

ON MAHLER'S CLASSIFICATION  
IN LAURENT SERIES FIELDS

EUGÈNE DUBOIS

ABSTRACT. In 1932, K. Mahler introduced his famous classification for complex numbers in disjoint sets  $A, S, T, U$  [9, 10]. In 1978, P. Bundschuh introduced a similar classification for the field of formal Laurent series over a finite field  $K$  and gave some explicit series in the class  $U$ . Here we consider the case of an arbitrary field  $K$  and prove the existence of  $U$ -numbers whose continued fractions verify additional properties.

**0. Introduction.** T. Schneider's book [16, Chapter 3] is a complete introduction to the subject, whereas A. Baker's book [2, Chapter 8] offers a general outlook. For a polynomial  $P = c_n x^n + \cdots + c_0$  in  $\mathbf{Z}[X]$  with  $c_n \neq 0$ , we define the degree  $d(P)$  and the height  $h(P)$  by

$$d(P) = n, \quad h(P) = \text{Max} \{|c_j|, 0 \leq j \leq n\}.$$

For natural numbers  $n \geq 1$ ,  $H \geq 1$ , we consider

$$(1) \quad P_{n,H} = \{P \in \mathbf{Z}[X] : d(P) \leq n, H(P) \leq H\}$$

and for any complex number  $\xi$ , we define  $w(n, H, \xi)$ ,  $w_n(\xi)$ ,  $w(\xi)$  by

$$\text{Min} \{|P(\xi)| : P \in P_{n,H}\} = H^{-nw(n,H,\xi)}$$

and

$$(2) \quad \begin{aligned} w_n &= w_n(\xi) = \limsup_{H \rightarrow \infty} w(n, H, \xi); \\ w &= w(\xi) = \limsup_{n \rightarrow \infty} w_n(\xi) \\ v &= v(\xi) = \text{Inf} \{n : w_n(\xi) = \infty\} \\ &\text{with } v = \infty \text{ if } w_n < \infty \text{ for all } n. \end{aligned}$$

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The classes  $A, S, T, U$  are defined by

$$(3) \quad \begin{cases} \xi \text{ is an } A\text{-number} & \text{if } w(\xi) = 0, v(\xi) = \infty \\ \xi \text{ is an } S\text{-number} & \text{if } 0 < w(\xi) < \infty, v(\xi) = \infty \\ \xi \text{ is a } T\text{-number} & \text{if } w(\xi) = \infty, v(\xi) = \infty \\ \xi \text{ is a } U\text{-number} & \text{if } w(\xi) = \infty, v(\xi) < \infty. \end{cases}$$

In this case we say  $\xi$  is a  $U_v$ -number.

The  $A$ -numbers are the set of algebraic numbers. Almost all complex numbers and almost all real numbers are  $S$ -numbers (Lebesgue measure). Liouville numbers are  $U_1$ -numbers. The existence of  $U_v$ -numbers of any degree,  $v$ , was proved by LeVeque [9], and the existence of  $T$ -numbers was proved by W.M. Schmidt [15]. W.M. Schmidt gives a recursive construction to prove the existence of  $T^*$ -numbers (with Koksma's classification [8], based on approximation of  $\xi$  by algebraic numbers, which is equivalent to Mahler's classification). In the same paper W.M. Schmidt shows that, for any irrational number  $\alpha$  which isn't Liouville, there exists an irrational  $\beta$  which is not Liouville such that  $\alpha/\beta$  is Liouville.

The basic results of diophantine approximation give few results on the functions  $w(n, h, \xi)$ ,  $w_n(\xi)$ ,  $w(\xi)$ . Using Dirichlet's theorem one proves that  $w_n \geq 1$ , for any  $n$  and  $\xi$  transcendental, but also if  $\xi$  is algebraic of degree  $d > n$ . Roth's theorem gives  $w_1 \leq 1$  for  $\xi$  algebraic and Schmidt's theorem gives  $w_n \leq 1$  for  $n < d$  and  $\xi$  algebraic of degree  $d$ . There is some correlation with the theory of continued fractions. A. Baker [3] proved that there are  $U$ -numbers and also  $T$  or  $S$ -numbers in the set of real numbers with bounded partial quotients and that there are  $U$ -numbers and also  $T$  or  $S$ -numbers in the set of real numbers with unbounded partial quotients. Moreover, techniques using continued fractions were used by E. Burger and T. Struppeck to prove the existence of  $U_2$ -numbers whose partial quotients lie in a given set and obey a given distribution (see [6]). For the field of formal Laurent series a similar technique is used in the present paper and in [5].

