

# Estimates of invariant metrics on pseudoconvex domains with comparable Levi form

By

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## Abstract

Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^n$  and let  $z_0 \in b\Omega$  be a point of finite type. We also assume that the Levi form of  $b\Omega$  is comparable in a neighborhood of  $z_0$ . Then we get a quantity which bounds from above and below the Bergman metric, Caratheodory metric and Kobayashi metric in a small constant and large constant sense.

## 1. Introduction

The purpose of this paper is to estimate from above and below the values of the Bergman, Caratheodory and Kobayashi metrics for a vector  $X$  in a neighborhood of a boundary point  $z^0$  of finite type with comparable Levi-form. In the rest of this paper, we let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^n$  with smooth defining function  $r$ , i.e.,  $\Omega = \{z \in \mathbb{C}^n : r(z) < 0\}$ , and let  $\lambda_1(z), \dots, \lambda_{n-1}(z)$  be the eigenvalues of the Levi-form  $\partial\bar{\partial}r$  of  $b\Omega$  near a point  $z^0 \in b\Omega$ .

We say  $\Omega$  has comparable Levi-form near  $z^0$  if there are a constant  $c > 0$  and a neighborhood  $U$  of  $z^0$  such that

$$(1.1) \quad \lambda_k(z) \geq c \cdot \sum_{i=1}^{n-1} \lambda_i(z), \quad k = 1, 2, \dots, n-1, \quad z \in U.$$

For example, let  $r(z) = 2 \operatorname{Re} z_3 + (|z_1|^2 + |z_2|^2)^2$  be a defining function for a domain  $\Omega$  in  $\mathbb{C}^3$  near the origin. Then the Levi-form of  $b\Omega$  satisfies (1.1) near the origin.

We first give the definition of each of the above metrics. Let  $X$  be a holomorphic tangent vector at a point  $z$  in  $\Omega$ . Denote the set of holomorphic functions on  $\Omega$  by  $A(\Omega)$ . Then the Bergman metric  $B_\Omega(z; X)$ , the Caratheodory

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metric  $C_\Omega(z; X)$  and the Kobayashi metric  $K_\Omega(z; X)$  are defined by

$$C_\Omega(z; X) = \sup\{|Xf(z)| : f \in A(\Omega), \|f\|_{L^\infty(\Omega)} \leq 1\},$$

$$K_\Omega(z; X) = \inf \left\{ 1/r : \exists f : D_r \subset \mathbb{C}^1 \rightarrow \mathbb{C}^n \text{ such that } f_* \left( \frac{\partial}{\partial t} \Big|_0 \right) = X \right\},$$

$$B_\Omega(z : X) = b_\Omega(z; X)/(K_\Omega(z, \bar{z}))^{1/2},$$

where  $D_r$  denotes the disc of radius  $r$  in  $\mathbb{C}^1$ , and

$$K_\Omega(z, \bar{z}) = \sup\{|f(z)|^2 : f \in A(\Omega), \|f\|_{L^2(\Omega)} \leq 1\},$$

$$b_\Omega(z; X) = \sup\{|Xf(z)| : f \in A(\Omega), f(z) = 0, \|f\|_{L^2(\Omega)} \leq 1\}.$$

Let  $z^0 \in b\Omega$  be a point of finite type  $m$  in the sense of D’Angelo [8]. Assuming that  $|\partial r/\partial z_n(z)| \geq c_1 > 0$  in a neighborhood  $U$  of  $z_0$ , set

$$L_j = \frac{\partial}{\partial z_j} - \left( \frac{\partial r}{\partial z_n} \right)^{-1} \frac{\partial r}{\partial z_j} \frac{\partial}{\partial z_n}, \quad j = 1, 2, \dots, n - 1, \text{ and}$$

$$L_n = \frac{\partial}{\partial z_n}.$$

Then  $\{L_1, \dots, L_n\}$  form a basis of  $\mathbb{C}T^{(1,0)}(U)$  provided  $U$  is sufficiently small. For any integer  $j, k > 0$ , set

$$(1.2) \quad \mathcal{L}_{j,k} \partial \bar{\partial} r(z) = \underbrace{L_1 \cdots L_1}_{(j-1)\text{times}} \underbrace{\bar{L}_1 \cdots \bar{L}_1}_{(k-1)\text{times}} \partial \bar{\partial} r(z)(L_1, \bar{L}_1)(z),$$

and define

$$(1.3) \quad C_l(z) = \max\{|\mathcal{L}_{j,k} \partial \bar{\partial} r(z)| : j + k = l\}.$$

Let  $X = b_1 L_1 + \dots + b_n L_n := X' + b_n L_n$  be a holomorphic tangent vector at  $z$  and set

$$(1.4) \quad M(z; X) = |X'| \sum_{l=2}^m |C_l(z)|^{1/l} |r(z)|^{-1/l} + |b_n| |r(z)|^{-1},$$

where  $|X'| = |b_1| + \dots + |b_{n-1}|$ . Then we can state our main result as follows

