

## STRONG SEMICONTINUITY FOR UNBOUNDED OPERATORS

HYOUNGSOON KIM

ABSTRACT. Let  $A$  be a  $C^*$ -algebra and  $A^{**}$  its enveloping von Neumann algebra. Pedersen and Akemann developed four concepts of lower semi-continuity for elements of  $A^{**}$ . Later, Brown suggested using only three classes: strongly lsc, middle lsc and weakly lsc. In this paper we generalize the concept of strong semi-continuity to the case of unbounded operators affiliated with  $A^{**}$ . First, we identify our generalized strongly lsc elements with weak\* lsc affine  $(-\infty, \infty]$ -valued functions on  $Q(A)$  vanishing at 0, and then we generalize various results of the theory of strong semicontinuity. Also, we discuss some interpolation problems and examples.

**1. Introduction.** In [3], Akemann and G. Pedersen defined four concepts of semi-continuity for elements of  $A^{**}$ , the enveloping von Neumann algebra of a  $C^*$ -algebra  $A$ . Later, L. Brown [5] suggested using only three classes  $\overline{A_{sa}^m}$ ,  $\tilde{A}_{sa}^m$ , and  $(\tilde{A}_{sa}^m)^-$ , and named them *strongly lsc*, *middle lsc* and *weakly lsc*, respectively. There are also three corresponding concepts of continuity, where “continuous” means “both lower and upper semi-continuous”: the strong, respectively middle, weakly, continuous elements are elements in  $A_{sa}$ , respectively,  $M(A)_{sa}$ ,  $QM(A)_{sa}$ . (All these terms are explained in Section 2.) Then L. Brown asked three questions, each of which is three-fold.

(Q1) Is every lsc element the limit of a monotone increasing net of continuous elements?

(Q2) Is every positive lsc element the limit of a monotone increasing net of positive continuous elements?

(Q3) If  $h \geq k$ , where  $h$  is lsc and  $k$  is usc, does there exist a continuous  $x$  such that  $h \geq x \geq k$ ?

He provided reasonably satisfactory answers and made an extensive study on semi-continuity [5].

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Received by the editors on August 6, 1993, and in revised form on April 22, 1994.

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As a generalization of the above, this paper considers semi-continuity for unbounded self-adjoint operators affiliated with  $A^{**}$  with the above three questions. D. Taylor mentioned this question in [23]. In order to deal with unbounded operators, we will use certain Möbius maps and the theory of quadratic forms which was developed by Kato, Robinson, Davies and Simon [7, 10, 19, 21, 22].

**2. Preliminaries.** Throughout this paper,  $A$  will denote a (nonunital)  $C^*$ -algebra and  $Q(A)$  the quasi-state space of  $A$ . Equipped with the weak\* topology inherited from  $A^*$ ,  $Q(A)$  is a compact convex set. It is well known that the enveloping von Neumann algebra of  $A$  can be identified with the second dual of  $A$ , so it will be denoted by  $A^{**}$ . Let  $H_u$  denote the universal Hilbert space of  $A$ . For  $M \subset A^{**}$ , let  $\overline{M}$  denote the norm closure of  $M$  in  $B(H_u)$ ,

$$M_{sa} = \{x \in M \mid x^* = x\}, \quad \text{and} \quad M_+ = \{x \in M \mid x \geq 0\}.$$

For  $M \subset A_{sa}^{**}$ ,  $M^m$ , respectively  $M_m$ , denotes the set of limits in  $A^{**}$  of monotone increasing nets, respectively monotone decreasing nets, of elements of  $M$ . Let  $\mathcal{Z}$  denote the center of  $A^{**}$ ,  $\tilde{A}$  the  $C^*$ -algebra generated by  $A$  and the unit 1 of  $A^{**}$ ,  $M(A)$  the multiplier algebra of  $A$ , and  $QM(A)$  the quasi-multipliers of  $A$ . For operators  $x$  and  $h$ ,  $\sigma(x)$  denotes the spectrum of  $x$  and  $E_S(h)$  the spectral projection of  $h$  corresponding to the Borel set  $S \subset \mathbf{R}$  ( $h$  is self-adjoint).

Now we will briefly introduce the notion of strong semi-continuity for bounded operators. We refer the readers to [3, 5, 14] for further theory and applications. It is well known that the evaluation map  $\hat{\cdot}$  on  $A_{sa}^{**}$  given by  $\hat{x}(\varphi) = \varphi(x)$  for  $x$  in  $A_{sa}^{**}$  and  $\varphi$  in  $Q(A)$  is an order preserving isometry of  $A_{sa}^{**}$  onto the set of bounded affine real-valued functions on  $Q(A)$  vanishing at zero. The image of  $A_{sa}$  under  $\hat{\cdot}$  is the set of continuous affine functions on  $Q(A)$  vanishing at zero when  $Q(A)$  is equipped with weak\* topology inherited from  $A^*$ .

**Definition-Theorem 2.1** (Akemann and Pedersen [3, Theorem 2.1]). *For  $x$  in  $A_{sa}^{**}$ ,  $x$  is called strongly lsc if it satisfies one of the following equivalent conditions.*

- (a)  $x \in \overline{A_{sa}^m}$ .
- (b)  $\hat{x}$  is lower semi-continuous on  $Q(A)$ .

- (c) *There is a bounded, monotone increasing net  $(x_i + \alpha_i 1)$  in  $\tilde{A}_{sa}$  with limit  $x$  such that  $x_i \in A_{sa}$ ,  $\alpha_i \in \mathbf{R}$  and  $\alpha_i \nearrow 0$ .*
- (d)  *$x + \varepsilon 1 \in A_{sa}^m$  for every  $\varepsilon > 0$ .*

Recall that an operator  $h$  on some subspace of  $H_u$  is said to be affiliated with  $A^{**}$ , written  $h\eta A^{**}$ , if  $uhu^{-1} = h$  for every unitary operator  $u$  in the commutant of  $A^{**}$ . If  $h$  is self-adjoint, it is equivalent to the condition that the spectral projections of  $h$  belong to  $A^{**}$ . One of the main difficulties with unbounded operators is that they are not everywhere defined. Especially, when we want to find a notion of convergence of unbounded operators there is big trouble since the domains of the operators may not have any common vector. For densely defined self-adjoint operators, it was overcome by making use of the resolvents of the operators. We will generalize this notion to semi-bounded, not necessarily densely defined, self-adjoint operators. Let  $H$  be a Hilbert space and  $\mathcal{L}_b(H)$  denote the set of bounded below self-adjoint operators on some subspace of  $H$ . For  $h$  in  $\mathcal{L}_b(H)$ ,  $D(h)$  denotes the domain of  $h$  and  $p_h$  the projection on  $\overline{D(h)}$ . Then the bounded operator  $(\lambda p_h - h)^{-1} \oplus 0(I - p_h)$ ,  $\lambda \notin \sigma(h)$ , will be called the *pseudo-resolvent* of  $h$  and denoted by  $R'_\lambda(h)$ .

**Definition 2.2.** Let  $h_i$  and  $h$  be in  $\mathcal{L}_b(H)$  such that  $\alpha \leq h_i$ ,  $h$  for some  $\alpha$  in  $\mathbf{R}$ . Then  $h_i$  is said to *converge to  $h$  in the revised strong resolvent sense (revised s.r.s.)* if  $R'_\lambda(h_i) \rightarrow R'_\lambda(h)$  strongly for all  $\lambda$  in  $\mathbf{C} \setminus [\alpha, \infty)$ .

*Remarks.* (a) This concept was used (but not named) in [7] and [22] in the context of monotone increasing nets.

(b) If  $\lambda_0 < \alpha$  and if  $R'_{\lambda_0}(h_i) \rightarrow R'_{\lambda_0}(h)$  strongly, then  $h_i \rightarrow h$  in the revised s.r.s. This follows the proof of [18, Theorem 8.19].