Let  $K((1/z))$  be the field of formal Laurent series (in  $1/z$ ) over an arbitrary field  $K$ . The sets  $\mathcal{Z} = K[z]$ ,  $\mathcal{Q} = K(z)$ ,  $\mathcal{R} = K((1/z))$  play the analogous roles of  $\mathbf{Z}$ ,  $\mathbf{Q}$ ,  $\mathbf{R}$ , respectively. We define the 0-adic

absolute value  $|\cdot|_0$  on  $\mathcal{Z}$  by

$$|f(z)|_0 = e^\delta \quad \text{if } f(z) = z^\delta f_1(z)$$

with  $f_1(z) \in \mathcal{Z}, f_1(0) \neq 0; |0|_0 = 0.$

This absolute value is then extended to  $\mathcal{Q}$  and to its completion  $\mathcal{R} = K((1/z))$ .

In this context Roth's theorem was generalized by S. Uchiyama [17] and Schmidt's theorem by E. Dubois [7] and M. Ratliff [14] when  $\text{char}(K) = 0$ . These theorems do not hold when  $\text{char}(K) \neq 0$ . Osgood [13] and de Mathan [11] gave some counterexamples.

P. Bundschuh [4] extended Mahler's classification to the field of formal Laurent series over a finite field  $K$  and gave some explicit examples of  $U$ -numbers. The main result of this paper was announced in the note [5], which contained a sketch of the proof when  $\text{char}(K) \neq 2$ . In the present paper we give the complete proof for any infinite field  $K$  or any  $K$  with  $\text{char}(K) \neq 2$ .

**1. Mahler's classification.** Let us consider polynomials

$$P(X) = c_n X^n + \dots + c_0 \quad \text{with } c_j = c_j(z) \in K[z].$$

For convenience we denote the degree of  $P$  with respect to  $X$  by  $d(P)$  and the degree of  $c_j$  with respect to  $z$  by  $\delta(c_j)$  (thus  $|c_j|_0 = e^{\delta(c_j)}$ ). The logarithmic height of  $P$  is defined as

$$h(P) = \text{Max} \{ \delta(c_j) : 0 \leq j \leq n \}.$$

For integers  $n \geq 1, h \geq 0$ , we consider

$$(4) \quad \mathcal{P}_{n,h} = \{ P \in \mathcal{Z}[X], d(P) \leq n, h(P) \leq h \}.$$

So when  $K$  is finite, one may easily define  $w(n, h, \alpha)$  by

$$(5) \quad \text{Inf} \{ |P(\alpha)|_0 : P \in \mathcal{P}_{n,h} \} = e^{-nhw(n,h,\alpha)}.$$

In this formula  $e^{-h}$  plays the role  $H$  did in the introduction. Then formulas (2) and (3) determine a similar classification for  $S, T$  and  $U$ .

When  $K$  is infinite we use the same definition, but only after proving that the infimum in (5) is positive.

**Lemma 1.** *Let  $\alpha$  be a transcendental number in  $\mathcal{R}$  over  $\mathcal{Q}$ . Then, for any integers  $n \geq 1$ ,  $h \geq 0$ , we have*

$$(6) \quad \text{Inf}\{|P(\alpha)|_0 : P \in \mathcal{P}_{n,h}\} > 0.$$

*If  $\alpha$  is algebraic of degree  $d$  over  $\mathcal{Q}$  the same result holds for  $h \geq 0$  and  $1 \leq n < d$ .*

*Proof.* If  $|\alpha|_0 > 1$  we consider  $\beta = \alpha z^{-\delta(\alpha)}$  (so  $|\beta|_0 = 1$ ) and the correspondence

$$P = c_n X^n + \cdots + c_0 \longleftrightarrow \tilde{P} = c_n z^{n\delta(\alpha)} X^n + \cdots + c_0$$

so that  $P(\alpha) = \tilde{P}(\beta)$  and  $h(\tilde{P}) \leq h(P) + n\delta(\alpha)$ . As we have

$$\begin{aligned} \text{Inf}\{|P(\alpha)|_0, P \in \mathcal{P}_{n,h}\} &= \text{Inf}\{|\tilde{P}(\beta)|_0, P \in \mathcal{P}_{n,h}\} \\ &\geq \text{Inf}\{|Q(\beta)|_0, Q \in \mathcal{P}_{n,h_1}\} \end{aligned}$$

where  $h_1 = h + n\delta(\alpha)$ , the lemma for  $\beta, n, h_1$  gives the lemma for  $\alpha, n, h$ . So it is enough to prove (6) when  $|\alpha|_0 \leq 1$ .

