

MINIMAL EXISTENCE OF NONOSCILLATORY SOLUTIONS IN FUNCTIONAL DIFFERENTIAL EQUATIONS WITH DEVIATING ARGUMENTS

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ABSTRACT. For the equation

$$(A) \quad L_n y(t) + F(t, y(g(t))) = f(t)$$

minimal sufficient conditions ensure the existence of a nonoscillatory solution of (A). L_n is a disconjugate differential operator of the form

$$L_n = \frac{1}{P_n(t)} \frac{d}{dt} \frac{1}{p_{n-1}(t)} \cdots \frac{1}{p_1(t)} \frac{d}{dt} \frac{1}{p_0(t)}.$$

1. Introduction. It is well known from the works of Onose [3] and Singh [7] that, subject to the conditions

$$(1) \quad \int_0^\infty t^{n-1} |q(t)| dt < \infty$$

and

$$(2) \quad \int_0^\infty t^{n-1} |f(t)| dt < \infty,$$

an equation of the form

$$(3) \quad y^{(n)}(t) + q(t)y(g(t)) = f(t)$$

has a nonoscillatory solution with a prescribed limit at ∞ . However when the integral size in (1) or (2) is allowed to be unbounded, then the results of Singh [7], Onose [3], Lovelady [2] and Philos [4] do not indicate if a nonoscillatory solution still exists. Our purpose in this work is to prove the existence of a nonoscillatory solution of a much more general functional equation of the form

$$(4) \quad L_n y(t) + F(t, y(g(t))) = f(t)$$

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where $n \geq 2$ and L_n is a disconjugate operator of the form

$$(5) \quad L_n = \frac{1}{p_n(t)} \frac{d}{dt} \cdot \frac{1}{p_{n-1}(t)} \frac{d}{dt} \cdots \frac{d}{dt} \cdot \frac{1}{p_0(t)}$$

under much less severe conditions, even when (4) is specialized to (3). In what follows, we assume that

(i) $p_i(t), f(t)$ are continuous on $[a, \infty)$ for some $a > 0$, $p_i(t) > 0$ for $0 \leq i \leq n$, and

$$(6) \quad \int_a^\infty p_i(t) dt = \infty \text{ for } 1 \leq i \leq n-1;$$

(ii) $F: R \times R \rightarrow R$ is continuous where R is the real line; $\nu F(u, \nu) > 0$; $F(u, \nu)$ is increasing in ν ;

(iii) $g(t): R \rightarrow (0, \infty)$ is continuous, $g(t) \rightarrow \infty$ as $t \rightarrow \infty$, $g(t) \leq t$.

Following our notations in [9] (which are generalized version of notations of Willett [12]), we let $i_k \in \{1, 2, \dots, n-1\}$, $1 \leq k \leq n-1$ and $t, s \in [a, \infty)$. We define

$$(7) \quad \begin{aligned} I_0 &= 1 \\ I_k(t, s; p_{i_k}, \dots, p_{i_1}) &= \int_s^t p_{i_k}(r) I_{k-1}(r, s; p_{i_{k-1}}, \dots, p_{i_1}) dr. \end{aligned}$$

It can be easily verified that for $1 \leq k \leq n-1$, we have the identities

$$(8) \quad I_k(t, s; p_{i_k}, \dots, p_{i_1}) = (-1)^k I_k(s, t; p_{i_1}, \dots, p_{i_k})$$

$$(9) \quad I_k(t, s; p_{i_k}, \dots, p_{i_1}) = \int_s^t p_{i_1}(r) I_{k-1}(t, r; p_{i_k}, \dots, p_{i_2}) dr.$$

For simplicity, we let

$$(10) \quad J_i(t, r) = p_0(t) I_i(t, r; p_1, \dots, p_i)$$

$$(11) \quad J_i(t) = J_i(t, T) \text{ for any } T \geq a.$$

Note that for any function $G(t)$

$$(12) \quad \begin{aligned} & \int_T^t I_{n-1}(t, r; p_1, p_2, \dots, p_{n-1}) G(r) p_n(r) dr \\ &= \int_T^t p_1(s_1) \int_T^{s_1} p_2(s_2) \int_T^{s_2} \cdots \int_T^{s_{n-1}} p_n(r) G(r) dr ds_{n-1} \cdots ds_1. \end{aligned}$$