Next we will review some theory of quadratic forms. It is well known that there is one-to-one correspondence between  $\mathcal{L}_b(H)$  and the set of closed quadratic forms on  $H$  bounded from below. If  $q_1$  and  $q_2$  are closed quadratic forms bounded from below, then so is the sum  $q = q_1 + q_2$ . Let  $h$ ,  $h_1$  and  $h_2$  be the self-adjoint operators associated with  $q$ ,  $q_1$  and  $q_2$ , respectively. Then  $h$  may be regarded as the sum

of  $h_1$  and  $h_2$  in a generalized sense, and we write  $h = h_1 \dot{+} h_2$ . This condition is strictly weaker than the requirement that the ordinary sum  $h_1 + h_2$  be well defined. Equipped with the operation  $\dot{+}$  we can regard the above one-to-one correspondence as an isomorphism.

**Definition 2.3.** Let  $q$  be a quadratic form bounded from below. Define  $\tilde{q}$  on  $H$  by  $\tilde{q}(u) = q(u)$  if  $u \in D(q)$  and  $\tilde{q}(u) = +\infty$  if  $u \notin D(q)$ . For  $q$  bounded from above, we define  $\tilde{q}$  by  $\tilde{q}(u) = -(-q)^\sim(u)$ .

**Theorem 2.4** (Davies [7] and Kato (see [21]), independently). *Let  $q$  be a quadratic form bounded from below. Then  $q$  is closed if and only if  $\tilde{q}$  is lower semi-continuous.*

It is very natural to adopt the order structure for operators bounded below from the ordering in quadratic forms bounded from below. We write  $h_1 \leq h_2$  if  $\tilde{q}_1 \leq \tilde{q}_2$  where  $q_i$ 's are the quadratic forms induced from  $h_i$ 's, respectively.

*Notations.* (a) Let

$$f_\delta(x) = \frac{x}{1 + \delta x} \quad \text{on} \quad \begin{cases} (-1/\delta, \infty) & \text{if } \delta > 0 \\ (-\infty, -1/\delta) & \text{if } \delta < 0. \end{cases}$$

Note that  $f_\delta$  is operator monotone on its domain such that  $f_\delta \cdot f_{-\delta} = f_{-\delta} \cdot f_\delta = \text{id}$  and  $f_\delta \cdot f_\varepsilon = f_{\delta+\varepsilon}$  where defined. For a self-adjoint operator  $h$  which is bounded below by  $\alpha < 0$ ,  $\tilde{f}_\delta(h)$ ,  $0 < \delta < -1/\alpha$ , denotes the bounded self-adjoint operator  $f_\delta(h) \oplus (1/\delta)(I - p_h)$ . For  $k$  bounded above by  $\beta > 0$ , we write  $\tilde{f}_{-\delta}(k) = f_{-\delta}(k) \oplus (-1/\delta)(I - p_h)$ ,  $0 < \delta < 1/\beta$ .

(b) Let  $h_i$ ,  $i \in I$ , and  $h$  be in  $\mathcal{L}_b(H)$ . We will write  $h_i \nearrow h$  if  $(h_i)$  is monotone increasing such that  $h_i \rightarrow h$  in the revised s.r.s., or equivalently,  $f_\delta(h_i) \nearrow f_\delta(h)$  for  $\delta > 0$  with  $-1/\delta < h_i, h$ .

**Proposition 2.5** (Davies [7] for (a) and (b)). *Let  $h_1, h_2$  be in  $\mathcal{L}_b(H)$  such that  $h_1, h_2 \geq \gamma$  (assume that  $\gamma \leq 0$ ) and  $q_1, q_2$  the quadratic forms induced from  $h_1, h_2$ , respectively. Then the following are equivalent.*

(a)  $h_1 \leq h_2$ .

- (b)  $R'_\lambda(h_1) \leq R'_\lambda(h_2)$  for (one or all)  $\lambda < \gamma$ .  
 (c)  $\tilde{f}_\delta(h_1) \leq \tilde{f}_\delta(h_2)$  for  $\delta$  positive and  $-1/\delta < \gamma$ .

*Proof.* (a)  $\Leftrightarrow$  (b). See [7, Lemma 2.2].

(b)  $\Leftrightarrow$  (c). If  $\delta = 1/\lambda$ , then  $\tilde{f}_\delta(h) = -\lambda + \lambda^2 R'_\lambda(h)$  for  $h \geq \gamma$ . This implies the result.  $\square$

We will end this section with a very useful approximation theorem for semi-bounded quadratic forms (semi-bounded self-adjoint operators). It is a significant strengthening of the theorem in [10, p. 459] and was independently discovered by Robinson [19], Davies [7], Kato (see [21]), and Simon [22]. (See also Pedersen and Takesai [16].) We refer the reader to [18, p. 385] for the history.

**Proposition 2.6.** *If  $q_1 \leq q_2 \leq \dots$  is an increasing sequence of closed quadratic forms bounded from below, then the form  $q_\infty$ , where  $D(q_\infty) = \{u \in \cap_n D(q_n) \mid \sup_n q_n(u) < \infty\}$  and  $q_\infty(u, v) = \lim_{n \rightarrow \infty} q_n(u, v)$ , is closed and  $h_n \nearrow h_\infty$  where  $h_i$  is the operator associated with  $q_i$ .*

*Remark.* We may replace the sequence  $q_n$  by a monotone increasing net  $q_i$ ,  $i \in I$ . This provides the existence of the limit of monotone increasing net  $(h_i)$  of semi-bounded operators.

**3. Unbounded strong semi-continuity.** We will generalize the concept of strong semi-continuity from bounded operators to semi-bounded self-adjoint operators. Since we are more interested in densely defined operators, we may restrict our attention to that case. However, because of the way we use the theory of quadratic forms, it is convenient to proceed without the restriction.

First, we extend the isomorphism between  $A_{sa}^{**}$  and the Banach space of all real valued bounded affine functions on  $Q(A)$  which vanish at zero to the semi-bounded case. Let  $H_u$  denote the universal Hilbert space of  $A$ ,

$$\begin{aligned} \mathcal{F}_0(A) &= \{f : Q(A) \rightarrow (-\infty, \infty] \mid f \text{ is bounded below, affine, norm} \\ &\quad \text{lower semi-continuous, and vanishes at } 0\}, \text{ and} \\ \mathcal{R}_b(A) &= \{h\eta A^{**} \mid h \text{ is a bounded below self-adjoint operator on} \\ &\quad \text{a subspace of } H_u\}. \end{aligned}$$

We are not assuming  $h$  to be densely defined. Note that  $p_h$ , the projection on  $\overline{D(h)}$ , belongs to  $A^{**}$ .

**Definition 3.1.** For  $h \in \mathcal{R}_b(A)$ , define  $\hat{h} : Q(A) \rightarrow (-\infty, \infty]$  by

$$\hat{h}(\varphi_v) = \begin{cases} \|(h + \lambda 1)^{1/2}v\|^2 - \lambda\|v\|^2 (= (hv, v)) & \text{if } v \in D([h + \lambda 1]^{1/2}), \\ h \geq -\lambda & \\ \infty & \text{otherwise} \end{cases}$$

where  $\varphi_v$  is the linear functional  $a \mapsto (av, v)$  on  $A$ . Then  $\hat{h}$  is well-defined since  $\tilde{f}_\delta(h + \lambda 1) \in A_{sa}^{**}$  and  $\tilde{f}_\delta(h + \lambda 1) \nearrow (h + \lambda 1)$  as  $\delta \searrow 0$ .

*Remark.* Note that  $h \geq k$  if and only if  $\hat{h} \geq \hat{k}$  on  $Q(A)$ . Hence, for  $(h_i)$  and  $h$  in  $\mathcal{R}_b(A)$ ,  $h_i \nearrow h$  if and only if  $\hat{h}_i \nearrow \hat{h}$  pointwise on  $Q(A)$ .