Let  $\alpha$  be such that  $|\alpha|_0 \leq 1$  and suppose that there exist integers  $n, h$  such that

$$(7) \quad \text{Inf}\{|P(\alpha)|_0 : P \in \mathcal{P}_{n,h}\} = 0.$$

We will now prove that there exists a polynomial  $P$  in  $\mathcal{P}_{n,h}$  such that  $P(\alpha) = 0$  and  $P \neq 0$ . We write

$$(8) \quad \begin{aligned} \alpha^i &= \sum_{k \geq 0} a_{i,k} z^{-k} \quad \text{with } a_{i,k} \in K \quad \text{for } k \geq 0, i \geq 0, \\ P(X) &= \sum_{0 \leq i \leq n} c_i X^i, \quad c_i = \sum_{0 \leq j \leq h} c_{ij} z^j \quad \text{with } c_{ij} \in K. \end{aligned}$$

Then

$$P(\alpha) = \sum_{0 \leq i \leq n} \sum_{0 \leq j \leq h} c_{ij} z^j \sum_{k \geq 0} a_{i,k} z^{-k} = \sum_{s \geq -h} l_s(\underline{c}) z^{-s},$$

where  $\underline{c} = (c_{i,j} : 0 \leq i \leq n, 0 \leq j \leq h)$  and

$$(9) \quad l_s(\underline{c}) = \sum_{0 \leq i \leq n} \sum_{0 \leq j \leq h} a_{i,s+j} c_{i,j}, \quad s \geq -h$$

are linear forms in the  $(n + 1)(h + 1)$  unknowns  $c_{i,j}$  with coefficients  $a_{i,s+j}$  in  $K$ .

From (7) there exists, for any  $r \geq -h$ , a polynomial  $P$  in  $\mathcal{P}_{n,h}$  such that  $|P(\alpha)|_0 \leq e^{-r}$ . This means that the system

$$l_s(\underline{c}) = 0 \quad \text{for} \quad -h \leq s < r$$

has a nonzero solution  $\underline{c}$  in  $K^{(n+1)(h+1)}$ . Denote by  $M_r$  the matrix  $(a_{i,s+j})$  with  $r + h$  rows indexed by  $-h \leq s < r$  and  $(n + 1)(h + 1)$  columns indexed by  $0 \leq i \leq n, 0 \leq j \leq h$ . Then the rank of  $M_r$  is less than  $(n + 1)(h + 1)$ . The nondecreasing and stationary sequence  $\text{rank}(M_r)$  has a maximum  $m$  less than  $(n + 1)(h + 1)$ . From a basis  $l_{s(1)}, \dots, l_{s(m)}$  we get a nonzero solution  $\underline{c}$  such that  $l_{s(t)}(\underline{c}) = 0$  for  $1 \leq t \leq m$  and then,  $l_s(\underline{c}) = 0$  for any  $s$ . The corresponding polynomial  $P$  (from (8)) satisfies  $P(\alpha) = 0$ . This contradicts the fact that  $\alpha$  is transcendental or algebraic of degree  $d > n$ .  $\square$

**2.  $U$ -numbers.** We say that  $\alpha$  is *Liouville* if for any  $\Omega > 0$  there exist  $q, p$  in  $\mathcal{Z}$  such that  $|q\alpha - p|_0 < |q|_0^{-\Omega}$ . Clearly  $\alpha$  is Liouville if and only if  $\alpha$  is a  $U_1$ -number. Similarly,  $\alpha$  is a  $U_2$ -number if and only if

$$(10) \quad \begin{cases} \forall \Omega > 0, \exists q, p_1, p_2 \text{ in } \mathcal{Z} \text{ such that } |q + p_1\alpha + p_2\alpha^2|_0 < |q|_0^{-\Omega} \\ \exists \Omega_1 > 0, \forall q, p \text{ in } \mathcal{Z} \text{ we have } |q\alpha - p|_0 > |q|_0^{-\Omega_1}. \end{cases}$$

Using the continued fraction algorithm, E. Burger and T. Struppeck [6] in the real case and [5] in the formal Laurent series case announced the existence of  $U_2$ -numbers  $\alpha$ , with the property that for  $b_1, b_2, \dots$  in  $\mathbf{Z}$  or  $\mathcal{Z}$  (with  $\text{Char}(K) \neq 2$ ),  $(\alpha + b_1)^2, (\alpha + b_2)^2, \dots$  are Liouville. We will now write the complete proof, replacing  $(\alpha + b)^2$  by  $\alpha + b - 1/(\alpha + b)$  and this is valid for any base field  $K$ .

