

DESCRIPTION OF A CLASS OF LOCALLY
PSEUDOCONVEX ALGEBRAS WHICH HAVE AN
EQUIVALENT LOCALLY M -PSEUDOCONVEX TOPOLOGY

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ABSTRACT. Let $r \in (0, 1]$, p be an r -homogeneous semi-norm on a commutative algebra A and $\phi : [0, \infty) \mapsto [0, \infty)$ be an increasing function such that $p(x^2) \leq \phi(p(x))$ for all $x \in A$. It is shown that such a semi-norm p is (i) A -pseudoconvex, (ii) equivalent with a submultiplicative semi-norm. A description of a class of locally pseudoconvex algebras which have an equivalent locally m -pseudoconvex topology is given.

Introduction. Let A be a topological algebra, that is, a linear topological space over \mathbf{C} in which has been defined a separately continuous (associative) multiplication. If the underlining linear topological space A is locally pseudoconvex, then A is called a locally pseudoconvex algebra. It is known (see [11, p. 6]) that the topology of locally pseudoconvex algebras can be given by means of a family $\mathcal{P} = \{p_\lambda \mid \lambda \in \Lambda\}$ of r_λ -homogeneous semi-norms where $r_\lambda \in (0, 1]$ is fixed for each $\lambda \in \Lambda$ (r -homogeneity of a semi-norm p on A means that $p(\alpha x) = |\alpha|^r p(x)$ for each $x \in A$ and $\alpha \in \mathbf{C}$). Furthermore, A is called a locally absorbingly pseudoconvex (shortly a locally A -pseudoconvex) algebra if, for each $x \in A$ and $\lambda \in \Lambda$, there exist positive numbers $M_\lambda(x)$ and $N_\lambda(x)$ such that

$$(1) \quad p_\lambda(xy) \leq M_\lambda(x)p_\lambda(y)$$

and

$$(2) \quad p_\lambda(yx) \leq N_\lambda(x)p_\lambda(y)$$

for all $y \in A$. In particular, if $M_\lambda(x) = N_\lambda(x) = p_\lambda(x)$ for each $x \in A$ and $\lambda \in \Lambda$, then A is called a locally multiplicatively pseudoconvex (shortly a locally m -pseudoconvex) algebra. Moreover, if the numbers $M_\lambda(x)$ and $N_\lambda(x)$ do not depend on semi-norms p_λ , $\lambda \in \Lambda$, i.e., (1)

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and (2) hold for some $M_\lambda(x) = M(x)$ and $N_\lambda(x) = N(x)$ for all $x \in A$, then A is called a locally uniformly A -pseudoconvex algebra. In the particular case when $\sup_{\lambda \in \Lambda} p_\lambda(x)$ is finite for each $x \in A$, then a locally pseudoconvex algebra is called a strongly spectrally bounded algebra (see [1, p. 72]). It is easy to see that every locally uniformly A -pseudoconvex algebra with unit is a strongly spectrally bounded algebra. Let A be a topological algebra, and let $m(A)$ be the set of all closed regular two-sided ideals of A which are maximal as left or as right ideals. It is known that $m(A)$ is not necessarily a nonempty set even in the commutative case (see [12, p. 125]). In this case, when the quotient algebra A/M (with the quotient topology) is topologically isomorphic with \mathbf{C} for each $M \in m(A)$, algebra A is called a Gelfand-Mazur algebra. For the description of Gelfand-Mazur algebras, see [2, pp. 123–126].

A semi-norm p on an algebra A is called subquadratic if

$$(3) \quad p(x^2) \leq p(x)^2$$

for each $x \in A$ (see [4] or [5]).

1. On A -pseudoconvexity of seminorms. Let A be a commutative algebra over \mathbf{C} , $r \in (0, 1]$, and let p be an r -homogeneous semi-norm on A .

It is known (see [6, p. 58], [7, p. 91] and [8, p. 491]) that if an r -homogeneous semi-norm p with $r \in (0, 1]$ satisfies the condition $p(x^2) = p(x)^2$ for all $x \in A$, then p is submultiplicative and, moreover, p is equivalent with a submultiplicative 1-homogeneous semi-norm. It follows from Theorem 3.1 of [5] that every subquadratic homogeneous (that is the case where $r = 1$) semi-norm p on A is equivalent with a homogeneous submultiplicative semi-norm q (that is, there exist positive numbers C_1 and C_2 such that $C_1 p(x) \leq q(x) \leq C_2 p(x)$ for all $x \in A$). We shall now show the following more general result.

Theorem 1. *Let A be a commutative algebra over \mathbf{C} , $r \in (0, 1]$, p be an r -homogeneous semi-norm on A and $\phi : [0, \infty) \rightarrow [0, \infty)$ be an increasing function such that*

$$(4) \quad p(x^2) \leq \phi(p(x))$$

for all $x \in A$. Then p is equivalent with an r -homogeneous submultiplicative semi-norm.

