

On the classification of H-spaces of rank 2

By

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§1. Introduction

For a finite H -complex X , the classical Hopf theorem states that the rational cohomology $H^*(X; Q)$ is isomorphic to $\Lambda(x_1, \dots, x_l)$, the exterior algebra over Q with $\deg x_i$ odd. We call l the rank of X and $(\deg x_1, \dots, \deg x_l)$ the type of X .

In the present paper we will consider the homotopy type classification for 1-connected, finite H -complexes of rank 2. In the case $H_*(X; Z)$ has no 2-torsion, the classification has been given by Hilton-Roitberg [6] and Zabrodsky [21] as follows:

Theorem. *The complete list of homotopy types of 1-connected, 2-torsion free, finite H -complexes of rank 2 is the following: $S^3 \times S^3$, $SU(3)$, E_k ($k=0, 1, 3, 4, 5$), $S^7 \times S^7$, where E_k is the principal S^3 -bundle over S^7 with the characteristic class $k\omega \in \pi_7(BS^3) \cong Z_{12}$, ω a generator.*

Thus our object is to classify H -spaces of rank 2 with 2-torsion.

Let X be a 1-connected, finite H -complex of rank 2 such that $H^*(X; Z)$ has 2-torsion. According to J. R. Hubbuck [7], $H^*(X; Z_2) \cong H^*(G_2; Z_2)$ as Hopf algebras, where G_2 is the compact, exceptional Lie group of rank 2.

Let $f: V_{7,2} \rightarrow BS^3$ be the classifying map of G_2 , $\varphi: V_{7,2} \rightarrow V_{7,2} \vee S^{11}$ the suitable shrinking map, and a a generator of $\pi_{11}(BS^3)$ suitably

chosen. We denote by $G_{2,b}$ the principal S^3 -bundle over $V_{7,2}$ induced by the composition $(f \vee g_b) \circ \varphi: V_{7,2} \rightarrow V_{7,2} \vee S^{11} \rightarrow BS^3$, where g_b represents ba , $b \in Z$. (For details see §5).

Then our result is

Theorem 5.1. *Let X be a 1-connected, finite H -complex of rank 2 such that $H_*(X; Z)$ has 2-torsion. Then X is homotopy equivalent to $G_{2,b}$ for some b . There are just 8 homotopy types of such H -complexes: $G_{2,i}$ for $-2 \leq i \leq 5$.*

Then together with the result by Zabrodsky [21] we obtain

Main Theorem. *The complete list of homotopy types of 1-connected, finite H -complexes of rank 2 is the following: $S^3 \times S^3$, $SU(3)$, E_k ($k=0, 1, 3, 4, 5$), $S^7 \times S^7$, $G_{2,i}$ ($-2 \leq i \leq 5$).*

The paper is organized as follows. The Hubbuck's theorem is introduced in §2. In §3 we determine the mod p homotopy types of S^3 -bundles over S^{11} . Some results on homotopy, which will be needed in §5, are prepared in §4. The classification of the homotopy types of H -complexes of type $(3, 11)$ are discussed and thoroughly determined in the section 5. Further, some additional properties of $G_{2,b}$ is studied. Namely $G_{2,b}$ is homotopy equivalent to a loop space if and only if $1+8b \not\equiv 0 \pmod{3}$ and 5 (Theorem 5.8).

Throughout the paper, we use the following notations. For two complexes X and Y , $X \simeq Y$ denotes that X is homotopy equivalent to Y ; $X \underset{p}{\simeq} Y$ denotes that X is p -equivalent to Y . (The direction of a p -equivalence is irrelevant, since all complexes under consideration are H -spaces mod 0, see [11]). $X^{(n)}$ stands for the n -skeleton of X and $\pi_i(X; p)$ the p -component of $\pi_i(X)$. We denote by \mathcal{A}_p the mod p Steenrod algebra.

§2. H -spaces of rank 2 with 2-torsion

Let X be a simply connected, finite H -complex of rank 2 where $H_*(X;Z)$ has 2-torsion. Let G_2 be the compact, exceptional Lie group of rank 2.

Then the following theorem is due to J. R. Hubbuck [7].

Theorem 2.1. $H^*(X;Z_2)$ is isomorphic as a Hopf algebra to $H^*(G_2;Z_2)$.

From this theorem we deduce some facts for later use.

Theorem 2.2.

- (i) $H^*(X;Z_2) \cong H^*(G_2;Z_2)$ as \mathcal{A}_2 -algebras, in particular, $Sq^4Sq^2H^3(X;Z_2)=0$.
- (ii) $H^*(X;Z_p) \cong H^*(G_2;Z_p)$ for any odd prime p .

Proof. (i) From Theorem 2.1 we have

$$H^*(X;Z_2) \cong Z_2[x_3]/[x_3^4] \otimes \Lambda(x_5),$$

where $\deg x_i=i$.