**Theorem 3.2.** *The map  $\hat{\phantom{x}}$  is an order preserving isomorphism between  $\mathcal{R}_b(A)$  and  $\mathcal{F}_0(A)$ .*

*Proof.* For  $h$  in  $\mathcal{R}_b(A)$ , note that  $\tilde{f}_\delta(h)$  is in  $A_{sa}^{**}$  for all  $\delta > 0$  with  $-1/\delta < h$ . Since  $\tilde{f}_\delta(h) \nearrow h$  as  $\delta \searrow 0$ ,  $\hat{h}$  is the limit of an increasing net  $((\tilde{f}_\delta(h))^\wedge)$  of bounded, norm continuous affine functions on  $Q(A)$  which vanish at zero. Thus  $\hat{h}$  is in  $\mathcal{F}_0(A)$ . Obviously,  $h$  and  $\hat{h}$  have the same lower bound, and the map  $\hat{\phantom{x}}$  is injective.

On the other hand, for a given function  $g$  in  $\mathcal{F}_0(A)$ , we define a form  $q$  by

$$\tilde{q}(v) = \|v\|^2 g(\varphi_{(v/\|v\|)}), \quad q(0) = 0, \quad \text{and} \quad q = \tilde{q}|_{D(q)},$$

where  $D(q) = \{v \in H_u \mid q(v) < \infty\}$ . Then it is easy to see that

$$\tilde{q}(\alpha v) = |\alpha|^2 \tilde{q}(v),$$

and

$$\tilde{q}(v + w) + \tilde{q}(v - w) = 2\tilde{q}(v) + 2\tilde{q}(w), \quad \forall v, w \in H_u.$$

Hence  $D(q)$  is a vector space and  $q$  is a quadratic form (see Kurepa [12]). By the definition of  $q$ ,  $g$  and  $q$  have the same lower bound and  $\tilde{q}$  is lower semi-continuous on  $H_u$ . Theorem 2.4 implies that  $q$  is a closed quadratic form bounded from below, and hence there exists an operator  $h$  in  $\mathcal{L}_b(H_u)$  such that  $q(v) = (hv, v)$ . Now we need to show that  $h\eta A^{**}$ . Since  $\varphi_v = \varphi_{uv}$  for each unitary operator  $u$  in  $A'$ ,  $\tilde{q}(uv) = g(\varphi_{uv}) = g(\varphi_v) = \tilde{q}(v)$  for all unit vectors  $v$ . This implies that  $u^{-1}hu = h$ , and hence  $h\eta A^{**}$ . Therefore,  $\hat{\cdot}$  is a surjective map. Moreover, it preserves addition when  $\mathcal{R}_b(A)$  is equipped with the formal sum  $\dagger$  induced by form sum.  $\square$

*Remark-Notation.* If  $(h_i)$  is a monotone increasing net in  $\mathcal{R}_b(A)$ , then the above theorem and Proposition 2.6 show that there exists  $h$  in  $\mathcal{R}_b(A)$  such that  $h_i \nearrow h$ . For  $U \subset A_{sa}^{**}$ , we denote by  $U^M$  the set of limits of monotone increasing nets of elements in  $U$ . We will use “+” for the formal sum,  $\dagger$  for operators in  $\mathcal{R}_b(A)$ .

**Definition 3.3.** For  $h$  in  $\mathcal{R}_b(A)$ ,  $h$  is called *unbounded strongly lsc*, denoted by  $h \in \text{SLSC}(A)$ , if there exists a monotone increasing net  $(h_i)$  in  $\tilde{A}_{sa}$ ,  $h_i = a_i + \lambda_i 1$ , such that  $h_i \nearrow h$  and  $\lambda_i \nearrow 0$ .  $h$  is called *unbounded strongly usc*, denoted by  $h \in \text{SUSC}(A)$ , if  $-h$  is in  $\text{SLSC}(A)$ . As a special class of  $\text{SLSC}(A)$ , respectively  $\text{SUSC}(A)$ , we denote by  $\text{SLSC}^d(A)$ , respectively  $\text{SUSC}^d(A)$ , the set of all densely defined  $h$  in  $\text{SLSC}(A)$ , respectively  $\text{SUSC}(A)$ .

**Theorem 3.4.** *The map  $\hat{\cdot}$  is an order preserving isomorphism between  $\text{SLSC}(A)$  and  $\mathcal{F}_1(A) := \{f \in \mathcal{F}_0(A) \mid f \text{ is weak* lower semicontinuous}\}$ .*

*Proof.* Let  $h \in \text{SLSC}(A)$ . Then there exists a net  $(h_i)$ ,  $h_i = a_i + \lambda_i 1$  in  $\tilde{A}_{sa}$  such that  $h_i \nearrow h$ ,  $\lambda_i \nearrow 0$ . Hence  $\hat{h}$  is the limit of the increasing net  $(\hat{a}_i + \lambda_i)$  of weak\* continuous affine functions on  $Q(A)$ . This implies that  $\hat{h}$  is weak\* lower semicontinuous.

If  $g$  is in  $\mathcal{F}_1(A)$ , then there exists a net  $(g_i)$  of weak\* continuous affine real valued functions on  $Q(A)$  such that  $g_i \nearrow g$  pointwise by Alfsen [4,

Corollary I.1.4]. Since  $g_i - g_i(0)$  is continuous on  $Q(A)$  and vanishes at zero, there exists  $a_i$  in  $A_{sa}$  such that  $\hat{a}_i = g_i - g_i(0)$  for all  $i$ . Let  $h_i = a_i + g_i(0)1$ . Then

$$\hat{h}_i = \hat{a}_i + g_i(0)\hat{1} = [g_i - g_i(0) + g_i(0)\hat{1}] \nearrow g.$$

Thus,  $h_i \nearrow h$  where  $\hat{h} = g$ .  $\square$

**Theorem 3.5.** *The map  $\hat{\cdot}$  is an order preserving isomorphism between  $\text{SLSC}^d(A)$  and  $\mathcal{F}_1^d(A) := \{f \in \mathcal{F}_1(A) \mid f^{-1}(\mathbf{R}) \text{ is norm dense in } Q(A)\}$ .*

*Proof.* Since the map  $v \mapsto \varphi_v$  is norm continuous on the closed unit ball of  $H_u$ ,  $\hat{\cdot}$  maps  $\text{SLSC}^d(A)$  into  $\mathcal{F}_1^d(A)$ . It remains to show that  $h$  is densely defined when  $\hat{h} = f$  for a given  $f$  in  $\mathcal{F}_1^d(A)$ . Shifting by a constant, we may assume that  $h \geq 0$ . Then it suffices to show that  $D(h^{1/2})$  is dense in  $H_u$ . Let  $p$  be the projection on  $\overline{D(h^{1/2})}$ , and let  $p\varphi p$  denote the linear functional  $a \mapsto \varphi(pap)$  on  $A$ . Then

$$\begin{aligned} pQ(A)p &= \{p\varphi p \mid \varphi \text{ is in } Q(A)\} \\ &\supset \{\varphi_{pv} \mid v \in D(h^{1/2}), \|v\| \leq 1\} \\ &= \{\varphi_v \mid f(\varphi_v) < \infty\} \\ &= f^{-1}(\mathbf{R}). \end{aligned}$$

Hence  $pQ(A)p$  is dense in  $Q(A)$ . Since  $pQ(A)p$  is norm closed (cf. Effros [9] and Prosser [17]),  $pQ(A)p = Q(A)$  and hence  $p = 1$ .  $\square$

**Theorem 3.6.** *Let  $h \in \mathcal{R}_b(A)$ . The following conditions are equivalent.*

- (a)  $h \in \text{SLSC}(A)$ .
- (b)  $\hat{h} \in \mathcal{F}_1(A)$ .
- (c)  $h + \varepsilon 1 \in A_{sa}^M$  for all  $\varepsilon > 0$ .
- (d)  $\tilde{f}_\delta(h) \in \overline{A_{sa}^m}$  for one (all)  $\delta > 0$  with  $-1/\delta < h$ .
- (e) There exists a sequence (net)  $(h_i)$  in  $\overline{A_{sa}^m}$  such that  $h_i \nearrow h$ .

Moreover, if  $h$  satisfies (a)–(e) and  $h \geq 0$ , then  $h + \varepsilon 1 \in A_+^M$  for all  $\varepsilon > 0$ .



*Proof.* (a)  $\Leftrightarrow$  (b) is proved in Theorem 3.4.

