

ON THE MAXIMAL INDEPENDENCE POLYNOMIAL OF CERTAIN GRAPH CONFIGURATIONS

HAN HU, TOUFIK MANSOUR AND CHUNWEI SONG

ABSTRACT. In this paper, we investigate the maximal independence polynomials of some popular graph configurations. Through careful analysis, some of the polynomials under study are shown to be Chebyshev, which helps characterize polynomial properties such as unimodality, log-concavity and real-rootedness with ease and efficiency. We partially characterize the bridge path and bridge cycle graphs of wheels and fans according to their unimodality properties and propose relevant open problems. Also, to compare with the usual independence polynomials, we provide analogous results regarding the vertebrated graph, and the firecracker graph, as studied by Wang and Zhu [47].

1. Introduction. Throughout this paper, we consider only finite simple connected graphs. Let $G = (V, E)$ be such a graph with vertex set V and edge set E . For a vertex $v \in V$, let $N(v) = \{w \mid vw \in E\}$ be the collection of its neighbors, and let $N[v] = N(v) \cup \{v\}$ denote the closure of its neighborhood. The reader is referred to [11, 48] for graph theory terminologies not specified here.

The independence polynomial was introduced in [14] as a generalization of the matching polynomial:

$$I(G; x) := \sum_{k \geq 0} i_k(G) x^k,$$

where $i_k(G)$ represents the number of independent subsets of V with cardinality k , i.e.,

$$i_k(G) = |\{A \subseteq V \mid \text{the induced subgraph } G[A] \text{ is an empty graph}\}|.$$

2010 AMS *Mathematics subject classification.* Primary 05C31, 05C69, 42A05.

Keywords and phrases. Maximal independence, recurrence, unimodality, Chebyshev polynomial, bridge path, bridge cycle.

The first and third authors were supported in part by China's 973 Program, project No. 2013CB834201.

Received by the editors on January 25, 2016.

The leading coefficient counts the number of maximum independent sets, those with cardinality $\alpha(G)$. Important properties of the independence polynomial, including real-rootedness, log-concavity and unimodality, have been extensively studied, see, for instance, [2, 3, 4, 6]–[9, 14]–[16, 22, 24, 26]–[28, 33, 40, 42, 46, 47, 51, 52], etc.

In general, the polynomial

$$f(x) := \sum_{i=0}^n a_i x^i$$

is called *unimodal* if the sequence a_0, a_1, \dots, a_n is unimodal, i.e., there exists some peak index m (the “mode,” possibly not unique, however) such that

$$a_0 \leq a_1 \leq \dots \leq a_{m-1} \leq a_m \geq a_{m+1} \geq \dots \geq a_n.$$

When the coefficients a_0, a_1, \dots, a_n are all positive, $f(x)$ is said to be *logarithmic concave* if, for all $1 \leq k \leq n-1$, it holds that $a_k^2 \geq a_{k-1}a_{k+1}$, i.e., $\log a_k \geq (\log a_{k-1} + \log a_{k+1})/2$. Particularly interesting to combinatorists, unimodality problems are widely studied by mathematicians from a vast array of disciplines. It is well known that real-rootedness is stronger than log-concavity, and the latter is still stronger than unimodality [41, 47, 50]. Sometimes we find that the polynomial $f(x)$ has a *gap* at x^k , i.e., $a_k = 0$ when $l < k, r > k$, such that $a_l, a_r > 0$. Obviously, a polynomial with any gap cannot be unimodal.

In this paper, we study the maximal independence polynomial as it makes sense to focus on maximal independent sets instead of taking arbitrary independent sets that may actually be covered by those which are larger. Here, by a *maximal independent set* (MIS) of G , we require the set $A \subseteq V$ to (1) be independent, and (2) have no *strictly super* independent set W such that $A \subseteq W \subseteq V$. Accordingly, the *maximal independence polynomial* is defined by

$$I_{\max}(G; x) := \sum_{A: A \text{ is an MIS of } G} x^{|A|}.$$

We remark that, while maximal independent sets are also widely studied, see e.g., [10, 12, 13, 17]–[21], [23, 25, 29, 30, 31], [34,

35, 37, 38, 43, 44, 49], investigation of the notion of the maximal independence polynomial has been nonetheless sparse.

Apparently, $I_{\max}(G; x)$ and $I(G; x)$ have the same degree and the same leading coefficient, but in general, they are different. Partially motivated by the study of [47], here we are interested in investigating $I_{\max}(G; x)$ and the comparison of unimodality properties of $I_{\max}(G; x)$ and $I(G; x)$, and so on.

For usually disjoint subsets U_1, U_2 of V , define

$$I_{\max}^{U_1, \overline{U_2}}(G; x) := \sum_{\substack{A: A \text{ is an MIS of } G \\ U_1 \subseteq A \subseteq V; U_2 \subseteq \overline{A} \subseteq V}} x^{|A|},$$

which will be useful shortly. In standard notation, the overlined \overline{U} denotes the complement of U in V ; here, in this paper, we use it differently. If U_1, U_2 , or both, have cardinality 1, we may use the shorthand notation $I_{\max}^{u_1, \overline{U_2}}(G; x)$, $I_{\max}^{U_1, \overline{u_2}}(G; x)$, $I_{\max}^{u_1, \overline{u_2}}(G; x)$ for $I_{\max}^{\{u_1\}, \overline{U_2}}(G; x)$, $I_{\max}^{U_1, \{u_2\}}(G; x)$ and $I_{\max}^{\{u_1\}, \{u_2\}}(G; x)$, respectively. We allow one of U_1, U_2 to be empty; thus, the restriction is one-fold only, in which case, the \emptyset is often omitted from the notation:

$$I_{\max}^{U_1}(G; x) = I_{\max}^{U_1, \overline{\emptyset}}(G; x), \quad I_{\max}^{\overline{U_2}}(G; x) = I_{\max}^{\emptyset, \overline{U_2}}(G; x).$$

Clearly, $I_{\max}^{U_1}(G; x) = 0$ if $E(G[U_1]) \neq \emptyset$.

Throughout, we use the convention that, for $a, b \in \mathbb{Z}$, the binomial coefficient $\binom{a}{b}$ is taken to be 0 whenever $a < b$ or $b < 0$.

2. General results. Let $v \in V(G)$. For convenience, we introduce the following notation which reflects a subtle treatment of the situation. Define $I_{\max}^{\overline{v}}(G; x)$ to be $I_{\max}^{\overline{N(v)}}(G - v; x)$. Note that $I_{\max}^{\overline{v}}(G - v; x)$ is different from a somewhat similar $I_{\max}^{\overline{N[v]}}(G; x)$, which is the zero polynomial since $G[N[v]]$ is not an empty graph (recall the assumption that G is connected from the beginning).

The next lemma establishes a recurrence relation of the maximal independence polynomial.

Lemma 2.1. *Let $G = (V, E)$ be any graph with an arbitrarily fixed vertex $v \in V$. Then,*

$$I_{\max}(G; x) = \sum_{\substack{\emptyset \neq A \subseteq N(v) \\ B = \overline{N(v)} - A}} I_{\max}^{A, \overline{B}}(G - v; x) + xI_{\max}^{\overline{v}}(G; x),$$

where $G - v$ is the graph obtained by deleting the vertex v from G .

Proof. Straightforward. (Similar to the standard proof of the “usual” recursive formula for the standard independence polynomial.) \square

In subsequent sections, Lemma 2.1 will be used to derive maximal independence polynomials for various classes of graphs. Here, we investigate the n -path, i.e., the path on n vertices, and the n -cycle.

Let P_n be a path graph with n vertices, say, labeled by $1, 2, \dots, n$. Then, $I_{\max}(P_0; x) = 1$, $I_{\max}(P_1; x) = x$, $I_{\max}(P_2; x) = 2x$ and, for $n \geq 3$,

$$(2.1) \quad \begin{aligned} I_{\max}(P_n; x) &= I_{\max}^n(P_n; x) + I_{\max}^{\overline{n}}(P_n; x) \\ &= xI_{\max}(P_{n-2}; x) + xI_{\max}(P_{n-3}; x). \end{aligned}$$

Proposition 2.2. *The maximal independence polynomial of path P_n is given by*

$$I_{\max}(P_n; x) = \sum_{j \geq 0} \binom{j+1}{n+1-2j} x^j,$$

where, as usual, $\binom{a}{b}$ is assumed to be 0 whenever $a < b$ or $b < 0$ for $a, b \in \mathbb{Z}$. Moreover, the polynomial $I_{\max}(P_n; x)$ is log-concave, and therefore, unimodal.

Proof. If we define $f(t) = \sum_{n \geq 0} I_{\max}(P_n; x)t^n$, then (2.1) gives

$$f(t) - 2xt^2 - xt - 1 = xt^2(f(t) - 1) + xt^3f(t),$$

which implies

$$\begin{aligned}
 f(t) &= \frac{1 + xt + xt^2}{1 - xt^2(1 + t)} = (1 + xt(1 + t)) \sum_{j \geq 0} x^j t^{2j} (1 + t)^j \\
 &= \sum_{j \geq 0} x^j t^{2j} (1 + t)^j + \sum_{j \geq 0} x^{j+1} t^{2j+1} (1 + t)^{j+1}.
 \end{aligned}$$

Therefore, the coefficient of t^n in the generating function $f(t)$ is given by

$$\begin{aligned}
 I_{\max}(P_n; x) &= \sum_{j \geq 0} \binom{j}{n - 2j} x^j + \sum_{j \geq 0} \binom{j + 1}{n - 1 - 2j} x^{j+1} \\
 &= \sum_{j \geq 0} \binom{j}{n - 2j} x^j + \sum_{j \geq 0} \binom{j}{n + 1 - 2j} x^j \\
 &= \sum_{j \geq 0} \binom{j + 1}{n + 1 - 2j} x^j,
 \end{aligned}$$

where the last equality is based on Pascal’s well-known identity

$$\binom{a}{b} + \binom{a}{b - 1} = \binom{a + 1}{b}.$$

Hence, we have obtained the maximal independence polynomial for P_n . Clearly, $\deg I_{\max}(P_n; x) = \lfloor (n + 1)/2 \rfloor$, and the lowest nonzero term of $I_{\max}(P_n; x)$ has degree $\lceil n/3 \rceil$.

Straightforward computation shows that log-concavity holds, and unimodality follows. □

Proposition 2.3. *For all $n \geq 3$,*

$$I_{\max}(C_n; x) = \sum_{j \geq 1} \frac{n}{j} \binom{j}{n - 2j} x^j.$$

Moreover, the polynomial $I_{\max}(C_n; x)$ is log-concave, and therefore, unimodal.

Proof. Let C_n be the cycle graph on the vertices $1, 2, \dots, n$. Then, Lemma 2.1 for $G = C_n$ gives

$$\begin{aligned}
 I_{\max}(C_n; x) &= I_{\max}^n(C_n; x) + I_{\max}^{\bar{n}}(C_n; x) \\
 &= xI_{\max}(P_{n-3}; x) + I_{\max}^{1, \overline{\{n-1, n\}}}(C_n; x) \\
 &\quad + I_{\max}^{n-1, \overline{\{1, n\}}}(C_n; x) + I_{\max}^{\{1, n-1\}, \bar{n}}(C_n; x) \\
 &= xI_{\max}(P_{n-3}; x) + I_{\max}^{\{1, n-2\}, \overline{\{2, n-3, n-1, n\}}}(C_n; x) \\
 &\quad + I_{\max}^{\{2, n-1\}, \overline{\{1, 3, n-2, n\}}}(C_n; x) + x^2 I_{\max}(P_{n-5}; x) \\
 &= xI_{\max}(P_{n-3}; x) + 2x^2 I_{\max}(P_{n-6}; x) + x^2 I_{\max}(P_{n-5}; x),
 \end{aligned}$$

for all $n \geq 7$.