From the relation $x_3^2=Sq^3x_3=Sq^1Sq^2x_3$ it follows that $Sq^2x_3=x_5$. Thus $H^*(X;Z_2) \cong H^*(G_2;Z_2)$ as \mathcal{A}_2 -algebras. The element $Sq^4Sq^2x_3$ is trivial, since it is primitive. (ii) By (i) X is of type (3,11). Then apparently $H^*(X;Z)$ has no p -torsions for $p>3$ by Theorem 4.7 of [3]. Assume that X has 3-torsion. Then we can easily see again by Theorem 4.7 of [3] that

$$H^*(X;Z_3) \cong \Lambda(x_3, x'_3) \otimes Z_3[x_4]/[x_4^3] \text{ with } x_4=\beta x_3.$$

Now consider an Adem relation

$$(2.1) \quad \beta \mathcal{P}^2 = \mathcal{P}^2\beta - \mathcal{P}^1\beta \mathcal{P}^1$$

and an (unstable) secondary operation ϕ associated with (2.1). Then ϕ is well defined on x_4 , since $\beta x_4 = \beta \mathcal{P}^1 x_4 = 0$. So we can apply Theorem

1.1 of [22] and obtain an indecomposable element $\phi(x_4)$ in $H^{12}(X; Z_3)$, which is a contradiction. So $H^*(X; Z)$ has no 3-torsion.

q. e. d.

As a corollary we have

Corollary 2.3. *Let Y be a simply connected, finite H -complex of rank 2. Then $H^*(Y; Z)$ has 2-torsion if and only if Y is of type (3, 11).*

§3. Homotopy type mod odd of S^3 -bundles over S^{11}

The notion “homotopy type mod p ” means the classification by p -equivalences. Remark that the p -equivalence is an equivalence relation, since all spaces we shall consider are H -spaces mod 0 (see [11]).

Let us determine the homotopy types mod p , p odd, of S^3 -bundles over S^{11} . Such bundles are classified by $\pi_{11}(BSO(4)) \cong \pi_{10}(SO(4))$. Since $SO(4) \cong SO(3) \times S^3$, we have

$$\pi_{10}(SO(4)) \cong \pi_{10}(SO(3)) \oplus \pi_{10}(S^3) \cong Z_{15} \oplus Z_{15}.$$

We represent an element of $\pi_{10}(SO(4))$ by a pair (n, m) with $n, m \in Z_{15}$. We denote by $B(n, m)$ the bundle corresponding to $(n, m) \in \pi_{10}(SO(4))$. Note that for any S^3 -bundle B over S^{11} , there exists a S^3 -bundle B' over S^{11} with the characteristic class $\chi' \in \pi_{10}(SO(4): p)$ such that $B \underset{p}{\simeq} B'$.

Thus to determine the homotopy types mod p , it is enough to consider the bundles classified by $\pi_{10}(SO(4): p)$.

Before stating a theorem let us recall the result due to James-Whitehead. Consider a sequence:

$$\pi_{13}(S^{10}) \xrightarrow{(\pi_*\chi)_*} \pi_{13}(S^3) \xleftarrow{J} \pi_{10}(SO(3)) \xrightarrow{i_*} \pi_{10}(SO(4))$$

for $\chi \in \pi_{10}(SO(4))$, where $\pi: SO(4) \rightarrow S^3$ is the projection. Denote by $G(\chi)$ the subgroup $i_* \circ J^{-1} \circ (\pi_*\chi)_*(\pi_{13}(S^{10}))$ of $\pi_{10}(SO(4))$. For a subset S of $\pi_{10}(SO(4))$, $\{S\}_\chi$ means the coset of S modulo $G(\chi)$. Then the following is a special case of the James-Whitehead theorem [9].

Proposition 3.1. *Let B_1 and B_2 be total spaces of S^3 bundles over S^{11} with characteristic classes χ_1 and χ_2 in $\pi_{10}(SO(4))$ respectively. Then $B_1 \simeq B_2$ if and only if $\pi_*\chi_1 = \pm \pi_*\chi_2$ and $\{\pm\chi_1\}_{\chi_1} = \{\pm\chi_2\}_{\chi_2}$.*

The following is a main result in this section:

Theorem 3.2. *The complete list of the homotopy types mod p of S^3 -bundles over S^{11} is the following*

- (i) $B(0,0)$ for any prime $p \geq 7$,
- (ii) $B(0,0)$ and $B(0,3)$ for $p=5$,
- (iii) $B(0,0)$, $B(0,5)$ and $B(5,0)$ for $p=3$.

Further, all but $B(5,0)$ are H -spaces mod p for the respective p .

Proof. First we show the last statement that all representatives except $B(5,0)$ are H -spaces mod p . In fact $B(0,0) = S^3 \times S^{11}$ is an H -space mod p for any odd prime p ([1]). Also by [10] we have $B(0,5) \underset{3}{\simeq} G_2$ and $B(0,3) \underset{5}{\simeq} G_2$, whence $B(0,5)$ is an H -space mod 3 and $B(0,3)$ is an H -space mod 5. Now we prove the theorem dividing it into three cases:

[Case i) $p \geq 7$]. Clearly the homotopy type mod p is unique, i.e., $B(0,0) = S^3 \times S^{11}$, since $\pi_{10}(SO(4):p) = 0$.

[Case ii) $p=5$]. An element of $\pi_{10}(SO(4):5) \cong Z_5 \oplus Z_5$ is represented by (n, m) with $n \equiv 0 \pmod{3}$ and $m \equiv 0 \pmod{3}$. If $m \not\equiv 0 \pmod{15}$, there is an integer r with $(r, 5) = 1$ such that $(n, m) = r(n', 3)$. So $B(n, m) \underset{5}{\simeq} B(n', 3)$ for some n' . Now we apply Proposition 3.1. We get that $(\pi_*\chi)_* = 0$ for any $\chi \in \pi_{10}(SO(4):5)$, since $\pi_{13}(S^{10}:5) = 0$, and hence

$$G(\chi) = i_*(Z_5) = \{(n, 0) : n \equiv 0 \pmod{3}\}.$$

Therefore by Proposition 3.1 we obtain that $B(n, m) \simeq B(n', m)$ for any n and n' . So there are only two representatives: $B(0,0)$ and $B(0,3)$. But apparently $B(0,0)$ is not 5-equivalent to $B(0,3)$.