**Theorem 1.1.** *Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^n$ . Let  $z_0 \in b\Omega$  be a point of finite type  $m$  and assume that the Levi-form of  $b\Omega$  is comparable in a neighborhood of  $z_0$ . Then there exist a neighborhood  $U$  about  $z_0$  and positive constants  $c$  and  $C$  such that for all  $X = b_1 L_1 + \dots + b_n L_n$  at  $z \in U \cap \Omega$ ,*

$$(1.5) \quad cM(z; X) \leq B_\Omega(z; X), \quad C_\Omega(z; X), \quad K_\Omega(z : X) \leq CM(z; X).$$

**Remark 1.2.** Because  $|C_m(z)| \geq c' > 0$  for all  $z \in U \cap \Omega$ , (1.5) says, in particular, that

$$B_\Omega(z; X), C_\Omega(z; X), K_\Omega(z; X) \gtrsim (|X'| |r(z)|^{-1/m} + |b_n| |r(z)|^{-1})$$

for a holomorphic vector field  $X = b_1 L_1 + \dots + b_n L_n$  at  $z$ .

Several authors found some results about these metrics for some pseudoconvex domains in  $\mathbb{C}^n$ , but in each case the lower bounds are different from the upper bounds [1], [5], [9], [10], [13], [14]. In [2], Catlin got a result similar to above theorem in  $\mathbb{C}^2$ , and Herbort [12] and the author [6] got the similar result independently for the domains of finite type with one degenerate eigenvalue.

To prove Theorem 1.1, we must get a complete geometric analysis of  $b\bar{\Omega}$  near  $z_0$  as Catlin has employed in [2]. Then we construct a family of “maximal plurisubharmonic functions” which is a crucial ingredient to get a weighted estimates for  $\bar{\partial}$  Neumann problem (Section 3).

## 2. Special coordinates and polydiscs

In this section we want to show that about each point  $z'$  in  $U$ , there is a special coordinates about  $z'$  and a polydisc of maximal size on which the function  $r(z)$  changes by no more than some prescribed small number  $\delta > 0$ . We then construct a family of plurisubharmonic functions with maximal Hessian to push out the boundary of  $\Omega$ .

Let  $\alpha, \beta$  be multi-indices and let  $\alpha' = (\alpha_1, \dots, \alpha_{n-1}, 0)$ ,  $\alpha'' = (0, \alpha_2, \dots, \alpha_{n-1}, 0)$ , etc. Also let  $\partial^\alpha$  denote the holomorphic differential operator of order  $|\alpha|$ . We first construct special coordinates centered at  $z' \in U$ .

**Proposition 2.1** ([7, Proposition 2.1]). *For each  $z' \in U$  and positive integer  $m$ , there is a biholomorphism  $\Phi_{z'} : \mathbb{C}^n \rightarrow \mathbb{C}^n$ ,  $\Phi_{z'}^{-1}(z') = 0$ , such that*

$$(2.1) \quad \begin{aligned} \rho(\zeta) := r(\Phi_{z'}(\zeta)) &= r(z') + \operatorname{Re} \zeta_n + \sum_{\substack{j+k \leq m \\ j, k \geq 1}} a_{jk}(z') \zeta_1^j \bar{\zeta}_1^k \\ &+ \sum_{\substack{|\alpha' + \beta'| \leq m \\ |\alpha'|, |\beta'| \geq 1 \\ 1 \leq |\alpha'' + \beta''| \leq m}} b_{\alpha' \beta'}(z') \zeta^{\alpha'} \bar{\zeta}^{\beta'} + \mathcal{O}(|\tilde{\zeta}|^{m+1} + |\zeta| |\zeta_n|), \end{aligned}$$

where  $\tilde{\zeta} = (\zeta_1, \dots, \zeta_{n-1}, 0)$ .

We now show how to define a polydisc around  $z'$  in  $\zeta$ -coordinates. Set

$$\begin{aligned} A_l(z') &= \max\{|a_{jk}(z')| : j + k = l\}, \\ B_{l'}(z') &= \max\{|b_{\alpha' \beta'}(z')| : |\alpha' + \beta'| = l'\}, \quad 2 \leq l, l' \leq m. \end{aligned}$$

For each  $\delta > 0$ , we define  $\tau(z', \delta)$  by:

$$(2.2) \quad \tau(z', \delta) = \min\{(\delta/A_l(z'))^{\dagger}, (\delta/B_{l'}(z'))^{\dagger} : 2 \leq l, l' \leq m\}.$$

Then the author showed that there are some constant  $b_0 > 0$  and integers  $j_0, k_0 \leq m$  such that  $|a_{j_0 k_0}| \geq b_0 \cdot \delta \cdot \tau(z', \delta)^{-j_0 - k_0}$  and hence

$$(2.3) \quad \tau(z', \delta)^{-1} \approx \sum_{l=2}^m (\delta/A_l(z'))^{-\frac{1}{l}}.$$

Define

$$R_\delta(z') = \{\zeta \in \mathbb{C}^n : |\zeta_k| \leq \tau(z', \delta), 1 \leq k \leq n-1, |\zeta_n| \leq \delta\}, \text{ and}$$

$$Q_\delta(z') = \{\Phi_{z'}(\zeta) : \zeta \in R_\delta(z')\}.$$

In order to study how  $\tau(z', \delta)$  depends on  $z \in Q_\delta(z')$ , it is convenient to introduce an analogous quantity  $\eta(z, \delta)$  that is defined more intrinsically. We take the frame  $\{L_1, \dots, L_n\}$  defined on  $U$ , and let  $\mathcal{L}_{j,k} \partial \bar{\partial} r(z)$  and  $C_l(z)$  be defined as in (1.2) and (1.3) respectively. Define

$$(2.4) \quad \eta(z, \delta) = \min\{(\delta/C_l(z))^{\frac{1}{l}}; 2 \leq l \leq m\}.$$

Then we have the following important relations between  $\eta(z, \delta)$  and  $\tau(z, \delta)$  ([7, Section 2]).

