

## EULER CLASS GROUP OF CERTAIN OVERRINGS OF A POLYNOMIAL RING

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**ABSTRACT.** Let  $A$  be a commutative Noetherian ring of dimension  $n$  and  $P$  a projective  $A$ -module of rank  $n$  with trivial determinant. In [2], Bhatwadekar and Sridharan defined the  $n$ th Euler class group of  $A$  and studied the obstruction to the existence of unimodular element in  $P$ . For  $R = A[T]$  and  $R = A[T, T^{-1}]$ , the  $n$ th Euler class groups of  $R$  are defined by Das and Keshari in [8, 14], under certain assumption on  $A$  in the latter case. We define the  $n$ th Euler class group of the ring  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is a monic polynomial and the height of the Jacobson radical of  $A$  is  $\geq 2$ . Also, we prove results similar to those in [14].

**1. Introduction.** Let  $A$  be a commutative Noetherian ring of dimension  $n$ , and let  $P$  be a projective  $A$ -module. By a result of Serre [21], if  $\text{rank } P > n$ , then  $P$  has a unimodular element (equivalently,  $P$  splits off a free summand of rank 1). It is well known that this result is not true in general if  $\text{rank } P = n$ . In [19, Theorem 3.8], Murthy proved that, if  $P$  is a projective module of rank  $n$  over the coordinate ring of a smooth  $n$ -dimensional affine variety  $X$  over an algebraically closed field, then a necessary and sufficient condition for  $P$  to split off a free summand of rank 1 is the vanishing of its top Chern class  $C_n(P)$  in the Chow group  $CH_0(X)$ , see [18, 19]. However, this result of Murthy is not true for smooth affine varieties over non-algebraically closed fields, as we have the example of the tangent bundle of the real 2-sphere.

In order to tackle this question for smooth affine varieties over arbitrary base fields, Nori defined the notion of the ‘Euler class group’ of a smooth affine variety  $X = \text{Spec}(A)$ . To any projective  $A$ -module  $P$  of rank  $= \dim A$ , he attached an element in this group, called the

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*Euler class* of  $P$  and asked whether the vanishing of the Euler class of  $P$  would ensure that  $P$  splits off a free summand of rank 1.

In [2], Bhatwadekar and Sridharan settled this question of Nori in the affirmative. We ask the following:

**Question 1.1.** *Let  $R$  be a ring and  $P$  a projective  $R$ -module such that  $\text{rank}(P) = \dim(R) - 1$ . What is the obstruction for  $P$  to split off a free summand of rank 1?*

Let  $A$  be a commutative Noetherian ring (containing  $\mathbb{Q}$ ) of dimension  $n$ . In [8], Das defined the notion of the  $n$ th Euler class group  $E^n(A[T])$  of  $A[T]$ , studied the theory of the Euler class group of a polynomial algebra  $A[T]$  and the relation between the Euler class groups of  $A$  and  $A[T]$ . In [14], Keshari defined the  $n$ th Euler class group of a Laurent polynomial algebra  $A[T, T^{-1}]$  and proved similar results as in [2], under certain conditions on  $A$ . Note that the definitions of the Euler class groups  $E^n(A[T])$  and  $E^n(A[T, T^{-1}])$  are different from the definition of the Euler class group  $E^n(A)$  (due to Bhatwadekar and Sridharan) and are not obtained by replacing  $A$  by  $A[T]$  or  $A[T, T^{-1}]$ . In order to accommodate projective  $A[T]$ -modules with nontrivial determinant, in [11], Das and Zinna defined  $E^n(A[T], L)$ , where  $L$  is a projective  $A[T]$ -module of rank 1.

In this paper, we study the  $n$ th Euler class group of the overrings of a polynomial ring. Let  $A$  be a commutative Noetherian ring of dimension  $n \geq 3$  with  $\text{ht}\mathcal{J}(A) \geq 2$ , where  $\mathcal{J}(A)$  denotes the Jacobson radical of  $A$ . Let  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. We define  $E^n(A[T, 1/f(T)])$  and extend the results proved in [3]. In Section 2, we provide definitions and state some basic results without proof. In Section 3, we prove addition and subtraction results for  $R = A[T, 1/f(T)]$ , which are the main ingredients for Euler class theory. In Section 4, we define the  $n$ th Euler class group of  $R = A[T, 1/f(T)]$  and prove the results similar to those in [14]. In Section 5, we define the  $n$ th weak Euler class group  $E_0^n(R)$  of  $R = A[T, 1/f(T)]$ .

**2. Preliminaries.** All rings are assumed to be commutative Noetherian, and all modules are finitely generated.

Let  $A$  be a ring, and let  $P$  be a projective  $A$ -module. Recall that  $p \in P$  is called a *unimodular* element if there exists a  $\phi \in P^* = \text{Hom}_A(P, A)$ , such that  $\phi(p) = 1$ . The set of all unimodular elements of  $P$  is denoted by  $\text{Um}(P)$ . Let  $p \in P$  and  $\varphi \in P^*$  be such that  $\varphi(p) = 0$ . Let  $\varphi_p \in \text{End}(P)$  be defined as  $\varphi_p(q) = \varphi(q)p$ . Then  $1 + \varphi_p$  is a unipotent automorphism of  $P$ . An automorphism of  $P$  of the form  $1 + \varphi_p$  is called a *transvection* of  $P$  if either  $p \in \text{Um}(P)$  or  $\varphi \in \text{Um}(P^*)$ . We denote by  $E(P)$  the subgroup of  $\text{Aut}(P)$  generated by all the transvections of  $P$ . Throughout this paper,  $\mathcal{J}(A)$  is the Jacobson radical of a ring  $A$ .

The following is a classical result due to Serre [21].

**Theorem 2.1.** *Let  $A$  be a ring of dimension  $d$ . Then any projective  $A$ -module  $P$  of rank  $> d$  has a unimodular element. In particular, if  $\dim A = 1$ , then any projective  $A$ -module of trivial determinant is free.*

Now, we state the following results due to Bhatwadekar and Roy, which are proved in [6, Lemma 4.1] and [7, Proposition 4.1], respectively.

**Lemma 2.2.** *Let  $B \subset C$  be rings of dimension  $d$  and  $x \in B$  such that  $B_x = C_x$ . Then*

- (i)  $B/(1 + xb) = C/(1 + xb)$  for all  $b \in B$ .
- (ii) If  $I$  is an ideal of  $C$  such that  $\text{ht}(I) \geq d$  and  $I + xC = C$ , then there exists an element  $b \in B$  such that  $1 + xb \in I$ .

**Proposition 2.3.** *Let  $A$  be a ring and  $I \subset A$  an ideal. Let  $P$  be a projective  $A$ -module of rank  $n$ . Then any transvection  $\theta$  of  $P/IP$ , i.e.,  $\theta \in E(P/IP)$ , can be lifted to a (unipotent) automorphism  $\Theta$  of  $P$ . In particular, if  $P/IP$  is free of rank  $n$ , then any element  $\psi$  of  $E((A/I)^n)$  can be lifted to  $\Psi \in \text{Aut}(P)$ . If, in addition, the natural map  $\text{Um}(P) \rightarrow \text{Um}(P/IP)$  is surjective, then the natural map  $E(P) \rightarrow E(P/IP)$  is surjective.*

The next result is proved in [13, Theorem 3.14].

**Theorem 2.4.** *Let  $A$  be a ring of dimension  $d$ , and let  $R = A[X, f_1/g, \dots, f_n/g]$ , where  $g, f_i \in A[X]$  with  $g$  a non-zerodivisor. Let  $P$  be a projective  $R$ -module of rank  $r \geq \max\{2, d+1\}$ . Then  $E(R \oplus P)$  acts transitively on  $\text{Um}(R \oplus P)$ .*

The following result is a consequence of a theorem of Eisenbud and Evans, as stated in [20, page 1420].

**Lemma 2.5.** *Let  $A$  be a ring, and let  $P$  be a projective  $A$ -module of rank  $r$ . Let  $(\alpha, a) \in (P^* \oplus A)$ . Then, there exists an element  $\beta \in P^*$  such that  $\text{ht}I_a \geq r$ , where  $I = (\alpha + a\beta)(P)$ . In particular, if the ideal  $(\alpha(P), a)$  has height  $\geq r$ , then  $\text{ht}I \geq r$ . Further, if  $(\alpha(P), a)$  is an ideal of height  $\geq r$  and  $I$  is a proper ideal of  $A$ , then  $\text{ht}I = r$ .*

Now we state some technical results due to Bhatwadekar and Keshari [1, Proposition 2.11, Lemma 3.3, Lemma 3.6, Lemma 4.4].

**Lemma 2.6.** *Let  $A$  be a ring, and let  $I \subset A$  be an ideal of height  $n$ . Let  $f \in A$  be such that it is not a zerodivisor mod  $I$ . Let  $P = P_1 \oplus A$  be a projective  $A$ -module of rank  $n$ . Let  $\alpha : P \rightarrow I$  be a linear map such that the induced map  $\alpha_f : P_f \rightarrow I_f$  is a surjection. Then, there exists a  $\Psi \in E(P_f)$  such that*

- (1)  $\beta = \Psi(\alpha) \in P^*$ , and
- (2)  $\beta(P)$  is an ideal of  $A$  of height  $n$  contained in  $I$ .

**Lemma 2.7.** *Let  $A$  be a ring, and let  $I = (c_1, c_2)$  be an ideal of  $A$ . Let  $b \in A$  be such that  $I + (b) = A$  and  $n$  is a positive even integer. Then  $I = (e_1, e_2)$  with  $c_1 - e_1 \in I^2$  and  $b^n c_2 - e_2 \in I^2$ .*

**Lemma 2.8.** *Let  $A$  be a ring, and let  $I_1$  and  $I_2$  be two comaximal ideals of  $A$ . Let  $P = P_1 \oplus A$  be a projective  $A$ -module of rank  $n$ . Let  $\Phi : P \rightarrow I_1$  and  $\Psi : P \rightarrow I_1 \cap I_2$  be two surjections such that  $\Phi \otimes A/I_1 = \Psi \otimes A/I_1$ . Assume that:*

- (1)  $a = \Phi(0, 1)$  is a non zerodivisor mod the ideal  $(\sqrt{(\Phi(P_1))})$ .
- (2)  $n - 1 > \dim \bar{A}/\mathcal{J}(\bar{A})$ , where  $\bar{A} = A/(\Phi(P_1))$ .