[Case iii) $p=3$]. By the same argument as in the Case ii), we can see

that the candidates for the representatives of the homotopy type mod 3 are $B(0,0)$, $B(0,5)$ and $B(5,0)$. We shall show that they are actually of the distinct homotopy type mod 3. Clearly neither $B(0,0)$ nor $B(5,0)$ is 3-equivalent to $B(0,5)$. For they are not 3-equivalent on the 11-skeleton. The following lemma then completes the proof.

In fact, the lemma indicates that $B(0,0)$ is not 3-equivalent to $B(5,0)$, since $B(0,0)$ is an H -space mod 3.

Lemma 3.3. $B(5,0)$ admits no H -structures mod 3.

Proof. Assume that $B(5,0)$ admits an H -structure mod 3. So by definition ([12]), there exists a map $\mu: B(5,0) \times B(5,0) \rightarrow B(5,0)$ such that $f = \mu(\ , *) = \mu(* , \) : B(5,0) \rightarrow B(5,0)$ is a 3-equivalence, where $*$ is a base point of $B(5,0)$. Then $\mu|_{B(5,0) \vee B(5,0)} = f \circ \pi$, where $\pi: B(5,0) \vee B(5,0) \rightarrow B(5,0)$ is the canonical projection. Therefore $f_*[a, \beta] = 0$ for $a \in \pi_n(B(5,0))$ and $\beta \in \pi_m(B(5,0))$, and hence the Whitehead product $[a, \beta]$ is of order prime to 3. Since $B(5,0)$ has a cross-section, we have $B(5,0)^{(11)} \simeq S^3 \vee S^{11}$ and $i_*: \pi_n(S^3) \rightarrow \pi_n(B(5,0))$ is a monomorphism, where i_* is factored as $\pi_n(S^3) \xrightarrow{i_*} \pi_n(S^3 \vee S^{11}) \xrightarrow{i_*} \pi_n(B(5,0))$. Let $\varphi \in \pi_{13}(B(5,0)^{(11)})$ be the attaching element of the top cell. Then by [8] we obtain $\varphi = ki_{1*} \circ J(a_2) + [\sigma_3, \sigma_{11}]$, where a_2 is a generator of $\pi_{10}(SO(3):3)$, $k \not\equiv 0 \pmod{3}$ and $\sigma_i: S^i \rightarrow S^3 \vee S^{11}$ is the canonical inclusion ($i=3, 11$). Since $i_{2*}\varphi = 0$, we deduce that $ki_{i*}J(a_2) = ki_{2*}i_{1*}J(a_2) = -i_{2*}[\sigma_3, \sigma_{11}]$ is of order prime to 3. But this contradicts to the fact that a_2 is a generator of $\pi_{10}(SO(3):3)$, since i_* and J are monomorphisms on the 3-component and since $k \not\equiv 0 \pmod{3}$. q.e.d.

We end this section with

Corollary 3.4. Every principal S^3 -bundle over S^{11} is an H -space mod p , for any odd p .

§4. Some results on homotopy

The results in this section will be used in the next section. Let G_2

be the compact, exceptional Lie group of rank 2. Let $V_{7,2}=SO(7)/SO(5)$ be the Stiefel manifold. Then we have the principal bundle

$$(4.1) \quad S^3 \rightarrow G_2 \xrightarrow{p} V_{7,2}.$$

Denote by $M^n=S^{n-1} \cup e^n$ the mapping cone of a map: $S^{n-1} \rightarrow S^{n-1}$ of degree 2. We have cellular decompositions: $V_{7,2}=M^6 \cup e^{11}$, $G_2^{(9)}=p^{-1}(M^6)=S^3 \cup e^5 \cup e^8 \cup e^9$ the 9-skeleton of G_2 and $G_2=G_2^{(9)} \cup e^{11} \cup e^{14}$. Let $S^{n-1} \xrightarrow{i} M^n \xrightarrow{q} S^n$ be the cofibering.

Lemma 4.1. *Let $h: S^{10} \rightarrow M^9$ be a map such that $q \circ h: S^{10} \rightarrow S^9$ is essential, and let $K=M^9 \cup CM^{10}$ be the mapping cone of $h \circ q: M^{10} \rightarrow S^{10} \rightarrow M^9$. Then there exists a map $f: K \rightarrow G_2^{(9)}$ such that $f_*: \pi_i(K) \rightarrow \pi_i(G_2^{(9)})$ is a mod 2 isomorphism for $3 < i < 13$. The inclusion $S^3 \rightarrow G_2^{(9)}$ is a p -equivalence for any odd prime p .*