**Proposition 2.2.** *Let  $z \in Q_\delta(z')$ . Then*

$$(2.5) \quad \begin{aligned} \tau(z', \delta) &\lesssim \eta(z, \delta) \lesssim \tau(z', \delta), \\ \tau(z', \delta) &\approx \tau(z, \delta). \end{aligned}$$

For  $\epsilon > 0$ , we let  $\Omega_\epsilon = \{z; r(z) < \epsilon\}$  and set

$$S(\epsilon) = \{z : -\epsilon < r(z) < \epsilon\}.$$

The following theorem reflects the local geometry of the boundary of  $\Omega$  near  $z^0$ , and shows the existence of one parameter family of plurisubharmonic functions with maximal Hessian.

**Theorem 2.3** ([7, Theorem 3.2]). *For all small  $\delta > 0$ , there is a plurisubharmonic function  $\lambda_\delta \in C^\infty(\Omega_\delta)$  with the following properties,*

- (i)  $|\lambda_\delta(z)| \leq 1, z \in U \cap \Omega_\delta,$
- (ii) *For all  $L = \sum_{j=1}^n b_j L_j$  at  $z \in U \cap S(\delta)$ ,*

$$\partial \bar{\partial} \lambda_\delta(z)(L, \bar{L}) \approx \tau(z, \delta)^{-2} \sum_{k=1}^{n-1} |b_k|^2 + \delta^{-2} |b_n|^2,$$

- (iii) *If  $\Phi_{z'}$  is the map associated with a given  $z' \in U \cap S(\delta)$ , then for all  $\zeta \in R_\delta(z')$  with  $|\rho(\zeta)| < \delta$ ,*

$$|\partial^\alpha \bar{\partial}^\beta (\lambda_\delta \circ \Phi_{z'}) (\zeta)| \lesssim C_{\alpha, \beta} \delta^{-\alpha_n - \beta_n} \tau^{-|\alpha' + \beta'|}.$$

With this family of functions  $\lambda_\delta$ , we shall construct for each  $z' \in U \cap b\Omega$  and each small  $\delta > 0$ , a domain (locally defined in  $U$ )  $\Omega_{z',\delta}$  which contains  $\Omega$  such that the boundary of  $\Omega_{z',\delta}$  is pushed out as far as possible, given the constraints that  $d(z', b\Omega_{z',\delta}) < \delta$  and that  $b\Omega_{z',\delta}$  is pseudoconvex. Since  $z'$  will be fixed, we will work in  $\zeta$ -coordinates defined by  $\Phi_{z'}(\zeta) = z$ .

Let  $A_l(z')$  be the quantities defined after Proposition 2.1. Set  $\rho(\zeta) = r(\Phi_{z'}(\zeta))$  and set  $U' = \{\zeta : \Phi_{z'}(\zeta) \in U\}$ . For all small  $s$  and  $\delta > 0$ , define

$$(2.6) \quad J_\delta(z', \zeta) = \left[ \delta^2 + |\zeta_n|^2 + \sum_{l=2}^m A_l(z')^2 |\tilde{\zeta}|^{2l} \right]^{1/2},$$

where  $\tilde{\zeta} = (\zeta_1, \dots, \zeta_{n-1}, 0)$ , and set

$$(2.7) \quad W_{s,\delta}(z') = \{\zeta \in U' : |\rho(\zeta)| < sJ_\delta(\zeta)\}.$$

Set  $J_\delta(z', \zeta) = J_\delta(\zeta)$  for a convenience. By adding up the weight functions in Theorem 2.3, we have the following theorem.

**Proposition 2.4.** *For each  $z' \in U \cap b\Omega$  and each small  $\delta > 0$ , there exists a small real-valued function  $H_{z',\delta}(\zeta)$  defined in  $W_{s,\delta}(z')$  (where  $s$  is a small constant independent of  $z'$  and  $\delta$ ) such that*

- (i)  $-J_\delta(\zeta) \approx H_{z',\delta}(\zeta)$ ,
- (ii) for any  $L = b_1L'_1 + b_2L'_2 + \dots + b_nL'_n$  at  $\zeta$ ,

$$\begin{aligned} \partial\bar{\partial}H_{z',\delta}(L, \bar{L})(\zeta) &\approx J_\delta(\zeta) \left[ \frac{|b_n|^2}{(J_\delta(\zeta))^2} + \frac{|b'|^2}{\tau(z', J_\delta(\zeta))^2} \right], \quad \text{and} \\ |LH_{z',\delta}| &\lesssim J_\delta(\zeta) \left( \frac{|b_n|}{J_\delta(\zeta)} + \frac{|b'|}{\tau(z', J_\delta(\zeta))} \right), \end{aligned}$$

where  $|b'| = |b_1| + \dots + |b_{n-1}|$ , and  $L'_k = (\Phi_{z'}^{-1})L_k$ ,  $k = 1, 2, \dots, n$ .