Let  $L \subset I_2^2$  be an ideal such that  $\Phi(P_1) + L = A$ . Then, the surjection  $\Psi : P \twoheadrightarrow I_1 \cap I_2$  induces a surjection  $\overline{\Psi} : P \twoheadrightarrow I_2/L$ . Moreover,  $\overline{\Psi}$  may be lifted to a surjection  $\Lambda : P \twoheadrightarrow I_2$ .

**Lemma 2.9.** *Let  $A$  be a ring with  $\dim A/\mathcal{J}(A) = r$ , and let  $P$  be a projective  $A$ -module of rank  $\geq r + 1$ . Let  $I$  and  $L$  be ideals of  $A$  such that  $L \subset I^2$ . Let  $\phi : P \twoheadrightarrow I/L$  be a surjection. Then  $\phi$  can be lifted to a surjection  $\psi : P \twoheadrightarrow I$ .*

The next results are due to Bhatwadekar and Sridharan [2, 2.11, 4.2, 4.3, 4.4].

**Lemma 2.10.** *Let  $A$  be a ring, and let  $I \subset A$  be an ideal. Let  $I_1$  and  $I_2$  be ideals of  $A$  contained in  $I$  such that  $I_2 \subset I^2$  and  $I_1 + I_2 = I$ . Then  $I = I_1 + (e)$  for some  $e \in I_2$  and  $I_1 = I \cap I'$ , where  $I_2 + I' = A$ .*

**Theorem 2.11.** *Let  $A$  be a ring of dimension  $n \geq 2$  containing  $\mathbb{Q}$ . Let  $I$  be an ideal of  $A$  of height  $n$  such that  $I/I^2$  is generated by  $n$  elements. Let  $w_I : (A/I)^n \twoheadrightarrow I/I^2$  be a surjection. Let  $P$  be a projective  $A$ -module of rank  $n$  with trivial determinant and an isomorphism  $\chi : A \xrightarrow{\sim} \wedge^n(P)$ . Then the following holds:*

- (1) *If  $(I, w_I) = 0$  in  $E(A)$ , then  $w_I$  can be lifted to a surjection from  $A^n$  to  $I$ .*
- (2) *Suppose  $e(P, \chi) = (I, w_I)$  in  $E(A)$ . Then, there exists a surjection  $\alpha : P \twoheadrightarrow I$  such that  $(I, w_I)$  is obtained from  $(\alpha, \chi)$ .*
- (3)  *$e(P, \chi) = 0$  in  $E(A)$  if and only if  $P$  has a unimodular element.*

The following result is due to Mandal and Sridharan [15, Theorem 2.3].

**Theorem 2.12.** *Let  $A$  be a ring, and let  $I_1$  and  $I_2$  be two comaximal ideals of  $A[T]$  such that  $I_1$  contains a monic polynomial and  $I_2 = I_2(0)A[T]$  is an extended ideal. Let  $I = I_1 \cap I_2$ . Suppose that  $P$  is a projective  $A$ -module of rank  $n \geq \dim A[T]/I_1 + 2$ . Let  $\alpha : P \twoheadrightarrow I(0)$  and  $\psi : P[T]/I_1P[T] \twoheadrightarrow I_1/I_1^2$  be two surjections such that  $\phi(0) = \alpha \otimes A/I_1(0)$ . Then there exists a surjective map  $\Psi : P[T] \twoheadrightarrow I$  such that  $\Psi(0) = \alpha$ .*

The addition and subtraction principles, respectively, presented in Section 3 are due to Bhatwadekar and Keshari [1, Theorems 3.7, 5.6].

**Proposition 2.13.** *Let  $A$  be a ring of dimension  $d$ , and let  $I_1$  and  $I_2 \subset A$  be two comaximal ideals of height  $n$ , where  $2n \geq d + 3$ . Let  $P = P_1 \oplus A$  be a projective  $A$ -module of rank  $n$ . Let  $\Phi : P \rightarrow I_1$  and  $\Psi : P \rightarrow I_2$  be two surjections. Then, there exists a surjection  $\Delta : P \rightarrow I_1 \cap I_2$  with  $\Delta \otimes A/I_1 = \Phi \otimes A/I_1$  and  $\Delta \otimes A/I_2 = \Psi \otimes A/I_2$ .*

**Proposition 2.14.** *Let  $A$  be a ring of dimension  $d$  and let  $I_1, I_2 \subset A$  be two comaximal ideals of height  $n$ , where  $2n \geq d + 3$ . Let  $P = P_1 \oplus A$  be a projective  $A$ -module of rank  $n$ . Let  $\Phi : P \rightarrow I_1$  and  $\Psi : P \rightarrow I_1 \cap I_2$  be two surjections such that  $\Phi \otimes A/I_1 = \Psi \otimes A/I_1$ . Then, there exists a surjection  $\Delta : P \rightarrow I_2$  such that  $\Delta \otimes A/I_2 = \Psi \otimes A/I_2$ .*

**3. Addition and subtraction principles.** In this section, we prove the addition and subtraction principles Theorems 3.8 and 3.9, respectively, as per our requirement. We begin by giving the next definition.

**Definition 3.1.** Let  $f(T)$  be a monic polynomial in  $A[T]$ . We call  $g(T) \in A[T]$  a special polynomial relative to  $f(T)$  if  $g(T) = 1 + f(T)h(T)$  for some monic polynomial  $h(T) \in A[T]$ .

Let  $S$  be the set of all special polynomials relative to  $f(T)$  in  $A[T]$ . Clearly,  $S$  is a multiplicatively closed subset of  $A[T]$ .

**Lemma 3.2.** *Let  $A$  be a ring of dimension  $d$ , and let  $R = A[T, 1/f(T)]$ , where  $f(T)$  is monic. Let  $S$  be the multiplicative set of all special polynomials relative to  $f(T)$ . Then  $\dim S^{-1}R = \dim A$ .*

*Proof.* We show that any maximal ideal  $R$  of height  $d + 1$  contains a special polynomial relative to  $f(T)$ . Let  $\mathfrak{M}$  be a maximal ideal of  $R$  such that  $\text{ht}\mathfrak{M} = d + 1$ . It is easy to see that  $\mathfrak{M}$  contains a  $f(T)g(T)$  for some monic polynomial  $g(T) \in A[T]$ . Since  $\mathfrak{M} + f(T)R = R$ , applying 2.2 to  $A[T]$  and  $R$  with  $x = f(T)$ , we get  $1 + f(T)h(T) \in \mathfrak{M}$  for some  $h(T) \in A[T]$ . A suitable combination of  $f(T)g(T)$  and  $1 + f(T)h(T)$  will give the required element. Therefore,  $\dim S^{-1}R = \dim A$ .  $\square$

**Notation 3.3.** Let  $R$  and  $S$  be as above. We denote the localized ring  $S^{-1}R$  by  $\mathcal{R}$ . From Lemma 3.2, we have  $\dim \mathcal{R} = \dim A$ .

The next result is proved in [3, Lemma 3.6] in the case where  $A$  is an affine algebra over a field. A more general version of this result is due to Das and Keshari [10, Lemma 3.1].

**Lemma 3.4.** *Let  $A$  be a ring of dimension  $d$  and  $R = A[T, 1/f(T)]$ , where  $f(T)$  is monic. Let  $P$  be a projective  $R$ -module of rank  $n$ , where  $2n \geq d + 3$ . Let  $I \subset R$  be an ideal of height  $n$ . Let  $J \subset I \cap A$  be any ideal of height  $\geq d - n + 2$ , and let  $g \in R$  be any element. Assume that we are given a surjection  $\phi : P \twoheadrightarrow I/(I^2g)$ . Then,  $\phi$  has a lift  $\tilde{\phi} : P \rightarrow I$  such that  $\tilde{\phi}(P) = I_2$  satisfies the following properties:*

- (1)  $I_2 + (J^2g) = I$ ,
- (2)  $I_2 = I \cap I_1$ , where  $\text{ht}I_1 \geq n$ , and
- (3)  $I_1 + (J^2g) = R$ .

*Proof.* Let  $\phi' : P \rightarrow I$  be any lift of  $\phi$ . Since  $\phi'(P) + I^2g = I$ , by Lemma 2.10, we can choose  $b \in I^2g$  such that  $(\phi'(P), b) = I$ . Let  $C = R/(J^2g)$  and the bar denote reduction modulo the ideal  $(J^2g)$ . Now, applying 2.5 to the element  $(\overline{\phi'}, \overline{b})$  of  $\overline{P}^* \oplus C$ , there exists a  $\beta \in P^*$  such that, if  $N = (\phi' + b\beta)(P)$ , then  $\text{ht}(\overline{N_{\overline{b}}}) \geq n$ .

Since  $b \in (I^2g)$ , the element  $\phi' + b\beta$  is also a lift of  $\phi$ . Therefore, replacing  $\phi'$  by  $\phi' + b\beta$ , we may assume that  $N = \phi'(P)$ . Now, as  $(N, b) = I$  and  $b \in (I^2g)$ , it follows that  $N = I \cap K$ ,  $(K, b) = R$ .

Since  $b \in I$ ,  $N_b = K_b$ . Therefore, we have:

- (1)  $\overline{N} = \overline{I} \cap \overline{K}$  with  $\text{ht}(\overline{K}) = \text{ht}(\overline{K_{\overline{b}}}) = \text{ht}(\overline{N_{\overline{b}}}) \geq n$ .
- (2)  $\overline{b} + \overline{K} = C$ .

Now we show that  $\overline{K} = C$ . Assume, to the contrary, that  $\overline{K}$  is a proper ideal of  $C$ . Since  $b \in I^2g$ , in view of (1) and (2), we have

$$\begin{aligned} n &\leq \text{ht}(\overline{K}) = \text{ht}(\overline{K_{\overline{g}}}) \\ &\leq \dim C_{\overline{g}} = \dim(A/J^2) \left[ T, \frac{1}{fg} \right] \\ &= \dim A/J + 1 \leq d - (d - n + 2) + 1 = n - 1. \end{aligned}$$

This is a contradiction. Thus,  $\overline{K} = C$  and, from (1), we have  $\phi'(P) + (J^2g) = I$ . By Lemma 2.10, there is an element  $c \in (J^2g)$  such that  $(\phi'(P), c) = I$ . It follows that  $\phi'(P) = I \cap L$  and  $(L, c) = R$ . Take  $I_2 = \phi'(P)$ ,  $I_1 = L$  and  $\phi' = \tilde{\phi}$ . Then (1), (2) and (3) follow.  $\square$

The next result is an analogue of [1, Lemma 4.5] for  $A[T, 1/f(T)]$ . When  $f(T) = T$ , it is proved in [14, Lemma 3.3].