Hence, by Proposition 2.2, we obtain

$$\begin{aligned}
 I_{\max}(C_n; x) &= \sum_{j \geq 0} \binom{j+1}{n-2-2j} x^{j+1} + 2 \sum_{j \geq 0} \binom{j+1}{n-5-2j} x^{j+2} \\
 &\quad + \sum_{j \geq 0} \binom{j+1}{n-4-2j} x^{j+2},
 \end{aligned}$$

which, by Pascal's identity, implies that, for $n \geq 7$,

$$\begin{aligned}
 I_{\max}(C_n; x) &= \sum_{j \geq 0} \binom{j+1}{n-2-2j} x^{j+1} + \sum_{j \geq 0} \binom{j+1}{n-5-2j} x^{j+2} \\
 &\quad + \sum_{j \geq 0} \binom{j+2}{n-4-2j} x^{j+2} \\
 &= 2 \sum_{j \geq 0} \binom{j+1}{n-2-2j} x^{j+1} + \sum_{j \geq 0} \binom{j}{n-3-2j} x^{j+1} \\
 &= \sum_{j \geq 0} \frac{n}{j} \binom{j}{n-2j} x^j.
 \end{aligned}$$

Direct calculation shows that $I_{\max}(C_2; x) = 2x$, $I_{\max}(C_3; x) = 3x$, $I_{\max}(C_4; x) = 2x^2$, $I_{\max}(C_5; x) = 5x^2$ and $I_{\max}(C_6; x) = 3x^2 + 2x^3$.

Therefore, the first statement of Proposition 2.3 holds for all n , based on which the log-concavity, and hence unimodality, is clear. \square

Definition 2.4. Begin with $G = G(V, E)$, where $V = \{v_1, v_2, \dots, v_m\}$. Now, G is repeated n times as $G^{(1)}, G^{(2)}, \dots, G^{(n)}$, $G^{(j)}$ having vertices

$v_i^{(j)}$, where $1 \leq i \leq m, 1 \leq j \leq n$. Then, we obtain two graphs $G \times P_n$ and $G \times C_n$. $G \times P_n$ is the graph obtained by connecting vertices $v_i^{(j)}$ and $v_i^{(j+1)}$ with an edge, for all $1 \leq i \leq m, 1 \leq j \leq n-1$, and $G \times C_n$ is based on $G \times P_n$, obtained by further connecting vertices $v_i^{(1)}$ and $v_i^{(n)}$, $1 \leq i \leq m$. Moreover, for permutation σ on the letters $\{1, 2, \dots, m\}$, $G \times C_n^\sigma$ is the graph obtained from $G \times P_n$ by further connecting the vertices $v_i^{(1)}$ and $v_{\sigma(i)}^{(n)}$ with an edge, for all $1 \leq i \leq m$.

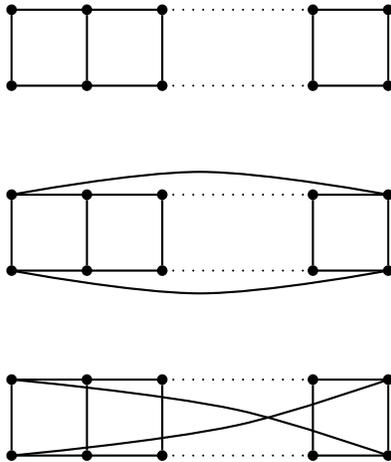


FIGURE 1. Graph $P_2 \times P_n, P_2 \times C_n$ and $P_2 \times C_n^{(12)}$.

Proposition 2.5. *The maximal independence polynomials of graphs $P_2 \times P_n, P_2 \times C_n, P_2 \times C_n^{(12)}$ are, respectively,*

$$I_{\max}(P_2 \times P_n; x) = 2 \sum_d \binom{d-1}{n-d} x^d,$$

$$I_{\max}(P_2 \times C_n; x) = 2 \sum_{2|d} \frac{n}{d} \binom{d}{n-d} x^d,$$

$$I_{\max}(P_2 \times C_n^{(12)}; x) = 2 \sum_{2|d+1} \frac{n}{d} \binom{d}{n-d} x^d.$$

Note that, while Proposition 2.5 may also be proven by generating functions, we give an illustration below of a purely combinatorial proof.

First, let $G = P_2$.

Proof. (Combinatorial enumeration). We investigate the manner in which vertices in $G = P_2 \times P_n$ or $G = P_2 \times C_n$ are “selected” in an MIS and determine $\#\{|A| = d : A \text{ is an MIS of } G\}$ for fixed d . We address $v_1^{(1)}, v_1^{(2)}, \dots, v_1^{(n)}$ by “top” vertices and $v_2^{(1)}, v_2^{(2)}, \dots, v_2^{(n)}$ by “bottom” vertices. Fix an MIS of size d . Observe that, if a top vertex $v_1^{(j)}$ is selected in MIS, then none of $v_1^{(j-1)}, v_1^{(j+1)}, v_2^{(j)}$ can exist in MIS; therefore, either $v_2^{(j-1)}$ is selected in MIS or $v_2^{(j-1)}$ is not selected in MIS. However, $v_2^{(j-2)}$ must be in MIS. Two conclusions may obtained.

(1) In an MIS, the “top” and “bottom” vertices occur alternately from left to right.

(2) Regardless of the subscript i , the index j of vertices $v_i^{(j)}$ in an MIS forms a strictly increasing sequence $1 \leq j_1 < j_2 < \dots < j_d \leq n$ with the property $j_{t+1} - j_t \in \{1, 2\}$, for $1 \leq t \leq d - 1$.

For the case of $G = P_2 \times P_n$, it is obvious that one of $\{v_1^{(1)}, v_2^{(1)}\}$ must be selected in MIS and one of $\{v_1^{(n)}, v_2^{(n)}\}$ must also be selected. Note that the sequence $1 = j_1 < j_2 < \dots < j_d = n$ with property $j_{t+1} - j_t \in \{1, 2\}$ has $\binom{d-1}{n-d}$ ways to be constructed. In addition, there are two ways to obtain either $\{v_1^{(1)}\}$ or $\{v_2^{(1)}\}$, which determines the oscillating pattern of the “top” and “bottom” sequence of d vertices. Hence, the coefficient of x^d in $I_{\max}(P_2 \times P_n; x)$ is $2\binom{d-1}{n-d}$.

For the case of $G = P_2 \times C_n$, the up-down phenomenon is the same; however, possibly none of $\{v_1^{(1)}, v_2^{(1)}\}$ would be selected in an MIS. For symmetry, the probability that one of $\{v_1^{(1)}, v_2^{(1)}\}$ is selected in MIS is d/n . Denote this probability by P_1 . In order to illustrate, suppose that $v_1^{(1)}$ is in MIS. It is easy to see that either $v_2^{(n-1)}$ or $v_2^{(n)}$ must be selected, and moreover, it follows that d must be even. Next, there is a bijection between the MISs of $P_2 \times C_n$ with $v_1^{(1)}$ selected and the MISs of $P_2 \times P_{n+1}$ with both $v_1^{(1)}$ and $v_1^{(n+1)}$ selected. As in the previous case, there are

$$\binom{d+1}{(n+1) - (d+1)} = \binom{d}{n-d}$$

methods of constructing the subscript sequence $1 = j_1 < j_2 < \dots < j_d < j_{d+1} = n + 1$ with property $j_{t+1} - j_t \in \{1, 2\}$ (while the oscillating pattern is already fixed). Hence, the coefficient of x^d in $I_{\max}(P_2 \times C_n; x)$ is

$$\frac{2}{P_1} \binom{d}{n-d} = \frac{2n}{d} \binom{d}{n-d},$$

where d must be even.

For the case of $G = P_2 \times C_n^{(12)}$, the proof is similar to the case of $G = P_2 \times C_n$, except that the presence of $v_1^{(1)}$ requires either $v_1^{(n-1)}$ or $v_1^{(n)}$ to be selected, and the bijection is between the MISs of $P_2 \times C_n$ with $v_1^{(1)}$ selected and the MISs of $P_2 \times P_{n+1}$ with both $v_1^{(1)}$ and $v_2^{(n+1)}$ selected. In this case, d must be odd. □

Maximal independence polynomials $I_{\max}(P_2 \times C_n; x)$ and $I_{\max}(P_2 \times C_n^{(12)}; x)$ are nonunimodal due to their many gaps. Maximal independence polynomial $I_{\max}(P_2 \times P_n; x)$ is monotone and log-concave by checking the coefficients:

$$\binom{d-1}{n-d}^2 \geq \binom{d-2}{n-d+1} \binom{d}{n-d-1}$$

(with the condition $d - 1 \geq n - d$).

Now we focus on the following graphs: $G = P_3 \times P_n$, $G = P_3 \times C_n$, $G = C_3 \times P_n$ and $G = C_3 \times C_n$.

Proposition 2.6. *The maximal independence polynomials of graphs $C_3 \times P_n$ and $C_3 \times C_n$ are:*

$$\begin{aligned} I_{\max}(C_3 \times P_n; x) &= 3 \times 2^{n-1} x^n, \\ I_{\max}(C_3 \times C_n; x) &= (2^n + 2 \times (-1)^n) x^n, \quad n \geq 3. \end{aligned}$$

Proof. For the cases of $G = C_3 \times P_n$ and $G = C_3 \times C_n$, it is obvious that no two vertices of each $C_3 \{v_1^{(j)}, v_2^{(j)}, v_3^{(j)}\}$ may be selected in MIS at the same time. In addition, it is impossible that none of $\{v_1^{(j)}, v_2^{(j)}, v_3^{(j)}\}$ are selected, for otherwise, either at least two of $\{v_1^{(j-1)}, v_2^{(j-1)}, v_3^{(j-1)}\}$ or at least two of $\{v_1^{(j+1)}, v_2^{(j+1)}, v_3^{(j+1)}\}$ must be included in order to cover $\{v_1^{(j)}, v_2^{(j)}, v_3^{(j)}\}$, which is problematic. Hence, exactly one of $\{v_1^{(j)}, v_2^{(j)}, v_3^{(j)}\}$ should be selected for any MIS.

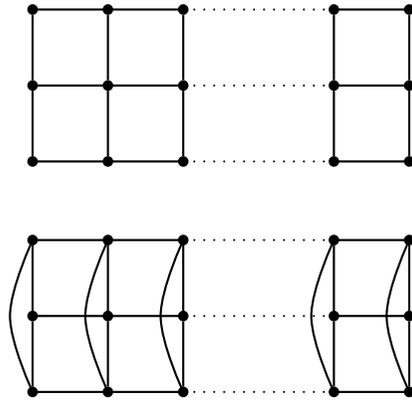


FIGURE 2. Graph $P_3 \times P_n$ and $C_3 \times P_n$.

Finally, note that 3-colorings of P_n and C_n are counted by $3 \times 2^{n-1}$ and $2^n + 2 \times (-1)^n$, respectively, [5, page 65], [39, A007283, A092297]. \square

The cases of $G \times P_n$ and $G \times C_n$, here $G = P_3$, are not as simple compared with the cases of $G = P_2$ and $G = C_3$. In any MIS of $P_3 \times P_n$ (or of $P_3 \times C_n$), individual vertices of $\{V_1^{(j)}, V_2^{(j)}, V_3^{(j)}\}$ must be selected according to one of the five types.

Type 1. $\{v_1^{(j)}, v_3^{(j)}\}$ are both included.

Type 2/3/4. Exactly one vertex of $\{v_1^{(j)}, v_2^{(j)}, v_3^{(j)}\}$ is selected.

Type 5. None of $\{v_1^{(j)}, v_2^{(j)}, v_3^{(j)}\}$ appears.

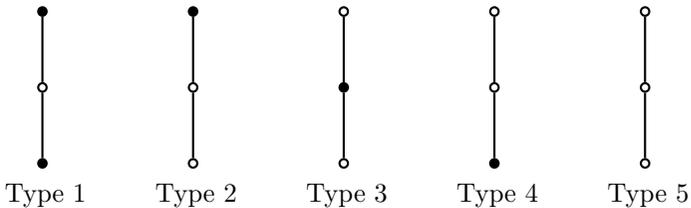


FIGURE 3. A visual graph of five types.