*Proof.* Set  $N_1 = \lceil \log_2(1/\delta) \rceil$ . Let  $D_R = \{\zeta \in \mathbb{C}^n : |\zeta_i| < R, \ i = 1, 2, \dots, n\}$ , and let  $\psi \in C_0^\infty(D_2 - D_{1/4})$  be a function that satisfies  $\psi(\zeta) = 1$  for  $\zeta \in D_1 - D_{1/2}$ . For all  $k, 1 \leq k$

$$\psi_k(\zeta) = \psi \left( \tau(z', 2^{-k})^{-1}\zeta_1, \dots, \tau(z', 2^{-k})^{-1}\zeta_{n-1}, 2^k\zeta_n \right),$$

and for  $k = N_1$ , set

$$\psi_{N_1}(\zeta) = \phi \left( \tau(z', 2^{-N_1})^{-1}\zeta_1, \dots, \tau(z', 2^{-N_1})^{-1}\zeta_{n-1}, 2^{N_1}\zeta_n \right),$$

where  $\phi \in C_0^\infty(D_2)$  satisfies  $\phi(\zeta) = 1$  for  $\zeta \in D_1$ . Combining (2.2) and (2.6), one obtains that

$$(2.8) \quad J_\delta(\zeta) \approx 2^{-k}, \quad \zeta \in \text{supp } \psi_k.$$

For each  $\delta > 0$ , set  $\lambda'_\delta = \lambda_\delta \circ \Phi_{z'}$ , where  $\lambda_\delta$  is the plurisubharmonic function as in Theorem 2.3. Choose  $N_0$  so that  $\lambda_{2^{-k}t}$  is well-defined for all  $\zeta \in \text{supp } \psi_k$  whenever  $k \geq N_0$ , and set

$$H_{z',\delta}(\zeta) = \sum_{k=N_0}^{N_1} 2^{-k} \psi_k(\zeta) (\lambda'_{2^{-k}t}(\zeta) - 2).$$

Then  $H_{z',\delta}$  is well-defined (fixed finite sum independent of  $z'$  and  $\delta$ ). From (2.7), (2.8) and from the fact that  $H_{z',\delta}(\zeta) \approx -2^{-k}$  for  $\zeta \in \text{supp } \psi_k$ , property (i) follows. Also the major part of the Hessian of  $H_{z',\delta}$  will be  $\partial\bar{\partial}\lambda_{2^{-k}t}(\zeta)$  and other error terms will be absorbed into  $\partial\bar{\partial}\lambda_{2^{-k}t}(\zeta)$  for sufficiently small  $t$ . This fact together Theorem 2.3 prove property (ii).  $\square$

Set  $\Omega_{z'} = \Phi_{z'}^{-1}(\Omega)$  and set  $\Omega_{z',\epsilon} = \{\zeta \in \mathbb{C}^n : \rho(\zeta) < \epsilon\}$ . Set  $\lambda'_\delta = \lambda_\delta \circ \Phi_{z'}$ . Then there is a fixed constant  $b > 0$  (independent of  $z', \delta$ ) such that  $\lambda'_\delta$  is defined and plurisubharmonic on  $\Omega_{z',b\delta}$  and satisfies all the properties of Theorem 2.3 on  $\Omega_{z'}$  instead of  $\Omega$ .

**Proposition 2.5.** *For each sufficiently small  $\delta > 0$ , there is one parameter family of “maximal pushed-out” pseudoconvex domains  $\{\Omega_{z',\delta}^\epsilon\}_{\epsilon>0}$  which contain  $\Omega_{z'}$  near the origin.*

*Proof.* Let  $U'_1$  be a small neighborhood of the origin with  $U'_1 \subset\subset U' = \Phi_{z'}^{-1}(U)$ . Then one has  $|dH_{z',\delta}(\zeta)| \lesssim 1$  for  $\zeta \in W_{s,\delta}(z')$  by the property (ii) of Proposition 2.4. Hence for all small  $\epsilon > 0$ , the function

$$\rho_{z',\delta}^\epsilon(\zeta) = \rho(\zeta) + \epsilon H_{z',\delta}(\zeta)$$

satisfies  $\partial\rho_{z',\delta}^\epsilon/\partial\zeta_n \neq 0$  in  $U'_1$  and therefore form a family of defining functions of hypersurfaces  $\{\zeta : \rho_{z',\delta}^\epsilon(\zeta) = 0\}$  in  $W_{s,\delta}(z')$ . If we use the properties (i), (ii) of Proposition 2.4, it follows that the hypersurfaces defined by  $\rho_{z',\delta}^\epsilon(\zeta)$  are pseudoconvex.  $\square$

Now we choose  $\epsilon_0 > 0$  (independent of  $z'$  and  $\delta$ ) so that

$$\sup\{\rho(\zeta) : \zeta \in R_\delta(z') \text{ and } \rho_{z',\delta}^{\epsilon_0}(\zeta) \leq 0\} < b\delta,$$

where  $b$  is the small number before Proposition 2.5. Set  $\rho_{z',\delta}(\zeta) = \rho_{z',\delta}^{\epsilon_0}(\zeta)$ .