Suppose  $\mathcal{A}$  is a subset of  $\mathcal{Z} \setminus K$  and  $\mathcal{P} : \mathcal{A} \rightarrow [0, 1]$  is a probability measure on  $\mathcal{A}$ . For  $\alpha$  in  $\mathcal{R} \setminus \mathcal{Q}$  with continued fraction  $\alpha =$

$[a_0, a_1, \dots, a_n \dots]$  we say that the *asymptotic density of partial quotients of  $\alpha$  agrees with  $\mathcal{P}$*  if for each  $a \in \mathcal{A}$ ,

$$\mathcal{P}(a) = \lim_{N \rightarrow \infty} \frac{1}{N} \#\{n, 1 \leq n \leq N, a_n = a\}.$$

**Theorem.** *Let  $a_0 \in \mathcal{Z}$ ,  $\mathcal{B}$  and  $\mathcal{A}$  be subsets of  $\mathcal{Z} \setminus K$ . Further assume that  $\#\mathcal{A} \geq 2$  and  $a_0 + \mathcal{B} \subset \mathcal{A}$ . Let  $\mathcal{P}$  be a probability measure on  $\mathcal{A}$ . Then there exist uncountably many  $U_2$ -numbers,  $\alpha = [a_0, a_1, \dots] \in K((1/z))$  such that*

- (i) *any partial quotient  $a_n$ ,  $n \geq 1$ , is in  $\mathcal{A}$ ;*
- (ii) *the asymptotic density of the partial quotients of  $\alpha$  agrees with  $\mathcal{P}$ ;*
- (iii) *for any  $n$  large enough,  $|a_n|_0 < e^n$ ;*
- (iv) *for each  $b$  in  $\mathcal{B}$ ,  $\alpha + b - 1/(\alpha + b)$  is a Liouville number.*

When the 0-adic absolute values of the elements in  $\mathcal{A}$  are bounded, the theorem asserts that there exist  $U_2$ -numbers  $\alpha$  which are badly approximable and for which  $\alpha + b - 1/(\alpha + b)$  is Liouville (and therefore very approximable) for each  $b$  in  $\mathcal{B}$ .

To prove the theorem when  $\mathcal{B} = \{b\}$ , we construct a sequence  $(\alpha_j)_{j \geq 1}$  of quadratic irrationals over  $\mathcal{Q}$  with partial quotients in  $\mathcal{A}$  such that the asymptotic density is close to  $\mathcal{P}$  and such that  $\alpha_j + b - 1/(\alpha_j + b)$  is in  $\mathcal{Q}$  and is a very good approximation of  $\alpha + b - 1/(\alpha + b)$  where  $\alpha = \lim_{j \rightarrow \infty} \alpha_j$ .

**2.1. Properties of continued fractions.** In 1924, E. Artin [1] introduced the continued fraction algorithm in a field of Laurent series (see also [11]). For  $\alpha \in \mathcal{R}$  we denote the partial quotients, the complete quotients and the convergents of  $\alpha$ , respectively, by  $a_n$ ,  $\alpha^{(n)}$  and  $p_n/q_n$ . We have the standard formulas

$$\begin{aligned} \alpha &= [a_0, a_1, \dots, a_n \dots], & a_n &\in \mathcal{Z}, & n &\geq 0, \\ & & |a_n|_0 &> 1, & n &\geq 1, \\ \alpha^{(n)} &= [a_n, a_{n+1}, \dots], & n &\geq 0, \\ \alpha^{(n)} &= a_n + 1/\alpha^{(n+1)}, \end{aligned}$$

$$\begin{aligned} p_{-2} &= 0, & p_{-1} &= 1, & p_{n+1} &= a_{n+1}p_n + p_{n-1}, & n &\geq -1, \\ q_{-2} &= 1, & q_{-1} &= 0, & q_{n+1} &= a_{n+1}q_n + q_{n-1}, & n &\geq -1. \end{aligned}$$

Many standard properties of continued fractions remain valid in this context but there are differences. For example, if the sequence of partial quotients of  $\alpha$  is periodic, then  $\alpha$  is quadratic over  $\mathcal{Q}$ . (For a purely periodic  $\alpha$  with length  $l$  we have  $\alpha = (p_l\alpha + p_{l-1})/(q_l\alpha + q_{l-1})$ ). But the converse does not hold when  $K$  is infinite. It is only true when  $K$  is finite. (For example,  $\alpha = [z, z, z/2, 2z, \dots, z/2^n, 2^n z, \dots]$  satisfies  $z\alpha^2 + (1 - z^2)\alpha - 2z = 0$ ).

