

## MATRIX OPERATORS ON $\ell^p$

D. BORWEIN AND A. JAKIMOVSKI

**Introduction.** Suppose throughout that  $A = (a_{nk})$  ( $n, k = 0, 1, \dots$ ) is an infinite matrix of complex numbers, and that

$$p \geq 1 \text{ and } \frac{1}{p} + \frac{1}{q} = 1.$$

Let  $\ell^p$  be the normed linear space of all complex sequences  $x = \{x_n\}$  ( $n = 0, 1, \dots$ ) with finite norm  $\|x\|_p$ , where

$$\|x\|_p = \left( \sum_{n=0}^{\infty} |x_n|^p \right)^{1/p} \text{ when } 1 \leq p < \infty.$$

and

$$\|x\|_{\infty} = \sup_{n \geq 0} |x_n|.$$

Let  $B(\ell^p)$  be the normed linear space of all bounded linear operators on  $\ell^p$  into  $\ell^p$ ; so that  $A \in B(\ell^p)$  if and only if, for every  $x \in \ell^p$ ,  $y_n = (Ax)_n = \sum_{k=0}^{\infty} a_{nk}x_k$  is defined for  $n = 0, 1, \dots$ , and  $y = \{y_n\} \in \ell^p$ . The norm  $\|A\|$  of a matrix  $A$  in  $B(\ell^p)$  is given by

$$\|A\| = \sup_{\|x\|_p \leq 1} \|Ax\|_p.$$

It is known (see [8, p. 164]) that, for  $1 \leq p < \infty$ , every operator in  $B(\ell^p)$  has a matrix representation. Matrices in  $B(\ell^p)$  have been characterized in terms of their elements only for  $p = 1, 2, \infty$ . Crone [1] characterized matrices in  $B(\ell^2)$  by means of rather complicated conditions that are difficult to apply. The following are characterizations of  $B(\ell^1)$  and  $B(\ell^{\infty})$  (see [8, p. 167 and p. 174]):  $A \in B(\ell^1)$  if and only if

$$(C_1) \quad \sup_{k \geq 0} \sum_{n=0}^{\infty} |a_{nk}| < \infty.$$

$A \in B(\ell^{\infty})$  if and only if

---

Received by the editors on March 28, 1977, and in revised form on August 3, 1977.  
 This research was supported in part by the National Research Council of Canada, grant number A 2983.

$$(C_2) \quad \sup_{n \geq 0} \sum_{k=0}^{\infty} |a_{nk}| < \infty.$$

With regard to sufficient conditions for  $A \in B(\ell^p)$ , it is known (see [8, Theorem 9, p. 174]) that if both  $(C_1)$  and  $(C_2)$  hold then  $A \in B(\ell^p)$  for every  $p \geq 1$ . It is also known (see [5, p. 354]) that, for  $1 < p < \infty$ ,  $A \in B(\ell^p)$  if

$$(C_3) \quad \sum_{n=0}^{\infty} \left( \sum_{k=0}^{\infty} |a_{nk}|^q \right)^{p/q} < \infty.$$

Further, it is known (see [3, p. 346]) that, for  $1 < p < \infty$ , a matrix is in  $B(\ell^p)$  if and only if its transpose is in  $B(\ell^q)$ . Hence, for  $1 < p < \infty$ ,  $A \in B(\ell^p)$  if

$$(C_4) \quad \sum_{k=0}^{\infty} \left( \sum_{n=0}^{\infty} |a_{nk}|^p \right)^{q/p} < \infty.$$

In § 2 of this paper we establish theorems concerning other conditions for  $A \in B(\ell^p)$ , and most of the rest of the paper is concerned with applications of these theorems. The main applications are in § 5 where simple necessary and sufficient conditions are obtained for certain weighted generalized Hausdorff matrices to be in  $B(\ell^p)$ . In some cases the norms of such matrices are easily computed. In all that follows suppose that  $1 < p < \infty$ .

## 2. Bounded operators on $\ell^p$ .

**THEOREM 1.** *If  $b_{nk} > 0$  for  $n, k = 0, 1, 2, \dots$ , and if*

$$\sup_{n \geq 0} \sum_{k=0}^{\infty} |a_{nk}| (b_{nk})^{1/p} = M_1 < \infty$$

and

$$\sup_{k \geq 0} \sum_{n=0}^{\infty} |a_{nk}| (b_{nk})^{-1/q} = M_2 < \infty,$$

then  $A \in B(\ell^p)$  and  $\|A\| \leq M_1^{1/q} M_2^{1/p}$ .