For example, for $P_3 \times P_n$, we may consider the generating functions,

$$g_{i,j}(x, y) = \sum_{d \geq 0} \sum_{n \geq 2} a_{d,n}^{i,j} x^d y^n,$$

where $a_{d,n}^{i,j}$ represents the number of different ways of selecting d vertices from graph $P_3 \times P_n$, such that the $(n - 1)$ th column has type i , the n th column has type j , and the d vertices form an MIS. Note that

$$\sum_{n \geq 2} I_{\max}(P_3 \times P_n; x) \times y^n = g_{1,3} + g_{2,3} + g_{2,4} + g_{3,1} + g_{4,2} + g_{4,3} + g_{5,1} + g_{5,3},$$

and recurrence relationships such as $g_{1,3} = xy(g_{5,1} + g_{3,1}) + x^3y^2$ aid in calculating

$$\begin{aligned} & \sum_{n \geq 2} I_{\max}(P_3 \times P_n; x) y^n (2x^2 + 2x^3) y^2 \\ & \quad + (4x^3 - 3x^4 + x^5) y^3 + (-4x^4 + 4x^5 - 2x^6) y^4 \\ & = \frac{(x^4 - 3x^5 - 5x^6 + x^7) y^5 + (-4x^5 + 2x^7) y^6 + (3x^6 + x^7) y^7}{(1 - xy)(1 - xy - x^3y^2 + (x^4 - 4x^3)y^3 + (2x^4 - x^3)y^4 + x^4y^5)} \\ & = (2x^2 + 2x^3) y^2 + (8x^3 + x^4 + x^5) y^3 + (10x^4 + 6x^5 + 2x^6) y^4 + \dots \end{aligned}$$

In fact, 12 recurrence formulas, which are linear equations on $g_{i,j}$, are used to derive the above formula. This yields $I_{\max}(P_3 \times P_2; x) = 2x^2 + 2x^3$, $I_{\max}(P_3 \times P_3; x) = 8x^3 + x^4 + x^5$, $I_{\max}(P_3 \times P_4; x) = 10x^4 + 6x^5 + 2x^6$, and so on. For an illustration of the MISs of $P_3 \times P_3$, see Figure 4.

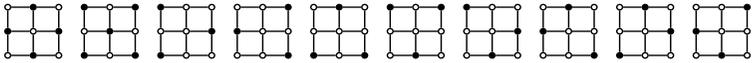


FIGURE 4. MIS in the graph $P_3 \times P_3$.

We will skip the calculation of $P_3 \times C_n$.

For the remainder of this paper we will apply our general results to several interesting graph concatenation configurations that appear in [47]. In Section 3, we study the type of bridge path graphs, while in Section 4, we investigate bridge cycle graphs.

3. Bridge path graph. Let $\{H_i\}_{i=1}^d$ be a sequence of finite pairwise disjoint graphs with specific $v_i \in V(H_i)$ and $V(H_i) - v_i \neq \emptyset$. The *bridge*

path graph

$$B(H_1, H_2, \dots, H_d) \equiv B(H_1, H_2, \dots, H_d; v_1, v_2, \dots, v_d)$$

of sequence $\{H_i\}_{i=1}^d$ with respect to the vertices $\{v_i\}_{i=1}^d$ is obtained from the graphs H_1, \dots, H_d by connecting the vertices v_i and v_{i+1} with an edge for all $i = 1, 2, \dots, d - 1$. See Figure 5 for an illustration.

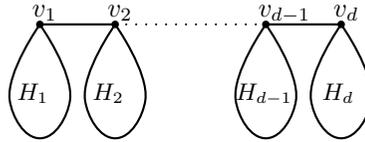


FIGURE 5. The bridge path graph.

Based upon Lemma 2.1, we have the following theorem regarding the general configuration of bridge path graphs.

Theorem 3.1. *For $i = 1, 2, \dots, d, d \geq 3$, let H_i be any graph of order ≥ 2 . Then, the maximal independence polynomial for the bridge path graph $B_d := B(H_1, H_2, \dots, H_d; v_1, v_2, \dots, v_d)$ is recursively given by*

$$\begin{aligned} (3.1) \quad I_{\max}(B_d; x) &= I_{\max}^{\overline{v_d}}(H_d; x) I_{\max}(B_{d-1}; x) \\ &\quad + I_{\max}^{v_d}(H_d; x) (I_{\max}^{\overline{v_{d-1}}}(H_{d-1}; x) + I_{\max}^{\widetilde{v_{d-1}}}(H_{d-1}; x)) \\ &\quad \cdot I_{\max}(B_{d-2}; x) + I_{\max}^{\widetilde{v_d}}(H_d; x) I_{\max}^{v_{d-1}}(H_{d-1}; x) \\ &\quad \cdot (I_{\max}^{\overline{v_{d-2}}}(H_{d-2}; x) + I_{\max}^{\widetilde{v_{d-2}}}(H_{d-2}; x)) I_{\max}(B_{d-3}; x), \end{aligned}$$

with $I_{\max}(B_0; x) = 1, I_{\max}(B_1; x) = I_{\max}(H_1; x)$ and

$$\begin{aligned} I_{\max}(B_2; x) &= I_{\max}^{\overline{v_1}}(H_1; x) I_{\max}^{\overline{v_2}}(H_2; x) \\ &\quad + I_{\max}^{v_2}(H_2; x) (I_{\max}^{\overline{v_1}}(H_1; x) + I_{\max}^{\widetilde{v_1}}(H_1; x)) \\ &\quad + I_{\max}^{v_1}(H_1; x) (I_{\max}^{\overline{v_2}}(H_2; x) + I_{\max}^{\widetilde{v_2}}(H_2; x)). \end{aligned}$$

Proof. By Lemma 2.1 and the fact that $I_{\max}(G; x) = I_{\max}^v(G; x) + I_{\max}^{\overline{v}}(G; x)$, we have

$$(3.2) \quad I_{\max}(B_d; x) = I_{\max}^{v_d}(B_d; x) + I_{\max}^{\overline{v_d}}(B_d; x),$$

where

$$\begin{aligned}
 (3.3) \quad I_{\max}^{v_d}(B_d; x) &= I_{\max}^{v_d, \overline{v_{d-1}}}(B_d; x) \\
 &= I_{\max}^{\{v_d, v_{d-2}\}, \overline{v_{d-1}}}(B_d; x) + I_{\max}^{\overline{\{v_{d-1}, v_{d-2}\}}}(B_d; x) \\
 &= I_{\max}^{v_d}(H_d; x)(I_{\max}^{\overline{v_{d-1}}}(H_{d-1}; x)) \\
 &\quad + I_{\max}^{\widetilde{v_{d-1}}}(H_{d-1}; x)I_{\max}^{v_{d-2}}(B_{d-2}; x) \\
 &\quad + I_{\max}^{v_d}(H_d; x)(I_{\max}^{\overline{v_{d-1}}}(H_{d-1}; x)) \\
 &\quad + I_{\max}^{v_{d-1}}(H_{d-1}; x)I_{\max}^{\overline{v_{d-2}}}(B_{d-2}; x) \\
 &= I_{\max}^{v_d}(H_d; x)(I_{\max}^{\overline{v_{d-1}}}(H_{d-1}; x)) \\
 &\quad + I_{\max}^{\widetilde{v_{d-1}}}(H_{d-1}; x)I_{\max}(B_{d-2}; x)
 \end{aligned}$$

and

$$\begin{aligned}
 (3.4) \quad I_{\max}^{\overline{v_d}}(B_d; x) &= I_{\max}^{v_{d-1}, \overline{v_d}}(B_d; x) + I_{\max}^{\overline{\{v_{d-1}, v_d\}}}(B_d; x) \\
 &= (I_{\max}^{\overline{v_d}}(H_d; x) + I_{\max}^{\widetilde{v_d}}(H_d; x))I_{\max}^{v_{d-1}}(B_{d-1}; x) \\
 &\quad + I_{\max}^{\overline{v_d}}(H_d; x)I_{\max}^{\overline{v_{d-1}}}(B_{d-1}; x) \\
 &= I_{\max}^{\overline{v_d}}(H_d; x)I_{\max}(B_{d-1}; x) \\
 &\quad + I_{\max}^{\widetilde{v_d}}(H_d; x)I_{\max}^{v_{d-1}}(B_{d-1}; x).
 \end{aligned}$$

Combining (3.3) and (3.4), we obtain

$$\begin{aligned}
 (3.5) \quad I_{\max}^{v_d}(B_d; x) &= I_{\max}^{v_d}(H_d; x)(I_{\max}^{\overline{v_{d-1}}}(H_{d-1}; x)) \\
 &\quad + I_{\max}^{\widetilde{v_{d-1}}}(H_{d-1}; x)I_{\max}(B_{d-2}; x),
 \end{aligned}$$

$$\begin{aligned}
 (3.6) \quad I_{\max}^{\overline{v_d}}(B_d; x) &= I_{\max}^{\overline{v_d}}(H_d; x)I_{\max}(B_{d-1}; x) \\
 &\quad + I_{\max}^{\widetilde{v_d}}(H_d; x)I_{\max}^{v_{d-1}}(H_{d-1}; x) \\
 &\quad \cdot (I_{\max}^{\overline{v_{d-2}}}(H_{d-2}; x) + I_{\max}^{\widetilde{v_{d-2}}}(H_{d-2}; x))I_{\max}(B_{d-3}; x).
 \end{aligned}$$

Substituting (3.5) and (3.6) into (3.2), we have

$$\begin{aligned}
 I_{\max}(B_d; x) &= I_{\max}^{\overline{v_d}}(H_d; x)I_{\max}(B_{d-1}; x) \\
 &\quad + I_{\max}^{v_d}(H_d; x)(I_{\max}^{\overline{v_{d-1}}}(H_{d-1}; x)) \\
 &\quad + I_{\max}^{\overline{v_{d-1}}}(H_{d-1}; x)I_{\max}(B_{d-2}; x) \\
 &\quad + I_{\max}^{\widetilde{v_d}}(H_d; x)I_{\max}^{v_{d-1}}(H_{d-1}; x) \\
 &\quad \cdot (I_{\max}^{\overline{v_{d-2}}}(H_{d-2}; x) + I_{\max}^{\widetilde{v_{d-2}}}(H_{d-2}; x))I_{\max}(B_{d-3}; x).
 \end{aligned}$$

Direct calculation gives the initial values of this recurrence relation, namely, $I_{\max}(B_0; x) = 1$, $I_{\max}(B_1; x) = I_{\max}(H_1; x)$ and

$$\begin{aligned} I_{\max}(B_2; x) &= I_{\max}^{\overline{v_1}}(H_1; x)I_{\max}^{\overline{v_2}}(H_2; x) \\ &\quad + I_{\max}^{v_2}(H_2; x)(I_{\max}^{\overline{v_1}}(H_1; x) + I_{\max}^{\widetilde{v_1}}(H_1; x)) \\ &\quad + I_{\max}^{v_1}(H_1; x)(I_{\max}^{\overline{v_2}}(H_2; x) + I_{\max}^{\widetilde{v_2}}(H_2; x)), \end{aligned}$$

completing the proof. □

For the remainder of this section, we consider the case where all $H_i = H$ and $v_i = v$ for all $i = 1, 2, \dots, d$. Also, we define

$$\begin{aligned} \alpha &:= I_{\max}^{\overline{v}}(H; x), \\ \beta &:= I_{\max}^v(H; x)(I_{\max}^{\overline{v}}(H; x) + I_{\max}^{\widetilde{v}}(H; x)) \end{aligned}$$

and

$$\gamma := I_{\max}^{\widetilde{v}}(H; x)\beta.$$

These parameters play important roles in our consequent derivations.

Corollary 3.2. *For the bridge path graph $\mathbb{B}_d = \mathbb{B}_d(H, v) = B(H, H, \dots, H; v, v, \dots, v)$ connecting the same component H , $|V(H)| \geq 2$, a total of $d \geq 3$ times,*

$$(3.7) \quad I_{\max}(\mathbb{B}_d; x) = f_d + I_{\max}^v(H; x)f_{d-1} + I_{\max}^v(H; x)I_{\max}^{\widetilde{v}}(H; x)f_{d-2},$$

$d \geq 2$, where

$$f_d := \sum_{i=0}^d \sum_{j=0}^{\min(i, d-i)} \binom{i}{j} \binom{j}{d-i-j} \alpha^{i-j} \beta^{2j+i-d} \gamma^{d-i-j},$$

with the initial polynomials $I_{\max}(\mathbb{B}_0; x) = 1$ and $I_{\max}(\mathbb{B}_1; x) = I_{\max}(H; x)$.