For  $\zeta'$  near 0, define a polydisc  $P_a(\zeta')$  by

$$(2.9) \quad P_a(\zeta') = \{\zeta \in \mathbb{C}^n : |\zeta_n - \zeta'_n| < aJ_\delta(\zeta'), \\ |\zeta_k - \zeta'_k| < \tau(z', aJ_\delta(\zeta')), 1 \leq k \leq n-1\}.$$

**Proposition 2.6.** *There exist constants  $a > 0$  and  $d_1 > 0$  (independent of  $z', \zeta'$  and  $\delta$ ) so that if  $\zeta' \in \Omega_{z'}$  and  $|\zeta'| < d_1$ , then  $\rho_{z',\delta}(\zeta) < 0$  for  $\zeta \in P_a(\zeta')$ .*

*Proof.* We may assume that  $\zeta' \in b\Omega_{z'}$  (this will be the worst case). If  $a$  is sufficiently small (independent of  $z'$  and  $\delta$ ), then by virtue of (2.3)–(2.7), it follows that

$$(2.10) \quad J_\delta(\zeta) \approx J_\delta(\zeta'), \quad \zeta \in P_a(\zeta').$$

By (2.10) and from the property (i) of Proposition 2.4, it follows that there exists a small constant  $c > 0$ , such that

$$(2.11) \quad H_{z',\delta}(\zeta) \leq -cJ_\delta(\zeta'), \quad \zeta \in P_a(\zeta').$$

By a simple Taylor’s theorem argument, we then obtain that

$$(2.12) \quad |\rho(\zeta)| \leq CaJ_\delta(\zeta'), \quad \zeta \in P_a(\zeta').$$

Since  $\rho_{z',\delta}(\zeta) = \rho(\zeta) + \epsilon_0 H_{z',\delta}(\zeta)$ , it follows from (2.11) and (2.12) that,  $\rho_{z',\delta}(\zeta) < 0$  provided  $a$  is chosen so that  $a < c\epsilon_0/C$ . This completes the proof.  $\square$

The existence of the following two-sided bumping family of pseudoconvex domains was shown by the author in [3], [4].

**Theorem 2.7.** *Let  $\Omega$  be a smoothly bounded pseudoconvex domain and let  $z_0 \in b\Omega$  be a point of finite type. Then there is a neighborhood  $V$  of  $z_0$  and a family of smoothly bounded pseudoconvex domains  $\{\Omega_t\}_{-1 \leq t \leq 1}$  satisfying the following properties;*

- (i)  $\Omega_0 = \Omega$ ,
- (ii)  $\Omega_{t_1} \subset \Omega_{t_2}$  if  $t_1 < t_2$ ,
- (iii)  $\{\partial\Omega_t\}_{-1 \leq t \leq 1}$  is a  $C^\infty$ -family of real hypersurfaces in  $\mathbb{C}^n$  and the points of  $\partial\Omega_t \cap V$  are finite type,
- (iv)  $D_t - D_{-t} \subset V$  for all  $t$ .

**Remark 2.8.** By virtue of the construction of  $\Phi_{z'}$  and  $\rho_{z',\delta}(\zeta)$ , we can choose  $d_1 > 0$  and a neighborhood  $U \subset\subset V$  of  $z_0$  (independent of  $z'$ ) so that  $\rho_{z',\delta}$  is defined in  $\{\zeta : |\zeta| < d_1\}$  and satisfies all the properties in this section for each  $z' \in b\Omega \cap U$ .

Set  $\Omega_{t,z'} = \{\zeta \in \mathbb{C}^n : \Phi_{z'}(\zeta) \in \Omega_t\}$ , where  $\{\Omega_t\}$  is the family of domains as in Theorem 2.7. Set

$$\Omega_{z',\delta} = \{\zeta : |\zeta| < d_1 \text{ and } \rho_{z',\delta}(\zeta) < 0\}.$$

The construction of  $\rho_{z',\delta}$  in this section shows that if  $\zeta \in \overline{\Omega}_{z'}$  and if  $d_1/2 < |\zeta| < d_1$ , then  $d(\zeta, b\Omega_{z',\delta}) \gtrsim J_\delta(\zeta, z')$ . Since  $A_m(z') + B_m(z') \gtrsim 1$  for all  $z' \in U$ , it follows from (2.3) and (2.4) that  $J_\delta(\zeta, z') \gtrsim 1$ , and hence there is a constant  $c_1 > 0$  so that  $d(\zeta, b\Omega_{z',\delta}) \geq c_1$ , for  $\zeta \in U \cap b\Omega$  and  $d_1/2 < |\zeta| < d_1$ . Choose  $t = t_0$  sufficiently small so that

$$d(\zeta, b\Omega_{t_0,z'}) < c_1/2 \quad \text{if } d_1/2 < |\zeta| < d_1.$$

Now define a domain  $\tilde{\Omega}_{z',\delta}$  by

$$\tilde{\Omega}_{z',\delta} = \{\zeta \in \Omega_{t_0,z'} : |\zeta| \geq d_1\} \cup \{\Omega_{t_0,z'} \cap \Omega_{z',\delta}\}.$$