**PROOF.** Let  $y_n = \sum_{k=0}^{\infty} a_{nk} x_k$  where  $x = \{x_k\} \in \ell^p$ . Then, by Hölder's inequality,

$$\begin{aligned} |y_n|^p &\leq \left( \sum_{k=0}^{\infty} |a_{nk}|(b_{nk})^{1/p} \right)^{p-1} \sum_{k=0}^{\infty} |a_{nk}|(b_{nk})^{-1/q}|x_k|^p \\ &\leq M_1^{p-1} \sum_{k=0}^{\infty} |a_{nk}|(b_{nk})^{-1/q}|x_k|^p, \end{aligned}$$

and hence

$$\begin{aligned} \sum_{n=0}^{\infty} |y_n|^p &\leq M_1^{p-1} \sum_{k=0}^{\infty} |x_k|^p \sum_{n=0}^{\infty} |a_{nk}|(b_{nk})^{-1/q} \\ &\leq M_1^{p-1} M_2 \sum_{k=0}^{\infty} |x_k|^p. \end{aligned}$$

The desired conclusions follow.

As an immediate corollary we have:

**THEOREM 2.** *If  $a_{nk} \geq 0$  for  $0 \leq k \leq n$ ,  $a_{nk} = 0$  for  $k > n$ ; if  $b_n > 0$  for  $n = 0, 1, \dots$ ; and if*

$$(1) \quad \sup_{n \geq 0} \sum_{k=0}^n a_{nk} \left( \frac{b_k}{b_n} \right)^{1/p} = M_1 < \infty$$

and

$$(2) \quad \sup_{k \geq 0} \sum_{n=k}^{\infty} a_{nk} \left( \frac{b_n}{b_k} \right)^{1/q} = M_2 < \infty,$$

then  $A \in B(\ell^p)$  and  $\|A\| \leq M_1^{1/q} M_2^{1/p}$ .

The next theorem shows that in certain circumstances (2) implies (1).

**THEOREM 3.** *If  $a_{nk} \geq 0$  for  $0 \leq k \leq n$ ,  $a_{nk} = 0$  for  $k > n$ ; if  $b_n > 0$  for  $n = 0, 1, \dots$ , and  $\sum_{n=0}^{\infty} b_n = \infty$ ; and if, as  $n \rightarrow \infty$ ,*

$$(3) \quad \sigma_n = \sum_{k=0}^n a_{nk} \left( \frac{b_k}{b_n} \right)^{1/p} \rightarrow \sigma \text{ (finite or infinite),}$$

then (2) implies (1) with  $M_1 = \sup_{n \geq 0} \sigma_n$ .

**PROOF.** Suppose (2) holds. Then

$$\sum_{n=0}^m b_n \sigma_n = \sum_{k=0}^m b_k \sum_{n=k}^m a_{nk} \left( \frac{b_n}{b_k} \right)^{1/q} \leq M_2 B_m$$

where  $B_m = \sum_{k=0}^m b_k$ . But a simple consequence of (3) is that

$$\frac{1}{B_m} \sum_{n=0}^m b_n \sigma_n \rightarrow \sigma \text{ as } m \rightarrow \infty.$$

Hence  $0 \leq \sigma \leq M_2 < \infty$ , and so (1) holds with  $M_1 = \sup_{n \geq 0} \sigma_n < \infty$ .

The following theorem shows that under certain conditions (1) is necessary for  $A \in B(l^p)$ .

**THEOREM 4.** *Suppose that  $a_{nk} \geq 0$  for  $0 \leq k \leq n$ ,  $a_{nk} = 0$  for  $k > n$ ; that  $b_n = b d_n / D_n$  where  $b > 0$ ,  $d_n > 0$  for  $n = 0, 1, \dots$ , and  $D_n = \sum_{k=0}^n d_k \rightarrow \infty$ ; and that (3) holds. If  $A \in B(l^p)$  then (1) holds and  $\|A\| \geq \sigma$ .*

**PROOF.** Suppose without loss in generality that  $\sigma > 0$  and let  $\sigma < \mu < \lambda < \sigma$ . Let

$$y_n = \sum_{k=0}^n a_{nk} x_k \text{ where } x_k = \left( \frac{b_k}{D_k^\epsilon} \right)^{1/p}, \epsilon > 0.$$

Then there is an integer  $N$  independent of  $\epsilon$  such that for  $n \geq N$

$$\begin{aligned} y_n &= x_n \sum_{k=0}^n a_{nk} \left( \frac{b_k}{b_n} \right)^{1/p} \left( \frac{D_n}{D_k} \right)^{\epsilon/p} \\ &\geq x_n \sum_{k=0}^n a_{nk} \left( \frac{b_k}{b_n} \right)^{1/p} \geq \lambda x_n. \end{aligned}$$

Now choose  $\epsilon$  so small that

$$\sum_{n=N}^{\infty} x_n^p = b \sum_{n=N}^{\infty} \frac{d_n}{D_n^{1+\epsilon}} \geq \left( \frac{\mu}{\lambda} \right)^p \sum_{n=0}^{\infty} x_n^p.$$

Then

$$\sum_{n=0}^{\infty} y_n^p \geq \lambda^p \sum_{n=N}^{\infty} x_n^p \geq \mu^p \sum_{n=0}^{\infty} x_n^p.$$

Therefore  $\|A\| \geq \mu$  and, since  $\mu$  is an arbitrary number in the interval  $(0, \sigma)$ , it follows that  $\|A\| \geq \sigma$ . This implies that  $\sigma$  is finite and hence that (1) holds with  $M_1 = \sup_{n \geq 0} \sigma_n$ .

