

# Cardinals, isols, and the growth of functions

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## 1. Introduction

Let  $\omega$  be the non-negative integers and let  $\mathcal{A}_z$  be the cosimple isols. To each  $X \in \mathcal{A}_z$  we can associate a unique degree of unsolvability  $\mathcal{A}_X$  (c. f. [3]). This is the degree of any co-r. e.  $\xi \in X$ . Throughout this paper  $\mathbf{d}$  is a non-recursive r. e. degree and  $\mathcal{A}_{\mathbf{d}}$  is the set  $\{X \in \mathcal{A}_z \mid \mathcal{A}_X \leq \mathbf{d}\}$ . In this paper we are concerned with the first order theory of  $(\mathcal{A}_{\mathbf{d}}, +)$  where  $+$  is isolic addition. We study this structure by means of a first order language  $L$  containing individual variables  $u_0, u_1, \dots, v_0, v_1, \dots$ , a binary functor  $+$  denoting addition, and a binary predicate  $=$  denoting equality.  $L$  is interpreted in  $\omega$  or  $\mathcal{A}_{\mathbf{d}}$  in the usual way. Because  $\omega$  and  $\mathcal{A}_{\mathbf{d}}$  are commutative semigroups we take the liberty of putting of  $L$  in the normal form  $\sum_{i < n} a_i u_i$  where  $\sum$  denotes summation,  $a_i \in \omega$ , and  $a_i u_i$  is the term consisting of  $u_i$  summed with itself  $a_i$  times. An *AE special Horn sentence* is a sentence of  $L$  having the form

$$(1) \quad (\forall u_0, \dots, u_{m-1}) (\alpha \rightarrow (\exists v_0, \dots, v_{n-1}) \beta)$$

where  $\alpha(u_0, \dots, u_{m-1})$  has the form

$$(2) \quad \bigwedge_{j < q} (\sum_{k < m} a_{jk} u_k = \sum_{k < m} a'_{jk} u_k)$$

and  $\beta(u_0, \dots, u_{m-1}, v_0, \dots, v_{n-1})$  has the form

$$(3) \quad \bigwedge_{j < r} (\sum_{k < m} b_{jk} u_k + \sum_{k < n} c_{jk} v_k \\ = \sum_{k < m} b'_{jk} u_k + \sum_{k < n} c'_{jk} v_k).$$

In [6] it is shown that

**Proposition A:** *If  $\varphi$  is an AE special Horn sentence and  $\omega \models \varphi$  then  $\mathcal{A}_d \models \varphi$ .*

In order to get a converse to this result we define an *AE special sentence* to be a sentence of  $L$  having the form

$$(4) \quad (\forall u_0, \dots, u_{m-1})(\alpha \rightarrow (\exists v_0, \dots, v_{n-1}) \bigvee_{i < p} \beta_i)$$

where  $\alpha$  has the form (2) and each  $\beta_i$  has form (3). A *Horn reduct* of this sentence is any one of the AE special Horn sentences

$$(5) \quad (\forall u_0, \dots, u_{m-1})(\alpha \rightarrow (\exists v_0, \dots, v_{n-1}) \beta_i).$$

From Proposition A it readily follows that if  $\varphi$  is an AE special sentence having a Horn reduct  $\Psi$  such that  $\omega \models \Psi$  then  $\mathcal{A}_d \models \varphi$ . A degree  $\mathbf{d}$  is called *high* if  $\mathbf{d}' = \mathbf{0}''$  where prime denotes jump. In [6] it is shown that if  $\mathbf{d}$  is a high degree and  $\varphi$  is an AE special sentence such that  $\mathcal{A} \models \varphi$  then  $\varphi$  has a Horn reduct  $\Psi$  such that  $\omega \models \Psi$ . The proof of this result uses infinite indecomposable isols, and it is known from [6] that  $\mathcal{A}$  contains such isols if and only if  $\mathbf{d}$  is high. This accounts for the high requirement on  $\mathbf{d}$ . We relax this with

**Theorem 1.** *If  $\varphi$  is an AE special sentence then  $\mathcal{A}_d \models \varphi$  if and only if  $\varphi$  has a Horn reduct  $\Psi$  such that  $\omega \models \Psi$ .*

Our proof of Theorem 1 involves a lemma which is interesting in its own right. It asserts that a regressive isol is 3-meager if and only if it is multiple-free (c. f. Section 3 for definitions).

