# **On Whittaker vectors for generalized Gelfand-Graev representations of semisimple Lie groups**

By

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# § 0. Introduction

0.1. Historical background. Early in the 1960's, I.M. Gelfand and M.I. Graev  $\lceil 3 \rceil$  attempted to construct and classify irreducible representations of Chevalley groups over a finite field through irreducible decompositions of the representations induced from characters of a maximal unipotent subgroup. Such an induced representation is called a Gelfand-Graev representation if the character is non-degenerate. They showed that this representation is multiplicity free.

The Gelfand-Graev representations for real semisimple Lie groups are defined in the same way. J. A. Shalika  $[12]$  extended the above multiplicity one theorem to quasi-split linear semisimple Lie groups (more generally, to such groups over a local field). For Chevalley groups, H. Jacquet [6] constructed intertwining operators from the principal series representations to the Gelfand-Graev representations through analytic continuation of an integral operator, so called Whittaker integral. G. Schiffmann [13] treated the problem of analytic continuation of Whittaker integral for linear semisimple Lie groups of real rank one. Using his results, M. Hashizume  $\lceil 4 \rceil$  dealt with it for reductive algebraic groups over  $R$  of higher rank for the spherical principal series representations.

Recently, N. Kawanaka [8] introduced, generalizing the idea of Gelfand-Graev, the generalized Gelfand-Graev representations of Chevalley groups over a finite field, and proved Ennola duality using their characters. As was suggested in [8], these representations seem to give us more precise informations on irreducible representations than those given only by Gelfand-Graev representations.

0.2. As a first step of our study of the generalized Gelfand-Graev representations of real semisimple Lie groups, we extend in this article the above results for Gelfand-Graev representations to the generalized ones. Standing at the same point of view as [4], we treat intertwining operators from the principal series representations to the contragredient representations of generalized Gelfand-Graev representations.

This article consists of two parts. In the first part  $\S$  2 $\sim$ 3, we deal with a uniqueness property of intertwining operators. The main result of Part I is

Received November 28, 1984.

Theorem 3.7, which gives a multiplicity theorem for the generalized Gelfand-Graev representations. In the second part  $\S$ § 4 $\sim$ 5, we construct explicitly some intertwining operators. For this purpose, we treat Whittaker integral and its analytic continuation. In the following subsections 0.3 and 0.4, we explain, in details, our results in Part I and Part II respectively.

0.3. Uniqueness of intertwining operators. For a precise description of our results, we first explain some notations and definitions on semisimple Lie groups and their representations. Let  $G$  be a connected real semisimple Lie group with finite center. Denote by g its Lie algebra. Let *G=KAN* be an Iwasawa decomposition of G, and  $g = \mathbf{i} \oplus \mathbf{a} \oplus \mathbf{i}$  the corresponding decomposition of g. We denote by W the Weyl group of  $(g, a)$ . Choose a positive system  $\Lambda^+$ in the set *A* of roots of g with respect to a so that  $u = \sum_{\lambda \in \Lambda^+} g_{\lambda}$ , where  $g_{\lambda}$  denotes the root space of a root  $\lambda$ . Let  $U$  be the maximal unipotent subgroup of *G* opposite to *N.*

For a non-zero nilpotent element  $A \in \mathfrak{g}$ , there exists an  $\mathfrak{sl}_2$ -triplet  $\{A, H, B\}$  $\subseteq$ g containing *A*. Using the adjoint representation of  $\mathbf{R}A \oplus \mathbf{R}H \oplus \mathbf{R}B \simeq \mathfrak{sl}(2,\mathbf{R})$ on g, we can associate to *A* a connected unipotent subgroup  $U(1.5)$ <sub>*A*</sub> and its unitary character  $\eta_A$  such that

$$
\eta_A(\exp X) = \exp \sqrt{-1} Q(X, B) \quad \text{for } X \in \mathfrak{u}(1.5)_A,
$$

where  $u(1.5)_{A}$  is the Lie algebra of  $U(1.5)_{A}$  and Q is the Killing form of g. We call the smooth representation  $\pi_{\eta_A}$  of *G* induced from  $\eta_A$  a *generalized Gelfand*-*Graev representation* associated to A (for the precise definition, see 3.1).

Take a subset *F* of the set *II* of simple roots in  $A^+$ . Let  $P_F = M_F A_F N_F$  be a Langlands decomposition of the standard parabolic subgroup  $P_F$  corresponding to  $F$ . This correspondence is given so that the root system generated by  $F$  is the restricted root system of  $M_F$ . Let  $\mathfrak{p}_F = \mathfrak{m}_F \oplus \mathfrak{a}_F \oplus \mathfrak{n}_F$  be the corresponding decomposition of the Lie algebra  $p_F$  of  $P_F$ , and let  $U_F$  (resp.  $u_F$ ) be the opposite of  $N_F$  (resp.  $\mathfrak{n}_F$ ). For a smooth representation  $(\sigma, E_{\sigma})$  of  $M_F$  and  $\nu \in (\mathfrak{a}_F)_c^*$ , we denote by  $(\pi_{\sigma,\nu}, H_{\sigma,\nu})$  the smooth representation of *G* induced from  $\sigma \otimes e^{\nu} \otimes (1_{N_F})$ . For a character  $\eta$  of a closed subgroup  $U'$  of  $U$ , let  $\pi_{\eta}^{\times}$  denote the contragredient representation of  $\pi_{\eta} = \text{Ind}_{U'}^G(\eta)$  (smoothly).

The space  $\text{Hom}_G(\pi_{\sigma,\nu}, \pi_{\eta}^{\vee})$  of intertwining operators from  $\pi_{\sigma,\nu}$  to  $\pi_{\eta}^{\vee}$  is isomorphic to the space  $\mathcal{T}_{\pi}(G)$  (see 2.1) of intertwining  $E_{\sigma}$ -distributions on *G*, and to the space  $Wh_n(H_{\sigma,\nu})$  (see Definition 1.1) of *Whittaker vectors* of type  $(U', \eta)$ .

In §2, we treat the space  $\mathcal{F}_{\pi}(G)$  when  $\eta$  is a character of  $U_{F}$  for some  $F' \subseteq \Pi$ . In case that the number of double cosets in  $U_{F'} \backslash G/P_F$  is at most countable, one can apply a powerful formula by  $F$ . Bruhat which gives an estimate of dim  $\mathcal{T}_{\pi}(G)$ . Unfortunately, this is far from to be countable in general, so his formula can not be applied directly. We modify his theory as follows. One has an estimate of dim  $\mathcal{I}_{\pi}(G)$  in Proposition 2.3 by Bruhat decomposition of *G*. Suggested by this proposition, we treat for every  $s \in W$  the space  $\mathcal{T}_{\pi,s}$  of intertwining distributions on an open subset  $\Omega_s(\supseteq UsP_F)$  of *G* with supports contained in  $USP<sub>F</sub>$ .  $USP<sub>F</sub>$  is divided into continuously many double cosets of  $U<sub>F'</sub> \setminus G/P<sub>F</sub>$  in general, which differs from the case of Bruhat. On the supports of distributions in  $\mathcal{T}_{\pi, s}$ , we obtain Theorem 2.11, the main result of § 2, under the assumption that  $\sigma$  is finite dimensional.

In § 3, we apply Theorem 2.11 to the generalized Gelfand-Graev representations. Then we obtain one of our main results which asserts a uniqueness property of intertwining operators.

Theorem 1 (see Theorem 3.7). *L e t G be a connected real semisimple Lie group of matrices.* Let *A be a non-zero nilpotent element of g and*  $\{A, H, B\} \subseteq g$ be an  $\mathfrak{sl}_2$ -triplet. Assume that there exists a subset  $F'' \subseteq \Pi$  such that  $U(1.5)_A$  can *be taken as*  $U_F$ . Consider *the* generalized Gelfand-Graev representation  $\pi_{\eta_A}$  asso*ciated to A*. Let  $F \subseteq \Pi$ . For a finite dimensional representation ( $\sigma$ ,  $E_{\sigma}$ ) of  $M_F$ *and*  $\nu \in (\mathfrak{a}_F)_c^*$ , *one has* 

- (1)  $\text{Hom}_G(\pi_{\sigma,\nu}, \pi_{\eta_A}^{\vee}) = \{0\} \quad \text{if } \text{Ad}(G)B \cap \mathfrak{n}_F = \emptyset.$ *if F=F",*
- $\left\{\begin{array}{c}\leq\!\!\!\!\dim E_{\mathfrak{a}}\ \, \end{array}\right.$ (2) dim  $\text{Hom}_G(\pi_{\sigma,\nu}, \pi_{\gamma_A}^{\vee})$  |  $if$   $F \mathcal{Q} F''$

The assumption  $"U(1.5)<sub>A</sub>=U<sub>F'</sub>$  for some  $F''\subseteq \varPi"$  of this theorem is essentially satisfied for even nilpotent elements  $A$ , and for all nilpotent elements if  $\mathfrak g$  is a complex semisimple Lie algebra of type  $(A<sub>l</sub>)$ .

Theorem 1 is an extension in a certain sense of Theorem 2.2 of M. Hashizume [4] to the generalized Gelfand-Graev representations. We emphasize the next point for an original value of our theorem. Let  $A \in \mathfrak{g}$  be a non-zero nilpotent element in Theorem 1. For an  $F \subseteq \Pi$ ,  $\neq \emptyset$ , let  $\sigma$  be a finite dimensional representation of  $M_F$  and  $\nu \in (\mathfrak{a}_F)_c^*$ . Then there are no non-zero intertwining operators from  $\pi_{\sigma,\nu}$  to  $\pi_{\eta}^{\vee}$  if  $\eta$  is a non-degenerate character of *U*. So the representation  $\pi_{\sigma,\nu}$  never can be caught within the limit of original Gelfand-Graev representations. However, thanks to Theorem 1 (2), we can expect that irreducible  $\pi_{\sigma,\nu}$  with  $F=F''$  actually occurs in  $\pi_{\gamma_A}^{\vee}$  with finite multiplicity. Therefore it seems to be quite natural to associate with such  $\pi_{\sigma,\nu}$  the nilpotent Ad  $(G)$ -orbit of  $A$ .

0.4. Construction of intertwining operators. In  $\S$ § 4 $\sim$ 5, we construct intertwining operators through analytic continuation of Whittaker integrals. This realizes our expectation in the last part of 0.3. Suggested by Casselman's subrepresentation theorem, we consider the representations  $\pi_{\sigma,\nu}$  induced from the minimal parabolic subgroup  $P=MAN$ . Let  $\sigma$  be an irreducible finite dimensional representation of *M* and  $\nu \in \mathfrak{a}_c^*$ . For an  $s \in W$ , put  $U_s = U \cap s^{-1}Ns$ . For a unitary character  $\eta$  of  $U_s$ , we introduce a *Whittaker integral* after [4]:

$$
(0.1) \t W^{e\vee}(\sigma,\nu,\eta)f(g) = \int_{U_s} \langle e^{\vee}, f(gu) \rangle \eta(u)^{-1} du \t (f \in H_{\sigma,\nu}, g \in G)
$$

for  $e^{\prime} \in E_{\sigma}^{\prime}(0)$ , where  $E_{\sigma}^{\prime}$  is the dual space of  $E_{\sigma}$ . This integral is absolutely convergent if  $\nu$  lies in an open convex domain  $D_s \subseteq a_c^*$  given as

$$
D_s = \{ \nu \in \mathfrak{a}_c^* \colon \langle \text{Re } \nu, \lambda \rangle > 0 \quad \text{for all } \lambda \in \Lambda^+ \text{ such that } s\lambda \in -\Lambda^+ \}.
$$

And the map  $f \rightarrow W^{e\vee}(\sigma, \nu, \eta) f$  gives a non-zero intertwining operator from to  $\pi_{\eta}^{\vee}$ . Moreover it is holomorphic with respect to  $\nu \in D_s$ .

In §5, we examine how far the Whittaker integrals can be extended as meromorphic functions of  $\nu$ . For this purpose, we restrict G to complex semisimple Lie groups. H. Jacquet [6] showed that there exists a subgroup  $W'_{s,n}$ of  $W$  such that the integral  $(0.1)$  can be extended to a holomorphic function on the convex hull  $[W'_{s, \eta}D_s]$  of  $W'_{s, \eta}D_s$  (see Theorem 5.2). Combining this with meromorphic continuation of intertwining operators between the principal series representations, we find out that the integral  $(0,1)$  extends meromorphically to a larger domain than  $[W'_{s,n}D_s]$  in general (see 5.1). We apply this method to Whittaker integrals  $W^{e\vee}(\sigma,\nu,\,\eta_A)f(g)$  for the generalized Gelfand-Graev representations  $\pi_{\,\overline{\eta}_{\,\overline{A}}}$  in case that  $U(1.5)_A{=}U_s$  for some  $s\!\in\!W$ .

*A* In Proposition 5.11, we give a sufficient condition for meromorphic continuation of  $W^{e\vee}(\sigma, \nu, \eta_A) f(g)$  to the whole  $\mathfrak{a}_c^*$  when  $A$  is a certain nilpotent element such that the Ad (G)-orbit through A intersects  $\sum_{\lambda \in \Pi} g_{-\lambda}$ , the sum of root spaces  $g_{-\lambda}$  for simple roots  $\lambda$ . If the above A is an even nilpotent element, the function  $\nu \rightarrow W^{e\check{}}(\sigma, \nu, \eta_A) f(g)$  extends to a meromorphic function on  $\alpha_c^*$  (Corollary 5.12). We apply Proposition 5.11 to complex simple Lie groups in the subsections 5.4 $\sim$ 5.7. The complex simple Lie algebra of type  $(A_i)$  is special in the point that every nilpotent Ad (G)-orbit intersects  $\sum_{\lambda \in \Pi} \mathfrak{g}_{-\lambda}$ . We establish, in Theorem 5.13, meromorphic continuation of Whittaker integral  $W^{e\vee}(\sigma, \nu, \eta_A) f(g)$ to the whole  $a_c^*$  for every nilpotent element *A*, when g is of type  $(A_i)$ . We regard these Corollary 5.12 and Theorem 5.13, especially the latter, as the results of great importance in this article. We summarize them in the following theorem.

Let  $g = \bigoplus_{1 \leq i \leq p} g^{(i)}$  be the direct sum decomposition of a complex semisimple Lie algebra  $\frak g$  into simple ideals  $\frak g^{(i)}$ . For  $X\in \frak g$ , write  $X=\sum_{1\leq i\leq p}X^{(i)}$  with  $X^{(i)}$  $\in \mathfrak{g}^{(i)}$  for  $1 \leq i \leq p$ .

Theorem 2 (see Corollary 5.12 and Theorem 5.13). *L e t G be a connected complex semisimple Lie group.* Let  $A_0$  *be a nilpotent element in*  $\sum_{\lambda \in \Pi} g_{-\lambda}$  *such that* each  $g^{(i)}$  component  $A_0^{(i)}$  of  $A_0$  is even unless  $g^{(i)}$  is of type  $(A_i)$ . Then *there ex ists an* Ad *(G)-conjugate A of A <sup>o</sup> satisfy ing the following conditions (1) and* (2).

- (1) *There exists a subset*  $F(A)$  *of*  $\Pi$  *such that*  $U(1.5)$  *A can be chosen as*  $U_{F(A)}$
- *(2) The function*  $u \rightarrow W^{e\check{}}(\sigma, \nu, \eta_A) f(g)$  *extends to a meromorphic function on the whole*  $a_c^*$ *, for*  $\sigma \in \hat{M}$ *,*  $f \in (H_{\sigma,\nu})_K$  *and*  $g \in G$ *.*

Here  $\hat{M}$  denotes the set of characters of the torus  $M$ , and  $(H_{\sigma,\nu})_K$  the space of *K*-finite vectors in  $H_{\sigma}$ .

For general  $\mathfrak g$ , it is no longer true that every nilpotent Ad  $(G)$ -orbit intersects  $\sum_{\lambda \in \Pi} \mathfrak{g}_{-\lambda}$ . Here is a technical limit of Proposition 5.11. Moreover, there exist nilpotent Ad  $(G)$ -orbits such that the corresponding Whittaker integrals never can be extended meromorphically to  $a_c^*$  within the limit of our present method. We give in 5.5 such an example for the complex simple Lie groups of type  $(G_2)$ . In this case, the Whittaker integral can be extended meromorphically to a half space by our method. But we do not know whether it can be extended to a meromorphic function on a larger domain or not.

Our method is fairly successful in order to show that Whittaker integral  $W^{e\vee}(\sigma, \nu, \eta_A) f(g)$  extends meromorphically to the whole  $\mathfrak{a}_c^*$ . However, it would be necessary to consider not only our present method but also other ones for the general treatment of analytic continuation of Whittaker integrals.

In the last part of § 5, we list up, for complex simple Lie groups of rank 2, our results of analytic continuation of Whittaker integrals  $W^{e\vee}(\sigma, \nu, \eta_A) f(g)$ 

The author expresses his hearty thanks to Professor T. Hirai for his valuable advices and constant encouragements.

# § 1. Notations and preliminaries

We explain the notations used throughout this paper.

1.1. Smooth representations of Lie groups. Let *G* be a Lie group countable at infinity (i.e., G may be written as a countable union of compact subsets). We denote by g the Lie algebra of  $G$ . We identify g with the space of right  $G$ -invariant vector fields on  $G$  as follows:

(1.1) 
$$
Xf(g) = \frac{d}{dt} f(\exp(-tX)g)|_{t=0} \qquad (g \in G)
$$

for  $X \in \mathfrak{g}$  and a differentiable function *f* on *G*. Let  $U(\mathfrak{g}_{c})$  be the universal enveloping algebra of the complexification  $g_c$  of g. Then  $U(g_c)$  is naturally identified with the algebra of all right G-invariant differential operators through (1.1).

Let us recall the notion of smooth representations of *G* after [4] and [16]. In this subsection, we denote by *E* a locally convex, complete, Hausdorff, topological vector space. Let  $\mathcal{C}^{\infty}(G, E)$  be the space of all E-valued smooth functions on *G*. We shall equip  $\mathcal{C}^{\infty}(G, E)$  with the topology of uniform convergence on compact subsets of a function and its derivatives. This topology is called the Schwartz topology for  $C^{\infty}(G, E)$ . Let  $\mathcal{D}(G, E)$  be the space of all E-valued smooth functions on  $G$  with compact supports. For a compact subset  $\Omega$  of  $G$ ,  $\mathcal{D}_{\mathcal{Q}}(G, E)$  denotes the space of all functions in  $\mathcal{D}(G, E)$  with supports contained in  $\Omega$ . We define a topology of  $\mathcal{D}_{\Omega}(G, E)$  by the topology inherited from the Schwartz topology for  $C^{\infty}(G, E)$ . Then the space  $\mathcal{D}(G, E)$  is topologized as the strict inductive limit of the spaces  $\mathcal{D}_{\mathcal{Q}}(G, E)$ , where  $\Omega$  ranges all compact subsets of *G*. This topology is called the Schwartz topology for  $\mathcal{D}(G, E)$ . In case  $E=C$ , we drop the symbol *E* in the above notations.

Let  $\pi$  be a continuous representation of *G* on *E*. A vector  $v \in E$  is called a *smooth vector* for  $\pi$  if *E*-valued function  $\tilde{v}: g \to \pi(g)v$  on *G* is in  $\mathcal{C}^{\infty}(G, E)$ . We denote by  $E^{\infty}$  the space of all smooth vectors in *E*. Then  $E^{\infty}$  is a  $\pi(G)$ stable dense subspace of *E*. The map  $E^{\infty} \ni v \rightarrow \tilde{v} \in C^{\infty}(G, E)$  is a linear injection and the image of  $E^{\infty}$  is a closed subspace of  $C^{\infty}(G, E)$ . We identify  $E^{\infty}$  with this closed subspace of  $C^{\infty}(G, E)$  through this mapping. This induced topology on  $E^{\infty}$  is, in general, finer than that inherited from  $E$ . Henceforth, unless specifically stated to the contrary, we shall consider  $E^{\infty}$  as equipped with the finer topology. We call  $(\pi, E)$  a *smooth representation* if  $E=E^{\infty}$  with coincidence of topologies.

