

# On certain boundary points on the Wiener's compactifications of open Riemann surfaces

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## Introduction

On a bordered Riemann surface, where the border is attached a priori to the surface, one can characterize a point on the border by the existence of a halfdisc-like neighbourhood. Extending this idea to any compactification of a Riemann surface, we may regard an ideal boundary point having a halfdisc-like neighbourhood as a borderlike boundary point. (For the precise definition, see §2.) In this paper we treat such borderlike boundary points on the Wiener's compactifications of arbitrary Riemann surfaces. Known facts about the Wiener's compactification necessary in our investigation are summarized in §1.

Now three specific classes of Riemann surfaces can be considered in connection with the set of borderlike boundary points. Among them, the class  $SO_W$  (resp.  $SO'_W$ ) is defined as the class of Riemann surfaces such that the set of borderlike boundary points are coincident with (resp. dense in) the whole harmonic boundary. In §2 we see that the class  $SO_W$  can be considered as the class of nearly finite bordered Riemann surfaces whenever the genus is finite (Proposition 4), and that the class  $SO'_W$  is precisely the class of Riemann surfaces such that the limit set of each corresponding fuchsian group has vanishing linear measure (Theorem 2).

In §3 we consider the double of Riemann surfaces of above classes and show a system of strict inclusion relations between these classes and those of Riemann surfaces whose doubles belong to well-known classes. For the explicit statement, see Theorem 4.

Finally in §4 we consider, as the third class, the one of Riemann surfaces which have no borderlike boundary points. This class coincides with the class of Riemann surfaces such that each corresponding fuchsian group is of the first kind, except for a few trivial surfaces. (See Proposition 6.) And we give a characterization of Riemann surfaces of genus zero belonging to this class (Theorem 6).

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### § 1. Notations and known facts

In this section we shall state several known facts about the Wiener's compactification of an open Riemann surface which are used in the sequel. For the details, see for example [2] and [6]. For an open Riemann surface  $R$ , let  $R_w^*$  be the Wiener's compactification of  $R$ , and  $\Gamma_w = \Gamma_w(R) (\subset R_w^* - R)$  be the harmonic boundary of  $R_w^*$ . This Wiener's compactification  $R_w^*$  is finer than other compactifications such as Martin's and Kerékjártó-Stoilow's. More precisely, the following fact is known.

**Projection Theorem.** *Let  $R_q^*$  be the Martin's or the Kerékjártó-Stoilow's compactification of  $R$ , and  $J$  the identical automorphism of  $R$ . Then  $J$  can be extended to a continuous mapping from  $R_w^*$  onto  $R_q^*$ .*

In particular, in case of the unit disk  $U = \{|z| < 1\}$ , it is known that the Martin's compactification of  $U$  can be considered as the usual closure  $\bar{U}$  in the complex plane. Hence we have the following

**Corollary A.** *The identical automorphism  $J_U$  can be extended to a continuous mapping from  $U_w^*$  onto  $\bar{U}$ .*

Next excluding several trivial cases,  $U$  can be considered as the universal covering surface of  $R$ . Let  $G (=G(R))$  be a fuchsian group on  $U$  associated with  $R$  and  $\pi_G$  be the projection from  $U$  onto  $R$  with respect to  $G$ , that is,  $\pi_G \circ g = \pi_G$  for every  $g \in G$ . Then we have the following

**Covering Theorem.** *Let  $R$  be a hyperbolic Riemann surface (i. e.  $R \in O_G$ ) and  $U$  and  $\pi_G$  be as above. Then  $\pi_G$  is a Fatou mapping. In particular,  $\pi_G$  can be extended to a continuous mapping from  $U_w^*$  onto  $R_w^*$ .*

**Remark.** If  $R$  is parabolic (i.e.  $R \in O_G$ ), the above  $\pi_G$  is not a Fatou mapping. In fact, let  $R \in O_G$  and suppose that  $\pi_G$  is a Fatou mapping. Then by [2] Satz 10.2, there would exist a non-polar closed set  $F$  in  $R$  such that  $1_{\pi^{-1}(F)}$  is a potential. While,  $1_{\pi^{-1}(F)}$  is a non-constant positive superharmonic function on  $U$  which is invariant under every  $g$  in  $G$ , so we have a non-constant positive superharmonic function on  $R$ . This is a contradiction, for  $R \in O_G$ .

Now as relations between  $R$  and its subregions, the following facts are well-known.

**Localization Theorem.** *Let  $D$  be a subregion on  $R$ ,  $F = R - D$  and  $\eta_D$  be the identical mapping from  $D$  into  $R$ . Then  $\eta_D$  can be extended to a continuous mapping from  $D_w^*$  into  $R_w^*$ , which we denote also by  $\eta_D$ . Moreover, letting  $D_1 = R_w^* - \bar{F}^w$ , and  $D_2 = \eta_D^{-1}(D_1)$ ,  $\eta_D$  gives a homeomorphism from  $D_2$  onto  $D_1$  such that  $\eta_D(\Gamma_w(D) \cap D_2) = \Gamma_w(R) \cap D_1$  and a measurable set  $A$  in  $\Gamma_w(D) \cap D_2$  has positive*

harmonic measure if and only if so does  $\eta_D(A)$ . Here (and in the sequel)  $\bar{X}^w$  means the closure of  $X$  in the Wiener's compactification.

**Characterization Theorem.** Every component of an open set  $D (\notin O_G)$  on  $R$  is a region of type  $SO_{HB}$  if and only if  $\Gamma_w \cap (\bar{D}^w - (\overline{R-D})^w) (= \Gamma_w \cap (\bar{D}^w - \bar{\partial} \bar{D}^w)) = 0$ , where  $\partial D$  is the relative boundary of  $D$  in  $R$ .

