

## Normal subgroups and heights of characters

By

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### Introduction

Let  $G$  be a finite group and  $p$  a prime. Suppose we are given an irreducible character  $\chi$  of  $G$  such that  $\chi_N$  is irreducible for a normal subgroup  $N$  of  $G$ . Then every irreducible character  $\zeta$  of  $G$  lying over  $\chi_N$  is written as  $\zeta = \chi\theta$  for a unique irreducible character  $\theta$  of  $G/N$ . Let  $B$  (resp.  $\bar{B}$ ) be the block of  $G$  (resp.  $G/N$ ) to which  $\zeta$  (resp.  $\theta$ ) belongs. It is natural to ask how  $B$  and  $\bar{B}$  are related. If  $\chi$  is the trivial character then  $B$  is just a block which dominates  $\bar{B}$  and basic facts, including the relations between defect groups of these blocks, are known (cf. [11, Chapter 5, Sections 8.2 and 8.3]). (We note that we have shown, with no restrictions on  $N$ , that there exists a block of  $G/N$  dominated by  $B$  with defect group  $DN/N$  for a defect group  $D$  of  $B$ , cf. [10, Remark 4.7].) We shall show in Section 1 that, for an arbitrary  $\chi$ , the situation is quite analogous to that of the usual "domination" above. The same is true when  $\chi$  is an irreducible Brauer character. Actually the results are obtained in a more general setting, that is, we consider " $V$ -domination" for suitable indecomposable  $G$ -modules  $V$ .

To explain the results in Section 2 we need to introduce some notation. Let  $B$  be a block of  $G$  which covers a block  $b$  of a normal subgroup  $N$  of  $G$ . Let  $\xi$  be an irreducible character in  $b$ . Let  $T_G(b)$  be the inertial group of  $b$  in  $G$ . As in [10] we call a defect group  $D$  of  $B$  an *inertial defect group* of  $B$  if  $D$  is a defect group of the Fong-Reynolds correspondent of  $B$  in  $T_G(b)$ . Fix an inertial defect group  $D$  of  $B$ . Let  $\text{Irr}(B|\xi)$  be the set of irreducible characters in  $B$  lying over  $\xi$ . In Section 2 we shall show that

$$\min\{\text{ht}(\chi) - \text{ht}(\xi) \mid \chi \in \text{Irr}(B|\xi)\}$$

is determined by information on  $DN$  and the  $T_G(b)$ -conjugates of  $\xi$ . This extends some results in [10]. As an application we shall obtain a result related to the Dade conjecture [3]. We shall also obtain a slight extension of the Gluck-Wolf theorem [5].

In Section 3 we shall give the modular version of the above.

Throughout this paper let  $(K, R, k)$  be a  $p$ -modular system. We assume that  $K$  is sufficiently large with respect to  $G$  and that  $k$  is algebraically closed.

The maximal ideal of  $R$  is denoted by  $(\pi)$ . Let  $\nu$  be the valuation of  $K$  normalized so that  $\nu(\mathfrak{p}) = 1$ .

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## 1. Domination of blocks of a factor group

Throughout this section,  $N$  is a normal subgroup of a finite group  $G$  and  $V$  is an indecomposable  $\mathfrak{o}G$ -module such that  $V_N$  is indecomposable, where  $\mathfrak{o}$  denotes  $R$  or  $k$ . The block of  $N$  to which  $V_N$  belongs is denoted by  $b$ .

We say that a block  $B$  of  $G$  dominates a block  $\bar{B}$  of  $G/N$  through  $V$  (simply  $B$   $V$ -dominates  $\bar{B}$ ) if there exists an  $\mathfrak{o}[G/N]$ -module  $X$  in  $\bar{B}$  such that  $V \otimes \text{Inf}(X)$  ( $= V \otimes_{\mathfrak{o}} \text{Inf}(X)$ ) lies in  $B$ , where  $\text{Inf}(X)$  denotes the inflation of  $X$  to  $G$ . So when  $V$  is the trivial module, “ $V$ -domination” coincides with the usual “domination”.

In the following we understand  $\pi = 0$  when  $\mathfrak{o} = k$ . All  $\mathfrak{o}G$ -modules are assumed to be  $\mathfrak{o}$ -free of finite rank.

The following extends [6, VII. 9.12 (i), (iii)] slightly.

**Lemma 1.1** (i) *Let  $W$  be an indecomposable  $\mathfrak{o}[G/N]$ -module. If  $\mathfrak{o} = R$ , assume that  $W/\pi W$  is indecomposable. Then  $V \otimes \text{Inf}(W)$  is indecomposable. In particular,  $V \otimes \text{Inf}(W)$  is indecomposable for every projective indecomposable module  $W$ .*

(ii) *Let  $W$  and  $W'$  be  $\mathfrak{o}[G/N]$ -modules. If  $V \otimes \text{Inf}(W) \mid V \otimes \text{Inf}(W')$ , then  $W/\pi W \mid W'/\pi W'$ .*

*Proof.* We shall prove the assertion by mimicking the proof of [6, VII 9.12]. Put  $E = \text{End}_{\mathfrak{o}N}(V)$ .

(i) Let  $\phi \in \text{End}_{\mathfrak{o}G}(V \otimes \text{Inf}(W))$  be an idempotent. Put  $m = \text{rank}_{\mathfrak{o}} W$ . Let  $\{w_i\}$  be an  $\mathfrak{o}$ -basis of  $W$ . Let

$$w_i g = \sum_j a_{ij}(g) w_j, \quad a_{ij} \in \mathfrak{o}, \quad \text{for every } g \in G.$$

Put

$$(v \otimes w_i) \phi = \sum_j v \psi_{ij} \otimes w_j, \quad \psi_{ij} \in E.$$

As in [6], we get

$$\sum_j a_{ij}(g) \psi_{jk} = \sum_j \psi_{ij}^g a_{jk}(g), \quad \text{for } 1 \leq i, k \leq m.$$

Since  $E = \mathfrak{o}1_V + J(E)$ , we may take  $\lambda_{ij} \in \mathfrak{o}$ ,  $\rho_{ij} \in J(E)$  so that  $\psi_{ij} = \lambda_{ij} 1_V + \rho_{ij}$  for  $1 \leq i, j \leq m$ . Then we get

$$\sum_j a_{ij}(g) \lambda_{jk} \equiv \sum_j \lambda_{ij} a_{jk}(g) \pmod{\pi}, \quad \text{for } 1 \leq i, k \leq m,$$

since  $\pi \mathfrak{o}1_V = \mathfrak{o}1_V \cap J(E)$ .

Let  $\Lambda \in \text{Mat}_m(k)$  be the matrix whose  $(i, j)$ -th entry is  $\lambda_{ij} + \pi\mathfrak{o}$ . Since  $W/\pi W$  is indecomposable, the above shows that  $\Lambda$  is the identity matrix or 0. We may assume  $\Lambda=0$ . So  $(\phi_{ij}) \in \text{Mat}_m(J(E)) = J(\text{Mat}_m(E))$ . Since  $(\phi_{ij})$  is an idempotent, it follows that  $(\phi_{ij})=0$  and hence  $\phi=0$ . This completes the proof.