For a continuous representation  $(\pi, E)$  of *G*, the operators  $\pi(x)$   $(x \in G)$ restricted to  $E^{\infty}$  define a smooth representation  $\pi_{\infty}$  of  $G$  on  $E^{\infty}$ . This representation  $(\pi_{\infty}, E^{\infty})$  is called the *smooth representation associated to*  $\pi$ .

We explain the notion of smoothy induced representations. For a Lie group *G*, we denote by  $d_Gx$  (or simply  $dx$ ) a left Haar measure on *G* and by  $\delta_G$  (or simply  $\delta$ ) the modular function on *G* with respect to  $d_g x$ :  $\delta_g(y) = d_g(xy)/d_gx$  $(y \in G)$ . Let *H* be a closed subgroup of *G*. For a smooth representation *a* of *H* on a Fréchet space *F*, we consider the space  $\mathcal{D}_n(G, F)$  of all *F*-valued smooth functions *f* on *G* satisfying the following conditions (1) and (2).

(1) 
$$
f(xh) = \sqrt{\frac{\delta_H(h)}{\delta_G(h)}} \sigma(h^{-1}) f(x) \qquad (x \in G, h \in H),
$$

*(2) f* has a compact support mod *H,* in other words, the canonical image in  $G/H$  of the support of  $f$  is compact.

We define a topology of  $\mathcal{D}_{\sigma}(G, F)$  in the following way. For a compact subset  $\Omega$  of *G*, denote by  $\mathcal{D}_{\sigma}$ ,  $\Omega$ (*G*, *F*) the space of all functions in  $\mathcal{D}_{\sigma}$ (*G*, *F*) with supports contained in  $\Omega H$ . We place on  $\mathcal{D}_{\sigma,\Omega}(G, F)$  the relative topology inherited from  $C^{\infty}(G, F)$ . Equip  $\mathcal{D}_{\sigma}(G, F)$  with the strict inductive limit of the topologies of  $\mathcal{D}_{\sigma,\mathcal{Q}}(G, F)$ , where  $\Omega$  ranges all compact subsets of G. Then  $\mathcal{D}_{\sigma}(G, F)$  is an LF-space, that is, a strict inductive limit of a sequence of Fréchet spaces. The left translation defines a smooth representation  $\pi_{\sigma}$  of *G* on  $\mathcal{D}_{\sigma}(G, F)$ , which is called the *smooth representation induced from a.*

Let  $\pi$  be a continuous representation of *G* on *E*. We denote by  $E^{\sim}$  the the space of all continuous linear functionals on *E* with strong dual topology. We consider the contragredient representation (in algebraic sense)  $\pi^{\vee}$  on  $E^{\vee}$ defined by  $\langle \pi \rangle(x)T$ ,  $v\rangle = \langle T, \pi(x^{-1})v \rangle$   $(x \in G, v \in E, T \in E)$ . If the representation  $(\pi, E)$  is smooth and  $E^{\times}$  is complete with respect to the strong dual topology  $(\pi^{\sim}, E^{\sim})$  defines a smooth representation.

**1.2. Notations for semisimple Lie groups.** We prepare some notations for real semisimple Lie groups and Lie algebras. Let G be a connected real semisimple Lie group with finite center. Denote by  $\mathfrak g$  its Lie algebra. Let  $\theta$ be a Cartan involution of g, and  $g = f \oplus g$  the corresponding Cartan decomposi-

tion. Here f (resp. q) is the space of all  $X \in \mathfrak{g}$  such that  $\theta X = X$  (resp.  $\theta X =$  $-X$ ). Let a be a maximal abelian subspace of q. By *A* we denote the set of all roots of g with respect to a and by  $A^+$  a set of positive roots in  $A$ . Put  $n=\sum_{\lambda\in\Lambda^+} g_{\lambda}$  and  $n=\theta n$ , where  $g_{\lambda}$  is the root space corresponding to a root  $\lambda$ . Let *K*, *A*, *N* and *U* be the analytic subgroup of *G* corresponding to  $\mathfrak{k}$ ,  $\mathfrak{a}$ ,  $\mathfrak{n}$  and n respectively. Then  $G=KAN$  (resp.  $g=tf\theta a\theta w$ ) is an Iwasawa decomposition of *G* (resp. g). For a Lie subgroup *H* of *G* and Lie subalgebras  $\mathfrak h$  and  $\mathfrak x$  of  $\mathfrak g$ , we denote by  $Z_H(\mathfrak{g})$  (resp.  $\mathfrak{z}_h(\mathfrak{g})$ ) and  $N_H(\mathfrak{g})$  (resp.  $\mathfrak{n}_h(\mathfrak{g})$ ) the centralizer of  $\mathfrak{g}$  in *H* (resp. b) and the normalizer of  $\chi$  in *H* (resp. b) respectively. Put  $M = Z_K(\mathfrak{a})$ (resp.  $m = 3<sub>t</sub>(a)$ ). Then  $P = MAN$  (resp.  $p = m \oplus a \oplus n$ ) is a minimal parabolic subgroup of *G* (resp. a minimal parabolic subalgebra of g). We denote by *W* the Weyl group of (g, a). Then  $W = N_K(a)/M$ . For an  $s \in W$ , we denote a fixed representative of *s* in  $N_K(\mathfrak{a})$  again by *s*.

Let *H* be the set of simple roots in  $A^+$ . For a subset *F* of *H*, let  $\langle F \rangle$ denote the set of positive roots written as linear combinations of the elements in *F*, and put  $\langle F \rangle' = \Lambda^+ \setminus \langle F \rangle$ .

For a subset  $\mathfrak h$  of g, we denote by  $\mathfrak h^{\perp}$  the orthogonal complement of  $\mathfrak h$  in g with respect to the Killing form *Q* of g. For  $\nu \in \mathfrak{a}^*$ , the dual space of  $\mathfrak{a}$ , define  $H_{\nu} \in \mathfrak{a}$  by  $\nu(H) = Q(H, H_{\nu})$  for all  $H \in \mathfrak{a}$ . We introduce some subalgebras of g as follows

$$
\begin{aligned}\n\mathfrak{a}(F) &= \sum_{\lambda \in F} \mathbf{R} H_{\lambda} \,, & \mathfrak{a}_F &= \mathfrak{a} \cap \mathfrak{a}(F)^{\perp} \,, \\
\mathfrak{n}(F) &= \sum_{\lambda \in \langle F \rangle} \mathfrak{g}_{\lambda} \,, & \mathfrak{n}_F &= \sum_{\lambda \in \langle F \rangle} \mathfrak{g}_{\lambda} \,, \\
\mathfrak{n}(F) &= \theta \mathfrak{n}(F) \,, & \mathfrak{n}_F &= \theta \mathfrak{n}_F \,. \n\end{aligned}
$$

Moreover

 $\lim_{F}=\ln(F)\bigoplus \mathfrak{a}(F)\bigoplus \mathfrak{m} \bigoplus \mathfrak{n}(F)$ ,  $\qquad \ \ \mathfrak{l}_{F}=\mathfrak{m}_{F}\bigoplus \mathfrak{a}_{F}$ 

$$
\mathfrak{p}_F\!=\!\mathfrak{m}_F\!\!\oplus\!\mathfrak{a}_F\!\!\oplus\!\mathfrak{m}_F.
$$

Then  $p_F$  is self-normalizing and is called the standard parabolic subalgebra of g corresponding to F. The subalgebra  $m_F$  is  $\theta$ -stable, hence reductive in g. By  $A(F)$ ,  $A_F$ ,  $N(F)$ ,  $N_F$ ,  $U(F)$  and  $U_F$  we denote the analytic subgroup of G corresponding to  $a(F)$ ,  $a_F$ ,  $n(F)$ ,  $n_F$ ,  $n(F)$  and  $n_F$  respectively. Then one has decompositions  $A = A(F) \times A_F$ ,  $N = N(F) \times N_F$  and  $U = U(F) \times U_F$ .

Denote by  $W_F$  the subgroup of W generated by reflections corresponding to the elements of *F*, and put  $P_F = PW_F P$ . Then  $P_F$  is self-normalizing and is the normalizer of  $n_F$  in G, which is called the standard parabolic subgroup of *G* corresponding to *F.* Put  $L_F = Z_G(\mathfrak{a}_F)$ , then  $L_F = M_F A_F$  with  $M_F = Z_K(\mathfrak{a}_F)$  $(M_F)_0$ , where  $(M_F)_0$  is the analytic subgroup of G with Lie algebra  $m_F$ . An Iwasawa decomposition of  $M_F$  is given by  $M_F = K(F)A(F)N(F)$  with  $K(F) =$  $K \cap M_F$ . The group  $P_F$  admits a Langlands decomposition  $P_F = M_F A_F N_F$ .

For a smooth representation  $\sigma$  of  $M_F$  on a Fréchet space  $E_{\sigma}$  and  $\nu \in (\mathfrak{a}_F)_c^*$ , we denote by  $(\pi_{\sigma,\nu}, H_{\sigma,\nu})$  the smooth representation of G induced from the representation  $\sigma \otimes e^{\nu} \otimes 1_{N_F}$  of  $P_F=M_F A_F N_F$ . The space  $H_{\sigma,\nu}$  consists of  $E_{\sigma}$ -valued smooth functions *f* on *G* such that  $f(xman) = a^{-\nu-\rho}\sigma(m)^{-1}f(x)$  for  $x \in G$ ,  $m \in M$ 

 $a \in A_F$  and  $n \in N_F$ . Here  $\rho = 2^{-1} \sum_{\lambda \in A^+} (\dim g_\lambda) \lambda$  and we put  $a^\mu = \exp \langle \mu, H \rangle$  for  $\mu \in \mathfrak{a}_c^*$  and  $a = \exp H \in A$ . Each element  $\mu'$  in  $\mathfrak{a}(F)_c^*$  (resp.  $(\mathfrak{a}_F)_c^*$ ) is regarded as an element in  $\mathfrak{a}_{c}$ <sup>\*</sup> with  $\langle \mu', \mathfrak{a}_{F} \rangle = \{0\}$  (resp.  $\langle \mu', \mathfrak{a}(F) \rangle = \{0\}$ ).

**1.3. Definition of Whittaker vectors.** Let  $U'$  be a closed subgroup of  $U$ . For a character (i.e., one dimensional representation)  $\eta$  of *U'*, we put

$$
\mathcal{C}_{\eta}^{\infty}(G) = \{ f \in \mathcal{C}^{\infty}(G) \colon f(gu) = \eta(u)f(g) \quad \text{for } g \in G \text{ and } u \in U' \},
$$

and equip it with the topology inherited from the Schwartz topology for  $\mathcal{C}^{\infty}(G).$ *G* acts on  $C_m^{\omega}(G)$  by the left translation which defines a smooth representation  $\tilde{\pi}_n$ .

We introduce the notion of Whittaker vector as a generalization of that of Kostant in  $[10]$ .

**Definition 1.1.** For a smooth representation  $\pi$  of *G* on a Fréchet space *E*, put

$$
\operatorname{Wh}_{\eta}(E^{\vee}) = \{ T \in E^{\vee} \colon \pi^{\vee}(u)T = \eta(u)T \quad \text{for } u \in U' \}.
$$

Each element in the space  $Wh_{\eta}(E^{\vee})$  is called a *Whittaker vector of type*  $(U', \eta)$ .

Let  $(\pi_i, E_i)$  (i=1, 2) be continuous representations of G on locally convex, complete, Hausdorff, topological vector spaces  $E_i$ . We denote by Hom<sub> $G(\pi_1, \pi_2)$ </sub> the space of continuous intertwining operators from  $E_1$  to  $E_2$ .

The next lemma makes clear the relation between Whittaker vectors and intertwining operators.

**Lemma 1.2** ([4, § 1]). *Under the notations o f Definition* 1.1, *one has isomorphisms of vector spaces* 

$$
\operatorname{Wh}_{\eta}(E^{\vee}) \simeq \operatorname{Hom}_{G}(\pi, \; \tilde{\pi}_{\eta}) \simeq \operatorname{Hom}_{G}(\pi, \; \pi_{\eta}^{\vee}).
$$

*The correspondence is given as follows:*

- (1)  $\operatorname{Wh}_{\eta}(E^{\vee}) \ni T \longrightarrow A_T \in \operatorname{Hom}_G(\pi, \pi_{\eta})$  $A_T(v)(g) = \langle \pi^{\vee}(g)T, v \rangle$  *for*  $v \in E$  *and*  $g \in G$ .
- (2)  $\text{Hom}_G(\pi, \, \tilde{\pi}_\eta) \ni A \longrightarrow A^{\vee} \in \text{Hom}_G(\pi, \, \pi_\eta^{\vee}),$

$$
\langle A^{\vee}v, P_{\eta}\phi\rangle = \int_{G} (Av)(x)\phi(x)d_{G}x \quad \text{for } v \in E \text{ and } \phi \in \mathcal{D}(G).
$$

*Here*  $P_n$  *is an open continuous linear surjection from*  $\mathcal{D}(G)$  *to*  $\mathcal{D}_n(G)$  *given by*

$$
(P_{\eta}\psi)(x) = \int_{U'} \psi(xu')\eta(u')du' \qquad (\phi \in \mathcal{D}(G), \ x \in G),
$$

*and du' denotes a Haar measure on U'.*

# **Part I. On uniqueness of Whittaker vectors for generalized Gelfand-Graev representations**

### **§2. Distributions corresponding to Whittaker vectors**

Let *G* be a connected real semisimple Lie group with finite center. In this section, we treat Whittaker vectors using Bruhat's method.

Let *F*,  $F' \subseteq \Pi$ . Let  $\sigma$  be a smooth representation of  $M_F$  on a Fréchet space  $F_a$ ,  $\eta$  a character of  $U_{F'}$  and  $\nu \in (\alpha_F)_c^*$ . By the canonical projection  $\mathcal{D}(G, E_a)$  $\rightarrow$ *H<sub>σ, v</sub>*, each element in  $H_{\sigma}^{\vee}$ , can be viewed as an  $E_{\sigma}$ -distribution on *G*. Consider Whittaker vectors of type  $(U_{F'}, \, \eta)$  in  $H_{\sigma,\,\nu}^{\!\!\!\!\sim}$ . We study the supports of distributions corresponding to these Whittaker vectors. Our main result of this section is Theorem 2.11 under the assumption that  $\sigma$  is finite dimensional.

**2.1.** Whittaker vectors and intertwining distributions. For  $\phi \in \mathcal{D}(G, E_{\sigma})$ , put

$$
(P_{\sigma,\nu}\phi)(x) = \int_{M_F \times A_F \times N_F} a^{\nu+\rho} \sigma(m) \phi(xman) dm da dn,
$$

where *dm*, *da* and *dn* are Haar measures on  $M_F$ ,  $A_F$  and  $N_F$  respectively.  $P_{\sigma,\nu}$ gives an open continuous linear surjection from  $\mathcal{D}(G, E_{\sigma})$  to  $H_{\sigma,\nu}$ . Then  $P_{\sigma,\nu}$ induces a linear injection  ${}^tP_{\sigma,\nu}: H^{\vee}_{\sigma,\nu} \to \mathcal{D}(G, E_{\sigma})^{\vee}$  by  $\langle {}^tP_{\sigma,\nu}T_0, \phi \rangle = \langle T_0, \phi \rangle$ for  $T_0 \in H_{\sigma,\nu}^{\times}$  and  $\phi \in \mathcal{D}(G, E_{\sigma})$ . The image  ${}^tP_{\sigma,\nu}H_{\sigma,\nu}^{\times}$  consists of all  $T \in \mathcal{D}(G, E_{\sigma})$ such that

$$
\langle T, R_{p^{-1}} \phi \rangle = \langle T, a^{\nu-\rho} \sigma(m) \phi \rangle
$$
 for  $p = man \in M_F A_F N_F$  and  $\phi \in \mathcal{D}(G, E_{\sigma})$ ,

where  $R_y \phi(x) = \phi(xy)$  (x,  $y \in G$ ). Moreover one easily has

**Lemma 2.1.**  ${}^{t}P_{\sigma}$ , *induces a linear bijection from*  $Wh_{\eta}(H_{\sigma}^{\vee})$  *to the space of*  $all \, T \in \mathcal{D}(G, E_{\sigma})^{\vee}$  *such that* 

$$
(2.1) \t\t \langle T, L_{u^{-1}}R_{p^{-1}}\phi \rangle = \langle T, \eta(u)a^{\nu-\rho}\sigma(m)\phi \rangle
$$

for  $p = man \in M_F A_F N_F$ ,  $u \in U_{F'}$  and  $\phi \in \mathcal{D}(G, E_{\sigma})$ , where  $L_y \phi(x) = \phi(y^{-1}x)$  (x  $y \in G$ ).

We define an action of the product group  $U_{F} \times P_F$  on *G* by

$$
(u, p) \cdot g = u g p^{-1}
$$
 for  $(u, p) \in U_{F'} \times P_F$  and  $g \in G$ .

Then  $U_{F} \times P_F$  acts on spaces of functions on *G*, and then on spaces of distributions on *G* by duality. For example,  $\phi^x(g) = \phi(x^{-1} \cdot g)(g \in G)$ 

$$
\langle T^x, \phi \rangle = \langle T, \phi^{x^{-1}} \rangle \quad \text{for } x \in U_{F'} \times P_F, \ \phi \in \mathcal{D}(G, E_{\sigma}), \ T \in \mathcal{D}(G, E_{\sigma})^{\vee}.
$$

Define a smooth representation  $\pi$  of  $U_{F'} \times P_F$  on  $E_{\sigma}$  by  $\pi(y) = \eta(u)a^{\nu-\rho}\sigma(m)$  for  $y = (u, man)$  with  $u \in U_F$  and  $man \in M_F A_F N_F$ . Now put

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 $\mathcal{F}_*(G) = \{T \in \mathcal{D}(G, E_a)^{\vee} : T^x = {}^t\pi(x)T\}$ for  $x \in U_F$   $\times$   $P_F$ *}*.

where  $\int_{-\pi(x)}^{\pi(x)}$  is the transpose of the operator  $\pi(x)$ . By Lemma 2.1, one has

(2.2) *Whi,(H,-,)=Er"(G).*

Now we give an explicit description of orbits of  $U_F \times P_F$  on G. First we have a decomposition by Bruhat

$$
G = \bigcup_{s \in W/W_F} G_s \text{ (disjoint union) with } G_s = UsP_F.
$$

Then  $G_s = (U \cap sU_F s^{-1})sP_F$  and every element of  $G_s$  is expressed uniquely as a product of elements of  $U \cap sU_Fs^{-1}$  and  $sP_F$ . And  $G_s$  becomes a normal submanifold of *G* diffeomorphic to the product  $(U \cap sU_F s^{-1}) \times P_F$  in the canonical way. Using this this formula and the semidirect product decomposition  $U=U(F')\ltimes U_F$ , we obtain the following lemma.

**Lemma 2.2.** For an  $s \in W$ ,  $G_s = (U(F') \cap sU_F s^{-1})U_F sP_F$ , and it has an expres- $S_i$ *sion*  $G_s = (U(F') \cap sU_F s^{-1})(U_F \cap sU_F s^{-1})sP_F$  *in such a way that it is diffeomorphic*  $t$ *o* the product of  $U(F') \cap sU_F s^{-1}$ ,  $U_{F'} \cap sU_F s^{-1}$  and  $P_F$  in the canonical way.