Since the absolute value is an ultrametric we get [11] the approximation

$$(11) \quad |q_n(q_n\alpha - p_n)|_0 = 1/|a_{n+1}|_0,$$

$$(12) \quad |q_n\alpha - p_n|_0 = \text{Min} \{|q\alpha - p|_0 : (p, q) \in \mathcal{Z}^2, |q|_0 < |q_{n+1}|_0\}.$$

From (11) and (12) we can say that  $\alpha$  is badly approximable if and only if the absolute value of its partial quotients is bounded.

**Lemma 2.** *Let  $a_0$  be in  $\mathcal{Z} \setminus K$  and  $(a_1, \dots, a_t)$  be a symmetric sequence in  $(\mathcal{Z} \setminus K)^t$ . Then the quadratic number  $\alpha$  defined by the purely periodic continued fraction*

$$\overline{[a_0, a_1, \dots, a_t, a_0]}$$

*is such that  $\alpha - 1/\alpha \in \mathcal{Q}$ .*

*Proof.* Using  $\alpha^{(t+2)} = \alpha$  and the conjugate of the relation  $\alpha^{(n)} = a_n + 1/\alpha^{(n+1)}$  for  $0 \leq n \leq t + 2$ , we easily get that the continued fraction of  $-1/\alpha'$  is that of  $\alpha$  with the period reversed (here  $\alpha'$  is the algebraic conjugate of  $\alpha$ ). Using symmetry we have  $-1/\alpha' = \alpha$ . Thus,  $\text{Tr}(\alpha) = \alpha + \alpha' = \alpha - 1/\alpha \in \mathcal{Q}$ .  $\square$

**2.2 Proof of the theorem.** First we suppose that  $\mathcal{B} = \{b\}$ .

Let  $(\varepsilon_j)_{j \geq 1}, (\Omega_j)_{j \geq 1}$  be two monotonic sequences of positive real numbers with  $\lim_{j \rightarrow \infty} \varepsilon_j = 0$  and  $\lim_{j \rightarrow \infty} \Omega_j = \infty$ . Denote by  $\mathcal{A}^*$  the

set of words composed with the alphabet  $\mathcal{A}$ . If  $W = (w_1, \dots, w_N) \in \mathcal{A}^*$  with length  $N$  we use the notation  $\overrightarrow{W} = W$ ,  $\overleftarrow{W} = (w_N, \dots, w_1)$ ,  $W^{M+1} = W^M W$  for  $M$  a natural number. The density of  $s \in \mathcal{A}$  with respect to  $W$  is

$$\delta(s, W) = \#\{n : 1 \leq n \leq N, w_n = s\}/N.$$

We now recursively define an infinite sequence  $(\alpha^{(j)})_{j \geq 1}$  of quadratic irrationals. We select a word  $W_1$  in  $\mathcal{A}^*$  which satisfies  $\#W_1 \geq b/\varepsilon_1$  and

$$|\delta(a, W_1) - \mathcal{P}(a)| < \varepsilon_1/3 \quad \text{for all } a \in \mathcal{A}.$$

Let  $\alpha_1$  be the quadratic  $[a_0, \overrightarrow{W_1}, \overleftarrow{W_1}, a_0 + b, a_0 + b]$ , and then  $\beta_1 = \alpha_1 + b$  satisfies (from Lemma 2)

$$\beta_1 - \frac{1}{\beta_1} = \frac{r_1}{s_1} \in \mathcal{Q}, \quad \gcd(r_1, s_1) = 1.$$