In the foregoing analysis, the quasi derivatives will be used. We define

$$(13) \quad L_0 y(t) = \frac{y(t)}{p_0(t)},$$

$$(14) \quad L_i y(t) = \frac{1}{p_i(t)} (L_{i-1} y(t))', \quad 1 \leq i \leq n.$$

The domain of L_n is defined to be the set of all functions $y: [a, \infty) \rightarrow R$ such that $L_i y(t)$ exist and are continuous on $[a, \infty)$. By a solution of equation (4) is meant a function y in the domain of L_n which satisfies (4) on $[a, \infty)$. By a proper solution of equation (4) and its type is meant a solution $y(t)$ which satisfies

$$(15) \quad \sup\{|y(t)|: t \geq T_y\} > 0$$

for every $T_y \geq a$. A proper solution $y(t)$ of (4) is said to be *oscillatory* if it has arbitrarily large zeros on the interval $[a, \infty)$, otherwise $y(t)$ is called *nonoscillatory*.

There is not much known about the asymptotic behavior of the solutions of functional equations involving disconjugate operators such as L_n . Quite often such solutions are assumed to exist, and oscillation criteria obtained. For sufficiency type results insuring oscillation of the solutions of (4), we refer the reader to Singh [7], Lovelady [2], Philos [4] and Trench [10]. For asymptotic boundedness of the solutions of equation (4), the excellent sources are Philos and Staikos [5] and Kusano and Naito [1]. For asymptotic limits of nonoscillatory solutions and other related results the reader is referred to Singh and Kusano [8].

2. Main Results. In this section we shall establish the existence of a nonoscillatory solution of (4) under the most minimal conditions. We shall consider the case when the operator L_n is in canonical form. L_n is said to be *in canonical form* when (6) holds. According to Trench [10], any operator of the type of L_n which is not in canonical form can be represented uniquely with a different set of $p_i, 1 \leq i \leq n$.

THEOREM 1. *Suppose (i)–(iii) hold. Further suppose that for each $T \geq a$ the limit*

$$(16) \quad \lim_{t \rightarrow \infty} \left[(J_{n-1}(t))^{-1} p_0(t) \int_T^t I_{n-1}(t, r; p_1, \dots, p_{n-1}) \cdot p_n(r) |f(r)| dr < \infty \right]$$

exists, and for each finite constant $b > 0$ and $T \geq a$, the limit

$$(17) \quad \lim_{t \rightarrow \infty} \left[p_0(t) (J_{n-1}(t))^{-1} \int_T^t I_{n-1}(t, r; p_1, \dots, p_{n-1}) \cdot p_n(r) \cdot F(r, bJ_{n-1}(g(r))) dr \right] = h(b, T)$$

exists and $h(b, T) \rightarrow 0$ as $T \rightarrow \infty$. Then equation (4) has a nonoscillatory proper solution $y(t)$ with the property $y(t) = O(J_{n-1}(t))$.

PROOF. Let D be the locally convex space of all continuous functions $S: [T, \infty) \rightarrow N, T \geq 8a$, superimposed with the topology of uniform convergence on compact subsets of $[T, \infty)$. The members of D satisfy the additional property that for each $S \in D, |S(t)|/J_{n-1}(t) \leq C$, where

$C > 0$ is the same for all $S \in D$. We consider the set $X \subset D$ defined, for a finite constant $c > 0, 8c < C$, as

$$(18) \quad X = \{y \in D: ((c/2)J_{n-1}(t)) \leq y(t) \leq (3c J_{n-1}(t)), t \geq T'\},$$

where $T' > T$ is large enough so that for $t \geq T', g(t) > T$,

$$(19) \quad (J_{n-1}(t))^{-1}p_0(t) \int_{T'}^t p_n(r)I_{n-1}(t, r; p_1, \dots, p_{n-1})|f(r)|dr < c/8$$

and

$$(20) \quad (J_{n-1}(t))^{-1}p_0(t) \int_{T'}^t p_n(r)I_{n-1}(t, r; p_1, \dots, p_{n-1}) \cdot F(r, cJ_{n-1}(g(r)))dr < c/8.$$