Let  $(\mathcal{A}_d^*, +)$  be the difference group formed from  $\mathcal{A}$ . As an application of Theorem 1 we show

**Theorem 2.** *The first order theory of  $(\mathcal{A}_d^*, +)$  is independent of  $\mathbf{d}$  (and in fact is the same as that of  $\mathcal{A}^*$ ).*

The significance of this result follows from [6] where we show that there is an EAE sentence which holds in  $\mathcal{A}_d$  if and only if  $\mathbf{d}$  is a high degree. Thus we can describe properties of  $\mathbf{d}$  by means of  $\mathcal{A}_d$ , but we cannot do so by means of  $\mathcal{A}_d^*$ .

The methods developed so far apply equally well to the Dedekind cardinals. Let ZF be Zermelo-Fraenkel set theory (without the axiom of choice) and let  $\mathcal{A}$  be the Dedekind cardinals, i. e., those cardinals  $x$  such that  $x \neq x+1$ .\* In [2] it is mentioned in passing that

\* From now on we tacitly assume that ZF is consistent (particularly as a hypothesis in Theorem 3).

**Proposition B.** *If  $\varphi$  is an AE special Horn sentence and  $\omega \models \varphi$  then  $ZF \vdash [\mathcal{A} \models \varphi]$ .*

It follows as a corollary that if  $\varphi$  is an AE special sentence having a Horn reduct  $\Psi$  such that  $\omega \models \Psi$  then  $ZF \vdash [\mathcal{A} \models \varphi]$ . Using infinite indecomposable cardinals (necessarily Dedekind) we got the following converse in [5]. If  $\varphi$  is an AE special sentence and  $ZF \vdash [\mathcal{A} \models \varphi]$  then  $\varphi$  has a Horn reduct  $\Psi$  such that  $\omega \models \Psi$ . We obtain interesting modifications of this result by getting rid of the infinite indecomposables. This can be done in two ways. First, we can work in  $ZFO$  ( $=ZF$ +an axiom which asserts that every set can be linearly ordered). We can then prove in  $ZFO$  that every indecomposable cardinal is finite. Second, we can still work in  $ZF$ , but restrict ourselves to  $\mathcal{A}_R$  ( $=$ cardinals of Dedekind sets of reals). Since the reals are linearly ordered we can prove in  $ZF$  that every indecomposable in  $\mathcal{A}_R$  is finite. By using a growth rate analysis of combinatorial functions we obtained the following result in [5]. If  $\varphi$  is an AE special sentence then  $ZFO \vdash [\mathcal{A} \models \varphi]$  if and only if  $\varphi$  has a Horn reduct  $\Psi$  such that  $\omega \models \Psi$ . The methods used to obtain this result fail for  $\mathcal{A}_R$ ; however we can modify the proof of Theorem 1 so as to get

**Theorem 3.** *If  $\varphi$  is an AE special sentence then  $ZF \vdash [\mathcal{A}_R \models \varphi]$  if and only if  $\varphi$  has Horn reduct  $\Psi$  such that  $\omega \models \Psi$ .*

At no added expense we also obtain the main result of [5] (but with a different proof).

**Theorem 3 (second part).** *If  $\varphi$  is an AE special sentence then  $ZFO \vdash [\mathcal{A} \models \Psi]$  if and only if  $\varphi$  has a Horn reduct  $\Psi$  such that  $\varphi \models \Psi$ .*

The key notion used in the proofs of Theorems 1 and 3 is that of an infinite multiple-free object. Although this notion is much less restrictive than that of an infinite indecomposable object, it is still sufficiently pathological to provide interesting counter-examples.