Proof. Let $H_i = H$ and $v_i = v$, $i = 1, 2, \dots, d$. By (3.1), we have

$$(3.8) \quad I_{\max}(\mathbb{B}_d; x) = \alpha I_{\max}(\mathbb{B}_{d-1}; x) + \beta I_{\max}(\mathbb{B}_{d-2}; x) + \gamma I_{\max}(\mathbb{B}_{d-3}; x)$$

with $I_{\max}(\mathbb{B}_0; x) = 1$, $I_{\max}(\mathbb{B}_1; x) = I_{\max}(H; x)$ and

$$I_{\max}(\mathbb{B}_2; x) = (I_{\max}^{\overline{v}}(H; x))^2 + 2I_{\max}^v(H; x)(I_{\max}^{\overline{v}}(H; x) + I_{\max}^{\widetilde{v}}(H; x)).$$

Let $F(t)$ be the generating function for the sequence $I_{\max}(\mathbb{B}_d; x)$, that is,

$$F(t) = \sum_{d \geq 0} I_{\max}(\mathbb{B}_d; x)t^d.$$

Multiplying (3.8) by t^d and summing over all $d \geq 3$ with the above initial values, we obtain

$$\begin{aligned} F(t) - I_{\max}(\mathbb{B}_2; x)t^2 - I_{\max}(\mathbb{B}_1; x)t - 1 \\ = \alpha(F(t) - I_{\max}(\mathbb{B}_1; x)t - 1)t + \beta(F(t) - 1)t^2 + \gamma F(t)t^3, \end{aligned}$$

which implies

$$\begin{aligned} (3.9) \quad F(t) &= \frac{1 + I_{\max}^v(H; x)t + I_{\max}^v(H; x)I_{\max}^{\bar{v}}(H; x)t^2}{1 - \alpha t - \beta t^2 - \gamma t^3} \\ &= (1 + I_{\max}^v(H; x)t + I_{\max}^v(H; x)I_{\max}^{\bar{v}}(H; x)t^2) \\ &\quad + \sum_{i \geq 0} t^i (\alpha + \beta t + \gamma t^2)^i \\ &= (1 + I_{\max}^v(H; x)t + I_{\max}^v(H; x)I_{\max}^{\bar{v}}(H; x)t^2) \\ &\quad + \sum_{i \geq 0} \sum_{j=0}^i \sum_{s=0}^j \binom{i}{j} \binom{j}{s} \alpha^{i-j} \beta^{j-s} \gamma^s t^{i+j+s}. \end{aligned}$$

Finally, comparing the coefficient of t^d on both sides of equation (3.9), we obtain the explicit formula for $I_{\max}(\mathbb{B}_d; x)$, as claimed. \square

Corollary 3.3. *Consider the bridge path graph $\mathbb{B}_d = \mathbb{B}_d(H, v) = B(H, H, \dots, H; v, v, \dots, v)$ connecting the same component H , $|V(H)| \geq 2$ a total of d times. If H has the feature $I_{\max}^{\bar{v}}(H; x) = 0$, whence $\gamma = 0$, then*

$$(3.10) \quad I_{\max}(\mathbb{B}_d; x) = \alpha I_{\max}(\mathbb{B}_{d-1}; x) + \beta I_{\max}(\mathbb{B}_{d-2}; x), \quad d \geq 2,$$

with the initial values $I_{\max}(\mathbb{B}_0; x) = 1$, $I_{\max}(\mathbb{B}_1; x) = I_{\max}(H; x)$ and $\alpha := I_{\max}^v(H; x)$, $\beta := I_{\max}^v(H; x)\alpha$. Moreover, for all $d \geq 0$,

$$(3.11) \quad I_{\max}(\mathbb{B}_d; x) = \frac{(i\sqrt{\beta})^{d+1}}{\alpha} U_{d+1} \left(\frac{\alpha}{2i\sqrt{\beta}} \right),$$

where $i^2 = -1$, and U_m is the m th Chebyshev polynomial of the second kind [53].

Proof. In the case of $\gamma I_{\max} = 0$, in the above summation of (3.9), we take $s = 0$, i.e., $i + j = d$, and in (3.7), we have only two terms, or simply use (3.8) so that (3.10) is established.

Let $g_d := (I_{\max}(\mathbb{B}_d; x))/(i\sqrt{\beta})^d$. Note that the recurrence (3.10) may be written as

$$g_d = \frac{\alpha}{i\sqrt{\beta}} g_{d-1} - g_{d-2},$$

with $g_0 = 1$ and $g_1 = (I_{\max}(H; x))/(i\sqrt{\beta}) = \alpha/(i\sqrt{\beta}) + (I_{\max}^v(H; x))/(i\sqrt{\beta})$.

Using the fact that Chebyshev polynomials satisfy the recurrence relation $U_s(t) = 2tU_{s-1}(t) - U_{s-2}(t)$ with $U_0(t) = 1$ and $U_1(t) = 2t$, we inductively derive

$$\begin{aligned} g_d &= U_{d-1}\left(\frac{\alpha}{2i\sqrt{\beta}}\right)g_1 - U_{d-2}\left(\frac{\alpha}{2i\sqrt{\beta}}\right)g_0 \\ &= U_d\left(\frac{\alpha}{2i\sqrt{\beta}}\right) + \frac{I_{\max}^v(H; x)}{i\sqrt{\beta}}U_{d-1}\left(\frac{\alpha}{2i\sqrt{\beta}}\right). \end{aligned}$$

Hence,

$$\begin{aligned} I_{\max}(\mathbb{B}_d; x) &= (i\sqrt{\beta})^d U_d\left(\frac{\alpha}{2i\sqrt{\beta}}\right) + I_{\max}^v(H; x)(i\sqrt{\beta})^{d-1} U_{d-1}\left(\frac{\alpha}{2i\sqrt{\beta}}\right) \\ &= \frac{(i\sqrt{\beta})^{d+1}}{\alpha} \left(\frac{\alpha}{i\sqrt{\beta}} U_d\left(\frac{\alpha}{2i\sqrt{\beta}}\right) - U_{d-1}\left(\frac{\alpha}{2i\sqrt{\beta}}\right) \right) \\ &= \frac{(i\sqrt{\beta})^{d+1}}{\alpha} U_{d+1}\left(\frac{\alpha}{2i\sqrt{\beta}}\right), \end{aligned}$$

completing the proof of (3.11). □

Some applications of Theorem 3.1 and Corollaries 3.2 and 3.3 are presented next.

Our first application concerns the vertebrated graph. Its model widely inspires studies of mathematical biology and bioinformatics, see [1, 32, 36, 45]. Let H be the star graph $K_{1,m}$ with center v . Then, the bridge path graph $B(H, H, \dots, H; v, v, \dots, v)$ (d -times) is called the *vertebrated graph* $V_d^{(m)}$, see Figure 6.

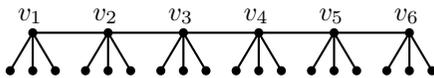


FIGURE 6. The vertebrated graph $V_6^{(3)}$.

Corollary 3.4. For all $d \geq 0$ and $m \geq 1$,

$$\begin{aligned}
 I_{\max}(V_d^{(m)}; x) &= i^{d+1} \sqrt{x}^{(d-1)m+d+1} U_{d+1} \left(\frac{\sqrt{x}^{m-1}}{2i} \right) \\
 &= \sum_{k=0}^{\lfloor (d+1)/2 \rfloor} \binom{d+1-k}{k} x^{dm-k(m-1)}
 \end{aligned}$$

with $i^2 = -1$, and U_m is the m th Chebyshev polynomial of the second kind.

Proof. Note that

$$\begin{aligned}
 I_{\max}(K_{1,m}; x) &= x + x^m, & I_{\max}^v(K_{1,m}; x) &= x, \\
 I_{\max}^{\bar{v}}(K_{1,m}; x) &= x^m & \text{and} & & I_{\max}^{\bar{v}}(K_{1,m}; x) &= 0.
 \end{aligned}$$

Therefore, $\alpha = x^m$, $\beta = x^{m+1}$ and $\gamma = 0$. Thus, by (3.11),

$$I_{\max}(V_d^{(m)}; x) = i^{d+1} \sqrt{x}^{(d-1)m+d+1} U_{d+1} \left(\frac{\sqrt{x}^{m-1}}{2i} \right).$$

For the second equality, we use the well-known fact that Chebyshev polynomials of the second kind satisfy

$$(3.12) \quad U_n(t) = \sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \binom{n-k}{k} (2t)^{n-2k}.$$

Thus,

$$\begin{aligned}
 I_{\max}(V_d^{(m)}; x) &= i^{d+1} \sqrt{x}^{(d-1)m+d+1} \sum_{k=0}^{\lfloor (d+1)/2 \rfloor} (-1)^k \\
 &\quad \cdot \binom{d+1-k}{k} \left(\frac{\sqrt{x}^{m-1}}{i} \right)^{d+1-2k}
 \end{aligned}$$

$$= \sum_{k=0}^{\lfloor (d+1)/2 \rfloor} \binom{d+1-k}{k} x^{dm-k(m-1)},$$

which completes the proof. □

Example 3.5. Corollary 3.4 for $m = 1$ gives $I_{\max}(V_d^{(1)}; x) = i^{d+1}x^d U_{d+1}(1/2i) = \text{Fib}_{d+2} x^d$, where $\text{Fib}_n = \text{Fib}_{n-1} + \text{Fib}_{n-2}$ with $\text{Fib}_0 = 0$ and $\text{Fib}_1 = 1$ (the Fibonacci numbers). Thus, in this case, $I_{\max}(V_d^{(1)}; x)$ is a monomial with order d and coefficient Fib_{n+2} . It thus trivially has only real zeros $x = 0$, log-concave and unimodal. (The graph $V_d^{(1)}$ is the so-called n -centipede graph due to its appearance.)

Corollary 3.4 for $m = 2$ gives $I_{\max}(V_d^{(2)}; x) = i^{d+1}\sqrt{x}^{3d-1}U_{d+1}(\sqrt{x}/2i)$. Using the fact that

$$U_n(t) = \prod_{k=1}^n \left(2t - 2 \cos \frac{k\pi}{n+1} \right),$$

cf., [53], we obtain

$$\begin{aligned} I_{\max}(V_d^{(2)}; x) &= i^{d+1}\sqrt{x}^{3d-1} \prod_{k=1}^{d+1} \left(\frac{\sqrt{x}}{i} - 2 \cos \frac{k\pi}{d+2} \right) \\ &= x^{d-1} \prod_{k=1}^{d+1} \left(x - 2i\sqrt{x} \cos \frac{k\pi}{d+2} \right) \\ &= x^{d-(1-(-1)^d)/2} \prod_{k=1}^{\lfloor (d+1)/2 \rfloor} \left(x^2 + 4x \cos^2 \frac{k\pi}{d+2} \right). \end{aligned}$$

This shows that the polynomial $I_{\max}(V_d^{(2)}; x)$ has degree $2d$. Furthermore, $I_{\max}(V_d^{(2)}; x)$ has only real zeros and hence is log-concave and unimodal.

Also, Corollary 3.4 for $m = 3$ gives

$$\begin{aligned} I_{\max}(V_d^{(3)}; x) &= i^{d+1}x^{2d-1}U_{d+1}\left(\frac{x}{2i}\right) \\ &= x^{2d-1} \prod_{k=1}^{d+1} \left(x - 2i \cos \frac{k\pi}{d+2} \right) \end{aligned}$$

$$= x^{2d - ((1 - (-1)^d)/2)} \prod_{k=1}^{\lfloor (d+1)/2 \rfloor} \left(x^2 + 4 \cos^2 \frac{k\pi}{d+2} \right),$$

which shows that *not all* of the zeros of the polynomial $I_{\max}(V_d^{(3)}; x)$ are real. In fact, it is easy to see that the coefficients of $I_{\max}(V_d^{(3)}; x)$ jump in the pattern where zero coefficients are interlaced with nonzero coefficients and hence cannot be unimodal, let alone log-concave.