Consequently, *G* admits a decomposition

(2.3) 
$$
G = \bigcup_{s \in W/W_F} \bigcup_{y \in U(F') \cap sU_{F} s^{-1}} U_{F'} y s P_F \quad \text{(disjoint union)}.
$$

In general, the number of orbits of  $U_{F} \times P_F$  in *G* is not countable, which is contrary to the case of Bruhat. This prevents us from direct application of Bruhat's theorem  $(16,$  Theorem 5.3.2.3]). However, thanks to the decomposition (2.3), his technique itself is available also in our situation.

For  $s \in W$ , put  $\Omega_s = G_s \cup (\bigcup_{s'} G_{s'})$ , where *s'* ranges through the elements in  $W$  such that dim  $G_{s'} >$ dim  $G_{s}$ . Each double coset  $G_w$  contained in the closure  $\overline{G}_s$  of  $G_s$  has a dimension strictly smaller than that of  $G_s$  unless  $G_w = G_s$ . This implies that  $\Omega_s$  is an open subset of G and that  $G_s$  is a closed submanifold of  $\Omega_s$  ([12, Lemma 1.7]). We put

$$
\mathcal{T}_{\pi,s} = \{ T \in \mathcal{D}(\Omega_s, E_\sigma)^\vee ; \ T^x = {}^t\pi(x)T \quad \text{for } x \in U_{F'} \times P_F, \text{ spt}(T) \subseteq G_s \},
$$

where spt  $(T)$  denotes the support of a distribution  $T$ .

The following proposition is well-known. But we give its proof to clarify the connection between  $\mathcal{T}_{\pi}(G)$  and  $\mathcal{T}_{\pi,s}$ .

**Proposition 2.3.** Let  $\{s_i; 1 \leq j \leq n\} \subseteq W$  be a complete system of representa*tives of the coset space W IW F. Then one has*

$$
\dim \mathcal{I}_{\pi}(G) \leqq \sum_{1 \leq j \leq n} \dim \mathcal{I}_{\pi, s_j}.
$$

*Proof.* We may assume that dim  $G_{s_i} \geq \dim G_{s_{i+1}}$  for  $1 \leq j \leq n-1$ . Put

$$
\mathcal{I}^j = \{ T \in \mathcal{I}_\pi(G) \; ; \; \text{spt}(T) \subseteq \bigcup_{s \in \mathcal{S}_\pi} G_{s_k} \} \quad (1 \leq j \leq n), \quad \mathcal{I}^{n+1} = \{ 0 \}.
$$

For  $1 \leq j \leq n$ , let  $r_j$ :  $\mathcal{D}(G, E_{\sigma})^{\sim} \rightarrow \mathcal{D}(\Omega_s, E_{\sigma})^{\sim}$  be the map defined by restriction. Then we have immediately

$$
r_j \mathcal{I}^j \subseteq \mathcal{I}_{\pi,s_j}
$$
 and Ker  $(r_j|_{\mathcal{I}j}) = \mathcal{I}^{j+1}$  for  $1 \leq j \leq n$ .

Hence

dim  $\mathcal{I}^j \leq$ dim  $\mathcal{I}_{\pi, s_j}$ +dim  $\mathcal{I}^{j+1}$  for  $1 \leq j \leq n$ .

This proves the assertion.  $Q, E, D$ .

2.2. Distributions in  $\mathcal{T}_{\pi,s}$  (I), from  $T \in \mathcal{T}_{\pi,s}$ ) to  $S \text{ (on } \mathcal{O}_1 \subseteq G_s$ ). For an  $s \in W$ , let  $T \in \mathcal{T}_{\pi,s} \setminus \{0\}$  and  $z_0 \in \text{spr}(T)$ . We shall associate to T a quasi-invariant distribution on an open neighbourhood of  $z_0$  in  $G_s$  under the action of  $U_{F} \times P_F$ ([16, 5.2]). We begin with the following lemma.

**Lemma 2.4.** Let  $s \in W$  and put  $I_0 = u \cap Ad(s)u_F$ . Then  $I_0$  is transversal to  $G_s$ . In other words,  $\mathfrak{g} = \mathfrak{l}_0 \oplus T_e(G_s z^{-1})$  for every  $z \in G_s$ . Here  $T_e(G_s z^{-1})$  denotes *the tangent space of G <sup>3</sup> z - <sup>1</sup> a t the unit element e o f G.*

*Proof.* Let 
$$
z=u_1s p
$$
 with  $u_1 \in U \cap sU_F s^{-1}$  and  $p \in P_F$ . Then  
\n
$$
T_e(G_s z^{-1}) = \text{Ad}(u_1) \{ (\text{u} \cap \text{Ad}(s) \text{u}_F) \oplus \text{Ad}(s) \text{u}_F \},
$$

because  $G_s z^{-1} = u_1(U \cap sU_F s^{-1})(sP_F s^{-1})u_1^{-1}$ . Hence it is sufficient to prove

$$
\operatorname{Ad}(u_1)\{(u\cap\operatorname{Ad}(s)u_F)\oplus\operatorname{Ad}(s)\mathfrak{p}_F\}\cap\mathfrak{l}_0=\{0\}.
$$

Since Ad  $(u_1)^{-1}l_0 \subseteq \text{Ad}(s)u_F$ , the left hand side of the above equality is contained in u. Clearly it is contained also in n, whence in  $u \cap u = \{0\}$ . Q. E. D.

Until the end of 2.3, we fix an element *s* in W. Let  $X_1, \dots, X_r$  (resp.  $Y_1, \dots, Y_{r'}$  be a basis of  $I_0$  (resp.  $T_e(G_s s^{-1})$ ). For  $z = u_1 sp$  with  $u_1 \in U \cap sU_F s^{-1}$ and  $p \in P_F$ , put  $Y_j^* = \text{Ad}(u_1)Y_j$  for  $1 \leq j \leq r'$ . Then  $Y_1^*, \dots, Y_{r'}^*$  forms a basis of  $T_e(G_sz^{-1})$ . For  $\alpha = (\alpha_1, \dots, \alpha_r)$  with non-negative integers  $\alpha_i$  ( $1 \leq i \leq r$ ), we put  $X^{\alpha} = X_1^{\alpha_1} \cdots X_r^{\alpha_r} \in U(\mathfrak{g}_c)$ . Similarly  $(Y^z)^{r} = (Y_1^z)^{r_1} \cdots (Y_{r'}^z)^{r_{r'}}$  for  $z \in G_s$  and  $\gamma = (\gamma_1, \cdots, \gamma_{r'})$ . By Poincaré-Birkhoff-Witt's theorem the set  $\{(Y^z)^{\gamma}X^{\alpha}\}_{\gamma,\alpha}$  forms a basis of  $U(\mathfrak{g}_c)$  for every  $z \in G_s$ .

Let *k* be a non-negative integer. For  $x=(u, p) \in U_F \times P_F$  and  $z \in G_s$ , we define a matrix  $A_k(x, z)$  of degree  $l =_{r+k-1}C_{r-1}$  as follows. For  $\alpha = (\alpha_1, \dots, \alpha_r)$ such that  $|\alpha| = \alpha_1 + \cdots + \alpha_r = k$ , we have an expansion

$$
(2.4) \qquad \text{Ad}(u)X^{\alpha} = \sum_{|\beta|=k} a_{\beta\alpha}(x,\,z)X^{\beta} + \sum_{|\beta|
$$

with  $a_{\beta\alpha}(x, z)$ ,  $c_{\beta\gamma}^{\alpha}(x, z) \in \mathbb{C}$ . Put

$$
A_{k}(x, z)=(a_{\beta\alpha}(x, z))_{|\beta|=|\alpha|=k}.
$$

By the definition of  $A_k$ , we easily have the following lemma.

**Lemma** 2.5. The matrix valued function  $A_k$  on  $(U_F \times P_F) \times G_s$  is smooth and

*satisfies the following conditions*  $(1) \sim (3)$ .

- (1)  $A_k((e, p), z) = I$  *(I the identity operator) for*  $p \in P_F$  *and*  $z \in G_s$ .
- (2)  $A_k(x,x',z) = A_k(x,x'\cdot z)A_k(x',z)$  for  $x, x' \in U_{F'} \times P_F$  and  $z \in G_s$
- (3) The matrix  $A_k(x, z)$  is unipotent if  $x \in (U_F \times P_F)_z$ , where  $(U_F \times P_F)_z$ *denotes the stabilizer of z in*  $U_{F} \times P_F$ .

Now let  $T \in \mathcal{T}_{\pi,s} \setminus \{0\}$  and  $z_0 \in \text{spt}(T)$ . In a sufficiently small relatively compact neighbourhood  $\varnothing$  of  $z_0$  in  $\varOmega_s$ , there exist unique  $E$  -distributions  $T$   $_{a}$  on  $\varnothing_1$ =  $\mathcal{O}\cap G_s$  such that

$$
(2.5) \t\t T|_{\mathcal{O}} = \sum_{\alpha} (-1)^{\alpha} X^{\alpha} T_{\alpha} \t\t (finite sum),
$$

where we regard each  $T_{\alpha}$  as an  $E_{\alpha}$ -distribution on  $\varnothing$  by trivial extension ([16, Proposition A.2.1.2]). Let *k* be the largest integer such that  $k = |\alpha|$  for some  $\alpha$  with  $T_{\alpha} \neq 0$  and spt  $(T_{\alpha}) \ni z_0$ . By replacing  $\varnothing$  with a smaller neighbourhood of  $z_0$  if necessary, we may assume that  $T_a = 0$  if  $|\alpha| > k$ .

Now we define an  $(E_q \otimes C')$ -distribution *S* on  $\mathcal{O}_1$  as follows:

$$
S(f) = \sum_{|\alpha| = k} T_{\alpha}(f_{\alpha}) \quad \text{for } f = (f_{\alpha})_{|\alpha| = k} \in \mathcal{D}(\mathcal{O}_{1}, E_{\sigma} \otimes C^{l})
$$

with  $f_{\alpha} \in \mathcal{D}(\mathcal{O}_1, E_{\sigma})$ .

Lemma 2.6. *Let S be as abov e. Then S satisfies*

$$
S^x(f) = S((\pi(x)\otimes^t A_k(x^{-1},\cdot))f(\cdot))
$$

*for*  $x \in U_F$ ,  $\times P_F$  and  $f \in \mathcal{D}(\mathcal{O}_1, E_{\sigma} \otimes C')$  such that  $\text{spt}(f) \subseteq \mathcal{O}_1 \cap x \cdot \mathcal{O}_1$ 

*Proof.* The proof is carried out just in the same way as in [16, 5.2.3]. To clarify the arguments succeeding to this lemma, we give the proof.

Let  $x=(u, p) \in U_F$ ,  $\times P_F$  and  $h \in \mathcal{D}(\mathcal{O}, E_{\sigma})$  such that spt  $(h) \subseteq \mathcal{O} \cap x \cdot \mathcal{O}$ . From the local expression  $(2.5)$  of  $T$ , one has

$$
T^x(h) = \sum_{\alpha} (-1)^{|\alpha|} (X^{\alpha} T_{\alpha})^x(h) = \sum_{\alpha} T_{\alpha} (X^{\alpha} (h^{x-1}))
$$

 $=\sum_{a} T_a(((\text{Ad}(u)X^a)h)^{x-1}).$ 

Using the expansion (2.4), one has

$$
T_{\alpha}(((\mathrm{Ad}\,(u)X^{\alpha})h)^{x-1}) = \sum_{|\beta|=|\alpha|} (-1)^{|\beta|} X^{\beta}(a_{\beta\alpha}(x,\cdot)T_{\alpha})^{x}(h) + \sum_{|\beta|<|\alpha|} (-1)^{|\beta|} (X^{\beta}T'_{\beta})(h),
$$

where  $T'_\beta$  is an  $E_\sigma$ -distribution on  $\mathcal{O}_1 \cap x \cdot \mathcal{O}_1$  for each  $\beta$  such that  $|\beta| < |\alpha|$ . Hence

$$
T^{x}(h) = \sum_{|\beta|=k} (-1)^{k} X^{\beta}(\sum_{|\alpha|=k} a_{\beta\alpha}(x, \cdot) T_{\alpha})^{x}(h) + \sum_{|\beta|< k} (-1)^{|\beta|}(X^{\beta}T^{\prime}_{\beta})(h),
$$

where  $T''_0$  is an  $E_\sigma$ -distribution on  $\mathcal{O}_1 \cap x \cdot \mathcal{O}_1$  for each  $\beta$  such that  $|\beta| < k$ . On the other hand,

$$
T^x(h) = \sum_{|\beta| \leq k} (-1)^{|\beta|} X^{\beta}(t \pi(x) T_{\beta})(h) .
$$

*By* the uniqueness of the local expression (2.5) of *T ,* one has

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$$
T_{\beta}^x = \sum_{|\alpha|=k} a_{\beta\alpha}(x^{-1}, \cdot)^t \pi(x) T_{\alpha} \quad \text{on } \mathcal{O}_1 \cap x \cdot \mathcal{O}_1
$$

for each  $\beta$  such that  $|\beta| = k$ . This proves the lemma. Q. E. D.

2.3. Distributions in  $\mathcal{T}_{\pi,s}$  (II), from *S* (*on*  $\mathcal{O}_1 \subseteq G_s$ ) to  $\tau$  (*on*  $\mathcal{R} \subseteq U(F') \cap$  $sU_F s^{-1}$ ). We shall associate to *S* an  $(E_\sigma \otimes C')$ -distribution  $\tau$  on an open subset  $\mathfrak R$  of  $U(F') \cap sU_F s^{-1}$  satisfying a certain condition with respect to an "action" of  $U_{F}$   $\cap$   $\Omega F_{F}$ *s*<sup>-1</sup> (Proposition 2.10).

We proceed in more general situation. Let *A* and *B* be Lie groups so that *B* acts on *A* as a group of automorphisms of  $A: B \times A \ni (y, x) \rightarrow x^y \in A$ . For a closed subgroup *H* of *A*, put  $M_2 = B \times (A/H)$ . Define an action of *A* on  $M_2$  by

$$
x \cdot (y, x_1 H) = (y, x^{y-1} x_1 H)
$$
  $(x, x_1 \in A, y \in B)$ .

For a Fréchet space E, let  $\Phi$  be a differentiable E-multiplier on  $M_2$  relative to *A*, i.e.,  $\Phi$  is a map from  $A \times M_2$  to  $\mathcal{L}(E)$ , the space of continuous linear operators on  $E$ , such that

- (1)  $\Phi(e, z) = I$  *(I* the identity operator) for  $z \in M_2$ .
- (2)  $\Phi(xx', z) = \Phi(x', z)\Phi(x, x';z)$   $(x, x' \in A, z \in M_2)$ .
- (3) For every  $\xi \in E$ ,  $A \times M_2 \ni (x, z) \rightarrow \Phi(x, z) \xi \in E$  is  $C^{\infty}$ .
- (4) The image of a compact subset of  $A \times M_2$  by any fixed derivative of  $\Phi$ is equicontinuous in  $\mathcal{L}(E)$ .

We consider a quasi-invariant E-distribution *S* on a neighbourhood  $O<sub>1</sub>$  of a point  $z_0 \in M_2$  with multiplier  $\Phi$ :

(2.6) 
$$
S^x(f) = S(\Phi(x^{-1}, \cdot)f(\cdot))
$$
 for  $x \in A, f \in \mathcal{D}(\mathcal{O}_1, E)$ 

such that spt  $(f) \subseteq \mathcal{O}_1 \cap x \cdot \mathcal{O}_1$ . Here  $S^x$  is defined as  $S^x(f) = S(f^{x-1})$  with  $f^{x-1}(z)$  $=f(x \cdot z)$ . Suppose  $z_0 \in$ spt *(S)*.

**Remark 2.7.** (1) Put  $A=U_{F} \times P_{F}$ ,  $B=U(F') \cap sU_{F}s^{-1}$ ,  $H=\{(u, s^{-1}us) \}$  $U_{F'} \cap sP_F s^{-1}$ ,  $x^y = (yuy^{-1}, p)$  for  $x = (u, p) \in A$ ,  $y \in B$ . Then  $M_2$  is identified with  $G_s$  in the canonical way. The action of *A* on  $M_2$  conincides with that of  $U_F$ *,*  $\times$  *P<sub>F</sub>* on  $G_s$ .

(2) Set  $E=E_{\sigma} \otimes C^{\prime}$ ,  $\Phi(x, z)=\pi(x^{-1}) \otimes^{t} A_{k}(x, z)$  for  $x \in A$ ,  $z \in M_{2}$ . Then *S* in Lemma 2.6 satisfies the above condition (2.6).

Put  $M_1 = B \times A$ . Define an action of A on  $M_1$  by

 $\bar{x} \cdot (y, x_1) = (y, xx_1)$  for  $x \in A$ ,  $(y, x_1) \in M_1$ .

Let  $j: M_1 \rightarrow M_2$  be a submersion such that  $j(y, x_1)=(y, x_1H)$  for  $(y, x_1) \in M_1$ . For  $\alpha \in \mathcal{D}(M_1, E)$ , put

$$
f_a(y, x_1H) = \int_H \alpha(y, x_1h) dh \qquad ((y, x_1H) \in M_2),
$$

where *dh* denotes a left Haar measure on *H*. As is well known,  $\alpha \rightarrow f_{\alpha}$  gives

an open continuous linear surjection from  $\mathcal{D}(j^{-1}(\mathcal{O}_1), E)$  to  $\mathcal{D}(\mathcal{O}_1, E)$ . Moreover spt  $(f_{\alpha}) \subseteq j(\text{spt } \alpha)$  for all  $\alpha \in \mathcal{D}(j^{-1}(\mathcal{O}_{1}), E)$ .

Put  $\mathcal{D}_1 = \{(y, x) \in M_1; (y, x^{y^{-1}}) \in j^{-1}(\mathcal{O}_1)\}\.$  In order to associate with S an A-invariant distribution on  $\mathcal{P}_1$ , we put

$$
\alpha'(y, x) = \Phi((x^y)^{-1}, (y, xH))\alpha(y, x^y) \quad \text{for } \alpha \in \mathcal{D}(\mathcal{Q}_1, E).
$$

Then the linear map  $\alpha \rightarrow \alpha'$  gives a topological isomorphism from  $\mathcal{D}(\mathcal{L}_1, E)$  to  $\mathcal{D}(j^{-1}(\mathcal{O}_1), E)$ . There exists a unique E-distribution  $S^*$  on  $\mathcal{P}_1$  such that

 $S^*(\alpha) = S(g_\alpha)$  with  $g_\alpha = f_\alpha$ , for  $\alpha \in \mathcal{D}(\mathcal{Q}_1, E)$ .

We proceed as follows: *S* (on  $\mathcal{O}_1$ ) $\rightarrow$  *S*<sup>\*</sup> (on  $\mathcal{P}_1$ ) $\rightarrow$  *t* (on  $\mathcal{R}$ ) (see infra).

**Lemma 2.8.** The distribution  $S^*$  on  $\mathcal{P}_1$  is invariant under  $A: S^{*x}(\alpha) = S^*(\alpha)$ *for*  $x' \in A$  *and*  $\alpha \in \mathcal{D}(\mathcal{P}_1, E)$  *with* spt  $(\alpha) \subseteq \mathcal{P}_1 \cap \overline{x}' \cdot \mathcal{P}_1$ .