3. Remarks.

(a) Theorem 4 can be used to show that certain matrices are not in  $B(\ell^p)$ . Consider for example the matrix  $A$  given by

$$a_{nk} = \frac{1}{p(n+1)^{1/p} \log(n+2)} \cdot \frac{\log(k+2)}{(k+1)^{1/q}} \text{ for } 0 \leq k \leq n;$$

$$a_{nk} = 0 \text{ for } k > n.$$

This matrix is readily shown to be regular, i.e.,  $(Ax)_n \rightarrow \xi$  whenever  $x_n \rightarrow \xi$ . It also satisfies the conditions

$$\sup_{n \geq 0} \sum_{k=0}^{\infty} |a_{nk}|^p < \infty; \quad \sup_{n \geq 0} \sum_{k=0}^{\infty} |a_{nk}|^q < \infty,$$

which are evidently necessary for  $A \in B(\ell^p)$ . Take  $b_n = 1/(n+1) \log(n+2)$ . Then

$$\begin{aligned} \sum_{k=0}^n a_{nk} \left( \frac{b_k}{b_n} \right)^{1/p} &= \frac{1}{p(\log(n+2))^{1/q}} \sum_{k=0}^n \frac{(\log(k+2))^{1/q}}{k+1} \\ &\rightarrow \infty \text{ as } n \rightarrow \infty. \end{aligned}$$

Thus (3) holds, and so by Theorem 4,  $A$  is not in  $B(\ell^p)$ .

(b) Consider the matrix  $A$  given by

$$a_{nk} = \frac{1}{(n+1)^{1/p} \log(n+2)} \frac{1}{(k+1)^{1/q}} \text{ for } 0 \leq k \leq n,$$

$$a_{nk} = 0 \text{ for } k > n.$$

Taking  $b_n = 1/(n+1)$ , we find that

$$\sum_{k=0}^n a_{nk} \left( \frac{b_k}{b_n} \right)^{1/p} = \frac{1}{\log(n+2)} \sum_{k=0}^n \frac{1}{k+1} \rightarrow 1,$$

whereas

$$\sum_{n=k}^{\infty} a_{nk} \left( \frac{b_n}{b_k} \right)^{1/q} = \sum_{n=k}^{\infty} \frac{1}{(n+1) \log(n+2)} = \infty.$$

This is inconclusive as a test for whether  $A$  is in  $B(\ell^p)$  or not, but it shows that (2) may fail to hold when both (1) and (3) hold. It is readily shown, however, that the same  $a_{nk}$  satisfies both (1) and (2) with  $b_n = 1/(n+1) \log(n+2)$ . Thus, by Theorem 2,  $A \in B(\ell^p)$ . A straightforward calculation shows, however, that neither  $(C_3)$  nor  $(C_4)$  holds.

(c) Consider now the matrix  $A$  given by

$$a_{nk} = \frac{1}{(n + 1)^{1/2} \log(n + 2) (\log \log(n + 3))^{5/4}} \cdot \left( \frac{\log \log(k + 3)}{k + 1} \right)^{1/2} \text{ for } 0 \leq k \leq n,$$

$$a_{nk} = 0 \text{ for } n > k.$$

It is readily shown that in this case  $(C_3)$  holds with  $p = q = 2$ , and so  $A \in B(l^2)$ . On the other hand, it can be shown without difficulty that, for  $p = q = 2$ , (2) fails to hold with  $b_n = 1/(n + 1)$ , whereas both (1) and (2) hold with  $b_n = 1/(n + 1) \log(n + 2)$ .

The following are open questions:

(i) If  $a_{nk} \geq 0$  for  $0 \leq k \leq n$ ,  $a_{nk} = 0$  for  $k > n$ , and  $(C_3)$  holds, is there always a positive sequence  $\{b_n\}$  for which both (1) and (2) hold?

(ii) The same as (i), but with “ $(C_3)$  holds” replaced by “ $A \in B(l^p)$ ”.