## 2. Main reduction

We start with a result due to Bradford.

**Lemma 1** ([1]). *If  $x, y \in \omega$  then  $\sim(x=1 \wedge y=0)$  if and only if  $(\exists z \in \omega)(2z \leq x+y \wedge x \leq 3z)$ .*

*Proof.* Assume  $\sim(x=1 \wedge y=0)$ . If  $x$  is even take  $z=x/2$ . Clearly  $2z=x \leq x+y$  and  $x \leq 3z/2$ . If  $x \neq 1$  and  $x$  is odd take  $z=(x-1)/2$ . Then  $2z=x-1 \leq x+y$ . If  $3z < x$  then  $(3x/2) - (1/2) < x$  so  $x < 1$  and  $x$  is even.

If  $x=1$  and  $y \neq 0$  take  $z=1$ . Then  $2z=2 \leq x+y$  and  $x=1 \leq 3=3z$ . This proves the left to right implication. Conversely, assume  $x=1 \wedge y=0$ . Then  $2z \leq x+y$  implies  $z=0$  so that  $x \not\leq 3z$ .

**Corollary.** *If  $p > 1$  and  $x_j \in \omega$  for  $j < p$  then  $\sim(x_i=1 \wedge \bigwedge_{i \neq j < p} x_j=0)$  if and only if  $(\exists z \in \omega) (2z \leq \sum_{j < p} x_j \wedge x_i \leq 3z)$ .*

Let  $\varphi$  be an AE special sentence of the form (4) (having  $p$  disjuncts) and let  $\theta_p$  be

$$(6) \quad (\forall u_0, \dots, u_{p-1}) \bigvee_{i < p} (\exists v) (2v \leq \sum_{j < p} u_j \wedge u_i \leq 3v).$$

**Lemma 2.** *If  $\varphi$  is an AE special sentence such that  $p > 1$  and  $A_d \models \varphi$ , but no Horn reduct of  $\varphi$  is true in  $\omega$ , then  $A_d \models \theta_p$ .*

*Proof.* Since no Horn reduct of  $\varphi$  is true in  $\omega$ , for each  $i < p$  there are  $x_{0i}, \dots, x_{(m-1)i} \in \omega$  such that

$$(7) \quad \omega \models \alpha(x_{0i}, \dots, x_{(m-1)i}),$$

$$(8) \quad \omega \models (\forall v_0, \dots, v_{n-1}) \sim \beta_i(x_{0i}, \dots, x_{(m-1)i}, v_0, \dots, v_{n-1}).$$

Let  $\alpha'(u_0, \dots, u_{p-1})$  be

$$\alpha(\sum_{j < p} x_{0j} u_j, \dots, \sum_{j < p} x_{(m-1)j} u_j)$$

and let  $\beta'_i(u_0, \dots, u_{p-1}, v_0, \dots, v_{n-1})$  be

$$\beta_i(\sum_{j < p} x_{0j} u_j, \dots, \sum_{j < p} x_{(m-1)j} u_j, v_0, \dots, v_{n-1}).$$

Since  $\alpha(u_0, \dots, u_{m-1})$  is a conjunction of linear homogeneous equations, and any linear combination of solutions of such a system is itself a solution, (7) implies that

$$(9) \quad \omega \models (\forall u_0, \dots, u_{p-1}) \alpha'.$$

It also follows from (8) that

$$(10) \quad \omega \models (\forall u_0, \dots, u_{p-1}, v_0, \dots, v_{n-1}) (\beta'_i \rightarrow \sim(u_i=1 \wedge \bigwedge_{i \neq j < p} u_j=0))$$

for each  $i < p$ .

