

UPPER AND LOWER MULTIPLICITY FOR IRREDUCIBLE REPRESENTATIONS OF SIN-GROUPS

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ABSTRACT. The main purpose of the paper is to establish formulae for both the upper and the lower multiplicity of an irreducible representation of a Moore group. Moreover, we show that for a group with small invariant neighbourhoods of the identity, the set of irreducible representations with finite upper multiplicity coincides with the dual of a quotient group.

Motivated by examples in group C^* -algebras, Archbold [1] defined the upper and lower multiplicities $M_U(\pi)$ and $M_L(\pi)$ for an arbitrary irreducible representation π of a C^* -algebra A . Similarly, one can define upper and lower multiplicities for π relative to a net Ω in the dual space \widehat{A} of A : $M_U(\pi, \Omega)$ and $M_L(\pi, \Omega)$ [2]. Since $M_U(\pi) = 1$ if and only if π satisfies Fell's condition [1, Theorem 4.1], multiplicities may be regarded as a measure of the extent to which Fell's condition may fail for π .

Using the main result of [11], it has been shown in [3, Corollary 2.9] that if G is a simply connected nilpotent Lie group and $\pi \in \widehat{G}$, then $M_U(\pi) < \infty$ if and only if the Kirillov orbit associated to π has maximal dimension. Furthermore, Ludwig [10] has found non-trivial finite multiplicity in an explicit example for which it can be shown that $M_U(\pi) = 2$. On the other hand, in the case of the discrete space group $G = p4gm$, Raeburn [16] has described $C^*(G)$ in a way that enables one to compute multiplicities: there are three irreducible representations π with $M_U(\pi) = 2$ and $M_L(\pi) = 1$, whereas $M_U(\pi) = 1$ for all other π . We shall see below that this example fits into a general framework in which multiplicities may be computed without recourse to a description of the group C^* -algebra.

In this paper we study $M_U(\pi)$ and $M_L(\pi)$ for $\pi \in \widehat{G}_r$, the reduced dual of a SIN-group G . To begin with, in Sections 2 and 3, we concentrate on Moore groups (groups with finite dimensional irreducible representations). In this case, $M_U(\pi)$ (and hence $M_L(\pi)$) is finite and we obtain formulae for both $M_U(\pi)$ and $M_L(\pi)$ in terms of the multiplicity of π in certain induced representations (Theorems 2.1 and 3.6). Furthermore, the condition $M_U(\pi) = 1$ is characterized by an equation involving the dimension of π . This emphasis on Moore groups is explained by Theorem 4.3 where we show that if $M_U(\pi) < \infty$ for at least one π in the reduced dual of a SIN-group G , then G contains a compact normal subgroup K such that G/K is a Moore group and

$$\widehat{G/K} = \{ \rho \in \widehat{G} : M_U(\rho) < \infty \} = \{ \rho \in \widehat{G} : M_L(\rho) < \infty \}.$$

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1. Preliminaries

The formal definitions of multiplicity involve pure states and describe situations in which several nets of orthogonal equivalent pure states converge to a common pure limit [3, Lemma 5.2]. The following result from [3], which is a key tool in the subsequent work of this paper, reflects the relation between orthogonal vector states and the trace of an operator on Hilbert space.

THEOREM 1.1 ([3, Theorem 4.1]). *Let A be a C^* -algebra, let $\Omega = (\pi_\alpha)_\alpha$ be a net in \widehat{A} and let F be a nonempty subset of \widehat{A} . Suppose that there exist positive integers m_π ($\pi \in F$) and a dense self-adjoint subalgebra B of A such that*

$$\lim_\alpha \operatorname{tr}(\pi_\alpha(a)) = \sum_{\pi \in F} m_\pi \operatorname{tr}(\pi(a)) < \infty$$

for all $a \in B^+$. Then

- (i) Ω is convergent to every element of F and every cluster point of Ω belongs to F ,
- (ii) the relative topology on F is discrete,
- (iii) $m_\pi = M_U(\pi, \Omega) = M_L(\pi, \Omega)$ for all $\pi \in F$.

In such situations where $M_U(\pi, \Omega) = M_L(\pi, \Omega)$, we write $M(\pi, \Omega)$ for the common value. In the context of Theorem 1.1 we may say informally that Ω converges m_π times to each $\pi \in F$.

Before applying Theorem 1.1 in Section 2, we need to show that $M_U(\pi)$ can be attained by approximating π by a net lying in a prescribed dense subset of \widehat{A} . This fact is expected to have wider applications and so we organize the proof to show that a sequence can be used if A is separable.

Let φ be a pure state associated with an irreducible representation π of a C^* -algebra A , and let \mathcal{N} be the weak*-neighbourhood base at zero in the Banach dual A^* consisting of all open sets of the form

$$N = \{\psi \in A^* : |\psi(a_i)| < \varepsilon, 1 \leq i \leq n\},$$

where $\varepsilon > 0$ and $a_1, \dots, a_n \in A$. We define

$$V(\varphi, N) = \{\sigma \in \widehat{A} : \langle \sigma(\cdot)\eta, \eta \rangle \in \varphi + N \text{ for some } \eta \in \mathcal{H}_\sigma, \|\eta\| = 1\}.$$

Let $P(A)$ denote the set of pure states of A . Then $V(\varphi, N)$ is the image of $(\varphi + N) \cap P(A)$ under the canonical map from $P(A)$ to \widehat{A} and hence is an open neighbourhood of π in \widehat{A} . For $\sigma \in V(\varphi, N)$ let

$$\operatorname{Vec}(\sigma, \varphi, N) = \{\eta \in \mathcal{H}_\sigma : \|\eta\| = 1, \langle \sigma(\cdot)\eta, \eta \rangle \in \varphi + N\},$$

and let $d(\sigma, \varphi, N)$ be the supremum in $\mathbb{N} \cup \{\infty\}$ of the cardinalities of finite orthonormal subsets of $\operatorname{Vec}(\sigma, \varphi, N)$.