Proof. By the commutativity of algebra A , we have $4xy = (x+y)^2 - (x-y)^2$ for all $x, y \in A$. Thus

$$\begin{aligned} 4^r p(xy) &= p(4xy) = p((x+y)^2 - (x-y)^2) \\ &\leq p((x+y)^2) + p((x-y)^2) \\ &\leq \phi(p(x+y)) + \phi(p(x-y)) \\ &\leq \phi(p(x) + p(y)) + \phi(p(x) + p(y)) \\ &= 2\phi(p(x) + p(y)), \end{aligned}$$

for all x and $y \in A$. So we have $p(xy) \leq (2/4^r)\phi(p(x) + p(y))$ for all $x, y \in A$. Now let $x, y \in A$ and $\varepsilon > 0$ be given. If we choose $\alpha = p(x) + \varepsilon$ and $\beta = p(y) + \varepsilon$, then

$$\begin{aligned} \alpha^{-1}\beta^{-1}p(xy) &= p((\alpha^{-1/r}x)(\beta^{-1/r}y)) \\ &\leq \frac{2}{4^r}\phi(p(\alpha^{-1/r}x) + p(\beta^{-1/r}y)) \\ &= \frac{2}{4^r}\phi\left(\frac{p(x)}{p(x) + \varepsilon} + \frac{p(y)}{p(y) + \varepsilon}\right) \\ &\leq \frac{2}{4^r}\phi(2) \end{aligned}$$

or $p(xy) \leq (2/4^r)\phi(2)(p(x) + \varepsilon)(p(y) + \varepsilon)$. This implies that

$$p(xy) \leq \frac{2}{4^r}\phi(2)p(x)p(y)$$

for all x and $y \in A$.

If we take $q = (2/4^r)\phi(2)p$, then it is easy to see that q is an r -homogeneous submultiplicative semi-norm on A which is equivalent with p .

As a corollary, we have the following results.

Corollary 1. *Let A and p be as in Theorem 1. Then p is an A -pseudoconvex semi-norm.*

Corollary 2. *Let A be a commutative algebra over \mathbf{C} , $r \in (0, 1]$, and let p be an r -homogeneous subquadratic semi-norm on A . Then p is an A -pseudoconvex semi-norm on A which is equivalent with a submultiplicative pseudoconvex semi-norm on A .*

2. A characterizing m -pseudoconvex algebra among the pseudoconvex algebras. Let A be a locally pseudoconvex algebra, for which the topology has been given by a family $\mathcal{P} = \{p_\lambda | \lambda \in \Lambda\}$ of r_λ -homogeneous semi-norms, where $r_\lambda \in (0, 1]$ is fixed for each $\lambda \in \Lambda$. Denote by $T(\mathcal{P})$ the Hausdorff topology on A defined by the system \mathcal{P} . By Theorem 1 we have

Theorem 2. *Let A be a commutative locally pseudoconvex algebra, for which the topology has been given by a family $\mathcal{P} = \{p_\lambda | \lambda \in \Lambda\}$ of r_λ -homogeneous semi-norms where $r_\lambda \in (0, 1]$ is fixed for each $\lambda \in \Lambda$. Suppose that, for each $\lambda \in \Lambda$, there is an increasing function $\phi_\lambda : [0, \infty) \mapsto [0, \infty)$ satisfying the condition*

$$(5) \quad p_\lambda(x^2) \leq \phi_\lambda(p_\lambda(x))$$

for all $x \in A$ and $\lambda \in \Lambda$. Then

(a) $(A, T(\mathcal{P}))$ is a locally A -pseudoconvex algebra (in the particular case when A is strongly spectrally bounded and $\phi_\lambda = \phi$ for each $\lambda \in \Lambda$, then A is a locally uniformly A -pseudoconvex algebra),

(b) there exists a locally m -pseudoconvex topology T on A such that $(A, T(\mathcal{P}))$ and (A, T) are topologically isomorphic algebras.

Proof. (a) In the same way as in the proof of Theorem 1, we have

$$p_\lambda(xy) \leq 2^{1-2r_\lambda} \phi_\lambda(2) p_\lambda(x) p_\lambda(y)$$

for all $x, y \in A$ and $\lambda \in \Lambda$. For each fixed $x \in A$ and $\lambda \in \Lambda$, let $M_\lambda(x) = 2^{1-2r_\lambda} \phi_\lambda(2) p_\lambda(x) + 1$. Then $M_\lambda(x) > 0$ and

$$p_\lambda(xy) \leq M_\lambda(x) p_\lambda(y)$$

for all $y \in A$. Hence $(A, T(\mathcal{P}))$ is a commutative locally A -pseudoconvex algebra. In particular, if A is strongly spectrally bounded and $\phi_\lambda = \phi$ for each $\lambda \in \Lambda$, then

$$p_\lambda(xy) \leq M(x) p_\lambda(y)$$

for all $y \in A$ where $M(x) = 2^{1-2r} \phi(2) \sup_{\lambda} p_{\lambda}(x)$ for each $x \in A$ and $r = \inf_{\lambda} r_{\lambda}$. Thus, A is a commutative uniformly A -pseudoconvex algebra.