Applying Lemma 1 we get

$$(11) \quad \omega \models (\forall u_0, \dots, u_{p-1}, v_0, \dots, v_{n-1}) (\beta'_i \rightarrow (\exists v) (2v \leq \sum_{j < p} u_j \wedge u_i \leq 3v))$$

for each  $i < p$ . Proposition A applies directly to (9) and by eliminating  $u_0 \leq u_1$  with  $(\exists v) (u_0 + v = u_1)$ , we may also apply it to (11). Thus

$$(12) \quad A_d \models (\forall u_0, \dots, u_{p-1}) \alpha',$$

$$(13) \quad A_d \models (\forall u_0, \dots, u_{p-1}, v_0, \dots, v_{n-1}) (\beta'_i \rightarrow (\exists v) (2v \leq \sum_{j < p} u_j \wedge u_i \leq 3v))$$

for each  $i < p$ . Now by hypothesis  $A_d \models \varphi$  so by recalling the definitions of  $\alpha'$ ,  $\beta'_i$  we obtain

$$(14) \quad A_d \models (\forall u_0, \dots, u_{p-1}) (\alpha' \rightarrow (\exists v_0, \dots, v_{n-1}) \bigvee_{i < p} \beta'_i).$$

Combining (12), (13), and (14) gives  $A_d \models \theta_p$ . q. e. d.

### 3. Applications to isols

Denote the domain, range of a function  $f$  by  $\delta f$ ,  $\rho f$  respectively and let  $\text{Req}(\xi)$  be the recursive equivalence type of  $\xi$ . Let  $t$  be a retracable function with  $\rho t = \tau$  and  $T = \text{Req}(\tau)$ . Then  $t$  has a *special retracing function*  $p$ , i. e., a partial recursive function  $p$  such that

- (i)  $\rho t \subseteq \delta p$ ,
- (ii)  $p(t_0) = t_0$  and  $(\forall n) p(t_{n+1}) = t_n$ ,
- (iii)  $\rho p \subseteq \delta p$ , and
- (iv)  $(\forall x \in \delta p) p(x) \leq x$ .

Let  $p^0(x) = x$  and  $(\forall n) p^{n+1}(x) = p(p^n(x))$ . Then  $p^*(x) = (\text{least } n) [p^{n+1}(x) = p^n(x)]$  and  $\bar{p}(x) = \{p^n(x) \mid n \leq p^*(x)\}$ . It follows from (i)–(iv) that  $\delta p^* = \delta \bar{p} = \delta p$  and  $p^*$ ,  $\bar{p}$  are partial recursive, the latter in an extended sense. We say that  $t$  is *3-meager* if for every partial recursive function  $h$ , if  $\rho t \subseteq \delta h$  and  $h(\rho t) \subseteq \rho t$  then  $h(t_n) \leq t_n$  for all but finitely many  $n$ . If this occurs then  $\tau$  and  $T$  are also called *3-meager* and the latter is necessarily in  $\mathcal{A}$ . A fundamental result of [6] is that each  $A_d$  contains at least one 3-meager  $T$ . Considerably stronger results along these lines have been obtained by McLaughlin [9]. If  $\alpha, \beta \subseteq \omega$  then  $\alpha$  is *separated* from  $\beta$  if there exist disjoint r. e. sets  $\alpha_0, \beta_0$  such that  $\alpha \subseteq \alpha_0$  and  $\beta \subseteq \beta_0$ . An isol  $Y$  is *multiple-free* if  $2X \leq Y$  implies that  $X \in \omega$ , i. e.,  $X$  is finite. These notions are connected by

**Lemma 3.** *A regressive isol is 3-meager if and only if it is multiple-free.*

*Proof.* ( $\rightarrow$ ) Let  $t$  be a 3-meager function with special retracing function  $p$ ,  $\rho t = \tau$  and  $T = \text{Req}(\tau)$ . If  $2X \leq T$  then we can find pairwise disjoint r. e. sets  $\eta_i$ ,  $i < 3$ , such that if  $\xi_i = \eta_i \cap \tau$  then  $\tau = \xi_0 \cup \xi_1 \cup \xi_2$  and  $\text{Req}(\xi_0) = X = \text{Req}(\xi_1)$ . Thus there is a one-one partial recursive function  $f$  such that  $\xi_0 \subseteq \delta f$  and  $f(\xi_0) = \xi_1$ . By standard methods we may also assume that  $\delta f = \eta_0$  and  $\rho f = \eta_1$ . We are going to describe a certain

