

## A NORTHCOTT TYPE INEQUALITY FOR BUCHSBAUM-RIM COEFFICIENTS

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**ABSTRACT.** In 1960, Northcott [13] proved that, if  $e_0(I)$  and  $e_1(I)$  denote the 0th and first Hilbert-Samuel coefficients of an  $\mathfrak{m}$ -primary ideal  $I$  in a Cohen-Macaulay local ring  $(R, \mathfrak{m})$ , then  $e_0(I) - e_1(I) \leq \ell(R/I)$ . In this article, we study an analogue of this inequality for Buchsbaum-Rim coefficients. We prove that, if  $(R, \mathfrak{m})$  is a two dimensional Cohen-Macaulay local ring and  $M$  is a finitely generated  $R$ -module contained in a free module  $F$  with finite co-length, then  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$ , where  $\text{br}_0(M)$  and  $\text{br}_1(M)$  denote 0th and 1st Buchsbaum-Rim coefficients, respectively.

**1. Introduction.** Let  $(R, \mathfrak{m})$  be a Noetherian local ring of dimension  $d > 0$ . Let  $M \subset F = R^r$  be a finitely generated  $R$ -module such that  $\ell(F/M) < \infty$ , where  $\ell(-)$  denotes the length function. Let

$$\mathcal{S}(F) = \bigoplus_{n \geq 0} \mathcal{S}_n(F)$$

denote the symmetric algebra of  $F$  and

$$\mathcal{R}(M) = \bigoplus_{n \geq 0} \mathcal{R}_n(M)$$

the Rees algebra of  $M$ , which is image of the natural map from the symmetric algebra of  $M$  to the symmetric algebra of  $F$ .

Generalizing the notion of the Hilbert-Samuel function, Buchsbaum and Rim studied the function

$$BF(n) = \ell(\mathcal{S}_n(F)/\mathcal{R}_n(M)) \quad \text{for } n \in \mathbb{N}.$$

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In [3], it is proved that  $BF(n)$  is given by a polynomial of degree  $d + r - 1$  for  $n \gg 0$ , i.e., there exists a polynomial  $BP(x) \in \mathbb{Q}[x]$  such that  $BF(n) = BP(n)$  for  $n \gg 0$ . The function  $BF(n)$  is called the Buchsbaum-Rim function of  $M$  with respect to  $F$  and the polynomial  $BP(n)$  is called the *corresponding Buchsbaum-Rim polynomial*. Following the notation used for the Hilbert-Samuel polynomial, the Buchsbaum-Rim polynomial is written as:

$$BP_M(n) = \sum_{i=0}^{d+r-1} (-1)^i \text{br}_i(M) \binom{n+d+r-i-2}{d+r-i-1}.$$

The coefficients  $\text{br}_i(M)$  for  $i = 0, \dots, d+r-1$ , are known as *Buchsbaum-Rim coefficients*.

When  $r = 1$ , set  $M = I$ , an  $\mathfrak{m}$ -primary ideal in  $R$ . In this case, the Buchsbaum-Rim polynomial coincides with the usual Hilbert-Samuel polynomial, and its coefficients will be denoted by  $e_i(I)$ , called the *Hilbert-Samuel coefficients*. While the Hilbert-Samuel coefficients are very well-studied objects and the relationship of their properties with the properties of the ideal and the corresponding blowup algebras are well known, there is a dearth of results in this direction on Buchsbaum-Rim coefficients. Northcott proved the following.

**Theorem 1.1** ([13, Theorems 1, 3]). *Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension  $d > 0$  with infinite residue field, and let  $I$  be an  $\mathfrak{m}$ -primary ideal. Then:*

- (i)  $e_0(I) - e_1(I) \leq \ell(R/I)$ .
- (ii)  $e_1(I) \geq 0$ , and the equality holds if and only if  $I$  is generated by  $d$  elements, i.e.,  $I$  is a parameter ideal.

Huneke and Ooishi independently studied the equality in Theorem 1.1 (i):

**Theorem 1.2** ([6, 14]). *Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension  $d > 0$ , and let  $I$  be an  $\mathfrak{m}$ -primary ideal of  $R$ . Then  $e_0(I) - e_1(I) = \ell(R/I)$  if and only if there exists a minimal reduction  $J \subset I$  such that  $I^2 = JI$ .*

In [2], Brennan, Ulrich and Vasconcelos proved that Theorem 1.1 (ii) generalizes to the Buchsbaum-Rim coefficient. If  $(R, \mathfrak{m})$  is a Cohen-Macaulay ring, then  $\text{br}_1(M)$  is non-negative and  $\text{br}_1(M)$  vanishes if and only if  $M$  is a parameter module. In [5], Hayasaka and Hyry studied the Buchsbaum-Rim function of a parameter module  $N$  over a Noetherian local ring, and they proved that  $\text{br}_1(N) \leq 0$  and equality holds if and only if the ring is Cohen-Macaulay.

Motivated by Theorems 1.1 and 1.2, we ask the following.

**Question 1.3.** *Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension  $d > 0$ ,  $F$  a free module of rank  $r$  and  $M$  a submodule such that  $\ell(F/M) < \infty$ . Then is the inequality  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$  true? Is it true that the equality holds if and only if the reduction number of  $M$  with respect to a minimal reduction is at most one?*

In this article, we prove inequality in the case  $\dim R = 2$  and show that the module having reduction number 1 is a sufficient condition for equality. We now give a short description of the paper.

In Section 2, we begin with an example to show that the Northcott type inequality does not hold true for Buchsbaum-Rim coefficients if  $\dim R = 1$ . We then consider the cases  $\dim R = d \geq 2$  and  $M = I_1 \oplus \cdots \oplus I_r \subset R^r$ , where  $I_i$ 's are  $\mathfrak{m}$ -primary ideals in  $R$ . When the Rees algebra  $\mathcal{R}(M)$  is Cohen-Macaulay, we obtain an expression for the Buchsbaum-Rim coefficients  $\text{br}_0(M)$  and  $\text{br}_1(M)$  in terms of the mixed multiplicities of the ideals  $I_1, \dots, I_r$  and derive that, if  $d = 2$  and  $r = 2$ , we have the equality  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$ . We also prove that if  $\dim R = 2$  and  $M$  is an  $R$ -submodule of  $F = R^r$  with reduction number of  $M$  being one, then  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$ .