(a)  $\Rightarrow$  (c). This follows the proof (iii)  $\Rightarrow$  (iv) of [3, Theorem 2.1].

(c)  $\Rightarrow$  (b).  $\hat{h}^{-1}(\alpha, \infty] = \cup_{\varepsilon > 0} [(h + \varepsilon 1)^\wedge]^{-1}(\alpha + \varepsilon, \infty]$  is open in  $Q(A)$ , for all  $\alpha$  in  $\mathbf{R}$ , since each  $(h + \varepsilon 1)^\wedge$  is lower semi-continuous on  $Q(A)$ .

(a)  $\Rightarrow$  (d). Let  $h_i = a_i + \lambda_i 1$  be in  $\tilde{A}_{sa}$  such that  $h_i \nearrow h$  and  $\lambda_i \nearrow 0$ . Fix an  $i_0$  and let  $\alpha \leq 1/(||h_{i_0}|| + 1)$ . Then  $h_i > -1/\alpha$  for all  $i \geq i_0$ , and hence  $f_\alpha(h_i) \in \tilde{A}_{sa}$  and  $f_\alpha(h_i) \nearrow \tilde{f}_\alpha(h)$  as  $i \nearrow \infty$ . Since  $f_\alpha(h_i) \in f_\alpha(\lambda_i) + A_{sa}$  and  $f_\alpha(\lambda_i) \nearrow 0$ , we get  $\tilde{f}_\alpha(h) \in \overline{A_{sa}^m}$  by [3, Theorem 2.1]. Note that  $\tilde{f}_\delta(h) = f_{\delta-\alpha}(\tilde{f}_\alpha(h)) \in \overline{A_{sa}^m}$  whenever  $-1/\delta < h$  and  $\delta > 0$  by [5, Propositions 2.30, 2.31].

(d)  $\Rightarrow$  (e). Let  $\tilde{f}_\delta(h) \in \overline{A_{sa}^m}$  for some  $\delta > 0$ . Then for any positive  $\varepsilon < \delta$ ,  $f_{-\varepsilon}(\tilde{f}_\delta(h)) = \tilde{f}_{\delta-\varepsilon}(h) \in \overline{A_{sa}^m}$  by [5, Proposition 2.30], and  $\tilde{f}_{\delta-\varepsilon}(h) \nearrow h$  as  $\varepsilon \nearrow \delta$ . Choose a positive sequence  $(\varepsilon_n)$  such that  $\varepsilon_n \nearrow \delta$ . Then  $\tilde{f}_{\delta-\varepsilon_n}(h) \nearrow h$ .

(e)  $\Rightarrow$  (b).  $\hat{h}$  is the limit of a monotone increasing net  $(\hat{h}_i)$  of lower semi-continuous functions on  $Q(A)$ . Thus,  $\hat{h}$  is lower semi-continuous.

For the last statement we follow the proof of [3, Proposition 2.2].

□

*Remarks.* (a)  $\overline{A_{sa}^m} = \{h \in \text{SLSC}^d(A) \mid h \text{ is bounded}\}$ .

(b)  $A_{sa} = \text{SLSC}^d(A) \cap \text{SUSC}^d(A)$ . So the concept of strong continuity is still the same as in the bounded case for densely defined operators.

**Corollary 3.7.** *If  $h$  is in  $\text{SLSC}(A)$ , then there exists an element  $a$  in  $A_{sa}$  such that  $a \leq h$ .*

*Proof.* By [5, Corollary 3.22] there exists  $a \in A_{sa}$  such that  $a \leq \tilde{f}_\delta(h) \leq h$ . □

**Corollary 3.8.** *If  $h$  is in  $\text{SLSC}(A)_+$ , then there exists a sequence  $(h_n)$  in  $\overline{A_+^m}$  such that  $h_n \nearrow h$ .*

*Proof.* If  $h \in \text{SLSC}(A)_+$ , then  $\tilde{f}_\delta(h) \in \overline{A_{sa}^m}$  for any fixed  $\delta > 0$ . Then

$f_{-\varepsilon_n}(\tilde{f}_\delta(h))$  is in  $\overline{A_+^m}$  for all positive  $\varepsilon_n \leq \delta$  by [5, Proposition 2.31] and  $f_{-\varepsilon_n}(\tilde{f}_\delta(h)) = \tilde{f}_{\delta-\varepsilon_n}(h) \nearrow h$  as  $\varepsilon_n \nearrow \delta$ .  $\square$

**Corollary 3.9.**  $\text{SLSC}(A)^M = \text{SLSC}(A)$ .

*Proof.* Lower semicontinuity (for functions on  $Q(A)$ ) is preserved under monotone increasing limits.  $\square$

**Corollary 3.10.** *Let  $h$  be in  $\overline{A_{sa}^m}$  and  $h \leq 1/\varepsilon$ ,  $\varepsilon > 0$ . Then  $f_{-\varepsilon}(h) \in \text{SLSC}(A)$ . (Note that  $f_{-\varepsilon}(h)$  is a self-adjoint operator in  $\mathcal{R}_b(A)$  such that the closure of its domain is the range of the spectral projection  $E_{(-\infty, 1/\varepsilon)}(h)$  of  $h$ .)*

*Proof.* By [5, Proposition 2.31],  $f_{-\delta}(h) \in \overline{A_{sa}^m}$  for all positive  $\delta < \varepsilon$ . Since  $f_{-\delta}(h) \nearrow f_{-\varepsilon}(h)$  as  $\delta \nearrow \varepsilon$ ,  $f_{-\varepsilon}(h)$  satisfies (e) of Theorem 3.6.  $\square$

If  $A_i$  is a  $C^*$ -algebra, for all  $i \in I$ , then by the  $c_0$ -direct sum of the  $A_i$ 's we mean the  $C^*$ -algebra of functions  $f$  on  $I$  such that  $f(i) \in A_i$  and  $\|f(i)\| \rightarrow 0$  as  $i \rightarrow \infty$ . If  $A$  is a  $c_0$ -direct sum of the  $A_i$ , then  $A^{**}$  is the  $l_\infty$ -direct sum of the  $A_i^{**}$ 's.

**Proposition 3.11.** *Let  $A$  be the  $c_0$ -direct sum of  $C^*$ -algebras  $A_i$ ,  $i \in I$ , and let  $h = \oplus_{i \in I} h_i$  be in  $\mathcal{R}_b(A)$ . Then*

$$h \in \text{SLSC}(A) \iff h_i \in \text{SLSC}(A_i), \quad \forall i \in I \text{ and } \forall \varepsilon > 0, h_i \geq -\varepsilon$$

*for all but finitely many  $i$  in  $I$ .*

*Proof.* ( $\Rightarrow$ ). This follows from Theorem 3.6 and [5, Proposition 2.11].

( $\Leftarrow$ ). For given  $\varepsilon > 0$ , we will show that  $h + \varepsilon 1 \in A_{sa}^M$ . By the given condition,  $h_i \geq -\varepsilon/2$  for all  $i$  except for  $i_1, \dots, i_n$ . Theorem 4.6 implies that  $h_i + \varepsilon 1 \in (A_i)_+^M$  for all  $i$  except for  $i_1, \dots, i_n$ . For each  $i$ , choose a net  $(k_j^i)$  in  $(A_i)_{sa}$  such that  $k_j^i \nearrow h_i + \varepsilon 1$  as  $j \rightarrow \infty$ , and  $k_j^i \geq 0$  if  $i \neq i_1, \dots, i_n$ . Now consider the collection of finite sets  $F = \{(i, k_j^i)\}$

such that all of  $i_1, \dots, i_n$  appear and each  $i$  appears only one time. Then it forms a directed set by the order

$$F_1 \leq F_2 \iff \text{if } (i, k_j^i) \in F_1, \text{ then } \exists (i, k_{j'}^i) \in F_2 \text{ s.t. } j' \geq j.$$

Let  $x_F = \bigoplus_F k_j^i$ . Then  $x_F$  is in  $A_{sa}$  and  $x_F \nearrow h + \varepsilon 1$ .  $\square$

**Proposition 3.12.** *Let  $I$  be an ideal of  $A$  with open central projection  $z$ . Then*

$$h \in \text{SLSC}(A)_+ \implies zh \in \text{SLSC}(A)_+, \quad zh \in \text{SLSC}(I)_+.$$

*Proof.* Combine Theorem 3.6 and [5, Proposition 2.18].  $\square$

**Proposition 3.13.** *Let  $A$  be a  $C^*$ -algebra with  $\text{Prim } A$  Hausdorff, and let  $I$  and  $J$  be ideals of  $A$  with open central projections  $z$  and  $w$ , respectively, such that  $A = I + J$ , and  $h \in \mathcal{R}_b(A)$ . Then*

$$zh \in \text{SLSC}(I) \quad \text{and} \quad wh \in \text{SLSC}(J) \implies h \in \text{SLSC}(A).$$

*Proof.* Combine Theorem 3.6 and [5, Proposition 2.25].  $\square$

The following theorem gives affirmative answers to (Q1) and (Q2) for separable  $C^*$ -algebras like those in the bounded case.