*Proof.* Let  $x'$  and  $\alpha$  be as above. For  $\beta = \alpha^{x'-1}$ , one has

$$
g_{\beta}(y, x_1H) = \int_H \Phi(((x_1h)^y)^{-1}, (y, x_1H))\alpha(y, (x'^{y^{-1}}x_1h)^y)dh.
$$

On the other hand,

$$
(g_{\alpha})^{x'-1}(y, x_1H) = g_{\alpha}(y, x' \nu^{-1} x_1H)
$$
  
= 
$$
\int_H \Phi(((x' \nu^{-1} x_1 h)^{\nu})^{-1}, (y, x' \nu^{-1} x_1 H)) \alpha(y, (x' \nu^{-1} x_1 h)^{\nu}) dh
$$
  
= 
$$
\Phi(x'^{-1}, x' \cdot (y, x_1 H)) \int_H \Phi(((x_1 h)^{\nu})^{-1}, (y, x_1 H)) \alpha(y, (x' \nu^{-1} x_1 h)^{\nu}) dh.
$$

Therefore one has  $g_{\beta} = \varphi(x', \cdot)(g_{\alpha})^{x'-1}$ . Consequently,

$$
S^{*x'}(\alpha) = S(\Phi(x', \cdot)(g_{\alpha})^{x'-1}) = S^{x'-1}((g_{\alpha})^{x'-1}) = S(g_{\alpha}) = S^*(\alpha).
$$
 Q. E. D.

Write  $z_0 = j(y_0, x_0^{y_0})$  with  $y_0 \in B$ ,  $x_0 \in A$ . Then  $(y_0, x_0) \in \mathcal{P}_1$  and  $(y_0, x_0) \in$  $\in$  spt (S<sup>\*</sup>). By replacing  $\mathcal{P}_1$  with a smaller neighbourhood of  $(y_0, x_0)$  if necessary, we may assume that  $\mathcal{P}_1 = \mathcal{R} \times \mathcal{Q}$  with an open neighbourhood  $\mathcal{R}$  (resp.  $\mathcal{Q}$ ) of  $y_0$  (resp.  $x_0$ ) in *B* (resp. A). By Lemma 2.8 combined with the usual "pasting" arguments,  $S^*$  can be extended to a distribution on  $\mathcal{R} \times A$  invariant under *A .* We denote it again by *S\*.*

For  $\alpha \in \mathcal{D}(\mathcal{R} \times A, E)$ , we put

$$
\beta_{\alpha}(y) = \int_{A} \alpha(y, x) dx \qquad (y \in \mathcal{R}),
$$

where  $dx$  is a left Haar measure on A. Then  $\alpha \rightarrow \beta_{\alpha}$  gives a surjective linear map from  $\mathcal{D}(\mathcal{R}\times A, E)$  to  $\mathcal{D}(\mathcal{R}, E)$ , and there exists a unique E-distribution  $\tau$ on  $R$  such that

$$
\tau(\beta_{\alpha}) = S^*(\alpha)
$$
 for  $\alpha \in \mathcal{D}(\mathcal{R} \times A, E)$ .

Obviously  $y_0 \in \text{spt}(\tau)$ . Then  $\tau$  satisfies the condition in the following proposition.

Proposition 2.9. *There exists an open neighbourhood n of the unit of H such that*  $\tau(\beta)=\tau({}^h\beta)$  *for*  $h\in\mathcal{M}$  *and*  $\beta\in\mathcal{D}(\mathcal{R}, E)$ *. Here we put* 

$$
({}^h\beta)(y) = \delta_A(h^{-1})\delta_A(h^y)\Phi(h^y, (y, H))\beta(y) \qquad (y \in \mathcal{R}),
$$

*with*  $\delta_A$  *the modular function of A.* 

*Proof.* Let  $\alpha \in \mathcal{D}(M_1, E)$ . For  $h' \in H$ , we put  $\alpha^{h'}*(y, x) = \alpha(y, xh')$ . Let *At* be a symmetric open neighbourhood of the unit of *H*, and  $Q'$  an open neighbourhood of  $x_0$  in *A* satisfying  $Q'[\mathcal{R}, \mathcal{M}]^{-1} \subseteq Q$  and  $Q' \mathcal{M} \subseteq Q$ . Here we put  $h$ ]= $h^y h^{-1}$  for  $h \in H$ ,  $y \in B$ .

Now let  $\alpha \in \mathcal{D}(\mathcal{R} \times \mathcal{Q}', E)$  and  $h' \in \mathcal{M}$ , then  $\alpha^{h'} * \in \mathcal{D}(\mathcal{Q}_1, E)$ . Obviously one has  $\beta_{a h' *} = \delta_A(h'{}^{-1}) \beta_a$ . On the other hand,

$$
g_{\alpha h' *}(y, xH) = \int_{H} \Phi(((xh)^{y})^{-1}, (y, xH))\alpha(y, (xhh')^{y}[y, h'^{-1}])dh
$$
  

$$
= \delta_{A}(h'^{-1}) \int_{H} \Phi(((xh)^{y})^{-1}, (y, xH))\Phi(h'^{y}, (y, H))
$$

$$
\cdot \alpha(y, (xh)^{y}[y, h'^{-1}])dh.
$$

Therefore, if we define  $\alpha_{h'} \in \mathcal{D}(M_1, E)$  by

$$
\alpha_{h'}(y, x) = \Phi(h'', (y, H))\alpha(y, x[y, h'^{-1}]),
$$

we thus obtain  $g_{\alpha h'} = \delta_A(h'^{-1})g_{\alpha h'}$ . Note that spt  $(\alpha_{h'}) \subseteq \mathcal{P}_1$  by the definition of *n*. Clearly one has  $\beta_{\alpha h} = h'(\beta_{\alpha})$ , and consequently

$$
\tau(\beta_{\alpha}) = \delta_{\Lambda}(h')\tau(\beta_{\alpha}h'*) = S(g_{\alpha}h') = \tau(h'(\beta_{\alpha})).
$$

Since the map  $\mathcal{D}(\mathcal{P}\times\mathcal{Q}', E)\ni\alpha\rightarrow\beta_{\alpha}\in\mathcal{D}(\mathcal{R}, E)$  is surjective, we complete the  $\Box$  proof.  $\Box$   $\Box$   $\Box$ 

We return to our original situation in 2.2. By Remark 2.7 and Proposition 2.9, we conclude

**Proposition 2.10.** Let S be as in Lemma 2.6. Then there exists an  $(E_{\sigma} \otimes C')$ *distribution*  $\tau$  *on an open neighbourhood*  $\mathbb{R} \subseteq U(F') \cap SU_F s^{-1}$  *of*  $y_0$  *satisfying* the *following conditions.*

- (1) spt  $(\tau)\ni y_0$ .
- $(2)$  *There exists a neighbourhood*  ${\mathcal M}$  *of the unit of*  $U_{F'} \cap sP_F s^{-1}$  *such that*  $\tau(\beta) = \tau({}^{\mathfrak{m}} \beta)$  for  $m \in \mathcal{M}$  and  $\beta \in \mathcal{D}(\mathfrak{R},\ E_{\sigma} \otimes C^{\prime}).$  Here we put

$$
({}^m\beta)(y) = {\pi}(ymy^{-1}, s^{-1}ms)^{-1}\otimes {}^tA_k(ymy^{-1}, s^{-1}ms){\beta(y)}.
$$

2.4. Supports of distributions in  $\mathcal{T}_{\pi,s}$ . Now we state the main result of this section which is crucial in the next section.

For an  $s \in W$ , put

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$$
D_{\eta}^{s} = \{ y \in U(F') \cap sU_{F}s^{-1} ; \eta \mid_{U_{F'} \cap y sP_{F}(ys)^{-1}} \equiv 1 \}.
$$

Then  $D_{\eta}^{s}U_{F'}sP_{F}$  is a closed subset of  $G_{s}=USP_{F}$ , in general, of lower dimension.

**Theorem 2.11.** Let the notations be as above. Assume that  $\sigma$  is a finite *dimensional representation of*  $M_F$ . For every  $s \in W$ , *a* distribution in  $T_{\pi,s}$  has *always* the *support contained in*  $D_n^s U_F$  *sP<sub>F</sub>.* 

*Proof.* Let  $T \in \mathcal{T}_{\pi,s}$  and  $z^0 = y_0(x_0 \cdot s) \in \text{spt}(T)$  with  $y_0 \in U(F') \cap sU_F s^{-1}$  and  $x_0 \in U_{F'} \times P_F$ . We use the notations in 2.3 in this situation. Assume that  $y_0 \notin$  $D_{\eta}^s$ . Then there exists an  $m \in \mathcal{M}$  (Proposition 2.10) such that  $\eta(y_0 m y_0^{-1}) \neq 1$ . By Proposition 2.10, we see

$$
\tau_{y}(\{I-\eta(ym^{-1}y^{-1})(\sigma\otimes e^{y-\rho}\otimes 1)(s^{-1}m^{-1}s)\otimes {}^tA_{k}((ymy^{-1}, s^{-1}ms), ys)\}\beta(y))=0
$$

for all  $\beta \in \mathcal{D}(\mathcal{R}, E_{\sigma} \otimes C^{\prime})$ , where  $\tau_y$  means that  $\tau$  is applied on the function in y. On the other hand, the operator  $(\sigma \otimes e^{y-\rho} \otimes 1)(s^{-1}m^{-1}s)$  is unipotent by the highest weight theory of irreducible finite dimensional representations of  $(\lceil \mathfrak{m}_F, \mathfrak{m}_F \rceil)_c$ . By Lemma 2.5 (3), the matrices  ${}^t A_k((ymy^{-1}, s^{-1}ms), ys)$  are unipotent for all  $y \in \mathcal{R}$ . This implies that the operators

$$
I-\eta(ym^{-1}y^{-1})(\sigma\otimes e^{y-\rho}\otimes 1)(s^{-1}m^{-1}s)\otimes^t A_k((ymy^{-1}, s^{-1}ms), ys)
$$

are invertible when y ranges a sufficiently small neighbourhood of  $y_0$  in  $\Re$ . Hence  $y_0 \in spt(\tau)$ . This is a contradiction. Q. E. D.

We apply our result to the case  $F=F'=\emptyset$ . A character  $\eta$  of *U* is called *non-degenerate* if the restriction of  $\eta$  to  $U \cap s^{-1}Ns$  is non-trivial for every  $s \in$  $W\backslash\{e\}$ . The following well-known theorem (e.g., [4]) is a direct consequence of Theorem 2.11.

**Corollary 2.12.** Let  $\eta$  be a non-degenerate character of U. For a finite *dimensional representation*  $(\sigma, E_{\sigma})$  *of M* and  $\nu \in \mathfrak{a}_c^*$ , *one* has

$$
\dim \operatorname{Wh}_{\eta}(H_{\sigma,\nu}^{\vee}) \leqq \dim E_{\sigma}.
$$

*Proof.* This corollary follows from Lemma 2.1, Proposition 2.3, Theorem 2.11 and the fact that  $D_{\eta}^s = \emptyset$  if  $s \neq e$ . Q. E. D.

**Note.** After I had proved Theorem 2.11, I learned the following. For reductive groups over a non-archimedean local field, M. L. Karel treated in *[7]* Whittaker vectors in the similar situation as ours in 2.1 except that  $\sigma$  is supposed to be one dimensional in his case. And he obtained a uniqueness property ([7, Theorem 3.2]) of such Whittaker vectors when the character  $\eta$  is "generic". His method is based on the vanishing of certain integrals over compact subgroups of a unipotent subgroup. So it can not be extended immediately to archimedean cases. Nevertheless, our result (Theorem 2.11) shows, as its direct

consequence, that a fact parallel to non-archimedean cases holds also in archimedean cases.

#### §3. Multiplicity theorem for generalized Gelfand-Graev representations

In this section, we define the genepalized Gelfand-Graev representations of semisimple Lie groups just as in  $\lceil 8 \rceil$ . Using the results in § 2, we prove a multiplicity theorem (Theorem 3.7) for some of such representations.

3.1. Let *G* be a connected real semisimple Lie group with finite center. Retain the notations in 1.2.

Let  $A$  be a non-zero nilpotent element of g. By Jacobson-Morozov, there exists an  $\mathfrak{sl}_2$ -triplet  $\{A, H, B\} \subseteq \mathfrak{g}$  containing A:

$$
[H, A] = 2A, \qquad [H, B] = -2B, \qquad [A, B] = H.
$$

We put

$$
\mathfrak{g}(i)_A = \{X \in \mathfrak{g} \; ; \; [H, X] = iX\},
$$

$$
\mathfrak{u}(i)_A = \bigoplus_{k \geq i} \mathfrak{g}(k)_A, \qquad \mathfrak{u}(i)_A = \bigoplus_{k \geq i} \mathfrak{g}(-k)_A
$$

for each integer *i*. For  $i \ge 1$ , denote by  $U(i)_{A}$  (resp.  $N(i)_{A}$ ) the analytic subgroup of *G* corresponding to  $u(i)_A$  (resp.  $u(i)_A$ ). By the representation theory of  $\mathfrak{sl}_2$ , one has  $\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}(i)_{\mathbb{A}}$ . If one notices that the spaces  $\mathfrak{g}(i)_{\mathbb{A}}$  and  $\mathfrak{g}(j)_{\mathbb{A}}$  are mutually orthogonal with respect to  $Q$  (Killing form) unless  $i+j=0$ , the dual space  $\mathfrak{u}(1)_{\mathcal{A}}$ <sup>\*</sup> of  $\mathfrak{u}(1)_A$  is identified with  $\mathfrak{u}(1)_A$  by  $\mathfrak{u}(1)_A \ni Y \to Y^* \in \mathfrak{u}(1)_A^*$ ,  $\langle Y^*, X \rangle = Q(X, Y)$  for  $X \in \mathfrak{u}(1)_{\mathfrak{A}}$ .

By taking a suitable  $\text{Ad}(G)$ -conjugate of A instead of A, we may assume that  $H \in \mathfrak{a}$  and  $\langle \lambda, H \rangle \leq 0$  for all  $\lambda \in \Lambda^+$ . Put  $F_A' = {\lambda \in \Pi; \langle \lambda, H \rangle = 0}$ , then  $I_{F', A}$  $=\mathfrak{g}(0)_A$  and  $\mathfrak{u}_{F'A}=\mathfrak{u}(1)_A$ .

Let  $\mathfrak{u}(1.5)$ <sup>A</sup> be a subalgebra of  $\mathfrak{u}(1)$ <sup>A</sup> satisfying the following conditions.

 $(1)$   $\text{u}(2)_A \subseteq \text{u}(1.5)_A \subseteq \text{u}(1)_A$ ,

(2)  $u(1.5)$ <sub>*A*</sub> is a subalgebra of  $u(1)$ <sub>*A*</sub> subordinate to  $B^* \in u(1)$ <sub>*A*</sub><sup>\*</sup>, i.e.,  $B^*$ ( $\lceil u(1.5)$ <sub>*A*</sub>,  $u(1.5)_{A}$ ] $)=(0)$ , and of maximal dimension among such subalgebras.

Such a subalgebra  $\mathfrak{u}(1.5)$ <sub>A</sub> actually exists, because  $\mathfrak{u}(2)$ <sub>A</sub> is subordinate to  $B^*$ . Since the alternating bilinear form on  $\mathfrak{g}(1)_A \times \mathfrak{g}(1)_A$  defined by  $(X_1, X_2) \rightarrow$  $B^*([X_1, X_2])$  is non-degenerate,  $\mathfrak{u}(1.5)_{\mathcal{A}}$  can be written as  $\mathfrak{u}(1.5)_{\mathcal{A}} = \mathfrak{u}(2)_{\mathcal{A}} \bigoplus \mathfrak{u}'$  with a vector subspace  $u'$  of  $g(1)_A$  such that  $2 \dim u' = \dim g(1)_A$  and  $B^*([u', u']) = \{0\}.$ Let  $U(1.5)_{A}$  be the analytic subgroup of *G* corresponding to  $\mathfrak{u}(1.5)_{A}$ . We define a unitary character  $\eta_A$  of  $U(1.5)_A$  by

$$
\eta_A(\exp X) = \exp \left\{ \sqrt{-1} \langle B^*, X \rangle \right\} \quad \text{for } X \in \mathfrak{u}(1.5)_A.
$$

**Definition 3.1.** Let  $A \in \mathfrak{g}$  be a non-zero nilpotent element. The smooth representation  $(\pi_{\eta_A}, \mathcal{D}_{\eta_A}(G))$  induced from the character  $\eta_A$  of  $U(1.5)_A$  is called a *generalized Gelfand-Graev representation* associated to *A.*

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In general,  $U(1.5)$  is not uniquely determined by A. Nevertheless, we call every representation defined as above a generalized Gelfand-Graev representation associated to *A*. We note the following: Let  $(\xi_A, E_A)$  be the smooth representation of  $U(1)_A$  associated to the unitary representation of  $U(1)_A$  unitarily induced from  $\eta_A$ . By Kirillov's theory on representations of nilpotent Lie groups,  $(\xi_A, E_A)$  is irreducible and independent (up to equivalence) of a choice of  $U(1.5)_A$ . Moreover  $\mathcal{D}_{\gamma_A}(G)$  is embedded continuously into  $\mathcal{D}_{\xi_A}(G, E_A)$  as a *G*-module.

In case that *A* is a regular nilpotent element of g,  $U(1)_A = U(2)_A = U$ . And  $\eta_A$  gives a non-degenerate character of *U* if g is, at least, quasi-split. In this case, the representation  $\pi_{\eta_A}$  has been called the *Gelfand-Graev representation*. This is the reason why  $\pi_{\eta_A}$  is called a *generalized* Gelfand-Graev representation for each nilpotent element *A.*

When *G* is a connected complex semisimple Lie group, we regard *G* as a real group in the following way: Let  $\mathfrak h$  be a Cartan subalgebra of  $\mathfrak g$ , and  $\mathfrak a$ the real form of  $\mathfrak h$  consisting of elements on which every root with respect to f) takes a real value. Let t be a compact real form of a which contains  $\sqrt{-1}a$ as a maximal abelian subalgebra. Denote by  $\theta$  the conjugation of  $\theta$  with respect to f. These notations f, a and  $\theta$  are compatible with those defined in 1.2. So we use the notations in 1.2.

3.2. We introduce some additional notations and make some preparations for the main result (Theorem 3.7) of this section.