In Section 3, we define an analogue of the Sally module of a module with respect to a reduction. We obtain an expression for the Hilbert polynomial of the Sally module using the Buchsbaum-Rim coefficients and derive the inequality  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$  when  $\dim R = 2$ . We also prove that if  $\text{red}(M) = 1$ , then the equality holds, Theorem 3.3.

In Section 4, we study the problem for modules which are direct sums of several copies of an  $\mathfrak{m}$ -primary ideal. Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension  $d \geq 2$  and  $I$  an  $\mathfrak{m}$ -primary ideal.

Let

$$M = I \oplus \cdots \oplus I \quad (r\text{-times, } r \geq 1).$$

Then  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$ , Theorem 4.1. We also prove that, in dimension 2, the equality holds if and only if  $\text{red}(M) = 1$ , Corollary 4.3. In addition, we compute some examples to illustrate the Northcott inequality.

**2. Reduction number one.** In this section, we obtain certain sufficient conditions for the equality  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$ . We begin by recalling some basic terminology which is essential for studying the Buchsbaum-Rim polynomial. Let  $M \subseteq F = R^r$  be such that  $\ell(F/M) < \infty$ . Let  $N$  be a submodule of  $M$ . We say that  $N$  is a reduction of  $M$  if the Rees algebra  $\mathcal{R}(M)$  is integral over the  $R$ -subalgebra  $\mathcal{R}(N)$ . Equivalently, this condition is expressed as

$$\mathcal{R}_{n+1}(M) = N\mathcal{R}_n(M) \quad \text{for } n \gg 0,$$

where the multiplication is done as  $R$ -submodules of  $\mathcal{R}(M)$ . The least integer  $s$  such that  $\mathcal{R}_{s+1}(M) = N\mathcal{R}_s(M)$  is called the *reduction number* of  $M$  with respect to  $N$ , and denoted as  $\text{red}_N(M)$ . The reduction number of the module  $M$ , denoted  $\text{red}(M)$ , is defined as

$$\text{red}(M) = \min\{\text{red}_N(M) : N \text{ is a minimal reduction of } M\}.$$

If  $N$  is a submodule of  $F$  generated by  $d + r - 1$  elements such that  $\ell(F/N) < \infty$ , then  $N$  is said to be a *parameter module*. It was proved [2] that, if  $\ell(F/M) < \infty$ , then there exists a minimal reduction generated by  $d + r - 1$  elements. For more details on minimal reductions we refer the reader to [7, 17].

In the following example, we show that, for one dimensional Cohen-Macaulay local rings, the Northcott type inequality does not hold for Buchsbaum-Rim coefficients.

**Example 2.1.** Let  $R = k[[X, Y]]/(X^2)$  and  $I = (x, y)$ , where  $x = \overline{X}$  and  $y = \overline{Y}$ , and  $k$  is a field. Then  $R$  is a one-dimensional Cohen-Macaulay local ring. It can be seen that

$$\ell(R/I^n) = \ell(k[[X, Y]]/(X^2, (X, Y)^n)) = 2n - 1.$$

Therefore,  $e_0 = 2$  and  $e_1 = 1$ .

Let  $F = R \oplus R$  and  $M = I \oplus I$ . Then it follows from [15, Theorem 2.5.2] that the Buchsbaum-Rim polynomial of  $M$  is given by:

$$\begin{aligned} BP(n) &= [e_0n - e_1] \binom{n+1}{1} \\ &= 2e_0 \binom{n+1}{2} - e_1 \binom{n}{1} - e_1 \\ &= 4 \binom{n+1}{2} - \binom{n}{1} - 1. \end{aligned}$$

Hence, we have  $\text{br}_0(M) = 4$  and  $\text{br}_1(M) = 1$ . Therefore,

$$\text{br}_0 - \text{br}_1 = 3 > 2 = \ell(F/M).$$

Now we study the Buchsbaum-Rim polynomial of a special class of modules, namely, a direct sum of  $\mathfrak{m}$ -primary ideals in a Cohen-Macaulay local ring. Let  $(R, \mathfrak{m})$  be a  $d$ -dimensional Noetherian local ring and  $\mathbf{I} = I_1, \dots, I_r$  a sequence of  $\mathfrak{m}$ -primary ideals. For  $\underline{u} = (u_1, \dots, u_r) \in \mathbb{N}^r$ , let  $\mathbf{I}^{\underline{u}} = I_1^{u_1} \cdots I_r^{u_r}$ . Then  $\ell(R/\mathbf{I}^{\underline{u}})$  is given by a polynomial  $P(\underline{u})$  in  $r$  variables of total degree  $d$  for  $u_i \gg 0$  for each  $i$  [1]. Write the Bhattacharya polynomial of  $\mathbf{I}$  as

$$P_{\mathbf{I}}(\underline{u}) = \sum_{\substack{\alpha \in \mathbb{N}^r \\ |\alpha| \leq d}} e_{\alpha}(\mathbf{I}) \binom{u_1}{\alpha_1} \cdots \binom{u_r}{\alpha_r}.$$

Here,  $e_{\alpha}(\mathbf{I})$  with  $|\alpha| = d$  are known as the mixed multiplicities of  $I_1, \dots, I_r$ .

For  $i = 0, \dots, d$ , set  $E_i = \sum_{\alpha \in \mathbb{N}^r, |\alpha|=i} e_{\alpha}(\mathbf{I})$ . Below, we obtain an expression for the Buchsbaum-Rim multiplicity and the first Buchsbaum-Rim coefficient in terms of the Bhattacharya coefficients.