Moreover from Localization Theorem we can show the following

**Proposition A.** Let  $R$  and  $R'$  be arbitrary Riemann surfaces,  $D$  be a sub-region on  $R$  such that  $\partial D$  consists of countably many simple curves not accumulating to any point of  $R$ , and  $f$  be an analytic mapping from  $R$  into  $R'$  which is univalent on  $D$  and satisfies the condition that  $f(\partial D) = \partial D'$ , where  $D' = f(D)$ . Then the continuous extension of  $f$  gives a homeomorphism from  $(\bar{D}^w - \bar{\partial} \bar{D}^w) \cap \Gamma_w(R)$  onto  $(\bar{D}'^w - \bar{\partial} \bar{D}'^w) \cap \Gamma_w(R')$ .

*Proof.* First note that  $f|_D$  can be extended to a homeomorphism from  $D_w^*$  onto  $D'_w^*$ , and it is known that  $f(\Gamma_w(D)) = \Gamma_w(D')$  (cf. [6] IV 11.A). Now by Localization Theorem we have the continuous mapping  $\tilde{\eta} = \eta_{D'} \circ f \circ \eta_D^{-1}$  from  $D_1 = (\bar{D}^w - \bar{\partial} \bar{D}^w) \cap \Gamma_w(R)$  into  $\bar{D}'^w \cap \Gamma_w(R')$ . For every  $p \in D_1$  there is a positive bounded harmonic function  $u$  on  $D$  such that  $u = 0$  on  $\partial D$  and  $u(\eta_D^{-1}(p)) = 1$ . Set  $u' = 0$  on  $R' - D'$  and  $u' = u \circ f^{-1}$  on  $D'$ , then because  $f^{-1}(\partial D') = \partial D$ , we see that  $u'$  is a positive bounded continuous subharmonic function on  $R'$ , hence can be extended to a continuous function on  $R'_w^*$ . And it is obvious that  $u' = 0$  on  $(\overline{R' - D'})^w$  and  $u'(\tilde{\eta}(p)) = 1$ . Hence  $\tilde{\eta}(p) \in D'_1 = (\bar{D}'^w - \bar{\partial} \bar{D}'^w) \cap \Gamma_w(R')$ . So  $\tilde{\eta}(D_1) \subset D'_1$ . Similarly we can show that the continuous mapping  $\tilde{\eta}^{-1}$  maps  $D'_1$  into  $D_1$ , hence the assertion follows. q.e.d.

In the sequel we shall use, as above, the same notation as the original one for the extended function or mapping.

## § 2. Borderlike boundary points

In this section we shall define certain classes of open Riemann surfaces which concern with the existence of such a part of the ideal boundary as the usual border. First for an arbitrary Riemann surface  $R$ , we call a point  $p$  in  $\Gamma_w(R)$  a *borderlike boundary point*, or simply a *b-point*, of  $R$  if there exists a neighbourhood  $V$  of  $p$  in  $R_w^*$  with the properties: (i)  $V = (\overline{V \cap R})^w - \bar{\partial}(\overline{V \cap R})^w$ , (ii)  $V \cap R$  is simply connected, (iii)  $\partial(V \cap R)$  is an open simple curve. We call such a neighbourhood of a b-point  $p$  a *distinguished neighbourhood* of  $p$ . Now set

$$d_w R = \{p \in \Gamma_w(R) : p \text{ is a b-point of } R\}.$$

Roughly speaking,  $d_w R$  is the borderlike part of  $\Gamma_w(R)$ , and we can see easily that every point of  $d_w R$  has vanishing harmonic measure.

Now set

$$SO_w = \{R \in O_G : d_w R = \Gamma_w(R)\}, \quad \text{and}$$

$$SO'_w = \{R \in O_G : d_w R \text{ is dense in } \Gamma_w(R)\}.$$

Obviously we have that  $SO_w \subset SO'_w$ . Also the following Proposition is easily seen.

**Proposition 1.** *A surface  $R$  belongs to  $SO'_w$  if and only if  $\Gamma_w(R) - d_w R$  has vanishing harmonic measure.*

*Proof.* Let  $\mu_w$  be the harmonic measure on  $\Gamma_w$ , then because  $d_w R$  is clearly open, it holds that  $\mu_w(d_w R) = \mu_w(\overline{d_w R}) = \mu_w(\Gamma_w)$ , that is  $\mu_w(\Gamma_w - d_w R) = 0$ . q. e. d.

**Proposition 2.** *Let  $D$  be a subregion of  $R$  such  $\partial D$  consists of a countable number of disjoint simple curves which are not accumulating to any point of  $R$ . Further, if  $D$  is of type  $SO_{HB}$ , then  $D$  belongs to  $SO'_w$  as a Riemann surface.*

*Proof.* First it is easily seen that  $\eta_D^{-1}(\partial D) \cap \Gamma_w(D)$  is contained in  $d_w D$ . Hence we can show the assertion by the definition of the  $SO_{HB}$  and Lemma 1 below. q. e. d.

**Remark.** If we permit components of  $\partial D$  to accumulate in  $R$ , then it is clear that the assertion of Proposition 2 does not hold.

**Lemma 1.** *Let  $I$  be an open arc on  $\partial U$ ,  $U$  being the unit disc, and  $u$  a bounded harmonic function  $U$ . Then  $u = 0$  on  $J_U^{-1}(I) \cap \Gamma_w(U)$  if and only if  $u$  has the vanishing boundary value everywhere on  $I$ .*

*Proof.* It is well-known that  $u$  has the non-tangential boundary value  $u^*$  almost everywhere on  $\partial U$  and  $u$  is uniquely determined as the Poisson integral of  $u^*$ . Let  $u_1^* = u^*$  on  $I$  and  $= 0$  on  $\partial U - I$ ,  $u_2^* = u^* - u_1^*$ , and  $u_i$  the Poisson integral of  $u_i^*$  ( $i=1, 2$ ). Then  $u_1$  is harmonic on  $\bar{C} - \bar{I}$  and  $u_2$  is harmonic on  $\bar{C} - (\partial U - I)$ .