Proof. Let F be the 3-connective fibre space over $G_2^{(9)}$. Then we have a fibering:

$$F \xrightarrow{i} G_2^{(9)} \xrightarrow{\pi} K(Z, 3).$$

Since $H^*(G_2^{(9)}; Z_2)=\{1, x_3, x_5=Sq^2x_3, x_3^2, x_3x_5, x_3^3\}$, we have that $\pi^*: H^*(Z, 3; Z_2) \rightarrow H^*(G_2^{(9)}; Z_2)$ is an epimorphism with $\text{Ker } \pi^* = \sum_{i \geq 10} H^i(Z, 3; Z_2) + \{Sq^4Sq^2u\}$, u being the fundamental class. It follows that there exists a transgressive element a of $H^8(F; Z_2)$ whose transgression image is $\tau(a)=Sq^4Sq^2u$. Then $\tau(Sq^1a)=Sq^5Sq^2u=(Sq^2u)^2$ and $\tau(Sq^2Sq^1a)=Sq^2(Sq^2u)^2=(Sq^3u)^2=u^4$. Furthermore, a spectral sequence argument leads us to conclude $H^*(F; Z_2)=\{1, a, Sq^2a, b, Sq^2Sq^1a, c, \dots\}$, where $b \in H^{10}(F; Z_2)$ with $\tau(b)=u^2Sq^2u$, $c \in H^{14}(F; Z_2)$ and ... denote higher dimensional elements ($d_4(1 \otimes c)=Sq^2u \otimes b$). This follows from the fact that $\text{Ker } \pi^*$ is generated by $\{Sq^4Sq^2u, (Sq^2u)^2, u^2Sq^2u, u^4, Sq^8Sq^4Sq^2u, \dots\}$ as a right $H^*(Z, 3; Z_2)$ -module and that the lowest dimensional relation is $(Sq^2u)^2u^2=(u^2Sq^2u)Sq^2u$. Since $\tau(Sq^1b)=Sq^1(u^2Sq^2u)=u^2Sq^3u=u^4=\tau(Sq^2Sq^1a)$ and since $\tau(Sq^2a)=Sq^2Sq^4Sq^2u=Sq^6Sq^2u+Sq^5Sq^3u=0$, we have that $Sq^1b=Sq^2Sq^1a$ and $Sq^2a=0$. Take a CW

complex K' with minimum cells 2-equivalent to F , and so we may take $K' = M^9 \cup_g CM^{10} \cup e^{14} \cup \dots$. Consider the attaching map $g: M^{10} \rightarrow M^9$. $g|S^9$ cannot cover the 9-cell of M^9 essentially. Then the relation $Sq^2 a = 0$ shows that $g|S^9$ is homotopic to zero. Thus we may choose g as the composition $h \circ q: M^{10} \rightarrow S^{10} \rightarrow M^9$. Besides, the relation $Sq^2(Sq^1 a) = Sq^1 b$ shows that $q \circ h: S^{10} \rightarrow M^9 \rightarrow S^9$ is essential. Let K be the 11-skeleton of K' and f the composition of the restriction of the 2-equivalence $K \rightarrow F$ and the inclusion $i: F \rightarrow G_2^{(9)}$. Then clearly $f_*: \pi_i(K) \rightarrow \pi_i(G_2^{(9)})$ is a mod 2 isomorphism for $3 < i < 13$. The assertion of the second half follows from that $i^*: H^*(G_2^{(9)}; Z_p) \cong H^*(S^3; Z_p)$ for all odd prime p .
q.e.d.

Lemma 4.2.

- (i) $[M^5, G_2^{(9)}] = [M^6, G_2^{(9)}] = 0$;
 $[M^8, G_2^{(9)}] \cong Z_2$ generated by the class of $(f|S^8) \circ q$;
 $[M^9, G_2^{(9)}] \cong Z_4$ generated by the class of $f|M^9$.
(ii) $\pi_{10}(G_2^{(9)}) \cong Z_{120}$; there exists an exact sequence

$$0 \rightarrow \pi_{10}(S^3) \rightarrow \pi_{10}(G_2^{(9)}) \rightarrow \pi_{10}(M^6) \rightarrow 0;$$

The image of the composition $[M^9, G_2^{(9)}] \otimes \pi_{10}(M^9) \rightarrow \pi_{10}(G_2^{(9)})$ is isomorphic to Z_4 .

Proof. (i) Since $[M^n, X]$ is a Z_4 -group, we deduce that $f_*: [M^n, K] \rightarrow [M^n, G_2^{(9)}]$ is an isomorphism for $4 < n < 13$. Obviously $[M^n, M^9] \cong [M^n, K]$ for $n \leq 8$, in particular $[M^8, K] \cong [M^8, M^9] \cong Z_2$ generated by $\{i \circ q\}$. We have an exact sequence $[M^9, M^{10}] \xrightarrow{(h \circ q)_*} [M^9, M^9] \rightarrow [M^9, K] \rightarrow [M^9, M^{11}] = 0$, where $[M^9, M^{10}] \cong Z_2$ is generated by the class $\{i \circ q\}$ and $[M^9, M^9] \cong Z_4$ by [13]. Then $(h \circ q)_* \{i \circ q\} = 0$, since $q_* \{i\} = \{q \circ i\} = 0$. So $[M^9, K] \cong [M^9, M^9] \cong Z_4$ generated by the class of the inclusion (identity). Thus (i) is proved.