**Proposition 2.2.** *Let  $(R, \mathfrak{m})$  be the  $d$ -dimensional Cohen-Macaulay local ring,  $I_1, \dots, I_r$  the  $\mathfrak{m}$ -primary ideals and  $M = I_1 \oplus \cdots \oplus I_r \subset R^r$ . If*

$$\ell(R/\mathbf{I}^{\underline{u}}) = P_{\mathbf{I}}(\underline{u}) \quad \text{for all } \underline{u} \in \mathbb{N}^r,$$

*then  $\text{br}_0(M) = E_d$  and  $\text{br}_1(M) = (d - 1)E_d - E_{d-1}$ .*

*Proof.* Let  $BP(n)$  denote the Buchsbaum-Rim polynomial corresponding to the function  $BF(n) = \ell(\mathcal{S}_n(F)/\mathcal{R}_n(M))$ . First note that

$$\mathcal{S}(F) \cong R[t_1, \dots, t_r] \quad \text{and} \quad \mathcal{R}(M) \cong R[I_1 t_1, \dots, I_r t_r],$$

where  $t_1, \dots, t_r$  are indeterminates over  $R$ . Then

$$BF(n) = \sum_{\substack{\underline{u} \in \mathbb{N}^r \\ |\underline{u}|=n}} \ell(R/\mathbf{I}^{\underline{u}}).$$

Hence, for all  $n \in \mathbb{N}$ , we have

$$\begin{aligned} BP(n) &= BF(n) = \sum_{\substack{\underline{u} \in \mathbb{N}^r \\ |\underline{u}|=n}} P_{\mathbf{I}}(\underline{u}) \\ &= \sum_{\substack{\underline{u} \in \mathbb{N}^r \\ |\underline{u}|=n}} \sum_{\substack{\underline{\alpha} \in \mathbb{N}^r \\ |\underline{\alpha}| \leq d}} e_{\underline{\alpha}}(\mathbf{I}) \binom{u_1}{\alpha_1} \cdots \binom{u_r}{\alpha_r} \\ &= \sum_{\substack{\underline{\alpha} \in \mathbb{N}^r \\ |\underline{\alpha}| \leq d}} e_{\underline{\alpha}}(\mathbf{I}) \sum_{\substack{\underline{u} \in \mathbb{N}^r \\ |\underline{u}|=n}} \binom{u_1}{\alpha_1} \cdots \binom{u_r}{\alpha_r} \\ &= \sum_{\substack{\underline{\alpha} \in \mathbb{N}^r \\ |\underline{\alpha}| \leq d}} e_{\underline{\alpha}}(\mathbf{I}) \binom{n+r-1}{|\underline{\alpha}|+r-1} \\ &= E_d \binom{n+r-1}{d+r-1} + E_{d-1} \binom{n+r-1}{d+r-2} + \cdots \end{aligned}$$

By using Pascal's identity repeatedly, we observe that

$$\begin{aligned} \binom{n+r-1}{d+r-1} &= \binom{n+d+r-2}{d+r-1} \\ &\quad - \left[ \binom{n+d+r-3}{d+r-2} + \cdots + \binom{n+r-1}{d+r-2} \right]. \end{aligned}$$

Hence,

$$BP(n) = E_d \binom{n+d+r-2}{d+r-1} + [E_{d-1} - (d-1)E_d] \binom{n+d+r-3}{d+r-2} + \cdots .$$

It follows that  $\text{br}_0(M) = E_d$  and  $\text{br}_1(M) = (d-1)E_d - E_{d-1}$ . □

Note that, if  $\mathcal{R}(M)$  is Cohen-Macaulay, then by [9, Theorem 6.1],  $\ell(R/\mathbf{I}^{\underline{u}}) = P_{\mathbf{I}}(\underline{u})$  for all  $\underline{u} \in \mathbb{N}^r$ , and hence,  $BF(n) = BP(n)$  for all

$n \geq 0$ . As a consequence, we obtain the equality  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$ :

**Corollary 2.3.** *Let  $(R, \mathfrak{m})$  be a two dimensional Cohen-Macaulay local ring with infinite residue field. Let  $I$  and  $J$  be  $\mathfrak{m}$ -primary ideals in  $R$  and  $M = I \oplus J \subset R \oplus R$ . If  $\mathcal{R}(M)$  is Cohen-Macaulay, then  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$ .*

*Proof.* By applying Proposition 2.2 with  $d = 2$  and  $r = 2$ , we get  $\text{br}_0(M) - \text{br}_1(M) = E_2 - (E_2 - E_1) = E_1 = e_{10} + e_{01}$ . Since  $\mathcal{R}(M)$  is Cohen-Macaulay, it follows from [10, Theorem 6.3] that  $e_{10} = \ell(R/I)$  and  $e_{01} = \ell(R/J)$ . Therefore,

$$\text{br}_0(M) - \text{br}_1(M) = \ell(R/I) + \ell(R/J) = \ell(F/M). \quad \square$$

Note that Corollary 2.3 can also be derived from Theorem 2.10. We have provided the above proof as it is independent and involves a different technique.

**Remark 2.4.** Let  $(R, \mathfrak{m})$  be a two dimensional Cohen-Macaulay local ring, let  $I_1, \dots, I_r$  be  $\mathfrak{m}$ -primary ideals, and let  $M = I_1 \oplus \dots \oplus I_r$ . Let  $\text{jr}(I_i | I_j)$  denote the joint reduction number of  $I_i$  and  $I_j$  (we refer the reader to [8, 18] for the definition and some basic results concerning joint reductions). It is proved [16, Corollary 4.5] that, if  $\text{jr}(I_i | I_j) = 0$  for any  $i, j \in \{1, \dots, r\}$ , then  $\mathcal{R}(M)$  is Cohen-Macaulay. We would like to observe here that the converse is also true. Suppose  $\mathcal{R}(M)$  is Cohen-Macaulay. Then a modification of [12, Theorem 6.1] gives that  $\mathcal{R}(I_{i_1} \oplus \dots \oplus I_{i_s})$  is Cohen-Macaulay for any  $\{i_1, \dots, i_s\} \subset \{1, \dots, r\}$ . In particular,  $\mathcal{R}(I_i)$  is Cohen-Macaulay for each  $i = 1, \dots, r$  and  $\mathcal{R}(I_i \oplus I_j)$  is Cohen-Macaulay for  $\{i, j\} \subset \{1, \dots, r\}$ . This implies that  $\text{jr}(I_i | I_j) = 0$  for any  $1 \leq i, j \leq r$ .

In the following example, we compute the Buchsbaum-Rim coefficients.