4. Operators associated with weighted means. For  $n = 0, 1, \dots$ , let

$$a_n > 0, A_n = \sum_{k=0}^n a_k.$$

The weighted or  $(\bar{N}, a_n)$  means of a sequence  $\{s_n\}$  are given by

$$\sum_{k=0}^n \frac{a_k}{A_n} s_k.$$

We consider a matrix  $A = (a_{nk})$ , associated with such means, defined as follows:

Let

$$\lambda_0 \geq 0, \lambda_n = \frac{A_{n-1}}{a_n} \text{ for } n \geq 1,$$

and let

$$a_{nk} = \begin{cases} \frac{a_k}{A_n} \left( \frac{\lambda_k}{\lambda_n} \right)^{1/p} & 0 \leq k \leq n, n \geq 1, \\ 1 & k = n = 0, \\ 0 & n > k. \end{cases}$$

Let

$$b_n = \frac{1}{\lambda_n} \text{ for } n \geq 1,$$

and let

$$b_0 = \begin{cases} \frac{1}{\lambda_0} & \text{if } \lambda_0 > 0, \\ \frac{1}{\lambda_1} + 1 & \text{if } \lambda_0 = 0. \end{cases}$$

Then, for  $n \geq 0$ ,

$$\begin{aligned} \frac{1}{A_n} \sum_{k=0}^n a_k - \frac{a_0}{A_n} &= 1 - \frac{a_0}{A_n} \leq \sum_{k=0}^n a_{nk} \left( \frac{b_k}{b_n} \right)^{1/p} \\ &\leq \frac{1}{A_n} \sum_{k=0}^n a_k = 1; \end{aligned}$$

and, for  $k \geq 0$ ,

$$\begin{aligned} \sum_{n=k}^{\infty} a_{nk} \left( \frac{b_n}{b_k} \right)^{1/q} &= \sum_{n=k}^{\infty} \frac{a_k \lambda_k}{A_n \lambda_n} \\ &= \frac{a_k}{A_k} + a_k \lambda_k \sum_{n=k+1}^{\infty} \left( \frac{1}{A_{n-1}} - \frac{1}{A_n} \right) \\ &\leq \frac{a_k}{A_k} (1 + \lambda_k) \leq 1 + \lambda_0. \end{aligned}$$

Hence, by Theorem 2,  $A \in B(\ell^p)$  and  $\|A\| \leq (1 + \lambda_0)^{1/p}$ .

Suppose in addition that  $a_n = O(A_{n-1})$ , i.e., that  $b_n = O(1)$ , and that  $A_n \rightarrow \infty$ . Let  $b = 1 + \sup_{n \geq 0} b_n$ , let  $D_{-1} = 0$ , and for  $n \geq 0$ , let

$$\begin{aligned} \frac{1}{D_n} &= \left( 1 - \frac{b_0}{b} \right) \left( 1 - \frac{b_1}{b} \right) \cdots \left( 1 - \frac{b_n}{b} \right), \\ d_n &= D_n - D_{n-1}. \end{aligned}$$

Then  $D_n \rightarrow \infty$ , since  $\sum_{n=1}^{\infty} b_n \geq \sum_{n=1}^{\infty} a_n/A_n = \infty$ ; and, for  $n \geq 0$ ,

$$b \frac{d_n}{D_n} = b \left( 1 - \frac{D_{n-1}}{D_n} \right) = b_n.$$

Thus, by Theorem 4,  $\|A\| \geq 1$ , i.e., in this case we have

$$(1 + \lambda_0)^{1/p} \geq \|A\| \geq 1$$

and in particular, if  $\lambda_0 = 0$ ,  $\|A\| = 1$ .

5. **Generalized Hausdorff matrices.** Suppose in what follows that

$$0 \cong \lambda_0 < \lambda_1 < \dots < \lambda_n, \quad \lambda_n \rightarrow \infty, \quad \sum_{n=1}^{\infty} \frac{1}{\lambda_n} = \infty.$$

Let  $\{\mu_n\}$  ( $n \cong 0$ ) be a sequence of real numbers. The divided difference  $[\mu_n, \dots, \mu_m]$  is defined inductively by  $[\mu_n] = \mu_n$ ,

$$[\mu_n, \dots, \mu_m] = \frac{[\mu_n, \dots, \mu_{m-1}] - [\mu_{n+1}, \dots, \mu_m]}{\lambda_m - \lambda_n}$$

for  $m > n \cong 0$ .

Let

$$\lambda_{nk} = \begin{cases} \lambda_{k+1} \cdots \lambda_n [\mu_k, \dots, \mu_n] & 0 \cong k < n, \\ \mu_n & k = n, \\ 0 & k > n, \end{cases}$$

and let

$$\lambda_{nk}^* = \lambda_{nk} \frac{\lambda_k}{\lambda_n} \text{ for } 0 \cong k \cong n, \quad n \cong 1; \quad \lambda_{00}^* = \lambda_{00} = \mu_0.$$

We require three lemmas, the first of which is known. (See Hausdorff [2] and Leviatan [6, Theorem 2.1; 7, p. 227–228]; and the references given in the latter two papers.)