(ii) By Lemma 4.1, the odd component of $\pi_{10}(G_2^{(9)})$ is isomorphic to $\pi_{10}(S^3) \cong Z_{15}$ and the 2-component of that is isomorphic to $\pi_{10}(K)$. It is a classical result of Barratt-Paechter that $\pi_{10}(M^9) \cong Z_4$ generated by

the class of h (for a proof see [13]). Since the top cell of K is attached to $K^{(10)} = M^9 \vee S^{10}$ by the sum of h and the map of degree 2, we obtain that $\pi_{10}(K^{(10)}) \cong Z_8$ and it is generated by the class of the identity of S^{10} twice of which is the class of h . Thus $\pi_{10}(G_2^{(9)}) \cong Z_{120}$. Consider the exact sequence

$$\pi_{11}(M^6) \rightarrow \pi_{10}(S^3) \xrightarrow{i^*} \pi_{10}(G_2^{(9)}) \xrightarrow{j^*} \pi_{10}(M^6) \xrightarrow{\partial} \pi_9(S^3),$$

Since $H^*(M^6; Z_p)$ is trivial for all odd primes p , $\pi_{10}(M^6)$ has only 2-torsion. Since $\pi_{10}(S^3) \cong Z_{15}$ and $\pi_9(S^3) \cong Z_3$, ∂ is trivial. Since $\pi_{10}(G_2^{(9)}) \cong Z_{120}$, we obtain a short exact sequence in the lemma. The second half of (ii) is clear from (i). q.e.d.

Lemma 4.3.

- (i) $\pi_{10}(G_2) = \pi_{13}(G_2) = 0$.
- (ii) The attaching class of the 11-cell in $G_2^{(11)} = G_2^{(9)} \cup e^{11}$ is a generator ω of $\pi_{10}(G_2^{(9)}) \cong Z_{120}$.
- (iii) Let $\pi: G_2^{(9)} \rightarrow M^9 = G_2^{(9)}/G_2^{(6)}$ be the projection. Then $\pi_*(\omega) = \gamma$ a generator of $\pi_{10}(M^9) \cong Z_4$.

Proof. (i) is computed in [10]. Then (ii) follows easily from the exact sequence

$$\pi_{11}(G_2^{(11)}, G_2^{(9)}) \rightarrow \pi_{10}(G_2^{(9)}) \rightarrow \pi_{10}(G_2^{(11)}),$$

where $\pi_{10}(G_2^{(11)}) = \pi_{10}(G_2) = 0$.

(iii) follows easily from Lemma 4.2. q.e.d.

Remark 4.4. The above lemma implies that the cokernel of the Hurewicz homomorphism: $\pi_{11}(G_2) \rightarrow H_{11}(G_2; Z)$ is isomorphic to Z_{120} .

§5. Classification of H-spaces of type (3,11)

Let $f: V_{7,2} \rightarrow BS^3$ be the classifying map of G_2 . Let $\varphi: V_{7,2} \rightarrow V_{7,2} \vee S^{11}$ be the map pinching the equator $S^{10} \times \frac{1}{2}$ in $V_{7,2} = M^6 \cup CS^{10}$. Let α be a generator of $\pi_{11}(BS^3) \cong \pi_{10}(S^3)$ which corresponds to 8ω

under the monomorphism: $\pi_{10}(S^3) \rightarrow \pi_{10}(G_2^{(9)}) \cong Z_{120}$ (see Lemma 4.2). For each integer b , let $g_b: S^{11} \rightarrow BS^3$ represent ba and let $G_{2,b}$ be the principal S^3 -bundle over $V_{7,2}$ induced by the composition

$$f_b = (f \vee g_b) \circ \varphi: V_{7,2} \rightarrow V_{7,2} \vee S^{11} \rightarrow BS^3.$$

For example, $G_2 = G_{2,0}$.

One of the main results of this section is the following:

- Theorem 5.1.** (i) *Each 1-connected H-complex of type (3, 11) has the homotopy type of $G_{2,b}$ for some b .*
 (ii) *$G_{2,b}$ and $G_{2,b'}$ are homotopy equivalent if and only if $b \equiv b' \pmod{15}$ or $b + b' \equiv 11 \pmod{15}$.*
 (iii) *There are just 8 homotopy types of such H-complexes: $G_{2,i}$ for $-2 \leq i \leq 5$.*

Before proving the theorem we prepare the following five lemmas. In the following we assume by Corollary 2.3 that every 1-connected H-complex of type (3, 11) has a cell structure

$$X \simeq S^3 \cup e^5 \cup e^6 \cup e^8 \cup e^9 \cup e^{11} \cup e^{14}.$$

Lemma 5.2. *Let X be a 1-connected H-complex of type (3, 11). Then $X^{(9)}$ is homotopy equivalent to $G_2^{(9)}$, and hence $X^{(11)}$ is homotopy equivalent to $H_k = G_2^{(9)} \cup_{k\omega} e^{11}$ for some odd integer k .*

Proof. Let $j: S^3 \rightarrow G_2^{(9)}$ be the inclusion. The obstructions to extending j over $X^{(9)}$ lie in $[M^5, G_2^{(9)}]$ and $[M^8, G_2^{(9)}]$. We obtain an extension $\tilde{j}: X^{(6)} \rightarrow G_2^{(9)}$, since $[M^5, G_2^{(9)}] = 0$ by Lemma 4.2. Next consider the Puppe sequence:

$$[X^{(9)}, G_2^{(9)}] \xrightarrow{z^*} [X^{(6)}, G_2^{(9)}] \xrightarrow{\varphi^*} [M^8, G_2^{(9)}]$$

associated with the cofibration

$$M^8 \xrightarrow{\varphi} X^{(6)} \xrightarrow{z} X^{(9)}$$

where φ is the attaching map and i is the inclusion. To extend \bar{j} over $X^{(9)}$, it suffices to show $\varphi^*(\bar{j})=0$. Assume that $\varphi^*(\bar{j})\neq 0$. Then by Lemma 4.2 $\varphi^*(\bar{j})=(f|S^8)\circ q$. It is not so difficult to see that $Sq^4Sq^2x_3$ is non-trivial in $H^*(X^{(9)};Z_2)$, which contradicts to Theorem 2.2. Thus j has an extension over $X^{(9)}$ which is clearly a homotopy equivalence from the structure of the cohomology. Therefore $X^{(11)}=G_2^{(9)}\cup_{k\omega} e^{11}$ for some integer k . The assertion that k is odd follows easily from the Z_2 -cohomology structure. q.e.d.