**Example 2.5.** Let  $R = k[[X, Y]]$ ,  $I = \mathfrak{m} = (X, Y)$  and  $J = (X^2, Y)$ . Then  $\text{red}(I) = \text{red}(J) = 0$ . Also,  $(Y)I + (X)J = IJ$  implying  $\text{jr}(I | J) = 0$  so that the Rees algebra  $R(I, J) \cong \mathcal{R}(I \oplus J)$  is Cohen-Macaulay [10, Theorem 6.3]. Set  $F = R \oplus R$  and  $M = I \oplus J$ . Therefore,

we have  $BF(n) = BP(n)$  for all  $n$ . Using any of the computational commutative algebra packages, it can be seen that

$$\begin{aligned}\ell(\mathcal{S}_1(F)/\mathcal{R}_1(M)) &= 3, \\ \ell(\mathcal{S}_2(F)/\mathcal{R}_2(M)) &= 13, \\ \ell(\mathcal{S}_3(F)/\mathcal{R}_3(M)) &= 34, \\ \ell(\mathcal{S}_4(F)/\mathcal{R}_4(M)) &= 70.\end{aligned}$$

In turn, we get the Buchsbaum-Rim polynomial as

$$BP(n) = 4 \binom{n+2}{3} - 1 \binom{n+1}{2}.$$

Hence,  $\text{br}_0(M) - \text{br}_1(M) = 4 - 1 = 3 = \ell(F/M)$ .

Katz and Kodiyalam studied the Cohen-Macaulayness of the Rees algebra of modules over two-dimensional regular local rings. They proved:

**Theorem 2.6** ([11, Corollary 4.2]). *Let  $(R, \mathfrak{m})$  be a two-dimensional regular local ring, and let  $M$  be a finitely generated torsion free  $R$ -module. Then the following are equivalent:*

- (i)  $NM = \mathcal{R}_2(M)$  for every minimal reduction  $N \subset M$ ;
- (ii) The Rees algebra  $\mathcal{R}(M)$  is Cohen-Macaulay;
- (iii)  $\ell(\mathcal{S}_{n+1}(F)/\mathcal{R}_{n+1}(M)) = \text{br}_0(M) \binom{n+r+1}{r+1} - \ell(M/N) \binom{n+r}{r}$  for all  $n \geq 0$  and every minimal reduction  $N \subset M$ .

Since  $N$  is a parameter module and a minimal reduction of  $M$ ,  $\text{br}_0(M) = \text{br}_0(N) = \ell(F/N)$ , [2, Theorem 3.1]. Hence, in this case,  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/N) - \ell(M/N) = \ell(F/M)$ . Simis, Ulrich and Vasconcelos proved that, if  $(R, \mathfrak{m})$  is a two dimensional Cohen-Macaulay local ring and  $M \subset F = R^r$  is a module with  $\ell(F/M) < \infty$ , then  $\mathcal{R}(M)$  is Cohen-Macaulay if and only if  $\text{red}(M) \leq 1$  [16, Proposition 4.4]. By adopting the proof of Katz and Kodiyalam, we prove (i) implies (iii) of Theorem 2.6 in the case of two-dimensional Cohen-Macaulay rings. Although the proof works along the same lines, the two isomorphisms used in the proof are justified by a result of Hayasaka and Hyry. We recall the result from [4]. For an  $R$ -module  $M$ ,

let  $\widetilde{M}$  denote the matrix whose columns correspond to the generators of  $M$  with respect to a fixed basis of  $F$ . The matrix  $\widetilde{M}$  is said to be perfect if the 0th fitting ideal of  $M$  is a proper ideal with maximal grade.

**Theorem 2.7** ([4, Theorem 4.4]). *Let  $R$  be a Noetherian ring and  $F$  an  $R$ -free module of rank  $r > 0$ . Let  $M$  be a submodule of  $F$  such that  $\widetilde{M}$  is a perfect matrix of size  $r \times (r + 1)$ . Then the natural surjective homomorphism*

$$\phi_1 : (F/M)[Y_1, \dots, Y_{r+1}] \longrightarrow G_1(M)$$

is an isomorphism, where  $G_1(M) = F\mathcal{R}(M)/\mathcal{R}(M)^+$ .

*In particular, the  $R$ -module  $F\mathcal{R}_n(M)/\mathcal{R}_{n+1}(M)$  is a direct sum of  $\binom{n+r}{r}$  copies of  $F/M$ .*

**Remark 2.8.** It is known that, if  $M$  is a parameter module, then the matrix  $\widetilde{M}$  is perfect [4]. So in particular, when the ring  $R$  is a two dimensional Cohen-Macaulay local ring and  $M$  is a parameter module, Theorem 2.7 is true [4, Corollary 4.5].

**Lemma 2.9.** *Let  $(R, \mathfrak{m})$  be a two dimensional Cohen-Macaulay local ring with infinite residue field and  $M \subset F = R^r$  a finitely generated  $R$ -module with  $\ell(F/M) < \infty$ . Let  $N \subset M$  be a minimal reduction generated by  $\{c_1, \dots, c_{r+1}\}$ . If*

$$k = \binom{n+r}{r} \quad \text{and} \quad \phi : F^k \longrightarrow F\mathcal{R}_n(N)$$

is the surjective  $R$ -module homomorphism defined by

$$\phi(f_1, \dots, f_k) = \sum_{\substack{i=1 \\ i_1 + \dots + i_{r+1} = n}}^k f_i c_1^{i_1} c_2^{i_2} \dots c_{r+1}^{i_{r+1}},$$

then the corresponding induced maps

$$\phi_1 : \left(\frac{F}{N}\right)^k \longrightarrow \frac{F\mathcal{R}_n(N)}{\mathcal{R}_{n+1}(N)} \quad \text{and} \quad \phi_2 : \left(\frac{F}{M}\right)^k \longrightarrow \frac{F\mathcal{R}_n(N)}{M\mathcal{R}_n(N)}$$

are isomorphisms.

*Proof.* It follows from Remark 2.8 that  $\phi_1$  is an isomorphism. Surjectivity of  $\phi_2$  is clear. For an element  $f \in F$ , let  $\bar{f}$  denote its image in  $F/M$  and  $\tilde{f}$  its image in  $F/N$ . Suppose  $\phi_2(\bar{f}_1, \dots, \bar{f}_k) = 0$ . This implies

$$\sum_{\substack{i_1 + \dots + i_{r+1} = n \\ i=1}}^k f_i c_1^{i_1} c_2^{i_2} \dots c_{r+1}^{i_{r+1}} = \sum_{\substack{i_1 + \dots + i_{r+1} = n \\ i=1}}^k g_i c_1^{i_1} c_2^{i_2} \dots c_{r+1}^{i_{r+1}}$$

for some  $g_i \in M$ . This implies that  $\phi_1(\widetilde{f_1 - g_1}, \dots, \widetilde{f_k - g_k}) = 0$ . Since  $\phi_1$  is injective, it follows that  $f_i - g_i \in N \subset M$  for all  $i = 1, \dots, k$ . Hence,  $f_i \in M$  for  $i = 1, \dots, k$ . □

Now we prove (i) implies (iii) in Theorem 2.6 for two dimensional Cohen-Macaulay rings.