(ii) Let  $\phi: V \otimes \text{Inf}(W) \rightarrow V \otimes \text{Inf}(W')$  and  $\psi: V \otimes \text{Inf}(W') \rightarrow V \otimes \text{Inf}(W)$  be  $\mathfrak{o}G$ -homomorphisms such that  $\phi\psi$  is the identity map of  $V \otimes \text{Inf}(W)$ . Let  $\{w_i\}$  (resp.  $\{w'_s\}$ ) be an  $\mathfrak{o}$ -basis of  $W$  (resp.  $W'$ ). We may write

$$(v \otimes w_i) \phi = \sum_s v \phi_{is} \otimes w'_s, v \in V,$$

where  $\phi_{is} \in E$ . Also

$$(v \otimes w'_s) \psi = \sum_i v \psi_{si} \otimes w_i, v \in V,$$

where  $\psi_{si} \in E$ . Then we get

$$\sum_s \phi_{is} \psi_{sj} = \delta_{ij} 1_V,$$

where  $\delta_{ij}$  is the Kronecker delta. Put  $\phi_{is} = \lambda_{is} 1_V + \rho_{is}$ ,  $\psi_{si} = \mu_{si} 1_V + \sigma_{si}$ , where  $\lambda_{is}, \mu_{si} \in \mathfrak{o}$ ,  $\rho_{is}, \sigma_{si} \in J(E)$ . We get

$$\sum_s \lambda_{is} \mu_{sj} \equiv \delta_{ij} \pmod{\pi},$$

as above. Now define the  $k$ -linear map  $\bar{\phi}: W/\pi W \rightarrow W'/\pi W'$  by

$$\bar{w}_i \bar{\phi} = \sum_s \bar{\lambda}_{is} \bar{w}'_s,$$

where  $\bar{w}_i = w_i + \pi W$ ,  $\bar{w}'_s = w'_s + \pi W'$ , and  $\bar{\lambda}_{is} = \lambda_{is} + \pi\mathfrak{o}$ . Similarly define the  $k$ -linear map  $\bar{\psi}: W'/\pi W' \rightarrow W/\pi W$  by

$$\bar{w}'_s \bar{\psi} = \sum_i \bar{\mu}_{si} \bar{w}_i.$$

Then clearly  $\bar{\phi} \bar{\psi}$  is the identity map of  $W/\pi W$ . On the other hand, if we let

$$w_i g = \sum_j a_{ij}(g) w_j, a_{ij}(g) \in \mathfrak{o}, \text{ and}$$

$$w'_s g = \sum_t b_{st}(g) w'_t, b_{st}(g) \in \mathfrak{o}, \text{ for every } g \in G,$$

then we get

$$\sum_j a_{ij}(g) \phi_{jt} = \sum_s \phi_{is}^g b_{st}(g).$$

From this we get as above,

$$\sum_j a_{ij}(g) \lambda_{jt} \equiv \sum_s \lambda_{is} b_{st}(g) \pmod{\pi}.$$

This implies that  $\bar{\phi}$  is a  $kG$ -homomorphism. Similarly  $\bar{\psi}$  is a  $kG$ -homomorphism. Thus the result follows.

**Theorem 1.2.** (i) *A block  $B$  of  $G$   $V$ -dominates a block of  $G/N$  if and only if  $B$  covers  $b$ .*

(ii) *Every block  $\bar{B}$  of  $G/N$  is  $V$ -dominated by a unique block, say  $B$ , of  $G$ . In that case, for every  $\mathfrak{o}[G/N]$ -module  $W$  in  $\bar{B}$ ,  $V \otimes \text{Inf}(W)$  lies in  $B$ .*

*Proof.* (i) if part: If  $B$  covers  $b$ , then  $(V_N)^G$  has an indecomposable summand  $U$  lying in  $B$ . Since  $(V_N)^G \cong V \otimes \mathfrak{o}[G/N]$ , we have, by Lemma 1.1 (i),  $U \cong V \otimes \text{Inf}(P)$  for some projective indecomposable  $\mathfrak{o}[G/N]$ -module  $P$ . So  $B$   $V$ -dominates the block of  $G/N$  containing  $P$ .

only if part: This is easy to see.

(ii) Let  $\bar{B}$  be a block of  $G/N$ . Choose a projective indecomposable  $\mathfrak{o}[G/N]$ -module  $P$  in  $\bar{B}$ , then  $V \otimes \text{Inf}(P)$  is indecomposable. Let  $B$  be the block of  $G$  to which  $V \otimes \text{Inf}(P)$  belongs. So  $\bar{B}$  is  $V$ -dominated by  $B$ . To prove the assertion, it suffices to show that for every  $\mathfrak{o}[G/N]$ -module  $W$  in  $\bar{B}$ ,  $V \otimes \text{Inf}(W)$  lies in  $B$ . Suppose that we are given projective indecomposable  $\mathfrak{o}[G/N]$ -modules  $P_1$  and  $P_2$  in  $\bar{B}$  such that  $V \otimes \text{Inf}(P_1)$  lies in  $B$  and that there is a non-zero  $\mathfrak{o}[G/N]$ -homomorphism  $f: P_1 \rightarrow P_2$ . Then  $1_V \otimes f: V \otimes \text{Inf}(P_1) \rightarrow V \otimes \text{Inf}(P_2)$  is non-zero. Since  $V \otimes \text{Inf}(P_2)$  is indecomposable, it follows that  $V \otimes \text{Inf}(P_2)$  lies in  $B$ . So, since  $V \otimes \text{Inf}(P)$  lies in  $B$ , the indecomposability of the Cartan matrix of  $\bar{B}$  yields that  $V \otimes \text{Inf}(Q)$  lies in  $B$  for every projective indecomposable module  $Q$  in  $\bar{B}$ . For every  $\mathfrak{o}[G/N]$ -module  $W$  in  $\bar{B}$ , there is a surjection:  $V \otimes \text{Inf}(P_W) \rightarrow V \otimes \text{Inf}(W) \rightarrow 0$ , where  $P_W$  is the projective cover of  $W$ . Since  $V \otimes \text{Inf}(P_W)$  lies in  $B$  by the above, so does  $V \otimes \text{Inf}(W)$ . This completes the proof.

We need the following.

**Lemma 1.3** *Let  $N_1$  be a normal subgroup of a group  $G_1$  and let  $H$  be a subgroup of  $G_1$  such that  $H \cong N_1$ . Let  $b_1$  be a  $G_1$ -invariant block of  $N_1$ . If  $B_1$  is a block of  $H$  for which  $B_1^{G_1}$  is defined, then  $B_1$  covers  $b_1$  if and only if  $B_1^{G_1}$  covers  $b_1$ .*

*Proof.* There are a  $kG_1$ -module  $X$  in  $B_1^{G_1}$  and a  $kH$ -module  $Y$  in  $B_1$  such that  $Y$  is a direct summand of  $X_H$  by [11, Theorem 5.3.10] (see also [10, Corollary 1.7(i)]). This yields the assertion.