For a real vector space  $\nu$ ,  $\nu_c$  denotes the complexification of  $\nu$ . Let  $\nu$ <sup>-</sup> be a maximal abelian subalgebra of m. Then  $\mathfrak{h}=\mathfrak{h}$   $\oplus$  a is a Cartan subalgebra of g. Denote by  $\tilde{\Lambda}$  the set of roots of  $g_c$  with respect to  $\mathfrak{h}_c$ . Choose a positive system  $\tilde{\Lambda}^+$  of  $\tilde{\Lambda}$  such that  $\tilde{\Lambda}^+|_a \supseteq \Lambda^+$ . For  $F \subseteq H$ , let  $\Lambda_F$  be the set of non-zero (ad  $a_F$ )-weights in g. In general, the set  $A_F$  is not a root system for  $a_F^*$ , nevertheless, it is often called the set of  $a_F$ -roots by abuse of the lauguage. Put  $A_F^+ = A_F \cap (A^+|_{\alpha_F}), H_F = A_F \cap (H|_{\alpha_F}).$  Let  $r_F: A \to A_F \cup \{0\}$  be the map defined by the restriction. Put  $F=r_F^{-1}(\{0\}) \cap H$ , where  $H$  denotes the set of simple roots in  $\tilde{\Lambda}^+$ . Regarding  $\tilde{\theta} = g_c$  as a real Lie algebra, we use the notations in 1.2 with the symbol "~" on the head. For example,  $\tilde{\mathfrak{a}}=\sqrt{-1} \mathfrak{h}$ - $\oplus \mathfrak{a}$ ,  $\tilde{\mathfrak{a}}(F)=\sqrt{-1} \mathfrak{h}$ - $\bigoplus \mathfrak{a}(F)$ ,  $\widetilde{\mathfrak{n}} = \sum_{\alpha \in \widetilde{\Lambda}} \widetilde{\mathfrak{n}}_{\alpha}.$ 

**Lemma 3.2.** Let  $F' \subseteq H$ . Then the nilpotent subalgebra  $\mathfrak{u}_{F'}$  of  $\mathfrak{g}$  has a structure given as  $\mathfrak{n}_{F'} = \sum_{\lambda \in \Pi_F, \mathfrak{g}_{-\lambda}} \oplus [\mathfrak{n}_{F'}, \mathfrak{n}_{F'}],$  where  $\mathfrak{g}_{\mu}$   $(\mu \in \varLambda_{F'})$  denotes the  $\mathfrak{a}_{F'}$ -roor *space corresponding to p.*

*Proof.* It is sufficient to show that the complex Lie algebra  $(u_{F'})_c$  is generated by  $(\sum_{\lambda \in \Pi_F, \mathfrak{g} - \lambda} c)$ . We see easily

$$
(\mathfrak{u}_{F'})_c = \sum_{\alpha \in \langle \widetilde{F'} \rangle} \widetilde{\mathfrak{g}}_{-\alpha}, \qquad (\sum_{\lambda \in \Pi_F, \mathfrak{g}_{-\lambda}})_c = \sum_{\beta} \widetilde{\mathfrak{g}}_{-\beta},
$$

where the last sum  $\sum_{\beta}$  runs through  $\beta \in \langle \tilde{F}' \rangle'$  such that  $\beta|_{\mathfrak{a}_F} \in \Pi_{F'}$ . Let u' be the complex Lie subalgebra generated by  $\sum_{\beta} \tilde{\beta}_{-\beta}$ . Note that  $\sum_{\beta} \tilde{\beta}_{-\beta}$  is  $(I_{F'})_{C^-}$ 

stable, whence so is u'. Put  $\tilde{\Pi} = {\alpha_1, \cdots, \alpha_q}$ . Assume that  $u' \neq (u_{F'})_c$ . Then there exists  $\gamma = \sum_{1 \leq i \leq q} n_i \alpha_i \in \langle \tilde{F}' \rangle'$  with  $n_i \geq 0$  such that  $\tilde{g}_{-i} \not\subseteq \mathfrak{u}'$ . Take a such  $\gamma$ so that the sum  $\sum n_i$  is minimal. As is well known,  $\gamma = \gamma' + \gamma_i$  for some  $\gamma' \in \tilde{\Lambda}^+$ and  $\alpha_j{\in}\Pi$ . Necessarily  $\gamma'{\in}\langle F'\rangle'$ . By the assumption for  $\gamma$ , one has  $\tilde{\mathfrak{g}}_{-\gamma'}{\subseteq}\mathfrak{u}'$ . In case  $\alpha_j \in \langle \tilde{F}' \rangle$ ,  $\tilde{\mathfrak{g}}_{-j} = [\tilde{\mathfrak{g}}_{-j}, \tilde{\mathfrak{g}}_{-a_j}] \subseteq [\mathfrak{u}', (\mathfrak{l}_{F'})_c] \subseteq \mathfrak{u}'$ . In case  $\alpha_j \in \langle \tilde{F}' \rangle'$ ,  $\tilde{\mathfrak{g}}_{-j} \subseteq [\mathfrak{u}', \mathfrak{u}''] \subseteq \mathfrak{u}'$ . This is a contradiction. Q. E. D.  $\lceil u', u' \rceil \subseteq u'$ . This is a contradiction.

Let  $\eta$  be a unitary character of  $U_{F'}$ . By the above lemma, there exists a unique element  $B_{\eta} \in \sum_{\lambda \in \Pi_F, \, \emptyset \lambda}$  such that  $\eta(\exp X) {=} \exp \{ \sqrt{-1} \: Q(B_{\eta},\, X) \}$  for all  $X \in \mathfrak{u}_{F'}$ . The map  $\eta \rightarrow B_n$  gives a bijective correspondence between the set of unitary characters of  $U_{F'}$  and  $\sum_{\lambda \in \Pi_F, \emptyset} \lambda$ .

**Lemma 3.3.** Let  $F \subseteq \Pi$ . For  $s \in W$  and  $y \in U(F') \cap sU_Fs^{-1}$ , the restriction of  $\eta$  to  $U_{F'} \cap \gamma s P_F(\gamma s)^{-1}$  is trivial if and only if  $\operatorname{Ad}(\gamma s)^{-1} B_{\eta} \in \mathfrak{u}_F$ .

*Proof.* We see easily that  $\eta$  restricted to  $U_{F'} \cap ysP_F(ys)^{-1}$  is trivial if and only if Ad  $(y)^{-1}B_{\eta} \in Ad(s)p_{F}^{\perp}$ , since Ad  $(y)^{-1}B_{\eta} \in \mathfrak{u}_{F'}$  and  $\mathfrak{u}_{F'} = \mathfrak{p}_{F'}^{\perp}$ . This means that Ad  $(ys)^{-1}B_{\eta} \in \mathfrak{p}_F^{-1} = \mathfrak{n}_F$ . Q. E. D.

Until the end of this section, we assume that *G* is a connected real semisimple Lie group of matrices. Then  $G$  is contained in the complexified connected matrix group  $\tilde{G}$  having  $\tilde{g}$  (=g<sub>c</sub>) as Lie algebra. Also for  $\tilde{G}$ , we use the notations in 1.2 with the symbol " $\sim$ " on the head. For a Lie subgroup *L* of *G* with Lie algebra I, denote by  $L_c$  the analytic subgroup of  $\tilde{G}$  corresponding to  $\mathfrak{l}_c$ .

**Proposition 3.4.** Let B be an element in  $\mathfrak{n}_{F}$  such that  $[B, \mathfrak{p}_{F'}]=\mathfrak{n}_{F'}$  and  $Z_{\widetilde{\sigma}}(B) \subseteq (P_{F'})_c$ . Then one has  $\{g \in G : \text{Ad}(g)B \in \mathfrak{n}_{F'}\}=P_{F'}$ .

*Proof.* First we note that  $\mathfrak{z}_{\mathfrak{g}}(B) \subseteq \mathfrak{p}_{F'}$ . In fact,  $Q(X, \mathfrak{n}_{F'}) = Q(X, [B, \mathfrak{p}_{F'}])$  $= Q([X, B], \mathfrak{p}_{F'})$  for  $X \in \mathfrak{g}$ . Hence  $X \in \mathfrak{n}_{F'}^1 = \mathfrak{p}_{F'}$  if  $X \in \mathfrak{z}_{\mathfrak{g}}(B)$ . Since  $[B, \mathfrak{p}_{F'}] = \mathfrak{n}_{F'}$ .  $\mathcal{O} = \{ Y \in (\mathfrak{n}_{F'})_c \, ; \, [Y, (\mathfrak{p}_{F'})_c] = (\mathfrak{n}_{F'})_c \}$  is an open, dense and connected subseting  $(n_{F'})_c$  containing *B*. Moreover *O* is a single Ad  $((P_{F'})_c)$ -orbit in  $(n_{F'})_c$ .

Let  $g \in G$  such that  $X = \operatorname{Ad}(g)B \in \mathfrak{n}_{F'}$ . Then

$$
\dim [X, \mathfrak{p}_{F'}]=\dim \mathfrak{p}_{F'}-\dim \mathfrak{z}_{\mathfrak{p}_{F'}}(X)\geq \dim \mathfrak{p}_{F'}-\dim \mathfrak{z}_{\mathfrak{g}}(X)
$$
\n
$$
=\dim \mathfrak{p}_{F'}-\dim \mathfrak{z}_{\mathfrak{g}}(B)=\dim \mathfrak{p}_{F'}-\dim \mathfrak{z}_{\mathfrak{p}_{F'}}(B)
$$
\n
$$
=\dim [B, \mathfrak{p}_{F'}]=\dim \mathfrak{n}_{F'}.
$$

This means that  $X \in \mathcal{O}$ . Hence there exists  $p \in (P_{F'})_c$  such that Ad  $(g)B =$ Ad(p)B. Thus  $p^{-1}g \in Z_{\widetilde{G}}(B) \subseteq (P_{F'})_c$ ,  $g \in (P_{F'})_c \cap G$ . Since  $(P_{F'})_c = N_{\widetilde{G}}((\mathfrak{n}_{F'})_c)$ , one has  $(P_{F'})_c \cap G = N_G((\mathfrak{n}_{F'})_c) = N_G(\mathfrak{n}_{F'}) = P_{F'}$ . This completes the proof. Q.E.D.

In the next lemma, we give an example which satisfies the assumption in Proposition 3.4.

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*Lemma* 3.5. *K eep to the notations in* 3.1. *L e t A be a non-zero nilpotent* element of g such that  $u(1.5)$  can be chosen as  $u_F$  for some  $F'' \subseteq \Pi$ . Then one *has*

(1)  $Z_{\widetilde{G}}(B) \subseteq (P_{F',\epsilon})_C \subseteq (P_{F\epsilon})_C$ , (2)  $\lceil B, \mathfrak{p}_{F\epsilon} \rceil = \mathfrak{n}_{F\epsilon}$ .

*Proof.* (1) Let  $g \in Z_{\tilde{G}}(B)$ . We have two  $\mathfrak{sl}_2$ -triplets  $\{A, H, B\}$  and  $\{Ad(g)A,$ Ad  $(g)H$ , B in  $\tilde{g}$  containing B. By Kostant ([9, Theorem 3.6]), there exists an element *x* in the unipotent radical of  $Z_{\sigma}(B)$  such that Ad  $(g)H=Ad(x)H$ . Then  $x^{-1}g \in Z_{\widetilde{\sigma}}(H) \subseteq N_{\widetilde{\sigma}}((\mathfrak{n}_{F'_{A}})_{c}) = (P_{F'_{A}})_{c}.$  Since the unipotent radical of  $Z_{\widetilde{\sigma}}(B)$  is contained in  $(P_{F',A})_c$  by the representation theory of  $\mathfrak{gl}_2$ , one has  $g \in (P_{F',A})_c$ .

(2) Note that  $\mathfrak{p}_F = \mathfrak{p}_{F'A} \oplus \mathfrak{u}''$  with a subspace  $\mathfrak{u}'' \subseteq \mathfrak{g}(1)_A$  such that 2 dim  $\mathfrak{u}'' =$ dim  $g(1)<sub>A</sub>$ . Then one has

$$
\mathfrak{n}_{F'}\supseteq [B,\mathfrak{p}_{F'}]=\mathfrak{n}(2)_A\bigoplus [B,\mathfrak{n}'']\ .
$$

Since  $\delta_0(B) \subseteq \mathfrak{g}_{F',A}$ , one has 2 dim [B, u'']=dim  $\mathfrak{g}(1)_A$ . Hence dim  $\mathfrak{n}_{F'}$ =dim [B,  $\mathfrak{p}_{F'}$ ],  $\mathfrak{n}_F = [B, \mathfrak{p}_F].$  Q. E. D.

**Remark** 3.6.  $U(1.5)_{A}$  can be chosen as  $U_{F}$  for some  $F'' \subseteq H$  if and only if there exists an abelian subspace  $u''$  of  $g(1)_A$  such that

(1)  $[g(0)_A, u''] \subseteq u''$  and (2) 2 dim  $u'' = \dim g(1)_A$ .

This is a sufficient condition for the existence of a weak polarization of the nilpotent element *B* ([11, Proposition 5.2]). In case  $G = SL(n, C)$ , such a u<sup>n</sup> always exists (see 3.4).

#### 3.3. **A multiplicity theorem.** We state the main theorem of this section.

**Theorem** 3.7. *Let G be a connected real semisiniple Lie group of matrices. Let A be a non-zero nilpotent element of*  $\mathfrak{g}$  *such that*  $U(1.5)$  *A can be chosen as*  $U_F$ . *f o r som e F"gil. W e consider a generaliz ed Gelfand-Graev representation*  $(\pi_{\eta_{_A}}, \mathcal{D}_{\eta_{_A}}\!(G))$  associated to A. Let  $F{\subseteq}\Pi$ . For a finite dimensional representation  $\alpha$  *(a, E<sub>g</sub>) of*  $M_F$  and  $\nu \in (\mathfrak{a}_F)_c^*$ , one has

- $(1)$   $\text{Hom}_G(\pi_{\sigma,\nu}, \pi_{\eta_A}^{\vee}) = \{0\}$  *if*  $\text{Ad}(y s)^{-1} B \notin \mathfrak{n}_F$  for all  $s \in W$  and  $y \in U(F'') \cap V$  $sU_{F}s^{-1}$ .
- { dim *E,* (2) dim  $\text{Hom}_G(\pi_{\sigma,\nu}, \pi_{\gamma_A}^{\vee})$ *if F=F" ,*  $if$   $F \supsetneq F''$ .

*Proof.* We adapt the results in § 2 putting  $F' = F''$  and  $\eta = \eta_A$ . By Theorem 2.11 combined with Lemma 3.3, the assertion  $(1)$  is clear. Now we assume that  $F \supseteq F''.$  Let  $s \in W$ . By Lemma 3.3, we have

$$
D_{\eta_A}^s = \{ y \in U(F'') \cap sU_F s^{-1} ; \text{ Ad } (ys)^{-1}B \in \mathfrak{n}_F \}
$$
  
\n
$$
\subseteq \{ y \in U(F'') \cap sU_F s^{-1} ; \text{ Ad } (ys)^{-1}B \in \mathfrak{n}_{F'} \}.
$$

By Proposition 3.4 and Lemma 3.5, we have  $D_{\eta_{\mathcal{A}}}^s\!=\!\varnothing$  unless  $s\!\in\!W_{F^{\boldsymbol{s}}}\!,$  Hence  $\operatorname{Hom}_G(\pi_{\sigma,\,\nu},\,\pi_{\,\,\gamma_{\scriptscriptstyle A}}^\vee)=\{0\}$  if  $F{\supsetneq}F''.$  In case  $F{=}F'',$  we have  $D_{\,\,\gamma_{\scriptscriptstyle A}}^s{=}\{e\}$  for  $s{\in}W_F.$ If one notices that  $\varOmega_s = G_s = U_F P_F$  for  $s \in W_F$  and that  $U_F P_F$  is diffeomorphic to

the product  $U_F \times P_F$  in the canonical way, one has

$$
\dim \text{Hom}_{G}(\pi_{\sigma,\nu}, \pi_{\eta_{\mathcal{A}}}^{\vee}) \leq \dim \mathcal{I}_{\pi,\epsilon} = \dim E_{\sigma}. \qquad \qquad \text{Q. E. D.}
$$

Remark 3.8. The cases (1) and (2) in the above theorem do not exhaust all the cases for *F*<sup>*n*</sup> and *F*. For example, dim  $\text{Hom}_{G}(\pi_{\sigma,\nu}, \pi_{\gamma_{A}}^{\vee})$  can be equal to infinity in case  $F \subseteq F''$  (see § 4).

3.4. Case of  $G=SL(n, C)$ . As an example, we give  $(U(1.5)<sub>A</sub>, \eta<sub>A</sub>)$  explicitly in case  $G=SL(n, C)$ . Put  $\mathfrak{h}=\{h=\text{diag}(h_1, \dots, h_n); \sum_{1\leq i\leq n}h_i=0, h_i\in C\}$ . Then It is a Cartan subalgebra of g. The real form a of it is given by  $a = \{h =$ diag  $(h_1, \dots, h_n) \in \mathfrak{h}$ ;  $h_i \in \mathbb{R}$ . As a compact real form of g we take  $f = u(n)$ , the Lie algebra of skew-Hermitian matrices of degree *n*. If we define  $e_i \in \mathfrak{a}^*$  by  $\langle e_i, h \rangle$  $h_i$  for  $h = \text{diag}(h_1, \dots, h_n) \in \mathfrak{a}$ , the root system *A* of (g, a) is given by  $A =$  ${e_i-e_j; 1 \leq i, j \leq n, i \neq j}$ . Choose a set of positive roots as  $A^+={e_i-e_j; i>j}$ . Then  $\Pi = \{ \lambda_i = e_{i+1} - e_i; 1 \leq i \leq n-1 \}$  is the set of simple roots in  $\Lambda^+$ . The Weyl group W is identified with the symmetric group  $\mathfrak{S}_n$  of degree n which acts on a by permutation of diagonal entries.

We introduce a set of partitions of *n,*

$$
P_n = \left\{ \gamma = (n_1, n_2, \cdots, n_s) \, ; \, \begin{array}{l} n_1 \geq n_2 \geq \cdots \geq n_s \geq 1 \quad (n_i \in \mathbb{Z}) \\ \sum_{1 \leq i \leq s} n_i = n \end{array} \right\}.
$$

Associating its Jordan type to each nilpotent element of g, we can parametrize by  $P_n$  the nilpotent Ad  $(G)$ -orbits in g.

For a positive integer  $m$ , we define three matrices of degree  $m$  as follows:



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Let  $\gamma = (n_1, \dots, n_s) \in P_n$ . Arranging numbers in  $\gamma$ , we obtain  $\gamma_1 = (m_1, m_2, \dots, m_s)$  $\cdots$ ,  $m_r$ ;  $k_1$ ,  $k_2$ ,  $\cdots$ ,  $k_q$ ) such that

> $m_1 \geq m_2 \geq \cdots \geq m_r \geq 2$  with  $m_i$  even for  $1 \leq i \leq r$ ,  $1 \leq k_1 \leq k_2 \leq \cdots \leq k_q$  with  $k_j$  odd for  $1 \leq j \leq q$ ,

and  $k+m=n$ ,  $m=\sum_{1\leq i\leq n}m_i$ ,  $k=\sum_{1\leq j\leq q}k_j$ . As a representative of the nilpotent Ad  $(G)$ -orbit corresponding to  $\gamma$ , take

If we put

$$
A_r^0 = A_{m_1} \oplus A_{m_2} \oplus \cdots \oplus A_{m_r} \oplus A_{k_1} \oplus A_{k_2} \oplus \cdots \oplus A_{k_q}.
$$
  

$$
H_r^0 = H_{m_1} \oplus H_{m_2} \oplus \cdots \oplus H_{m_r} \oplus H_{k_1} \oplus H_{k_2} \oplus \cdots \oplus H_{k_q},
$$
  

$$
B_r^0 = B_{m_1} \oplus B_{m_2} \oplus \cdots \oplus B_{m_r} \oplus B_{k_1} \oplus B_{k_2} \oplus \cdots \oplus B_{k_q},
$$

 $\{A_1^0, H_2^0, B_2^0\}$  is an  $\mathfrak{gl}_2$ -triplet containing  $A_1^0$ . For a positive integer *i*, we denote by  $t_i$  the multiplicity of *i* in  $\gamma$ . For an integer *j*, let  $l_j$  be the number of diagonal entries in  $H^0$  equal to *j*. Then one has  $l_i = l_{-i} = t_{i+1} + t_{i+3} + \cdots$  for  $i \ge 0$ . Put  $\tilde{l}_i = l_i + l_{i-1}$  for  $i \ge 1$ . We define  $H_i \in \mathfrak{a}$  by

$$
H_i = ((n_1 - 1) \times J_{n_1 - 1}) \oplus \cdots \oplus (j \times J_j) \oplus \cdots \oplus ((-n_1 + 1) \times J_{-n_1 + 1}),
$$

where  $J_j = I_{l_j}$  denotes the identity matrix of degree  $l_j$ . Then  $H_i$  is in the closure of the negative Weyl chamber of a. There exists a unique  $w<sub>r</sub> \in W$  which satisfies the following conditions (1) and (2).