Notice that (19) and (20) are possible in view of (16) and (17) for a sufficiently large T . We now define an operator $\phi: X \rightarrow D$ as

$$(21) \quad \phi y(t) = cJ_{n-1}(t), t \leq T'$$

and

$$(22) \quad \begin{aligned} &\phi y(t) = c J_{n-1}(t) \\ &+ (p_0(t)) \int_{T'}^t p_n(r)I_{n-1}(t, r; p_1, \dots, p_{n-1})[f(r) - F(r, y(g(r)))]dr, t \geq T'. \end{aligned}$$

We shall show that ϕ is continuous and $\phi X \subset X$. To prove continuity of ϕ , we choose a sequence $\{y_m(t)\}$ of functions from X converging to $y \in X$ as $m \rightarrow \infty$. We only need to consider the case when $t \geq T'$ since for $t \leq T'$ the conclusion is obvious. Now

$$(23) \quad \begin{aligned} &|\phi y_m(t) - \phi y(t)| \\ &\leq p_0(t) \int_{T'}^t p_n(r) \cdot I_{n-1}(t, r; p_1, \dots, p_{n-1})|F(r, y_m(g(r))) - F(r, y(g(r)))|dr, \end{aligned}$$

which yields

$$\begin{aligned} &|\phi y_m(t) - \phi y(t)|(J_{n-1}(t))^{-1} \\ &\leq p_0(t)(J_{n-1}(t))^{-1} \int_{T'}^t p_n(r)I_{n-1}(t, r; p_1, \dots, p_{n-1})G_m(r)dr, \end{aligned}$$

where $G_m(r) = [F(r, y_m(g(r))) - F(r, y(g(r)))]$. Since

$$|G_m(r)| \leq 2F(r, cJ_{n-1}(g(r)))$$

and in view of (17), $G_m(r) \rightarrow 0$ as $m \rightarrow \infty$ for $r \geq T'$, by Lebesgue dominated convergence theorem, we have $\phi y_m(t) \rightarrow \phi y(t)$ as $m \rightarrow \infty$ (in the topology induced on D earlier). Hence $\phi: X \rightarrow D$ is continuous. Now we

will show that $\phi X \subset X$. From equation (22), in view of (19) and (20), it is obvious that

$$\phi y(t) \geq J_{n-1}(t)[c - c/8 - c/8] \geq (c/2)J_{n-1}(t),$$

and

$$\begin{aligned} \phi y(t) &\leq cJ_{n-1}(t) \\ &+ J_{n-1}(t) \left[(J_{n-1}(t))^{-1} p_0(t) \int_{T'}^t p_n(r) I_{n-1}(t, r; p_1, \dots, p_{n-1}(r)) \right. \\ &\quad \left. \cdot (|f(r)| + F(r, y(g(r)))) dr \right] \\ &\leq J_{n-1}(t)(c + c/8 + 3c/8) \leq 3c J_{n-1}(t) \end{aligned}$$

in view of (19) and (20) and the fact that $t \geq T'$. Hence $\phi X \subset X$. Next we shall show that ϕX is precompact. Differentiating (21) and (22) we get

$$\left| \frac{d}{dt} (\phi y(t)/p_0(t)) \right| = c \cdot \left| \frac{d}{dt} J_{n-1}(t)/p_0(t) \right|$$

for $t \leq T'$ and

$$\begin{aligned} (24) \quad \left| \frac{d}{dt} (\phi y(t)/p_0(t)) \right| &\leq \left| c \cdot \frac{d}{dt} \left(\frac{J_{n-1}(t)}{p_0(t)} \right) \right| \\ &+ p_1(t) \int_{T'}^t p_n(r) \cdot I_{n-2}(t, r; p_2, \dots, p_{n-1}) \\ &\quad \cdot |f(r) - F(r, y(g(r)))| dr, \text{ for } t \geq T'. \end{aligned}$$