**Theorem 1.4.** *Let  $B$  be a block of  $G$  covering  $b$  and let  $D$  be a defect group of  $B$ . Then:*

(i) *For every block  $\bar{B}$  of  $G/N$  which is  $V$ -dominated by  $B$ , a defect group of  $\bar{B}$  is contained in  $DN/N$ .*

(ii) *Furthermore for some block  $\bar{B}$  of  $G/N$  which is  $V$ -dominated by  $B$ ,  $DN/N$  is a defect group of  $\bar{B}$ .*

*Proof.* (i) If  $\mathfrak{o} = k$ , let  $W$  be an irreducible module in  $\bar{B}$  of height 0. If  $\mathfrak{o} = R$ , let  $W$  be an  $R$ -form of an irreducible  $K[G/N]$ -module in  $\bar{B}$  of height 0 such

that  $W/\pi W$  is indecomposable, cf. [4, I. 17.12] for the existence of such a  $W$ . Then  $V \otimes \text{Inf}(W)$  is indecomposable by Lemma 1.1 (i) and lies in  $B$  by Theorem 1.2 (ii). Let  $Q$  be a vertex of  $V \otimes \text{Inf}(W)$ . Since  $V \otimes \text{Inf}(W)$  is  $QN$ -projective,

$$V \otimes \text{Inf}(W) | ((V \otimes \text{Inf}(W))_{QN})^G \cong V \otimes ((\text{Inf}(W))_{QN})^G.$$

Clearly  $((\text{Inf}(W))_{QN})^G \cong \text{Inf}\{(W_{QN/N})^{G/N}\}$ . Hence  $W/\pi W$  is a summand of  $(W_{QN/N})^{G/N}/\pi(W_{QN/N})^{G/N}$  by Lemma 1.1. By the choice of  $W$  and Green's theorem,  $W/\pi W$  is an indecomposable module whose vertex is a defect group of  $\bar{B}$ . Since  $(W_{QN/N})^{G/N}/\pi(W_{QN/N})^{G/N}$  is  $QN/N$ -projective and  $Q$  is contained in a defect group of  $B$ , the result follows.

(ii) Put  $H = N_G(D)N$  and let  $\tilde{B}$  be the unique block of  $H$  with defect group  $D$  such that  $\tilde{B}^G = B$ . Since  $V_N$  lies in  $b$ ,  $b$  is  $G$ -invariant. So  $\tilde{B}$  covers  $b$  by Lemma 1.3. Hence by Theorem 1.2 (i) there is a block  $B_1$  of  $H/N$  which is  $V_H$ -dominated by  $\tilde{B}$ . Since  $DN/N$  is normal in  $H/N$ , it follows from (i) that  $DN/N$  is a defect group of  $B_1$ . Here we note that  $H = N_G(DN)$ , i.e.  $H/N = N_{G/N}(DN/N)$ . In fact, since  $b$  is  $G$ -invariant, if  $\hat{b}$  is a unique block of  $DN$  that covers  $b$ , then  $D$  is a defect group of  $\hat{b}$  by [10, Lemma 2.2] and  $\hat{b}$  is  $N_G(DN)$ -invariant. Hence the "Frattini argument" shows that  $H = N_G(DN)$ . Thus if we put  $\bar{B} = B_1^{G/N}$ , then  $\bar{B}$  has defect group  $DN/N$  by the First Main Theorem. So it suffices to prove that  $\bar{B}$  is  $V$ -dominated by  $B$ .

Let  $W$  be a module chosen as in the proof of (i) for  $B_1$ . Then  $V_H \otimes \text{Inf}(W)$  is an indecomposable module in  $\tilde{B}$  as above. (Here  $\text{Inf}(W)$  is the inflation of  $W$  to  $H$ .) By the proof of (i) we see there is a vertex  $Q$  of  $V_H \otimes \text{Inf}(W)$  such that  $QN = DN$ . Now let  $U$  be the Green correspondent of  $W$  with respect to  $(G/N, DN/N, H/N)$ . (Note that  $DN/N$  is a vertex of  $W$ .) Then  $U$  lies in  $\bar{B}$  by the Nagao-Green theorem [11, Theorem 5.3.12]. Clearly  $V_H \otimes \text{Inf}(W) | (V \otimes \text{Inf}(U))_H$ , so there is an indecomposable summand  $X$  of  $V \otimes \text{Inf}(U)$  such that  $V_H \otimes \text{Inf}(W) | X_H$ . Since  $C_G(Q) \leq N_G(QN) = N_G(DN) = H$ ,  $X$  belongs to  $\tilde{B}^G = B$  by the Nagao-Green theorem again. Then  $V \otimes \text{Inf}(U)$  lies in  $B$  by Theorem 1.2 (ii). So  $\bar{B}$  is  $V$ -dominated by  $B$ . This completes the proof.

Let  $\chi$  (resp.  $\phi$ ) be an irreducible character (resp. irreducible Brauer character) of  $G$  such that  $\chi_N$  (resp.  $\phi_N$ ) is irreducible. We say that a block of  $B$  of  $G$   $\chi$ -dominates (resp.  $\phi$ -dominates) a block  $\bar{B}$  of  $G/N$ , if  $\chi \otimes \zeta$  (resp.  $\phi \otimes \phi$ ) lies in  $B$  for an irreducible character  $\zeta$  (resp. an irreducible Brauer character  $\phi$ ) in  $\bar{B}$ . (In this paper we write  $\chi \otimes \zeta$  (or  $\phi \otimes \phi$ ) instead of  $\chi\zeta$  (or  $\phi\phi$ ) to avoid unnecessary confusions.)

**Corollary 1.5** *Let  $\chi$  and  $\phi$  be as above. For every block  $B$  of  $G$ , let  $\text{Bl}(B, \chi)$  (resp.  $\text{Bl}(B, \phi)$ ) be the set of blocks of  $G/N$  which are  $\chi$ -dominated (resp.  $\phi$ -dominated) by  $B$ .*

(i) (i. a)  $\text{Bl}(B, \chi) \neq \emptyset$  if and only if  $B$  covers the block of  $N$  to which  $\chi_N$

belongs.

(i. b) Assume  $\text{Bl}(B, \chi) \neq \emptyset$ . Let  $D$  be a defect group of  $B$ . Then for every block  $\bar{B} \in \text{Bl}(B, \chi)$ , a defect group of  $\bar{B}$  is contained in  $DN/N$ . Furthermore there is a block  $\bar{B} \in \text{Bl}(B, \chi)$  such that  $DN/N$  is a defect group of  $\bar{B}$ .

(i. c) Every block  $\bar{B}$  of  $G/N$  is  $\chi$ -dominated by a unique block, say  $B$ , of  $G$ . In that case, for every  $\theta \in \text{Irr}(\bar{B})$ ,  $\chi \otimes \theta \in \text{Irr}(B)$ .

(ii) (ii. a)  $\text{Bl}(B, \phi) \neq \emptyset$  if and only if  $B$  covers the block of  $N$  to which  $\phi_N$  belongs.