- $(1)$   $w_r H_r^0 = H_r$ .
- (2) Let  $H^0 = \text{diag}(h_1, \dots, h_n)$ , then  $w_r(i) > w_r(j)$  for each pair  $(i, j)$  such that  $i>j$  and  $h_i=h_j$ .

Taking a representative of  $w_r$  in  $N_K(\mathfrak{a})$ , we denote it again by  $w_r$ . Put  $A_r = \text{Ad}(w_r)A_r^{\circ}$  and  $B_r = \text{Ad}(w_r)B_r^{\circ}$ . We consider the  $\mathfrak{sl}_2$ -triplet  $\{A_r, H_r, B_r\}$ conjugate to  $\{A_7^0, H_7^0, B_7^0\}$ . Then it is easy to see that  $U(1.5)_{\scriptscriptstyle{A}}$  can (be chosen as  $U(1.5)<sub>A</sub>=U<sub>r</sub>$  with

*• L\* \** 0 *L,* 0 0 *L<sup>2</sup> • L i=Irj*

The group  $U_\tau$  is the unipotent radical of a parabolic subgroup of  $G$ .

**Remark 3.9.** The elements  $A_i^0$  and  $w_i$  above play an important role when we consider analytic continuation of Whittaker integrals later in §5.

Now we shall write down the condition in Theorem 3.7 (1) for the nonexistence of intertwining operators explicitly in case  $G = SL(n, C)$ .

Let  $\widetilde{P}_n \supseteq P_n$  be as

$$
\widetilde{P}_n = \{ \beta = (l_1, l_2, \cdots, l_t) ; \ \sum_{1 \leq i \leq l} l_i = n, \ l_i \in \mathbb{N} \}.
$$

For  $\beta = (l_1, \dots, l_t) \in P_n$ , we put  $F(\beta) = \prod \setminus \{ \lambda_{l_1}, \lambda_{l_1+l_2}, \dots, \lambda_{l_1+l_2+\dots+l_{t-1}} \}$ . The map  $\beta \rightarrow F(\beta)$  gives a bijective correspondence between  $\tilde{P}_n$  and the set of all subset of  $\Pi$ .

Let  $\gamma = (n_1, n_2, \cdots, n_s) \in P_n$  and  $\beta = (l_1, l_2, \cdots, l_t) \in \tilde{P}_n$ . For a positive integer *j*, denote by  $y_j$  the multiplicity of *j* in  $\beta$ , and put  $k_j = y_j + y_{j+1} + \cdots (j \ge 1)$ ,  $n_{s+1}=n_{s+2}=\cdots=0.$ 

**Proposition 3.10.** Let  $\gamma$  and  $\beta$  be as above. Then the condition  $Ad(ys)^{-1}B<sub>1</sub>$  $\in$  $\mathfrak{n}_{F(\beta)}$  for some s $\in$ W and some y $\in$ U(F( $\widetilde{r}$ )) $\cap$ s $U_{F(\beta)}$ s $^{-1}$  is equivalent to

$$
n_1 + \cdots + n_j \leq k_1 + \cdots + k_j \quad \text{for all } j \geq 1.
$$

*Here we put*  $\tilde{r} = (..., \tilde{l}_3, \tilde{l}_1, \tilde{l}_2, \tilde{l}_4, ...) \in \tilde{P}_n$ .

*Proof.* Let  $s \in W$  and  $y \in U(F(\tilde{r})) \cap sU_{F(\beta)}s^{-1}$ . First we show that Ad  $(s)^{-1}B$  $\epsilon = \mathfrak{n}_{F(\beta)}$  if Ad  $(ys)^{-1}B_r \in \mathfrak{n}_{F(\beta)}$ . Indeed, as in the proof of Lemma 3.3, one has Ad  $(ys)^{-1}B_r \in \mathfrak{n}_{F(\beta)}$  if and only if  $B_r \in \{ \text{Ad}(y)(\mathfrak{u}_{F(\tilde{r})} \cap \text{Ad}(s)\mathfrak{p}_{F(\beta)}) \}^{\perp}$ . Now we assume that  $B_t \notin (\mathfrak{u}_{F(\tilde{t})} \cap \text{Ad}(s) \mathfrak{p}_{F(\beta)})^{\perp}$ . Let  $\mu$  be a positive root such that  $\mathfrak{g}_{-\mu} \subseteq$  $u_{F(\tilde{t})} \cap \text{Ad}(s)$  *p<sub>F(β)</sub>* and  $B_t \notin (g_{-\mu})^{\perp}$ . Let *X* be a non-zero element in  $g_{-\mu}$  and  $y=$  $\exp Y$  with  $Y \in \mathfrak{u}(F(\tilde{r})) \cap \mathrm{Ad}(s)\mathfrak{u}_{F(\beta)}$ . Then one has

$$
Q(B_r, \text{Ad}(y)X) = Q(B_r, X) + \sum_{1 \leq j < +\infty} \frac{1}{j!} Q(B_r, (\text{ad} Y)^j X).
$$

The condition  $Q(B_r, g_{-\mu}) \neq \{0\}$  implies that  $Q(B_r, g_{-\mu - \lambda}) = \{0\}$  for all  $\lambda \in A^+$ , because  $\mathrm{Ad}(w_7)^{-1}B_7 = B^0_7 \in \sum_{\lambda \in I} \in \mathfrak{g}_\lambda$ . Noting that  $(\mathrm{ad}\; Y)^j X \in \sum_{\lambda \in \Lambda} \in \mathfrak{g}_{-\mu - \lambda}$  for  $j \geq 1$ we have  $Q(B_r, \text{Ad}(y)X) \neq 0$ . This means that  $\text{Ad}(ys)^{-1}B_r \oplus \mathfrak{n}_{F(\beta)}$ .

By the above, we showed that the former condition in the statement of proposition is equivalent to "Ad  $(s)B^0 \in \mathfrak{n}_{F(s)}$  for some  $s \in W$ ". In turn, this condition is equivalent to the latter one in the statement of proposition. Q.E.D.

**Remark 3 .1 1 .** B y [5 , Lemma 3.2], the condition in Proposition 3.10 is equivalent to Ad  $(G)B_r \cap \mathfrak{n}_{F(\beta)} \neq \emptyset$ . This is the condition that the nilpotent Ad (G)-orbit corresponding to  $\gamma$  is in the closure of that corresponding to the dual partition  ${}^t\beta = (k_1, k_2, \cdots)$  of  $\beta$ .

## **Part II. Construction of Whittaker vectors**

## **§ 4 . Whittaker integrals and intertwining operators**

Let *G* be a connected real semisimple Lie group with finite center. In this section, we introduce integral operators, so called Whittaker integrals, which give Whittaker vectors of the principal series representations.

**4 .1.** In this subsection, we consider the principal series representations of *G* induced from the minimal parabolic subgroup *P*. For an  $s \in W$ , denote by (s) the set of positive roots  $\lambda$  such that  $2^{-1}\lambda \notin \Lambda^+$  and  $s\lambda \in -\Lambda^+$ . Put  $u_s =$  $\mathfrak{u} \cap \text{Ad}(s)^{-1} \mathfrak{u}$  and  $U_s = \exp \mathfrak{u}_s$ . Then  $\mathfrak{u}_s = \sum_{\lambda \in \mathbf{c} s} (g_{-\lambda} \oplus g_{-2\lambda})$ . The set  $\{U_s; s \in W\}$ includes the set  $\{U_{F'}: F' \subseteq \Pi\}.$ 

Let  $s \in W$  and  $\eta$  be a unitary character of  $U_s$ . Let  $(\sigma_0, E_{\sigma_0})$  be a finite dimensional irreducible representation of *M*, and  $\nu_0 \in \mathfrak{a}_c^*$ . For  $e^{\times} \in E_{\sigma_0}^{\times}$ , we put

$$
(4.1) \qquad (W^{e\vee}(\sigma_0, \nu_0, \eta)f)(x) = \int_{U_s} \langle e^{\vee}, f(xu) \rangle \eta(u)^{-1} du \qquad (f \in H_{\sigma_0, \nu_0}, \ x \in G),
$$

where *du* denotes a Haar measure on *U<sup>s</sup> .* This integral is called the *W hittaker integral* of  $f \in H_{\sigma_0, \nu_0}$  of type  $(U_s, \eta)$ . Define for  $s \in W$  an open convex tubular domain  $D_s$  in  $\mathfrak{a}_c^*$  by

$$
D_s = \{ \nu \in \mathfrak{a}_c^* \; ; \; \langle \text{Re } \nu, \lambda \rangle > 0 \quad \text{for all } \lambda \in \langle \! \langle s \rangle \! \rangle \},
$$

where  $\langle , \rangle$  denotes the inner product on  $a^*$  defined through the Killing form. For  $g \in G$ , write  $g = k(g)a(g)n(g)$  with  $k(g) \in K$ ,  $a(g) \in A$ ,  $n(g) \in N$ . The following lemma is well known.

**Lemma 4.1** ([15, Theorem 8.10.16]). For every  $s \in W$ , the integral *u s*  $a(u)^{-\nu-\rho}du$  is absolutely convergent for  $\nu \in D_s$ .

The following proposition is a slight generalization of Proposition 2.4 in [4]. One can prove it just in the same way as there with the aid of Lemma 4.1. So we omit the proof.

**Proposition 4.2.** Let  $v_0 \in D_s$ . The integral (4.1) is absolutely convergent for all  $f\!\in\!H_{\sigma_0,\nu_0}$  and  $e\!\check{~}\!\in\! E^\times_{\sigma_0}.$  Moreover  $W^{e\vee}\!(\sigma_0,\,\nu_0,\,\eta)f(x)$  is a smooth function of  $x \in G$  and holomorphic with respect to  $\nu_0 \in D_s$ . The map  $f \rightarrow W^{e\check{}}(\sigma_0, \nu_0, \eta) f$  gives *a non-zero interwining operator from*  $H_{\sigma_0,\nu_0}$  *to*  $C^{\infty}_{\eta}(G)$  *for*  $e^{\checkmark} \neq 0$ .

Consider the case  $U_s = U_{F'}$  for some non-empty subset  $F'$  of  $\Pi$ . Let  $\nu_0 \in D_s$ . For  $y \in U(F')$ , the operator  $R_y \cdot W^{e\vee}(\sigma_0, \nu_0, \eta^{y^{-1}})$  with  $\eta^{y^{-1}}(u) = \eta(yuy^{-1})$  also gives an intertwining operator from  $H_{\sigma_0, \nu_0}$  to  $C^{\infty}_{\eta}(G)$ , where  $R_y$  denotes the right translation by *y* of functions on *G*. Let  $T_y \in \mathcal{T}_{\pi}(G)$  be the  $E_{\sigma_0}$ -distribution corresponding to  $R_y \cdot W^{e\vee}(\sigma_0, \nu_0, \eta^{y-1})$  by Lemmas 1.2 and 2.2. Then  $T_y$  is given by

(4.2) 
$$
\langle T_y, \phi \rangle = \int_{U_{F'} \times M \times A \times N} a^{y+\rho} \langle e^{\times}, \sigma_0(m) \phi(uyman) \rangle \eta(u)^{-1} dudm dadn
$$
  
 $(\phi \in \mathcal{D}(G, E_{\sigma_0}))$ 

where  $dm$ ,  $da$  and  $dn$  denotes a Haar measure on M, A and N respectively.  $(4.2)$  implies that the restriction of  $T_y$  to  $UP$  is non-zero for  $e^{\prime}\neq 0$ , and spt  $(T_y|_{\text{up}}) \subseteq U_{F'}yP$ . Hence  $\{T_y; y \in U(F')\}$  is a linearly independent subset of  $\mathcal{T}_{\pi}(G)$ . This means that

$$
\dim \operatorname{Hom}_G(\pi_{\sigma_0,\nu_0},\,\pi_{\eta}^{\vee}) = +\infty \qquad \text{for} \ \nu_0 \in D_s.
$$

4 .2 . Now we consider the principal series representations induced from the parabolic subgroup  $P_F$ . Let *s* and  $\eta$  be as in 4.1. Let  $\sigma$  be an irreducible admissible smooth representation of  $M_F$  on a Fréchet space  $E_{\sigma}$ . A representation  $(\sigma, E_{\sigma})$  of  $M_F$  is called *admissible* if every irreducible finite dimensional representation of  $K(F)$  occurs in  $E_{\sigma}$  with finite multiplicity. We are very interested in constructing Whittaker vectors in  $Wh_{\eta}(H_{\sigma,\nu}^{\vee})$  mainly in cases that dim  $Wh_n(H_{\sigma,\nu})<+\infty$ , for instance in the case of Theorem 3.7 (2). By Caselman's subrepresentation theorem (e.g.,  $[1]$ ), some of Whittaker vectors will be obtained by the composition of Whittaker integrals in 4.1 and the embeddings, of  $H_{\sigma}$ , into the principal series representations induced from *P.*

We explain this in more details. Put  $(E_{\sigma}^{\vee})^{N(F)} = \{e^{\vee} \in E_{\sigma}^{\vee} : \sigma^{\vee}(n)e^{\vee} = e^{\vee}$  for all  $n \in N(F)$ . Then  $(E_o^{\vee})^{N(F)}$  is a finite dimensional  $MA(F)N(F)$ -module in the natural action. Indeed, it is clear that  $(E_o^{\vee})^{N(F)}$  is  $MA(F)N(F)$ -stable. By restriction of elements of  $(E_0^{\gamma})^{N(F)}$  to the  $((\mathfrak{m}_F)_c, K(F))$ -module  $(E_{\sigma})_{K(F)}$  of all  $K(F)$ -finite vectors in  $E_{\sigma}$ , one has an  $(\mathfrak{m} \bigoplus \mathfrak{a}(F) \bigoplus \mathfrak{n}(F))$ -module embedding

(4.3) 
$$
(E_{\sigma}^{\vee})^{N(F)} \subseteq \text{L}(E_{\sigma})_{K(F)}/\sigma(\mathfrak{n}(P)_{C})(E_{\sigma})_{K(F)})^{\vee}.
$$

Since  $(E_{\sigma})_{K(F)}$  is finitely generated as  $U(\operatorname{n}(F)_c)$ -module,  $\sigma(\operatorname{n}(F)_c)(E_{\sigma})_{K(F)}$  is codimension finite in  $(E_{\sigma})_{K(F)}$ . Hence  $(E_{\sigma})^{N(F)}$  is finite dimensional and (4.3) gives an  $MA(F)N(F)$ -module embedding. By Casselman,  $(E_{\sigma})_{K(F)} \neq \sigma(n(F)_{C})(E_{\sigma})_{K(F)}$ . This means that there exists a non-zero vector  $e'$  in the algebraic dual of  $(E_{\sigma})_{K(F)}$  such that  $\langle e', \sigma(n(F)_{c})(E_{\sigma})_{K(F)} \rangle = \{0\}$ . Suggested by this fact, we assume here that  $(E_o^{\prime})^{N(F)} \neq \{0\}.$ 

Let *V* be a finite dimensional irreducible  $MA(F)N(F)$ -submodule of  $(E_{\sigma}^{\vee})^{N(F)}$ . As an  $MA(F)N(F)$ -module,  $V \simeq \sigma_0 \otimes \exp {\{\nu_0 + (\rho|_{\mathfrak{a}(F)})\}} \otimes 1$  for some irreducible finite dimensional representation  $\sigma_0$  of M and  $\nu_0 \in \mathfrak{a}(F)_{\mathcal{C}}^*$ . Let  $(\pi_{\sigma_0,\nu_0}^F, H_{\sigma_0,\nu_0}^F)$  be the representation of  $M_{\bm F}$  induced smoothly from the representation  $\sigma_{\text{o}}\otimes e^{\nu_{\text{o}}}\otimes 1$  of  $MA(F)N(F)$ . Then the map  $\iota: E_{\sigma} \rightarrow C^{\infty}(M_F, V)$  defined by

$$
\langle (t\xi)(x), v^{\vee} \rangle = \langle v^{\vee}, \sigma(x^{-1})\xi \rangle \qquad (\xi \in E_{\sigma}, x \in G, v^{\vee} \in V^{\vee})
$$

gives a continuous embedding of  $M_F$ -module  $E_{\sigma}$  into  $H_{\sigma_0,\nu_0}$ . Here we identify *V* with  $(V^{\vee})^{\vee}$  in the canonical way.

**Remark 4.3.** If  $\sigma$  is finite dimensional,  $(E_{\sigma}^{\gamma})^{N(F)}$  is an irreducible

 $MA(F)N(F)$ -module, and naturally non-trivial by the highest weight theory of irreducible finite dimensional representations of (m $_F$ ) $_c$  ([15, Lemma 8.5.3]).

Let  $\nu \in (\alpha_F)_c^*$ . The above *c* induces a continuous embedding  $\delta$  of *G*-module  $H_{\sigma,\nu}$  into  $H_{\sigma_0,\nu+\nu_0}$  by  $(\delta F)(x) = \iota(F(x))(e)$   $(x \in G)$  for  $F \in H_{\sigma,\nu}$ . Here we regard  $(\mathfrak{a}_F)_c^* \subseteq \mathfrak{a}_c^*$  (resp.  $\mathfrak{a}(F)_c^* \subseteq \mathfrak{a}_c^*$ ) by  $\langle (\mathfrak{a}_F)_c^*, \mathfrak{a}(F) \rangle = \{0\}$  (resp.  $\langle \mathfrak{a}(F)_c^*, \mathfrak{a}_F \rangle = \{0\}$ ). For  $v\in V^{\times}$ , we define Whittaker integral just as in (4.1)

(4.4) 
$$
(W^{v\vee}(\sigma, \nu, \eta)F)(x) = \int_{U_s} \langle v \rangle, F(xu) \rangle \eta(u)^{-1} du \qquad (F \in H_{\sigma, \nu}, x \in G).
$$

Then we have the following proposition.

Proposition 4.4. *Let the notations and assumptions be as abov e. Assume that*  $U_F \supseteq U_s$ . Then one has

- (1) the set  $(D_s-\nu_0)\cap(\mathfrak{a}_F)_c^* = {\nu \in (\mathfrak{a}_F)_c^*}$ ;  $\nu+\nu_0\in D_s$  is a non-empty open con*vex* domain in  $(a_F)c^*$ .
- *(2) The integral* (4.4) *is absolutely convergent for*  $\nu \in (D_s \nu_0) \cap (\mathfrak{a}_F)_c^*$ *. More-* $\sigma$  *over*  $W^{p\sim}(\sigma, \nu, \eta)$  *gives a non-zero intertwing operator from*  $H_{\sigma, \nu}$  *to*  $\mathcal{C}^{\infty}_{\eta}(G)$  $if \ v^{\sim} \neq 0.$
- (3) For  $F \in H_{\sigma,\nu}$  and  $x \in G$ , the function  $\nu \rightarrow W^{\nu}(\sigma,\nu,\eta)F(x)$  is a holomorphic *function of*  $\nu$  *in*  $(D_s - \nu_0) \cap (\mathfrak{a}_F)_c^*$ .

*Proof.* We easily have that  $W^{v\vee}(\sigma, \nu, \eta) = W^{v\vee}(\sigma_0, \nu + \nu_0, \eta) \cdot \delta$ . Then this proposition follows from Proposition 4.2. Q. E. D.

# § 5. Analytic continuation of Whittaker integrals

In this section, let  $G$  be a connected complex semisimple Lie group. We deal with the analytic continuation of Whittaker integrals for generalized Gelfand-Graev representations.

5.1. A method of analytic continuation. First we present a method that gives a domain of  $\alpha_c^*$  to which Whittaker integral (4.1) can be extended meromorphically.