Now if  $u^* = 0$  on  $I$  (, i. e.  $u_1 \equiv 0$ ), then it is obvious (cf. Corollary A) that  $u = 0$  on  $J_U^{-1}(I) \cap \Gamma_w$ . On the other hand, suppose that  $u = 0$  on  $J_U^{-1}(I) \cap \Gamma_w$ . First note that  $\mu_w(J_U^{-1}(\bar{I} - I)) = 0$  and  $u_1 = 0$  on  $J_U^{-1}(U - \bar{I})$ . Hence by the assumption and the fact that  $u_2 = u - u_1 = 0$  on  $I$ , we have that  $u_1 = 0$  almost everywhere on  $\Gamma_w(U)$  with respect to  $\mu_w$ . Thus we conclude that  $u_1 \equiv 0$ , that is,  $u \equiv u_2$  has the vanishing boundary value everywhere on  $I$ . q. e. d.

Next we note the following

**Proposition 3.**  *$SO_w$  is a proper subset of  $SO'_w$ .*

Before proving Proposition 3, we consider here a simply connected subregion  $D$  in the unit disc  $U$  such that  $\gamma = \partial D$  is a simply (open) curve and  $D$  is contained in  $\{z \in U : \operatorname{Re} z > 0\}$ . First note that each end of  $\gamma$  clusters onto a closed subarc, say  $I_1$  and  $I_2$ , on  $\partial U$ , and  $(\bar{D} - \bar{\gamma}) \cap \partial U = \bar{D} \cap \partial U - (I_1 \cup I_2)$  is an open arc, say  $I$ , on

$\partial U$  (which may be empty). We shall denote by  $E$  the set  $(\bar{D}^w - \bar{\gamma}^w) \cap \Gamma_w(U)$  and by  $I_i^\circ$  the interior of  $I_i$  with respect to  $\partial U$ .

**Lemma 2.**  $E \cap J_{\bar{U}}^{-1}(I_i^\circ) = \emptyset$  for each  $i$ .

*Proof.* If  $I_i$  is a single point, then there is nothing to prove. Hence suppose that  $I_i^\circ \neq \emptyset$ . Then for every  $\zeta \in I_i^\circ$ , we can find a sequence  $\{\gamma_n\}_{n=1}^\infty$  of subarcs of  $\gamma$  such that for a sufficiently small  $\varepsilon > 0$  every component of  $\{z \in U : |\zeta - z| < \varepsilon\} - \bigcup_n \gamma_n$  is relatively compact in  $U$ . In particular, every component of  $D_\varepsilon = D \cap \{z \in U : |\zeta - z| < \varepsilon\}$  is also relatively compact in  $U$ , hence  $D_\varepsilon$  is of type  $SO_{HB}$ . Thus by Characterization Theorem we can conclude that  $J_{\bar{U}}^{-1}(\zeta) \cap E = \emptyset$ . Because  $\zeta \in I_i^\circ$  is arbitrary, we have the assertion. q. e. d.

**Lemma 3.**  $J_U(E) = \bar{I}$ .

*Proof.* By Projection Theorem  $J_U(\bar{D}^w) \subset \bar{D}$ , and by Lemma 2 we have that  $J_U(E) \subset \bar{D} \cap \partial U - (I_1^\circ \cup I_2^\circ)$ . Also as in the proof of Lemma 2, we can see that  $J_U(E) \cap (I_i - (I_i^\circ \cup \bar{I})) = \emptyset$  for each  $i$ , hence  $J_U(E)$  is contained in  $\bar{I}$ .

On the other hand it is obvious that  $I \subset J_U(E)$ . And  $s = 1_{U-D}$  is a continuous superharmonic function on  $U$  such that  $s = 0$  on  $J_{\bar{U}}^{-1}(I)$  and  $s = 1$  on  $\bar{\gamma}^w$ . Because  $s$  is continuous on  $U_w^*$ , we see that  $\overline{J_{\bar{U}}^{-1}(I)}^w \cap \Gamma_w \subset E$ , hence  $J_U(E) \supset \bar{I}$ , for  $J_U(\overline{J_{\bar{U}}^{-1}(I)}^w)$  is compact. q. e. d.

**Corollary 1.**  $D$  is of type  $SO_{HB}$  if and only if  $I = \emptyset$ .

**Lemma 4.**  $\overline{J_{\bar{U}}^{-1}(I)}^w \cap \Gamma_w = E$ .

*Proof.* In the proof Lemma 3, we have seen that  $\overline{J_{\bar{U}}^{-1}(I)}^w \cap \Gamma_w \subset E$ . If  $F = E - \overline{J_{\bar{U}}^{-1}(I)}^w \cap \Gamma_w \neq \emptyset$ , then because  $F$  is open in  $\Gamma_w$ , we could construct a non-constant bounded harmonic function  $u$  on  $U$  such that  $u = 0$  on  $\Gamma_w - F$ . But by Lemma 1 and 3  $u^* \equiv 0$  on  $\partial U - (\bar{I} - I)$ , hence  $u \equiv 0$  on  $U$ , which is a contradiction. Thus we have the assertion. q. e. d.

Now Proposition 3 follows from the following

**Example 1.** Let  $R = U - E$ , where  $E = \left\{ \exp \left[ -\frac{1}{n} + \sqrt{-1} \frac{1}{k} \right] : n \in \mathbb{Z}^+, k \in \mathbb{Z} \right\}$ , then  $R \in SO'_w - SO_w$ . To show this, first consider the mapping  $\bar{\eta} = J_U \circ \eta_R$  from  $R_w^*$  onto  $\bar{U}$ , where  $\eta_R$  and  $J_U$  are as in Localization Theorem and Corollary A, respectively. Because  $E$  is polar,  $\bar{E}^w \cap \Gamma_w(U) = \emptyset$  (cf. [2] Satz 9.7), we see from Localization Theorem that  $\eta_R$  gives a homeomorphism from  $\Gamma_w(R)$  onto  $\Gamma_w(U)$ . In particular,  $\bar{\eta}^{-1}(\{1\})$  is not empty. Suppose that there is a point  $p$  in  $d_w R$  such that  $\bar{\eta}(p) = 1$ , and take a distinguished neighbourhood  $V_p$  of  $p$ . Then by Characterization Theorem  $V_p \cap R$ , hence  $V_p \cap U$  is not of type  $SO_{HB}$ , so we conclude from Corollary 1 and Lemma 3 that  $I = (\overline{V_p} - \bar{\partial V_p}) \cap \partial U$  is a non-empty open sub-