**Lemma 3.5.** *Let  $A$  be a ring with  $\dim A/\mathcal{J}(A) = d$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is a monic polynomial. Let  $I$  and  $L$  be ideals of  $R$  such that  $L \subset I^2$  and  $L$  contains a special polynomial relative to  $f(T)$ . Let  $Q$  be a projective  $R$ -module of rank  $n \geq d + 1$ . Let  $\phi : Q \oplus R \twoheadrightarrow I/L$  be a surjection. Then, we can lift  $\phi$  to a surjection  $\Phi : Q \oplus R \twoheadrightarrow I$  with  $\Phi(0, 1)$ , a special polynomial relative to  $f(T)$ .*

*Proof.* Let  $1 + g(T)f(T) \in L$  be a special polynomial relative to  $f(T) \in A[T]$ . Let  $\Phi' (= (\Theta, h)) : Q \oplus R \rightarrow I$  be a lift of  $\phi$ . By adding some suitable multiple of  $1 + g(T)f(T)$  to  $h(T)$ , we can assume that  $h(T)$  is a special polynomial relative to  $f(T)$ . (If  $(\Theta, h)$  is a lift of  $\phi$ , then, for any  $b \in L$ ,  $(\Theta, h + b)$  is also a lift of  $\phi$ . Now take  $b = -h(1 + gf) + (1 + gf)^r$  for some large integer  $r > 0$ ).

Let  $B = R/(h)$ . Since  $h = 1 + g_1f$ , we have  $A \hookrightarrow B$  is an integral extension, and hence,  $\mathcal{J}(A) = \mathcal{J}(B) \cap A$ , where  $g_1 \in A[T]$  is monic. Since  $A \hookrightarrow B$  is an integral extension,  $A/\mathcal{J}(A) \hookrightarrow B/\mathcal{J}(B)$  is also an integral extension. Let “bar” denote reduction modulo the ideal  $(h)$ . Let  $\alpha : \overline{Q} \twoheadrightarrow \overline{I}/\overline{L}$  be the surjection induced by  $\Theta$ . As  $\dim(B/\mathcal{J}(B)) = d$  and  $n \geq d + 1$ , by Lemma 2.9,  $\alpha$  can be lifted to a surjection from  $\overline{Q}$  to  $\overline{I}$ . Therefore, a map  $\Gamma : Q \rightarrow I$  exists such that  $\Gamma(Q) + (h) = I$  and  $(\Theta - \Gamma)(Q) = K \subset L + (h)$ . Hence,

$$\Theta - \Gamma \in KQ^* \subseteq (L + h)Q^*.$$

This shows that  $\Theta - \Gamma = \Theta_1 + h\Gamma_1$ , where  $\Theta_1 \in LQ^*$  and  $\Gamma_1 \in Q^*$ . Let  $\Phi_1 = \Gamma + h\Gamma_1$ , and let  $\Phi = (\Phi_1, h)$ . Then

$$\Phi(Q \oplus R) = (\Gamma + h\Gamma_1)(Q) + (h) = \Gamma(Q) + (h) = I.$$

Therefore,  $\Phi : Q \oplus R \twoheadrightarrow I$  is a surjection and moreover,  $\Phi(0, 1) = h(T)$  is a special polynomial relative to  $f(T)$ . Since  $\Phi - \Phi' = (\Phi_1 - \Theta, 0)$ ,

we have  $\Phi_1 - \Theta \in LQ^*$ , and  $\Phi'$  is a lift of  $\phi$ . Hence,  $\Phi$  is a surjective lift of  $\phi$ . □

The next result is crucial for the proof of addition and subtraction principles. For the polynomial ring, the following result is proved in [1, Lemma 4.6]. Our proof closely follows that proof. Let  $R = A[T, 1/f(T)]$  and  $S$  be the set of all special polynomials relative to  $f(T)$  in  $A[T]$ . Recall that we denote the localized ring  $S^{-1}R$  by  $\mathcal{R}$ .

**Lemma 3.6.** *Let  $A$  be a ring of dimension  $d$ , and let  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. Let  $n$  be an integer such that  $2n \geq d + 3$ . Let  $I$  be an ideal of  $R$  of height  $n$  such that  $I + \mathcal{J}(A)R = R$ . Assume that  $\text{ht}\mathcal{J}(A) \geq d - n + 2$ . Let  $P = Q \oplus R^2$  be a projective  $R$ -module of rank  $n$ , and let  $\phi : P \rightarrow I/I^2$  be a surjection. If the surjection  $\phi \otimes \mathcal{R} : P \otimes \mathcal{R} \rightarrow I\mathcal{R}/I^2\mathcal{R}$  can be lifted to a surjection from  $P \otimes \mathcal{R}$  to  $I\mathcal{R}$ , then  $\phi$  can be lifted to a surjection  $\Phi : P \rightarrow I$ .*

*Proof.* By choosing the common denominator  $h \in S$ , see Lemma 3.3, there is a surjective map  $\Phi' : P_h \rightarrow I_h$  which is a lift of  $\phi_h : P_h \rightarrow I_h/I_h^2$ . Since  $I + \mathcal{J}(A)R = R$ ,  $I$  is not contained in any maximal ideal of  $R$  which contains a special polynomial relative to  $f(T)$ . Therefore,  $h$  is a unit modulo  $I$ . Since  $\Phi' \in \text{Hom}_{R_h}(P_h, I_h)$ , choose a positive even integer  $N$  such that  $\Phi'' = h^N \Phi' \in \text{Hom}_R(P, I)$ . Clearly  $\Phi''_h : P_h \rightarrow I_h$  is a surjection.

Since  $h$  is a unit modulo  $I$ , the canonical map  $R/I \rightarrow R_h/I_h$  is an isomorphism, and therefore,  $I/I^2 = I_h/I_h^2$ . Clearly,  $\phi'' = \Phi'' \otimes R/I : P \rightarrow I/I^2$  is surjective and  $\phi'' = h^N \phi$ . □

Now, we prove the following claim.

**Claim 3.7.** *The map  $\phi'' : P \rightarrow I/I^2$  can be lifted to a surjection from  $P$  to  $I$ .*

*Proof of Claim 3.7.* We know that, if  $\Delta$  is an automorphism of  $P$  and if the surjection  $\phi''\Delta : P \rightarrow I/I^2$  has a surjective lift from  $P$  to  $I$ , then  $\phi''$  also has a surjective lift from  $P$  to  $I$ . We know that any element of  $E(P/IP)$  can be lifted to an automorphism of  $P$ . By Lemma 2.6, there exists a  $\Delta_1 \in E(P_h)$  such that:

- (1)  $\Psi = \Delta_1^*(\Phi'') \in \text{Hom}_R(P, I)$ , where  $\Delta_1^*$  is an element of  $E(P_h^*)$  induced from  $\Delta_1$ , and
- (2)  $\Psi(P)$  is an ideal of  $R$  of height  $n$ .

Since  $\Psi_h(P_h) = I_h$  and  $h$  is unit modulo  $I$ , we have  $I = \Psi(P) + I^2$ . By Lemma 2.10,  $\Psi(P) = I_1 = I \cap I'$ , where  $I' + I = R$ . Then, since  $(I_1)_h = I_h$ , we have  $I'_h = R_h$ . Since  $I'_h = R_h$ ,  $I'$  contains  $h^r$ , a special polynomial relative to  $f(T)$  for some integer  $r$ . Since  $\Delta_1 \in E(P_h)$ ,

$$\bar{\Delta} = \Delta_1 \otimes R_h/I_h \in E(P_h/IP_h).$$

Due to  $P/IP = P_h/IP_h$ , we can regard  $\bar{\Delta}$  as an element of  $E(P/IP)$ . By (2.9),  $\bar{\Delta}$  can be lifted to an automorphism  $\Delta$  of  $P$ . The map  $\Psi : P \rightarrow I \cap I'$  induces a surjection  $\psi : P \rightarrow I/I^2$ , and we see that  $\psi = \phi''\Delta$ . Therefore, to prove the claim, it is enough to show that  $\psi$  can be lifted to a surjection from  $P$  to  $I$ .

If  $I' = R$ , then  $\Psi$  is a required surjective lift of  $\psi$ . Hence, we assume that  $I'$  is an ideal of height  $n$ . The map  $\Psi : P \rightarrow I \cap I'$  induces a surjection  $\psi' : P \rightarrow I'/I'^2$ . Since  $P = Q \oplus R^2$  and  $I'$  contains  $h^r$ , a special polynomial relative to  $f(T)$  for some  $r$ , by Lemma 3.5,  $\psi'$  can be lifted to a surjection  $\Psi' (= (\Gamma, a_1, a_2)) : P \rightarrow I'$ , where  $\Gamma \in Q^*$  and  $a_1, a_2 \in R$ , with  $a_1$  a special polynomial relative to  $f(T)$ . If necessary, by Lemma 2.5, we can replace  $\Gamma$  by  $\Gamma + a_2^2\Gamma_1$  for suitable  $\Gamma_1 \in Q^*$  and assume that  $\text{ht}K = n - 1$ , where  $K = \Gamma(Q) + Ra_1$ . Let  $\bar{R} = R/K$  and  $\bar{A} = A/K \cap A$ . Then,  $\bar{A} \hookrightarrow \bar{R}$  is an integral extension, and hence,

$$\begin{aligned} \dim(\bar{R}/\mathcal{J}(\bar{R})) &= \dim(\bar{A}/\mathcal{J}(\bar{A})) \\ &\leq \dim(A/\mathcal{J}(A)) \leq n - 2 < n - 1. \end{aligned}$$

Let  $P_1 = Q \oplus R$ . Then  $P = P_1 \oplus R$ . Since  $K$  contains  $a_1$ , a special polynomial relative to  $f(T)$ ,  $K + I^2 = R$ . Moreover, surjections  $\Psi : P \rightarrow I \cap I'$  and  $\Psi' : P \rightarrow I'$  are such that  $\Psi \otimes R/I' = \Psi' \otimes R/I'$ . Therefore, since  $\bar{R} = R/K$  and  $\dim \bar{R}/\mathcal{J}(\bar{R}) < n - 1$ , by Lemma 2.8, there exists a surjection  $\Lambda_1 : P \rightarrow I$  such that

$$\Lambda_1 \otimes R/I = \Psi \otimes R/I = \psi.$$

Therefore,  $\Lambda = \Lambda_1\Delta^{-1} : P \rightarrow I$  is a lift of  $\phi''$ . This completes the proof of claim.