(ii. b) Assume  $\text{Bl}(B, \phi) \neq \emptyset$ . Let  $D$  be a defect group of  $B$ . Then for every block  $\bar{B} \in \text{Bl}(B, \phi)$ , a defect group of  $\bar{B}$  is contained in  $DN/N$ . Furthermore there is a block  $\bar{B} \in \text{Bl}(B, \phi)$  such that  $DN/N$  is a defect group of  $\bar{B}$ .

(ii. c) Every block  $\bar{B}$  of  $G/N$  is  $\phi$ -dominated by a unique block, say  $B$ , of  $G$ . In that case, for every  $\theta \in \text{IBr}(\bar{B})$ ,  $\phi \otimes \theta \in \text{IBr}(B)$ .

*Proof.* (i) Let  $V$  be an  $R$ -form of a  $KG$ -module affording  $\chi$ . Then clearly a block  $\bar{B}$  of  $G/N$  is  $\chi$ -dominated by  $B$  if and only if  $\bar{B}$  is  $V$ -dominated by  $B$ .

(i. a) By the above, the assertion follows from Theorem 1.2(i).

(i. b) Similarly this follows from Theorem 1.4.

(i. c) As is well-known,  $\chi \otimes \theta$  is irreducible for every  $\theta \in \text{Irr}(G/N)$ . Theorem 1.2 (ii) yields that  $\{\chi \otimes \theta \mid \theta \in \text{Irr}(\bar{B})\}$  is contained in a single block of  $G$ .

The proof of (ii) is similar.

## 2. Normal subgroups and heights of irreducible characters

For an irreducible character  $\chi$  lying in a ( $p$ -)block  $B$  of a group  $G$ , let  $\theta_\chi$  be the class function on  $G$  defined by

$$\theta_\chi(x) = \begin{cases} p^{d(B)} \chi(x) & \text{if } x \text{ is } p\text{-regular,} \\ = 0 & \text{otherwise,} \end{cases}$$

where  $d(B)$  is the defect of  $B$ .

**Lemma 2.1** *Let  $B$  be a block of  $G$ . Let  $b$  be a block of a subgroup  $H$  of  $G$  such that  $b^G = B$  and that  $d(b) = d(B)$ . Let  $\zeta$  be an irreducible character of height 0 in  $b$ . Then for every  $\chi \in \text{Irr}(B)$ , we have :*

(i)  $\nu((\chi_H, \theta_\chi)_H) = \text{ht}(\chi)$ .

(ii) There is a constituent  $\eta \in \text{Irr}(b)$  of  $\chi_H$  with  $\text{ht}(\eta) \leq \text{ht}(\chi)$ .

*Proof.* (i) By Frobenius reciprocity  $(\chi_H, \theta_\chi)_H = (\theta_\chi, \zeta^G)_G$ . As in [10, Section 1], let  $(\zeta^G)^* = \sum \zeta^G(x^{-1})x$ , where  $x$  runs through the  $p$ -regular elements of  $G$ . Then  $(\theta_\chi, \zeta^G)_G = |G| / (p^{d(B)} \chi(1)) = \omega_\chi((\zeta^G)^*)$ , where  $\omega_\chi$  is the central character corresponding to  $\chi$ . Since  $B$ -component of  $\zeta^G$  is of height 0 [10, Proposition 1.8(ii)], the result follows from [10, Theorem 1.3].

(ii) This follows from (i), cf. [1].

In the rest of this section we use the following notation:

$N$  is a normal subgroup of a group  $G$ ,  $\xi$  is an irreducible character of  $N$ ,  $b$  is the block of  $N$  to which  $\xi$  belongs, and  $B$  is a block of  $G$  covering  $b$ .

Let  $T_G(\xi)$  be the inertial group of  $\xi$  in  $G$ . Let  $\text{Irr}(B|\xi)$  be the set of irreducible characters in  $B$  lying over  $\xi$ , that is,

$$\text{Irr}(B|\xi) = \{\chi \in \text{Irr}(B) \mid (\chi_N, \xi)_N \neq 0\}.$$

Let  $T_G(b)$  be the inertial group of  $b$  in  $G$ .

The following generalizes Corollary 4.2 (i) in [10].

**Lemma 2.2.** *For every  $\chi \in \text{Irr}(B|\xi)$ , we have  $\text{ht}(\chi) \geq \text{ht}(\xi)$ .*

*Proof.* Let  $\chi \in \text{Irr}(B|\xi)$ . Let  $\chi' \in \text{Irr}(T_G(\xi)|\xi)$  be such that  $\chi'^G = \chi$  and let  $B'$  be the block of  $T_G(\xi)$  to which  $\chi'$  belongs. Then it follows that  $\text{ht}(\chi) = \text{ht}(\chi') + d(B) - d(B') \geq \text{ht}(\chi')$ , since  $B'^G = B$ . So we may assume  $\xi$  is  $G$ -invariant. Take a central extension of  $G$ ,

$$1 \rightarrow Z \rightarrow \widehat{G} \xrightarrow{f} G \rightarrow 1,$$

such that  $f^{-1}(N) = N_1 \times Z$ ,  $N_1 \triangleleft \widehat{G}$  and that  $\xi$  extends to a character of  $\widehat{G}$ , say  $\widehat{\xi}$ , under the identification of  $N_1$  with  $N$  through  $f$ , and that  $Z$  is a finite cyclic group. Let  $\widehat{B}$  (resp.  $\widehat{\chi}$ ) be the inflation of  $B$  (resp.  $\chi$ ) to  $\widehat{G}$ . Then there is an irreducible character  $\theta$  of  $\widehat{G}/N$  such that  $\widehat{\chi} = \widehat{\xi} \otimes \theta$ . Let  $\overline{B}$  be the block of  $\widehat{G}/N$  to which  $\theta$  belongs. Then we get  $\text{ht}(\widehat{\chi}) = \text{ht}(\widehat{\xi}) + \text{ht}(\theta) + d(\widehat{B}) - d(b) - d(\overline{B})$ . Let  $\widehat{D}$  be a defect group of  $\widehat{B}$ . Then  $\widehat{D}N/N$  contains a defect group of  $\overline{B}$  by Corollary 1.5 (i), so we get  $d(\widehat{B}) - d(b) - d(\overline{B}) \geq 0$ . (Note that  $\widehat{D} \cap N$  is a defect group of  $b$  [8, Proposition 4.2].) On the other hand, since  $\widehat{G}$  is a central extension of  $G$ ,  $\widehat{D}Z/Z$  is a defect group of  $B$ . This implies  $\text{ht}(\widehat{\chi}) = \text{ht}(\chi)$ , since a Sylow  $p$ -subgroup of  $Z$  is contained in  $\widehat{D}$ . Hence  $\text{ht}(\chi) \geq \text{ht}(\xi)$ .