arc on  $\partial U$  such that  $\bar{I} \ni 1$ . Hence  $I \ni \exp(\sqrt{-1}\frac{1}{k})$  or  $\exp(-\sqrt{-1}\frac{1}{k})$  with a sufficiently large  $k$ , and  $E$  clusters to an interior point of  $I$ , which contradicts to the assumption that  $V_p$  is simply connected. Thus  $\bar{\eta}^{-1}(\{1\}) \cap d_w R = \emptyset$ , that is,  $R \in SO_w$ .

On the other hand, it is obvious that  $d_w(R) \supset \bar{\eta}^{-1}(\partial U - \bigcup_k \{\exp(\sqrt{-1}\frac{1}{k})\} \cup \{1\}) \cap \Gamma_w(R)$  and every bounded harmonic function  $u$  on  $R$  (which can be considered as defined on  $U$ ) such that  $u^* = 0$  on  $\partial U - \bigcup_k \{\exp(\sqrt{-1}\frac{1}{k})\} \cup \{1\}$  vanishes identically on  $U$ . Hence  $d_w(R)$  is dense in  $\Gamma_w(R)$  (cf. Lemma 1), that is  $R \in SO'_w$ .

For the class  $SO_w$ , we can show the following

**Theorem 1.** *Let  $R \in SO_w$ ,  $R_s^*$  be the Kerékjártó-Stoilow's compactification of  $R$  and  $J$  be as in Projection Theorem. Then  $J(\Gamma_w(R))$  is a finite set of points in  $R_s^* - R$ .*

*Proof.* Let  $E = J(\Gamma_w) (\subset R_s^* - R)$ , and suppose that  $E$  contains infinite number of points. Because  $R_s^*$  is compact and metrizable and  $E$  is compact, we can find a sequence  $\{P_n\}_{n=1}^\infty$  of points in  $E$  converging to a point  $P$  in  $E$  such that  $P_n \neq P_m$  if  $n \neq m$  and  $P \neq P_n$  for every  $n$ . Let  $\Gamma = J^{-1}(P) \cap \Gamma_w(R)$  and  $\Gamma_n = J^{-1}(P_n) \cap \Gamma_w(R)$  for every  $n$ , then we see that every  $\Gamma_n$  is open and closed in the compact Hausdorff subspace  $(\bigcup_n \Gamma_n) \cup \Gamma$  of  $R_w^*$ , and it is easily seen that  $\bigcup_n \Gamma_n^w - \bigcup_n \Gamma_n \neq \emptyset$ . Let  $p \in \bigcup_n \Gamma_n^w - \bigcup_n \Gamma_n (\subset \Gamma)$  and suppose that  $p \in d_w R$ . Then for any distinguished neighbourhood  $V_p$  of  $p$ ,  $V_p \cap \Gamma_n \neq \emptyset$  for infinitely many  $n$ . Hence we can see either that  $V_p \cap R$  is not simply connected, or that  $\partial(V_p \cap R)$  is not connected, hence not a simple arc (cf. Example 1). This is a contradiction, hence  $(\bigcup_n \Gamma_n^w - \bigcup_n \Gamma_n) \cap d_w R = \emptyset$ . So  $\Gamma_w(R) \neq d_w R$ , which contradicts to the assumption that  $R \in SO_w$ . Thus we conclude that  $E$  consists of a finite number of points. q. e. d.

Now the following is in a sense well-known.

**Lemma 5.** *Let  $E$  be a closed polar subset in  $U$  such that  $\bar{E} \cap \partial U$  consists of a single point, say 1. Then  $R = U - E \in SO_w$ .*

*Proof.* Because  $E$  is polar,  $\eta_R$  gives a homeomorphism from  $\Gamma_w(R)$  onto  $\Gamma_w(U)$  (cf. Example 1). Hence we only need to find a distinguished neighbourhood  $V_p$  of  $P$  for every point  $p$  of  $\Gamma_w(U)$  such that  $V_p \cap \bar{E} = \emptyset$ . Let  $F$  be the convex hull of  $E$  (minimal convex set containing  $E$ ), then we can see that  $\bar{F} \cap \partial U = \{1\}$  and  $U - F$  is connected and simply connected. Hence  $E' = \{z \in U : 1_F(z) \geq 1/2\}$  is a closed set containing  $E$  such that  $\partial E'$  is a simple analytic arc and  $\bar{E}' \cap \partial U = \{1\}$ . Also it is seen that  $1_{E'}$  is a potential, hence by [2] Satz 9.7,  $\bar{E}'^w \cap \Gamma_w(U) = \emptyset$ .

Thus  $U_w^* - \bar{E}'^w$  is a distinguished neighbourhood of every point of  $\Gamma_w(U)$ , hence of  $\Gamma_w(R)$ . q. e. d.

**Proposition 4.** *Let  $R$  be of finite genus  $g$ . Then  $R \in SO_w$  if and only if  $R$  can be considered as a subregion on a compact Riemann surface of genus  $g$  such that  $\partial R$  consists of a finite set  $B$  of analytic simple closed curves and a relatively closed polar set  $E$  on the surface  $\bar{R} - B$  such that  $\bar{E} \cap B$  is a finite set of points.*

*Proof.* Because the "if" part can be shown similarly as Lemma 5, we shall prove only the converse. Suppose that  $R \in SO_w$ , then by Theorem 1, the set  $J(\Gamma_w)$  is a finite set, say  $\{P_n\}_{n=1}^m$ , of points in  $R_s^* - R$ , where  $R_s^*$  and  $J$  is as in Theorem 1. Denote  $J^{-1}(P_n) \cap \Gamma_w$  by  $\Gamma_n$  for every  $n$ , then  $\Gamma_w = \bigcup_{n=1}^m \Gamma_n$ , hence every  $\Gamma_n$  is open and closed in  $\Gamma_w$ . In particular,  $\mu_w(\Gamma_n) > 0$  for every  $n$ , where  $\mu_w$  is the harmonic measure on  $\Gamma_w$ .