function  $g$  with  $\delta g = \eta_0 \cup \eta_1 \cup \eta_2$ . If  $x \in \eta_0$  then  $g(x) = f(x)$ , if  $x \in \eta_1$  then  $g(x) = f^{-1}(x)$ , and if  $x \in \eta_2$  then  $g(x) = x$ . Clearly  $g$  is a one-one partial recursive function,  $\tau \subseteq \delta g$ ,  $g(\tau) = \tau$ , and  $g$  is equal to its own inverse. By 3-meagerness there is an integer  $n_0$  such that  $g(t_n) \leq t_n$  for all  $n > n_0$ . By one-oneness there is an integer  $n_1 > n_0$  such that  $p^*(g(t_n)) > n_0$  for all  $n > n_1$ . Consequently  $g(g(t_n)) \leq g(t_n)$  for all  $n > n_1$ . But  $g(g(t_n)) = t_n$  since  $g$  is selfinverse and thus  $g(t_n) = t_n$  for all  $n > n_1$ . This can only happen if  $\xi_0$  is finite.

( $\leftarrow$ ) Now suppose that  $t$  is retraceable but not 3-meager. Then there is a partial recursive function  $h$  such that  $\tau \subseteq \delta h$ ,  $h(\tau) \subseteq \tau$ , and  $h(t_n) > t_n$  for infinitely many  $n$ . By using the special retracing function  $p$  (of  $t$ ) we may also assume that for all  $n$  either  $h(t_n) = t_n$  or  $h(t_n) = t_{n+1}$ . Let  $\zeta = \{x \in \delta h \mid h(x) > x \text{ and } p(h(x)) = x\}$ . Then  $\zeta \cap \tau$  is separated from  $\tau - \zeta$ . It follows from [4] that  $\zeta \cap \tau$  is retraceable, enumerated say, by the retraceable function  $s$ . Let  $\sigma_0 = \{s_{2n} \mid n < \omega\}$  and let  $\sigma_1 = \{x \in \tau \mid p(x) \in \sigma_0\}$ . To complete our proof we show that

(a)  $\sigma_0 \cap \sigma_1 = \emptyset$ ,

(b) there exist pairwise disjoint r. e. sets  $\theta_i$ ,  $i < 3$ , such that  $\sigma_0 \subseteq \theta_0$ ,  $\sigma_1 \subseteq \theta_1$ , and  $\tau - (\sigma_0 \cup \sigma_1) \subseteq \theta_2$ ,

(c)  $\sigma_0$  is recursively equivalent to  $\sigma_1$ .

Then  $S = \text{Req}(\sigma_0)$  is infinite and  $2S \leq T$  which implies that  $T$  is not multiple-free.

*Re. (a).* If  $x \in \sigma_1$  then  $p(x) \in \sigma_0 \subseteq \zeta$  and hence there are integers  $m, n$  such that  $s_{2m} = t_n = p(x)$ . Then  $s_{2m} \in \zeta$  and so  $s_{2m} < h(s_{2m}) = x = h(t_n) = t_{n+1} \leq s_{2m+1} < s_{2(m+1)}$ . This implies that  $x \notin \sigma_0$ .

*Re. (b).* Suppose that  $y \in \tau$ . We describe an effective process by which we can decide whether  $y \in \sigma_0$  or  $y \in \sigma_1$  or neither. First, it is clear that we can decide whether  $y \in \sigma_0$ . If  $y \notin \sigma_0$  see if there is an  $x \in p(y) \cap \sigma_0$  such that  $y = h(x)$ . If there is such an  $x$  then  $y$  goes into  $\sigma_1$ , otherwise into  $\tau - (\sigma_0 \cup \sigma_1)$ .