LEMMA 1. *The following three conditions are equivalent:*

$$(4) \quad \mu_n = \int_0^1 t^{\lambda_n} d\alpha(t) \text{ for } n = 0, 1, 2, \dots,$$

where  $\alpha \in BV[0, 1]$ ,

$$(5) \quad \sup_{n \cong 0} \sum_{k=0}^n |\lambda_{nk}| = L < \infty,$$

$$(6) \quad \sup_{k \cong 0} \sum_{n=k}^{\infty} |\lambda_{nk}^*| = L^* < \infty,$$

Moreover, when the conditions hold

$$\max(L, L^*) \cong \int_0^1 |d\alpha(t)|.$$

LEMMA 2. *If  $L_n = \sum_{k=0}^n |\lambda_{nk}|$ ,  $M_n = \sum_{k=1}^n |\lambda_{n,k}|$ , then for  $n \cong 0$ ,  $L_{n+1} \cong L_n$  and  $M_{n+2} \cong M_{n+1}$ .*



PROOF. We have, for  $0 \leq k \leq n$ ,

$$\begin{aligned} \lambda_{n+1,k} &= \lambda_{k+1} \cdots \lambda_{n+1} \frac{[\mu_k, \dots, \mu_n] - [\mu_{k+1}, \dots, \mu_{n+1}]}{\lambda_{n+1} - \lambda_k} \\ &= \frac{\lambda_{n+1}}{\lambda_{n+1} - \lambda_k} \lambda_{nk} - \frac{\lambda_{k+1}}{\lambda_{n+1} - \lambda_k} \lambda_{n+1,k+1}, \end{aligned}$$

and so

$$\lambda_{nk} = \frac{\lambda_{n+1} - \lambda_k}{\lambda_{n+1}} \lambda_{n+1,k} + \frac{\lambda_{k+1}}{\lambda_{n+1}} \lambda_{n+1,k+1}.$$

It follows that

$$\begin{aligned} L_{n+1} - L_n - |\lambda_{n+1,0}| &= \sum_{k=0}^n (|\lambda_{n+1,k+1}| - |\lambda_{n,k}|) \\ &\geq \sum_{k=0}^n \left( |\lambda_{n+1,k+1}| - \frac{\lambda_{n+1} - \lambda_k}{\lambda_{n+1}} |\lambda_{n+1,k}| \right. \\ &\quad \left. - \frac{\lambda_{k+1}}{\lambda_{n+1}} |\lambda_{n+1,k+1}| \right) \\ &= \sum_{k=0}^n \left( |\lambda_{n+1,k+1}| \frac{\lambda_{n+1} - \lambda_{k+1}}{\lambda_{n+1}} \right. \\ &\quad \left. - |\lambda_{n+1,k}| \frac{\lambda_{n+1} - \lambda_k}{\lambda_{n+1}} \right) \\ &= -|\lambda_{n+1,0}| \frac{\lambda_{n+1} - \lambda_0}{\lambda_{n+1}}, \end{aligned}$$

and hence

$$L_{n+1} - L_n \geq \frac{\lambda_0}{\lambda_{n+1}} |\lambda_{n+1,0}| \geq 0.$$

To complete the proof, let

$$\lambda'_n = \lambda_{n+1}, \mu'_n = \mu_{n+1} \text{ for } n \geq 0.$$

Then, for  $n > k \geq 1$ ,

$$\begin{aligned} \lambda_{nk} &= \lambda'_k \cdots \lambda'_{n-1} [\mu'_{k-1}, \dots, \mu'_{n-1}] \\ &= \lambda'_{n-1,k-1}, \end{aligned}$$

and for  $n \geq 1$ ,

$$\lambda_{nn} = \mu_n = \lambda'_{n-1,n-1}.$$

Hence, for  $n \geq 1$ ,

$$M_n = \sum_{k=0}^{n-1} |\lambda'_{n-1,k}|,$$

and so, by the part already proved,  $M_n \leq M_{n+1}$ .

A function  $\alpha \in BV[0, 1]$  is said to be normalized if  $\alpha(0) = 0$  and  $2\alpha(t) = \alpha(t+) + \alpha(t-)$  for  $0 < t < 1$ .