First from the assumption  $R$  can be embedded in a compact Riemann surface, say  $R_0$ , of the same finite genus as  $R$  and  $R \in O_G$ . And it is seen that the component, say  $E_n$ , of  $R_0 - R$  corresponding to  $P_n$  can not consist of a single point for every  $n$ , for if so,  $E_n$  is a polar set in  $R_w^*$ , hence  $\mu_w(\Gamma_n) = 0$ , which is a contradiction. Thus we may assume that every  $\partial E_n$  (in  $R_0$ ) is an analytic simple closed curve. Moreover it is easily seen that any other component of  $R_0 - R$  than  $\{E_n\}_{n=1}^m$  consists of a single point.

Next because  $\Gamma_w$  is compact and there is a distinguished neighbourhood of  $p$  for every  $p \in \Gamma_w$  ( $= d_w R$  by assumption), we can find an open neighbourhood  $V$  of  $\Gamma_w$  such that  $J(V) \cap (R_s^* - R) = \{P_n\}_{n=1}^m$  (cf. the proof of Lemma 5). So  $\overline{J^{-1}(R_s^* - R - \bigcup \{P_n\})^w}$  is polar in  $R_w^*$ , hence  $E = S - R$  is also polar in  $S$ , where  $S = R_0 - \bigcup_{n=1}^m E_n$ .

Finally if  $\bar{E} \cap \partial S$  contains infinitely many points, hence has an accumulating point on  $\partial S$ , then by a slight modification of the argument in Example 1, we can show that  $d_w R \neq \Gamma_w$ . Hence  $\bar{E} \cap \partial S$  is a finite set of points. q. e. d.

Now let  $R$  be a Riemann surface with the hyperbolic universal covering surface in the sequel, and  $G = G(R)$  be a fuchsian group on  $U$  associated with  $R$ . We call  $R$  of type I or type II, respectively, according as  $G$  is of the first kind or the second kind (cf. [3], [5]). Also, if the limit set  $L(G) (\subset \partial U)$  of  $G$  has vanishing linear measure, we call  $R$  of type  $II_0$ . Recall that if  $R$  is of type II, then  $R \in O_G$  (cf. [3]) hence  $\Gamma_w(R) \neq \emptyset$ . And we can show the following

**Theorem 2.** *A Riemann surface  $R$  belongs to  $SO'_w$  if and only if  $R$  is of type  $II_0$ .*

**Remark.** It is known that the limit set of every non-elementary fuchsian group has positive capacity ([4]).

To prove Theorem 2, we shall state several lemmas. Let  $R \in O_G$ ,  $G = G(R)$

and  $B = \partial U - L(G)$ . Then  $B$  is empty or consists of countably many open arcs in  $\partial U$ , which we denote by  $\{I_n\}_{n=1}^{\infty}$ .

Now let  $p \in d_w R$  and  $V$  a distinguished neighbourhood of  $p$ , then because  $V \cap R$  is simply connected, any component, say  $D$ , of  $\pi_G^{-1}(V \cap R)$  is mapped conformally onto  $V \cap R$  by  $f_D = \pi_G|_D$ , where  $\pi_G$  is as in Covering Theorem. Also it can be seen from Proposition A that  $f_D$  gives a homeomorphism from  $(\bar{D}^w - \partial \bar{D}^w) \cap \Gamma_w(U)$  onto  $V \cap \Gamma_w(R)$ , for  $V \cap \Gamma_w(R) = ((V \cap R)^w - \partial(V \cap R)^w) \cap \Gamma_w(R)$ . And as before we have the following

**Lemma 6.** *Let  $I = (\bar{D} - \partial \bar{D}) \cap \partial U$ , then  $I$  is an open arc contained in  $B$ , hence in some  $I_n$ .*

*Proof.* Because  $D \cap g(D) = \emptyset$ , hence  $I \cap g(I) = \emptyset$  for every  $g \in G - \{g_0\}$ , we can see that  $I$  contains none of fixed points of elements of  $G - \{g_0\}$ , where  $g_0$  is the identity of the group  $G$ . But the set of fixed points of elements of  $G - \{g_0\}$  is dense in  $L(G)$  and  $I$  is open. Hence we conclude that  $I \cap L(G) = \emptyset$ . q. e. d.

**Lemma 7.** *Let  $E_w = \pi_G^{-1}(d_w R) \cap \Gamma_w(U)$ ,  $F = J_U(E_w)$  and  $B' = \bigcup_n \bar{I}_n$ , then*

$$B \subset F \subset B'.$$

*Proof.* Take  $q \in E_w$  arbitrary, and let  $\pi_G(q) = p$ ,  $V$  a distinguished neighbourhood of  $p$  and  $D$  the component of  $\pi_G^{-1}(V \cap R)$  corresponding to  $q$ . Then by Lemma 6,  $I = (\bar{D} - \partial \bar{D}) \cap \partial U \subset I_n$  with some  $n$ . Hence by Lemma 3,  $J_U(q) \in \bar{I} \subset \bar{I}_n \subset B'$ . Because  $q$  is arbitrary, we have that  $F \subset B'$ .