We regard G as a real group as in 3.1. For an  $s \in W$ , let  $\eta$  be a unitary character of  $U_s$ . Consider the Whittaker integral

$$
W(\sigma, \nu, \eta)f(g) = \int_{U_s} f(gu)\eta(u)^{-1} du \qquad (\sigma \in \hat{M}, \nu \in \mathfrak{a}_c^*, f \in H_{\sigma, \nu}, g \in G),
$$

where *M* denotes the set of equivalence classes of irreducible unitary representations of *M*. Note that *M* is a torus. So every irreducible unitary representation of M is a character. We put  $A_s(\sigma, \nu) f(g) = W(\sigma, \nu, 1_{U_s}) f(gs)$  for  $s \in W$ , taking a representative (denoted again by *s*) in  $N_K(\mathfrak{a})$ . Then  $A_s(\sigma, \nu)$  gives an intertwining operator from  $H_{\sigma,\nu}$  to  $H_{s\sigma,s\nu}$  by meromorphic continuation.

Let  $\Pi = \{\lambda_1, \lambda_2, \cdots, \lambda_n\}$ . Denote by  $s_i$   $(1 \leq i \leq n)$  the simple reflection corre-

sponding to  $\lambda_i$ . For an  $s \in W$ , let  $l(s)$  be the length of s with respect to  $\{s_i\}$ . As is well-known, for *s*, *t*,  $w \in W$  such that  $s = tw$  and  $l(s) = l(t) + l(w)$ , one has

$$
\langle s \rangle = \langle w \rangle \cup w^{-1} \langle t \rangle \qquad \text{(disjoint union)},
$$
  

$$
D_s = D_w \cap w^{-1} D_t, \qquad u_s = u_w \bigoplus \text{Ad}(w)^{-1} u_t.
$$

**Lemma** 5.1. Let *s,*  $t, w \in W$  *be as above. Let*  $\eta$  *be a unitary character of*  $U_s$  *which is trivial on*  $U_w$ . *Then one has* 

$$
W(\sigma, \nu, \eta) f(g) = \{ W(w\sigma, w\nu, \eta_t^w) A_w(\sigma, \nu) f \} (gw^{-1})
$$

for  $f \in H_{\sigma,\nu}$ ,  $g \in G$  and  $\nu \in D_s$ , where  $\eta_t^w$  is a unitary character of  $U_t$  defined as  $\eta_{i}^{w}(u) = \eta(w^{-1}uw)$   $(u \in U_t)$ .

*Proof.* Since  $u_s = u_w \bigoplus \text{Ad}(w^{-1})u_t$ , one has

$$
W(\sigma, \nu, \eta) f(g) = \int_{U_t \times U_w} f(gw^{-1}u_t w u_w) \eta(w^{-1}u_t w)^{-1} du_t du_w
$$
  
= 
$$
\int_{U_t} A_w(\sigma, \nu) f(gw^{-1}u_t) \eta_t^w(u_t)^{-1} du_t
$$
  
= 
$$
\{W(w\sigma, w\nu, \eta_t^w) A_w(\sigma, \nu) f\}(gw^{-1}),
$$

where  $du_i$  (resp.  $du_w$ ) denotes a Haar measure on  $U_i$  (resp.  $U_w$ ) normalized so that the first equality holds. The above calculation is valid for  $\nu \in D_s$ . Q.E.D.

For a unitary character  $\eta$  of  $U_s$ , let  $W'_{s,\eta}$  be the subgroup of W generated by the reflections corresponding to the elements  $\lambda \in \Pi$  such that (i)  $g_{-\lambda} \subseteq u_s$  and (ii) the restriction of  $\eta$  to exp  $g_{-\lambda}$  is non-trivial.

The following theorem is due to H. Jacquet.

**Theorem 5.2** ([6, Theorem 3.4]). Let the notations be as above. For  $f \in$  $(H_{\sigma,\nu})_K$ , the function  $D_s \ni \nu \rightarrow W(\sigma,\nu,\eta)f(g)$  extends to a holomorphic function on  $[W'_s, {}_{p}D_s]$ , where  $[\omega]$  denotes the convex hull of a subset  $\omega$  of  $a_c^*$ . Here  $(H_{\sigma,\nu})_K$ *denotes* the *space* of *K*-finite *vectors* in  $H_{\sigma,\nu}$ .

By Lemma 5.1 and Theorem 5.2, we can give a domain to which Whittaker integral can be extended meromorphically in the following way. Let  $f \in (H_{q,\nu})_K$ . First apply Lemma 5.1. Then the analytic continuation of  $W(\sigma, \nu, \eta) f(g)$  is reduced to that of  $W(\sigma, \nu, \eta^w) f(g)$  in the notations in Lemma 5.1. Secondly, apply Theorem 5.2 to the latter.

Before we apply this method in  $5.3 \sim 5.7$ , we give another proof of the key theorem (Theorem 5.2) in the following subsection 5.2.

5.2. **On another proof of Theorem** 5.2. Using the results of G. Schiffmann, M. Hashizume proved in  $[4]$  the result corresponding to Theorem 5.2 for the spherical principal series representations of reductive algebraic groups over *R.* The proof is quite general, which works for non-spherical principal series representations if one overcomes some check points for real rank one groups. These point would be overcome if one succeeds in calculating explicitly the intertwining operators between principal series representations and the Fourier transform of its kernel.

Here we make these calculations for  $G = SL(2, C)$ . As its consequence, one finds out that Hashizume's method works for non-spherical principal series representations of connected complex semisimple Lie groups. So one can give another proof of Theorem 5.2.

Let  $G=SL(2, \mathbb{C})$ . Keep to the notations in 3.4. For a non-negative integer *l*, let  $(\gamma_l, P^l)$  be an  $(l+1)$ -dimensional representation of  $K = SU(2)$  defined as follows. Let  $P<sup>t</sup>$  be the space of all complex polynomials in  $'(w_1, w_2) \in C^2$  homogeneous of degree *l*. The natural action of K on  $C^2$  induces a representation  $\gamma_i$  of K on  $P^i: (\gamma_i(k)f)(w) = f(k^{-1}w)$  for  $f \in P^i$ ,  $k \in K$  and  $w = \{w_1, w_2\} \in C^2$ . Then the representations  $\gamma_i$  are irreducible and  $\{\gamma_i; i \geq 0\}$  is a complete system of representatives in  $\hat{K}$ , the set of all equivalence classes of irreducible unitary representations of *K*. The group  $M = \{m(\theta) = \text{diag}(e^{-\sqrt{-1}\theta}, e^{\sqrt{-1}\theta})\colon \theta \in \mathbb{R}\}\)$  is a maximal torus of *K*. For an integer *p*, define a weight  $\sigma_p$  of *M* by  $\sigma_p(m(\theta))$  $=e^{\sqrt{-1}p\theta}$  ( $\theta \in \mathbb{R}$ ). Then  $\hat{M} = {\sigma_p; p \in \mathbb{Z}}$ ). For  $0 \leq j \leq l$ , put  $f_j{}^{(l)} = w_1{}^j w_2{}^{l-j} \in P^l$ . Then one has  $P^t = \bigoplus_{0 \leq j \leq l} C f_j^{(l)}$  and  $C f_j^{(l)}$  is the *M*-weight space corresponding to  $\sigma_{2j-l}$ . Let  $\lambda$  be the positive root of g with respect to a. We identify  $\alpha_c^*$ with *C* so that  $\lambda = 2$ . For  $\eta \in C$ ,  $u_{z} = \begin{bmatrix} 1 & z \ 0 & 1 \end{bmatrix} \rightarrow \exp \{ \sqrt{-1} \operatorname{Re} (\eta z) \}$   $(z \in C)$  defines a unitary character of  $U = \{u_i : z \in C\}$ . Denote this character by the same letter  $\eta$ . Put  $s = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ . Then *s* is a representative of the non-trivial element of W. By Bruhat's decomposition,  $s^{-1}u_{\overline{\imath}}$  is in  $UMAN$  for all  $z \in C \setminus \{0\}$ . Write  $s^{-1}u_{\mathbf{k}} = u_{\mathbf{k}}'m_{\mathbf{k}}h_{\mathbf{k}}n_{\mathbf{k}}$  with  $u_{\mathbf{k}}' \in U$ ,  $m_{\mathbf{k}} \in M$ ,  $h_{\mathbf{k}} \in A$  and  $n_{\mathbf{k}} \in N$ . Then we have

$$
m_z = \begin{pmatrix} \frac{\overline{z}}{|z|} & 0 \\ 0 & \frac{z}{|z|} \end{pmatrix}, \qquad h_z = \begin{pmatrix} \frac{1}{|z|} & 0 \\ 0 & |z| \end{pmatrix}
$$

For  $\sigma_p \in M$  and  $\nu \in a_c^*$ , put  $\Phi_{s, \sigma_p, \nu}(z) = \sigma_p(m_z) h_z^{\nu-2}$ . If Re $\nu \ge 0$ , the function on  $C \simeq R^2$  is locally integrable, hence defines a distribution. Moreover, if  $0 \leq Re \nu \leq 1$ , this distribution is tempered, and its Fourier transform

$$
\hat{\varPhi}_{s,\,\sigma_p,\nu}(\eta) = \int_{R^2} \varPhi_{s,\,\sigma_p,\nu}(z) \eta(u_z)^{-1} dx dy \qquad (z = x + \sqrt{-1} y)
$$

is a function. The following proposition is a special case of the result of  $G$ . Schiffmann [13].

**Proposition 5.3.** Let  $\eta \in C \setminus \{0\}$ . Then one has (1)  $\hat{\Phi}_{s, \sigma_{m},\nu}(\eta)$  *extends to a meromorphic function of*  $\nu$  *on the whole*  $a_c^*$ . (2) For  $f \in (H_{\sigma_{p},\nu})_K$ , the Whittaker integral  $W(\sigma_{p},\nu,\eta)f(g)$  (g $\in$  G) extends *to an entire function of u on* a<sup>c</sup> \*. *Moreover, ond has the equality, by analytic continuation,*

(5.1) 
$$
W(\sigma_{-p}, -\nu, \eta)A_s(\sigma_p, \nu)f = \hat{\phi}_{s, \sigma_p, \nu}(\eta)W(\sigma_p, \nu, \eta)f.
$$

Until the end of 5.2, we assume that  $\eta \neq 0$ , and calculate the intertwining operator  $A_s(\sigma_p, \nu)$  and the Fourier transform  $\varPhi_{s, \sigma_p, \nu}(\eta)$  of its kernel. These calculations show that  $\varPhi_{s, \, \sigma_{p}, \, \nu}(\eta)^{-1}A_{s}(\sigma_{p}, \, \nu)$  is holomorphic in Re $\nu$ <0. This is the most important fact when we apply the argument in  $\lceil 4 \rceil$ .

Lemma 5.4. *The Fourier transform is expressed as*

$$
\hat{\varPhi}_{s,\,\sigma_p,\,\nu}(\eta) = \pi(\sqrt{-1})^{\lfloor p\rfloor}\left(\frac{2}{|\eta|}\right)^{\nu}\left(\frac{-\eta}{|\eta|}\right)^{-p}\frac{\Gamma\left(\frac{\nu}{2}+\frac{|\not p|}{2}\right)}{\Gamma\left(1-\frac{\nu}{2}+\frac{|\not p|}{2}\right)}.
$$

*In particular,*  $\hat{\Phi}_{s, \sigma_n, \nu}(\eta) \neq 0$  *if* Re $\nu < 0$ *, and*  $\hat{\Phi}_{s, \sigma_n, \nu}(\eta)$  *has a simple pole at*  $\nu =$  $-$ (|p|+2r), for every non-negative integer *r*.

*Proof.* For  $0 < Re v < 1$ , one has

$$
\hat{\Phi}_{s,\sigma_p,\nu}(\eta) = \lim_{\varepsilon \to +0} \int e^{-\varepsilon |z|} \left(\frac{z}{|z|}\right)^p |z|^{\nu-2} e^{-\sqrt{-1} \text{Re}(\eta z)} dx dy \qquad (z = x + \sqrt{-1} y)
$$

$$
= \lim_{\varepsilon \to +0} \int_{0 \le r < +\infty} r^{\nu-1} e^{-\varepsilon r} dr \left\{ \int_{0 \le \theta \le 2\pi} e^{\sqrt{-1}p\theta} e^{-\sqrt{-1}r \text{Re}(\eta e^{\sqrt{-1}\theta})} d\theta \right\} .
$$

On the other hand,

$$
\int_{0\leq\theta\leq 2\pi}e^{\sqrt{-1}p\theta}e^{-\sqrt{-1}r\operatorname{Re}(\eta e^{\sqrt{-1}\theta})}d\theta=2\left(\frac{-\eta}{|\eta|}\right)^{-p}\int_{0\leq\theta\leq \pi}\cos{(|p|\theta)}e^{\sqrt{-1}|\eta|r\cos\theta}d\theta
$$

$$
=2\pi(\sqrt{-1})^{|p|}\left(\frac{-\eta}{|\eta|}\right)^{-p}J_{|p|}(r|\eta|),
$$

where  $J_{\mu}$  denotes the Bessel function of order  $\mu$ . Hence one has

$$
\hat{\varPhi}_{s,\,\sigma_{p},\,\nu}(\eta) = 2\pi(\sqrt{-1})^{\lfloor p\rfloor}\left(\frac{-\eta}{|\eta|}\right)^{-p}|\eta|^{-\nu}\lim_{\varepsilon\to+0}\int_{0\leq r<+\infty}e^{-\varepsilon r}r^{\nu-1}J_{\lfloor p\rfloor}(r)dr.
$$

By the well-known formula

$$
\lim_{\epsilon \to +0} \int_{0 \le r < +\infty} e^{-\epsilon r} J_{\mu}(r) r^{\nu-1} dr = \frac{2^{\nu-1} \Gamma\left(\frac{\nu+\mu}{2}\right)}{\Gamma\left(\frac{-\nu+\mu}{2}+1\right)},
$$

we complete the proof of the lemma.  $Q$ , E.D.

Now we calculate the intertwining operator  $A_s(\pmb{\sigma}_p, \pmb{\nu}).$  For  $(\gamma, \; V_{\gamma}){\in}K$  and  $\sigma \in \hat{M}$ , the multiplicity of M-weight  $\sigma$  in  $\gamma$  is at most one: dim Hom<sub>M</sub>( $\gamma|_M$ ,  $\sigma$ )  $\leq 1$ . Suppose Hom<sub>M</sub> $(\gamma|_M, \sigma) \neq \{0\}$ . Let  $v_{\sigma}$  be an element in  $V_{\gamma}$  such that  $||v_{\sigma}||$ 

 $r=1$  and  $\gamma(m)v_{\sigma} = \sigma(m)v_{\sigma}$  for  $m \in M$ . Here  $||v|| = \sqrt{(v|v)}$  for  $v \in V_{\gamma}$ , and  $(\cdot | \cdot)$ denotes a K-invariant inner product in  $V_r$ . Put  $T_{\sigma}v=(v|v_{\sigma})$  for  $v \in V_r$ . Then  $T_{\sigma} \in \text{Hom}_{M}(\gamma |_{M}, \sigma)$ . The isotypic K-submodule  $(H_{\sigma,\nu})_{\gamma}$  of type  $\gamma$  in  $H_{\sigma,\nu}$  is identified with  $V_r$  by

$$
V_{\gamma} \in v \longrightarrow [g \to a(g)^{-\nu-\rho} T_{\sigma}(\gamma(k(g)^{-1})v)] \in (H_{\sigma,\nu})_{\gamma}.
$$

Similarly we identify  $(H_{s\sigma,s\nu})_r$  with  $V_r$ .

Now we put

$$
T'(\gamma, \nu) = \int_U a(u)^{-\nu-\rho} \gamma(k(u)) du.
$$

It is easy to see that  $T'(\gamma, \nu) \in \text{Hom}_M(\gamma |_M, \gamma |_M)$ . Since dim  $\text{Hom}_M(\gamma |_M, \sigma) = 1$ , there exists a constant  $C_{\sigma}(\gamma, \nu)$  such that  $T'(\gamma, \nu)v_{\sigma} = C_{\sigma}(\gamma, \nu)v_{\sigma}$ . Then the restriction of  $A_s(\sigma, \nu)$  to  $(H_{\sigma, \nu})$ <sub>r</sub>  $\simeq V_\tau$  is given by

$$
A_s(\sigma, \nu)|_{V_{\gamma}} = \overline{C_{\sigma}(\gamma, \bar{\nu})}Id.
$$

As is well-known,  $C_{\sigma}(\gamma, \nu)$  is a meromorphic function of  $\nu \in \mathfrak{a}_c^*$ .

**Lemma 5.5.** For integers *l*, *j* such that  $0 \le j \le l$ , one has

$$
C_{\sigma_{2j-l}}(\gamma_l, \nu) = \sum_{0 \leq q \leq \min(j, l-j)} \pi q \, !{(-2)^q \binom{j}{j-q} \binom{l-j}{q}} \prod_{0 \leq r \leq q} \frac{1}{\nu + l - 2r}.
$$

In particular,  $C_{\sigma_{2j-l}}(\gamma_l, \nu)$  is holomorphic in  $\nu$  except  $\nu\! =\!-(l\!-\!2r)$  for some  $0 \!\leq\! r$  $\leq$  min(*j*,  $l-j$ ).

*Proof.* We may assume that  $f_j{}^{(l)} = v_{\sigma_{2j-l}} \in V_{\tau_l} = P^l$ . For  $z \in C$ , the Iwasawa decomposition of  $u<sub>z</sub>$  gives

$$
k(u_z) = \frac{1}{\sqrt{1+|z|^2}} \begin{pmatrix} 1 & z \\ -\overline{z} & 1 \end{pmatrix}, \qquad a(u_z) = \begin{pmatrix} \frac{1}{\sqrt{1+|z|^2}} & 0 \\ 0 & \sqrt{1+|z|^2} \end{pmatrix}.
$$

By direct calculations, one has

$$
(a(u_z)^{-\nu-\rho}\gamma_i(h(u_z))f_j^{(l)}|f_j^{(l)})=\sum_{0\leq q\leq \min(j,\ l-j)}(-1)^q\binom{j}{j-q}\binom{l-j}{q}(1+|z|^2)^{-(\nu+l)/2-1}|z|^{2q}.
$$

Therefore,

$$
C_{\sigma_{2j-l}}(\gamma_l, \nu) = \sum_{0 \le q \le \min(i, l-j)} (-1)^q {j \choose j-q} {l-j \choose q} 2\pi \int_{0 \le r < +\infty} (1+r^2)^{-(\nu+l)/2-1} r^{2q+1} dr.
$$

By [15, Lemma 8.10.15],

$$
\int_{0 \le r < +\infty} (1+r^2)^{-(\nu+1)/2 - 1} r^{2q+1} dr = \frac{\Gamma(q+1)\Gamma\left(\frac{\nu+l}{2} - q\right)}{2\Gamma\left(\frac{\nu+l}{2} + 1\right)} \\
= 2^{q-1} q \cdot \prod_{0 \le r \le q} \frac{1}{\nu + l - 2r}.
$$

This completes the proof. Q. E. D.

**Proposition 5.6.** Let  $\sigma \in \hat{M}$  and  $\nu \in \mathfrak{a}_c^*$ . For  $f \in (H_{\sigma,\nu})_K$ , the function  $\nu \to$  $\Phi_{s, \sigma, \nu}(\eta)^{-1}(A_s(\sigma, \nu)f)(g)$  is holomorphic in  $\text{Re}\,\nu < 0$  for every  $g \in G$ .

*Proof.* Let  $l$ ,  $j$  be as in Lemma 5.5. By Lemmas 5.4 and 5.5, the function  $\phi_{s, \sigma_{2i-1}, \nu}(\eta)^{-1}C_{\sigma_{2i-1}}(\gamma_i, \nu)$  of  $\nu \in \mathfrak{a}_c^*$  is holomorphic in Re $\nu < 0$ . This proves the proposition.  $Q. E. D.$ 

Thanks to Proposition 5.6, we can prove Theorem 5.2 just as in the same way as in  $[4, \S \S 3 \sim 4]$ . However the proof is so long, so we omit it here.