Remark 3.6. In [52], it is conjectured that, for all $n, m \geq 0$, the (ordinary) independence polynomial $I(V_n^m)$ is unimodal, which is confirmed in [47] by establishing log-concavity. Also, it is verified in [47, Proposition 3.1] that, for the cases $m = 0, 1, 2$, $I(V_n^m)$ has only real zeros. Here, however, in the theory of maximal independence polynomials, the analogous unimodality does not hold for $m \geq 3$, as is clear from the second equality of Corollary 3.4, while real-rootedness holds true for $m = 0, 1, 2$.

Another application is the following. Keep H as the star graph, but this time let the connecting vertex v be one of the leaves rather than the center. In this case, the bridge path graph

$$\mathbb{B}_d = B(H, H, \dots, H; v, v, \dots, v)$$

(d -times) is the *firecracker graph* $F_d^{(m)}$, see Figure 7.

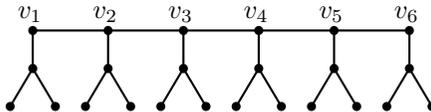


FIGURE 7. The firecracker graph $F_6^{(3)}$.

Corollary 3.7. For all $d \geq 0$ and $m \geq 2$,

$$(3.13) \quad I_{\max}(F_d^{(m)}; x) = f_d + x^m f_{d-1} + x^{2m-1} f_{d-2},$$

where

$$(3.14) \quad f_d = \sum_{i=0}^d \sum_{j=0}^{\min(i, d-i)} \binom{i}{j} \binom{j}{d-i-j} x^{(m-1)(d-i)+i} (x + x^{m-1})^j.$$

Proof. Note that $I_{\max}(H; x) = x + x^m$, $I_{\max}^v(H; x) = x^m$, $I_{\max}^{\bar{v}}(H; x) = x$ and $I_{\max}^{\bar{v}}(H; x) = x^{m-1}$. According to Corollary 3.2,

$$I_{\max}(F_d^{(m)}; x) = f_d + x^m f_{d-1} + x^{2m-1} f_{d-2},$$

where

$$f_d = \sum_{i=0}^d \sum_{j=0}^{\min(i, d-i)} \binom{i}{j} \binom{j}{d-i-j} x^{(m-1)(d-i)+i} (x + x^{m-1})^j,$$

which completes the proof. □

Furthermore, note that Corollary 3.7 gives

$$I_{\max}(F_1^{(m)}; x) = x + x^m,$$

$$I_{\max}(F_2^{(m)}; x) = x^2 + 2x^{m+1} + 2x^{2m-1},$$

$$I_{\max}(F_3^{(m)}; x) = x^3 + 3x^{m+2} + 4x^{2m} + x^{2m+1} + x^{3m-2} + x^{3m-1},$$

$$I_{\max}(F_4^{(m)}; x) = x^4 + 4x^{m+3} + 6x^{2m+1} + 3x^{2m+2} + 2x^{3m-1} + 6x^{3m} + 3x^{4m-2}.$$

Remark 3.8. In [47], it is shown that, for all $d, m \geq 0$, the independence polynomial $I(F_d^{(m)})$ is log-concave and unimodal. Here, according to (3.13) and (3.14), the analogous properties for maximal independence polynomials hold if and only if $m = 0, 1, 2$; note that the lowest nonzero term of $I_{\max}(F_d^{(m)})$ has degree d , the lowest has degree $d - 1 + m$ (from both f_d and $x^m f_{d-1}$), and their difference is > 2 when $m \geq 3$.

We complete this section with three additional examples.

Example 3.9. Let $H = K_m$ be the complete graph on m vertices. Clearly, $I_{\max}(H; x) = mx$, $I_{\max}^v(H; x) = x$, $I_{\max}^{\bar{v}}(H; x) = (m - 1)x$ and $I_{\max}^{\bar{v}}(H; x) = 0$. Thus, Corollary 3.3 gives

$$I_{\max}(\mathbb{B}_d(K_m, v); x) = x^d i^{d+1} \sqrt{m-1}^{d-1} U_{d+1} \left(\frac{\sqrt{m-1}}{2i} \right),$$

where $i^2 = -1$. Hence, the polynomial $I_{\max}(\mathbb{B}_d(K_m, v); x)$ is a monomial in the form of $c_d x^d$ where $c_d := i^{d+1} \sqrt{m-1}^{d-1} U_{d+1}(\sqrt{m-1}/2i)$

is a constant. This, of course, is not surprising. However, now the coefficient of the monomial is known.

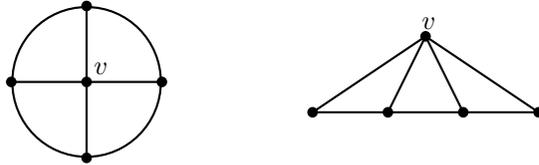


FIGURE 8. The wheel and the fan graphs both on $4 + 1 = 5$ vertices with center v .

Example 3.10. Let $H = W_m$ be the *wheel graph* on $m + 1$ vertices with center v , see the left hand side of Figure 8 for an illustration. Furthermore, let the center v be connected to all vertices of the cycle graph C_m . Clearly, $I_{\max}(H; x) = x + I_{\max}(C_m; x)$, $I_{\max}^v(H; x) = x$, $I_{\max}^{\bar{v}}(H; x) = I_{\max}(C_m; x)$ and $I_{\max}^{\bar{v}}(H; x) = 0$. Thus, Corollary 3.3 gives

$$I_{\max}(\mathbb{B}_d(W_m, v); x) = i^{d+1} \sqrt{x}^{d+1} \sqrt{I_{\max}(C_m; x)}^{d-1} U_{d+1} \left(\frac{\sqrt{I_{\max}(C_m; x)}}{2i\sqrt{x}} \right),$$

where $i^2 = -1$. Then, by (3.12), we have

$$(3.15) \quad I_{\max}(\mathbb{B}_d(W_m, v); x) = \sum_{k=0}^{\lfloor (d+1)/2 \rfloor} \binom{d+1-k}{k} (I_{\max}(C_m; x))^{d-k} x^k.$$

Using the fact that $U_n(t) = \prod_{k=1}^n (2t - 2 \cos(k\pi/(n+1)))$, see [53], we obtain

$$(3.16) \quad \begin{aligned} I_{\max}(\mathbb{B}_d(W_m, v); x) &= \sqrt{I_{\max}(C_m; x)}^{d-1} \\ &\quad \times \prod_{k=1}^{d+1} \left(\sqrt{I_{\max}(C_m; x)} - 2i\sqrt{x} \cos \frac{k\pi}{d+2} \right) \\ &= \sqrt{I_{\max}(C_m; x)}^{d-(1-(-1)^d)/2} \end{aligned}$$

$$\times \prod_{k=1}^{\lfloor (d+1)/2 \rfloor} \left(I_{\max}(C_m; x) + 4x \cos^2 \frac{k\pi}{d+2} \right).$$

Recall that, by Proposition 2.3,

$$I_{\max}(C_m; x) = \sum_{j \geq 1} \frac{m}{j} \binom{j}{m-2j} x^j.$$

Specifically,

$$\begin{aligned} I_{\max}(C_1; x) &= x, & I_{\max}(C_2; x) &= 2x, \\ I_{\max}(C_3; x) &= 3x, & I_{\max}(C_4; x) &= 2x^2, \\ I_{\max}(C_5; x) &= 5x^2, & I_{\max}(C_6; x) &= 3x^2 + 2x^3 \end{aligned}$$

and

$$I_{\max}(C_7; x) = 7x^3.$$

By (3.16), the polynomial $I_{\max}(\mathbb{B}_d(W_m, v); x)$ contains only real zeros for $m = 1, 2, 3, 4, 5$. For $m = 6$, there are non-real zeros if $d \geq 2$, whence, $3^2 - 4 \cdot 2 \cdot 4 \cos^2 \pi / (d+2) \leq 9 - 32 \cos^2 \pi / 4 < 0$. Nonetheless, since every factor of $I_{\max}(\mathbb{B}_d(W_6, v); x)$ is log-concave, the polynomial is log-concave and thus unimodal. If $d = 1$, all zeros of $I_{\max}(\mathbb{B}_1(W_6, v); x)$ are obviously real. For $m = 7$, since $\sqrt{I_{\max}(C_7; x)}^{d-(1-(-1)^d)/2}$ is a monomial, and every factor of the product

$$\prod_{k=1}^{\lfloor (d+1)/2 \rfloor} \left(I_{\max}(C_7; x) + 4x \cos^2 \frac{k\pi}{d+2} \right)$$

has a gap at x^2 ; in fact, $I_{\max}(\mathbb{B}_d(W_7, v); x)$ is nonunimodal.

Next, we focus on the specific values of $d = 1, 2$. When $d = 1$, $I_{\max}(\mathbb{B}_d(W_m, v); x) = x + I_{\max}(C_m; x)$. As discussed, for $1 \leq m \leq 6$, all zeros of $I_{\max}(\mathbb{B}_1(W_m, v); x)$ are real; for $m \geq 7$, $I_{\max}(\mathbb{B}_1(W_m, v); x)$ is nonunimodal since, according to Proposition 2.3, we know that $x^3 \mid I_{\max}(C_m; x)$, and thus, $x + I_{\max}(C_m; x)$ has a gap at x^2 .

The above conclusions hold exactly the same for $d = 2$, except that, here, $I_{\max}(\mathbb{B}_2(W_6, v); x)$ is log-concave, unimodal, but not real-rooted. Based on previous discussions, we only need to prove that $I_{\max}(\mathbb{B}_2(W_m, v); x)$ is nonunimodal for all $m \geq 8$. First, we show that the polynomial $I_{\max}(\mathbb{B}_2(W_m, v); x)$ is nonunimodal for all $m \geq 13$. For

TABLE 1.

m	$I_{\max}(\mathbb{B}_2(W_m, v); x) = I_{\max}(C_m; x)(2x + I_{\max}(C_m; x))$
8	$16x^4 + 4x^5 + 64x^6 + 32x^7 + 4x^8$
9	$6x^4 + 18x^5 + 9x^6 + 54x^7 + 81x^8$
10	$30x^5 + 4x^6 + 225x^8 + 60x^9 + 4x^{10}$
11	$22x^5 + 22x^6 + 121x^8 + 242x^9 + 121x^{10}$
12	$6x^5 + 48x^6 + 4x^7 + 9x^8 + 144x^9 + 588x^{10} + 96x^{11} + 4x^{12}$

convenience, let $q_m(x) := I_{\max}(C_m; x)$, and let $p_m(x) := I_{\max}(\mathbb{B}_2(W_m, v); x)$, noting that $p_m(x) = 2xq_m(x) + (q_m(x))^2$. However, since the degree of $2xq_m(x)$ is $\lfloor m/2 \rfloor + 1$, the degree of the minimum nonzero term of $(q_m(x))^2$ is $2\lceil m/3 \rceil$, and the difference of these is at least 2 for all $m \geq 13$, there is a gap at $x^{\lfloor m/2 \rfloor + 2}$, thus implying $p_m(x)$ nonunimodal.

Table 1 shows that $I_{\max}(\mathbb{B}_2(W_m, v); x)$ is nonunimodal for $8 \leq m \leq 12$, to complete our discussion.

Question 3.11. Characterize the subset $\mathcal{A}(\mathbb{B}_d(W_m, v))$ of $\mathbb{Z}^+ \times \mathbb{Z}^+$ such that, when $(m, d) \in \mathcal{A}(\mathbb{B}_d(W_m, v))$, the polynomial $I_{\max}(\mathbb{B}_d(W_m, v); x)$ is unimodal.

In summary, we have shown above that $(m, d) \in \mathcal{A}(\mathbb{B}_d(W_m, v))$ for $1 \leq m \leq 6$ and any d , that $(m, d) \notin \mathcal{A}$ for $m = 7$ and any d , that $(m, d) \notin \mathcal{A}$ for all $m \geq 8$ and $d = 1, 2$.