On the other hand, for any point  $\zeta \in B$  we can take a sufficiently small closed disk  $U_\zeta$  with the center  $\zeta$  such that  $\partial U_\zeta$  is orthogonal to  $\partial U$ ,  $U_\zeta \cap \partial U \subset B$ , and  $U_\zeta \cap g(U_\zeta) = \emptyset$  for every  $g \in G - \{g_0\}$ . Then  $\pi_G$  gives a conformal mapping from  $U_\zeta \cap U$  onto  $V_\zeta = \pi_G(U_\zeta \cap U)$  and  $\bar{V}_\zeta^w - \partial \bar{V}_\zeta^w$  is a distinguished neighbourhood of every point of  $\pi_G \circ J_U^{-1}(\zeta) \cap \Gamma_w(R) (\neq \emptyset)$ . Thus we conclude that  $B \subset F$ . q. e. d.

**Lemma 8.** *Let  $B_w = J_U^{-1}(B) \cap \Gamma_w(U)$  and  $B'_w = \bigcup_n \overline{J_U^{-1}(I_n) \cap \Gamma_w(U)^w}$ , then  $B_w \subset E_w \subset B'_w$ .*

*Proof.* By Lemma 7 we see that  $B_w$  is an open subset in  $E_w$ . Let  $q \in E_w$ , and  $p$  and  $I$  be as above, then by Lemma 4 and 6 we have that  $q \in \overline{J_U^{-1}(I)^w} \cap \Gamma_w(U) \subset B'_w$ . Thus the assertion follows, q. e. d.

*Proof of Theorem 2.* First suppose that  $R \in SO'_w$  and  $u$  be the Poisson integral of the function  $u^* = 0$  on  $B$  and  $= 1$  on  $\partial U - B (= L(G))$ . Recall that  $R$  is of type  $\Pi_0$  if and only if  $u \equiv 0$ , and that  $u \circ g \equiv u$  for every  $g \in G$ , hence  $u$  can be considered also as a function on  $R$ . Now by Lemma 1  $u = 0$  on  $B_w$ , hence on  $B'_w$ . So by Lemma 8 we can see that  $u = 0$  on  $d_w R$ . Thus we conclude from the assumption that  $u \equiv 0$ , that is,  $R$  is of type  $\Pi_0$ .

Conversely, suppose that  $R$  is of type  $\Pi_0$ , and let  $u$  be a bounded harmonic



function on  $R$  vanishing on  $d_w R$ . Then the bounded harmonic function  $u \circ \pi_G$  on  $U$  vanishes on  $E_w$ , and by Lemma 1 and 7  $u^* = 0$  on  $B$ , hence almost everywhere on  $\partial U$  by assumption. Thus  $u \equiv 0$ , and we conclude that  $R \in SO'_w$ . q. e. d.

**Corollary 2.** *Let  $D$  be a parabolic end of a Riemann surface such that  $\partial D$  is a finite set of simple closed curves. Then  $D$  is, as a Riemann surface, of type  $II_0$ .*

*Proof.*  $D$  is of type  $SO_{HB}$  by definition and we see from Proposition 2 that  $D \in SO'_w$  as a Riemann surface. Thus the assertion follows from Theorem 2. q. e. d.

**Corollary 3.** *Let  $D$  be as in Proposition 2. If  $D$  is of type  $SO_{HB}$ , then  $D$  is of type  $II_0$  as a Riemann surface.*

Finally we show by an example that the class  $SO'_w$  is not quasiconformally invariant.

**Example 2.** Let  $f$  be a quasiconformal automorphism of  $U$  such that there is a compact set  $F$  on  $\partial U$  of linear measure zero whose image  $f(F)$  has positive linear measure (cf. [1]). Let  $F'$  be the union of  $F$  and a discrete set on  $\partial U - F$  such that every point of  $F$  is clustered by points of  $F'$  from both sides. And let  $E$  be a countable set of points on  $U$  such that  $\bar{E} \cap \partial U = F'$ , then  $R = U - E$  and  $R' = U - f(E)$  are mutually quasiconformally equivalent. And it is obvious that  $R$  is of type  $SO_{HB}$  as a subregion of  $\bar{C} - \bar{E}$ , hence by Proposition 2 we see that  $R \in SO'_w$ .

On the other hand, we can see as in Example 1 that  $(J_U \circ \eta_{R'})^{-1}(f(F'))$ , which obviously has positive harmonic measure, is disjoint from  $d_w R'$ , hence from Proposition 1 we conclude that  $R' \notin SO'_w$ .

### § 3. On the double of a Riemann surface.

For a Riemann surface of type II, we can consider the double, which is denoted by  $\hat{R}$ , of  $R$ . In this section we are concerned with the double of a surface in  $SO_w$  or  $SO'_w$ . And set

$$DO_x = \{R \text{ is of type II and } \hat{R} \in O_x\}.$$

Then first we show the following

**Theorem 3.** *The following strict inclusion relations hold;*

$$DO_{HB} \longrightarrow SO'_w \longrightarrow DO_{AB},$$

where  $A \rightarrow B$  means that  $A$  is a proper subset of  $B$ .

*Proof.* First suppose that  $R \in DO_{HB}$ , that is,  $\Gamma_w(\hat{R})$  is empty or consists of a single point. Suppose  $(\bar{R}^w - \bar{\partial R}^w) \cap \Gamma_w(\hat{R}) \neq \emptyset$  or  $(\hat{R}_w^* - \overline{(R \cup \partial R)^w}) \cap \Gamma_w(\hat{R}) \neq \emptyset$ ,

where the closure is taken in  $\hat{R}_w^*$ . Then  $\Gamma_w(\hat{R})$  must contains at least two points which is a contradiction. Hence  $(\bar{R}^w - \overline{\partial R}^w) \cap \Gamma_w(\hat{R}) = \emptyset$ , that is,  $R$  is of type  $SO_{HB}$  (as a subregion of  $\hat{R}$ ), as is seen from Characterization Theorem. Because  $R$  satisfies the condition in Proposition 2, we conclude that  $R \in SO'_w$ .