LEMMA 1.2. *Let A be a C^* -algebra, let S be a dense subset of \widehat{A} and let $\pi \in \widehat{A}$. Then there exists a net Ω in S which converges to π and satisfies $M_U(\pi) = M(\pi, \Omega)$. If A is separable, then the net Ω can be chosen to be a sequence.*

Proof. Let φ be a pure state of A associated with π . By [1, Proposition 3.4],

$$M_U(\pi) = \inf_{N \in \mathcal{N}} \sup\{d(\sigma, \varphi, N) : \sigma \in V(\varphi, N) \cap S\}. \tag{1}$$

Let $R = \{k \in \mathbb{N} : k \leq M_U(\pi)\}$ and let $\Lambda = \mathcal{N} \times R$ with the product order. For each $(N, k) \in \Lambda$, equation (1) enables us to choose $\sigma_{(N,k)} \in V(\varphi, N) \cap S$ such that

$$d(\sigma_{(N,k)}, \varphi, N) \geq k. \tag{2}$$

Let $\Omega = (\sigma_{(N,k)})_{(N,k) \in \Lambda}$. For $N_0 \in \mathcal{N}$ and $k_0 \in R$, by definition and by (2), we then have

$$\begin{aligned} M_L(\varphi, N_0, \Omega) &= \liminf_{(N,k)} d(\sigma_{(N,k)}, \varphi, N_0) \\ &\geq \liminf_{(N,k) \geq (N_0, k_0)} d(\sigma_{(N,k)}, \varphi, N) \geq k_0. \end{aligned}$$

Since k_0 is arbitrary in R , $M_L(\varphi, N_0, \Omega) \geq M_U(\pi)$. Taking the infimum over $N_0 \in \mathcal{N}$, we obtain

$$M_U(\pi) \leq M_L(\pi, \Omega) \leq M_U(\pi, \Omega) \leq M_U(\pi)$$

and hence $M_U(\pi) = M(\pi, \Omega)$.

To show that Ω is convergent to π , let U be a neighbourhood of π in \widehat{A} . Since the canonical map from $P(A)$ to \widehat{A} is continuous, there exists $N_0 \in \mathcal{N}$ such that $V(\varphi, N_0) \subseteq U$. For $(N, k) \geq (N_0, 1)$ we then have

$$\sigma_{(N,k)} \in V(\varphi, N) \subseteq V(\varphi, N_0) \subseteq U.$$

Finally, suppose that A is separable. Then there exists a decreasing sequence $(N_j)_{j \geq 1}$ in \mathcal{N} such that $\{(\varphi + N_j) \cap P(A) : j \geq 1\}$ is a neighbourhood base for φ in $P(A)$. For $n \geq 1$, let $\sigma_n = \sigma_{(N,k)}$ where $N = N_n$ and $k = \min\{n, M_U(\pi)\}$. Then the sequence $(\sigma_n)_n$ has the required properties. \square

We now have to introduce some notation and basic facts from representation theory. As is customary, we shall use the same letter, for example π , to denote a unitary representation of a locally compact group G and the associated $*$ -representation of the group C^* -algebra $C^*(G)$. Then $\ker \pi$ will denote the C^* -kernel of π . If R and S are sets of unitary representations of G , then R is weakly contained in S ($R < S$) if $\bigcap_{\rho \in R} \ker \rho \supseteq \bigcap_{\sigma \in S} \ker \sigma$, and R and S are weakly equivalent ($R \sim S$) if $R < S$ and $S < R$. Let ρ and σ be finite dimensional representations. Then $\rho < \sigma$ if and only if every irreducible subrepresentation ω of ρ is a subrepresentation of σ : $\omega \leq \sigma$.

If, in addition, ρ is irreducible, then $m(\rho, \sigma)$ will denote the multiplicity of ρ as a subrepresentation of σ .

For a closed subgroup H of G and a representation τ of H , $\text{ind}_H^G \tau$ is the representation of G induced by τ . We shall frequently use the following version of the Frobenius reciprocity theorem. Suppose that H has finite index in G ($[G : H] < \infty$) and let π and τ be finite dimensional irreducible representations of G and H , respectively. Then $m(\pi, \text{ind}_H^G \tau) = m(\tau, \pi|_H)$ (see [13] and [15, p. 135]).

Our second basic tool is the so-called Mackey machine. Let N be a normal subgroup of finite index in G and suppose that all the irreducible representations of G are finite dimensional. G acts on the dual \widehat{N} of N by $(a, \sigma) \rightarrow \sigma^a$, where $\sigma^a(n) = \sigma(a^{-1}na)$ ($n \in N$). For $\sigma \in \widehat{N}$, let $G(\sigma)$ denote the orbit under this action and S_σ the stabilizer of σ . Let $\tau \in \widehat{S}_\sigma$ such that $\tau|_N \geq \sigma$; then $\text{ind}_{S_\sigma}^G \tau \in \widehat{G}$ and $(\text{ind}_{S_\sigma}^G \tau)|_N \sim G(\sigma)$. Conversely, given $\pi \in \widehat{G}$ with $\pi|_N \geq \sigma$, there exists a unique $\tau \in \widehat{S}_\sigma$ such that $\tau|_N \geq \sigma$ and $\pi = \text{ind}_{S_\sigma}^G \tau$. For all this see [12] (the separability hypothesis is not required when N is of finite index and all irreducible representations are finite dimensional).

2. Upper multiplicity for Moore groups

In this section we shall establish a simple formula as well as some applications of it for the upper multiplicity of an irreducible representation of a locally compact group all of whose irreducible representations are finite dimensional. Such groups have been completely characterized by Moore [14] and are therefore usually referred to as *Moore groups*. By [14, Theorem 2 and Theorem 3] a locally compact group G is a Moore group if and only if G is a projective limit of groups each of which is a finite extension of a group with cocompact centre. As a result we have the following structural properties. Let G_F denote the subgroup of G consisting of all elements with relatively compact conjugacy classes. Then G_F is an open subgroup of finite index in G , and the commutator subgroup of G_F is relatively compact.