LEMMA 3. *Suppose (4) holds with  $\alpha$  normalized.*

(i) *If  $\lambda_0 = 0$ , then  $\lim_{n \rightarrow \infty} \lambda_{n0} = \alpha(0+)$  and*

$$\lim_{n \rightarrow \infty} \sum_{k=0}^n |\lambda_{nk}| = \int_0^1 |d\alpha(t)|.$$

(ii) *If  $\lambda_0 > 0$ , then  $\lim_{n \rightarrow \infty} \sum_{k=0}^n |\lambda_{nk}| = \int_0^1 |d\alpha(t)| - |\alpha(0+)|$ .*

PROOF. (i) The first conclusion in (i) is known (see Hausdorff [2, (25) p. 287]). To establish the second, define  $\alpha_n(t)$  for  $0 \leq t \leq 1$ ,  $n = 1, 2, \dots$ , by setting

$$\alpha_n(0) = 0; \alpha_n(t) = \sum_{t_{nk} \leq t} \lambda_{nk} \text{ for } 0 < t \leq 1$$

where

$$t_{nk} = \left( 1 - \frac{\lambda_1}{\lambda_{k+1}} \right) \cdots \left( 1 - \frac{\lambda_1}{\lambda_n} \right).$$

Then by Lemma 1,

$$(7) \quad \int_0^1 |d\alpha_n(t)| = \sum_{k=0}^n |\lambda_{nk}| \leq \int_0^1 |d\alpha(t)|.$$

Further, Schoenberg [9, p. 607] (see also Leviatan [6, p. 102]) has shown that (4) is sufficient for

$$(8) \quad \lim_{n \rightarrow \infty} \int_0^1 t^s d\alpha_n(t) = \int_0^1 t^s d\alpha(t) = \mu_s \text{ for } s = 0, 1, 2, \dots$$

It follows from (7) by Helly's Theorem (see [10, Theorem 16.3, p. 29]) and the Helly-Bray theorem (see [10, Theorem 16.4 and Corollary 16.4,

pp. 31–32]) that there is a strictly increasing sequence  $\{n_i\}$  of positive integers and a normalized function  $\gamma \in BV[0, 1]$  such that

$$(9) \quad \lim_{i \rightarrow \infty} \int_0^1 t^{\lambda_s} d\alpha_{n_i}(t) = \int_0^1 t^{\lambda_s} d\gamma(t) \text{ for } s = 0, 1, \dots$$

and

$$\int_0^1 |d\gamma(t)| \leq \liminf_{i \rightarrow \infty} \int_0^1 |d\alpha_{n_i}(t)|.$$

But (8) and (9) imply that  $\gamma(t) = \alpha(t)$  for  $0 \leq t \leq 1$  (see Schoenberg [9, Corollary 8.1, p. 609]). Hence, by (7) and Lemma 2,

$$\begin{aligned} \int_0^1 |d\alpha(t)| &\leq \liminf_{i \rightarrow \infty} \sum_{k=0}^{n_i} |\lambda_{n_i, k}| \\ &= \lim_{n \rightarrow \infty} \sum_{k=0}^n |\lambda_{nk}| \leq \int_0^1 |d\alpha(t)|. \end{aligned}$$

(ii) Define sequences  $\{\lambda_n'\}$ ,  $\{\mu_n'\}$  by

$$\begin{aligned} \lambda_0' &= 0, \mu_0' = \alpha(1) - \alpha(0); \\ \lambda_n' &= \lambda_{n-1}, \mu_n' = \mu_{n-1} \text{ for } n \geq 1. \end{aligned}$$

Then

$$\mu_n' = \int_0^1 t^{\lambda_n'} d\alpha(t) \text{ for } n = 0, 1, \dots$$

Further, for  $n > k \geq 1$ ,

$$\begin{aligned} \lambda_{nk}' &= \lambda_{k+1}' \cdots \lambda_n'[\mu_k', \dots, \mu_n'] \\ &= \lambda_k \cdots \lambda_{n-1}[\mu_{k-1}, \dots, \mu_{n-1}] = \lambda_{n-1, k-1}; \end{aligned}$$

and for  $n \geq 1$ ,  $\lambda_{n,n}' = \mu_n' = \lambda_{n-1, n-1}$ .

Hence, by part (i),

$$\begin{aligned} \sum_{k=0}^{n-1} |\lambda_{n-1, k}| &= \sum_{k=1}^n |\lambda_{n-1, k-1}| \\ &= \sum_{k=0}^n |\lambda_{nk}'| - |\lambda_{n0}'| \\ &\rightarrow \int_0^1 |d\alpha(t)| - |\alpha(0+)| \text{ as } n \rightarrow \infty. \end{aligned}$$

This completes the proof of Lemma 3.

Now let  $H = (h_{nk})$  be the "generalized weighted Hausdorff" matrix given by

$$h_{nk} = \begin{cases} \lambda_{nk} \left( \frac{\lambda_k}{\lambda_n} \right)^{1/p} & 0 \leq k \leq n, n \geq 1, \\ \lambda_{00} & k = n = 0, \\ 0 & k > n, \end{cases}$$

and let  $\tilde{H}$  be the matrix  $(|h_{nk}|)$ .