Fix an inertial defect group  $D$  of  $B$  and let  $\widehat{b}$  be a unique block of  $DN$  covering  $b$ . Put

$$\alpha(\xi, B) = \min\{\text{ht}(\chi) - \text{ht}(\xi) \mid \chi \in \text{Irr}(B|\xi)\},$$

$$\alpha'(\xi, B) = \min\{\text{ht}(\zeta) - \text{ht}(\xi) \mid \zeta \in \text{Irr}(\widehat{b}|\{\xi^{T_G(b)}\})\}, \text{ and}$$

$$\beta(\xi, B) = \min \left\{ d(B) - \nu(|Q|) \left| \begin{array}{l} Q \text{ is a subgroup of } D \text{ such that} \\ \xi' \text{ extends to } QN \text{ for some} \\ t \in T_G(b) \end{array} \right. \right\},$$

where  $\text{Irr}(\widehat{b}|\{\xi^{T_G(b)}\})$  denotes the set of irreducible characters in  $\widehat{b}$  lying over a  $T_G(b)$ -conjugate of  $\xi$ .

We note that the quantities  $\alpha'(\xi, B)$  and  $\beta(\xi, B)$  do not depend on a particular choice of  $D$ , since  $D$  is determined up to  $T_G(b)$ -conjugacy.

We have shown in [10, Theorem 4.4 (i)] that if  $\text{ht}(\xi) = 0$ , then  $\alpha(\xi, B) = 0$  if and only if  $\beta(\xi, B) = 0$ . Now we extend this as follows:

**Theorem 2.3** *With the notation above, we have  $\alpha(\xi, B) = \alpha'(\xi, B) = \beta(\xi, B)$ .*

*Proof.*  $\alpha(\xi, B) = \alpha'(\xi, B)$ : We may assume that  $G = T_G(b)$  by the Fong-Reynolds theorem. First we show that for any  $\chi \in \text{Irr}(B|\xi)$  there is a character  $\zeta \in \text{Irr}(\widehat{b}|\{\xi^{T_G(b)}\})$  such that  $\text{ht}(\chi) \geq \text{ht}(\zeta)$ . Let  $\widetilde{B}$  be the unique block of  $N_G(D)N$  with defect group  $D$  such that  $\widetilde{B}^G = B$ . By Lemma 2.1 there is a constituent  $\widetilde{\chi} \in \text{Irr}(\widetilde{B})$  of  $\chi_{N_G(D)N}$  with  $\text{ht}(\chi) \geq \text{ht}(\widetilde{\chi})$ . Since  $\widetilde{B}$  covers  $b$  by Lemma 1.3,  $\widetilde{B}$  covers  $\widehat{b}$  (note that  $DN \triangleleft N_G(D)N$ ). Furthermore, since  $b$  is  $G$ -invariant,  $\widehat{b}$  is  $N_G(D)N$ -invariant. So every irreducible constituent  $\zeta$  of  $\chi_{DN}$  lies in  $\widehat{b}$  and by Lemma 2.2  $\text{ht}(\widetilde{\chi}) \geq \text{ht}(\zeta)$ . Thus any such  $\zeta$  is a required character.

Next we show that for any  $\zeta \in \text{Irr}(\widehat{b}|\{\xi^{T_G(b)}\})$ , there is a character  $\chi \in \text{Irr}(B|\xi)$  such that  $\text{ht}(\chi) \leq \text{ht}(\zeta)$ . This is proved as in the proof of Lemma 4.3 in [10]. In fact, let  $\widetilde{B}$  be the block of  $N_G(D)N$  as above. Since  $\widetilde{B}$  covers  $\widehat{b}$ , there is a character  $\widetilde{\chi} \in \text{Irr}(\widetilde{B}|\zeta)$ . Then, as is well-known,  $\nu(\widetilde{\chi}(1)) \leq \nu(|N_G(D)N/DN|) + \nu(\zeta(1))$ . Since  $\widetilde{B}^G = B$ , we have  $\nu(\widetilde{\chi}^B(1)) = \nu(\chi^G(1))$ , where  $\widetilde{\chi}^B$  denotes the  $B$ -component of  $\widetilde{\chi}^G$  ([4, V. 1.3]). So there is an irreducible constituent  $\chi$  of  $\widetilde{\chi}^B$  such that  $\nu(\chi(1)) \leq \nu(\chi^G(1))$ . Then easy computations show that  $\text{ht}(\chi) \leq \text{ht}(\zeta)$  and, by Frobenius reciprocity,  $\chi \in \text{Irr}(B|\xi)$ . This completes the proof.

$\alpha'(\xi, B) = \beta(\xi, B)$ : For every  $t \in T_G(b)$ , put

$$\alpha'_t = \min\{\text{ht}(\zeta) - \text{ht}(\xi) \mid \zeta \in \text{Irr}(\widehat{b}|\xi^t)\}, \text{ and}$$

$$\beta_t = \min\left\{d(B) - \nu(|Q|) \mid \begin{array}{l} Q \text{ is a subgroup of } D \text{ such that} \\ \xi^t \text{ extends to } QN \end{array} \right\}.$$

Since  $\alpha'(\xi, B) = \min\{\alpha'_t \mid t \in T_G(b)\}$  and  $\beta(\xi, B) = \min\{\beta_t \mid t \in T_G(b)\}$ , it suffices to show that  $\alpha'_t = \beta_t$  for every  $t \in T_G(b)$ . Fix  $t \in T_G(b)$  and put  $\xi_1 = \xi^t$ . Let  $Q$  be a subgroup of  $D$  such that  $QN$  has a character  $\eta$  with  $\eta_N = \xi_1$ . There is an irreducible constituent  $\zeta$  of  $\eta^{DN}$  with

$$\nu(\zeta(1)) \leq \nu(\eta(1)) + \nu(|DN:QN|) \leq \nu(\xi(1)) + \nu(|D:Q|).$$

Since  $\nu(|DN|) - d(B) = \nu(|N|) - d(b)$ , we get  $\text{ht}(\zeta) \leq \text{ht}(\xi) + d(B) - \nu(|Q|)$ . Since  $\zeta$  lies in  $\widehat{b}$ , it follows that  $\alpha'_t \leq \beta_t$ . Conversely let  $\zeta \in \text{Irr}(\widehat{b}|\xi_1)$ . Since  $DN/N$  is a  $p$ -group, there are a subgroup  $H$  with  $N \leq H \leq DN$  and a character  $\eta \in \text{Irr}(H)$  such that  $\eta_N = \xi_1$  and that  $\eta^{DN} = \zeta$  by [7, Theorem 6.22]. We have  $H = QN$  with  $Q = D \cap H$ . Then  $\text{ht}(\zeta) = \text{ht}(\xi) + d(B) - \nu(|Q|)$ . Hence  $\beta_t \leq \alpha'_t$ . Thus  $\alpha'_t = \beta_t$ . This completes the proof.

In [3], E. C. Dade conjectures the following. Assume that  $O_p(G)$  is central



in  $G$  and that  $O_p(G)$  is not a defect group of a block  $B$  of  $G$ . Then for every irreducible character  $\phi$  of  $O_p(G)$  and for all integers  $h$ ,

$$(*) \quad k(B, h|\phi) = \sum_C (-1)^{|C|+1} \sum_{B'} k(B', d(B') - d(B) + h|\phi),$$

where  $C$  runs through a certain set of “ $p$ -chains” with  $|C| > 0$  and  $B'$  runs through the blocks of  $N_G(C)$  with  $B'^G = B$ . Here  $k(B, h|\phi)$  denotes the number of irreducible characters in  $B$  of height  $h$  which lie over  $\phi$ .