For $d \in \mathbb{N}$, let $\widehat{G}_d = \{\rho \in \widehat{G} : d_\rho = d\}$. The topology on \widehat{G}_d is the weakest topology for which all the functions $\pi \rightarrow \text{tr} \pi(f)$, $f \in C^*(G)$, are continuous [4, Proposition 3.6.4]. Now on the set $P^1(G)$ of all normalized continuous positive definite functions on G the weak*-topology $\sigma(L^\infty(G), L^1(G))$ coincides with the topology of uniform convergence on compact subsets of G [4, Théorème 13.5.2]. Since $\frac{1}{d} \text{tr} \rho \in P^1(G)$ for all $\rho \in \widehat{G}_d$, it follows that $\text{tr} \pi_\alpha(x) \rightarrow \text{tr} \pi(x)$ uniformly on compact subsets of G whenever $\pi_\alpha \rightarrow \pi$ in \widehat{G}_d .

THEOREM 2.1. *Let G be a Moore group and let π be an irreducible representation of G . Then, for every irreducible subrepresentation σ of $\pi|_{G_F}$,*

$$M_U(\pi) = m(\pi, \text{ind}_{G_F}^G \sigma).$$

Proof. Let Σ denote the set of all $\tau \in \widehat{G}_F$ such that S_τ , the stability subgroup of τ in G , equals G_F . By [9, Lemma 2], Σ is dense in \widehat{G}_F . For every $\tau \in \Sigma$, $\text{ind}_{G_F}^G \tau$ is irreducible, and hence the set of all representations $\text{ind}_{G_F}^G \tau$, $\tau \in \Sigma$, is dense in \widehat{G} . By Lemma 1.2 there exists a net $(\sigma_\alpha)_\alpha$ in Σ such that, with $\pi_\alpha = \text{ind}_{G_F}^G \sigma_\alpha$,

$$\pi_\alpha \rightarrow \pi \text{ in } \widehat{G} \text{ and } M_U(\pi) = M(\pi, (\pi_\alpha)_\alpha).$$

Notice that $\pi_\alpha|_{G_F} \rightarrow \pi|_{G_F}$ and $(\text{ind}_{G_F}^G \tau)|_{G_F} \sim G(\tau)$ for every $\tau \in \widehat{G}_F$. Thus, replacing each σ_α by a suitable member of its G -orbit, we can assume that $\sigma_\alpha \rightarrow \sigma$ in \widehat{G}_F .

Let C be the closure of the commutator subgroup of G_F . Then C is compact, and since G_F is type I,

$$\sigma \otimes \widehat{G_F/C} = \left\{ \sigma \otimes \chi : \chi \in \widehat{G_F/C} \right\}$$

is open in \widehat{G}_F [7, Theorem 2]. Thus $\sigma_\alpha \in \sigma \otimes \widehat{G_F/C}$ eventually, and therefore we can assume that $d_{\sigma_\alpha} = d_\sigma$ for all α . By what we have said above about the topology of \widehat{G}_{d_σ} , it follows that

$$\text{tr } \sigma_\alpha(x) \rightarrow \text{tr } \sigma(x)$$

uniformly on compact subsets of G_F . The formula for the trace of an induced representation now shows that

$$\text{tr } \pi_\alpha(x) \rightarrow \text{tr}(\text{ind}_{G_F}^G \sigma)(x)$$

uniformly on compact subsets of G . On the other hand,

$$\text{ind}_{G_F}^G \sigma = \bigoplus_\rho m(\rho, \text{ind}_{G_F}^G \sigma) \cdot \rho,$$

where the finite direct sum extends over all irreducible subrepresentations of $\text{ind}_{G_F}^G \sigma$. Thus, uniformly on compact subsets of G ,

$$\text{tr } \pi_\alpha(x) \rightarrow \sum_\rho m(\rho, \text{ind}_{G_F}^G \sigma) \text{tr } \rho(x).$$

Theorem 1.1, with $B = C_c(G)$, now shows that $m(\rho, \text{ind}_{G_F}^G \sigma) = M(\rho, (\pi_\alpha)_\alpha)$ for each such ρ . In particular, by the choice of the net $(\pi_\alpha)_\alpha$,

$$m(\pi, \text{ind}_{G_F}^G \sigma) = M_U(\pi). \quad \square$$

We continue with two consequences of Theorem 2.1. As before, G will denote a Moore group.

COROLLARY 2.2. $M_U(\pi) = d_\pi$ for every $\pi \in \widehat{G/G_F} \subseteq \widehat{G}$.

Proof. This follows immediately from Theorem 2.1 since π occurs with multiplicity d_π in $\text{ind}_{G_F}^G 1_{G_F}$, the pull-back to G of the left regular representation of G/G_F . \square

COROLLARY 2.3. $M_U(\pi)^2 \leq [G : G_F]$ for every $\pi \in \widehat{G}$.

Proof. Choose an irreducible subrepresentation σ of $\pi|_{G_F}$. Since the dimension of $\text{ind}_{G_F}^G \sigma$ equals $d_\sigma [G : G_F]$, Theorem 2.1 and Frobenius reciprocity show that

$$d_\sigma [G : G_F] \geq d_\pi m(\pi, \text{ind}_{G_F}^G \sigma) \geq d_\sigma m(\sigma, \pi|_{G_F}) M_U(\pi) = d_\sigma M_U(\pi)^2,$$

whence $M_U(\pi)^2 \leq [G : G_F]$. \square

In particular, it follows from Corollary 2.3 and [3, Theorem 2.6] that, for a Moore group G , $C^*(G)$ has bounded trace. Not surprisingly, however, this has been known before. The most comprehensive results about locally compact groups with C^* -algebras of bounded trace can be found in [18].

Recall that if A is a C^* -algebra and $\pi \in \widehat{A}$, then π is said to be a *Fell point* if it satisfies Fell's condition (that is, there exist a neighbourhood V of π in \widehat{A} and a positive element a in A such that $\rho(a)$ is a projection of rank 1 for all $\rho \in V$). It has been shown in [1, Theorem 4.6] that π is a Fell point if and only if $M_U(\pi) = 1$. It is therefore of interest to deduce from Theorem 2.1 a necessary and sufficient condition for $\pi \in \widehat{G}$ to have upper multiplicity 1.