We choose a natural number  $M_1$  such that the convergent

$$\rho(W_1, M_1) = [a_0, (\overrightarrow{W_1}, \overleftarrow{W_1}, a_0 + b, a_0 + b)^{M_1-1}, \overrightarrow{W_1}, \overleftarrow{W_1}, a_0 + b]$$

satisfies

$$|\alpha_1 - \rho(W_1, M_1)|_0 < |b^2 r_1|_0^{-\Omega_1}.$$

We suppose that  $W_j$ ,  $\alpha^{(j)}$ ,  $M_j$  and  $\rho(W_j, M_j)$  have already been described and now we describe how to generate  $W_{j+1}$ ,  $\alpha^{(j+1)}$ ,  $M_{j+1}$  and  $\rho(W_{j+1}, M_{j+1})$ . We select  $W'_{j+1} \in \mathcal{A}^*$  such that

$$(13) \quad \#W'_{j+1} \geq 6M_j(1 + \#W_j)/\varepsilon_{j+1},$$

$$(14) \quad |\delta(a, W'_{j+1}) - \mathcal{P}(a)| < \varepsilon_{j+1}/3 \quad \text{for all } a \in \mathcal{A},$$

and

$$(15) \quad W'_{j+1} \text{ contains a sequence which does not occur in the sequence of partial quotients of } \alpha_j.$$



If  $l$  denotes the period length of  $\alpha_j$ , then there are only  $l$  sequences of length  $l$  in the sequence of partial quotients of  $\alpha_j$ . As  $\#\mathcal{A} \geq 2$ , (13), (15) and (iii) are easy to get. For (14) we further require that the density in any truncation of  $W'_{j+1}$  does not stray too far apart from  $\mathcal{P}$ . Next we define

$$(16) \quad W_{j+1} = ((\overrightarrow{W}_j, \overleftarrow{W}_j, a_0 + b, a_0 + b)^{M_j}, W'_{j+1}),$$

$$(17) \quad \begin{aligned} \alpha_{j+1} &= [a_0, \overrightarrow{W}_{j+1}, \overleftarrow{W}_{j+1}, a_0 + b, a_0 + b], \\ \beta_{j+1} &= \alpha_{j+1} + b. \end{aligned}$$

By Lemma 2, we find

$$(18) \quad \beta_{j+1} - \frac{1}{\beta_{j+1}} = \frac{r_{j+1}}{s_{j+1}} \in \mathcal{Q}, \quad \gcd(r_{j+1}, s_{j+1}) = 1.$$

We choose a natural integer  $M_{j+1}$  such that the convergent

$$(19) \quad \rho(W_{j+1}, M_{j+1}) = [a_0, (\overrightarrow{W}_{j+1}, \overleftarrow{W}_{j+1}, a_0 + b, a_0 + b)^{M_{j+1}-1}, \overrightarrow{W}_{j+1}, \overleftarrow{W}_{j+1}, a_0 + b]$$

satisfies

$$(20) \quad |\alpha_{j+1} - \rho(W_{j+1}, M_{j+1})|_0 < |b^2 r_{j+1}|_0^{-\Omega_{j+1}}.$$

Then the first  $\#W_j$  partial quotients of  $\alpha_j$  and  $\alpha_{j+1}$  are equal. Hence,  $\alpha = \lim_{j \rightarrow \infty} \alpha_j$  exists. The continued fraction of  $\alpha$  satisfies (i) and (iii) and by (15) is not periodic.

To prove (ii) it is enough, with (14), to show that for any  $a \in \mathcal{A}$  we have

$$(21) \quad \begin{aligned} \text{Max} (|\delta(a, m(W_{j+1}, M_{j+1})) - \delta(a, W_{j+1})|, \\ |\delta(a, W_{j+1}) - \delta(a, W'_{j+1})|) < \varepsilon_{j+1}/3, \end{aligned}$$

where  $m(W_{j+1}, M_{j+1})$  is the word associated to  $\rho(W_{j+1}, M_{j+1})$  without the first  $a_0$ . From (19), we have

$$\delta(a, m(W_{j+1}, M_{j+1})) = \frac{(2\delta(a, W_{j+1})\#W_{j+1} + 2\eta)M_{j+1} - \eta}{(2\#W_{j+1} + 2)M_{j+1} - 1}$$

where  $\eta = 1$  if  $a = a_0 + b$  and  $\eta = 0$  otherwise. Then, using (16) and (13) we have

$$\begin{aligned} |\delta(a, m(W_{j+1}, M_{j+1})) - \delta(a, W_{j+1})| & \\ & \leq \frac{\delta(a, W_{j+1})(2 - 2\eta)M_{j+1} + |\delta(a, W_{j+1}) - \eta|}{(2\#W_{j+1} + 2)M_{j+1} - 1} \\ & \leq \frac{2M_{j+1} + 1}{(2\#W_{j+1} + 2)M_{j+1} - 1} \\ & \leq \frac{2}{\#W_{j+1}} \leq \frac{\varepsilon_{j+1}}{3}. \end{aligned}$$