(b) For each fixed $\lambda \in \Lambda$, let $q_{\lambda} = 2^{1-2r_{\lambda}} \phi_{\lambda}(2) p_{\lambda}$. Then q_{λ} is a submultiplicative r_{λ} -homogeneous semi-norm on A which is equivalent with p_{λ} . Now putting $\mathcal{Q} = \{q_{\lambda} \mid \lambda \in \Lambda\}$, we see that $(A, T(\mathcal{P}))$ and $(A, T(\mathcal{Q}))$ are topologically isomorphic algebras.

By Theorem 2, Corollary 2 of [2] and Proposition 2.1 of [3] we have the following result.

Corollary 3. *Let A be a commutative locally pseudoconvex algebra with unit T , the topology of which has been defined by a family $\mathcal{P} = \{p_{\lambda} \mid \lambda \in \Lambda\}$ of r_{λ} -homogeneous semi-norms, where $r_{\lambda} \in (0, 1]$ is fixed for all $\lambda \in \Lambda$. If, for each $\lambda \in \Lambda$, there is an increasing function $\phi_{\lambda} : [0, \infty) \mapsto [0, \infty)$ satisfying the condition (5), then $(A, T(\mathcal{P}))$ is a commutative Gelfand-Mazur algebra with continuous inversion.*

Corollary 4. *Let A be a commutative locally pseudoconvex algebra, the topology of which has been given by a family $\mathcal{P} = \{p_{\lambda} \mid \lambda \in \Lambda\}$ of r_{λ} -homogeneous subquadratic semi-norms. Then*

(a) *$(A, T(\mathcal{P}))$ is a commutative locally A -pseudoconvex algebra (in the particular case when A is strongly spectrally bounded, then A is a commutative locally uniformly A -pseudoconvex algebra),*

(b) *there exists a locally m -pseudoconvex topology T on A such that $(A, T(\mathcal{P}))$ and (A, T) are topologically isomorphic algebras.*

If $p_{\lambda}(x^2) = p_{\lambda}(x)^2$ for all $x \in A$ and $\lambda \in \Lambda$ in Corollary 3, then $T(\mathcal{P})$ is equivalent with a locally m -convex topology on A . This condition actually forces A to be commutative (see [4]).

Note that, for a certain type of semi-norms, the subquadrativity implies the submultiplicativity as the following example shows.

Example. Let X be the set of real numbers equipped with its usual topology and take $A = C(X)$. Let $C_b(X)^+ = \{g \in C_b(X) \mid g(t) \geq 0, t \in$

$X\}$. For $n \in \mathbf{N}$ and $g \in C_b(X)^+$ denote by $p_{(n,g)}$ the semi-norm on A defined by

$$p_{(n,g)}(x) = \sup_{t \in [-n, n]} g(t)|x(t)|, \quad x \in A.$$

Now $\mathcal{P} = \{p_{(n,g)} | n \in \mathbf{N}, g \in C_b(X)^+\}$ defines a topology on A which is clearly locally A -convex. Now, if we take $p_{(n,g)}$ from \mathcal{P} and assume that $p_{(n,g)}$ is subquadratic, then we must have

$$\sup_{t \in [-n, n]} g(t)|x(t)|^2 \leq \sup_{t \in [-n, n]} g(t)^2|x(t)|^2 \quad \text{for all } x \in A.$$

But this is possible only if $g(t) \geq 1$ for all $t \in [-n, n]$. If $g(t) \geq 1$ for all $t \in [-n, n]$, then it is easy to see that $p_{(n,g)}$ is submultiplicative. Note that if we assume that $p_{(n,g)}$ is subquadratic and $p_{(n,g)}(e) = 1$, then $g(t) = 1$ for all $t \in [-n, n]$. By using this example it is easy to construct a semi-norm which is locally A -convex but not submultiplicative. (Just take for any $n \in \mathbf{N}$ a function $g \in C_b(X)^+$ for which there is a point $t_0 \in [-n, n]$ such that $g(t_0) < 1$. It is easy to see that if $g(t) > 0$ for all $t \in [-n, n]$, then the semi-norm $p_{(n,g)} \in \mathcal{P}$ is equivalent with a submultiplicative semi-norm q_n where $q_n(x) = \sup_{t \in [-n, n]} |x(t)|$, $x \in A$. This type of semi-norm was studied in [9], and it can also be used in functional representation of some locally A -convex algebras, see [10].

Open problem. Suppose that A is a commutative algebra with unit and let p be a semi-norm on A . It would be interesting to know whether from the conditions p is subquadratic and $p(e) = 1$ it follows that p is submultiplicative.

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