Let  $L$  denote the ideal of  $R$  generated by  $\mathcal{J}(A)h(T)$ , and let  $D = R/L$ . Since  $L + I = R$  and  $\Delta(P) = I$ ,  $\Delta \otimes D$  is a unimodular

element of  $P^* \otimes D$ . Let  $\Delta = (\lambda, b_1, b_2)$ , where  $\lambda \in \text{Hom}_R(Q, R)$  and  $b_1, b_2 \in R$ . Since  $h(T)$  is a special polynomial relative to  $f(T)$ ,  $D/\mathcal{J}(D) = A/\mathcal{J}(A)[T, 1/f(T)]$  and  $\dim(A/\mathcal{J}(A)) \leq n - 2$ . By Lemma 2.4, the unimodular element  $(\lambda, b_1, b_2) \otimes D$  can be taken to  $(0, 0, 1)$  by an element of  $E(P^* \otimes D)$ . By Lemma 2.3, every element of  $E(P^* \otimes D)$  can be lifted to an elementary automorphism of  $P^*$ . Moreover, since  $I + (h) = R$ , a lift can be chosen so that it is identity modulo  $I$ . Therefore, there exists an elementary automorphism  $\Omega$  of  $P$  such that  $\Omega$  is identity modulo  $I$  and  $\Omega^*(\Lambda) = \Lambda\Omega = (0, 0, 1)$  modulo  $L$ . Therefore, replacing  $\Lambda$  by  $\Lambda\Omega$ , we can assume that  $\Lambda = (\lambda, b_1, b_2)$  with  $1 - b_2 \in L$ .

Choose  $h_1 \in R$  such that  $hh_1 = 1$  modulo  $(b_2)$ , and hence, modulo  $I$ . Let  $\mathcal{I} = (h_1^N b_1, b_2)$  be an ideal. By Lemma 2.7,  $\mathcal{I} = (e_1, e_2)$  with  $e_1 - h_1^N b_1 \in \mathcal{I}^2$  and  $e_2 - h_1^N b_2 \in \mathcal{I}^2$ . Since  $\Lambda = (\lambda, b_1, b_2)$ ,  $\Lambda(P) = I$  and  $Rh_1 + Rb_2 = R$ , we see that

$$\begin{aligned} I &= \lambda(Q) + (b_1, b_2) = h_1^N \lambda(Q) + (h_1^N b_1, b_2) \\ &= h_1^N \lambda(Q) + (e_1, e_2). \end{aligned}$$

Let  $\Phi = (h_1^N \lambda, e_1, e_2) \in \text{Hom}_R(P, I)$ . We can see that  $\Phi : P \rightarrow I$  is surjective. Moreover, since  $1 - hh_1 \in I$ ,  $\Phi \otimes R/I = h_1^N \Lambda \otimes R/I$  and  $\Lambda \otimes R/I = h^N \phi \otimes R/I$ . Therefore,  $\Phi$  is a lift of  $\phi$ . □

If  $2n \geq d + 4$ , the following addition and subtraction principles (Lemmas 3.8 and 3.9, respectively) are due to Bhatwadekar and Keshari for any  $f(T) \in A[T]$  and without any condition on the Jacobson radical of  $A$ , see [1]. The only case remaining is when  $2n = d + 3$ . Since the proof of the following results equally work in the case  $2n \geq d + 3$ , we give the proof for the general case. In the case  $f(T) = T$ , this is proved in [14, Proposition 3.5].

**Theorem 3.8.** *Let  $A$  be a ring of dimension  $d$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. Let  $I_1, I_2 \subset R$  be two comaximal ideals of height  $n$ , where  $2n \geq d + 3$ . Let  $P = P' \oplus R^2$  be a projective  $R$ -module of rank  $n$ . Assume that  $\text{ht}\mathcal{J}(A) \geq d - n + 2$ . Let  $\Phi : P \rightarrow I_1$  and  $\Psi : P \rightarrow I_2$  be two surjections. Then, there exists a surjection  $\Delta : P \rightarrow I_1 \cap I_2$  with  $\Delta \otimes R/I_1 = \Phi \otimes R/I_1$  and  $\Delta \otimes R/I_2 = \Psi \otimes R/I_2$ .*

*Proof.*

*Step 1.* Write  $I = I_1 \cap I_2$  and  $J = (I \cap A) \cap \mathcal{J}(A)$ . Let  $\Gamma : P \rightarrow I/I^2$  be a surjection induced by the surjections  $\Phi$  and  $\Psi$  such that  $\Gamma \otimes R/I_1 = \Phi \otimes R/I_1$  and  $\Gamma \otimes R/I_2 = \Psi \otimes R/I_2$ . Therefore, it is enough to show that  $\Gamma$  has a surjective lift from  $P$  to  $I$ . Clearly, we have  $\text{ht}J \geq d - n + 2$ , as  $\text{ht}(I \cap A) \geq n - 1 \geq d - n + 2$ . Now, applying Lemma 3.4 to  $\Gamma : P \rightarrow I/I^2$  with  $g = 1$ , we get a lift  $\Gamma_1 : P \rightarrow I$  of  $\Gamma$  such that the ideal  $\Gamma_1(P) = I''$  satisfies the following properties:

- (1)  $I = I'' + J^2$ ;
- (2)  $I'' = I \cap K$ , where  $\text{ht}K \geq n$ ;
- (3)  $K + J = R$ .

Clearly,  $\dim \mathcal{R} = d$  and  $I\mathcal{R} = I_1\mathcal{R} \cap I_2\mathcal{R}$ . Applying Lemma 2.13 in the ring  $\mathcal{R}$  for the surjections  $\Phi \otimes \mathcal{R} : P \otimes \mathcal{R} \rightarrow I_1\mathcal{R}$  and  $\Psi \otimes \mathcal{R} : P \otimes \mathcal{R} \rightarrow I_2\mathcal{R}$ , we obtain a surjection  $\Delta : P \otimes \mathcal{R} \rightarrow I\mathcal{R}$  such that

$$\Delta \otimes \mathcal{R}/I_1\mathcal{R} = \Phi \otimes \mathcal{R}/I_1\mathcal{R}$$

and

$$\Delta \otimes \mathcal{R}/I_2\mathcal{R} = \Psi \otimes \mathcal{R}/I_2\mathcal{R}.$$

From the construction of  $\Gamma$ , it follows that  $\Delta$  is a lift of  $\Gamma \otimes \mathcal{R}$ . We have two surjections  $\Gamma_1 : P \rightarrow I \cap K$  and  $\Delta : P \otimes \mathcal{R} \rightarrow I\mathcal{R}$ . Since  $\Gamma_1$  is a lift of  $\Gamma$ , we have  $\Gamma_1 \otimes \mathcal{R}/I\mathcal{R} = \Delta \otimes \mathcal{R}/I\mathcal{R}$ .

Applying Lemma 2.14 in the ring  $\mathcal{R}$  for the surjections  $\Gamma_1 \otimes \mathcal{R}$  and  $\Delta$ , we get a surjection  $\Delta_1 : P \otimes \mathcal{R} \rightarrow K\mathcal{R}$  with  $\Delta_1 \otimes \mathcal{R}/K\mathcal{R} = \Gamma_1 \otimes \mathcal{R}/K\mathcal{R}$ . Since  $K$  is comaximal with  $J$ , we have  $K\mathcal{R} + \mathcal{J}(A)\mathcal{R} = \mathcal{R}$ . Applying Lemma 3.6 to the surjection  $\Gamma_1 \otimes R/K$ , we obtain a surjection  $\Delta_2 : P \rightarrow K$  which is a lift of  $\Gamma_1 \otimes R/K : P \rightarrow K/K^2$ .

*Step 2.* Recall that  $P = P' \oplus R^2$ ,  $J = (I \cap A) \cap \mathcal{J}(A)$  and  $J + K = R$ . Write  $P_1 = P' \oplus R$  and  $P = P_1 \oplus R$ . We have two surjections  $\Gamma_1 : P \rightarrow I \cap K$  and  $\Delta_2 : P \rightarrow K$  with  $\Gamma_1 \otimes R/K = \Delta_2 \otimes R/K$ .

Since  $\text{ht}J \geq d - n + 2$ ,  $\dim A/J \leq d - (d - n + 2) = n - 2$ . Let “bar” denote reduction modulo  $J^2$ . Then,  $\bar{R} = A/J^2[T, 1/f(T)]$ . By Lemma 2.4, after applying an automorphism of  $P_1 \oplus R$ , we can assume that  $\Delta_2(P_1) = R$  modulo  $J^2$  and  $\Delta_2(0, 1) \in J^2$ . Assume that  $\Delta_2(0, 1) = \lambda \in J^2$ . By Lemma 2.5, replacing  $\Delta_2$  by  $\Delta_2 + \lambda\Delta_3$  for some  $\Delta_3 \in P_1^*$ , we can assume that  $\text{ht}(\Delta_2(P_1)) = n - 1$ . Let  $p_1 \in P_1$  such

that  $\Delta_2(p_1) = 1$  modulo  $J^2$ . Further, replacing  $\lambda$  by  $\lambda + \Delta_2(p_1)$ , we can assume that  $\lambda = 1 \pmod{J^2}$ .