Lemma 5.3. $(G_{2,b})^{(11)}\simeq H_{1+8b}$.

Proof. From the construction of the bundle $G_{2,b}$ we have a commutative diagram:

$$\begin{array}{ccccc} G_2^{(9)} & \longrightarrow & G_{2,b} & \xrightarrow{\bar{\varphi}} & G_2 \cup B_{b\alpha} \\ \downarrow & & \downarrow & & \downarrow \\ M^6 & \longrightarrow & V_{7,2} & \xrightarrow{\varphi} & V_{7,2} \vee S^{11} \xrightarrow{f \vee g_b} BS_3 \end{array}$$

where $B_{b\alpha}$ is the S^3 -bundle over S^{11} induced by $b\alpha$, $G_2 \cup B_{b\alpha}$ is the bundle induced by $f \vee g_b$ so that $G_2 \cap B_{b\alpha} = S^3$ and two maps in the upper horizontal sequence are the inclusions. Remark that $(G_{2,b})^{(9)} = (G_2 \cup B_{b\alpha})^{(9)} = G_2^{(9)}$. Therefore we obtain a commutative diagram:

$$\begin{array}{ccc} \pi_{11}(G_{2,b}, G_2^{(9)}) & \xrightarrow{\bar{\varphi}_*} & \pi_{11}(G_2 \cup B_{b\alpha}, G_2^{(9)}) \\ \searrow \partial & & \swarrow \partial' \\ & \pi_{10}(G_2^{(9)}) & \end{array}$$

where ∂ and ∂' are the boundary homomorphisms and $\pi_{11}(G_{2,b}, G_2^{(9)}) \cong \pi_{11}(V_{7,2}, M^6) \cong Z$ and $\pi_{11}(G_2 \cup B_{b\alpha}, G_2^{(9)}) \cong \pi_{11}(V_{7,2} \vee S^{11}, M^6) \cong Z \oplus Z$. So for the generator $\iota \in \pi_{11}(G_{2,b}, G_2^{(9)})$, which is the class of the characteristic map of the 11-dimensional cell in $G_{2,b}$, we have that

$$\partial \iota = \partial' \bar{\varphi}_*(\iota) = \omega + b\alpha = (1+8b)\omega,$$

since $\bar{\varphi}^*$ is the map of type $(1, 1)$.

q.e.d.

Lemma 5.4. *Let k and k' be odd. Then $H_k \simeq H_{k'}$ if and only if $k \equiv \pm k' \pmod{30}$.*

Proof. To begin with we show

(5.1) every self homotopy equivalence of $G_2^{(9)}$ is homotopic to one of the following 8 maps:

$$\begin{aligned} f_t: G_2^{(9)} &\xrightarrow{\varphi} G_2^{(9)} \vee M^9 \xrightarrow{1 \vee t\beta} G_2^{(9)}, \quad t=0, 1, 2, 3; \\ \bar{f}_t: G_2^{(9)} &\xrightarrow{\varphi} G_2^{(9)} \vee M^9 \xrightarrow{\varepsilon \vee t\beta} G_2^{(9)}, \quad t=0, 1, 2, 3, \end{aligned}$$

where $\varphi: G_2^{(9)} \rightarrow G_2^{(9)} \vee M^9$ is the map shrinking $M^8 \times \frac{1}{2}$ in $G_2^{(9)} = G_2^{(6)} \cup CM^8$, 1 is the identity of $G_2^{(9)}$, ε is an extension of the map of degree $-1: S^3 \rightarrow S^3 \subset G_2^{(9)}$ and β is a generator of $[M^9, G_2^{(9)}] \cong Z_4$.

The existence of ε is proved similarly to that of Lemma 5.2. The 8 maps in the above induce isomorphisms of the integral cohomology ring, since $\beta^*(x_3x_5) = \beta^*(x_3)\beta^*(x_5) = 0$ and $\beta^*(x_3^3) = 0$ for $\beta^*: H^*(G_2^{(9)}; Z_2) \rightarrow H^*(M^9; Z_2)$. Thus these maps are homotopy equivalences. Consider the Puppe exact sequence:

$$[M^9, G_2^{(9)}] \xrightarrow{\pi^*} [G_2^{(9)}, G_2^{(9)}] \xrightarrow{i^*} [G_2^{(6)}, G_2^{(9)}].$$

If f and g of $[G_2^{(9)}, G_2^{(9)}]$ satisfy $i^*f = i^*g$, then there exists an element $t\beta \in [M^9, G_2^{(9)}]$ such that $f = (g \vee t\beta) \circ \varphi$. A similar statement holds in the sequence:

$$[M^6, G_2^{(9)}] \xrightarrow{\pi_0^*} [G_2^{(6)}, G_2^{(9)}] \xrightarrow{i_0^*} [S^3, G_2^{(9)}],$$

where i_0^* is injective, since $[M^6, G_2^{(9)}] = 0$ by Lemma 4.2. Now let g be 1 or ε according as $i_0^*i^*f = i_0^*i^*1$ or $i_0^*i^*f = i_0^*i^*\varepsilon$. Then it follows that $f = (g \vee t\beta) \circ \varphi = f_t$ or \bar{f}_t . Thus the proof of (5.1) is completed.