Since pseudoconvexity is a local condition,  $\tilde{\Omega}_{z',\delta}$  is a pseudoconvex domain. By combining the properties of  $\Omega_{z',\delta}$  and  $\Omega_{t_0,z'}$ , we obtain

**Proposition 2.9.** *For all  $z'$  near  $z_0$  and all  $\delta$ ,  $0 < \delta < \delta_0$ , the domain  $\tilde{\Omega}_{z',\delta}$  has the following properties;*

- (i)  $\tilde{\Omega}_{z',\delta}$  is a bounded pseudoconvex domain that contains  $\Omega_{z'}$ ,
- (ii) there is a constant  $a > 0$  so that for all  $\zeta' \in \Omega_{z'}$  with  $|\zeta'| < d_1$ ,  $P_a(\zeta') \subset \tilde{\Omega}_{z',\delta}$ ,
- (iii) in the region  $|\zeta| > d_1/2$ , the boundaries  $b\tilde{\Omega}_{z',\delta}$  are independent of  $\delta$  and depend smoothly on  $z'$ ,
- (iv) in the region  $\{\zeta : d_1/2 < |\zeta| < d_1\}$ , the boundaries  $b\tilde{\Omega}_{z',\delta}$  are of finite type, uniformly in  $z'$ , and  $\delta$ .

### 3. Metric estimates

In [11], K. T. Hahn got the following inequalities

$$C_\Omega(z; X) \leq B_\Omega(z; X), \quad K_\Omega(z; X).$$

Therefore the estimates for the lower bounds of  $C_\Omega(z; X)$  will suffice for the lower bounds of  $B_\Omega(z; X)$  and  $K_\Omega(z; X)$ .

Assume that  $r(z) = -b\delta/2$  and let  $z'$  be the projection of  $z$  onto  $b\Omega$ , and  $\Phi_{z'}$  be its associated map. Here  $b > 0$  is the number before Proposition 2.5. Set  $\zeta^\delta = (0, \dots, 0, -b\delta/2) = (\zeta_1^\delta, \zeta_2^\delta, \dots, \zeta_n^\delta)$ . Then by virtue of (2.2), there is a small constant  $c \leq b$  such that the polydisc

$$B_c = \{\zeta : |\zeta_n + b\delta/2| < c\delta, |\zeta_k| < c\tau(z', \delta), 1 \leq k \leq n - 1\},$$

is contained in  $\Omega_{z'}$ . Let  $Y = (\Phi_{z'}^{-1})_* X = b_1 L'_1 + \dots + b_n L'_n$  be a vector at  $\zeta^\delta$ , where  $L'_i = (\Phi_{z'}^{-1})_* L_i$  for  $i = 1, 2, \dots, n$ .

Set  $\tau_n = \delta$  and  $\tau_k = \tau(z', \delta)$ ,  $1 \leq k \leq n - 1$ . Let  $k_0$  be the minimum number such that

$$(3.1) \quad |b_{k_0}| \tau_{k_0}^{-1} = \max\{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\}.$$

Set  $v(\zeta) = \delta^{-1}(\zeta_n + b\delta/2)$  if  $k_0 = n$ , and  $v(\zeta) = \tau(z', \delta)^{-1} \zeta_{k_0}$  otherwise. Since we may assume that  $c \leq 1$ , we have the inequality  $\sup_B |v| \leq 1$ . From the expansion in (2.1), one can see that  $(\partial\rho/\partial\zeta_j)(\zeta^\delta) = 0$ ,  $j = 2, \dots, n$ , and hence from (3.1), it follows that

$$(3.2) \quad |Yv(\zeta^\delta)| = \max\{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\},$$

provided that  $\delta$  is sufficiently small.



Set  $\phi(\zeta) = \lambda'_\delta(\zeta) + |\zeta|^2$  and set  $\lambda(\zeta) = \chi(\phi(\zeta))$ , where  $\chi(t)$  is a smooth convex increasing function with  $\chi'(t) \geq 1$ . Using the standard  $\bar{\partial}$ -estimates on  $\tilde{\Omega}_{z',\delta}$  with weight  $e^{-\lambda(\zeta)}$ , and from the estimate  $\sum_{i,j=1}^n (\partial^2 \phi / \partial \zeta_i \partial \bar{\zeta}_j)(\zeta) t_i \bar{t}_j \gtrsim \sum_{j=1}^n |t_j|^2 \tau_i^{-2}$  for  $\zeta \in B$ , one has for any  $g = \sum_{i=1}^n g_i d\bar{\zeta}_i$  satisfying  $Sg = 0$ , there is  $u \in L^2_{0,0}(\tilde{\Omega}_{z',\delta}, \lambda)$  such that  $\bar{\partial}u = g$  (in weak sense) and,

$$(3.3) \quad \|u\|_\lambda^2 \lesssim \int_{\tilde{\Omega}_{z',\delta}-B} |g|^2 e^{-\lambda} + \int_B \sum_{i=1}^n \tau_i^2 |g_i|^2 e^{-\lambda} dV.$$