**Theorem 2.10.** *Let  $(R, \mathfrak{m})$  be a two dimensional Cohen-Macaulay local ring with infinite residue field and  $M \subset F = R^r$  a finitely generated  $R$ -module with  $\ell(F/M) < \infty$ . If  $\text{red}_N(M) = 1$  for a minimal reduction  $N \subset M$ , then, for all  $n \geq 0$ ,*

$$\ell(\mathcal{S}_{n+1}(F)/\mathcal{R}_{n+1}(M)) = \ell(F/N) \binom{n+r+1}{r+1} - \ell(M/N) \binom{n+r}{r}.$$

*In particular, if for any minimal reduction  $N$  of  $M$   $\text{red}_N(M) = 1$ , then  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$  and  $\text{br}_i(M) = 0$  for all  $i = 2, \dots, r+1$ .*

*Proof.* Since  $\text{red}_N(M)$  is one, we have  $\mathcal{R}_2(M) = N\mathcal{R}_1(M)$ . This implies  $\mathcal{R}_{n+1}(M) = N\mathcal{R}_n(M)$  for all  $n \geq 1$ . By induction, one can see that  $\mathcal{R}_{n+1}(M) = M\mathcal{R}_n(N)$  for all  $n \geq 0$ . Consider the following short exact sequences of  $R$ -modules with natural maps

$$\begin{aligned} 0 \longrightarrow \frac{\mathcal{S}_1(F)\mathcal{R}_n(N)}{\mathcal{R}_1(M)\mathcal{R}_n(N)} &\longrightarrow \frac{\mathcal{S}_{n+1}(F)}{\mathcal{R}_{n+1}(M)} \longrightarrow \frac{\mathcal{S}_{n+1}(F)}{\mathcal{S}_1(F)\mathcal{R}_n(N)} \longrightarrow 0, \\ 0 \longrightarrow \frac{\mathcal{S}_1(F)\mathcal{R}_n(N)}{\mathcal{R}_{n+1}(N)} &\longrightarrow \frac{\mathcal{S}_{n+1}(F)}{\mathcal{R}_{n+1}(N)} \longrightarrow \frac{\mathcal{S}_{n+1}(F)}{\mathcal{S}_1(F)\mathcal{R}_n(N)} \longrightarrow 0. \end{aligned}$$

By additivity of the length function on the short exact sequences, we get

$$\ell\left(\frac{\mathcal{S}_{n+1}(F)}{\mathcal{R}_{n+1}(M)}\right) = \ell\left(\frac{\mathcal{S}_{n+1}(F)}{\mathcal{R}_{n+1}(N)}\right) + \ell\left(\frac{\mathcal{S}_1(F)\mathcal{R}_n(N)}{\mathcal{R}_1(M)\mathcal{R}_n(N)}\right) - \ell\left(\frac{\mathcal{S}_1(F)\mathcal{R}_n(N)}{\mathcal{R}_{n+1}(N)}\right).$$

Let  $k = \binom{n+r}{r}$ . By Lemma 2.9,

$$\left(\frac{F}{M}\right)^k \cong \frac{F\mathcal{R}_n(N)}{M\mathcal{R}_n(N)} \quad \text{and} \quad \left(\frac{F}{N}\right)^k \cong \frac{F\mathcal{R}_n(N)}{\mathcal{R}_{n+1}(N)}.$$

Hence,

$$\ell\left(\frac{F\mathcal{R}_n(N)}{M\mathcal{R}_n(N)}\right) = \ell(F/M) \binom{n+r}{r}$$

and

$$\ell\left(\frac{F\mathcal{R}_n(N)}{\mathcal{R}_{n+1}(N)}\right) = \ell(F/N) \binom{n+r}{r}.$$

Since  $N$  is a parameter module, by [2, Theorem 3.4],

$$\ell(\mathcal{S}_{n+1}(F)/\mathcal{R}_{n+1}(N)) = \text{br}_0(N) \binom{n+r+1}{r+1} = \text{br}_0(M) \binom{n+r+1}{r+1}.$$

Therefore,

$$\begin{aligned} \ell\left(\frac{\mathcal{S}_{n+1}(F)}{\mathcal{R}_{n+1}(M)}\right) &= \text{br}_0(M) \binom{n+r+1}{r+1} \\ &\quad + [\ell(F/M) - \ell(F/N)] \binom{n+r}{r} \\ &= \text{br}_0(M) \binom{n+r+1}{r+1} - \ell(M/N) \binom{n+r}{r} \\ &= \ell(F/N) \binom{n+r+1}{r+1} - \ell(M/N) \binom{n+r}{r}. \end{aligned}$$

The second assertion now follows from the above equality. □

The main hurdle in proving a  $d$ -dimensional version of Theorem 2.10 is in generalizing Theorem 2.7, which is not known for modules  $M$  with  $\widetilde{M}$  being a perfect matrix of size  $r \times (d+r-1)$ , where  $d = \dim R$ .

**3. Main result.** In this section, we prove an analogue of the Northcott inequality for submodules of free modules over two dimensional Cohen-Macaulay rings, which have finite co-length. Vasconcelos introduced the notion of Sally modules  $S_J(I)$ , where  $I$  is an ideal with a reduction  $J$ , to study the interplay between the depth properties of

blowup algebras and the properties of the Hilbert-Samuel coefficients. The Sally module  $S_J(I)$  of  $I$  with respect to  $J$  is the  $\mathcal{R}(J)$ -module defined by the following short exact sequence

$$0 \longrightarrow I\mathcal{R}(J) \longrightarrow I\mathcal{R}(I) \longrightarrow S_J(I) := \bigoplus_{n \geq 0} I^{n+1}/IJ^n \longrightarrow 0.$$

We refer the reader to [17] for basic properties of Sally modules. This definition can be extended to inclusion of graded algebras, [17]. As we have  $\bigoplus_n \mathcal{R}_n(N) \subseteq \bigoplus_n \mathcal{R}_n(M)$  for any reduction  $N$  of  $M$ , we define the Sally module in an analogous manner:

**Definition 3.1.** Let  $(R, \mathfrak{m})$  be a Noetherian local ring and  $M \subset F = R^r$  a finitely generated  $R$ -module. Let  $N \subset M$  be a  $R$ -submodule. Then the Sally module of  $M$  with respect to  $N$  is defined as  $S_N(M) := \bigoplus_{n \geq 1} \mathcal{R}_{n+1}(M)/M\mathcal{R}_n(N)$ .