Let  $K_1$  and  $K_2$  be two ideals of  $R[Y]$  defined by  $K_1 = (\Delta_2(P_1), Y + \lambda)$  and  $K_2 = IR[Y]$ . Since  $\Delta_2(P_1) + J = R$  and  $J \subset I$ ,  $K_1$  and  $K_2$  are comaximal. Write  $K_3 = K_1 \cap K_2$ ; hence,  $K_3(0) = I \cap K$ . Then, we have two surjections  $\Gamma_1 : P \twoheadrightarrow K_3(0)$  and  $\Lambda_1 : P[Y] \twoheadrightarrow K_1$  defined by  $\Lambda_1 = \Delta_2$  on  $P_1$  and  $\Delta_1(0, 1) = Y + \lambda$ . Then,

$$\Lambda_1(0) = \Gamma_1 \pmod{K_1(0)^2} \quad \text{and} \quad \Delta_2 \otimes R/K = \Gamma_1 \otimes R/K.$$

Since  $\text{ht}(\Delta_2(P_1)) = n - 1$  and  $\Delta_2(P_1) + \mathcal{J}(A) = R$ ,  $\dim R[Y]/K_1 = \dim R/\Delta_2(P_1) \leq d - n + 1 \leq n - 2$ . Hence, applying Lemma 2.12, we obtain a surjection  $\Lambda_2 : P[Y] \twoheadrightarrow K_3$  with  $\Lambda_2(0) = \Gamma_1$ . Putting  $Y = 1 - \lambda$ , we get a surjection  $\tilde{\Delta} = \Lambda_2(1 - \lambda) : P \twoheadrightarrow I$  with  $\tilde{\Delta} \otimes R/I = \Gamma_1 \otimes R/I$ . Since  $\Gamma_1$  is a lift of  $\Gamma : P \twoheadrightarrow I/I^2$ , we have  $\tilde{\Delta} \otimes R/I = \Gamma \otimes R/I$ . This completes the proof.  $\square$

**Theorem 3.9.** *Let  $A$  be a ring of dimension  $d$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. Let  $I_1, I_2 \subset R$  be two comaximal ideals of height  $n$ , where  $2n \geq d + 3$ . Let  $P = P' \oplus R^2$  be a projective  $R$ -module of rank  $n$ . Assume that  $\text{ht}\mathcal{J}(A) \geq d - n + 2$ . Let  $\Phi : P \twoheadrightarrow I_1 \cap I_2$  and  $\Psi : P \twoheadrightarrow I_1$  be two surjections with  $\Phi \otimes R/I_1 = \Psi \otimes R/I_1$ . Then, there exists a surjection  $\Delta : P \twoheadrightarrow I_2$  such that  $\Phi \otimes R/I_2 = \Delta \otimes R/I_2$ .*

*Proof.* Let  $\phi : P \twoheadrightarrow I_2/I_2^2$  be a surjection induced by  $\Phi$ . Let  $J = (I_2 \cap A) \cap \mathcal{J}(A)$ . Then,  $\text{ht}J \geq d - n + 2$ , since  $\text{ht}(I_2 \cap A) \geq n - 1$  and  $n - 1 \geq d - n + 2$ . Applying Lemma 3.4, to surjection  $\phi : P \twoheadrightarrow I_2/I_2^2$  with  $g = 1$ , we get a lift  $\tilde{\phi} : P \twoheadrightarrow I$  of  $\phi$  such that  $\tilde{\phi}(P) = I''$  satisfies the following properties:

- (1)  $I_2 = I'' + J^2$ ;
- (2)  $I'' = I_2 \cap K$ , where  $\text{ht}K \geq n$ , and
- (3)  $K + J^2 = R$ .

Note that we have surjections  $\Phi$  and  $\Psi$  such that  $\Phi \otimes R/I_1 = \Psi \otimes R/I_1$ . Therefore, we have two surjections  $\Phi \otimes \mathcal{R}$  and  $\Psi \otimes \mathcal{R}$  such that

$$\Phi \otimes \mathcal{R}/I_1\mathcal{R} = \Psi \otimes \mathcal{R}/I_1\mathcal{R}.$$

Since  $\dim \mathcal{R} = d$ , applying Lemma 2.14 in the ring  $\mathcal{R}$  for the surjections  $\Phi \otimes \mathcal{R}$  and  $\Psi \otimes \mathcal{R}$ , there exists a surjection  $\Gamma : P \otimes \mathcal{R} \rightarrow I_2 \mathcal{R}$  such that

$$\Gamma \otimes \mathcal{R}/I_2 \mathcal{R} = \Phi \otimes \mathcal{R}/I_2 \mathcal{R} = \tilde{\phi} \otimes \mathcal{R}/I_2 \mathcal{R}.$$

Applying Lemma 2.14 for the surjections  $\Gamma$  and  $\tilde{\phi} \otimes \mathcal{R}$ , there exists a surjection  $\Gamma_1 : P \otimes \mathcal{R} \rightarrow K \mathcal{R}$  such that  $\Gamma_1 \otimes \mathcal{R}/K \mathcal{R} = \tilde{\phi} \otimes \mathcal{R}/K \mathcal{R}$ . Since  $K$  is comaximal with  $\mathcal{J}(A)$ , applying Lemma 3.6, we obtain a surjection  $\Gamma_2 : P \rightarrow K$  with  $\Gamma_2 \otimes R/K = \tilde{\phi} \otimes R/K$ .

We have two surjections  $\tilde{\phi} : P \rightarrow I_2 \cap K$  and  $\Gamma_2 : P \rightarrow K$  such that  $\Gamma_2 \otimes R/K = \tilde{\phi} \otimes R/K$ . Recall that  $K + \mathcal{J}(A) = R$ . We get a surjection  $\Delta : P \rightarrow I_2$  such that  $\Delta \otimes R/I_2 = \tilde{\phi} \otimes R/I_2 = \Phi \otimes R/I_2$ , by following Step (2) of the proof of Theorem 3.8.  $\square$

In the case of  $f(T) = T$ , the following result is [14, Theorem 3.8].

**Theorem 3.10.** *Let  $A$  be a ring of dimension  $d$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. Let  $n$  be an integer such that  $2n \geq d + 3$ . Let  $I$  be an ideal of  $R$  of height  $n$ . Assume that  $\text{ht} \mathcal{J}(A) \geq d - n + 2$ . Let  $P = P' \oplus R^2$  be a projective  $R$ -module of rank  $n$ , and let  $\phi : P \rightarrow I/I^2$  be a surjection. Assume that  $\phi \otimes \mathcal{R} : P \otimes \mathcal{R} \rightarrow I \mathcal{R}/I^2 \mathcal{R}$  can be lifted to a surjection  $\Phi : P \otimes \mathcal{R} \rightarrow I \mathcal{R}$ . Then,  $\phi$  can be lifted to a surjection  $\Delta : P \rightarrow I$ .*

*Proof.* Let  $J = (I \cap A) \cap \mathcal{J}(A)$ . We have  $\text{ht} J \geq d - n + 2$ , as  $\text{ht}(I_2 \cap A) \geq n - 1$  and  $n - 1 \geq d - n + 2$ . Applying Lemma 3.4 to the surjection  $\phi : P \rightarrow I/I^2$  with  $g = 1$ , we obtain a lift  $\Phi_1 : P \rightarrow I$  of  $\phi$  such that the ideal  $\Phi_1(P) = I''$  satisfies the following properties:

- (1)  $I = I'' + J^2$ ;
- (2)  $I'' = I \cap K$ , where  $\text{ht} K \geq n$ ;
- (3)  $K + J^2 = R$ .

If  $\text{ht} K > n$ , then  $K = R$ , and hence,  $I'' = I$ . Therefore, we can take  $\Phi_1$  as a required lift of the surjection  $\phi$ . Hence, we assume that  $\text{ht} K = n$ . We have two surjections  $\Phi : P \otimes \mathcal{R} \rightarrow I \mathcal{R}$  and  $\Phi_1 : P \rightarrow I \cap K$  such that  $\Phi \otimes \mathcal{R}/I \mathcal{R} = \Phi_1 \otimes \mathcal{R}/I \mathcal{R}$ . Applying Lemma 2.14 in the ring  $\mathcal{R}$  for the surjections  $\Phi$  and  $\Phi_1 \otimes \mathcal{R}$ , we obtain a surjection  $\Psi : P \otimes \mathcal{R} \rightarrow K \mathcal{R}$  such that  $\Psi \otimes \mathcal{R}/K \mathcal{R} = \Phi_1 \otimes \mathcal{R}/K \mathcal{R}$ .

Since  $K + \mathcal{J}(A) = R$ , applying Lemma 3.6, we get a surjection  $\Delta_1 : P \twoheadrightarrow K$ , which is a lift of  $\Phi_1 \otimes R/K$ . We have two surjections  $\Phi_1$  and  $\Delta_1$  with  $\Phi_1 \otimes R/K = \Delta_1 \otimes R/K$ . Applying Lemma 3.9, we obtain a surjection  $\Delta : P \twoheadrightarrow I$  such that  $\Delta \otimes R/I = \Phi_1 \otimes R/I = \phi$ . This completes the proof.  $\square$

As a consequence of Theorem 3.10, we have the following:

**Corollary 3.11.** *Let  $A$  be a Noetherian ring of dimension  $n \geq 3$  with  $\text{ht}\mathcal{J}(A) \geq 2$ , and let  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is a monic. Let  $I \subset R$  be an ideal of height  $n$  and  $\phi : (R/I)^n \twoheadrightarrow I/I^2$  be a surjection. Assume that  $\phi \otimes \mathcal{R}$  can be lifted to a surjection from  $\mathcal{R}$  to  $I\mathcal{R}$ . Then,  $\phi$  can be lifted to a surjection  $\Phi : R^n \twoheadrightarrow I$ .*

#### 4. Euler class group of $A[T, 1/f(T)]$ .

**Assumption 4.1.** *Throughout this section, let  $A$  be a commutative Noetherian ring containing  $\mathbb{Q}$  of dimension  $n \geq 3$  with  $\text{ht}\mathcal{J}(A) \geq 2$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is a monic.*

The results of this section are similar to [14, Section 4], where it is proved for  $f(T) = T$ . We proceed to define the  $n$ th Euler class group of the ring  $R = A[T, 1/f(T)]$ , where  $f(T)$  is monic.