Put  $h_1 = \min\{\nu(\zeta(1)) \mid \zeta \in \text{Irr}(D|\phi)\}$ .

**Corollary 2.4**     *The equality (\*) is true for every  $h < h_1$ .*

*Proof.* Let  $h < h_1$ . We shall show that all the terms appearing in (\*) are 0. By applying Theorem 2.3 with  $O_p(G)$  and  $\phi$  in place of  $N$  and  $\xi$ , we get  $\min\{\text{ht}(\chi) \mid \chi \in \text{Irr}(B|\phi)\} = h_1$ . Hence  $k(B, h|\phi) = 0$ .

If  $k(B', d(B') - d(B) + h|\phi) \neq 0$  for some  $B'$ , then, by Theorem 2.3, there is a subgroup  $Q \cong O_p(G)$  of a defect group  $D'$  of  $B'$  such that  $\phi$  extends to  $Q$  and that  $\nu(|D':Q|) \leq d(B') - d(B) + h$ . Let  $D_1$  be a defect group of  $B$  containing  $D'$ . Then  $\nu(|D_1 : Q|) \leq h$ , so  $k(B, h'|\phi) \neq 0$  for some  $h' \leq h$  by Theorem 2.3. This contradicts the above. Thus the result follows.

Now put

$$\gamma(\xi, B) = \max\{\text{ht}(\chi) - \text{ht}(\xi) \mid \chi \in \text{Irr}(B|\xi)\}.$$

For a solvable group  $X$ , let  $\text{dl}(X)$  be the derived length of  $X$ . Define the commutator subgroups of  $X$  by  $X^{(0)} = X$ ,  $X^{(i)} = [X^{(i-1)}, X^{(i-1)}]$  ( $i \geq 1$ ). The following is a slight extension of a theorem of Gluck-Wolf [5]. (In fact, letting  $N = 1$ , we recover Theorem D in [5].)

**Theorem 2.5.**     *Let  $D$  be a defect group of  $B$ . If  $G/N$  is  $p$ -solvable, then  $\text{dl}(DN/N) \leq 2\gamma(\xi, B) + 1$ .*

*Proof.* First we assume  $\gamma(\xi, B) = 0$  and show that  $DN/N$  is abelian. We argue by induction on  $|G/N|$ .

We may assume  $\xi$  is  $G$ -invariant. In fact, let  $\chi \in \text{Irr}(B|\xi)$  and let  $\chi' \in \text{Irr}(T_G(\xi)|\xi)$  be such that  $\chi'^G = \chi$ , and let  $B'$  be the block of  $T_G(\xi)$  to which  $\chi'$  belongs. Then  $\text{ht}(\chi) = \text{ht}(\chi') + d(B) - d(B') \geq \text{ht}(\chi') \geq \text{ht}(\xi)$  by Lemma 2.2. Hence equality holds throughout by assumption. Thus  $B'$  and  $B$  have a common defect group. For any  $\eta \in \text{Irr}(B'|\xi)$ , we have  $\eta^G \in \text{Irr}(B|\xi)$  and  $\text{ht}(\eta) = \text{ht}(\eta^G) = \text{ht}(\xi)$ . Thus  $\gamma(\xi, B') = 0$ . So, if  $T_G(\xi) \neq G$ , then the result follows by induction.

We may assume  $O_{p'}(G/N) = 1$ . In fact, let  $L/N = O_{p'}(G/N) \neq 1$ . Choose  $\eta \in \text{Irr}(L|\xi)$  so that the block of  $L$  containing  $\eta$  is covered by  $B$ . Clearly  $\text{ht}(\eta) = \text{ht}(\xi)$ . This and  $\text{Irr}(B|\eta) \subseteq \text{Irr}(B|\xi)$  show  $\gamma(\eta, B) = 0$ . By induction  $DL/L$  is abelian and then so is  $DN/N$ , since  $L/N$  is a  $p'$ -group.

Now let

$$1 \rightarrow Z \rightarrow \widehat{G} \xrightarrow{f} G \rightarrow 1,$$

be a central extension of  $G$  as in the proof of Lemma 2.2. Choose any  $\chi \in \text{Irr}(B|\xi)$ . Let  $\widehat{B}$  (resp.  $\widehat{\chi}$ ) be the inflation of  $B$  (resp.  $\chi$ ) to  $\widehat{G}$ . Put  $\overline{G} = \widehat{G}/N$ . There is an irreducible character  $\theta$  of  $\overline{G}$  such that  $\widehat{\chi} = \widehat{\xi} \otimes \theta$ . Let  $\overline{B}$  be the block of  $\overline{G}$  to which  $\theta$  belongs. Let  $\overline{D}$  be a defect group of  $\overline{B}$  and put  $\overline{D} = \widehat{D}N/N$ . Then, since  $\text{ht}(\chi) = \text{ht}(\xi)$ , we get that  $\overline{D}$  is a defect group of  $\overline{B}$  and that  $\text{ht}(\theta) = 0$ , cf. the proof of Lemma 2.2. Now put  $\overline{Z} = ZN/N$ . Let  $\mu \in \text{Irr}(Z)$  be a constituent of  $\widehat{\xi}_z$ . We may regard  $\mu$  as a character of  $\overline{Z}$  in a natural way. Since  $\overline{G}/\overline{Z} \cong G/N$ , we see  $O_p(\overline{G}) = O_p(\overline{Z})$ . Then, since  $\overline{G}$  is  $p$ -solvable, it follows from Fong's theorem (cf. for example [9, Theorem 0.28]) that all irreducible characters of  $\overline{G}$  lying over the character  $\mu^{-1}$  of  $\overline{Z}$  lie in  $\overline{B}$  and that  $\overline{D}$  is a Sylow  $p$ -subgroup of  $\overline{G}$ . So for every  $\theta' \in \text{Irr}(\overline{G}|\mu^{-1})$ ,  $\widehat{\xi} \otimes \theta' \in \text{Irr}(\widehat{B}|\xi)$  by Corollary 1.5 (i) and then  $\widehat{\xi} \otimes \theta'$  is inflated from a character in  $\text{Irr}(B|\xi)$ , which implies (as above)  $\text{ht}(\theta') = 0$  and  $\theta'(1)$  is prime to  $p$ . Thus by Gluck-Wolf [5, Theorem A],  $\overline{D}\overline{Z}/\overline{Z}$  is abelian. Since  $DN/N \cong \widehat{D}N/N \cong \overline{D}\overline{Z}/\overline{Z}$ , the result follows.