Since $y(g(r)) \leq C J_{n-1}(g(r))$, (24) reveals that the family of functions $\{(d/dt)(\phi y(t))/p_0(t): y \in X\}$ is uniformly bounded on any finite sub-interval of $[T, \infty)$. Thus the family $\{\phi y(t)/p_0(t): y \in X\}$ is equicontinuous at each point of $[T, \infty)$. Now for any points $t_1, t_2 \in [T, \infty)$ we have

$$\phi y(t_2) - \phi y(t_1) = (p_0(t_2) - p_0(t_1)) \frac{\phi y(t_2)}{p_0(t_2)} + p_0(t_1) \left(\frac{\phi y(t_2)}{p_0(t_2)} - \frac{\phi y(t_1)}{p_0(t_1)} \right)$$

which yields

$$(25) \quad |\phi y(t_2) - \phi y(t_1)| \leq |p_0(t_2) - p_0(t_1)| \left| \frac{\phi y(t_2)}{p_0(t_2)} \right| + p_0(t_1) \left| \frac{\phi y(t_2)}{p_0(t_2)} - \frac{\phi y(t_1)}{p_0(t_1)} \right|.$$

From (25) we obtain that the family $\{\phi y: y \in X\}$ is equicontinuous and uniformly bounded at each point of $[T, \infty)$. We conclude that ϕX is precompact. By the Schauder-Tychonoff theorem, ϕ has a fixed point $y(t)$ in X which is obviously the nonoscillatory solution of (4) satisfying

$$(26) \quad \limsup_{t \rightarrow \infty} \left(\frac{y(t)}{J_{n-1}(t)} \right) = \lambda < \infty, \quad c/2 \leq \lambda \leq 3c.$$

This completes the proof of Theorem 1.

REMARK 1. In relation to equation (3), we have improved and extended sufficiency conditions of Onose [3] and Singh [6, 7] for nonoscillation. In fact we have the following corollary.

COROLLARY 1. *Suppose that the limits*

$$(27) \quad \lim_{t \rightarrow \infty} \left(t^{1-n} \int_T^t (t - \mu)^{n-1} |g(\mu)|^{n-1} |q(\mu)| d\mu \right) < \infty$$

and

$$(28) \quad \lim_{t \rightarrow \infty} \left(t^{1-n} \int_T^t (t - \mu)^{n-1} |f(\mu)| d\mu \right) < \infty$$

exist for each $T \geq a$. Then (3) has a proper nonoscillatory solution $y(t)$ which satisfies $y(t) = O(t^{n-1})$.

EXAMPLE 1. Consider the equation

$$(29) \quad y''(t) + \frac{1}{t^2} y(\sqrt{t}) = \frac{6}{t^4} + \frac{1}{t^2} + \frac{1}{t^3}, \quad t > 0.$$

It is easily verified that conditions (27) and (28) hold even though (1) fails. This equation has $y(t) = 1 + 1/t^2$ as a nonoscillatory solution satisfying the conclusion of Corollary 1.

EXAMPLE 2. For the equation

$$(30) \quad y''(t) + \frac{1}{t^2} y(\sqrt{t}) = \frac{1}{t^{3/2}}, \quad t > 0,$$

conditions (1) and (2) fail but (27) and (28) are easily verified. This equation has $y(t) = t$ as a solution satisfying the conclusion of Corollary 1.

REMARK 2. We would like to point out that whereas conditions (27) and (28) suffice for equation (3) to have a nonoscillatory solution which is asymptotic to (t^{n-1}) , conditions (1) and (2) guarantee the existence of a nonoscillatory solution with any finite limit at ∞ .

We have the following partial converse of Theorem 1.