We note that  $S_N(M)$  is zero if and only if  $\text{red}_N(M)$  is at most one. Note also that  $\mathcal{R}(N)$  is a finitely generated standard graded algebra over  $R$  and  $S_N(M)$  is a finitely generated module over  $\mathcal{R}(N)$ . Suppose  $M \subset F = R^r$  is such that  $\ell(F/M) < \infty$  and  $N$  is a minimal reduction of  $M$ . Then the Hilbert function theory for graded modules says that the Hilbert function,  $H(n) = \ell_R \mathcal{R}_{n+1}(M)/M\mathcal{R}_n(N)$ , is given by a polynomial for  $n \gg 0$  of degree equal to the dimension of  $S_N(M)$ . Since  $\mathfrak{m}\mathcal{R}(N) \subset \mathfrak{p}$  for all  $\mathfrak{p} \in \text{Ass}(S_N(M))$ , it follows that  $\dim S_N(M) \leq d + r - 1$ . In the following theorem, we relate the Hilbert function of  $S_N(M)$  and the Buchsbaum-Rim function of module  $M$  in the two dimensional Cohen-Macaulay ring. As a consequence, we obtain the Northcott inequality. The proof is analogous to the corresponding results in [17, subsection 2.1.2].

**Theorem 3.2.** Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension 2 with infinite residue field and  $M \subseteq F = R^r$  with  $\ell(F/M) < \infty$ . Let the Buchsbaum-Rim polynomial corresponding to the Buchsbaum-Rim function  $BF(n) = \ell(S_n(F)/\mathcal{R}_n(M))$  be given by

$$BP(n) = \text{br}_0(M) \binom{n+r}{r+1} - \text{br}_1(M) \binom{n+r-1}{r} + \dots + (-1)^{r+1} \text{br}_{r+1}(M).$$

Suppose  $N \subseteq M$  is a minimal reduction and  $S = S_N(M)$  is the corresponding Sally module. Then for all  $n \geq 0$ ,

$$BF(n) = \text{br}_0(M) \binom{n+r}{r+1} + [\ell(F/M) - \text{br}_0(M)] \binom{n+r-1}{r} - \ell(S_{n-1}).$$

*Proof.* Consider the following two short exact sequences of  $R$ -modules:

$$\begin{aligned} 0 \longrightarrow \frac{M\mathcal{R}_{n-1}(N)}{\mathcal{R}_n(N)} &\longrightarrow \frac{\mathcal{R}_n(M)}{\mathcal{R}_n(N)} \longrightarrow \frac{\mathcal{R}_n(M)}{M\mathcal{R}_{n-1}(N)} \longrightarrow 0, \\ 0 \longrightarrow \frac{M\mathcal{R}_{n-1}(N)}{\mathcal{R}_n(N)} &\longrightarrow \frac{F\mathcal{R}_{n-1}(N)}{\mathcal{R}_n(N)} \longrightarrow \frac{F\mathcal{R}_{n-1}(N)}{M\mathcal{R}_{n-1}(N)} \longrightarrow 0. \end{aligned}$$

Set  $k = \binom{n+r}{r}$ . By Lemma 2.9, it follows that

$$\ell\left(\frac{F\mathcal{R}_n(N)}{M\mathcal{R}_n(N)}\right) = \ell(F/M) \binom{n+r}{r}$$

and

$$\ell\left(\frac{F\mathcal{R}_n(N)}{\mathcal{R}_{n+1}(N)}\right) = \ell(F/N) \binom{n+r}{r}.$$

Therefore, we have

$$\begin{aligned} BF(n) &= \ell\left(\frac{S_n(F)}{\mathcal{R}_n(M)}\right) = \ell\left(\frac{S_n(F)}{\mathcal{R}_n(N)}\right) - \ell\left(\frac{\mathcal{R}_n(M)}{\mathcal{R}_n(N)}\right) \\ &= \ell\left(\frac{S_n(F)}{\mathcal{R}_n(N)}\right) + \ell\left(\frac{F\mathcal{R}_{n-1}(N)}{M\mathcal{R}_{n-1}(N)}\right) \\ &\quad - \ell\left(\frac{F\mathcal{R}_{n-1}(N)}{\mathcal{R}_n(N)}\right) - \ell\left(\frac{\mathcal{R}_n(M)}{M\mathcal{R}_{n-1}(N)}\right) \\ &= \text{br}_0(N) \binom{n+r}{r+1} + \ell\left(\frac{F}{M}\right) \binom{n+r-1}{r} \\ &\quad - \ell\left(\frac{F}{N}\right) \binom{n+r-1}{r} - \ell\left(\frac{\mathcal{R}_n(M)}{M\mathcal{R}_{n-1}(N)}\right) \\ &= \text{br}_0(M) \binom{n+r}{r+1} + [\ell(F/M) - \text{br}_0(M)] \binom{n+r-1}{r} - \ell(S_{n-1}). \quad \square \end{aligned}$$

We now derive the Northcott type inequality for the Buchsbaum-Rim coefficients in two dimensional Cohen-Macaulay local rings.

**Theorem 3.3.** *Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension 2 and  $M \subset F = R^r$  be such that  $\ell(F/M) < \infty$ . Then  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$ . If the reduction number of  $M$  is at most 1, then the equality holds.*

*Proof.* Let  $BP(n)$  denote the Buchsbaum-Rim polynomial of  $M$ . Then, by Theorem 3.2 for  $n \gg 0$ , we get

$$\begin{aligned} \ell(S_{n-1}) &= \text{br}_0(M) \binom{n+r}{r+1} \\ &\quad + [\ell(F/M) - \text{br}_0(M)] \binom{n+r-1}{r} - BP(n) \\ &= [\ell(F/M) - \text{br}_0(M) + \text{br}_1(M)] \binom{n+r-1}{r} \\ &\quad - \text{br}_2(M) \binom{n+r-2}{r-1} + \cdots + (-1)^r \text{br}_{r+1}. \end{aligned}$$

This implies  $\ell(F/M) - \text{br}_0(M) + \text{br}_1(M)$  is non-negative, i.e.,  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$ .