Clearly,  $\dim R = n + 1$ . Let  $I$  be an ideal  $R$  of height  $n$  such that  $I/I^2$  is generated by  $n$  elements. We define a relation on the set of all surjections from  $(R/I)^n$  to  $I/I^2$ . Let  $\alpha$  and  $\beta$  be two surjections from  $(R/I)^n$  to  $I/I^2$ . We say  $\alpha$  and  $\beta$  are *related* if there exists  $\sigma \in SL_n(R/I)$  such that  $\alpha\sigma = \beta$ . It is easy to see that this is an equivalence relation. Let  $[\alpha]$  denote the equivalence class of  $\alpha$ . We call such an equivalence class  $[\alpha]$ , a *local orientation* of  $I$ .

Let  $\alpha : (R/I)^n \twoheadrightarrow I/I^2$  be a surjection, which can be lifted to a surjection  $\Theta : R^n \twoheadrightarrow I$ . Then, any  $\beta$ , related to  $\alpha$  can also be lifted to a surjection  $R^n \twoheadrightarrow I$ . For, let  $\beta = \alpha\sigma$  for some  $\sigma \in SL_n(R/I)$ . If  $I\mathcal{R} = \mathcal{R}$ , then  $\beta \otimes \mathcal{R}$  can be lifted to a surjection from  $\mathcal{R}^n$  to  $I\mathcal{R}$  and hence, by Lemma 3.11,  $\beta$  can be lifted to surjection. Therefore, we assume that  $I\mathcal{R}$  is a proper ideal of  $\mathcal{R}$ . Since  $\dim \mathcal{R} = n$ , we have  $\dim \mathcal{R}/I\mathcal{R} = 0$ , and hence,  $SL_n(\mathcal{R}/I\mathcal{R}) = E_n(\mathcal{R}/I\mathcal{R})$ . Therefore, by Lemma 2.3,  $\sigma \otimes \mathcal{R}$  can be lifted to an element of  $SL_n(\mathcal{R})$ . Thus,  $\beta \otimes \mathcal{R}$

can be lifted to a surjection from  $\mathcal{R}^n$  to  $I\mathcal{R}$ . Again, by Lemma 3.11,  $\beta$  can be lifted to a surjection from  $R^n$  to  $I$ . Therefore, from now on, we shall identify a surjection  $\alpha$  with the equivalence class  $[\alpha]$  to which it belongs.

We call a local orientation  $[\alpha]$  of  $I$ , a *global orientation* of  $I$ , if the surjection  $\alpha : (R/I)^n \rightarrow I/I^2$  can be lifted to a surjection  $\Theta : R^n \rightarrow I$ .

Let  $S$  be the set of pairs  $(I, w_I)$ , where  $I \subset R$  is an ideal of height  $n$  such that  $I/I^2$  is generated by  $n$  elements, having the property that  $\text{Spec}(R/I)$  is connected, and  $w_I : (R/I)^n \rightarrow I/I^2$  is a local orientation of  $I$ . Let  $G$  be a free abelian group on  $S$ .

Assume that  $I \subset R$  is an ideal of height  $n$  such that  $I/I^2$  is generated by  $n$  elements. Let  $I = I_1 \cap \dots \cap I_r$  be a decomposition of  $I$ , where the  $I_k$ s are pairwise comaximal ideals of height  $n$  and  $\text{Spec}(R/I_k)$  is connected. By [8, Lemma 4.4], it follows that such a decomposition is unique. We say that the  $I_k$ s are connected components of  $I$ . Let  $w_I : (R/I)^n \rightarrow I/I^2$  be a surjection. Then,  $w_I$  induces surjections  $w_{I_k} : (R/I_k)^n \rightarrow I_k/I_k^2$ . By  $(I, w_I)$ , we denote the element  $\sum(I_k, w_{I_k})$  of  $G$ .

Let  $S' = \{(I, w_I) \in G \mid w_I : (R/I)^n \rightarrow I/I^2 \text{ is a global orientation}\}$ . Let  $H$  be the free subgroup of  $G$  generated by  $S'$ . We define the *n*th Euler class group of  $R$ , denoted by  $E^n(R)$ , to be  $G/H$ . By abuse of notation, we will write  $E(R)$  for  $E^n(R)$  throughout this paper.

Let  $P$  be a projective  $R$ -module of rank  $n$  having trivial determinant. Let  $\chi : R \xrightarrow{\sim} \wedge^n P$  be an isomorphism. To the pair  $(P, \chi)$ , we associate an element  $e(P, \chi)$  of  $E(R)$  as follows:

Let  $\lambda : P \rightarrow I_1$  be a surjection, where  $I_1 \subset R$  is an ideal of height  $n$  (by Lemma 2.5, such a surjection always exists). Let  $\bar{\lambda} : P/I_1P \rightarrow I_1/I_1^2$  be the induced surjection, where “bar” denotes reduction modulo  $I_1$ . By Lemma 2.1,  $P/I_1P$  is a free  $R/I_1$ -module of rank  $n$ , as  $\dim R/I_1 \leq 1$  and  $P$  has a trivial determinant. We choose an isomorphism  $\bar{\gamma} : (R/I_1)^n \xrightarrow{\sim} P/I_1P$  such that  $\wedge^n(\bar{\gamma}) = \bar{\lambda}$ . Let  $w_{I_1}$  be the surjection  $\bar{\lambda}\bar{\gamma} : (R/I_1)^n \rightarrow I_1/I_1^2$ . Let  $e(P, \chi)$  be the image of  $(I_1, w_{I_1})$  in  $E(R)$ . We say that  $(I_1, w_{I_1})$  is *obtained* from the pair  $(\lambda, \chi)$ .

**Lemma 4.2.** *The assignment, sending  $(P, \chi)$  to the element  $e(P, \chi)$ , is well defined.*

*Proof.* Recall that  $w_{I_1} : (R/I_1)^n \twoheadrightarrow I_1/I_1^2$  is a surjection. Let  $\mu : P \twoheadrightarrow I_2$  be another surjection, where  $I_2$  is an ideal  $R$  of height  $n$ . Let  $(I_2, w_{I_2})$  be obtained from the pair  $(\mu, \chi)$ . Let  $J = (I_1 \cap I_2) \cap A$ . By Lemma 3.4,  $w_{I_1}$  can be lifted to  $\Phi : R^n \twoheadrightarrow I_1 \cap K$ , where  $\text{ht}K = n$  and  $K + J = R$ .

Since  $K$  and  $I_1$  are comaximal,  $\Phi$  induces a local orientation  $w_K$  of  $K$ . Clearly,  $(I_1, w_{I_1}) + (K, w_K) = 0$  in  $E(R)$ . Let  $L = K \cap I_2$ . Since  $K + I_2 = R$ ,  $w_K$  and  $w_{I_2}$  together induce a local orientation  $w_L$  of  $L$ , it is enough to show that  $(L, w_L) = 0$  in  $E(R)$  (since  $(L, w_L) = (K, w_K) + (I_2, w_{I_2})$  in  $E(R)$  and  $(L, w_L) = 0$  implies  $(I_1, w_{I_1}) = (I_2, w_{I_2})$  in  $E(R)$ ).

Due to the fact that  $\dim \mathcal{R} = n = \text{rank}P$ ,  $e(P \otimes \mathcal{R}, \chi \otimes \mathcal{R})$  is well defined in  $E(\mathcal{R})$  [2, Section 4]. Hence, it follows that  $w_L \otimes \mathcal{R}$  is a global orientation of  $L\mathcal{R}$ . Therefore, by Lemma 3.11,  $w_L$  is a global orientation of  $L$ , i.e.,  $(L, w_L) = 0$  in  $E(R)$ . This proves Lemma 4.2.  $\square$

**Notation 4.3.** We define the Euler class of  $(P, \chi)$  to be  $e(P, \chi)$ .

**Remark 4.4.** From [12, Remark 2.16], since the ring extension  $R \rightarrow \mathcal{R}$  is flat, there is a group homomorphism  $\Gamma : E(R) \rightarrow E(\mathcal{R})$ . For more details, we refer to [16, Section 3]. Further, it is easy to see that  $\Gamma$  is an injective group homomorphism.

**Theorem 4.5.** *Let  $I \subset R$  be an ideal of height  $n$  such that  $I/I^2$  is generated by  $n$  elements, and let  $w_I : R^n \rightarrow I/I^2$  be a local orientation of  $I$ . If the image of  $(I, w_I)$  is zero in  $E(R)$ , then  $w_I$  is a global orientation of  $I$ .*

*Proof.* Let  $(I, w_I) = 0$  in  $E(R)$ . By Remark 4.4, we have  $(I\mathcal{R}, w_I \otimes \mathcal{R}) = 0$  in  $E(\mathcal{R})$ . Therefore, by Lemma 2.11,  $w_I \otimes \mathcal{R}$  can be lifted to a surjection from  $\mathcal{R}^n \twoheadrightarrow I\mathcal{R}$  (as  $\dim \mathcal{R} = n$ ). By Lemma 3.11,  $w_I$  can be lifted to a surjection  $R^n \twoheadrightarrow I$ , and hence,  $w_I$  is a global orientation of  $I$ .  $\square$

**Theorem 4.6.** *Let  $P$  be a projective  $R$ -module of rank  $n$  with trivial determinant, and let  $I$  be an ideal  $R$  of height  $n$ . Let  $\psi : P \twoheadrightarrow I/I^2$  be a surjection such that  $\psi \otimes \mathcal{R}$  can be lifted to a surjection  $\Psi : P \otimes \mathcal{R} \twoheadrightarrow I\mathcal{R}$ . Then, there exists a surjection  $\tilde{\Psi} : P \twoheadrightarrow I$ , which is a lift of  $\psi$ .*

*Proof.* Recall that  $\text{ht}\mathcal{J}(A) \geq 2$ . Let  $J = I \cap \mathcal{J}(A)$ . Then,  $\text{ht}J \geq 2$ . By Lemma 3.4,  $\psi$  can be lifted to a surjection  $\Phi : P \twoheadrightarrow I \cap I'$ , where  $\text{ht}I' = n$  and  $I' + J = R$ .