Next suppose that  $R \in SO'_w$ . Then by Theorem 2,  $R$  is of type  $\text{II}_0$ . Let  $f$  be a bounded analytic function on  $\hat{R}$  and  $G = G(R)$ . Then  $\tilde{f}$  can be lifted to a bounded analytic function, say  $\check{f}$ , on  $C - L(G)$ . Because  $L(G)$  has vanishing linear measure on  $\partial U$ ,  $\check{f}$  can be extended to a bounded analytic function on  $C$ , hence a constant. Thus  $f$  is also the constant, and we conclude that  $R \in DO_{AB}$ .

Finally the strictness follows from following examples. q. e. d.

**Example 3.** Let  $F$  and  $E$  be as in Example 2. Then we have seen that  $R = U - E$  belongs to  $SO'_w$ . Here we can see that  $F$  has positive capacity. Then  $\hat{R} = C - \bar{E} \cup \{z : 1/\bar{z} \in E\} \notin O_{HB}$ .

**Remark.** This Example 3 also show that the double of a subregion of type  $SO_{HB}$  (on some Riemann surface) does not necessarily belong to the class  $O_{HB}$ . By modifying [3] Example 2, we can also show that the double of a subregion of type  $SO_{HB}$  does not necessarily belong even to the class  $O_{AD}$ .

**Example 4.** Let  $R_0$  be the famous example of a surface in  $O_{HP} - O_G$  (cf. [6] V 7C), and  $D$  be a compact disk on  $R_0$ . Then  $R = R_0 - D$  belongs to  $U_{HB}$ , hence  $\hat{R} \in U_{HB} \subset O_{AB}$ . But because  $\Gamma_w(R)$  contains a point having positive harmonic measure, we can see that  $R \notin SO'_w$ , for such a point can not belong to  $d_w R$ .

Moreover we can show the following

**Proposition 5.** Let  $R \in SO'_w$  and  $\hat{R}$  be the double of  $R$ . Considering  $R$  as a subregion of  $\hat{R}$ , the relative boundary  $\partial R$  is a countable set of analytic simple arcs not accumulating any point of  $\hat{R}$ , which we denote by  $\{\gamma_n\}_{n=1}^\infty$ . Then

$$\Gamma_w(\hat{R}) \subset \overline{\partial R}^w - \bigcup_n \bar{\gamma}_n^w.$$

*Proof.* First note that  $\hat{R} = (C - L(G))/G$ , where  $G = G(R)$ , and  $\partial R$  corresponds to  $\partial U - L(G)$  under this covering. From the assumption and Theorem 2 we see that  $L(G)$  has vanishing linear measure. Hence we can show that  $R$  is of type  $SO_{HB}$  as a subregion of  $\hat{R}$ . So we have from Characterization Theorem that  $(\bar{R}^w - \overline{\partial R}^w) \cap \Gamma_w(\hat{R}) = \emptyset$ . And similarly we have that  $(\bar{R}'^w - \overline{\partial R}'^w) \cap \Gamma_w(\hat{R}) = \emptyset$ , where  $R' = \hat{R} - \bar{R}$  (, hence in particular,  $\partial R' = \partial R$ ). Thus we conclude that  $\Gamma_w(\hat{R}) \subset \overline{\partial R}^w$ .

Next fix a  $\gamma_n$  and a component, say  $I_n$ , of  $\partial U - L(G)$  corresponding to  $\gamma_n$  arbitrarily. Here note that if  $\gamma_n$  is compact then  $\bar{\gamma}_n^w = \gamma_n$ , hence it is obvious that  $\bar{\gamma}_n^w \cap \Gamma_w(\hat{R}) = \emptyset$ . So suppose that  $\gamma_n$  is not compact. Then it is seen that  $I_n$  is projected univalently onto  $\gamma_n$ . Now let  $U_n$  be the open disk on  $C$  such that  $U_n \cap \partial U = I_n$  and  $\partial U_n$  is orthogonal to  $\partial U$ . Because  $g(U_n) \cap U_n = \emptyset$  for every  $g$  in  $G - \{g_0\}$ , we see that  $U_n$  is projected univalently onto a region, say  $D_n$ , on  $\hat{R}$ .

Then by Proposition A it holds that  $(\bar{D}_n^w - \bar{\partial} \bar{D}_n^w) \cap \Gamma_w(\hat{R})$  is homeomorphic to  $(\bar{U}_n^w - \bar{\partial} \bar{U}_n^w) \cap \Gamma_w(C - L(G))$ , and it is easily seen that the latter set is empty and  $\bar{\gamma}_n^w \subset (\bar{D}_n^w - \bar{\partial} \bar{D}_n^w)$ , hence we conclude that  $\bar{\gamma}_n^w \cap \Gamma_w(\hat{R}) = \emptyset$ . Thus we have that  $\Gamma_w(R)$  is contained in  $\bar{\partial} \bar{R}^w - \bigcup_n \bar{\gamma}_n^w$ , q. e. d.

Next we show the following refinement of Theorem 1.

**Lemma 9.** *If  $R \in SO_w$ , then the number of components of  $\partial R$  (on  $\hat{R}$ ) is finite.*

*Proof.* Let  $\partial R = \bigcup_n \gamma_n$  and  $I_n, U_n$ , and  $D_n$  be as in the proof of Proposition 5. Then by Proposition A and Lemma 8 we can see that  $\{(\overline{D_n \cap R})^w - \bar{\partial}(\overline{D_n \cap R})^w\}_{n=1}^\infty$  is an open covering of  $d_w R = \Gamma_w(R)$ . Because these sets are mutually disjoint and  $\Gamma_w(R)$  is compact, the number of  $\gamma_n$  must be finite. q. e. d.

