$  and in  $\alpha_1$  as in  $\alpha_2$ , and in  $\beta$  as in  $\beta_2$ ; number all these places from left to right as  $1, \dots, n$  in  $\alpha$ ,  $1, \dots, n$  in  $\alpha_1$ , and  $n + 1, \dots, m$  in  $\beta$ .

Define the following relations on the set  $\{1, \dots, m\}$  (cf. the proof of [24] Theorem 2.2).

$i \sim_1 j$  iff either  $i = j > n$ , or  $i \leq n$  and  $j \leq n$  and the  $i$ -th and  $j$ -th places in  $\alpha_1$  contain the same variable.

$i \sim_2 j$  iff the  $i$ -th and  $j$ -th places in  $\alpha_2 \rightarrow \beta_2$  contain the same variable.

$i \sim_0 j$  iff there exist  $i_1, \dots, i_{r+1}$  ( $r \geq 0$ ) such that  $i_1 = i$ ,  $i_{r+1} = j$ , and  $(\forall k \leq r) i_k \sim_1 i_{k+1}$  or  $i_k \sim_2 i_{k+1}$ .

Clearly  $\sim_1$  and  $\sim_2$  are equivalence relations, and hence so is  $\sim_0$ . Also

$$(2) \quad i \sim_2 j \Rightarrow i \sim_0 j.$$

Let  $\tau$  be a variables-for-variables substitution into  $\alpha_2 \rightarrow \beta_2$  with the property that the variables in the  $i$ -th and  $j$ -th places are replaced by the same variable iff  $i \sim_0 j$ . Such a  $\tau$  exists by (2) and the definition of  $\sim_2$ .

Note that  $\tau(\alpha_2)$  is a substitution instance of  $\alpha_1$ , since  $i \sim_1 j \Rightarrow i \sim_0 j$ . Say  $\tau(\alpha_2) \equiv \rho(\alpha_1)$ . Since  $\alpha_1$  and  $\alpha_2 \rightarrow \beta_2$  have no common variables, we can define a substitution  $\tau'$  which has the effect of  $\tau$  on  $\alpha_2 \rightarrow \beta_2$  and the effect of  $\rho$  on  $\alpha_1$ . Then  $\tau'$  unifies  $\{\alpha_1, \alpha_2\}$ .

Further, any unifier of  $\{\alpha_1, \alpha_2\}$  must substitute the same formulas into places  $i$  and  $j$  such that  $i \sim_0 j$ . Therefore  $\tau'$  is an m.g.u. of  $\{\alpha_1, \alpha_2\}$ .

Hence  $\tau'$  is the same as  $\sigma$ , modulo alphabetic variation, so by (1) we can assume

$$\alpha \equiv \tau'(\alpha_1) \equiv \tau'(\alpha_2), \quad \beta \equiv \tau'(\beta_2).$$

We must prove that  $\beta$  has the 1-2-property. By the definition of  $\tau$  and  $\tau'$  it is enough to show that each place-number  $i$  in  $\beta$  is related by  $\sim_0$  to at most one other place-number in  $\beta$ .

Let  $i$  be a place-number in  $\beta$ , and consider any chain of form

$$(3) \quad i = i_1 \sim_{q_1} i_2 \sim_{q_2} i_3 \sim_{q_3} \dots \sim_{q_r} i_{r+1}$$

where  $q_k = 1$  or  $2$  for each  $k$ . By cutting out repetitions we can assume that  $i_1, \dots, i_{r+1}$  are distinct. We must show that the chain contains at most one other number in  $\beta$  besides  $i_1$ .



Now  $i_1$  is in  $\beta$ , so it is related by  $\sim_1$  to nothing else (by the definition of  $\sim_1$ ), and by  $\sim_2$  to at most one other number (since  $\alpha_2 \rightarrow \beta_2$  has the 1-2-property). So  $q_1 = 2$  and  $i_2$  is uniquely determined if it exists. (If it does not exist then  $r = 0$ .)

For  $k = 2, \dots, r + 1$ , the number  $i_k$  is related by  $\sim_1$  and  $\sim_2$  to at most one other number each, since  $\alpha_1$  and  $\alpha_2 \rightarrow \beta_2$  both have the 1-2-property; hence  $q_k = 2$  if  $q_{k-1} = 1$ , and  $q_k = 1$  if  $q_{k-1} = 2$ ; also  $i_{k+1}$  is uniquely determined if it exists.