Example 3.12. Let $H = F_m$ be the fan graph on $m + 1$ vertices with center v , see the right hand side of Figure 8 for an illustration. The vertex v is connected to all vertices of the path graph P_m . Clearly, $I_{\max}(H; x) = x + I_{\max}(P_m; x)$, $I_{\max}^v(H; x) = x$, $I_{\max}^{\bar{v}}(H; x) = I_{\max}(P_m; x)$ and $I_{\max}^{\bar{v}}(H; x) = 0$. Thus, Corollary 3.3 yields

$$I_{\max}(\mathbb{B}_d(F_m, v); x) = i^{d+1} \sqrt{x}^{d+1} \sqrt{I_{\max}(P_m; x)}^{d-1} U_{d+1} \left(\frac{\sqrt{I_{\max}(P_m; x)}}{2i\sqrt{x}} \right),$$

where $i^2 = -1$. Therefore, by (3.12), we have

$$I_{\max}(\mathbb{B}_d(W_m, v); x) = \sum_{k=0}^{\lfloor (d+1)/2 \rfloor} \binom{d+1-k}{k} (I_{\max}(P_m; x))^{d-k} x^k.$$

Using the fact that $U_n(t) = \prod_{k=1}^n (2t - 2 \cos(k\pi/(n + 1)))$, see [53], we obtain

(3.18)

$$\begin{aligned} I_{\max}(\mathbb{B}_d(F_m, v); x) &= \sqrt{I_{\max}(P_m; x)}^{d-1} \\ &\quad \times \prod_{k=1}^{d+1} \left(\sqrt{I_{\max}(P_m; x)} - 2i\sqrt{x} \cos \frac{k\pi}{d+2} \right) \\ &= \sqrt{I_{\max}(P_m; x)}^{d-(1-(-1)^d)/2} \\ &\quad \times \prod_{k=1}^{\lfloor (d+1)/2 \rfloor} \left(I_{\max}(P_m; x) + 4x \cos^2 \frac{k\pi}{d+2} \right). \end{aligned}$$

Recall that, by Proposition 2.2,

$$I_{\max}(P_m; x) = \sum_{j \geq 0} \binom{j+1}{m+1-2j} x^j.$$

Specifically,

$$\begin{aligned} I_{\max}(P_1; x) &= x, & I_{\max}(P_2; x) &= 2x, \\ I_{\max}(P_3; x) &= x + x^2, & I_{\max}(P_4; x) &= 3x^2, \\ I_{\max}(P_5; x) &= 3x^2 + x^3 \quad \text{and} \quad I_{\max}(P_6; x) &= x^2 + 4x^3 \end{aligned}$$

By (3.18), the polynomial $I_{\max}(\mathbb{B}_d(F_m, v); x)$ has only real zeros for $m = 1, 2, 3, 4$. For $m = 5$, there are non-real zeros when $d \geq 3$. Nonetheless, since every factor of $I_{\max}(\mathbb{B}_d(F_5, v); x)$ in (3.18) is log-concave, the polynomial is log-concave, and thus unimodal, for all $d \geq 1$.

When $d = 1$, by (3.17),

$$I_{\max}(\mathbb{B}_d(F_m, v); x) = x + I_{\max}(P_m; x).$$

As already discussed, for $1 \leq m \leq 5$, all zeros of $I_{\max}(\mathbb{B}_1(F_m, v); x)$ are real; $I_{\max}(\mathbb{B}_1(F_6, v); x) = x + x^2 + x^3$ has non-real zeros but is log-concave and thus unimodal; $I_{\max}(\mathbb{B}_1(F_m, v); x)$ is nonunimodal for $m \geq 7$ since $x^3 \mid I_{\max}(P_m; x)$, and thus produces a gap at x^2 .

The above conclusions hold exactly the same for $d = 2$. We only need to prove that $I_{\max}(\mathbb{B}_2(F_m, v); x)$ is nonunimodal for all $m \geq 6$

and $m \neq 8$. First, as in the treatment of Example 3.10, it is not difficult to see that the polynomial $I_{\max}(\mathbb{B}_2(F_m, v); x)$ is nonunimodal for all $m \geq 16$. A special case is $m = 8$ since $I_{\max}(\mathbb{B}_2(F_m, v); x) = 8x^4 + 10x^5 + 16x^6 + 40x^7 + 25x^8$ is unimodal but not log-concave. For $6 \leq m \leq 15$ and $m \neq 8$, numerical computation yields that $I_{\max}(\mathbb{B}_2(F_m, v); x)$ is nonunimodal; thus, our discussion is complete.

4. Bridge cycle graph. Let $\{H_i\}_{i=1}^d$ be a set of finite pairwise disjoint graphs with a specifically chosen $v_i \in V(H_i)$ and $|V(H_i)| \geq 2$. The *bridge cycle graph*

$$C(H_1, H_2, \dots, H_d) \equiv C(H_1, H_2, \dots, H_d; v_1, v_2, \dots, v_d)$$

of $\{H_i\}_{i=1}^d$ with respect to the vertices $\{v_i\}_{i=1}^d$ is obtained from the components H_1, \dots, H_d by connecting vertices v_i and v_{i+1} by an edge for all $i = 1, 2, \dots, d - 1$, as well as connecting vertices v_d and v_1 by an edge, see Figure 9.

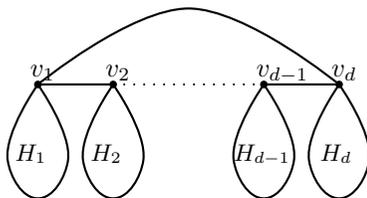


FIGURE 9. The bridge cycle graph.

Now, let us derive a recurrence relation for the sequence of maximal independence polynomials

$$\{I_{\max}(C(H_1, H_2, \dots, H_d); x)\}_{d \geq 0}.$$

For convenience, define $I_{\max}^v(G; x) = I_{\max}^{\bar{v}}(G; x) + I_{\max}^{\bar{v}}(G; x)$. As in Section 3, $B_d := B(H_1, H_2, \dots, H_d; v_1, v_2, \dots, v_d)$ represents the bridge path graph of $\{H_i\}_{i=1}^d$ with respect to the vertices $\{v_i\}_{i=1}^d$. Let \mathbb{B}_d denote $B(H, H, \dots, H; v, v, \dots, v)$ when all of the components H_i are the same and $v_i = v$ for all $1 \leq i \leq d$. By definition, it is easy to see

that

$$\begin{aligned}
 (4.1) \quad I_{\max}^{v_d}(C(H_1, H_2, \dots, H_d); x) &= I_{\max}^{v_d}(H_d; x) I_{\max}^{v_{d-1}}(H_{d-1}; x) I_{\max}^{v_1}(H_1; x) \\
 &\quad \cdot I_{\max}(B(H_2, H_3, \dots, H_{d-2}); x).
 \end{aligned}$$

Also,

$$\begin{aligned}
 (4.2) \quad I_{\max}^{\overline{v_d}}(C(H_1, H_2, \dots, H_d); x) &= I_{\max}^{\{v_1, v_{d-1}\}, \overline{v_d}}(C(H_1, H_2, \dots, H_d); x) \\
 &\quad + I_{\max}^{v_1, \overline{\{v_{d-1}, v_d\}}}(C(H_1, H_2, \dots, H_d); x) \\
 &\quad + I_{\max}^{v_{d-1}, \overline{\{v_1, v_d\}}}(C(H_1, H_2, \dots, H_d); x) \\
 &\quad + I_{\max}^{\overline{\{v_1, v_{d-1}, v_d\}}}(C(H_1, H_2, \dots, H_d); x) \\
 &= I_{\max}^{v_d}(H_d; x) I_{\max}^{\{v_1, v_{d-1}\}}(B_{d-1}; x) \\
 &\quad + I_{\max}^{v_d}(H_d; x) I_{\max}^{v_1, \overline{v_{d-1}}}(B_{d-1}; x) \\
 &\quad + I_{\max}^{v_d}(H_d; x) I_{\max}^{v_{d-1}, \overline{v_1}}(B_{d-1}; x) \\
 &\quad + I_{\max}^{\overline{v_d}}(H_d; x) I_{\max}^{\overline{\{v_1, v_{d-1}\}}}(B_{d-1}; x) \\
 &= I_{\max}^{v_d}(H_d; x) I_{\max}(B_{d-1}; x) \\
 &\quad - I_{\max}^{\overline{v_d}}(H_d; x) I_{\max}^{\overline{\{v_1, v_{d-1}\}}}(B_{d-1}; x).
 \end{aligned}$$

It remains to find a recurrence for $I_{\max}^{\overline{\{v_1, v_{d-1}\}}}(B_{d-1}; x)$, which can be accomplished as follows:

$$\begin{aligned}
 I_{\max}^{\overline{\{v_1, v_d\}}}(B_d; x) &= I_{\max}^{\overline{\{v_1, v_{d-1}, v_d\}}}(B_d; x) + I_{\max}^{v_{d-1}, \overline{\{v_1, v_d\}}}(B_d; x) \\
 &= I_{\max}^{\overline{v_d}}(H_d; x) I_{\max}^{\overline{\{v_1, v_{d-1}\}}}(B_{d-1}; x) \\
 &\quad + I_{\max}^{v_d}(H_d; x) I_{\max}^{v_{d-1}, \overline{v_1}}(B_{d-1}; x).
 \end{aligned}$$

Thus, by the fact that

$$I_{\max}^{\overline{\{v_1, v_d\}}}(B_d; x) + I_{\max}^{v_d, \overline{v_1}}(B_d; x) = I_{\max}^{\overline{v_1}}(B_d; x),$$

we obtain

$$\begin{aligned}
 (4.3) \quad I_{\max}^{\overline{\{v_1, v_d\}}}(B_d; x) + I_{\max}^{\overline{v_d}}(H_d; x) I_{\max}^{\overline{\{v_1, v_{d-1}\}}}(B_{d-1}; x) &= I_{\max}^{\overline{v_1}}(B_d; x) \\
 &\quad + I_{\max}^{v_d}(H_d; x) I_{\max}^{\overline{v_1}}(B_{d-1}; x).
 \end{aligned}$$

Indeed, the recurrences (4.1)–(4.3) with right initial values may be used to compute $I_{\max}(C(H_1, H_2, \dots, H_d); x)$ for any graph components H_1, \dots, H_d , each of which has at least two vertices.

For nicer exhibitions, from now on, we focus on cases $H_i = H$ and $v_i = v$ for all $i = 1, 2, \dots, d$. Denote the bridge cycle graph $C(H, H, \dots, H, v, v, \dots, v)$ (d -times) by $\mathbb{C}_d = \mathbb{C}_d(H, v)$. Thus, (4.1)–(4.3) give

$$\begin{aligned} I_{\max}^v(\mathbb{C}_d; x) &= I_{\max}^v(H; x)(I_{\max}^v(H; x))^2 I_{\max}(\mathbb{B}_{d-3}; x), \\ I_{\max}^{\bar{v}}(\mathbb{C}_d; x) &= I_{\max}^v(H; x)I_{\max}(\mathbb{B}_{d-1}; x) \\ &\quad - I_{\max}^{\bar{v}}(H; x)I_{\max}^{\{\bar{v}_1, \bar{v}_{d-1}\}}(\mathbb{B}_{d-1}; x), \\ I_{\max}^{\{\bar{v}_1, \bar{v}_d\}}(\mathbb{B}_d; x) &+ I_{\max}^{\bar{v}}(H; x)I_{\max}^{\{\bar{v}_1, \bar{v}_{d-1}\}}(\mathbb{B}_{d-1}; x) \\ &= I_{\max}^v(H; x)I_{\max}^{\bar{v}}(\mathbb{B}_{d-1}; x). \end{aligned}$$