COROLLARY 2.4. Let G be a Moore group and $\pi \in \widehat{G}$. Let $\sigma \in \widehat{G}_F$ be such that $\pi|_{G_F} \geq \sigma$. Then

$$M_U(\pi) \geq \frac{d_\pi}{d_\sigma [G : S_\sigma]}.$$

Furthermore, $M_U(\pi) = 1$ if and only if $d_\pi = d_\sigma [G : S_\sigma]$.

Proof. By Mackey's theory there exists $\tau \in \widehat{S_\sigma}$ such that $\tau|_{G_F}$ is a multiple of σ and $\pi = \text{ind}_{S_\sigma}^G \tau$. Then, by Theorem 2.1 and Frobenius reciprocity,

$$\begin{aligned} M_U(\pi) &= m(\pi, \text{ind}_{G_F}^G \sigma) = m\left(\text{ind}_{S_\sigma}^G \tau, \text{ind}_{S_\sigma}^G (\text{ind}_{G_F}^{S_\sigma} \sigma)\right) \\ &\geq m\left(\tau, \text{ind}_{G_F}^{S_\sigma} \sigma\right) = m(\sigma, \tau|_{G_F}). \end{aligned}$$

Since $d_\pi = d_\tau [G : S_\sigma]$ and $d_\tau = m(\sigma, \tau|_{G_F}) d_\sigma$, we get

$$M_U(\pi) \geq \frac{d_\pi}{d_\sigma [G : S_\sigma]}.$$

In particular, if $M_U(\pi) = 1$, then $d_\tau = d_\sigma$ and hence $d_\pi = d_\sigma [G : S_\sigma]$.

Conversely, suppose that $d_\pi = d_\sigma[G : S_\sigma]$. Then τ is an extension of σ and with $\Gamma = \widehat{S_\sigma/G_F}$ it follows that

$$\begin{aligned} \text{ind}_{G_F}^G \sigma &= \text{ind}_{S_\sigma}^G \left(\text{ind}_{G_F}^{S_\sigma} (\tau|_{G_F}) \right) = \text{ind}_{S_\sigma}^G \left(\tau \otimes \text{ind}_{G_F}^{S_\sigma} 1_{G_F} \right) \\ &= \text{ind}_{S_\sigma}^G \left(\tau \otimes \left(\bigoplus_{\gamma \in \Gamma} d_\gamma \cdot \gamma \right) \right) \\ &= \bigoplus_{\gamma \in \Gamma} d_\gamma \cdot \text{ind}_{S_\sigma}^G (\tau \otimes \gamma). \end{aligned}$$

Now, by Mackey’s theory again, all the representations $\text{ind}_{S_\sigma}^G (\tau \otimes \gamma), \gamma \in \Gamma$, are irreducible and pairwise inequivalent (see [12] and [15, Lemma 2]). It follows that

$$M_U(\pi) = m \left(\text{ind}_{S_\sigma}^G \tau, \text{ind}_{G_F}^G \sigma \right) = 1. \quad \square$$

COROLLARY 2.5. *Let G be a Moore group. Then $C^*(G)$ is a Fell algebra (that is, every $\pi \in \widehat{G}$ is a Fell point) if and only if G/G_F is abelian and every $\sigma \in \widehat{G_F}$ extends to some representation of its stability group.*

Proof. Suppose that $M_U(\pi) = 1$ for all $\pi \in \widehat{G}$. Then the dimension formula of Corollary 2.2 shows that $d_\pi = 1$ for all $\pi \in \widehat{G/G_F}$, which implies that G/G_F is abelian. Also, as we have seen in the proof of Corollary 2.4, if $\tau \in \widehat{S_\sigma}$ is such that $\pi = \text{ind}_{S_\sigma}^G \tau$ and $\tau|_{G_F}$ is a multiple of σ , then $\tau|_{G_F} = \sigma$ provided that $M_U(\pi) = 1$.

Conversely, let G/G_F be abelian and suppose that every $\sigma \in \widehat{G_F}$ extends to some $\tau_\sigma \in \widehat{S_\sigma}$. Then every $\pi \in \widehat{G}$ is of the form $\pi = \text{ind}_{S_\sigma}^G (\tau_\sigma \otimes \chi)$ for some $\sigma \in \widehat{G_F}$ and $\chi \in \widehat{S_\sigma/G_F}$. Since χ is 1-dimensional, we get

$$d_\pi = d_{\tau_\sigma \otimes \chi}[G : S_\sigma] = d_\sigma[G : S_\sigma].$$

Corollary 2.4 now shows that $M_U(\pi) = 1$ for all $\pi \in \widehat{G}$. \square

We conclude this section with two remarks illustrating the usefulness of Corollaries 2.2 and 2.5.

Remark 2.6. Every natural number arises as the upper multiplicity of some irreducible representation of a Moore group.

To see this, let $m \in \mathbb{N}$ and let S_m be the group of permutations of $\{1, \dots, m\}$. Let A be any non-compact locally compact abelian group and form the semi-direct product $G = S_m \ltimes A^m$, where S_m acts on A^m by permuting the components. Then G is a Moore group with $G_F = A^m$. By Corollary 2.2, $M_U(\pi) = d_\pi$ for every $\pi \in \widehat{G/G_F} = \widehat{S_m}$. Now, S_m has an irreducible representation of dimension $m - 1$. In fact, the so-called Specht module associated to the partition $(m - 1, 1)$ of m is irreducible of dimension $m - 1$ (see, for instance, [5, Theorem 4.12 and Example 5.1]).

Remark 2.7. Suppose that G is a semi-direct product $G = H \rtimes N$ where H is finite, N is abelian and $N = G_F$. Then $C^*(G)$ is a Fell algebra if and only if H is abelian. This follows from Corollary 2.5 once we have shown that if H is abelian, then every $\sigma \in \widehat{N}$ extends to a character of its stability subgroup. However, this is guaranteed by the fact that this stability group is of the form $H_\sigma \rtimes N$ for some subgroup H_σ of H and that H_σ is abelian.