For  $c \geq d > 0$ , set  $B_d = \{\zeta : |\zeta_i - \zeta_i^\delta| < d\tau_i, i = 1, 2, \dots, n\}$ . Since  $\sum_{i,j=1}^n (\partial^2 \phi / \partial \zeta_i \partial \bar{\zeta}_j) t_i \bar{t}_j \gtrsim \sum_{i=1}^n \tau_i^{-2} |t_i|^2$  on  $B_c$ , there is a small constant  $0 < d \leq c$  (independent of  $\tau_1, \dots, \tau_n$ ) so that

$$\phi(\zeta) \geq \operatorname{Re} h(\zeta) + d \sum_{i=1}^n \tau_i^{-2} |\zeta_i - \zeta_i^\delta|^2, \quad \zeta \in B_d,$$

where

$$h(\zeta) = 2 \sum_{i=1}^n \frac{\partial \phi}{\partial \zeta_i}(\zeta^\delta) (\zeta_i - \zeta_i^\delta) + \sum_{i,j=1}^n \frac{\partial^2 \phi}{\partial \zeta_i \partial \bar{\zeta}_j}(\zeta^\delta) (\zeta_i - \zeta_i^\delta) (\zeta_j - \zeta_j^\delta).$$

Let  $\psi \in C^\infty_0(B)$ , where  $B$  is the unit polydisc in  $\mathbb{C}^n$  such that  $\psi(\zeta) = 1$  if  $|\zeta_i| \leq 1/2, i = 1, 2, \dots, n$ . From (3.3), we conclude that if

$$\psi_d(\zeta) = \psi \left( \frac{\zeta_1}{d\tau(z',\delta)}, \dots, \frac{\zeta_{n-1}}{d\tau_{n-1}}, \frac{\zeta_n + b\delta/2}{d\delta} \right),$$

and if  $a = nd^3/8$ , then

$$\operatorname{Re} h(\zeta) \leq -a, \quad \text{for } \zeta \in \{\zeta : \phi(\zeta) \leq a\} \cap \operatorname{supp} \bar{\partial}\psi_d.$$

Let  $\chi$  be a smooth convex increasing function that satisfies  $\chi(t) = 0$  for  $t \leq a/2$  and  $\chi''(t) > 0$  for  $t > a/2$ . Now define

$$\lambda_s(\zeta) = \phi(\zeta) + s^2 \chi(\phi(\zeta)).$$

Then  $\lambda_s$  does not depend on  $s$  in  $B_e$ , for some fixed  $0 < e \leq d$ . Set

$$\alpha_s = \bar{\partial}(\psi_d v e^{sh}) = v e^{sh} \bar{\partial}\psi_d = \sum_{i=1}^n \alpha_{s,i} d\bar{\zeta}_i.$$

Following the standard weighted  $L^2$  estimates for  $\bar{\partial}$  as in [2], [6], it follow that for any  $\epsilon_0 > 0$ , there exists independent  $s_0 > 0$  and a function  $u_{s_0}$  so that  $\bar{\partial}u_{s_0} = \alpha_{s_0}$  and

$$(3.4) \quad |u_{s_0}(\zeta^\delta)| \lesssim \epsilon_0, \quad \left| \frac{\partial u_{s_0}}{\partial \zeta_k}(\zeta^\delta) \right|^2 \lesssim \epsilon_0 \tau_k^{-2}, \quad 1 \leq k \leq n.$$

Therefore it follows from (3.1) and (3.4) that

$$|Y u_{s_0}(\zeta^\delta)| \lesssim \sqrt{\epsilon_0} \sum_{k=1}^n |b_k| \tau_k^{-1} \leq n \sqrt{\epsilon_0} \max \{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\}.$$

Set  $f = v \psi_d e^{s_0 h} - u_{s_0}$ . Then  $f$  is holomorphic and it follows from (3.2) that

$$(3.5) \quad |Y f(\zeta^\delta)| \gtrsim \max \{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\},$$

provided that  $\epsilon_0$  is sufficiently small.

Let us assume, for a moment, that  $\sup_{\overline{\Omega_{z'}}} |f| \leq C$ , where  $C$  is independent of  $z'$  and  $\delta$ . Then (3.5) and the definition of Caratheodory metric shows that

$$(3.6) \quad C_{\Omega_{z'}}(Y; \zeta^\delta) \geq C_{\tilde{\Omega}_{z', \delta}}(Y; \zeta^\delta) \gtrsim \max \{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\}.$$

On the other hand, the polydisc  $B$  about  $\zeta^\delta$  lies in  $\Omega_{z'}$ . So one can easily obtain that

$$(3.7) \quad C_{\Omega_{z'}}(\zeta^\delta; Y) \leq C_B(\zeta^\delta; Y) = \max\{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\}.$$

Set  $\Phi_{z'}(\zeta^\delta) = z$ . Then by (1.3), (1.4) and (2.3)–(2.5), it follows that

$$\tau(z, \delta)^{-1} \approx \eta(z, \delta)^{-1} \approx \sum_{l=2}^m |C_l(z)|^{\frac{1}{l}} \cdot |r(z)|^{-\frac{1}{l}},$$

and hence one obtains that

$$(3.8) \quad \max\{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\} \approx \sum_{k=1}^n |b_k| \tau_k^{-1} \approx M(z; X).$$