*Re. (c).*  $\sigma_0 \subseteq \zeta$  and the restriction of  $h$  to  $\zeta$  is a one-one partial recursive function mapping  $\sigma_0$  onto  $\sigma_1$ .  
q. e. d.

A regressive isol  $X$  is called *strongly universal* if for every  $R \subseteq \omega \times \omega$ , the graph of a function  $r$ ,  $(\exists Y \in \mathcal{A}) R_A(X, Y)$  implies that  $r$  is almost recursive increasing.

**Corollary** (Barback). *Every multiple-free regressive isol is strongly universal.*

*Proof.* Use Lemma 3 and the fact (c. f. [6]) that every 3-meager isol is *strongly universal* (with thanks to Barback for having provided

us with his own unpublished proof of this corollary). q. e. d.

An isol  $Y$  is *highly decomposable* if  $Y$  is infinite and for every infinite  $X \leq Y$  there are  $U, V$ , both infinite, such that  $X = U + V$ . In [4] it is shown that every infinite regressive isol is highly decomposable.

*Proof of Theorem 1.* Let  $\varphi$  be  $AE$  special sentence of the form (4) having Horn reducts  $\Psi$  of the form (5). It follows from Proposition A that if  $\omega \models \Psi$  for some  $\Psi$  then  $A_d \models \varphi$ . For the converse assume that  $A_d \models \varphi$  but no  $\Psi$  is true in  $\omega$ . If  $p=1$  then this amounts to  $\omega \models \sim\varphi$ . Thus there are integers  $x_0, \dots, x_{m-1} \in \omega$  such that both

$$(\forall v_0, \dots, v_{n-1}) \sim \beta(x_0, \dots, x_{m-1}, v_0, \dots, v_{n-1})$$

and

$$\alpha(x_0, \dots, x_{m-1})$$

are true in  $\omega$ . By Proposition A they are both true in  $A_d$  and hence  $(x_0, \dots, x_{m-1})$  is a counterexample to  $\varphi$  in  $A_d$  i. e.,  $A_d \models \sim\varphi$ , which is a contradiction. Since the same argument will work for Dedekind cardinals (via Proposition B and later Proposition C) we shall assume throughout the rest of this paper that  $p > 1$ . By Lemma 2 we have  $A_d \models \theta_p$  where  $\theta_p$  is given by (6). Let  $T$  be a 3-meager isol in  $A_d$ . Then  $T$  is highly decomposable and by Lemma 3 it is multiple-free. Let  $X_0, \dots, X_{p-1}$  be infinite isols which sum to  $T$ . Each  $X_i \in A_d$  and for any  $V \in A_d$ , if  $2V \leq \sum_{i < p} X_i = T$  then  $V$  is finite and we cannot have  $X_i \leq 3V$ . Thus each disjunct of  $\theta_p$  fails and  $A_d \models \sim\theta_p$ . Contradiction. q. e. d.

We know that  $A_d$  is a commutative semigroup (with respect to addition and 0 as the identity). Moreover it also satisfies the universal closures of

$$(15) \quad X + Z = Y + Z \rightarrow X = Y,$$

$$(16) \quad nX = nY \rightarrow X = Y,$$

for  $0 < n < \omega$ , where  $nX$  has its usual inductive definition. From (15) and (16) we can easily show that  $A_d$  can be extended to a torsion free Abelian group ( $TFAG$ ) which we call  $A_d^*$  (=the isolic integers of degree  $\leq d$ , a typical member having the form  $X - Y$  where  $X, Y \in A_d$ ). One possible way to show that the theory of a  $TFAG$  is decidable is to give a complete set of axioms for it which is recursive. Such a method was devised in [11]. Let  $(G, +)$  be a  $TFAG$ ,  $m, n \in \omega$ , and  $x_0, \dots, x_{m-1} \in G$ .  $x_0, \dots, x_{m-1}$  are said to be *strongly linearly independent (mod  $n$ )* if for each sequence  $a_0, \dots, a_{m-1} \in \omega$  and  $y \in G$ ,  $\sum_{i > m} a_i x_i = ny$  implies that each  $a_i$  is congruent to 0 (mod  $n$ ) in the ordinary