3. Lower multiplicity for Moore groups

Let G be a non-compact Moore group and $\pi \in \widehat{G}$. Notice that since G is non-compact, π cannot be open in \widehat{G} and thus $M_U(\pi)$ is defined. The purpose of this section is to show that, like $M_U(\pi)$, the lower multiplicity $M_L(\pi)$ can be realized as the multiplicity of π in a certain induced representation $\text{ind}_H^G \tau$. Here H is a subgroup of G containing G_F and τ is an irreducible representation of H . However, although there are only finitely many possibilities, there seems to be no canonical choice of the pair (H, τ) .

LEMMA 3.1. *Let G be a Moore group and N a closed normal subgroup of G such that G/N is abelian. Let $\pi \in \widehat{G}$ and*

$$\widehat{G}_{N,\pi} = \{ \rho \in \widehat{G} : \rho|N \sim \pi|N \}.$$

If $(\rho_\alpha)_\alpha$ is a net in $\widehat{G}_{N,\pi}$ converging to some $\rho \in \widehat{G}_{N,\pi}$, then $\text{tr } \rho_\alpha(x) \rightarrow \text{tr } \rho(x)$ uniformly on compact subsets of G .

Proof. By the remark preceding Theorem 2.1, it suffices to show that $\widehat{G}_{N,\pi} \subseteq \widehat{G}_{d_\pi}$. To that end, fix $\rho \in \widehat{G}_{N,\pi}$. Then, since G/N is abelian,

$$\rho < \text{ind}_N^G(\rho|N) \sim \text{ind}_N^G(\pi|N) = \pi \otimes \text{ind}_N^G 1_N \sim \pi \otimes \widehat{G/N}.$$

Thus there is a net $(\chi_\beta)_\beta$ in $\widehat{G/N}$ such that $\pi \otimes \chi_\beta \rightarrow \rho$ in \widehat{G} . It follows that $d_\rho \leq d_\pi$. Similarly, $\pi < \rho \otimes \widehat{G/N}$, and as before this yields that $d_\pi \leq d_\rho$, as required. \square

Let G be any locally compact group and H an open subgroup of G . Let τ be a unitary representation of H and $\pi = \text{ind}_H^G \tau$. In the course of the proof of the next lemma we shall use the fact that if $\pi(C^*(G))$ is finite dimensional, then H must have finite index in G . This conclusion is not surprising and has been shown to be true in [9, Lemma 3] whenever H is a closed (not necessarily open) normal subgroup of G . Since the proof is very short and much less technical in the case of an open subgroup, we include it for convenience.

Let $\pi(C^*(G))$ be of dimension d , and suppose that H has at least $d + 1$ different left cosets in G , say a_0H, \dots, a_dH . Fix some $v \in \mathcal{H}_\tau$ and $f \in C_c(H) \subseteq C_c(G)$ such that $\tau(f)v \neq 0$ and define $\xi \in \mathcal{H}_\pi$ by $\xi(h) = \tau(h^{-1})v$ for $h \in H$ and

$\xi(x) = 0$ for $x \in G \setminus H$. Next, observe that for a and x in G , $\pi(L_a f)\xi(x) = 0$ if $x \notin aH$, while $\pi(L_a f)\xi(a) = \tau(f)v$. Now there exist $\lambda_0, \dots, \lambda_d \in \mathbb{C}$ such that $\sum_{j=0}^d \lambda_j \pi(L_{a_j} f) = 0$ and $\lambda_k \neq 0$ for at least one value of k . It follows that

$$0 = \sum_{j=0}^d \lambda_j \pi(L_{a_j} f)\xi(a_k) = \lambda_k \tau(f)v,$$

a contradiction.

LEMMA 3.2. *Let H be a Moore group and define subsets S of \widehat{H}_F and T of \widehat{H} by*

$$S = \{\sigma \in \widehat{H}_F: S_\sigma = H\} \text{ and } T = \{\tau \in \widehat{H}: \tau|_{H_F} \sim \sigma \text{ for some } \sigma \in S\}.$$

Suppose that $(\tau_\alpha)_\alpha$ is a net in T converging to some $\tau \in T$, then $\text{tr } \tau_\alpha(x) \rightarrow \text{tr } \tau(x)$ uniformly on compact subsets of H .

Proof. Let $N = H_F$ and let C denote the closure of the commutator subgroup of N . Then C is compact and hence $\omega \otimes \widehat{N/C}$ is open in \widehat{N} for every $\omega \in \widehat{N}$.

Now let $\sigma_\alpha, \sigma \in S$ be such that $\tau_\alpha|_N \sim \sigma_\alpha$ and $\tau|_N \sim \sigma$. Since $\sigma_\alpha \rightarrow \sigma$ in \widehat{N} , we have $\sigma_\alpha \in \sigma \otimes \widehat{N/C}$ eventually. Thus we can assume that for every α there exists $\lambda_\alpha \in \widehat{N/C}$ such that $\sigma_\alpha = \sigma \otimes \lambda_\alpha$. By hypothesis, σ and σ_α are H -invariant. However, λ_α need not be H -invariant.

Consider any $\omega \in \widehat{N}$ and $\chi \in \widehat{N/C}$ such that ω and $\omega \otimes \chi$ belong to S . Then, for every $a \in H$,

$$\omega \otimes \chi = (\omega \otimes \chi)^a = \omega^a \otimes \chi^a = \omega \otimes \chi^a,$$

so that $(\chi^a \bar{\chi}) \otimes \omega = \omega$. Now, let $X_\omega = \{\mu \in \widehat{N/C}: \omega \otimes \mu = \omega\}$. Then X_ω is a closed subgroup of $\widehat{N/C}$ and hence of the form $X_\omega = \widehat{N/M}$ for some closed subgroup M of N (containing C). By definition of X_ω , $\omega \sim \text{ind}_M^N(\omega|M)$. Moreover, $\text{tr } \omega(x) = \mu(x) \text{tr } \omega(x)$ for all $x \in N$ and $\mu \in \widehat{N/M}$. This implies that $\text{tr } \omega(x) = 0$ for every $x \in N \setminus M$, and this in turn implies that M is open in N . By the remark preceding the lemma we conclude that M has finite index in N and hence in H . Let L be the largest normal subgroup of H contained in M . Then L is of finite index in H and if $\mu \in \widehat{N/C}$ is such that $\omega \otimes \mu = \omega$, then $\mu|_L = 1_L$.