By taking inverse for each element, we obtain a self homotopy equivalence of G_2 such that it is of degree -1 on S^3 . Then we may choose ε as a cellular approximation of this map.

We have

$$(5.2) \quad H_k \simeq H_{k'} \text{ if and only if } f_{t_*}k\omega = \pm k'\omega \text{ or } \bar{f}_{t_*}k\omega = \pm k'\omega.$$

In fact, the restriction of every homotopy equivalence on $G_2^{(9)}$ is either f_t or \bar{f}_t for some t .

Here we recall a result due to Whitehead [20]:

$$\pi_{10}(G_2^{(9)} \vee M^9) \cong \pi_{10}(G_2^{(9)}) \oplus \pi_{10}(M^9) \oplus \partial\pi_{11}(G_2^{(9)} \times M^9, G_2^{(9)} \vee M^9).$$

So we have

$$\begin{aligned} f_{t*}(k\omega) &= (1 \vee t\beta)_* \circ \varphi_*(k\omega) \\ &= (1 \vee t\beta)_*(k\omega + k\gamma + kx[\iota_3, \iota_8]) \\ &= k\omega + kt\beta_*(\gamma) + ktx[\iota_3, \beta_{\iota_8}]. \end{aligned}$$

Here $\beta_*(\gamma) = \pm 30\omega$ by Lemma 4.3. Further we have $[\iota_3, \beta_{\iota_8}] = 0$. In fact, $p_*[\iota_3, \beta_{\iota_8}] = 0$ for $p_*: \pi_{10}(G_2^{(9)}) \rightarrow \pi_{10}(M^6)$ and hence $[\iota_3, \beta_{\iota_8}]$ is of odd order, since $\pi_{10}(S^3) \cong Z_{15}$, while $[\iota_3, \beta_{\iota_8}]$ is of order 2, as $2\beta_{\iota_8} = 0$. Thus we have $f_{t*}(k\omega) = k(1 \pm 30t)\omega$, whence $k' \equiv k(1 \pm 30t) \pmod{120}$. Similarly one can obtain that $k' \equiv k(-1 \pm 30t) \pmod{120}$. Since k and k' are odd, we can deduce that H_k is homotopy equivalent to $H_{k'}$ if and only if $k \equiv \pm k' \pmod{30}$. q.e.d.

Lemma 5.5. *Every $G_{2,b}$ is an H -space of type (3, 11).*

Proof. Since $V_{7,2}$ is p -equivalent to S^{11} for all odd primes p , $G_{2,b}$ is p -equivalent to a principal S^3 -bundle over S^{11} , and hence $G_{2,b}$ is an H -space mod p by Corollary 3.4. For $p=2$, consider a complex $V = M^6 \cup_{15\sigma} e^{11}$, where σ is the attaching map of e^{11} in $V_{7,2}$. Apparently there is a 2-equivalence $h: V \rightarrow V_{7,2}$, which has degree 15 on the 11-dimensional cell. Let $\varphi': V \rightarrow V \vee S^{11}$ be the shrinking map similar to φ . Thus by commutativity of the diagram:

$$\begin{array}{ccc} V & \xrightarrow{\varphi'} & V \vee S^{11} \\ \downarrow & & \downarrow h \vee 15\iota_{11} \\ V_{7,2} & \xrightarrow{\varphi} & V_{7,2} \vee S^{11} \xrightarrow{f \vee g_b} BS^3 \end{array}$$

and by the fact that $15\alpha = 0$, we obtain that $G_{2,b}$ is 2-equivalent to G_2 . Therefore $G_{2,b}$ is an H -space by Theorem 7.1 of [12]. q.e.d.

Lemma 5.6. *Let X and Y be 1-connected H -complexes of type $(3, 11)$. Then $X \simeq Y$ if and only if $X^{(11)} \simeq Y^{(11)}$.*

Proof. The necessity is clear. We show the sufficiency. First we prove for the case that $Y = G_{2,b}$. Let $r' : X^{(11)} \rightarrow G_{2,b}^{(11)}$ be a homotopy equivalence. If we obtain an extension $r : X \rightarrow G_{2,b}$, it is easily checked to be a homotopy equivalence from the cohomology ring structures of X and $G_{2,b}$.

As is shown in the proof of Lemma 5.5, $G_{2,b} \simeq_2 G_2$ and $G_{2,b}$ is p -equivalent to a principal S^3 -bundle over S^{11} for odd p . Then by Theorem 3.2 and Lemma 5.3, we have $\pi_{13}(G_{2,b}; p) = 0$ for $p \neq 3$, and if $\pi_{13}(G_{2,b})$ is non-trivial, it is isomorphic to Z_3 and $G_{2,b} \simeq_3 S^3 \times S^{11}$. If $\pi_{13}(G_{2,b}) = 0$, clearly we have an extension $r : X \rightarrow G_{2,b}$. Hence we assume $\pi_{13}(G_{2,b}) = Z_3$. Then X is also 3-equivalent to $S^3 \times S^{11}$. For $X^{(11)} \simeq G_{2,b}^{(11)}$ and X is an H -space. So the attaching element δ of e^{14} in X satisfies that $q\delta = q'f_*[\iota_3, \iota_{11}]$ for some integers q, q' with $qq' \not\equiv 0 \pmod{3}$ and for some 3-equivalence $f : S^3 \vee S^{11} \rightarrow X^{(11)}$. Since $G_{2,b}$ is an H -space, we have that $r'_*(q\delta) = r'_*(q'f_*[\iota_3, \iota_{11}]) = 0$ in $\pi_{13}(G_{2,b}) = Z_3$ and hence $r'_*\delta = 0$ in $\pi_{13}(G_{2,b})$. That is, there is an extension $r : X \rightarrow G_{2,b}$.