If, for a minimal reduction  $N$  of  $M$ ,  $\text{red}_N(M) \leq 1$ , then  $S_N(M) = 0$ , and consequently,  $\ell(F/M) - \text{br}_0(M) + \text{br}_1(M) = 0$ , i.e.,  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$ . □

**4. Direct sum of ideals.** In this section, we consider the modules  $M$  which are direct sums of several copies of an  $\mathfrak{m}$ -primary ideal  $I$ . We explicitly compute  $\text{br}_0(M)$  and  $\text{br}_1(M)$  in terms of  $e_0(I)$  and  $e_1(I)$ . As a consequence, we prove the Northcott inequality in this case. We also prove that, in dimension 2, the Northcott equality holds if and only if the reduction number is at most 1.

**Theorem 4.1.** *Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension  $d \geq 2$ , and let  $I$  be an  $\mathfrak{m}$ -primary ideal. For  $r \in \mathbb{N}$ , set  $F = R^r$  and  $M = I \oplus \cdots \oplus I$  ( $r$  times). Then  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$ .*

*Proof.* Let

$$P_I(n) = \sum_{i=0}^d e_i \binom{n+d-i-1}{d-i}$$

be the Hilbert-Samuel polynomial of  $I$ . Then, by [15, Theorem 2.5.2], the Buchsbaum-Rim polynomial is given by:

$$\begin{aligned} BP(n) &= P_I(n) \binom{n+r-1}{r-1} \\ &= \left[ e_0 \binom{n+d-1}{d} - e_1 \binom{n+d-2}{d-1} + \dots \right] \binom{n+r-1}{r-1} \\ &= e_0 \frac{(d+r-1)!}{d!(r-1)!} \binom{n+d+r-2}{d+r-1} \\ &\quad - \left[ e_0(d-1) \frac{(d+r-2)!}{d!(r-2)!} + e_1 \frac{(d+r-2)!}{(d-1)!(r-1)!} \right] \\ &\quad \times \binom{n+d+r-3}{d+r-2} + \dots \end{aligned}$$

Therefore,  $\text{br}_0(M) = e_0 \binom{d+r-1}{r-1}$  and

$$\text{br}_1(M) = e_0(d-1) \binom{d+r-2}{r-2} + e_1 \binom{d+r-2}{r-1}.$$

We now split the proof into two cases.

*Case 1:*  $d = 2$ . In this case, we have  $\text{br}_0(M) = e_0 \binom{r+1}{2}$  and  $\text{br}_1(M) = e_0 \binom{r}{2} + e_1 r$ . Hence,

$$\text{br}_0(M) - \text{br}_1(M) = e_0 r - e_1 r \leq r\ell(R/I) = \ell(F/M).$$

*Case 2:*  $d \geq 3$ . Let  $r = 2$ . We then have  $\text{br}_0(M) = e_0(d+1)$  and  $\text{br}_1(M) = e_0(d-1) + e_1 d$ . Therefore,

$$\text{br}_0(M) - \text{br}_1(M) = 2e_0 - de_1 = 2(e_0 - e_1) - (d-2)e_1 \leq 2\ell(R/I) = \ell(F/M).$$

Note that, in this case,  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$  if and only if  $e_1 = 0$  if and only if  $I$  is a parameter ideal.

Now let  $r \geq 3$ . We then have

$$\begin{aligned} (4.1) \quad \text{br}_0(M) - \text{br}_1(M) - \ell(F/M) &= e_0 \left[ \binom{d+r-1}{r-1} - (d-1) \binom{d+r-2}{r-2} \right] \\ &\quad - e_1 \binom{d+r-2}{r-1} - r\ell(R/I). \end{aligned}$$

If  $d = 3$  and  $r = 3$ , then the above expression becomes

$$10e_0 - 8e_0 - 6e_1 - 3\ell(R/I) = 2(e_0 - e_1) - 4e_1 - 3\ell(R/I) \leq -4e_1 - \ell(R/I) \leq 0.$$

Since  $(R, \mathfrak{m})$  is Cohen-Macaulay,  $e_1 \geq 0$ . Therefore, to prove the Northcott inequality, it is enough to show that

$$(4.2) \quad \left[ \binom{d+r-1}{r-1} - (d-1) \binom{d+r-2}{r-2} \right] e_0 - r\ell(R/I) \leq 0.$$

Considering the coefficient of  $e_0$  in the above expression, we obtain

$$\begin{aligned} \binom{d+r-1}{r-1} - (d-1) \binom{d+r-2}{r-2} &= \binom{d+r-2}{r-2} \left[ \frac{d+r-1}{r-1} - (d-1) \right] \\ &= \binom{d+r-2}{r-2} \left[ 2 - \frac{r-2}{r-1} d \right]. \end{aligned}$$

It is a simple verification to see that this expression is non-positive, and hence (4.2) holds, for  $d = 3; r \geq 4$  and  $d \geq 4; r \geq 3$ . □

Below, we show that the direct sum of parameter ideal, in rank 2, has reduction number one.

**Proposition 4.2.** *Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension  $d \geq 2$ ,  $I = (a_1, \dots, a_d)$  a parameter ideal and  $M = I \oplus I$ . Then the submodule  $N$  of  $M$  generated by the columns of the matrix*

$$\begin{bmatrix} a_1 & a_2 & \cdots & a_d & 0 \\ 0 & a_1 & \cdots & a_{d-1} & a_d \end{bmatrix}$$

*is a minimal reduction of  $M$  with  $\text{red}_N(M) = 1$ .*

*Proof.* Using the isomorphism  $\mathcal{R}(M) \cong R[It_1, It_2]$ , we move all the computations to the bigraded Rees algebra. To prove the assertion, it is enough to show that

$$(4.3) \quad I^2t_1^2 + I^2t_1t_2 + I^2t_2^2 = (a_1t_1, a_2t_1 + a_1t_2, \dots, a_dt_1 + a_{d-1}t_2, a_dt_2)(It_1 + It_2).$$

Set

$$L = (a_1t_1, a_2t_1 + a_1t_2, \dots, a_dt_1 + a_{d-1}t_2, a_dt_2)(It_1 + It_2).$$