We now apply this to $\omega = \sigma$. Thus there exists a normal subgroup L of finite index in H with the property that $\chi^a|_L = \chi|_L$ for all $a \in H$ whenever $\chi \in \widehat{N/C}$ is such that $\sigma \otimes \chi \in S$. Since $\sigma_\alpha = \sigma \otimes \lambda_\alpha \in S$, we have $\lambda_\alpha^a|_L = \lambda_\alpha|_L$ for every α and every $a \in H$. That is, all the $\lambda_\alpha|_L$ are H -invariant.

Let $K = \{x \in L: \lambda_\alpha(x) = 1 \text{ for all } \alpha\}$. Then K is normal in H since all $\lambda_\alpha|_L$ are H -invariant. Furthermore, since the set of all characters $\lambda_\alpha|_L$ separates the points of L/K , it follows that L/K is contained in the centre of H/K . Thus H/K has a centre of finite index and hence a finite commutator subgroup. Let E denote its pull-back to H . Then E/K is finite and H/E is abelian.

By definition of K , for all α we have,

$$\tau_\alpha|K \sim \sigma_\alpha|K = \sigma|K \otimes \lambda_\alpha|K = \sigma|K \sim \tau|K.$$

Choose an irreducible subrepresentation γ of $\tau|E$. Since $\tau_\alpha|E \rightarrow \tau|E$, for each α there is an irreducible subrepresentation γ_α of $\tau_\alpha|E$ such that $\gamma_\alpha \rightarrow \gamma$ in \widehat{E} . Let $J = \ker(\text{ind}_K^E(\tau|K))$ and $A = C^*(E)/J$. Then A is a finite dimensional C^* -algebra since τ is finite dimensional and E/K is finite. Moreover, since $\tau_\alpha|K \sim \tau|K$,

$$\ker \gamma_\alpha \supseteq \ker \tau_\alpha \supseteq \ker(\text{ind}_K^E(\tau_\alpha|K)) = J.$$

Thus $\gamma_\alpha \rightarrow \gamma$ in \widehat{A} and hence $\gamma_\alpha = \gamma$ eventually. It follows that

$$\tau_\alpha|E \sim G(\gamma_\alpha) = G(\gamma) \sim \tau|E$$

eventually. Therefore we may assume that $\tau_\alpha|E \sim \tau|E$, that is, $\tau_\alpha \in \widehat{H}_{E,\tau}$, for all α . An application of Lemma 3.1 now shows that $\text{tr } \tau_\alpha(x) \rightarrow \text{tr } \tau(x)$ uniformly on compact subsets of H . \square

Let G be a Moore group and $\sigma \in \widehat{G}_F$. A subgroup H of G containing G_F is called *admissible for σ* if there exists a net $(\sigma_\alpha)_\alpha$ in \widehat{G}_F with the following properties: $\sigma_\alpha \rightarrow \sigma, \sigma \notin G(\sigma_\alpha)$ and $S_{\sigma_\alpha} = H$ for all α . Then clearly $H \subseteq S_\sigma$ since \widehat{G}_F is a Hausdorff space.

LEMMA 3.3. *Let G be a non-compact Moore group and let $\sigma \in \widehat{G}_F$. Let H be an admissible subgroup for σ and let $\tau \in \widehat{H}$ such that $\tau|G_F$ is a multiple of σ . Then*

$$M_L(\pi) \leq m(\pi, \text{ind}_H^G \tau)$$

for every irreducible subrepresentation π of $\text{ind}_H^G \tau$.

Proof. Let π be an irreducible subrepresentation of $\text{ind}_H^G \tau$. Since τ is an irreducible subrepresentation of $\text{ind}_{G_F}^H \sigma$ and $\text{ind}_{G_F}^H \sigma_\alpha \rightarrow \text{ind}_{G_F}^H \sigma$, there exist $\tau_\alpha \in \widehat{H}$ such that $\tau_\alpha|G_F \sim \sigma_\alpha$ and $\tau_\alpha \rightarrow \tau$ in \widehat{H} . Let $\pi_\alpha = \text{ind}_H^G \tau_\alpha$; then $\pi_\alpha \in \widehat{G}$ and $\pi_\alpha \rightarrow \text{ind}_H^G \tau$, and hence $\pi_\alpha \rightarrow \pi$ in \widehat{G} . Moreover, $\pi_\alpha \neq \pi$ for every α since $\pi|G_F \sim G(\sigma), \pi_\alpha|G_F \sim G(\sigma_\alpha)$ and $G(\sigma_\alpha) \cap G(\sigma) = \emptyset$ by hypothesis.

An application of Lemma 3.2 shows that $\text{tr } \tau_\alpha(x) \rightarrow \text{tr } \tau(x)$ uniformly on compact subsets of H . This implies that

$$\text{tr } \pi_\alpha(x) = \text{tr}(\text{ind}_H^G \tau_\alpha)(x) \rightarrow \text{tr}(\text{ind}_H^G \tau)(x)$$

uniformly on compact subsets of G . Now

$$\text{tr}(\text{ind}_H^G \tau) = \sum_\rho m(\rho, \text{ind}_H^G \tau) \text{tr } \rho,$$

where the sum extends over all irreducible subrepresentations ρ of $\text{ind}_H^G \tau$. It follows from Theorem 1.1 that $m(\rho, \text{ind}_H^G \tau) = M(\rho, (\pi_\alpha)_\alpha)$. In particular,

$$M_L(\pi) \leq M(\pi, (\pi_\alpha)_\alpha) = m(\pi, \text{ind}_H^G \tau). \quad \square$$

COROLLARY 3.4. *Let G be a non-compact Moore group, let $\sigma \in \widehat{G}_F$ and suppose that S_σ itself is admissible for σ . Then $M_L(\pi) = 1$ for every irreducible subrepresentation of $\text{ind}_{G_F}^G \sigma$.*

Proof. Given such a π , there exists $\tau \in \widehat{S}_\sigma$ so that $\pi = \text{ind}_{S_\sigma}^G \tau$ and $\tau|_{G_F}$ is a multiple of σ . Now Lemma 3.3 with $H = S_\sigma$ gives that $M_L(\pi) \leq m(\pi, \text{ind}_{S_\sigma}^G \tau) = 1$. \square

The following example is a typical application of Corollary 3.4.