Now for general Y , we have that $Y^{(11)} \simeq H_k$ for some odd k with $1 \leq k \leq 15$ by Lemma 5.2 and Lemma 5.4. Since either k or $-k$ is expressed as $1 + 8b$, we have $Y^{(11)} \simeq G_{2,b}^{(11)}$ by Lemma 5.3. Thus $Y \simeq G_{2,b}$ by the above argument. This completes the proof. q.e.d.

(*Proof of Theorem 5.1.*) (i) Let X be a 1-connected H -complex of type $(3, 11)$. Then $X^{(11)} \simeq H_k$ for some odd integer k with $1 \leq k \leq 15$ by Lemmas 5.2 and 5.4. Since either k or $-k$ is expressed as $1 + 8b$ with $-2 \leq b \leq 5$, we can see by virtue of Lemmas 5.3 and 5.4 that $X^{(11)} \simeq G_{2,b}^{(11)}$ for some b , $-2 \leq b \leq 5$. Then by Lemmas 5.5 and 5.6 we obtain (i).

(ii) By Lemmas 5.4 and 5.6, $G_{2,b} \simeq G_{2,b'}$ if and only if $H_{1+8b} \simeq H_{1+8b'}$ if and only if $1 + 8b \equiv \pm(1 + 8b') \pmod{30}$ if and only if $b \equiv b' \pmod{15}$ or $b + b' \equiv 11 \pmod{15}$.

(iii) follows directly from (ii) and Lemma 5.5. q.e.d.

As a corollary of the proof of Theorem 5.1 we have

Corollary 5.7. *Let X be a 1-connected H -complex of type (3,11).*

Then

- (i) $X \underset{p}{\simeq} G_2$ for any prime p with $p \neq 3$ or 5.
- (ii) $X \underset{5}{\simeq} G_2$ or $\underset{5}{\simeq} S^3 \times S^{11}$ according as $\mathcal{P}^1 x_3 \neq 0$ or $\mathcal{P}^1 x_3 = 0$ in $H^*(X; Z_p)$
- (iii) $X \underset{3}{\simeq} G_2$ or $\underset{3}{\simeq} S^3 \times S^{11}$ according as $\phi x_3 \neq 0$ or $\phi x_3 = 0$ in $H^*(X; Z_p)$, where ϕ is a secondary operation considered in §2. (ϕ is known to detect a generator of $\pi_{10}(S^3; 3) \cong Z_3$.)

The proof is left to the reader.

Theorem 5.8. $G_{2,b}$ has the homotopy type of a loop space if and only if $1+8b \neq 0 \pmod{p}$ for $p=3$ and 5, i.e., $b = -1, 0, 2, 5$.

Proof. By Theorem 7.1 of [12], $G_{2,b}$ has the homotopy type of a loop space if and only if $(G_{2,b})_{(p)}$ does for any prime p . Clearly $(G_{2,b})_{(p)}$ is a loop space for $p \neq 3$ or 5, since $G_{2,b} \underset{p}{\simeq} G_2$ by Lemma 5.5 and Corollary 5.7. Note that $(S^3 \times S^{11})_{(p)}$, for $p=3$ and 5, is not of the homotopy type of a loop space. In fact, if so, there exists the classifying space $B(S^3 \times S^{11})_{(p)}$, and hence the \mathcal{A}_p -algebra structure of $H^*(B(S^3 \times S^{11})_{(p)}; Z_p) \cong Z_p[u_4, u_{12}]$ induces a contradiction. Therefore $(G_{2,b})_{(p)}$ is a loop space if and only if $(H_{1+8b})_{(p)}$ is a loop space if and only if

$$H^*(H_{1+8b}; Z_p) \cong \begin{cases} \Lambda(x_3, \phi x_3) & p=3 \\ \Lambda(x_3, \mathcal{P}^1 x_3) & p=5 \end{cases}$$

if and only if $1+8b \neq 0 \pmod{3}$ and 5. q.e.d.

§6. Appendix

For convenience, we list the following table for $G_{2,b}, -2 \leq b \leq 5$

	3-type	5-type	p -type ($p \neq 3, 5$)	
-2	$S^3 \times S^{11}$	$S^3 \times S^{11}$	G_2	not loop
-1	G_2	G_2	G_2	loop
0	G_2	G_2	G_2	loop
1	$S^3 \times S^{11}$	G_2	G_2	not loop
2	G_2	G_2	G_2	loop
3	G_2	$S^3 \times S^{11}$	G_2	not loop
4	$S^3 \times S^{11}$	G_2	G_2	not loop
5	G_2	G_2	G_2	loop

According to L. Smith [14], the type of a 1-connected, associative H -space of rank 2 is either (3, 3), (3, 5), (3, 7) or (3, 11). Then, using Theorem 7.1 of [12] together with Theorem 5.8 and the results of [15], [21], we obtain the following

Theorem 6.1. *A 1-connected, finite, associative H -complex of rank 2 is homotopy equivalent to one of the following: $S^3 \times S^3$, $SU(3)$, $E_1 = Sp(2)$, E_5 , $G_{2,0} = G_2$, $G_{2,-1}$, $G_{2,2}$, $G_{2,5}$.*

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