arithmetical sense. Without loss of generality we may assume that  $0 \leq a_i < n$  for each  $i < m$ . Let  $S$  be the set of all sequences of integers  $a = (a_0, \dots, a_{m-1})$  such that  $0 \leq a_i < n$  for  $i < m$ , but not all  $a_i = 0$ . Thus  $x_0, \dots, x_{m-1}$  are strongly linearly independent (mod  $n$ ) if for each  $a \in S$  they satisfy

$$(17) \quad (\forall y) \sum_{i < m} a_i x_i \neq ny$$

in  $(G, +)$ . Clearly this can be expressed in our language  $L$ . Let  $\Psi_m$  be a sentence of  $L$  which asserts that there exist  $m$  elements strongly linearly independent mod  $n$ .

*Important fact* (c. f. [11]). An extension of the theory of *TFAGs* is complete if and only if it is consistent and contains for any two numbers  $m > 0, n > 1$  either  $\Psi_m$  or its negation.

In [10] it is shown that  $TFAG \cup \{\Psi_m \mid m > 0, n > 1\}$  is a complete set of axioms for the isolic integers  $(A^*, +)$ . We do the same for  $(A_d^*, +)$ ; however our proof must necessarily be different because [10] uses infinite indecomposables (which are not available in our context). Theorem 1 does the trick. We start with

**Lemma 4.** *If  $X \in A_d^*$ ,  $0 < n < \omega$ , and  $nX \in A_d$  then  $X \in A_d$ .*

*Proof.* (16) is a special case of the more general

$$(18) \quad nX \leq ny \rightarrow X \leq Y$$

for  $0 < n < \omega$  which  $A_d$  also satisfies. Thus suppose that  $X = Z - Y$  where  $Y, Z \in A_d$  and  $nX = nZ - nY \in A_d$ . Then  $nY \leq nZ$  and hence by (18)  $Y \leq Z$ , i. e.,  $X = Z - Y \in A_d$ . q. e. d.

*Proof of Theorem 2.* Suppose that  $A_d \models \sim \Psi_m$ . This can be expressed by the fact that

$$(19) \quad (\forall u_0, \dots, u_{m-1}) \bigvee_{a \in S} (\exists v) (\sum_{i < m} a_i u_i = nv)$$

holds in  $A_d^*$ . By restricting the  $u_i$  to  $A_d$  and using Lemma 4 we see that (19) also holds in  $A_d$ . But clearly no Horn reduct of (19) holds in  $\omega$ . Thus  $A_d^* \models \Psi_m$  and  $TFAG \cup \{\Psi_m \mid m > 0, n > 1\}$  is a complete axiomatization of  $(A_d^*, +)$  by the important fact. Since our argument is independent of  $\mathfrak{d}$ , Theorem 2 follows. q. e. d.

We conclude this section with a final word about Lemma 3. 3-meagerness is clearly an assertion about the growth rate of retraceable functions. On the other hand, multiple-freeness appears to be an algebraic property. It is surprising that they are equivalent.



#### 4. Applications to cardinals

Throughout this section we assume Proposition B as well as

**Proposition C.** *If  $\varphi$  is an AE special Horn sentence and  $\omega \models \varphi$  then  $ZF \vdash [\mathcal{A}_R \models \varphi]$ .*

We shall not prove this here but only mention that it can be proved using Bradford's method [1]; however because all the cardinals involved are linearly ordered, an even easier proof (along the lines of [7]) is available.

Now suppose that  $\varphi$  is an AE special sentence of the form (4) having Horn reducts  $\Psi$  of the form (5). It follows from Propositions B and C that if  $\omega \models \Psi$  for some  $\Psi$  then  $ZFO \vdash [\mathcal{A} \models \varphi]$  and  $ZF \vdash [\mathcal{A}_R \models \varphi]$ . Thus our remaining task is to obtain converses. Assume that  $p$  of (4) is  $> 1$ .