For the general case we argue by induction on  $|G/N|$  along the line of the proof of Corollary 14.7 (a) in [9]. By the above, we may assume that  $\gamma(\xi, B) \geq 1$  and that  $DN/N$  is nonabelian. Let  $N = L_0 \triangleleft L_1 \triangleleft \cdots \triangleleft L_n = G$  be a chief series (of  $G/N$ ). Take blocks  $b_i$  of  $L_i$  so that  $b_0 = b$ ,  $b_n = B$ , and  $b_i$  covers  $b_{i-1}$  for  $1 \leq i \leq n$ . Let  $Q_i$  be a defect group of  $b_i$  for  $0 \leq i \leq n$ . Since  $DN/N$  is nonabelian, we can choose  $j \geq 1$  so that  $Q_jN/N$  is nonabelian and  $Q_{j-1}N/N$  is abelian. (Note that then  $L_j/L_{j-1}$  is an abelian  $p$ -group.) By the above, there is  $\zeta \in \text{Irr}(b_j|\xi)$  such that  $\text{ht}(\zeta) - \text{ht}(\xi) \geq 1$ . Then  $\gamma(\zeta, B) \leq \gamma(\xi, B) - 1$  and by induction  $\text{dl}(DL_j/L_j) \leq 2\gamma(\zeta, B) + 1$ . Put  $d = \text{dl}(DL_j/L_j)$ . So  $D^{(d)} \leq D \cap L_j$ . On the other hand,  $Q_j^{(1)} \leq Q_j \cap L_{j-1}$ , since  $L_j/L_{j-1}$  is abelian. Since  $D \cap L_j$  is  $G$ -conjugate to  $Q_j$  and  $Q_j \cap L_{j-1}$  is  $L_j$ -conjugate to  $Q_{j-1}$  by [8, Proposition 4.2], the fact that  $Q_{j-1}^{(1)} \leq N$  now implies  $\text{dl}(DN/N) \leq d + 2$ . Thus  $\text{dl}(DN/N) \leq 2(\gamma(\xi, B) - 1) + 3 = 2\gamma(\xi, B) + 1$ . This completes the proof.

### 3. Normal subgroups and heights of irreducible Brauer characters

In this section we shall show the modular version of Theorem 2.3.

Throughout this section we use the following notation:

$N$  is a normal subgroup of a group  $G$ ,  $\psi$  is an irreducible Brauer character of  $N$ ,  $b$  is the block of  $N$  to which  $\psi$  belongs, and  $B$  is a block of  $G$  covering  $b$ .

Let  $T_G(\psi)$  be the inertial group of  $\psi$  in  $G$ . Let  $\text{IBr}(B|\psi)$  be the set of irreducible Brauer characters in  $B$  lying over  $\psi$ .

The following is well-known in the case of (ordinary) irreducible characters, cf. [11, Lemma 5.3.1(ii)].

**Lemma 3.1.** *Let the notation be as above. Let  $\phi \in \text{IBr}(B|\psi)$  and let  $\phi' \in \text{IBr}(T_G(\psi)|\psi)$  be such that  $\phi'^G = \phi$ . If  $B'$  is the block of  $T_G(\psi)$  containing  $\phi'$ , then  $B'^G$  is defined and equals  $B$ .*

*Proof.* By the Fong-Reynolds theorem, we may assume that  $b$  is  $G$ -invariant. Let  $T' = \bigcap T_G(\xi)$ , where  $\xi$  runs through  $\text{Irr}(b)$ . Clearly  $T' \triangleleft T_G(b) = G$ . Also  $T' \triangleleft T_G(\psi)$ , since  $\psi$  is an integral linear combination of the irreducible characters in  $b$  (on the set of  $p$ -regular elements of  $N$ ). Let  $B_1$  be a block of  $T'$  covered by  $B'$ . Then by [10, Lemma 4.14 (i)],  $B' = B_1^{T_G(\psi)}$ . Since  $B$  also covers  $B_1$ ,  $B = B_1^G$  by the same reason. Hence  $B'^G$  is defined and equals  $B$  ([11, Lemma 5.3.1]).

**Lemma 3.2.** *Let the notation be as above. Then*

- (i)  $\text{ht}(\phi) \geq \text{ht}(\psi)$  for every  $\phi \in \text{IBr}(B|\psi)$ .
- (ii) If  $\psi$  is  $G$ -invariant, then there is  $\phi \in \text{IBr}(B|\psi)$  with  $\text{ht}(\phi) = \text{ht}(\psi)$ .

*Proof.* (i) The proof is much the same as that of Lemma 2.2. But we repeat it here, since it is necessary for the proof of (ii).

Let  $\phi \in \text{IBr}(B|\psi)$ . Let  $\phi' \in \text{IBr}(T_G(\psi)|\psi)$  be such that  $\phi'^G = \phi$  and let  $B'$  be the block of  $T_G(\psi)$  to which  $\phi'$  belongs. Then it follows that  $\text{ht}(\phi) = \text{ht}(\phi') + d(B) - d(B') \geq \text{ht}(\phi')$ , since  $B'^G = B$  by Lemma 3.1. So we may assume  $\psi$  is  $G$ -invariant. Take a central extension of  $G$ ,

$$1 \rightarrow Z \rightarrow \widehat{G} \xrightarrow{f} G \rightarrow 1,$$

such that  $f^{-1}(N) = N_1 \times Z$ ,  $N_1 \triangleleft \widehat{G}$  and that  $\psi$  extends to a Brauer character of  $\widehat{G}$ , say  $\widehat{\psi}$ , under the identification of  $N_1$  with  $N$  through  $f$ , and that  $Z$  is a finite cyclic group. Let  $\widehat{B}$  (resp.  $\widehat{\phi}$ ) be the inflation of  $B$  (resp.  $\phi$ ) to  $\widehat{G}$ . There is an irreducible Brauer character  $\theta$  of  $\widehat{G}/N$  such that  $\widehat{\phi} = \widehat{\psi} \otimes \theta$ . If  $\overline{B}$  is the block of  $\widehat{G}/N$  to which  $\theta$  belongs,  $d(\overline{B}) - d(b) - d(\overline{B}) \geq 0$  by Corollary 1.5 (ii). Since  $\text{ht}(\widehat{\phi}) = \text{ht}(\phi)$ , we get  $\text{ht}(\phi) \geq \text{ht}(\psi)$ .

(ii) Let  $\widehat{G}$ ,  $\widehat{\psi}$ ,  $\widehat{B}$  be as above. Clearly  $\widehat{B}$  covers  $b$ . So by Corollary 1.5 (ii), we can choose a block  $\overline{B}$  of  $\widehat{G}/N$  which is  $\widehat{\psi}$ -dominated by  $\widehat{B}$  and for which  $d(\overline{B}) - d(b) - d(\overline{B}) = 0$ . Let  $\theta$  be an irreducible Brauer character lying in  $\overline{B}$  of height 0. Then  $\widehat{\psi} \otimes \theta$  is an irreducible Brauer character lying in  $\widehat{B}$  by Corollary 1.5 (ii) and  $\text{ht}(\widehat{\psi} \otimes \theta) = \text{ht}(\psi)$ . Since  $\widehat{B}$  covers the principal block  $B_0(Z)$  of  $Z$  and  $\text{IBr}(B_0(Z))$  consists of only the trivial character,  $\widehat{\psi} \otimes \theta$  is trivial on  $Z$ . Thus  $\widehat{\psi} \otimes \theta$  is inflated from some  $\phi \in \text{IBr}(B|\psi)$  and then  $\text{ht}(\widehat{\psi} \otimes \theta) = \text{ht}(\phi)$  as above. So  $\text{ht}(\phi) = \text{ht}(\psi)$ . This completes the proof.