**Theorem 3.14.** *Let  $A$  be a separable  $C^*$ -algebra. Then*

- (a)  $h \in \text{SLSC}(A)_+$  implies that there exists a sequence  $(h_n)$  in  $A_+$  such that  $h_n \nearrow h$ .
- (b)  $h \in \text{SLSC}(A)$  implies that there exists a sequence  $(h_n)$  in  $A_{sa}$  such that  $h_n \nearrow h$ .

*Proof.* (a) Let  $h \in \text{SLSC}(A)_+$ . Then  $\tilde{f}_\delta(h) \in \overline{A_+^m}$  for any fixed  $\delta > 0$ . Since  $\overline{A_+^m} = A_+^\sigma$ , we can choose a sequence  $(a_n)$  in  $A_+$  such that

$a_n \nearrow \tilde{f}_\delta(h)$ . Let  $(\varepsilon_n)$  be a sequence such that  $0 < \varepsilon_n \nearrow \delta$ ,  $\varepsilon_n < \delta$ . Since  $0 \leq a_n \leq 1/\delta < 1/\varepsilon_n$ ,  $f_{-\varepsilon_n}(a_n)$  is in  $A_+$  by [5, Proposition 2.30]. Moreover,  $(f_{-\varepsilon_n}(a_n))$  increases to  $f_{-\delta}(\tilde{f}_\delta(h)) = h$  as  $n \nearrow \infty$ .

(b) Combine Corollary 3.7 and part (a).  $\square$

**Theorem 3.15.** *For an arbitrary  $C^*$ -algebra  $A$ , let  $h \in SLSC(A)_+$ . Then there exists a net  $(a_i)$  in  $A_+$  such that  $0 \leq a_i \leq h$ ,  $a_i \rightarrow h$  in the revised s.r.s, and for all  $\lambda > 0$ , for all  $c \in A_{sa}$  such that  $c \leq h$ ,  $c \leq a_i + \lambda 1$  for  $i$  sufficiently large.*

*Proof.* Fix  $\delta > 0$  so that  $\tilde{f}_\delta(h) \in \overline{A_+^m}$ . By [5, Theorem 3.24], there exists a net  $(b_\alpha)$  in  $A_{sa}$  such that  $0 \leq b_\alpha \leq \tilde{f}_\delta(h)$  and  $b_\alpha \rightarrow \tilde{f}_\delta(h)$  strongly, and for all  $\eta > 0$ , for all  $c \in A$  such that  $c \leq \tilde{f}_\delta(h)$ ,  $c \leq b_\alpha + \eta$  for  $\alpha$  sufficiently large. Let  $I = \{(\tau, \alpha) \mid 0 < \tau < \delta\}$  and define a partial order on  $I$  by

$$(\tau, \alpha) \leq (\tau', \alpha') \iff \alpha < \alpha' \text{ and } \tau \leq \tau'.$$

Then  $I$  is a directed set. Let  $a_i = f_{-\tau}(b_\alpha)$ ,  $i = (\tau, \alpha) \in I$ . Then  $a_i$  is in  $A_+$  since  $\tau < \delta$ . We claim that  $(a_i)_I$  satisfies all the conditions. Clearly  $f_\delta(a_i) = f_{\delta-\tau}(b_\alpha) \rightarrow \tilde{f}_\delta(h)$  strongly as  $i \rightarrow \infty$ . Since

$$f_\delta(a_i) = \frac{1}{\delta}1 + \frac{1}{\delta^2}R_{-1/\delta}(a_i) \quad \text{and} \quad \tilde{f}_\delta(h) = \frac{1}{\delta}1 + \frac{1}{\delta^2}R'_{-1/\delta}(h),$$

$R_{-1/\delta}(a_i) \rightarrow R'_{-1/\delta}(h)$  strongly. Therefore,  $a_i \rightarrow h$  in the revised s.r.s.

Let  $\lambda > 0$ ,  $c \in A_{sa}$  such that  $c \leq h$ . Choose a  $\delta_0 > 0$  small enough such that  $f_{\delta_0}(c) \geq c - (\lambda/2)1$  and  $\delta_0 < \delta$ . A little computation shows that if  $\delta - \delta_0 < \tau_0 < \delta$ , then there exists a small  $\eta > 0$  such that  $1/\delta + \eta < 1/(\delta - \delta_0)$  and  $f_{-(\delta-\delta_0)}(t + \eta) \leq f_{-\tau_0}(t) + \lambda/2$  for all  $t \in [0, 1/\tau_0]$ . Since  $f_\delta(c) \leq \tilde{f}_\delta(h)$  (by Proposition 2.5), there exists  $\alpha_0 = \alpha_0(\eta, f_\delta(c))$  such that  $f_\delta(c) \leq b_\alpha + \eta 1$  if  $\alpha \geq \alpha_0$ . Hence, we have

$$f_{-(\delta-\delta_0)}(b_\alpha + \eta 1) \geq f_{-(\delta-\delta_0)}(f_\delta(c)) = f_{\delta_0}(c) \geq c - \frac{\lambda}{2}1.$$

Let  $i_0 = (\tau_0, \alpha_0)$ ; then, for  $i = (\tau, \alpha) \geq i_0$ ,

$$\begin{aligned} a_i &= f_{-\tau}(b_\alpha) \geq f_{-\tau_0}(b_\alpha) \\ &\geq f_{-(\delta-\delta_0)}(b_\alpha + \eta 1) - \frac{\lambda}{2}1 \\ &\geq c - \frac{\lambda}{2}1 - \frac{\lambda}{2}1 = c - \lambda 1. \quad \square \end{aligned}$$

**Corollary 3.16.** *Let  $h \in \text{SLSC}(A)$ . Then there exists a net  $(a_i)$  in  $A_{sa}$  such that  $a_i \leq h$ ,  $a_i \rightarrow h$  in the revised s.r.s. and for all  $\lambda > 0$ , for all  $c \in A_{sa}$  such that  $c \leq h$ ,  $c \leq a_i + \lambda 1$  for  $i$  sufficiently large.*

*Proof.* Combine Theorem 3.15 and Corollary 3.7.  $\square$

**Corollary 3.17.** *Let  $h \in \text{SLSC}(A)$ . Then there exists a net  $(b_i + \lambda 1)$  in  $\tilde{A}_{sa}$  such that  $b_i \in A_+$ ,  $\lambda_i \nearrow 0$ , and  $b_i + \lambda 1 \nearrow h$ .*

*Proof.* Assume that  $(a_j)$  in  $A_+$  such that  $0 \leq a_j \leq h$ ,  $a_j \rightarrow h$  in the revised s.r.s., and for all  $\lambda > 0$ ,  $\forall c \in A_{sa}$  such that  $c \leq h$ ,  $c \leq a_j + \lambda 1$  for  $j$  sufficiently large. Let  $I = \{(j, \varepsilon) \mid \varepsilon > 0\}$  and define a partial order on  $I$  by

$$(j, \varepsilon) \leq (j', \varepsilon') \iff j \leq j', \quad \varepsilon \geq \varepsilon' \quad \text{and} \quad a_j - \varepsilon 1 \leq a_{j'} - \varepsilon' 1.$$

Then  $I$  is a directed set. For  $i = (j, \varepsilon)$ , let  $b_i = a_j$  and  $\lambda_i = -\varepsilon$ . Then  $b_i + \lambda_i 1 = a_j - \varepsilon 1 \nearrow h$ .  $\square$

The following theorem is an analogue of [3, Proposition 3.5] and [5, Proposition 2.1] that justifies the definition of  $\text{SLSC}(A)$  in some sense.