From the invariant property of Caratheodory metric, and from (3.6)–(3.8), one obtains that

$$(3.9) \quad C_{\Omega}(z; X) = C_{\Omega_{z'}}(\zeta^\delta; Y) \approx M(z; X).$$

To show that  $\sup_{\overline{\Omega_{z'}}} |f| \leq C$ , we use the fact that  $f$  is holomorphic in a larger domain  $\tilde{\Omega}_{z', \delta}$ . Assuming  $\zeta \in \overline{\Omega_{z'}}$  and  $|\zeta| < d_1$ , it follows from Proposition 2.6 that  $P_a \subset \tilde{\Omega}_{z', \delta}$ . Since  $|v \psi_d e^{s_0 h}| \lesssim 1$ , the estimate (3.3) shows that  $\int_{P_a(\zeta)} |f|^2 dV \lesssim \prod_{j=1}^n \tau_j^2$ . Hence it follows that

$$|f(\zeta)|^2 \lesssim (\text{Vol}(P_a(\zeta)))^{-1} \int_{P_a(\zeta)} |f|^2 dV \lesssim 1,$$

because  $\text{Vol}(P_a(\zeta)) \gtrsim \prod_{j=1}^n \tau_j^2$ . When  $|\zeta| \geq d_1$ , we use the Kohn's global regularity theory and some cut-off functions as Catlin did in [2]. Therefore we obtain that  $\sup_{\overline{\Omega_{z'}}} |f| \lesssim 1$  and hence (3.9) follows.

To obtain an upper bound for the Bergman metric, we note that  $\Omega_{z'}$  contains the polydisc  $B_c$  about  $\zeta^\delta$ . Thus by elementary estimates one has, for any  $f \in L^2(\Omega_{z'}) \cap A(\Omega_{z'})$ , that

$$\left| \frac{\partial f}{\partial \zeta_k}(\zeta^\delta) \right| \lesssim \tau_k^{-1} \prod_{j=1}^n \tau_j^{-1} \|f\|_{L^2(\Omega_{z'})},$$

for  $k = 1, 2, \dots, n$ . From (2.1) and (2.2), it follows that the coefficient  $b(\zeta)$  of  $\partial/\partial \zeta_n$  in  $L'_1$  satisfies  $|b(\zeta^\delta)| \lesssim \delta$  and  $|(\partial\rho/\partial \zeta_j)(\zeta^\delta)| \lesssim \tau(z', \delta)$ , for  $j = 1, \dots, n-1$ . Therefore, if  $Y = \sum_{k=1}^n b_k L'_k$  is a vector at  $\zeta^\delta$ , then

$$(3.10) \quad b_{\Omega_{z'}}(\zeta^\delta; Y) \lesssim \left( \sum_{k=1}^n |b_k| \tau_k^{-1} \right) \prod_{j=1}^n \tau_j^{-1}.$$

In [7], the author showed that

$$(3.11) \quad K_{\Omega_{z'}}(\zeta^\delta, \bar{\zeta}^\delta) \approx \prod_{j=1}^n \tau_j^{-2} \approx \sum_{l=2}^m C_l(z) \frac{2(n-1)}{l} |r(z)|^{-2 - \frac{2(n-1)}{l}}.$$

Combining (3.10), (3.11) and the definition of  $B_\Omega(z; X)$ , one obtains that

$$B_\Omega(z; X) = B_{\Omega_{z'}}(\zeta^\delta; Y) \lesssim \sum_{k=1}^n |b_k| \tau_k^{-1},$$

and hence it follows that

$$(3.12) \quad C_\Omega(z; X) \approx B_\Omega(z; Y) \approx M(z; X).$$

Set

$$R = \min\{d_2 c \tau_k |b_k|^{-1} : k = 1, 2, \dots, n\}.$$

Then

$$f(t) = \left( b_1 t, \dots, b_{n-1} t, -\frac{b\delta}{2} + b_n t \right)$$

defines a map  $f : D_R \rightarrow B$  with  $f_*((\partial/\partial t)|_0) = X$ . Hence

$$\begin{aligned} K_{\Omega_{z'}}(\zeta^\delta; Y) &\leq K_B(\zeta^\delta; Y) \leq R^{-1} \leq \max\{|b_k| (c\tau_k)^{-1} : 1 \leq k \leq n\} \\ &\lesssim \max\{|b_k| \tau_k^{-1} : k = 1, 2, \dots, n\} \\ &\lesssim \sum_{k=1}^n |b_k| \tau_k^{-1} \lesssim C_{\Omega_{z'}}(\zeta^\delta; Y) = C_\Omega(z; X). \end{aligned}$$

Again from the invariant property of  $K_\Omega(z; X)$  and (1.4), it follows that

$$(3.13) \quad K_\Omega(z; X) = K_{\Omega_{z'}}(\zeta^\delta; Y) \approx C_\Omega(z; X).$$

If we combine (3.12) and (3.13), it follows that

$$C_\Omega(z; X) \approx B_\Omega(z; X) \approx K_\Omega(z; X) \approx M(z; X),$$

and this proves our main theorem.

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