Fix an inertial defect group  $D$  of  $B$  and let  $\widehat{b}$  be a unique block of  $DN$  covering  $b$ . Let  $T_G(b)$  be the inertial group of  $b$  in  $G$ .

Put

$$\begin{aligned} \alpha(\phi, B) &= \min\{\text{ht}(\phi) - \text{ht}(\psi) \mid \phi \in \text{IBr}(B|\psi)\}, \\ \alpha'(\phi, B) &= \min\{\text{ht}(\theta) - \text{ht}(\psi) \mid \theta \in \text{IBr}(\widehat{b}|\{\psi^{T_G(b)}\})\}, \text{ and} \\ \beta(\phi, B) &= \min \left\{ \begin{array}{l} d(B) - \nu(|Q|) \\ \left. \begin{array}{l} Q \text{ is a subgroup of } D \text{ such} \\ \text{that } \psi^t \text{ extends to } QN \text{ for} \\ \text{some } t \in T_G(b) \end{array} \right\} \end{array} \right\}, \end{aligned}$$

where  $\text{IBr}(\widehat{b}|\{\psi^{T_G(b)}\})$  denotes the set of irreducible Brauer characters in  $\widehat{b}$  lying over a  $T_G(b)$ -conjugate of  $\psi$ .

As in Section 2, the quantities  $\alpha'(\phi, B)$  and  $\beta(\phi, B)$  do not depend on a particular choice of  $D$ . Also we have shown in [10, Theorem 4.4 (ii)] that if  $\text{ht}(\phi) = 0$ , then  $\alpha(\phi, B) = 0$  if and only if  $\beta(\phi, B) = 0$ . We extend this as follows:

**Theorem 3.3.** *With the notation above, we have  $\alpha(\phi, B) = \alpha'(\phi, B) = \beta(\phi, B)$ .*

*Proof.* We may rewrite  $\beta(\phi, B)$  as follows:

$$\beta(\phi, B) = \min\{\nu(|D: D \cap T_G(\psi^t)|) \mid t \in T_G(b)\}.$$

In fact, if  $\psi^t$ ,  $t \in T_G(b)$ , is  $Q$ -invariant for a subgroup  $Q \leq D$ , then  $\psi^t$  necessarily extends to  $QN$ . From this the above follows.

$\alpha(\phi, B) = \beta(\phi, B)$ : By the Fong-Reynolds theorem, we may assume that  $b$  is  $G$ -invariant. First we show  $\alpha(\phi, B) \leq \beta(\phi, B)$ . Let  $t \in G$  and put  $Q = D \cap T_G(\psi^t)$ . We shall show there is  $\phi \in \text{IBr}(B|\psi)$  with  $\text{ht}(\phi) - \text{ht}(\psi) \leq d(B) - \nu(|Q|)$ . We claim there is a block  $B'$  of  $T = T_G(\psi^t)$  such that:

$B'$  covers  $b$ ,  $B'^G = B$  and  $Q$  is contained in a defect group of  $B'$ .

Since  $Q \leq D$ , there is a block  $B_1$  of  $N_G(Q)N$  with  $B_1^G = B$ . Then  $B_1$  covers  $b$  by Lemma 1.3. Choose  $\phi_1 \in \text{IBr}(B_1|\psi^t)$  and let  $\phi_2 \in \text{IBr}(N_G(Q)N \cap T|\psi^t)$  be such that  $\phi_2^G = \phi_1$ . Let  $B_2$  be the block of  $N_G(Q)N \cap T$  to which  $\phi_2$  belongs. Then  $B_2$  covers  $b$  and, by Lemma 3.1,  $B_2^{N_G(Q)N} = B_1$ . Clearly  $B_2$  covers a unique block  $\widetilde{b}$  of  $QN$  that covers  $b$ . (Note that  $QN \triangleleft N_G(Q)N \cap T$ .) Since  $Q \geq D \cap N$ ,  $Q$  is a defect group of  $\widetilde{b}$  by [10, Lemma 4.13]. So a defect group  $D_2$  of  $B_2$  contains  $Q$  by [8, Proposition 4.2] and then, since  $C_T(D_2) \leq C_T(Q) \leq N_G(Q)N \cap T$ ,  $B_2^T$  is defined. Put  $B' = B_2^T$ . Then  $B'$  covers  $b$  by Lemma 1.3 and, since  $B_2^G = (B_2^{N_G(Q)N})^G = B$ ,  $B'^G = B$  by [11, Lemma 5.3.1]. Since a defect group of  $B'$  contains  $D_2$ ,  $B'$  is a required block.

By Lemma 3.2 (ii), there is  $\phi' \in \text{IBr}(B'|\psi^t)$  with  $\text{ht}(\phi') = \text{ht}(\psi^t)$ . Now let  $\phi = \phi'^G$ . Then  $\phi \in \text{IBr}(B|\psi) = \text{IBr}(B|\phi)$  by Lemma 3.1, and  $\text{ht}(\phi) - \text{ht}(\psi) = \text{ht}(\phi) - \text{ht}(\phi') = d(B) - d(B') \leq d(B) - \nu(|Q|)$ . Thus  $\alpha(\phi, B) \leq \beta(\phi, B)$ .

Now we show the reverse inequality. Let  $\phi \in \text{IBr}(B|\psi)$ . Let  $\phi' \in \text{IBr}(T_G(\psi)|\psi)$  be such that  $\phi'^G = \phi$  and  $B'$  the block of  $T_G(\psi)$  to which  $\phi'$  belongs. Let  $D'$  be a defect group of  $B'$ . Since  $B'^G = B$  by Lemma 3.1, we get that  $\text{ht}(\phi) - \text{ht}(\phi') = d(B) - d(B')$  and that  $D'^t \leq D$  for some  $t \in G$ . Then  $D'^t$

$\leq D \cap T_G(\psi')$  and  $\nu(|D : D \cap T_G(\psi')|) \leq d(B) - d(B') = \text{ht}(\phi) - \text{ht}(\psi') \leq \text{ht}(\phi) - \text{ht}(\psi)$  by Lemma 3.2(i). Thus the reverse inequality is also true.

$\alpha'(\phi, B) = \beta(\phi, B)$  : Let  $t \in T_G(b)$ . Since  $DN/N$  is a  $p$ -group and  $\psi'$  belongs to  $b$ ,  $\text{IBr}(b | \psi')$  consists of a single character, say  $\theta$ . Since the ramification index of  $\theta$  relative to  $N$  equals 1, we get  $\text{ht}(\theta) - \text{ht}(\psi) = \nu(|DN : DN \cap T_G(\psi')|)$ . So

$$\alpha'(\phi, B) = \min\{\nu(|DN : DN \cap T_G(\psi')|) \mid t \in T_G(b)\}.$$

Since  $\nu(|DN : DN \cap T_G(\psi')|) = \nu(|D : D \cap T_G(\psi')|)$ , the result follows.

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Note added on August 30, 1995.

For shorter (module-theoretical) proofs of Lemma 2.2 and Lemma 3.2(i), and related results, see A. Watanabe: Normal subgroups and multiplicities of indecomposable modules, preprint.