We show that, for any  $1 \leq i, j \leq d$ ,  $a_i a_j t_1^2, a_i a_j t_1 t_2, a_i a_j t_2^2$  belong to  $L$ . First, note that, for all  $1 \leq i, j \leq d$ , the elements  $a_1 a_j t_1^2, a_1 a_j t_1 t_2, a_i a_d t_1 t_2, a_i a_d t_2^2$  are all in  $L$ . Consider the following set of equations:

$$\begin{aligned} a_i a_j t_1^2 &= a_j t_1 (a_i t_1 + a_{i-1} t_2) - a_j a_{i-1} t_1 t_2 \\ a_j a_{i-1} t_1 t_2 &= a_j t_2 (a_{i-1} t_1 + a_{i-2} t_2) - a_j a_{i-2} t_2^2 \\ a_j a_{i-2} t_2^2 &= a_{i-2} t_2 (a_{j+1} t_1 + a_j t_2) - a_{i-2} a_{j+1} t_1 t_2 \\ a_{i-2} a_{j+1} t_1 t_2 &= a_{i-2} t_1 (a_{j+2} t_1 + a_{j+1} t_2) - a_{i-2} a_{j+2} t_1^2. \end{aligned}$$

Then  $a_i a_j t_1^2 \in L$  if and only if  $a_{i-2} a_{j+2} t_1^2 \in L$ . If  $i = 2$ , the first equation itself will yield that  $a_i a_j t_1^2 \in L$ . If  $j = d - 1$ , then the third equation will yield that  $a_i a_j t_1^2 \in L$ . If  $i > 2$  and  $j < d - 1$ , proceeding as above, one will hit an element of the form  $a_1 a_j t_1^2, a_1 a_j t_1 t_2, a_i a_d t_1 t_2$  or  $a_i a_d t_2^2$ , which will imply that  $a_i a_j t_1^2 \in L$ . Similar arguments will give us the other required inclusions. Hence,  $\text{red}_N(M) = 1$ .  $\square$

**Corollary 4.3.** *Let  $(R, \mathfrak{m})$  be a  $d$ -dimensional Cohen-Macaulay local ring,  $I$  an  $\mathfrak{m}$ -primary ideal and  $M = I \oplus \dots \oplus I$  ( $r$ -times).*

- (i) *If  $d = 2$ , then  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$  if and only if  $\text{red}(M) = 1$ .*
- (ii) *If  $d \geq 3$ ,  $r = 2$  and  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$ , then  $\text{red}(M) = 1$ .*

*Proof.*

- (i) From Case 1 in the proof of Theorem 4.1, it follows that  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$  if and only if  $e_0 - e_1 = \ell(R/I)$  if and only if  $\text{red}(I) \leq 1$  if and only if  $\text{red}(M) = 1$ , by Remark 2.4.
- (ii) From Case 2 in the proof of Theorem 4.1, it follows that  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$  if and only if  $I$  is a parameter ideal. Now, it follows from Proposition 4.2 that, if  $I$  is a parameter ideal, then  $I \oplus I$  has reduction number one.  $\square$

If the rank of  $M$  is three, then an analogue Proposition 4.2 does not hold. Let  $M = \mathfrak{m} \oplus \mathfrak{m} \oplus \mathfrak{m}$ , where  $\mathfrak{m} = (x, y, z) \subset k[[x, y, x]]$ . Then it can be seen that the submodule  $N$ , generated by the columns of the

matrix

$$\begin{bmatrix} x & y & z & 0 & 0 \\ 0 & x & y & z & 0 \\ 0 & 0 & x & y & z \end{bmatrix},$$

is a minimal reduction of  $M$  with  $\text{red}_N(M) = 2$ . The idea of getting minimal reduction of the above form comes from the work of Liu [12].

**Example 4.4.** Let  $R = k[[X, Y]]$ ,  $I = (X^3, X^2Y^4, XY^5, Y^7)$  and  $J = (X^3, Y^7)$ . Then  $R$  is a two-dimensional regular local ring and  $J$  is a minimal reduction of  $I$  with reduction number 2. It can be easily seen that

$$P_I(n) = 21 \binom{n+1}{2} - 6 \binom{n}{1} + 1.$$

Set  $F = R \oplus R$ ,  $M = I \oplus I$ . Then, again using [15, Theorem 2.5.2], we obtain  $\text{br}_0 = 63$  and  $\text{br}_1 = 33$ . Therefore,  $\text{br}_0(M) - \text{br}_1(M) = 30 < 32 = \ell(F/M)$ . Let  $N$  be the submodule generated by the columns of

$$\begin{bmatrix} X^3 & Y^7 & 0 \\ 0 & X^3 & Y^7 \end{bmatrix}.$$

Then, it can be seen that  $N$  is a minimal reduction of  $M$  with  $\text{red}_N(M) = 2$ .

As in the case of ideals the example below shows that the Cohen-Macaulayness of the Rees algebra alone need not necessarily imply that  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$  if  $\dim R \geq 3$ .

**Example 4.5.** Let  $R = k[[X, Y, Z]]$ ,  $I = (X^3, X^2Y^2, Y^3, Z^4)$  and  $M = I \oplus I$ . It can be verified that  $\mathcal{R}(M) \cong R[It_1, It_2]$  is Cohen-Macaulay. So, by [9, Theorem 6.1],  $BF(n) = BP(n)$  for all  $n \in \mathbb{N}$ . The Buchsbaum-Rim polynomial can be computed as

$$BP(n) = 144 \binom{n+3}{4} - 84 \binom{n+2}{3} + 4 \binom{n+1}{2}.$$

Therefore,  $\text{br}_0(M) - \text{br}_1(M) = 60 < 64 = \ell(F/M)$ .

We conclude the article with the following question.

**Question 4.6.** *Let  $(R, \mathfrak{m})$  be a Cohen-Macaulay local ring of dimension  $d > 2$  and  $M \subset F = R^r$  such that  $\ell(F/M) < \infty$ . Then is  $\text{br}_0(M) - \text{br}_1(M) \leq \ell(F/M)$ ? Does the equality  $\text{br}_0(M) - \text{br}_1(M) = \ell(F/M)$  hold if and only if  $\text{red}_N(M) = 1$  for some (any) minimal reduction  $N$  of  $M$ ?*

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