But if  $i_k$  is in  $\beta$ , then it is related by  $\sim_1$  to nothing else and by  $\sim_2$  to only one other number. This number must be  $i_{k-1}$ , otherwise  $i_k$  would not be in the chain, so  $q_{k-1} = 2$  and the chain cannot continue beyond  $i_k$ .

Thus the chain ends as soon as it meets an  $i_k$  in  $\beta$  with  $k \geq 2$ , so it contains at most two place-numbers in  $\beta$ , as required.

**Theorem 4.6: The D-2 theorem** *Rule D (the condensed detachment rule) preserves the 2-property. That is, if  $\alpha_1$  and  $(\alpha_2 \rightarrow \beta_2)$  have the 2-property and  $D((\alpha_2 \rightarrow \beta_2), \alpha_1)$  exists, then it has the 2-property.*

*Proof:* Let  $\alpha_1$  and  $\alpha_1 \rightarrow \beta_2$  both have the 2-property. Follow the proof of Theorem 4.5; the only extra thing is that now we must show that each place-number  $i$  in  $\beta$  is always related to another place-number  $j$  in  $\beta$  by  $\sim_0$ . This is done as follows (adapted from [3]).

The key fact is that a chain (3) where each  $i_k$  is a distinct place-number cannot have more members than the number,  $m$ , of places in  $\alpha_2 \rightarrow \beta_2$ .

Let  $i$  be in  $\beta$ . We shall construct a chain (3) with  $i_1 = i$  and extend it as far as possible, and show that it must eventually contain another number in  $\beta$  besides  $i$ .

Define  $i_1 = i$ . Then  $i_1$ , being in  $\beta$ , is related by  $\sim_1$  only to itself and by  $\sim_2$  to exactly one other place-number, call it  $i_2$ . If  $i_2$  is in  $\beta$ , then the chain has the required two members in  $\beta$ . If not, then we extend the chain two steps at a time, as follows.

Suppose we have already constructed a chain with an even number of members, all distinct:

$$(4) \quad i_1 \sim_2 i_2 \sim_1 \dots \sim_1 i_{2h-1} \sim_2 i_{2h}.$$

(Note that  $\sim_1, \sim_2$  must alternate and begin with  $\sim_2$ , by the proof of Theorem 4.5.)

Suppose  $i_{2h}$  is in  $\alpha_1$ . Then by the definition of  $\sim_1$  and since  $\alpha_1$  has the 2-property,  $i_{2h}$  is related by  $\sim_1$  to exactly one other number and this number is in  $\alpha_1$ ; call it  $i_{2h+1}$ .

Now  $i_{2h+1}$  is distinct from  $i_1, \dots, i_{2h}$ . To see this, note first that  $i_{2h+1} \neq i_{2h}$  by definition of  $i_{2h+1}$ . Next, if  $i_{2h+1} = i_j$  for some  $j \leq 2h - 2$ , then  $i_{2h}$ , being the unique number related to  $i_{2h+1}$  by  $\sim_1$ , would be the same as  $i_{j+1}$  or  $i_{j-1}$ , contrary to the distinctness of  $i_1, \dots, i_{2h}$ . Finally, if  $i_{2h+1} = i_{2h-1}$ , then  $i_{2h+1}$  would be related by  $\sim_1$  to  $i_{2h-2}$  and to  $i_{2h}$ , so these two would be the same, contrary to the distinctness of  $i_1, \dots, i_{2h}$ . Thus we now have the following chain of distinct numbers:

$$(5) \quad i_1 \sim_2 i_2 \sim_1 \dots \sim_1 i_{2h-1} \sim_2 i_{2h} \sim_1 i_{2h+1}.$$

Now  $i_{2h+1}$  is in  $\alpha_2 \rightarrow \beta_2$  which has the 2-property, so  $i_{2h+1}$  is related by  $\sim_2$  to exactly one other number; call it  $i_{2h+2}$ . By the same argument as above, it is distinct from  $i_1, \dots, i_{2h+1}$ . Thus the chain (4) has now been extended from  $2h$  to  $2h + 2$  distinct members.

Such an extension is always possible when  $i_{2h}$  is in  $\alpha_1$ . But there are only  $m$  place-numbers to choose from, so the chain must stop and thus some  $i_{2h}$  must be in  $\beta$ .

**Acknowledgment** I am very grateful to Martin Bunder for much useful information, help, and criticism during the preparation of this paper, and to Sachio Hirokawa, Yuichi Komori, David Meredith, Robert Meyer, and the referee for information about some of the results listed. I am also very grateful to the Australian Research Council for financial support and the Mathematics Department of the University of Wollongong for generous hospitality.

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