**THEOREM 5.** (i) *If (4) holds with  $\alpha$  normalized, then  $H, \tilde{H} \in B(\ell^p)$ ,  $\|H\| \leq \|\tilde{H}\|$  and*

$$\int_0^1 |d\alpha(t) - |\alpha(0+)| \leq \|\tilde{H}\| \leq \int_0^1 |d\alpha^1(t)|.$$

(ii) *If  $\tilde{H} \in B(\ell^p)$  then (4) holds.*

**PROOF.** As in §4, let  $b_n = 1/\lambda_n$  for  $n \geq 1$ , and let

$$b_0 = \begin{cases} \frac{1}{\lambda_0} & \text{if } \lambda_0 > 0, \\ \frac{1}{\lambda_1} + 1 & \text{if } \lambda_0 = 0. \end{cases}$$

Let  $b = 1 + \sup_{n \geq 0} b_n$ , let  $D_{-1} = 0$ , and, for  $n \geq 0$ , let

$$\frac{1}{D_n} = \left( 1 - \frac{b_0}{b} \right) \left( 1 - \frac{b_1}{b} \right) \cdots \left( 1 - \frac{b_n}{b} \right), \\ d_n = D_n - D_{n-1}.$$

Then  $D_n \rightarrow \infty$ , since  $\sum_{n=1}^{\infty} b_n = \sum_{n=1}^{\infty} 1/\lambda_n = \infty$ ; and, for  $n \geq 0$ ,

$$b \frac{d_n}{D_n} = b \left( 1 - \frac{D_{n-1}}{D_n} \right) = b_n.$$

Let

$$\sigma_n = \sum_{k=0}^n |h_{nk}| \left( \frac{b_k}{\beta_n} \right)^{1/p} \quad \text{for } n \geq 0.$$

Then

$$\sigma_n = \begin{cases} \sum_{k=0}^n |\lambda_{nk}| & \text{when } \lambda_0 > 0, n \geq 0, \\ \sum_{k=1}^n |\lambda_{nk}| & \text{when } \lambda_0 = 0, n \geq 1. \end{cases}$$

(i) Suppose (4) holds with  $\alpha$  normalized. Then, by Lemma 1, we have

$$\sigma_n \leq \int_0^1 |d\alpha(t)| \text{ for } n \geq 0$$

and

$$\begin{aligned} \sum_{n=k}^{\infty} |h_{nk}| \left( \frac{b_n}{b_k} \right)^{1/q} &= \sum_{n=k}^{\infty} |\lambda_{nk}^*| \\ &\leq \int_0^1 |d\alpha(t)| \text{ for } k \geq 0. \end{aligned}$$

Hence, by Theorem 2,  $\tilde{H} \in B(\mathcal{L}^p)$  and  $\|\tilde{H}\| \leq \int_0^1 |d\alpha(t)|$ ; and this implies that  $H \in B(\mathcal{L}^p)$  and  $\|H\| \leq \|\tilde{H}\|$ .

Next, by Lemma 3 and Theorem 4,

$$\sigma_n \rightarrow \int_0^1 |d\alpha(t)| - |\alpha(0+)| \leq \|\tilde{H}\|.$$

(ii) Suppose  $\tilde{H} \in B(\mathcal{L}^p)$ . By Lemma 2,  $\sigma_n \rightarrow \sigma$  and, by Theorem 3,  $\sigma < \infty$ . Further, Hausdorff [2, (7) p. 282] has shown that, if  $\lambda_0 = 0$ , then

$$\sum_{k=0}^n \lambda_{nk} = \mu_0,$$

and so

$$|\lambda_{n0}| \leq \sum_{k=1}^n |\lambda_{nk}| + |\mu_0| \text{ for } n \geq 1.$$

It follows that

$$\sup_{n \geq 0} \sum_{k=0}^n |\lambda_{nk}| \leq 2 \sup_{n \geq 0} \sigma_n + |\mu_0| < \infty$$

and therefore, by Lemma 1, that (4) holds.

This completes the proof of Theorem 5.