**Theorem 3.18.** *Let  $0 \leq h \in A_{sa}^{**}$ . Then*

- (a)  $h^{-1} \in \text{SLSC}(A) \Leftrightarrow h \in [(\tilde{A}_{sa})_m]^-$ .
- (b)  $h \in (\tilde{A}_{sa})_m \Leftrightarrow$  there exists a  $\delta > 0$  such that  $h^{-1} - \delta 1 \in \text{SLSC}(A)$ .

*Proof.* (a). Choose, by Corollary 3.17, a net  $h_i = a_i + \lambda_i 1$ ,  $i \in I$ , in  $\tilde{A}_{sa}$  such that  $a_i \in A_+$ ,  $\lambda_i \nearrow 0$ , and  $h_i \nearrow h$ , and let  $p = E_{(0, \infty)}(h)$ . Note that  $p$  is the projection on  $\overline{D(h^{-1})}$ . For  $\varepsilon > 0$  fixed,  $h_i + \varepsilon 1 \nearrow h^{-1} + \varepsilon p (= h^{-1} + \varepsilon 1)$ . Since  $\varepsilon + \lambda_i > 0$  for  $i$  sufficiently large,  $h_i + \varepsilon 1 = a_i + (\varepsilon + \lambda_i) 1 \geq (\varepsilon + \lambda_i) > 0$  and  $(h_i + \varepsilon 1)^{-1} \in \tilde{A}_{sa}$ . Therefore, we have

$$(h_i + \varepsilon 1)^{-1} \searrow (h^{-1} + \varepsilon p)^{-1} \oplus 0(1 - p) \in (\tilde{A}_{sa})_m.$$

Since  $(h^{-1} + \varepsilon p)^{-1} \oplus 0(1 - p) \rightarrow h$  in norm,  $h \in [(\tilde{A}_{sa})_m]^-$ .

For the converse, let  $h \in [(\tilde{A}_{sa})_m]^-$  and  $h_n = h + (1/n)1$ . Then  $h_n \searrow h$  as  $n \nearrow \infty$ . By [3, Proposition 3.5] (cf. [5, Proposition 2.1 (a)]),  $h_n^{-1} \in \overline{A_+^m}$ . Since  $h_n^{-1} \nearrow h^{-1}$ ,  $h^{-1} \in \text{SLSC}(A)$  by Theorem 3.6.

(b) If  $h \in (\tilde{A}_{sa})_m$ , then there exists a net  $h_i = a_i + \lambda_i 1$ ,  $i \in I$ , in  $\tilde{A}_{sa}$  such that  $h_i \searrow h$  and  $\lambda_i \searrow \lambda$ ,  $\lambda > 0$ . Here we may assume that  $h_i \geq \delta_i > 0$  for some  $\delta_i$  for all  $i \in I$ . Then  $h^{-1} \in \lambda_i^{-1} + A_{sa}$  and  $h_i^{-1} \nearrow h^{-1}$ . Choose  $0 < \delta < \lambda_{i_0}^{-1}$ . Then  $h_i^{-1} - \delta 1 \nearrow h^{-1} - \delta 1$  and  $h_i^{-1} - \delta 1 \in (\lambda_i^{-1} - \delta)1 + A_{sa}$ . Since  $\lambda_i^{-1} - \delta > 0$  for  $i > i_0$ ,  $h_i^{-1} - \delta 1 \in \overline{A_{sa}^m}$ . By Theorem 3.6, this implies that  $h^{-1} - \delta 1 \in \text{SLSC}(A)$ .

For the converse, we may assume that  $\delta$  is small enough that  $h^{-1} - \delta 1$  is still positive. Then  $h^{-1} - (\delta/2)1 \in A_+^M$  by Theorem 3.6. If  $b_i \nearrow h^{-1} - (\delta/2)1$ ,  $b_i \in A_+$ , then  $b_i + (\delta/2)1 \nearrow h^{-1}$ . Therefore  $(b_i + (\delta/2)1)^{-1} \searrow h$ .  $\square$

**Theorem 3.19.** *If  $(I_\alpha)$  is an increasing net of ideals with open central projections  $z_\alpha$  such that  $A = (\cup I_\alpha)^-$ , then*

$$h \in \text{SLSC}(A)_+ \iff z_\alpha h \in \text{SLSC}(I_\alpha)_+, \text{ for all } \alpha.$$

*Proof.* If  $h \in \text{SLSC}(A)_+$ , then  $\tilde{f}_\delta(h) \in \overline{A_+^m}$  for  $\delta > 0$ . By [5, Proposition 2.24],  $z_\alpha \tilde{f}_\delta(h) \in \overline{(I_\alpha)_+^m}$  for all  $\alpha$ . Then  $z_\alpha h = f_{-\delta}(z_\alpha \tilde{f}_\delta(h)) \in \text{SLSC}(I_\alpha)_+$  by Corollary 3.10.

For the converse, fix  $\delta > 0$ . If  $z_\alpha h \in \text{SLSC}(I_\alpha)_+$ , then there exists a net  $(b_i + \lambda_i z_\alpha)$  in  $(\tilde{I}_\alpha)_{sa}$ ,  $b_i \in (I_\alpha)_+$ ,  $\lambda_i \nearrow 0$  such that  $b_i + \lambda_i z_\alpha \nearrow z_\alpha h$  by Corollary 3.17. Thus,  $f_\delta(b_i + \lambda_i z_\alpha) \in f_\delta(\lambda_i)z_\alpha + (I_\alpha)_{sa}$  whenever  $\lambda_i > -\delta^{-1}$  and  $\underline{f}_\delta(b_i + \lambda_i z_\alpha) \nearrow \tilde{f}_\delta(z_\alpha h)$  as  $i \nearrow \infty$ . Since  $f_\delta(\lambda_i) \nearrow 0$ ,  $\tilde{f}_\delta(z_\alpha h) \in \overline{(I_\alpha)_+^m}$  for all  $\alpha$ . By [5, Proposition 2.24], this implies  $\tilde{f}_\delta(h) \in \overline{A_+^m}$  and hence  $h \in \text{SLSC}(A)_+$ .  $\square$

Before we end this section, we check the relation between strong semi-continuity and strong  $q$ -semi-continuity. Recall that a projection  $p \in A^{**}$  is called *open* if it is strongly (or weakly) lsc, *closed* if  $1 - p$  is open, and *compact* if  $p$  is closed and  $p \leq a$  for some  $a$  in  $A$ . We refer to [5, p. 905] for the history of  $q$ -semi-continuity.

**Definition 3.20.** Assume  $h\eta A^{**}$  and  $h$  is self-adjoint on a subspace of  $H_u$ .

- (a)  $h$  is called  $q$ -LSC if  $[E_{(t,\infty)}(h) + (1-p)]$  is open for all  $t \in \mathbf{R}$ .
- (b)  $h$  is called *strongly*  $q$ -LSC if  $-h$  is  $q$ -LSC and  $E_{(-\infty,-\varepsilon]}(h)$  is compact for all  $\varepsilon > 0$ .
- (c)  $h$  is called *strongly*  $q$ -USC if  $-h$  is strongly  $q$ -LSC.

*Remark.* The following lemma shows that strongly  $q$ -LSC implies bounded below.