THEOREM 2. *Suppose (i)–(iii) hold. Further suppose that for each $T \geq a$, condition (16) of Theorem 1 holds. Let $y(t)$ be a proper nonoscillatory solution of equation (4) satisfying*

$$(31) \quad \lim_{t \rightarrow \infty} (|y(t)|/J_{n-1}(t)) \leq \beta$$

for some $0 < \beta < \infty$. Then there exists a δ , $0 < \delta < 1$ such that

$$(32) \quad \limsup_{t \rightarrow \infty} \left(p_0(t) (J_{n-1}(t))^{-1} \int_T^t I_{n-1}(t, r; p_1, \dots, p_{n-1}) \cdot p_n(r) \cdot F(r, \delta\beta J_{n-1}(g(r))) dr \right) < \infty$$

for each $T \geq a$.

PROOF. Without any loss of generality, suppose there exists a $T > a$ large enough so that $y(t) > 0, y(g(t)) > 0$, for $t \geq T$ and (16) holds. Choose $T' > T$ large enough so that $g(t) \geq T$ and

$$(33) \quad (y(t)/J_{n-1}(g(t))) \geq \delta\beta$$

for $t \geq T'$. From the condition on F , we have

$$(34) \quad F(t, y(g(t))) \geq F(t, \delta\beta J_{n-1}(g(t)))$$

for $t \geq T'$. Integrating equation (4), for $t \geq T'$ we have

$$(35) \quad \begin{aligned} \frac{y(t)}{p_0(t)} &= \sum_{i=0}^{n-1} L_i(T) I_i(t, T; p_1, \dots, p_i) \\ &+ \int_T^t I_{n-1}(t, r; p_1, \dots, p_{n-1}) p_n(r) f(r) dr \\ &- \int_T^t I_{n-1}(t, r; p_1, \dots, p_{n-1}) \cdot p_n(r) F(r, y(g(r))) dr, \end{aligned}$$

where $L_i(T), 0 \leq i \leq n - 1$ are constants as defined in (13) and (14). Now (9) implies

$$\lim_{t \rightarrow \infty} \frac{I_i(t, T; p_1, \dots, p_i)}{I_{n-1}(t, T; p_1, \dots, p_{n-1})} = 0,$$

for $0 \leq i \leq n - 2$. Using (33), (34) in (35) we have

$$(36) \quad \limsup_{t \rightarrow \infty} \left[p_0(t) \cdot (J_{n-1}(t))^{-1} \cdot \int_T^t I_{n-1}(t, r; p_1, \dots, p_{n-1}) \cdot p_n(r) F(r, \delta\beta J_{n-1}(g(t))) dr \right] < \infty$$

which concludes the proof of Theorem 2.

3. The Equation $L_n y(t) + F(r, y(g(t))) = 0$. In view of Theorem 1 and Theorem 2, we have the following theorem which gives a necessary condition for all proper solutions of the equation

$$(37) \quad L_n y(t) + F(t, y(g(t))) = 0$$

to be oscillatory.

THEOREM 3. *Suppose (i)–(iii) hold. Then a necessary condition for all proper solutions of equation (37) to be oscillatory is that the limit*

$$(38) \quad \lim_{t \rightarrow \infty} \left[p_0(t) (J_{n-1}(t))^{-1} \int_T^t I_{n-1}(t, r; p_1, \dots, p_{n-1}) \cdot p_n(r) F(r, cJ_{n-1}(g(r))) dr \right] = \infty$$

exists for some $c > 0$.

REMARK 3. Recently Yeh [13] has shown that all proper solutions of the equation

$$(39) \quad y''(t) + p(t)f_1(y(t), y(g(t))) = 0, p(t) \geq 0$$

are oscillatory if

$$(40) \quad \limsup_{t \rightarrow \infty} \left(t^{1-n} \int_T^t (t - \mu)^{n-1} p(\mu) d\mu \right) = \infty$$

for some $n > 2$. The conditions imposed upon p , g and f_1 in Yeh [13] are more severe but compatible with ours. Yeh's Theorem 1 in [13] indicates that if the left hand side in (40) is finite for some $n > 2$ then equation (39) has a nonoscillatory solution. Our condition (27) in Corollary 1 supports this claim under less restrictive conditions. More precisely we have the following theorem in regard to equation (39).