Fix a trivialization  $\chi : R \xrightarrow{\sim} \wedge^n P$ . Let  $\lambda : (R/(I \cap I'))^n \xrightarrow{\sim} P/(I \cap I')P$  be an isomorphism such that  $\wedge^n(\lambda) = \chi \otimes R/(I \cap I')$ . Then,  $e(P, \chi) = (I \cap I', w_{I \cap I'})$  in  $E(R)$ , where  $w_{I \cap I'} = (\Phi \otimes R/(I \cap I'))\lambda$ . Therefore,  $e(P, \chi) = (I, w_I) + (I', w_{I'})$ , where  $w_I$  and  $w_{I'}$  are local orientations of  $I$  and  $I'$  respectively, induced from  $w_{I \cap I'}$ .

Since  $e(P \otimes \mathcal{R}, \chi \otimes \mathcal{R}) = (I\mathcal{R}, w_I \otimes \mathcal{R})$  (using  $\Psi$ ),  $(I'\mathcal{R}, w_{I'} \otimes \mathcal{R}) = 0$  in  $E(\mathcal{R})$ , i.e.,  $w_{I'} \otimes \mathcal{R}$  can be lifted to a surjection from  $\mathcal{R}^n$  to  $I'\mathcal{R}$ . By Lemma 3.11,  $w_{I'}$  can be lifted to an  $n$  set of generators of  $I'$ , say  $I' = (f_1, \dots, f_n)$ . Since  $I' + \mathcal{J}(A)R = R$  and  $\text{ht}I' = n$ , we have  $\dim R/I' = 0$ . Hence, applying Proposition 2.3, Lemma 2.4 and Lemma 2.5, after performing an elementary transformation on the generators of  $I'$ , we can assume that

- (1)  $\text{ht}(f_1, \dots, f_{n-1}) = n - 1$ ;
- (2)  $\dim R/(f_1, \dots, f_{n-1}) \leq 1$ ; and
- (3)  $f_n = 1 \pmod{J^2}$ . □

Write  $C = R[Y]$ ,  $K_1 = (f_1, \dots, f_{n-1}, Y + f_n)$ ,  $K_2 = IC$  and  $K_3 = K_1 \cap K_2$ .

**Claim 4.7.** *There exists a surjection  $\Delta(Y) : P[Y] \twoheadrightarrow K_3$  such that  $\Delta(0) = \Phi$ .*

First, we show that the theorem follows from the claim. Specializing  $\Delta(Y)$  at  $Y = 1 - f_n$ , we obtain a surjection  $\Delta_1 : P \twoheadrightarrow I$ . Since  $1 - f_n \in J^2 \subset I^2$ ,  $\Delta_1 = \Phi \pmod{I^2}$ . Therefore,  $\Delta_1$  is a lift of  $\psi$ . This proves the result.

*Proof of Claim 4.7.*  $\lambda$  induces an isomorphism  $\delta : (R/I')^n \xrightarrow{\sim} P/I'P$  such that  $\wedge^n(\delta) = \chi \otimes R/I'$ . Also,  $(\Phi \otimes R/I')\delta = w_{I'}$ . Since  $\dim C/K_1 = \dim R/(f_1, \dots, f_{n-1}) \leq 1$ , and  $P$  has trivial determinant, by Lemma 2.1,  $P[Y]/K_1P[Y]$  is free of rank  $n$ . Choose an isomorphism  $\Gamma(Y) : (C/K_1)^n \xrightarrow{\sim} P[Y]/K_1P[Y]$  such that  $\wedge^n(\Gamma(Y)) = \chi \otimes C/K_1$ .

Since  $\wedge^n(\delta) = \chi \otimes R/I'$ ,  $\Gamma(0)$  and  $\delta$  differs by an element of  $SL_n(R/I')$ . Since  $\dim R/I' = 0$ ,  $SL_n(R/I') = E_n(R/I')$ . Therefore,

we can alter  $\Gamma(Y)$  by an element of  $SL_n(C/K_1)$  and assume that  $\Gamma(0) = \delta$ .

Let  $\Lambda(Y) : (C/K_1)^n \rightarrow K_1/K_1^2$  be the surjection induced by the set of generators  $(f_1, \dots, f_{n-1}, Y + f_n)$  of  $K_1$ . Thus, we get a surjection  $\Delta(Y) = \Lambda(Y)\Gamma(Y)^{-1} : P[Y]/K_1P[Y] \rightarrow K_1/K_1^2$ . Since  $\Gamma(0) = \delta$ ,  $\Phi \otimes R/I' = w_{I'}\delta^{-1}$  and  $\Lambda(0) = w_{I'}$ , we have  $\Delta(0) = \Phi \otimes R/I'$ . By Lemma 2.12, we get a surjection  $\Delta : P[Y] \rightarrow K_3$  such that  $\Delta(0) = \Phi$ . This proves the claim.  $\square$

**Lemma 4.8.** *Let  $P$  be a projective  $R$ -module of rank  $n$  having trivial determinant and  $\chi : R \xrightarrow{\sim} \wedge^n P$ . Let  $e(P, \chi) = (I, w_I)$  in  $E(R)$ , where  $I$  is an ideal  $R$  of height  $n$ . Then, there exists a surjection  $\Delta : P \rightarrow I$  such that  $(I, w_I)$  is obtained from  $(\Delta, \chi)$ .*

*Proof.* Since  $\dim R/I \leq 1$  and  $P$  has trivial determinant, by Lemma 2.1,  $P/IP$  is a free  $R/I$ -module of rank  $n$ . Choose an isomorphism  $\lambda : (R/I)^n \xrightarrow{\sim} P/IP$  such that  $\wedge^n(\lambda) = \chi \otimes R/I$ . Let  $\gamma = w_I\lambda^{-1} : P/IP \rightarrow I/I^2$ .

Due to the fact that  $e(P \otimes \mathcal{R}, \chi \otimes \mathcal{R}) = (I\mathcal{R}, w_I \otimes \mathcal{R})$  in  $E(\mathcal{R})$ , by Lemma 2.11, there exists a surjection  $\Gamma : P \otimes \mathcal{R} \rightarrow I\mathcal{R}$  such that  $(I\mathcal{R}, w_I \otimes \mathcal{R})$  is obtained from the pair  $(\Gamma, \chi \otimes \mathcal{R})$ , i.e.,  $\Gamma$  is a lift of  $\gamma \otimes \mathcal{R}$ . Applying Lemma 4.6, there exists a surjection  $\Delta : P \rightarrow I$  such that  $\Delta$  is a lift of  $\gamma$ . Since  $(\Delta \otimes R/I)\lambda = w_I$  and  $\wedge^n(\lambda) = \chi \otimes R/I$ ,  $(I, w_I)$  is obtained from the pair  $(\Delta, \chi)$ . This completes the proof.  $\square$

The next lemma follows from Lemma 3.4.

**Lemma 4.9.** *Let  $(I, w_I) \in E(R)$ . Then, there exists an ideal  $I_1 \subset R$  of height  $n$  and a local orientation  $w_{I_1}$  of  $I_1$  such that  $(I, w_I) + (I_1, w_{I_1}) = 0$  in  $E(R)$ . Further,  $I_1$  can be chosen to be comaximal with any ideal  $K \subset R$  of height  $\geq 2$ .*

**Corollary 4.10.** *Let  $P$  be a projective  $R$ -module of rank  $n$  with trivial determinant and  $\chi : R \xrightarrow{\sim} \wedge^n(P)$ . Then,  $e(P, \chi) = 0$  if and only if  $P$  has a unimodular element. In particular, if  $P$  has a unimodular element, then*

- (1)  $P$  maps onto any ideal of height  $n$  generated by  $n$  elements (4.6).

(2) Let  $\beta : P \twoheadrightarrow I$  be a surjection, where  $I$  is an ideal  $R$  of height  $n$ . Then  $I$  is generated by  $n$  elements.

*Proof.* Let  $\alpha : P \twoheadrightarrow I$  be a surjection, where  $I$  is an ideal  $R$  of height  $n$ . Let  $e(P, \chi) = (I, w_I)$  in  $E(R)$ , where  $(I, w_I)$  is obtained from the pair  $(\alpha, \chi)$ .

Assume that  $e(P, \chi) = 0$  in  $E(R)$ . Then  $(I, w_I) = 0$  in  $E(R)$ . By Lemma 4.9, there exists an ideal  $I'$  of height  $n$  such that  $I' + \mathcal{J}(A) = R$  and a local orientation  $w_{I'}$  of  $I'$  such that  $(I, w_I) + (I', w_{I'}) = 0$  in  $E(R)$ . Since  $(I, w_I) = 0, (I', w_{I'}) = 0$  in  $E(R)$ . Hence, without loss of generality, we can assume that  $I + \mathcal{J}(A)R = R$ .

By Lemma 4.5,  $I$  is generated by  $n$  elements, say  $I = (f_1, \dots, f_n)$ . Since  $I + \mathcal{J}(A)R = R, \dim R/I = 0$ . Hence, applying Lemmas 2.3 and 2.4, after performing some elementary transformations on the generators of  $I$ , we can assume that  $\dim R/(f_1, \dots, f_{n-1}) \leq 1$ .

Let  $C = R[Y]$  and  $K = (f_1, \dots, f_{n-1}, Y + f_n)$  be an ideal of  $C$ . We have two surjections  $\alpha : P \twoheadrightarrow K(0)(= I)$  and  $\phi : P[Y]/KP[Y] \twoheadrightarrow K/K^2$  such that  $\phi(0) = \alpha \bmod K(0)^2$ , where  $\phi$  is the composition of two maps,  $\phi_1 : P[Y]/KP[Y] \xrightarrow{\sim} (C/K)^n$  with  $\wedge^n(\phi_1) = \chi^{-1} \otimes C/K$  and  $\phi_2 : (C/K)^n \twoheadrightarrow K/K^2$  defined by  $(f_1, \dots, f_{n-1}, Y + f_n)$ . Applying Lemma 2.12, with  $I_1 = K$  and  $I_2 = C$ , we get a surjection  $\Phi : P[Y] \twoheadrightarrow K$ . Since  $\Phi(1 - f_n) : P \twoheadrightarrow R, P$  has a unimodular element.

Conversely, we assume that  $P$  has a unimodular element. Applying Lemma 2.11, we have  $(I\mathcal{R}, w_I \otimes \mathcal{R}) = 0$  in  $E(\mathcal{R})$ . By Lemma 3.11,  $(I, w_I) = 0 = e(P, \chi)$  in  $E(R)$ . □

The next result is an analogue of [1, Theorem 4.13]. The proof is similar to the case  $f(T) = T$  [14, Theorem 4.10].