**Lemma 3.21.**  $h$  is strongly  $q$ -LSC implies that  $h$  is bounded below.

*Proof.* Let  $q_n = E_{(-\infty,-n]}(h)$ . Then  $(q_n)$  is a decreasing sequence of compact projections and  $\bigwedge_n q_n = 0$ . By Akemann [1, Theorem 2.10], this implies that  $q_{n_0} = 0$  for  $n_0$  sufficiently large. Therefore,  $h$  is bounded below.  $\square$

**Theorem 3.22.**  $h$  is strongly  $q$ -LSC implies that  $h \in \text{SLSC}(A)$ .

*Proof.* By the above lemma, we may assume  $h \geq -n$  for some  $n$ . Then for  $0 < \delta < 1/n$ ,  $\tilde{f}_\delta(h)$  is strongly  $q$ -lsc. Therefore,  $\tilde{f}_\delta(h) \in \overline{A_{sa}^m}$  by [5, Proposition 2.50], and so  $h$  belongs to  $\text{SLSC}(A)$ .  $\square$

**Theorem 3.23.** Let  $h \in \text{SLSC}(A)$  and  $h\eta\mathcal{Z}$ . Then  $h$  is strongly  $q$ -LSC.

*Proof.* Combine Theorem 3.6 and [5, Proposition 2.55].  $\square$

**4. Interpolation theorems and examples.** For the commutative  $C^*$ -algebra  $A = C_0(X)$ , where  $X$  is a locally compact Hausdorff space, the strong interpolation problem can be reduced to the bounded case easily, so that we can give an affirmative answer to (Q3). Unlike the commutative case, we have a noncommutative counterexample for this problem. But, under some extra hypotheses, we still get positive results.

**Example.** Let  $A = c_0 \otimes M_2$ . Then  $A^{**} \cong l_\infty \otimes M_2$ . Let  $h = (h_n)$  and  $k = (k_n)$  where

$$h_n = \begin{pmatrix} \cos \theta_n & -\sin \theta_n \\ \sin \theta_n & \cos \theta_n \end{pmatrix} \begin{pmatrix} -\beta_n & 0 \\ 0 & \alpha_n \end{pmatrix} \begin{pmatrix} \cos \theta_n & \sin \theta_n \\ -\sin \theta_n & \cos \theta_n \end{pmatrix},$$

$$k_n = \begin{pmatrix} -\alpha_n & 0 \\ 0 & \beta_n \end{pmatrix},$$

$$1 \leq \alpha_n \nearrow \infty, \quad 1 \geq \beta_n \searrow 0, \quad \theta_n = \cos^{-1} \left( \frac{2\sqrt{\alpha_n \beta_n}}{\alpha_n + \beta_n} \right).$$

Then  $h_n \geq k_n$  for all  $n$ , and so  $h \geq k$ . By Proposition 3.11, we can see that  $h \in \text{SLSC}(A)$  and  $k \in \text{SUSC}(A)$ . Now, if  $a_n$  exists in  $(M_2)_{sa}$  such that  $h_n \geq a_n \geq k_n$ , then a little computation shows that  $\|a_n\| \geq \sqrt{\alpha_n \beta_n}$ . Therefore,  $(a_n)$  cannot be in  $A_{sa}$  unless  $\sqrt{\alpha_n \beta_n} \rightarrow 0$ .

**Theorem 4.1.** (a) Let  $k \in [(A_{sa})_m]^-$  and  $h \in \text{SLSC}(A)$  such that  $k \leq h$ . Then there exists  $a \in A_{sa}$  such that  $k \leq a \leq h$ .

(b) Let  $k \in \text{SUSC}(A)$  and  $h \in \overline{A_{sa}^m}$  such that  $k \leq h$ . Then there exists  $a \in A_{sa}$  such that  $k \leq a \leq h$ .

*Proof.* (a) By Theorem 3.6 above, there exists  $n_0 \in \mathbf{N}$  such that  $\tilde{f}_{1/n}(h) \in \overline{A_{sa}^m}$  for all  $n \geq n_0$ . And we also have  $f_{1/n}(k) \in [(A_{sa})_m]^-$  for all  $n$  such that  $-n \leq k - 1$  by [5, Proposition 2.30]. Proposition 2.5 implies  $f_{1/n}(k) \leq \tilde{f}_{1/n}(h)$  for  $n$  such that  $n \geq n_0$  and  $-n \leq k - 1$ . We may assume that  $-n_0 \leq k - 1$ . Let  $B$  be the  $c_0$ -direct sum of countably many copies of  $A$ ,  $B = \bigoplus_{n=n_0}^\infty A_n$ , and let

$$\tilde{k} = (\tilde{k}_n) = \left( \frac{1}{n} f_{1/n}(k) \right)_{n=n_0}^\infty$$

and

$$\tilde{h} = (\tilde{h}_n) = \left( \frac{1}{n} \tilde{f}_{1/n}(h) \right)_{n=n_0}^\infty.$$

Then by Proposition 3.11 above,  $\tilde{k} \in [(B_{sa})_m]^-$  and  $\tilde{h} \in \overline{B_{sa}^m}$ . Since  $\tilde{k}_n \leq \tilde{h}_n$  for all  $n \geq n_0$ ,  $\tilde{k} \leq \tilde{h}$  so that we can apply the strong interpolation theorem [5, Corollary 3.16]. Therefore there exists a



$b \in B_{s_a}$  such that  $\tilde{k} \leq b \leq \tilde{h}$ , that is, there exists  $b_n \in A_{s_a}$  for all  $n \geq n_0$ , such that  $\|b_n\| \rightarrow 0$  and  $(1/n)f_{1/n}(k) \leq b_n \leq (1/n)\tilde{f}_{1/n}(h)$ . We fix an integer  $m \geq n_0$  such that  $\|b_m\| < 1/2$ . Then  $f_{1/m}(k) \leq mb_m \leq \tilde{f}_{1/m}(h)$  and  $\|mb_m\| < m/2$ . Hence  $f_{-1/m}(mb_m)$  is in  $A_{s_a}$  and  $k \leq f_{-1/m}(mb_m) \leq h$ .

(b) Apply part (a) to  $-h \leq -k$ .  $\square$

**Theorem 4.2.** (a) Let  $k \in \text{SUSC}(A)$  and  $h \in \text{SLSC}(A)$  such that  $k \leq h$ . Then for all  $\varepsilon > 0$ , there exists an  $a \in A_{s_a}$  such that  $k - \varepsilon 1 \leq a \leq h$ .

(b) Let  $k \in \text{SUSC}(A)$  and  $h \in \text{SLSC}(A)$  such that  $k \leq h$ . Then for all  $\varepsilon > 0$ , there exists an  $a \in A_{s_a}$  such that  $k \leq a \leq h + \varepsilon 1$ .

*Proof.* (a) Since  $-k \in \text{SLSC}(A)$ ,  $\tilde{f}_{1/n}(-k) \in \overline{A_{s_a}^m}$  for sufficiently large  $n$ . Note that  $\tilde{f}_{1/n}(-k) \nearrow (-k)$  as  $n \rightarrow \infty$ . Hence, we have

$$h + \tilde{f}_{1/n}(-k) \nearrow (h - k) \geq 0, \quad \text{and} \quad h + \tilde{f}_{1/n}(-k) \in \text{SLSC}(A).$$

Dini theorem (for lsc functions on  $Q(A)$ ) implies that  $-\varepsilon \leq h + \tilde{f}_{1/n}(-k)$  for sufficiently large  $n$ . Applying the previous theorem for  $-\tilde{f}_{1/n}(-k) - \varepsilon 1$  and  $h$ , we can find  $a$  in  $A_{s_a}$  such that  $k - \varepsilon 1 \leq -\tilde{f}_{1/n}(-k) - \varepsilon 1 \leq a \leq h$ .

(b) Apply part (a) to  $-h \leq -k$ .  $\square$

**Definition 4.3** (cf. [5, Definition–Lemma 3.39]). Let  $h$  and  $k$  be self-adjoint operators on some subspaces of  $H_u$  such that  $h, k \eta A^{**}$ . Then we write  $h \stackrel{q}{\geq} k$  if and only if  $E_{(-\infty, s]}(h) \cdot E_{[t, \infty)}(k) = 0$  for all  $s, t \in \mathbf{R}$  such that  $s < t$ .