**Lemma 5.** *If  $\varphi$  is an AE special sentence then*

(i) *If  $ZFO \vdash [\mathcal{A} \models \varphi]$  but no Horn reduct of  $\varphi$  is true in  $\omega$  then  $ZFO \vdash [\mathcal{A} \models \theta_p]$ .*

(ii) *If  $ZF \vdash [\mathcal{A}_R \models \varphi]$  but no Horn reduct of  $\varphi$  is true in  $\omega$  then  $ZF \vdash [\mathcal{A}_R \models \theta_p]$ .*

*Proof.* If we follow the proof of Lemma 2 we see that our hypotheses guarantee (9) and (11). If we apply Propositions B and C instead of A we obtain analogues of (12), (13), and (14), being different only in the fact that  $\mathcal{A}_d \models \gamma$  is replaced by either  $ZFO \vdash [\mathcal{A} \models \gamma]$  or  $ZF \vdash [\mathcal{A}_R \models \gamma]$ .  
q. e. d.

*Proof of Theorem 3.* Just as in the proof of Theorem 1 it will suffice to show that

$$(20) \quad \mathcal{A} \models \theta_p \text{ is not a theorem of } ZFO,$$

$$(21) \quad \mathcal{A}_R \models \theta_p \text{ is not a theorem of } ZF.$$

Now we can prove in  $ZF$  that if  $x, y \in \mathcal{A}_R$  then  $x + y \in \mathcal{A}_R$  and if  $x \leq y \in \mathcal{A}_R$  then  $x \in \mathcal{A}_R$ . Consequently  $ZF \vdash [(\mathcal{A} \models \theta_p) \models (\mathcal{A}_R \models \theta_p)]$  so that both (20) and (21) will follow from

$$(22) \quad \mathcal{A}_R \models \theta_p \text{ is not a theorem of } ZFO.$$

We can prove in  $ZF$  that every infinite  $x \in \mathcal{A}_R$  is highly decomposable. Then just as in the proof of Theorem 1, (22) will follow if we can find a model  $M$  of  $ZFO$  such that

(23)  $M \models (\exists x \in \mathcal{A}_R) (x \text{ is infinite and multiple-free}).$

To our language of set theory add an individual constant  $\mathbf{K}$  and a functor  $\sigma$ . Then it is stated in [8] that if  $ZF$  is consistent then  $ZFO$  has a model  $M$  which satisfies

(i) every set can linearly ordered,

(ii)  $\mathbf{K}$  is a set of reals which is dense in the canonical ordering of the reals,

(iii)  $(\forall x) \sigma(x)$  is a finite subset of  $\mathbf{K}$  and if  $x$  is a finite subset of  $\mathbf{K}$  then  $\sigma(x) = x$ ,

(iv) if  $\varphi(x_0, \dots, x_{n-1}, y)$  and  $(\exists !z) \varphi(x_0, \dots, x_{n-1}, z)$  then  $\sigma(y) \subseteq \bigcup_{i < n} \sigma(x_i)$ .

Now working entirely in  $M$ , we claim that the cardinal of  $\mathbf{K}$  is an infinite multiple-free element of  $\mathcal{A}_R$ . By (ii)  $\mathbf{K}$  is an infinite set of reals, and it is clear that  $\mathbf{K}$  is Dedekind if it is multiple-free. To prove the latter assume that  $x, y$  are disjoint subsets of  $\mathbf{K}$  and that  $f$  is a one-one function mapping  $x$  onto  $y$ . If  $x$  is infinite choose  $u \in x$  such that  $f(u) \notin \sigma(f)$ . By (iii) we have  $\{u\} = \sigma\{u\}$ ,  $\{f(u)\} = \sigma\{f(u)\}$ , and by (iv) we have  $\sigma\{f(u)\} \subseteq \sigma\{u\}$ . Thus  $f(u) = u$  which contradicts  $x \cap y = \emptyset$ . Therefore  $x$  must be a finite set. q. e. d.

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