Similarly, from (16),

$$\delta(a, W_{j+1}) = \frac{2M_j(\delta(a, W_j)\#W_j + \eta) + \delta(a, W'_{j+1})\#W'_{j+1}}{2M_j(\#W_j + 1) + \#W'_{j+1}}$$

$$\begin{aligned} |\delta(a, W_{j+1}) - \delta(a, W'_{j+1})| & \\ & = \frac{2M_j[\#W_j(\delta(a, W_j) - \delta(a, W'_{j+1})) + \eta - \delta(a, W'_{j+1})]}{2M_j(\#W_j + 1) + \#W'_{j+1}} \end{aligned}$$

and with (13) we have

$$\begin{aligned} |\delta(a, W_{j+1}) - \delta(a, W'_{j+1})| & \leq \frac{2M_j(\#W_j + 1)}{2M_j(\#W_j + 1) + \#W'_{j+1}} \\ & \leq \frac{\varepsilon_{j+1}}{3}. \end{aligned}$$

This proves (21) and condition (ii).

Now we prove that  $\alpha + b - 1/(\alpha + b)$  is Liouville and that  $\alpha$  is a  $U_2$ -number. By (19) and (17) we remark that the continued fractions of  $\rho(W_j, M_j)$ ,  $\alpha_j$  and  $\alpha$  have the same beginning. Since the number of partial quotients which are the same for  $\alpha$  and  $\alpha_j$  is greater than the length of  $\rho(W_j, M_j)$ , we get

$$|\alpha - \alpha_j|_0 < |\alpha_j - \rho(W_j, M_j)|_0.$$

Using (18) and (20), we have

$$\begin{aligned} \left| \alpha + b - \frac{1}{\alpha + b} - \frac{r_j}{s_j} \right|_0 &= \left| (\alpha - \alpha_j) \left( 1 + \frac{1}{(\alpha + b)(\alpha_j + b)} \right) \right|_0 \\ &= |\alpha - \alpha_j|_0 < |b^2 r_j|_0^{-\Omega_j}. \end{aligned}$$

Hence,  $\alpha + b - 1/(\alpha + b)$  is Liouville.

To show that  $w_2(\alpha) = \infty$  (and then  $w(\alpha) = \infty$ ) we consider:

$$Q(\alpha) = s_j \alpha^2 + (2bs_j - r_j)\alpha + (b^2 - 1)s_j - br_j \quad \text{and} \quad h = h(Q).$$

We have  $e^h = \text{Max}(|s_j|_0, |2bs_j - r_j|_0, |(b^2 - 1)s_j - br_j|_0) < |b^2 r_j|_0$ , and  $|Q(\alpha)|_0 < |s_j(\alpha + b)|_0 \cdot |b^2 r_j|_0^{-\Omega_j} < |b^2 r_j|_0^{1-\Omega_j} < (e^h)^{(1-\Omega_j)}$ . From  $e^{-2hw(2,h,\alpha)} = \text{Inf}\{|P(\alpha)|_0, P \in \mathcal{P}_{2,h}\} < (e^h)^{(1-\Omega_j)}$ , we easily get  $w(2, h, \alpha) \geq (\Omega_j - 1)/2$ . Since  $\lim_{j \rightarrow \infty} \Omega_j = \infty$ , we have  $w_2(\alpha) = +\infty$ . So  $\alpha$  is  $U_2$  if  $\alpha$  is not Liouville.

We denote the convergent of  $\alpha$  by  $p_n/q_n$ . For any  $q \in \mathcal{Z}$ , there exists an  $n$  such that

$$|q_n|_0 \leq |q| < |q_{n+1}|_0.$$

We have, for any  $n$ ,  $|q_n|_0 = |a_n q_{n-1}|_0$  so using (12) and the condition (iii) we have  $|q_n|_0 \geq e^n \geq |a_{n+1}|_0$  for  $n$  large enough. So for any  $p \in \mathcal{Z}$  we get

$$\begin{aligned} |q\alpha - p|_0 &\geq |q_n\alpha - p_n|_0 \geq |a_{n+1}q_n|_0^{-1} \geq |q_n|_0^{-2} \geq |q|_0^{-2}, \\ e^{-hw_1(1,h,\alpha)} &= \text{Inf}(|P(\alpha)|_0, P \in \mathcal{P}_{1,h}) \geq |\alpha|_0^2 e^{-2h}. \end{aligned}$$

Then  $w_1(\alpha) = \limsup_{h \rightarrow \infty} w(1, h, \alpha) \leq 2$ . So  $\alpha$  isn't Liouville and is  $U_2$ .