THEOREM 4. Suppose $p, g \in C[a, \infty)$, $f_1 \in C(R \times R)$, $f_1(u, v)$ has the sign of u and v when they have the same sign, and the limit

$$(41) \quad \lim_{t \rightarrow \infty} \left(t^{-1} \int_T^t (t - \mu) g(\mu) p(\mu) d\mu \right) < \infty$$

exists for each $T \geq a$. Further suppose that

$$(42) \quad \liminf_{v \rightarrow \infty} \left| \frac{f_1(u, v)}{v} \right| \geq C$$

for some constant $C > 0$. Then equation (39) has a nonoscillatory solution.

PROOF. This follows in the manner of Theorem 1.

Our next theorem gives a necessary and sufficient condition for the oscillation of all bounded solutions of equation (39) and significantly strengthen's Yeh's criterion for bounded solutions of (39).

THEOREM 5. Suppose all conditions except (41) of Theorem 4 hold. Further suppose $g'(t) \geq 0$ and $g''(t) \leq 0$ for large t . Then

$$(43) \quad \lim_{t \rightarrow \infty} \left(t^{-1} \int_T^t (t - \mu) p(\mu) g(\mu) d\mu \right) = \infty.$$

is necessary and sufficient for all proper bounded solutions of equation (39) to be oscillatory.

PROOF. In view of Theorem 4, all we need to show is that (43) is a sufficient condition to cause all proper bounded solutions of (39) to oscillate.

Suppose to the contrary that (39) has a proper nonoscillatory and bounded solution $y(t)$. Without any loss of generality suppose there exists a constant $T \geq a$ such that $y(t) > 0$ and $y(g(t)) > 0$ for $t \geq T$. From equation (39) we have $y(t) > 0$, $y'(t) > 0$ and $y''(t) \leq 0$ for $t \geq T_1 \geq T$. Integrating (39) we have

$$(44) \quad y'(t)g(t) - y'(T_1)g(T_1) - \int_{T_1}^t y'(s)g'(s)ds + C \int_{T_1}^t g(s)y(g(s))p(s)ds \leq 0.$$

Since $y'(t)g(t) > 0$ and $y(g(t))$ is nondecreasing, (44) yields

$$(45) \quad \begin{aligned} & -y'(T_1)g(T_1) - y(t)g'(t) + y(T_1)g'(T_1) \\ & + \int_{T_1}^t y(s)g''(s)dt + Cy(g(T_1)) \int_{T_1}^t g(s)p(s)ds \leq 0 \end{aligned}$$

which gives

$$(46) \quad P_0 - y(t)g'(t) + P_1 \int_{T_1}^t g(s)p(s)ds \geq 0,$$

where $P_0 = y(T_1)g'(T_1) - y'(T_1)g(T_1) + \int_{T_1}^\infty y(t)g''(t)dt$, $0 < P_1 = C y(g(T_1))$. Notice that $-\int_{T_1}^\infty y(t)g''(t)dt < \infty$ since $g'(t) \geq 0$, $g''(t) \leq 0$ and $y(t)$ is bounded for $t \geq T_1$. Integrating (46) and dividing by t we have

$$(47) \quad P_0(t - T_1)/t - \frac{1}{t} \int_{T_1}^t y(\mu)g'(\mu)d\mu + P_1 \left(\frac{1}{t} \int_{T_1}^t (t - \mu)g(\mu)p(\mu)d\mu \right) \leq 0.$$

Now let $y(t) \leq P_2$ for $t \geq T_1$. Since $g(t) > 0$, $g'(t) \geq 0$ and $g''(t) \leq 0$ for $t \geq T_1$, we have $g(t)/t$ eventually bounded. Since (43) holds, a contradiction is immediately apparent in (47), and the proof is complete.

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