Example 3.5. Let $G = S_m \times A^m$ be as in Remark 2.6. Then, for each $\sigma \in \widehat{A}^m$, the stability group of σ is admissible for σ . This can be seen as follows. If $\sigma = (\sigma_1, \dots, \sigma_m) \in \widehat{A}^m$ ($\sigma_j \in \widehat{A}$) and $\varphi \in S_m$, then φ belongs to the stability group of σ if and only if $\sigma_{\varphi(j)} = \sigma_j$ for all $j = 1, \dots, m$. Now, since \widehat{A} has no isolated points, there exists a net $(\sigma^{(\alpha)})_\alpha$ in \widehat{A}^m converging to σ such that $\sigma^{(\alpha)} \neq \sigma$ for all α and $\sigma_j^{(\alpha)} = \sigma_i^{(\alpha)}$ if and only if $\sigma_j = \sigma_i$ for each α and all $i, j \in \{1, \dots, m\}$. Corollary 3.4 shows that $M_L(\pi) = 1$ for every $\pi \in \widehat{G}$.

Now we are ready to combine Lemmas 3.2 and 3.3 with Theorem 1.1 and results from [2] to obtain the formula for lower multiplicity alluded to at the beginning of this section.

THEOREM 3.6. *Let G be a non-compact Moore group and let $\pi \in \widehat{G}$ and $\sigma \in \widehat{G}_F$ such that $\sigma \leq \pi|_{G_F}$. Then*

$$M_L(\pi) = \min_{(H, \tau)} m(\pi, \text{ind}_H^G \tau),$$

where (H, τ) runs through all pairs consisting of an admissible subgroup H for σ and an irreducible representation τ of H such that $\pi \leq \text{ind}_H^G \tau$ and $\tau|_{G_F} \sim \sigma$.

Proof. In view of Lemma 3.3 it suffices to show that $m(\pi, \text{ind}_H^G \tau) \leq M_L(\pi)$ for some pair (H, τ) .

By [2, Proposition 2.2] there exists a net Ω_1 in $\widehat{G} \setminus \{\pi\}$ converging to π such that $M_L(\pi) = M_L(\pi, \Omega_1)$. By Proposition 2.3 of [2], Ω_1 possesses a subnet Ω_2 satisfying $M(\pi, \Omega_2) = M_L(\pi, \Omega_1)$. Since G/G_F is finite and by successively choosing further subnets, we find a subgroup H of G containing G_F and a subnet $\Omega = (\pi_\alpha)_\alpha$ of Ω_2 with the following properties:

(1) $\pi_\alpha|_{G_F} \sim G(\sigma_\alpha)$ for some $\sigma_\alpha \in \widehat{G}_F$ such that $\sigma_\alpha \rightarrow \sigma$ in \widehat{G}_F and $S_{\sigma_\alpha} = H$ for all α .

(2) $\pi_\alpha = \text{ind}_H^G \tau_\alpha$ where $\tau_\alpha \in \widehat{H}$ is such that $\tau_\alpha|_{G_F} \sim \sigma_\alpha$ and $\tau_\alpha \rightarrow \tau$ in \widehat{H} for some $\tau \in \widehat{H}$.

Of course, (1) and (2) imply that $\tau|_{G_F} \sim \sigma$. It follows from Lemma 3.2 that $\text{tr } \tau_\alpha \rightarrow \text{tr } \tau$ uniformly on compact subsets of H and therefore, uniformly on compact subsets of G ,

$$\text{tr } \pi_\alpha(x) \rightarrow \text{tr} (\text{ind}_H^G \tau) (x) = \sum_\rho m(\rho, \text{ind}_H^G \tau) \text{tr } \rho(x),$$

where the sum extends over all irreducible subrepresentations of $\text{ind}_H^G \tau$. Since Ω converges to π in \widehat{G} , (i) and (iii) of Theorem 1.1 now show that π is a subrepresentation of $\text{ind}_H^G \sigma$ and that

$$m(\pi, \text{ind}_H^G \tau) = M(\pi, \Omega).$$

Summarizing by the choice of Ω_1, Ω_2 and Ω and since Ω is a subnet of Ω_2 , we get

$$m(\pi, \text{ind}_H^G \tau) = M(\pi, \Omega) = M(\pi, \Omega_2) = M_L(\pi, \Omega_1) = M_L(\pi),$$

as required. \square

COROLLARY 3.7. *Let $\pi \in \widehat{G}$ and $\sigma \in \widehat{G}_F$ be such that $\sigma \leq \pi|_{G_F}$. If the representation $\text{ind}_{G_F}^{S_\sigma} \sigma$ is multiplicity free, then $M_L(\pi) = 1$.*

Proof. By Theorem 3.6 there are a subgroup H of S_σ containing G_F and some $\tau \in \widehat{H}$ such that $\tau|_{G_F} \sim \sigma, \pi \leq \text{ind}_H^G \tau$ and $M_L(\pi) = m(\pi, \text{ind}_H^G \tau)$. By hypothesis,

$$\text{ind}_{G_F}^{S_\sigma} \sigma = \text{ind}_H^{S_\sigma} (\text{ind}_{G_F}^H \sigma)$$

is multiplicity free and hence so is its subrepresentation $\text{ind}_H^{S_\sigma} \tau$ (notice that $\tau \leq \text{ind}_{G_F}^H \sigma$). Now, for every irreducible subrepresentation ρ of $\text{ind}_H^{S_\sigma} \tau, \text{ind}_{S_\sigma}^G \rho$ is irreducible, and the mapping $\rho \rightarrow \text{ind}_{S_\sigma}^G \rho$ is injective. Since $\pi = \text{ind}_{S_\sigma}^G \rho$ for some such ρ , it follows that π occurs only once in $\text{ind}_H^G \tau$, as was to be shown. \square

The hypothesis of Corollary 3.7 that $\text{ind}_{G_F}^{S_\sigma} \sigma$ be multiplicity free is fulfilled, for instance, if G_F splits in G (that is, G is a semi-direct product of some finite group A with G_F) and G_F and $A \cap S_\sigma$ are abelian.