**Theorem 4.11.** *Let  $A$  be a regular domain of dimension  $d$ , essentially of finite type over an infinite perfect field  $k$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. Let  $n$  be an integer such that  $2n \geq d + 3$ . Let  $I \subset R$  be an ideal of height  $n$ , and let  $P$  be a projective  $A$ -module of rank  $n$ . Assume that  $I$  contains some special polynomial relative to  $f(T)$ , say  $g(T)$ , such that  $g(0) = 1$ . Then, any surjection  $\phi : P \otimes R \twoheadrightarrow I/I^2$  can be lifted to a surjection  $\Phi : P \otimes R \twoheadrightarrow R$ .*

**Remark 4.12.** The referee suggested that the above result can be proved for any infinite field.

The following result is a consequence of 4.11.

**Theorem 4.13.** *Let  $A$  be a regular domain of dimension  $n \geq 3$ , essentially of finite type over an infinite perfect field  $k$ . Let  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. Let  $(I, w_I) \in E(R)$ . Assume that  $I$  contains a special polynomial relative to  $f(T)$ . Then,  $(I, w_I) = 0$ .*

Let  $A$  be a ring of dimension  $n$  containing an infinite field, and let  $P$  be a projective  $A[T]$ -module of rank  $n$ . In [5], it is proved that, if  $P_{g(T)}$  has a unimodular element for some monic polynomial  $g(T) \in A[T]$ , then  $P$  has a unimodular element. We will prove the analogous result for  $A[T, 1/f(T)]$ . The case  $f(T) = T$  is proved in [14, Theorem 4.13].

**Theorem 4.14.** *Let  $P$  be a projective  $R$ -module of rank  $n$  with trivial determinant. If  $P_{g(T)}$  has a unimodular element, where  $g(T)$  is special polynomial relative to  $f(T)$ , then  $P$  has a unimodular element.*

*Proof.* Let  $\chi$  be an orientation of  $P$ . Since  $P_g$  has a unimodular element,  $e(P \otimes \mathcal{R}, \chi \otimes \mathcal{R}) = 0$  in  $E(\mathcal{R})$ . By Remark 4.4,  $e(P, \chi) = 0$  in  $E(R)$ . Hence, by Lemma 4.10,  $P$  has a unimodular element. This completes the proof. □

**5. Weak Euler class group of  $A[T, 1/f(T)]$ .** Let  $A$  be a commutative Noetherian ring containing  $\mathbb{Q}$  of dimension  $n \geq 3$  with  $\text{ht}\mathcal{J}(A) \geq 2$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic. We define the  $n$ th weak Euler class group  $E_0^n(R)$  of  $R$  as follows.

Let  $S$  be the set of ideals of  $R$  with the properties:

- (1)  $\text{ht}I = n$  and  $I/I^2$  is generated by  $n$  elements, and
- (2)  $\text{Spec}(R/I)$  is connected. Let  $G$  be a free abelian group on  $S$ .

Let  $I \subset R$  be an ideal of height  $n$  such that  $I/I^2$  is generated by  $n$  elements. Then,  $I$  can be decomposed as  $I = I_1 \cap \dots \cap I_r$ , where the  $I_i$ s are pairwise comaximal ideals of height  $n$  and  $\text{Spec}(R/I_i)$  is connected

for each  $i$ . We have seen that such a decomposition of  $I$  is unique. By  $(I)$ , we denote the element  $\sum(I_k, w_{I_k})$  of  $G$ .

Let  $H$  be the subgroup of  $G$  generated by elements of the type  $(I)$ , where  $I \subset R$  is an ideal of height  $n$  such that  $I$  is generated by  $n$  elements. We define  $E_0^n(R) = G/H$ . In what follows, by abuse of notation, we will write  $E_0(R)$  for  $E_0^n(R)$ . Note that there is a canonical surjective homomorphism from  $E(R)$  to  $E_0(R)$  obtained by forgetting the orientations. For the rest of this section, assuming the following assumption, we obtain results similar to those in [14, Section 5].

**Assumption 5.1.** *Let  $A$  be a commutative Noetherian ring containing  $\mathbb{Q}$  of dimension  $n \geq 3$  with  $\text{ht}\mathcal{J}(A) \geq 2$  and  $R = A[T, 1/f(T)]$ , where  $f(T) \in A[T]$  is monic.*

**Notation 5.2.** Let  $I \subset R$  be an ideal of height  $n$ , and let  $w_I : (R/I)^n \rightarrow I/I^2$  be a local orientation of  $I$ . Let  $\theta \in GL_n(R/I)$  be such that  $\det \theta = \bar{g}$ , where  $\bar{g} \in R/I$  is unit. Then  $w_I \theta$  is another orientation of  $I$ , which we denote by  $\bar{g}w_I$ .

**Remark 5.3.** If  $w_I$  and  $\tilde{w}_I$  are two local orientations of  $I$ , then by [2, Lemma 2.2], it is easy to see that  $\tilde{w}_I = \bar{g}w_I$  for some unit  $\bar{g} \in R/I$ .

The proof of the next result is essentially contained in [2, 2.7, 2.8, 5.1].

**Lemma 5.4.** *Let  $P$  be a projective  $R$ -module of rank  $n$  having trivial determinant and  $\chi : R \xrightarrow{\sim} \wedge^n(P)$ . Let  $\alpha : P \rightarrow I$  be a surjection, where  $I \subset R$  is an ideal of height  $n$ . Let  $(I, w_I)$  be obtained from  $(\alpha, \chi)$ . Let  $g \in R$  be a unit mod  $I$ . Then there exists a projective  $R$ -module  $P_1$  of rank  $n$  having trivial determinant with  $\chi_1 : R \xrightarrow{\sim} \wedge^n(P_1)$  and a surjection  $\beta : P_1 \rightarrow I$  such that:*

- (1)  $[P] = [P_1]$  in  $K_0(R)$ ;
- (2)  $(I, g^{n-1}w_I)$  is obtained from  $(\beta, \chi_1)$ .

The next lemma can be proved using [2, Lemmas 5.3, 5.4] and 3.11.

**Lemma 5.5.** *Let  $(I, w_I) \in E(R)$  and  $\bar{g} \in R/I$  be a unit. Then  $(I, w_I) = (I, \bar{g}^2 w_I)$  in  $E(R)$ .*

Adapting the proof of [4, Lemma 3.7] and using the Eisenbud-Evans theorem (Lemma 2.5) in place of “Swan’s Bertini” theorem, the proof of the next lemma follows.

**Lemma 5.6.** *Let  $P$  be a stably free  $R$ -module of even rank  $n \geq 4$ , and let  $\chi : R \xrightarrow{\sim} \wedge^n(P)$  be a trivialization. Suppose that  $e(P, \chi) = (I, w_I)$  in  $E(R)$ . Then,  $(I, w_I) = (I_1, w_{I_1})$  in  $E(R)$  for some ideal  $I_1 \subset R$  of height  $n$  generated by  $n$  elements. Moreover,  $I_1$  can be chosen to be comaximal with any ideal of  $R$  of height 2.*

The following results can be proved by adapting the proofs of [4, 3.8, 3.9, 3.10, 3.11] and Lemma 5.6.

**Proposition 5.7.** *Let  $P$  be a projective  $R$ -module of even rank  $n \geq 4$  with trivial determinant. Then we have the following:*

- (1) *Let  $I_1, I_2 \subset R$  be two comaximal ideals of height  $n$  and  $I_3 = I_1 \cap I_2$ . If any two of  $I_1, I_2, I_3$  are surjective images of stably free  $R$ -modules of rank  $n$ , then so is the third.*
- (2) *Let  $(I, w_I) \in E(R)$ . Then,  $(I) = 0$  in  $E_0(R)$  if and only if  $I$  is a surjective image of a stably free projective  $R$ -module of rank  $n$ .*
- (3)  *$e(P) = 0$  in  $E_0(R)$  if and only if  $[P] = [Q \oplus R]$  in  $K_0(R)$  for some projective  $R$ -module  $Q$  of rank  $n - 1$ .*
- (4) *Suppose that  $e(P) = (I)$  in  $E_0(R)$ , where  $I \subset R$  is an ideal of height  $n$ . Then there exists a projective  $R$ -module  $Q$  of rank  $n$  such that  $[Q] = [P]$  in  $K_0(R)$  and  $I$  is a surjective image of  $Q$ .*

The proof of the following result is the same as that of [8, Proposition 6.7].

**Theorem 5.8.** *Let  $n$  be an even integer  $\geq 4$ . Let  $(I, w_I) \in E(R)$  belong to the kernel of the canonical homomorphism  $E(R) \rightarrow E_0(R)$ . Then, there exists a stably free  $R$ -module  $P_1$  of rank  $n$  and an isomorphism  $\chi_1 : R \xrightarrow{\sim} \wedge^n P_1$  such that  $e(P_1, \chi_1) = (I, w_I)$  in  $E(R)$ .*

*Proof.* Since  $(I) = 0$  in  $E_0(R)$ , by Proposition 5.7 (2), there exist a stably free  $R$ -module  $P$  of rank  $n$  and a surjection  $\alpha : P \twoheadrightarrow I$ . Let  $\chi : R \xrightarrow{\sim} \wedge^n(P)$  be an isomorphism. Suppose that  $(I, w_I)$  is obtained from  $(\alpha, \chi)$ . By Remark 5.3, there exists a  $g \in R$  such that  $\bar{g} \in R/I$  is a unit and  $\widetilde{w}_I = \bar{g}w_I$ . By Lemma 5.4, there exists a projective  $R$ -module  $P_1$  such that  $P_1$  is stably isomorphic to  $P$  and an isomorphism  $\chi : R \xrightarrow{\sim} \wedge^n(P_1)$  and such that  $e(P_1, \chi_1) = (I, \overline{g^{n-1}w_I})$  in  $E(R)$ . Since  $n$  is even, by Lemma 5.5, we have  $(I, \overline{g^{n-1}w_I}) = (I, \bar{g}w_I)$  in  $E(R)$ . Hence,  $e(P_1, \chi_1) = (I, w_I)$  in  $E(R)$ .  $\square$

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