**Theorem 4.** *The following system of strict inclusion relation holds;*

$$SO_w \longrightarrow DO_G \longrightarrow DO_{HB} \longrightarrow SO'_w \longrightarrow DO_{AB}.$$

*Proof.* If  $R \in SO_w$ , then from Proposition 5 and Lemma 9, we see that  $\Gamma_w(\hat{R})$  is contained in  $\bar{\partial} \bar{R}^w - \bigcup_n \bar{\gamma}_n^w = \bar{\partial} \bar{R}^w - \bigcup_n \bar{\gamma}_n^w = \emptyset$ , that is  $\hat{R} \in O_G$ . And let  $R$  be the surface in Example 1, then it is obvious that  $\hat{R} \in O_G$ , hence we conclude that  $SO_w \rightarrow DO_G$ . Next because the famous example of a surface in  $O_{HP} - O_G$  have an anticonformal involution which leaves analytic simple arcs fixed, we can see that  $DO_G \rightarrow DO_{HB}$ . Thus the assertion follows from Theorem 3. q. e. d.

**Theorem 5.** *The class  $SO_w$  is quasiconformally invariant.*

*Proof.* Let  $R \in SO_w$  and  $R'$  be quasiconformally equivalent to  $R$ . Then  $\hat{R}'$  is quasiconformally equivalent to  $\hat{R}$ . And by Theorem 4, we see that  $\hat{R}$ , hence  $\hat{R}'$  belongs to  $O_G$ . Because  $R'$  is of type  $SO_{HB}$  on  $\hat{R}'$ , the subset  $\eta_{\hat{R}'}^{-1}(\partial R') \cap \Gamma_w(R')$  of  $d_w R'$  is dense in  $\Gamma_w(R')$ .

On the other hand, we see from Lemma 9 that the number of components of  $\partial R'$  (in  $R'$ ) is finite, and let  $\partial R' = \{\gamma_i\}_{i=1}^n$ . Then as in the proof of Proposition 5, we can conclude that  $\bigcup_{i=1}^n \overline{\eta_{\hat{R}'}^{-1}(\gamma_i)}^w \cap \Gamma_w(R') \subset d_w R'$ . Thus we have that  $\Gamma_w(R') = \overline{\eta_{\hat{R}'}^{-1}(\partial R')}^w \cap \Gamma_w(R') = \bigcup_{i=1}^n \overline{\eta_{\hat{R}'}^{-1}(\gamma_i)}^w \cap \Gamma_w(R') = d_w R'$ , that is,  $R' \in SO_w$ . q. e. d.

**§4. On surfaces of type I**

In this section we consider the other extremal class, that is, the class of Riemann surfaces  $R$  such that  $d_w R = \emptyset$ . First note the following

**Proposition 6.**  *$R$  is of type I if and only if  $R$  has the hyperbolic universal covering surface and  $d_w R = \emptyset$ .*

*Proof.* Assume that  $R \notin O_G$ , for if  $R \in O_G$  then it is well-known that  $R$  is of type I. And then the assertion follows from Lemma 7. q. e. d.

**Corollary 4** (cf. [3]). *If  $R \in O_{HB}$  and has the hyperbolic universal covering surface, then  $R$  is of type I.*

*Proof.* Noting that  $R \in O_{HB}$  if and only if  $\Gamma_w(R)$  consists at most a single point, we have the assertion. q. e. d.

**Corollary 5.** *The class  $\{R : d_w R = 0\}$  is quasiconformally invariant.*

Now it seems difficult to determine the class of general Riemann surfaces of type I (, or such that  $d_w R = 0$ ). But we can determine the class of planar such surfaces. For this purpose, in the sequel, we always suppose that  $R$  is planar and a (not necessarily extremal) vertical slit region (, that is,  $C-R$  consists of vertical slits and points). Here recall that it is well-known that any planar region is conformally equivalent to such a region. We call a point  $p \in C-R$  a *faint point* if the component of  $C-R$  containing  $p$  is either  $\{P\}$ , or a slit, say  $E$ , such that each component of  $V-E$  contains a point in  $C-\{R \cup E\}$  for every neighbourhood  $V$  of  $p$  in  $C$ . Then we have the following

**Theorem 6.** *A planar Riemann surface  $R$  is of type I if and only if  $C-R$  contains at least three points and every point of  $C-R$  is a faint point.*

*Proof.* Suppose that  $R$  is of type II, then  $G = G(R)$  is of the second kind, hence there is a disk  $V$  on  $C$  such that  $\bar{V} \cap L(G) = \emptyset$ ,  $\partial V$  is orthogonal to  $\partial U$ , and  $\pi_G$  gives a conformal mapping from  $V_1 = V \cap U$  into  $R$ , which in turn can be extended to a continuous mapping, say  $f$ , from  $\bar{V}_1^w$  into  $R^*$ . Now it is easily seen that the image of  $E_1 = \bar{V}_1^w - (V_1^w \cap U)$  by  $f$  is a single point, say  $P_V$ , of  $R^* - R$ . Let  $E$  be the component of  $C-R$  corresponding to  $P_V$ . If  $E$  consists of a single point, say  $c$ , then  $f \equiv c$  on  $E_1$ , hence by Lemma 1,  $f$  has non-tangential boundary value  $c$  on  $\bar{V} \cap \partial U$  ( $\neq 0$ ). Then  $f \equiv c$  on  $V_1$ , which is a contradiction. Hence  $E$  is a slit.

Thus by mapping  $C-E$  conformally onto  $U$ , we may assume that  $|f| \equiv 1$  on  $E_1$ . Then taking a smaller disk if necessary, we may assume that  $\pi_G|_{V_1}$  is a continuous mapping from  $\bar{V}_1$  into  $\bar{U}$ . And it is easily seen that there is a non-faint point on  $E$  (cf. [3] Example 2). Because the converse is obvious, we have the assertion. q. e. d.

**Corollary 6.** *If  $E$  is a totally disconnected compact set on  $C$  and contains at least three points, then  $C-E$  is of type I.*

**Remark.** There is a Riemann surface  $R$  having only one ideal boundary component such that  $R \notin O_{AD}$  and  $R$  is of type I. In fact, let  $E$  be a countable subset of  $U$  such that  $E$  does not cluster in  $U$  and  $\bar{E} \supset \partial U$ , and consider the two-

sheeted covering surface  $R$  of  $U$  branching at every point of  $E$ . Then we can show that  $R$  is of type I, and other assertions are clear.

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