To get infinitely many  $\alpha$  it is enough to remark that at each step  $j$  we have many choices for  $W'_{j+1}$  such that (13), (14) and (15) hold. This comes from  $\#\mathcal{A} \geq 2$ . From two different choices at one step  $j_0$ , we get two different numbers  $\alpha$ . So we get uncountably many numbers  $\alpha$  and the theorem holds when  $\mathcal{B} = \{b\}$ .

When  $\mathcal{B} = \{b_1, b_2, \dots\}$  we use the idea of [6]. We construct a sequence of quadratic numbers  $\alpha_{J,i}$  for  $J \geq 1, 1 \leq i \leq J$ . For convenience, we write the formulas (16) and (17) as

$$W_{j+1} = \varphi(W_j, M_j, W'_{j+1}), \quad \alpha_{j+1} = \psi(W_{j+1}, b).$$

We choose  $W_{1,1}, \alpha_{1,1}, M_{1,1}$  as before to be  $W_1, \alpha_1 = \psi(W_1, b_1)$  and  $M_1$  with  $b = b_1$ . Then from  $W_{J-1,i}, \alpha_{J-1,i}, M_{J-1,i}, J \geq 2, 1 \leq i \leq J-1$ , we put

$$W_{J,0} = W_{J-1,J-1}, \quad \alpha_{J,0} = \alpha_{J-1,J-1}, \quad M_{J,0} = M_{J-1,J-1}.$$

Then for  $i = 1, 2, \dots, J$  we choose  $W'_{J,i}$  with properties analogous to (13), (14) and (15) for  $\alpha_{J,i-1}$  with

$$W_{J,i} = \varphi(W_{J,i-1}, M_{J,i-1}, W'_{J,i}), \quad \alpha_{J,i} = \psi(W_{J,i}, b_i).$$

We get

$$(22) \quad \alpha_{J,i} + b_i - \frac{1}{\alpha_{J,i} + b_i} = \frac{r_{J,i}}{s_{J,i}} \in \mathcal{Q},$$

and we choose  $M_{J,i}$  such that

$$(23) \quad |\alpha_{J,i} - \rho(W_{J,i}, M_{J,i}, b_i)|_0 < |b_i^2 r_{J,i}|_0^{-\Omega_j}.$$

Hence  $\alpha = \lim_{J \rightarrow \infty} \alpha_{J,J}$  exists and as before it follows that  $\alpha$  is  $U_2$ . From (22), (23) and the construction we get as before that  $\alpha + b_i - 1/(\alpha + b_i)$  is Liouville for any  $b_i$  in  $\mathcal{B}$ .  $\square$

**3.3. Remarks.** We can focus our attention on the degree (in  $z$ ) of the partial quotients in the theorem.

**Corollary.** *Let  $a_0 \in K, A \subset \mathbf{N}, P$  be a probability measure on  $A$  and  $\mathcal{B} \subset \mathcal{Z} \setminus K$  be such that the  $\delta(\mathcal{B}) \subset A$ . Then there exist uncountably many  $U_2$ -numbers  $\alpha$  such that*

- (i) *for any  $n, \delta(a_n) \in A$  and  $\delta(a_n) < n$  for  $n$  large enough;*
- (ii) *the asymptotic density of  $(\delta(a_n))_{n \geq 1}$  agrees with  $P$ ;*
- (iii) *for any  $b$  in  $\mathcal{B}, \alpha + b - 1/(\alpha + b)$  is Liouville.*

In [5], a result similar to the theorem for  $\text{Char}(K) \neq 2$  is given as well as a sketch of the proof of the existence of  $U_2$ -numbers  $\alpha$  such that  $(\alpha + b)^2$  is Liouville. (The hypothesis  $2(a_0 + \mathcal{B}) \subset \mathcal{A}$  replaces the former  $a_0 + \mathcal{B} \subset \mathcal{A}$ ; Lemma 2 is replaced by the following

property: For a symmetric sequence  $(a_1, \dots, a_t)$  the quadratic number  $\beta = [a_0, a_1, \dots, a_t, 2a_0]$  is such that  $\beta^2 \in \mathcal{Q}$ .)

The more difficult question, "Do  $T$ -numbers exist?" is still open in this context.

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DÉPARTEMENT DE MATHÉMATIQUES, ISMRA & UNIVERSITE DE CAEN, ES-  
PLANADE DE LA PAIX, 14032 CAEN CEDEX, FRANCE  
*E-mail address:* `Duboise@math.unicaen.fr`