This implies

$$\begin{aligned} I_{\max}^v(\mathbb{C}_d; x) &+ I_{\max}^{\bar{v}}(H; x)I_{\max}^v(\mathbb{C}_{d-1}; x) \\ &= I_{\max}^v(H; x)(I_{\max}^v(H; x))^2 I_{\max}(\mathbb{B}_{d-3}; x) \\ &\quad + I_{\max}^{\bar{v}}(H; x)I_{\max}^v(H; x)(I_{\max}^v(H; x))^2 I_{\max}(\mathbb{B}_{d-4}; x) \\ I_{\max}^{\bar{v}}(\mathbb{C}_d; x) &+ I_{\max}^{\bar{v}}(H; x)I_{\max}^{\bar{v}}(\mathbb{C}_{d-1}; x) \\ &= I_{\max}^v(H; x)(I_{\max}(\mathbb{B}_{d-1}; x) + I_{\max}^{\bar{v}}(H; x)I_{\max}(\mathbb{B}_{d-2}; x)) \\ &\quad - I_{\max}^{\bar{v}}(H; x)I_{\max}^v(H; x)I_{\max}^{\bar{v}}(\mathbb{B}_{d-2}; x). \end{aligned}$$

Adding the above two equations, we obtain

$$\begin{aligned} I_{\max}(\mathbb{C}_d; x) &+ I_{\max}^{\bar{v}}(H; x)I_{\max}(\mathbb{C}_{d-1}; x) \\ &= I_{\max}^v(H; x)I_{\max}(\mathbb{B}_{d-1}; x) + I_{\max}^v(H; x)I_{\max}^{\bar{v}}(H; x)I_{\max}(\mathbb{B}_{d-2}; x) \\ &\quad - I_{\max}^v(H; x)I_{\max}^{\bar{v}}(H; x)I_{\max}^{\bar{v}}(\mathbb{B}_{d-2}; x) \\ &\quad + I_{\max}^v(H; x)(I_{\max}^v(H; x))^2 I_{\max}(\mathbb{B}_{d-3}; x) \\ &\quad + I_{\max}^{\bar{v}}(H; x)(I_{\max}^v(H; x))^2 I_{\max}^v(H; x)I_{\max}(\mathbb{B}_{d-4}; x). \end{aligned}$$

Hence, replacing $I_{\max}^{\bar{v}_{d-2}}(\mathbb{B}_{d-2}; x)$ by (3.6), we obtain

Theorem 4.1. *Let H be any graph which has at least two vertices. Let $\mathbb{C}_d = \mathbb{C}_d(H, v) = C(H, H, \dots, H; v, v, \dots, v)$ (d -times, $d \geq 5$)*

be a bridge cycle graph. The sequence of the maximal independence polynomials $I_{\max}(\mathbb{C}_d; x)$ satisfies the following recurrence relation

$$\begin{aligned} & I_{\max}(\mathbb{C}_d; x) + I_{\max}^{\bar{v}}(H; x)I_{\max}(\mathbb{C}_{d-1}; x) \\ &= I^v(H; x)_{\max}I_{\max}(\mathbb{B}_{d-1}; x) + I_{\max}^v(H; x)I_{\max}^{\bar{v}}(H; x)I_{\max}(\mathbb{B}_{d-2}; x) \\ & \quad + I_{\max}^v(H; x)(I_{\max}^v(H; x)I_{\max}^v(H; x) \\ & \quad - I_{\max}^{\bar{v}}(H; x)I_{\max}^{\bar{v}}(H; x))I_{\max}(\mathbb{B}_{d-3}; x) \\ & \quad + (I_{\max}^v(H; x))^2I_{\max}^{\bar{v}}(H; x)I_{\max}^v(H; x)I_{\max}(\mathbb{B}_{d-4}; x) \\ & \quad - (I_{\max}^v(H; x))^2(I_{\max}^{\bar{v}}(H; x))^2I_{\max}^v(H; x)I_{\max}(\mathbb{B}_{d-5}; x). \end{aligned}$$

As a corollary, we have the following result.

Corollary 4.2. *Let H be any graph with least two vertices, and let v be a vertex in H with $I_{\max}^{\bar{v}}(H; x) = 0$. Let $\mathbb{C}_d = \mathbb{C}_d(H, v) = C(H, H, \dots, H; v, v, \dots, v)$ (d -times) be a bridge cycle graph, and let $\mathbb{B}_d = \mathbb{B}_d(H, v) = B(H, H, \dots, H; v, v, \dots, v)$ (d -times) be a bridge path graph. Then,*

$$\begin{aligned} I_{\max}(\mathbb{C}_d; x) &= I_{\max}^{\bar{v}}(H; x)I_{\max}(\mathbb{B}_{d-1}; x) \\ & \quad + I_{\max}^v(H; x)(I_{\max}^{\bar{v}}(H; x))^2I_{\max}(\mathbb{B}_{d-3}; x), \end{aligned}$$

for all $d \geq 3$.

As a consequence of Corollaries 3.3 and 4.2 we have a formula for the polynomial $I_{\max}(\mathbb{C}_d; x)$.

Corollary 4.3. *Let H be any graph with at least two vertices, and let v be a vertex in H such that $I_{\max}^{\bar{v}}(H; x) = 0$. Let $\mathbb{C}_d = \mathbb{C}_d(H, v) = B(H, H, \dots, H; v, v, \dots, v)$ (d -times) be a bridge cycle graph. Then, for all $d \geq 3$,*

$$(4.4a) \quad I_{\max}(\mathbb{C}_d; x) = (i\sqrt{\beta})^d U_d\left(\frac{\alpha}{2i\sqrt{\beta}}\right) - (i\sqrt{\beta})^d U_{d-2}\left(\frac{\alpha}{2i\sqrt{\beta}}\right)$$

$$(4.4b) \quad = \alpha^{(1-(-1)^d)/2} \prod_{k=0}^{\lfloor d/2 \rfloor - 1} \left(\alpha^2 + 4\beta \cos^2 \frac{(2k+1)\pi}{2d} \right),$$

when $\alpha := I_{\max}^{\bar{v}}(H; x)$, $\beta := I_{\max}^v(H; x)I_{\max}^{\bar{v}}(H; x)$ and $i^2 = -1$.

Proof. The first equality, i.e., (4.4a), is an immediate consequence of Corollaries 3.3 and 4.2.

For the second equality, i.e., (4.4b), we observe the following. In general, the real-rooted polynomial $U_d(t) - U_{d-2}(t)$ has d distinct roots, and they are $\cos(2k + 1)\pi/(2d)$, $k = 0, \dots, d - 1$. In order to see this, we use the trigonometric representations of the Chebyshev polynomials $U_n(t) = \sin(n + 1)t/\sin t$ and solve for the zeros of (4.4a) from there. The rest is clear. □

Now, we present a few examples to show applications of the above theory.

Example 4.4. Let $H = K_m$ be the complete graph on m vertices. Recall that $I_{\max}(H; x) = mx$, $I_{\max}^v(H; x) = x$, $I_{\max}^{\bar{v}}(H; x) = (m - 1)x$ and $I_{\max}^{\bar{v}}(H; x) = 0$. Thus, $\alpha = (m - 1)x$, $\beta = (m - 1)x^2$ and (4.4a) gives, for all $d \geq 3$,

$$I_{\max}(\mathbb{C}_d(K_m, v); x) = (i\sqrt{(m-1)}x)^d \left[U_d\left(\frac{\sqrt{m-1}}{2i}\right) - U_{d-2}\left(\frac{\sqrt{m-1}}{2i}\right) \right],$$

where $i^2 = -1$. Hence, $I_{\max}(\mathbb{C}_d(K_m, v); x)$ is a monomial of the form $c_d x^d$, where c_d is the constant

$$(i\sqrt{(m-1)})^d \left[U_d\left(\frac{\sqrt{m-1}}{2i}\right) - U_{d-2}\left(\frac{\sqrt{m-1}}{2i}\right) \right].$$

Equivalently, when applying (4.4b), we obtain

$$I_{\max}(\mathbb{C}_d(K_m, v); x) = x^d(m-1)^{\lfloor(d+1)/2\rfloor} \prod_{k=0}^{\lfloor d/2\rfloor-1} \left(m-1+4\cos^2\frac{2k+1}{2d}\pi \right).$$

Example 4.5. Let $H = K_{1,m}$ be the star graph on $m + 1$ vertices where v is its center. Again, $I_{\max}(H; x) = x + x^m$, $I_{\max}^v(H; x) = x$, $I_{\max}^{\bar{v}}(H; x) = x^m$ and $I_{\max}^{\bar{v}}(H; x) = 0$. Hence, for the *cyclic vertebrated graph*, $\alpha = x^m$, $\beta = x^{m+1}$ and (4.4b) yield that, for all $d \geq 3$,

$$\begin{aligned} I_{\max}(\mathbb{C}_d(K_{1,m}, v); x) &= x^{m(1-(-1)^d)/2} \prod_{k=0}^{\lfloor d/2\rfloor-1} \left(x^{2m} + 4x^{m+1} \cos^2\frac{(2k+1)\pi}{2d} \right) \\ (4.5) \qquad \qquad \qquad &= \sqrt{x}^{(m+1)d+(m-1)(1-(-1)^d)/2} \end{aligned}$$

$$\times \prod_{k=0}^{\lfloor d/2 \rfloor - 1} \left(x^{m-1} + 4 \cos^2 \frac{(2k+1)\pi}{2d} \right)$$

where $i^2 = -1$. Therefore, for $m \geq 3$, the polynomial $I_{\max}(\mathbb{C}_d(K_{1,m}, v); x)$ is nonunimodal due to the many obvious gaps. By (4.5), for $m = 1$, $I_{\max}(\mathbb{C}_d(P_2, v); x)$ is a monomial $I_{\max}(\mathbb{C}_d(P_2, v); x)$ with the coefficient

$$\prod_{k=0}^{\lfloor d/2 \rfloor - 1} (1 + 4 \cos^2 (2k+1)\pi)/(2d).$$

For $m = 2$, we have

$$I_{\max}(\mathbb{C}_d(K_{1,2}, v); x) = \sqrt{x}^{3d+(1-(-1)^d)/2} \prod_{k=0}^{\lfloor d/2 \rfloor - 1} \left(x + 4 \cos^2 \frac{(2k+1)\pi}{2d} \right),$$

implying that the polynomial $I_{\max}(\mathbb{C}_d(K_{1,2}, v); x)$ has only real zeros and is thus log-concave and unimodal.

Example 4.6. Let $H = W_m$ be the wheel graph on $m + 1$ vertices with center v , that is, the vertex v is connected to all vertices of the cycle C_m . Clearly, $I_{\max}(H; x) = x + I_{\max}(C_m; x)$, $I_{\max}^v(H; x) = x$, $I_{\max}^{\bar{v}}(H; x) = I_{\max}(C_m; x)$ and $I_{\max}^{\bar{v}}(H; x) = 0$. As in the previous examples, applying Corollary 4.3 yields

(4.6)

$$I_{\max}(\mathbb{C}_d(W_m, v); x) = I_{\max}(C_m; x)^{\lfloor (d+1)/2 \rfloor} \times \prod_{k=0}^{\lfloor d/2 \rfloor - 1} \left(I_{\max}(C_m; x) + 4x \cos^2 \frac{(2k+1)\pi}{2d} \right).$$

Recall again, by Proposition 2.3, that $I_{\max}(C_m; x) = \sum_{j \geq 1} (m/j) \binom{j}{m-2j} x^j$. Specifically, $I_{\max}(C_1; x) = x$, $I_{\max}(C_2; x) = 2x$, $I_{\max}(C_3; x) = 3x$, $I_{\max}(C_4; x) = 2x^2$, $I_{\max}(C_5; x) = 5x^2$, $I_{\max}(C_6; x) = 3x^2 + 2x^3$ and $I_{\max}(C_7; x) = 7x^3$.

By (4.6), the polynomial $I_{\max}(\mathbb{C}_d(W_m, v); x)$ has only real zeros for $m = 1, 2, 3, 4, 5$. For $m = 6$, there are non-real zeros when $d \geq 2$. However, $I_{\max}(\mathbb{C}_d(W_6, v); x)$ is log-concave and unimodal for any d .

For $m = 7$, $I_{\max}(\mathbb{C}_d(W_7, v); x)$ is nonunimodal following the discussion of Example 3.10.

As for $d = 1, 2$, the polynomial $I_{\max}(\mathbb{C}_1(W_m, v); x)$ is log-concave and unimodal, the polynomial $I_{\max}(\mathbb{C}_2(W_m, v); x)$ is nonunimodal for $m \geq 8$. This is due to the fact that, when $d = 1, 2$, there is no difference between the bridge path and bridge cycle graphs.