*Remark.* Note that  $h \stackrel{q}{\geq} k$  implies  $h \geq k$ .

**Theorem 4.4.** Assume  $h \stackrel{q}{\geq} k$ . If either  $h$  is strongly  $q$ -LSC and  $k$  is in  $\text{SUSC}(A)$  or  $k$  is strongly  $q$ -USC and  $h$  is in  $\text{SLSC}(A)$ , then there exists an  $a$  in  $A_{s_a}$  such that  $k \leq a \leq h$ .

*Proof.* Assume that  $h$  is strongly  $q$ -LSC,  $k \in \text{SUSC}(A)$  and  $h \stackrel{q}{\geq} k$ . Consider the function  $g$  given by  $g(t) = t$  if  $t \in (-\infty, \|k_+\|)$ , and  $g(t) = \|k_+\|$  otherwise. Let  $\tilde{g}(h) = g(h) \oplus \|k_+\|(1 - p_h)$ . Then  $g(k) = k$ ,  $\tilde{g}(h)$  is strongly  $q$ -lsc, and  $\tilde{g}(h) \stackrel{q}{\geq} g(k)$ . Therefore,  $\tilde{g}(h) \geq g(k)$  and we can apply Theorem 4.1. Then there exists  $a \in A_{sa}$  such that  $k = g(k) \leq a \leq \tilde{g}(h) \leq h$ . The other case follows by a similar argument.  $\square$

**Example A.**  $C_0(X)$ . Let  $A = C_0(X)$  where  $X$  is a locally compact Hausdorff space. Since each bounded strongly lsc element is determined completely by its atomic part (Pedersen [15, Theorem 4.3.15]) so is every element in  $\text{SLSC}(A)$  by Theorem 3.6. Therefore, it is enough to consider  $z_{at}A^{**}$ . Note that the atomic part,  $z_{at}A^{**}$  can be identified with  $L^\infty(X)$ , the set of bounded functions on  $X$ . The diffuse part,  $(1 - z_{at})A^{**}$ , is isomorphic to a direct sum of  $L^\infty(X, \mu)$ 's for some continuous measures  $\mu$ . It is known and easy to see that the bounded strongly lsc elements correspond to the bounded lower semi-continuous functions  $f$  on  $X$  such that  $f_-$  vanishes at infinity. Using this and Theorem 3.6 above, it is obvious that  $\text{SLSC}(A)$  corresponds to the set of  $(-\infty, \infty]$ -valued lower semicontinuous functions on  $X$  such that  $f_-$  vanishes at infinity.

**Example B.**  $\mathcal{K}$ . Let  $A = \mathcal{K}$ , the algebra of compact operators on a separable infinite dimensional Hilbert space. It is well known that  $A^{**} = M(A) = B(H)$ . Since every (not necessarily densely defined) positive operator  $h$  can be approximated from below by finite rank operators on  $\overline{D(h)}$  and  $1 - p_h \in \overline{A_{sa}^n}$ , it follows directly that  $\text{SLSC}(A) = \{h \mid h_- \oplus 0(1 - p_h) \in \mathcal{K}\}$ .

**Example C.**  $c \otimes \mathcal{K}$ . Let  $A = c \otimes \mathcal{K}$ ; then  $A^{**}$  can be identified with bounded collections  $\{h_n \mid 1 \leq n \leq \infty, h_n \in B(H)\}$ . We give the following criterion which is deduced from Brown [5, Criterion 5.13].

**Criterion for unbounded semicontinuity for  $c \otimes \mathcal{K}$ .** *If  $h \in \mathcal{R}_b(A)$ , then  $h \in \text{SLSC}(A)$  if and only if*

- (1) *Each  $h_n$  is in  $\text{SLSC}(\mathcal{K})$ ,  $1 \leq n \leq \infty$ .*

(2) If  $K \in \mathcal{K}$ ,  $K \leq h_\infty$ , and if  $\varepsilon > 0$ , then there exists an  $N$  such that  $K \leq h_n + \varepsilon$  for all  $n > N$ .

*Proof.* This is a special case of the criterion for  $C_0(X) \otimes \mathcal{K}$  below.  $\square$

**Example D.**  $C_0(X) \otimes \mathcal{K}$ . Let  $A$  denote  $C_0(X) \otimes \mathcal{K}$  where  $X$  is a second countable, locally compact Hausdorff space. Then  $z_{at}A^{**}$  can be identified with the space of bounded functions from  $X$  to  $B(H)$ . So we will say that, for a self-adjoint operator  $h$  affiliated with  $z_{at}A^{**}$ ,  $h$  is strongly LSC if  $h = z_{at}\tilde{h}$  for some (unique)  $\tilde{h}$  in  $SLSC(A)$ . We give a criterion for  $h\eta z_{at}A^{**}$  as follows (cf. [5, Criterion 5.19]).

**Criterion for unbounded semicontinuity for  $C_0(X) \otimes \mathcal{K}$ .**  $h\eta z_{at}A^{**}$  is strongly LSC if and only if

- (1) Each  $h(x)$  is in  $SLSC(\mathcal{K})$  for all  $x \in X$ .
- (2) For all  $\varepsilon > 0$ , there exists a compact  $F \subset X$  such that  $h(x) \geq -\varepsilon$  for all  $x \notin F$ , and
- (3) If  $x_0 \in X$ ,  $\mathcal{K} \ni K \leq h(x_0)$ , and  $\varepsilon > 0$ , then there is a neighborhood  $U$  of  $x_0$  such that  $K \leq h(x) + \varepsilon 1$  for all  $x \in U$ .

*Proof.* ( $\Rightarrow$ ). This follows from the same proof as for [5, 5.13].

( $\Leftarrow$ ). Assume that  $h$  satisfies (1), (2) and (3). By (2) and (3),  $h$  is bounded below. Let  $-m \leq h$ ,  $m > 0$ , and fix  $\delta > 0$  such that  $\delta < 1/m$ . Now we will show that  $\tilde{f}_\delta(h) \in z_{at}\overline{A_{sa}^m}$  using the criterion 5.19 of [5]. First, it is clear that  $\tilde{f}_\delta(h)(x) \in \overline{\mathcal{K}_{sa}^m}$  by (1). By the properties of  $f_\delta$ , the second condition of [5, 5.19] follows from (2). For the last condition, let  $x_0 \in X$ ,  $\mathcal{K} \ni K \leq \tilde{f}_\delta(h)(x_0)$ , and  $\varepsilon > 0$ . Let  $K' = g(K)$  where  $g = \text{id} \wedge (1/\delta - \varepsilon/2)$  (assume  $1/\delta > \varepsilon/2$ ). Then  $K' \leq K \leq K' + (\varepsilon/2)1 \leq 1/\delta$  and  $f_{-\delta}(K')$  is a compact operator such that  $f_{-\delta}(K') \leq h(x_0)$  by Proposition 2.5. Choose small  $\alpha > 0$  such that  $f_\delta(-m) + \varepsilon/2 \geq f_\delta(-m + \alpha)$ . Then  $f_\delta(\cdot) + \varepsilon/2 \geq f_\delta(\cdot + \alpha)$  on  $[-m, \infty)$ . By (3), there is a neighborhood  $U$  of  $x_0$  such that  $f_{-\delta}(K') \leq h(x) + \alpha 1$  for all  $x \in U$ . Therefore,

$$K \leq K' + \frac{\varepsilon}{2}1 \leq \tilde{f}_\delta(h(x) + \alpha 1) + \frac{\varepsilon}{2}1 \leq \tilde{f}_\delta(h(x)) + \varepsilon 1$$

for all  $x \in U$ . Hence, we are done by Theorem 3.6.  $\square$

**Acknowledgment.** This paper is a part of the author's doctoral dissertation. The author wishes to express his sincere thanks to Professor L.G. Brown for his guidance and invaluable suggestions.

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DEPARTMENT OF MATHEMATICS, YONSEI UNIVERSITY, KANGWONDO, 222-701,  
SOUTH KOREA

*E-mail address:* kimh@bubble.yonsei.ac.kr