5.3. Analytic continuation of intertwining operators  $W(\sigma, \nu, \eta_A)$ . Let  $\eta$ be a unitary character of  $U_s$ , where *s* is a fixed element in W. The following lemma gives a sufficient condition for  $[W'_{s,n}D_s]=a_c^*$ , which implies that the function  $W(\sigma, \nu, \eta) f(g)$  extends to an entire function on the whole  $\alpha_c^*$ .

**Lemma 5.7.** If there exists  $w' \in W'_{s,\eta}$  such that  $\langle \langle sw' \rangle | \rangle \cap \langle \langle s \rangle \rangle = \emptyset$ , then  $[W'_{s, \eta} D_s] = a_c^*$  *holds.* 

*Proof.* Put  $J_s = \{w \in W\, ; \; w^{-1}D \subseteq D_s\}$ , where  $D = D_{s_0}$  with the longest element  $s_0 \in W$ . Then we note that  $J_s = \{w \in W; \langle w \rangle \cap \langle s \rangle = \emptyset\}$ . In fact, for  $w \in W$ ,  $D_s \supseteq w^{-1}D$  holds if and only if  $\langle \text{Re } x, w \lambda \rangle > 0$  for all  $x \in D$  and  $\lambda \in \langle \text{\textless} \rangle$ . This means that  $w\lambda \in \Lambda^+$  for all  $\lambda \in \langle\!\langle s \rangle\!\rangle$ , or  $\langle\!\langle w \rangle\!\rangle \cap \langle\!\langle s \rangle\!\rangle$ 

Put  $w_1 = s_0 s$  and  $w_2 = sw'^{-1}$ , then  $w_1, w_2 \in J_s$  by the above. Hence  $W'_{s, \eta} D_s$ contains  $w_2^{-1}D$  and  $w'w_1^{-1}D = -w_2^{-1}D$ . Therefore,  $[W'_{s, \eta}D_s] = a_c^*$ . Q. E. D.

We shall consider the analytic continuation of Whittaker integrals for generalized Gelfand-Graev representations in accordance with the method given in 5.1.

Let  $\{A, H, B\} \subseteq \mathfrak{g}$  be an  $\mathfrak{sl}_2$ -triplet:

(5.2)  $[H, A] = 2A$ ,  $[H, B] = -2B$ ,  $[A, B] = H$ 

such that  $H$  is in the closure of negative Weyl chamber of  $\alpha$ . Then we have the following proposition.

**Proposition 5.8.** Assume that  $U(1.5)<sub>A</sub>=U<sub>s</sub>$  for some  $s \in W$ . Then the Whit*taker integral*  $W(\sigma, \nu, \eta_A)f(g)$  *extends to a meromorphic function of*  $\nu$  *on the*  $w$ *hole*  $\mathfrak{a}_c^*$  for every  $\sigma \in \widetilde{M}$ ,  $f \in (H_{\sigma,\nu})_K$  and  $g \in G$ , if there exists  $w \in W$  satisfying *the following conditions*  $(1) \sim (3)$ .

- $(1)$   $\langle\!\langle w \rangle\!\rangle \subseteq \langle\!\langle s \rangle\!\rangle$ ,
- (2) Ad  $(w)B \in \mathfrak{n}$ .
- (3) Put  $t = sw^{-1}$ . Then  $[W'_{t, A}D_t] = a_c$ \*, where  $W'_{t, A}$  is the subgroup of W *generated by simple reflections*  $s_{\lambda}$  ( $\lambda \in \Pi$ ) *such that*  $Q(\text{Ad}(w)B, g_{-\lambda}) \neq \{0\}$ *and*  $g_{-\lambda} \subseteq u_t$ .

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*Proof.* One has  $s = tw$ ,  $l(s) = l(t) + l(w)$  by (1). And  $\eta_A$  is trivial on  $U_w$  by (2). By Lemma 5.1, meromorphic continuation of the Whittaker integral in question is reduced to that of  $W(\sigma, \nu, (\eta_A)^v f)(g)$ . On the other hand,  $W'_{t,A}$  $W'_{t, \eta'}$  with  $\eta' = (\eta_A)^v$ . By Theorem 5.2,  $W(\sigma, \nu, \eta')f(g)$  extends to an entire function of  $\nu$  on  $ac^*$  $Q. E. D.$ 

Let  $A_0$  be a non-zero nilpotent element of g. Assume that  $A_0$  is in  $\sum_{\lambda \in \Pi} g_{-\lambda}$ . Then there exists a subset *F* of *H* such that  $A_0$  is a regular nilpotent element of  $\mathfrak{m}_F$ . Let  $\{A_0, H_0, B_0\} \subseteq \mathfrak{m}_F$  be the  $\mathfrak{sl}_2$ -triplet:  $[H_0, A_0] = 2A_0$ ,  $[H_0, B_0] = -2B_0$ ,  $[A_0, B_0] = H_0$ , such that  $H_0 \in \mathfrak{a}(F)$ .

We note the following well-known fact.

**Lemma** 5.9 ([15, Lemma 8.9.11]). *For a subset*  $\Psi$  *of*  $A^+$ ,  $\Psi = \langle s \rangle$  *for some*  $s \in W$  *if* and only if  $\Psi$  satisfies following conditions (1) and (2).

- (1) If  $\lambda \in \Psi$  and  $\lambda = \mu_1 + \mu_2$ ,  $\mu_1$ ,  $\mu_2 \in A^+$ , then  $\mu_1$  or  $\mu_2$  is in  $\Psi$ .
- *(2) If*  $\lambda$ ,  $\mu \in \Psi$  *and*  $\lambda + \mu \in \Lambda^+$ , then  $\lambda + \mu \in \Psi$ .

The set  $\{\lambda \in \Lambda^+$ ;  $\langle \lambda, H_0 \rangle > 0\}$  satisfies the above (1) and (2). So, let w be the element in W such that  $\langle w^{-1} \rangle = \{ \lambda \in \Lambda^+; \langle \lambda, H_0 \rangle > 0 \}.$  Put  $A = \Lambda$ d  $H = Ad(w)^{-1}H_0$  and  $B = Ad(w)^{-1}B_0$ . Then one has

**Lemma 5.10.** (1)  $\{A, H, B\} \subseteq \mathfrak{g}$  *is an*  $\mathfrak{sl}_2$ -triplet such that *H is in the closure of the negative Weyl chamber of a.* 

- (2)  $\mathfrak{u}_w \subseteq \mathfrak{u}(1)_A$ .
- (3) *The subalgebra*  $(\mathfrak{u}_w \cap \mathfrak{g}(1)_A) \bigoplus \mathfrak{u}(2)_A$  *of*  $\mathfrak{u}(1)_A$  *is subordinate to the linear form*  $B^*$ :  $X \rightarrow Q(X, B)$  *on*  $\mathfrak{u}(1)_A$ .

*Proof.* (1) One has  $wA^+ = (wA^+ \cap A^-) \cup (wA^+ \cap A^+) = (-\langle w^{-1} \rangle) \cup (A^+ \setminus \langle w^{-1} \rangle)$ . By the definition of w,  $\langle \lambda, H \rangle = \langle w \lambda, H_0 \rangle \leq 0$  for all  $\lambda \in \Lambda^+$ . Hence *H* is in the closure of the negative Weyl chamber of a.

(2) Note that  $-\langle w \rangle = w^{-1} \langle w^{-1} \rangle$ . For  $\lambda = w^{-1} \lambda' \in -\langle w \rangle$  with  $\lambda' \in \langle w^{-1} \rangle$ ,  $\langle \lambda, H \rangle = \langle \lambda', wH \rangle = \langle \lambda', H_0 \rangle > 0$ . Since  $\mathfrak{u}_w = \sum_{\lambda \in \{-\mathbf{w} \times \emptyset, \lambda\}} \mathfrak{u}_w \subseteq \mathfrak{u}(1)_A$ .

(3)  $Q(\mathfrak{u}_w, B) = Q(\text{Ad}(w)\mathfrak{u}_w, B_0) \subseteq Q(\mathfrak{n}, B_0) = \{0\}$ . This proves the assertion. Q. E. D.

Let us consider the next condition (\*).

(\*) There exists  $s \in W$  such that  $u_s \supseteq (u_w \cap g(1)_A) \oplus u(2)_A$  and  $u(1.5)_A$  can be taken as u<sub>s</sub>.

In the following proposition, we give a sufficient condition for the meromorphic continuation of Whittaker integrals  $W(\sigma, \nu, \eta_A) f(g)$  to the whole  $\mathfrak{a}_c^*.$ 

**Proposition 5 .1 1 .** *Let the notations be as abov e. Assume th at the condition (\*) holds.* Then the function  $D_s \ni v \rightarrow W(\sigma, v, \eta_A) f(g)$  extends to a meromorphic *function of*  $\nu$  *on*  $\mathfrak{a}_c^*$ , for  $\sigma \in M$ ,  $f \in (H_{\sigma,\nu})_K$  and  $g \in G$ .

*Proof.* Let w be as above. For this w, we check the conditions  $(1) \sim (3)$ of Proposition 5.8. The conditions  $(1)$  and  $(2)$  are satisfied by the definition of *s* and *w*. We check (3). Put  $t=sw^{-1}$ , then  $W'_{t,A}=W_F$ . Let  $w'_0 \in W_F$  be the element such that  $w_0'F = -F$ . Then  $w_0'H_0 = -H_0$ , because  $H_0$  is the unique element in  $\mathfrak{a}(F)$  such that  $\langle \lambda, H_0 \rangle = -2$  for all  $\lambda \in F$ . Let  $\lambda \in \langle \langle tw_0'^{-1} \rangle \rangle$ . Since  $\langle (tw_0' - 1) \rangle = w_0' \langle (t) \rangle \cap A^+$ , one has  $w_0' - 1 \lambda \in \langle (t) \rangle$ , whence  $\langle w_0' - 1 \lambda, H_0 \rangle < 0$ , or  $\langle \lambda, H_0 \rangle > 0$ . This shows that  $\lambda \notin \langle \langle t \rangle \rangle$ . Therefore,  $\langle \langle t \rangle \rangle \cap \langle \langle t w_0' \rangle = \emptyset$ . By Lemma 5.7, we have  $\lfloor W'_{t, A} D_t \rfloor = \mathfrak{a}_c^*$  $Q. E. D.$ 

A nilpotent element  $A \in \mathfrak{g}$  is called *even*, if  $\mathfrak{g}(1)_A = \{0\}$ . In case that A is even, the condition (\*) is clearly satisfied. Therefore we have

**Corollary** 5.12. Let  $A_0 \in \sum_{\lambda \in [0]} 2\lambda_{\lambda \in \mathbb{Z}}$  be a non-zero even nilpotent element. *Under* the above notations, the function  $D_s \ni \nu \rightarrow W(\sigma, \nu, \eta_A)f(g)$  extends to a mero*morphic* function on the whole  $a_c^*$ , for every  $\sigma \in \hat{M}$ ,  $f \in (H_{\sigma,\nu})_K$  and  $g \in G$ .

5.4. Now we apply Proposition 5.11 to complex simple Lie groups. For this purpose, we examine if the condition (\*) in 5.3 **is** satisfied.

**Case of type**  $(A_i)$ . Let G be a connected complex simple Lie group of type  $(A_{n-1})$ . Then  $g \simeq \mathfrak{gl}(n, \mathbb{C})$ , so we identify g with  $\mathfrak{gl}(n, \mathbb{C})$  and keep to the notations in 3.4. For  $\gamma \in P_n$ , put  $A_0 = A_r^0$ ,  $H_0 = H_r^0$ ,  $B_0 = B_r^0$ . Then  $w = w_r^{-1}$  and  $A=A_r$ ,  $H=H_r$ ,  $B=B_r$ . Moreover we see easily

$$
(\mathfrak{u}_w \cap \mathfrak{g}(1)_A) \oplus \mathfrak{u}(2)_A = \mathfrak{u}_\gamma,
$$

where  $u_r$  is the Lie algebra of  $U_r$ . Therefore, the condition (\*) is satisfied by putting  $u_r = u_s$ . Consequently, we have the following theorem.

**Theorem 5.13.** Let G be a connected complex simple Lie group of type  $(A_{n-1})$ . Let  $\gamma \in P_n$ . Then the function  $W(\sigma, \nu, \eta_{A_\gamma})f(g)$  extends to a meromorphic func*tion on the whole*  $a_c^*$ *, for every*  $\sigma \in \hat{M}$ *,*  $f \in (H_{\sigma,\nu})_K$  *and*  $g \in G$ *.* 

By the above theorem, Whittaker integrals  $W(\sigma, \nu, \eta_A) f(g)$  can be extended meromorphically to  $a_c^*$  for all nolpotent elements  $A \in \mathfrak{g}$  when g is of type  $(A_i)$ . This is a complete result in case of type  $(A_i)$ .

We proceed to the cases of simple groups of other types. We should treat the cases of rank 2 in the first place.

**5.5. Case of type**  $(B_2)=(C_2)$ . Suppose that g is of type  $(C_2)$ . Then every nilpotent Ad (G)-orbit of g intersects  $\sum_{\lambda \in \Pi} g_{-\lambda}$ . Moreover, the condition (\*) is essentially satisfied for all nilpotent elements. Therefore, the corresponding Whittaker integrals extend meromorphically to the whole  $a_c^*$  (see Table 5.14).

**5.6.** Case of type  $(G_2)$ . We consider the case of type  $(G_2)$ . The Whittaker integrals  $W(\sigma, \nu, \eta_A) f(g)$  extend meromorphically to the whole  $a_c^*$  except only a unique case. In this exceptional case, the best we can obtain within our present method is to extend the Whittaker integral meromorphically to a half space. We do not know whether it extends meromorphically to a larger domain or not. In the following, we explain these in more details.

Beforehand, we need to make some comments on the classification of nilpotent Ad (G)-orbits in  $g$  ([14]). Let G be a connected complex semisimple Lie group with Lie algebra g. For a non-zero nilpotent element  $A \in \mathfrak{g}$ , consider an  $\mathfrak{gl}_2$ -triplet  $\{A, H, B\} \subseteq \mathfrak{g}$  as in (5.2). By taking a suitable Ad (G)-conjugate of *A* instead of A, we may assume that *H* is in the closure of the negative Weyl chamber of a. Put  $d(A) = (-\lambda_1(H), \dots, -\lambda_n(H))$ . Note that  $-\lambda_i(H) = 0$  or 1 or 2 for  $1 \leq i \leq n$ . Then the nilpotent Ad (G)-orbits in g, except for {0}, are parametrized by the set  $H(g) = \{ d(A) : A \}$  of "weighted Dynkin diagrams".

Suppose that g is of type  $(G_2)$ . Then  $A^+$  is given as

$$
\Lambda^+ = \{\lambda_1, \lambda_2, \lambda_1 + \lambda_2, 2\lambda_1 + \lambda_2, 3\lambda_1 + \lambda_2, 3\lambda_1 + 2\lambda_2\}
$$

with  $H = \{\lambda_1, \lambda_2\}$  and the Dynkin diagram  $\lambda_1 \ll \lambda_2$ . By [2, Table 16], one has  $H(g) = \{(1, 0), (0, 1), (0, 2), (2, 2)\}.$ 

Let  $A_0$  be a non-zero element in  $g_{-\lambda}$ ,  $\bigoplus g_{-\lambda}$ . Let w and  $\{A, H, B\}$  be as in Lemma 5.10.

*Case* 1.  $A_0 = X_{- \lambda_1} + X_{- \lambda_2}$  with  $X_{- \lambda_1} \in \mathfrak{g}_{- \lambda_1} \setminus \{0\}$  (*i*=1, 2). In this case,  $A_0$  is a regular nilpotent element of g with  $d(A_0)=(2, 2)$ , so the condition (\*) is satisfied. Corollary 5.12 assures that the corresponding Whittaker integral extends to a meromorphic function on  $a_c *$ . Moreover, it is an entire function on  $ac^*$  by Theorem 5.2.

*Case* 2.  $A_0 = X_{- \lambda}$ . Then  $w = s_2 s_1$  and  $d(A) = (1, 0)$ . Hence one has  $\mathfrak{g}(1)_A \cap \mathfrak{u}_w = \mathfrak{g}_{-2}$ ,  $\mathfrak{u}(2)_A = \mathfrak{g}_{-2\lambda} \bigoplus \mathfrak{g}_{-3\lambda_1-\lambda_2} \bigoplus \mathfrak{g}_{-3\lambda_1-2\lambda_2}$ .

The subalgebra  $\mathfrak{u}(1.5)$ <sub>A</sub> can be taken as

$$
\mathfrak{u}(1.5)_A = (g(1)_A \cap \mathfrak{u}_w) \oplus \mathfrak{u}(2)_A = \mathfrak{u}_s \qquad \text{with } s = s_2 s_1 s_2 s_1.
$$

Therefore, the condition (\*) is satisfied.

*Case* 3.  $A_0 = X_{-\lambda_2}$ . Then  $w = s_1 s_2$  and  $d(A) = (0, 1)$ . Hence  $g(1)_A \cap u_w =$  $\mathfrak{g}_{-2_2}\oplus \mathfrak{g}_{-2_1-2_2}$ ,  $\mathfrak{u}(2)_A = \mathfrak{g}_{-3_1-2_2}$ . We can take  $\mathfrak{u}(1.5)_A = (\mathfrak{u}_w \cap \mathfrak{g}(1)_A) \oplus \mathfrak{u}(2)_A = \mathfrak{u}_s$  with  $s = s_2 s_1 s_2$ . Therefore the condition (\*) is satisfied.

*Case* 4. The nilpotent Ad (G)-orbit corresponding to (0, 2) does not intersect  $g_{-\lambda_1} \oplus g_{-\lambda_2}$ . This case is beyond application of Proposition 5.11. So we return to our original method in 5.1. Let  $A$  be an element of this orbit. Consider an  $\mathfrak{gl}_2$ -triplet  $\{A, H, B\}$  with *H* in the closure of the negative Weyl chamber of a. Within the limit of our present method, we know only that the function *W*( $\sigma$ ,  $\nu$ ,  $\eta$ <sub>A</sub>) $f$ ( $g$ ) extends to a meromorphic function on the half space { $\nu \in a_c$ \*;  $\langle \text{Re }\nu, 3\lambda_1+2\lambda_2\rangle > 0$ . It is left open whether or not it extends meromorphically to a larger domain.

5.7. In case that g is of type  $(B_n)$ ,  $(C_n)$ ,  $(D_n)$   $(n \ge 3)$ ,  $(E_n)$  or  $(F_4)$ , we have only one result, Corollary 5.12. The phenomenon of the same kind as Case 4 of type  $(G_2)$  occurs already when g is of type  $(C_3)$ .

At last, we list up, for complex simple Lie groups of rank 2, our results of analytic continuation of Whittaker integrals  $W(\sigma, \nu, \eta_A) f(g)$ .





 $X_{\lambda}$ : a non-zero element of the root space  $\mathfrak{g}_{\lambda}$  of a root  $\lambda$ .  $s_i$  (*i*=1, 2): the simple reflection corresponding to  $\lambda_i$ .  $s_0$ : the longest element in W.  $H(3\lambda_1+2\lambda_2) = {\nu \in \mathfrak{a}_c^* \; ; \; \langle \text{Re }\nu, \, 3\lambda_1+2\lambda_2 \rangle > 0}.$ 

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Added in proof: Main results of this article have been reported in the following note.

H. Yamashita, On Whittaker vectors for generalized Gelfand-Graev representations of semisimple Lie groups, Proc. Japan Acad., 61, Ser. A (1985), 213-216.