Example 4.7. Let $H = F_m$ be the fan graph on $m + 1$ vertices with center v , that is, v is connected to all vertices of the path P_m . We know that $I_{\max}(H; x) = x + I_{\max}(P_m; x)$, $I_{\max}^v(H; x) = x$, $I_{\max}^{\bar{v}}(H; x) = I_{\max}(P_m; x)$ and $I_{\max}^{\bar{v}}(H; x) = 0$. Similar to Example 4.6, Corollary 4.3 yields

$$(4.7) \quad I_{\max}(\mathbb{C}_d(F_m, v); x) = I_{\max}(P_m; x)^{\lfloor (d+1)/2 \rfloor} \times \prod_{k=0}^{\lfloor d/2 \rfloor - 1} \left(I_{\max}(P_m; x) + 4x \cos^2 \frac{(2k+1)\pi}{2d} \right).$$

Again, recall that, by Proposition 2.2, $I_{\max}(P_m; x) = \sum_{j \geq 0} \binom{j+1}{m+1-2j} x^j$. Specifically, $I_{\max}(P_1; x) = x$, $I_{\max}(P_2; x) = 2x$, $I_{\max}(P_3; x) = x + x^2$, $I_{\max}(P_4; x) = 3x^2$, $I_{\max}(P_5; x) = 3x^2 + x^3$ and $I_{\max}(P_6; x) = x^2 + 4x^3$.

By (4.7), the polynomial $I_{\max}(\mathbb{C}_d(F_m, v); x)$ has only real zeros for $m = 1, 2, 3, 4$. For $m = 5$, there are non-real zeros when $d \geq 3$. Nonetheless, $I_{\max}(\mathbb{C}_d(F_5, v); x)$ is log-concave and unimodal for all $d \geq 1$.

Cases $d = 1, 2$ are treated in Example 3.12.

Question 4.8. *Questions similar to Question 3.11 may be formulated. For example, let $\mathcal{A}(\mathbb{C}_d(F_m, v))$ be the subset of $\mathbb{Z}^+ \times \mathbb{Z}^+$ such that, when $(m, d) \in \mathcal{A}(\mathbb{C}_d(F_m, v))$, the polynomial $I_{\max}(\mathbb{C}_d(F_m, v); x)$ is unimodal. By our discussion in Examples 3.12 and 4.7, we have shown that $(m, d) \in \mathcal{A}(\mathbb{C}_d(F_m, v))$ for $1 \leq m \leq 5$ and any d , that $(m, d) \notin \mathcal{A}$ for $m = 8$ and $d = 1$, that $(m, d) \notin \mathcal{A}$ for all $m \geq 6, m \neq 8$ and $d = 1, 2$. How is $\mathcal{A}(\mathbb{C}_d(F_m, v))$ completely characterized?*

Acknowledgments. The authors wish to thank Professor Yi Wang of Dalian University of Technology, who gave an inspiring talk at Peking University, for bringing to their attention this fascinating subject, and to thank an anonymous referee for many helpful suggestions.

REFERENCES

1. Jameel Al-Aidroos and Sagi Snir, *Analysis of point mutations in vertebrate genomes*, in *Algebraic statistics for computational biology*, Cambridge University Press, New York, 2005.
2. Yousef Alavi, Paresh J. Malde, Allen J. Schwenk and Paul Erdős, *The vertex independence sequence of a graph is not constrained*, Congr. Numer. **58** (1987), 15–23.
3. Patrick Bahls, *On the independence polynomials of path-like graphs*, Australian J. Combin. **53** (2012), 3–18.
4. Patrick Bahls and Nathan Salazar, *Symmetry and unimodality of independence polynomials of path-like graphs*, Australian J. Combin. **47** (2010), 165–175.
5. Norman Biggs, *Algebraic graph theory*, Cambridge Mathematical Library, Cambridge University Press, Cambridge, 1993.
6. J.I. Brown, C.A. Hickman and R.J. Nowakowski, *On the location of roots of independence polynomials*, J. Algebraic Combin. **19** (2004), 273–282.
7. J.I. Brown and R.J. Nowakowski, *Average independence polynomials*, J. Combin. Theory **93** (2005), 313–318.
8. Maria Chudnovsky and Paul Seymour, *The roots of the independence polynomial of a clawfree graph*, J. Combin. Theory **97** (2007), 350–357.
9. A.B. Daňyak, *On the number of independent sets in graphs with a fixed independence number*, Discr. Math. **19** (2007), 63–66.
10. Xiaotie Deng, Guojun Li and Wenan Zang, *Proof of Chvátal's conjecture on maximal stable sets and maximal cliques in graphs*, J. Combin. Theory **91** (2004), 301–325.
11. Reinhard Diestel, *Graph theory*, Grad. Texts Math. **173**, Springer-Verlag, New York, 2000.
12. Dwight Duffus, Peter Frankl and Vojtěch Rödl, *Maximal independent sets in bipartite graphs obtained from Boolean lattices*, European J. Combin. **32** (2011), 1–9.
13. Zoltán Füredi, *The number of maximal independent sets in connected graphs*, J. Graph Theory **11** (1987), 463–470.
14. Ivan Gutman and Frank Harary, *Generalizations of the matching polynomial*, Utilitas Math. **24** (1983), 97–106.
15. Yahya Ould Hamidoune, *On the numbers of independent k -sets in a claw free graph*, J. Combin. Theory **50** (1990), 241–244.
16. Cornelis Hoede and Xue Liang Li, *Clique polynomials and independent set polynomials of graphs*, Discr. Math. **125** (1994), 219–228.

17. I.J. Holyer and E.J. Cockayne, *On the sum of cardinalities of extremum maximal independent sets*, *Discr. Math.* **26** (1979), 243–246.
18. Mihály Hujter and Zsolt Tuza, *The number of maximal independent sets in triangle-free graphs*, *SIAM J. Discr. Math.* **6** (1993), 284–288.
19. Arun Jagota, Giri Narasimhan, and Ľubomír Šoltés, *A generalization of maximal independent sets*, *Discr. Appl. Math.* **109** (2001), 223–235.
20. Zemin Jin and Xueliang Li, *Graphs with the second largest number of maximal independent sets*, *Discr. Math.* **308** (2008), 5864–5870.
21. Benoit Larose and Claudia Malvenuto, *Stable sets of maximal size in Kneser-type graphs*, *European J. Combin.* **25** (2004), 657–673.
22. Hiu-Fai Law, *On the number of independent sets in a tree*, *Electr. J. Combin.* **17** (2010), Note 18.
23. Vadim E. Levit and Eugen Mandrescu, *Local maximum stable sets in bipartite graphs with uniquely restricted maximum matchings*, *Discr. Appl. Math.* **132** (2003), 163–174.
24. ———, *The independence polynomial of a graph—A survey*, in *Proc. 1st Inter. Conf. Alg. Informatics, Aristotle University, Thessaloniki*, 2005.
25. ———, *Partial unimodality properties of independence polynomials*, *Stud. Cerc. Stiint. Mat. Univ. Bacau* (2006), 467–484.
26. ———, *Graph operations and partial unimodality of independence polynomials*, *Proc. 39th Southeast. Inter. Conf. Combinatorics, Graph Theory and Computing* **190**, (2008), 21–31.
27. ———, *On the roots of independence polynomials of almost all very well-covered graphs*, *Discr. Appl. Math.* **156** (2008), 478–491.
28. Shuchao Li, Lin Liu and Yueyu Wu, *On the coefficients of the independence polynomial of graphs*, *J. Combin. Optim.* **33** (2017), 1324–1342.
29. Jenq-Jong Lin, *The number of maximal independent sets in trees and forests*, *ARS Combin.* **125** (2016), 85–96.
30. Jenq-Jong Lin and Min-Jen Jou, *The numbers of maximal independent sets in connected unicyclic graphs*, *Utilitas Math.* **101** (2016), 215–225.
31. Vadim V. Lozin and Raffaele Mosca, *Polar graphs and maximal independent sets*, *Discr. Math.* **306** (2006), 2901–2908.
32. Henry Horng-Shing Lu, Bernhard Schölkopf and Hongyu Zhao, eds., *Handbook of statistical bioinformatics*, Springer Handbooks of Computational Statistics, Springer, Heidelberg, 2011.
33. Eugen Mandrescu, *Building graphs whose independence polynomials have only real roots*, *Graphs Combin.* **25** (2009), 545–556.
34. A. Meir and J.W. Moon, *On maximal independent sets of nodes in trees*, *J. Graph Theory* **12** (1988), 265–283.
35. George J. Minty, *On maximal independent sets of vertices in claw-free graphs*, *J. Combin. Theory* **28** (1980), 284–304.
36. Yoshihiro Morishita and Yoh Iwasa, *Growth based morphogenesis of vertebrate limb bud*, *Bull. Math. Biol.* **70** (2008), 1957–1978.

37. K.S. Neethi and Sanjeev Saxena, *Maximal independent sets in a generalisation of caterpillar graph*, J. Combin. Optim. **33** (2017), 326–332.
38. Carmen Ortiz and Mónica Villanueva, *Maximal independent sets in grid graphs*, Inter. Trans. Oper. Res. **24** (2017), 369–385.
39. N.J.A. Sloane, *The on-line encyclopedia of integer sequences*, <http://oeis.org/>.
40. Lanzhen Song, William Staton and Bing Wei, *Independence polynomials of some compound graphs*, Discr. Appl. Math. **160** (2012), 657–663.
41. Richard P. Stanley, *Log-concave and unimodal sequences in algebra, combinatorics, and geometry*, in *Graph theory and its applications: East and west*, Ann. New York Acad. Sci. **576**, New York, 1989.
42. Dragan Stevanović, *Graphs with palindromic independence polynomial*, in *Graph theory notes N.Y.* **34** (1998), 31–36.
43. ———, *On the number of maximal independent sets of vertices in star-like ladders*, Fibonacci Quart. **39** (2001), 211–213.
44. Jing Sun and Zhi-quan Hu, *Proof of Ding’s conjecture on maximal stable sets and maximal cliques in planar graphs*, Acta Math. Appl. Sinica **26** (2010), 473–480.
45. Koichiro Uriu, Yoshihiro Morishita and Yoh Iwasa, *Synchronized oscillation of the segmentation clock gene in vertebrate development*, J. Math. Biol. **61** (2010), 207–229.
46. Yi Wang and Yeong-Nan Yeh, *Polynomials with real zeros and Pólya frequency sequences*, J. Combin. Theory **109** (2005), 63–74.
47. Yi Wang and Bao-Xuan Zhu, *On the unimodality of independence polynomials of some graphs*, European J. Combin. **32** (2011), 10–20.
48. Douglas B. West, *Introduction to graph theory*, Prentice Hall, Inc., Upper Saddle River, NJ, 1996.
49. Herbert S. Wilf, *The number of maximal independent sets in a tree*, SIAM J. Alg. Discr. Meth. **7** (1986), 125–130.
50. ———, *generatingfunctionology*, Academic Press, Inc., Boston, MA, 1994.
51. Bao-Xuan Zhu, *Clique cover products and unimodality of independence polynomials*, Discr. Appl. Math. **206** (2016), 172–180.
52. Zhi-Feng Zhu, *The unimodality of independence polynomials of some graphs*, Australian J. Combin. **38** (2007), 27–33.
53. Daniel Zwillinger, ed., *CRC standard mathematical tables and formulae*, Chapman & Hall/CRC, Boca Raton, FL, 2003.

PEKING UNIVERSITY, SCHOOL OF MATHEMATICAL SCIENCES & LMAM, BEIJING 100871, P.R. CHINA

Email address: huhan@pku.edu.cn

UNIVERSITY OF HAIFA, DEPARTMENT OF MATHEMATICS, 31905 HAIFA, ISRAEL

Email address: toufik@math.haifa.ac.il

PEKING UNIVERSITY, SCHOOL OF MATHEMATICAL SCIENCES & LMAM, BEIJING
100871, P.R. CHINA

Email address: csong@math.pku.edu.cn