EXAMPLE. Let  $\delta + 1/p \geq 0$  and let  $\lambda_n = n + \delta + 1/p$ . Then, it is readily shown that

$$\lambda_{nk} = \binom{n + \delta + 1/p}{n - k} \Delta^{n-k} \mu_k \text{ for } 0 \leq k \leq n$$

where  $\Delta^0 \mu_k = \mu_k$ ,  $\Delta^n \mu_k = \Delta^{n-1} \mu_k - \Delta^{n-1} \mu_{k+1}$ . The associated  $h_{nk}$  is given by

$$\begin{aligned} h_{nk} &= \lambda_{nk} \left( \frac{\lambda_k}{\lambda_n} \right)^{1/p} \\ &= \binom{n + \delta + 1/p}{n - k} \left( \frac{k + \delta + 1/p}{n + \delta + 1/p} \right)^{1/p} \Delta^{n-k} \mu_k \\ &\text{for } 0 \leq k \leq n, n \geq 1, \end{aligned}$$

$$h_{00} = \mu_0.$$

By Theorem 5, we have that  $\tilde{H} \in B(\ell^p)$  if and only if  $\mu_n = \int_0^1 t^{n+\delta+1/p} d\gamma(t)$  for  $n \geq 0$ , where  $\gamma \in BV[0, 1]$ . Furthermore, if  $\gamma$  is normalized and  $\gamma(0+) = 0$ , then  $\|\tilde{H}\| = \int_0^1 |d\gamma(t)|$ . The condition  $\gamma(0+) = 0$  involves no loss in generality when  $\delta + 1/p > 0$ , and when  $\delta + 1/p = 0$  it only affects the value of  $\mu_0$ . This is similar to results of Jakimovski, Rhoades and Tzimbalario [4, Theorems 1 and 2], the main parts of which we can deduce from the above result. Let  $H' = (h'_{nk})$  be the matrix given by

$$h'_{nk} = \begin{cases} \binom{n + \delta}{n - k} \Delta^{n-k} \mu_k & 0 \leq k \leq n, \\ 0 & k > n, \end{cases}$$

and let  $\tilde{H}' = (|h'_{nk}|)$ . We have that

$$\frac{\binom{n + \delta + 1/p}{n - k}}{\binom{n + \delta}{n - k}} \left( \frac{k + \delta + 1/p}{n + \delta + 1/p} \right)^{1/p} = \frac{w_n}{w_k},$$

where

$$w_n = \binom{n + \delta + 1/p}{1/p} (n + \delta + 1/p)^{-1/p} \rightarrow \frac{1}{\Gamma(1 + 1/p)}$$

as  $n \rightarrow \infty$ , and  $w_n > 0$  for  $n \geq 1$ . It follows that there are positive constants  $c_1, c_2$  such that

$$c_1|h_{nk}| \leq |h'_{nk}| \leq c_2|h_{nk}| \text{ for } 0 \leq k \leq n.$$

Hence  $\tilde{H}' \in B(l^p)$  if and only if  $\tilde{H} \in B(l^p)$  and so, by the result proved above,  $\tilde{H}' \in B(l^p)$  if and only if  $\mu_n = \int_0^1 t^{n+\delta+1/p} d\gamma(t)$  for  $n \geq 0$ ,  $\delta + 1/p \geq 0$ , where  $\gamma \in BV[0, 1]$ . Jakimovski, Rhoades and Tzimbalaro proved this only for  $\delta \geq 0$ , but they also showed that in this case  $|\tilde{H}'| = \int_0^1 |d\gamma(t)|$  provided  $\gamma$  is normalized. This we cannot deduce from the results established in the present paper.

#### REFERENCES

1. L. Crone, *A characterization of matrix operators on  $l^p$* , Math. Zeit. **123** (1971), 315–317.
2. F. Hausdorff, *Summationsmethoden und Momentenfolgen II*, Math. Zeit. **9** (1921), 280–299.
3. A. Jakimovski and D. C. Russell, *Matrix mappings between BK-spaces*, Bull. London Math. Soc. **4** (1972), 345–353.
4. A. Jakimovski, B. E. Rhoades and J. Tzimbalaro, *Hausdorff matrices as bounded operators over  $l^p$* , Math. Zeit. **138** (1974), 173–181.
5. L. V. Kantorovich and G. P. Akilov, *Functional Analysis in Normed Spaces*, Pergamon Press, Oxford, England, 1964.
6. D. Leviatan, *A generalized moment problem*, Israel J. Math. **5** (1967), 97–103.
7. ———, *Moment problems and quasi-Hausdorff transformations*, Canad. Math. Bull. **11** (1968), 225–236.
8. I. J. Maddox, *Elements of Functional Analysis*, Cambridge University Press, New York, 1970.
9. I. J. Schoenberg, *On finite rowed systems of linear inequalities in infinitely many variables*, Trans. Amer. Math. Soc. **34** (1932), 594–619.
10. D. V. Widder, *The Laplace Transform*, Princeton University Press, Princeton, 1946.

THE UNIVERSITY OF WESTERN ONTARIO, LONDON, ONTARIO, CANADA N6A 5B9  
TEL-AVIV UNIVERSITY, RAMAT-AVIV, TEL-AVIV, ISRAEL