It is conceivable that $M_L(\pi)$ might be equal to 1 for every irreducible representation π of a Moore group. However, we incline to the opposite view and hope that the formula of Theorem 3.6 will be useful in the attempt to construct a Moore group G and an irreducible representation π of G with $M_L(\pi) > 1$.

4. Finite multiplicities for SIN-groups

In this final section we turn to SIN-groups. Recall that a locally compact group G is said to have small invariant neighbourhoods if G has a neighbourhood basis of the identity consisting of sets V such that $x^{-1}Vx = V$ for all $x \in G$. In particular, discrete groups are SIN-groups. The representation theory of SIN-groups, notably the left regular representation, has been studied in [6] and [19]. Moore groups are precisely those SIN-groups which are of type I.

For a SIN-group G , it is immediate from the definition that the subgroup G_F of all elements with relatively compact conjugacy classes is open in G . The reduced group C^* -algebra $C_r^*(G)$ is the image of $C^*(G)$ under the left regular representation, and $\widehat{G}_r \subseteq \widehat{G}$ denotes the dual space of $C_r^*(G)$. Recall that $\widehat{G}_r = \widehat{G}$ if and only if G is amenable.

LEMMA 4.1. *Let G be a SIN-group and let I be a non-zero closed ideal of $C_r^*(G)$. If I is a type I C^* -algebra, then G/G_F is finite and the commutator subgroup of G_F is relatively compact.*

Proof. Let $\text{VN}(G)$ be the von Neumann algebra generated by the left regular representation of G . Since G is an SIN-group, $\text{VN}(G)$ is a finite von Neumann algebra [4, Proposition 13.10.5]. By hypothesis on I , the weak closure \bar{I} of I in $\text{VN}(G)$ is a type I von Neumann algebra. Thus there exists a non-zero central projection E in $\text{VN}(G)$ such that $E(\text{VN}(G))$ is type I, finite. The statement of the lemma now follows from [6, Satz 2] (see also [19, Theorem 3]). \square

In what follows, for $\pi \in \widehat{G}_r$, we denote by $M'_U(\pi)$ and $M_U(\pi)$ the upper multiplicity of π viewed as a representation of $C_r^*(G)$ and of $C^*(G)$, respectively.

THEOREM 4.2. *For a non-compact SIN-group G the following three conditions are equivalent.*

- (i) *There exist $\pi \in \widehat{G}_r$ such that $M'_U(\pi) < \infty$.*
- (ii) *There exists a non-empty open subset V of \widehat{G}_r such that $M'_L(\rho) < \infty$ for all $\rho \in V$.*
- (iii) *G_F has finite index in G and a relatively compact commutator subgroup.*

Proof. Suppose that (i) holds. Then the set of all $\rho \in \widehat{G}_r$ with $M'_U(\rho) < \infty$ is non-empty and open in \widehat{G}_r by [1, Proposition 2.3]. Thus (i) implies (ii).

Let V be as in (ii) and let I be the closed ideal of $C_r^*(G)$ with $\widehat{I} = V$. Since $M'_L(\rho)$ is defined for every $\rho \in V$, no singleton $\{\rho\}$, $\rho \in V$, can be open in \widehat{G}_r . It follows from Theorem 4.4 of [1] that $\rho(C_r^*(G)) \supseteq \mathcal{K}(\mathcal{H}_\rho)$ and hence $\rho(I) \supseteq \mathcal{K}(\mathcal{H}_\rho)$ for every $\rho \in \widehat{I}$. Thus I is a type I C^* -algebra by the Glimm-Sakai theorem and then (iii) is a consequence of Lemma 4.1.

Finally, let (iii) be satisfied and denote by C the closure of the commutator subgroup of G_F . Then G/C is almost abelian and hence every $\rho \in \widehat{G/C}$ has finite upper multiplicity relative to $C^*(G/C)$ (see Theorem 2.1). However, since C is compact, $C^*(G/C)$ is an ideal of $C^*(G)$ and hence that multiplicity coincides with the upper multiplicity of ρ relative to $C^*(G)$ (see [3, Lemma 2.7]). This proves (i). \square

In particular, since groups as in (iii) of the preceding theorem are amenable, this shows that if G is a non-amenable SIN-group then $M_U(\pi) = \infty$ for all $\pi \in \widehat{G}_r$. In this case there may or may not exist $\rho \in \widehat{G}$ with $M_U(\rho) < \infty$. For example, if $G = \mathbb{F}_2$, the free group on two generators, then $M_U(\rho) = \infty$ for all $\rho \in \widehat{G}$ because $C^*(\mathbb{F}_2)$ is antiliminal, whereas if G has Kazhdan's property (T) then $M_U(1_G) = 1$.

As an additional example consider an arbitrary non-compact nilpotent SIN-group G . For such G , it has recently been shown in [8] that $M_L(\pi) = \infty$ for each infinite dimensional $\pi \in \widehat{G}$. This implies that $M_U(\pi) = \infty$ for every $\pi \in \widehat{G}$. On the other hand, $M_L(\pi) = 1$ for every finite dimensional $\pi \in \widehat{G}$ [8].

We conclude this section with a precise description (in the situation of Theorem 4.2) of the set of all irreducible representations with finite upper (respectively, lower) multiplicity.

THEOREM 4.3. *Let G be a non-compact SIN-group and suppose that G satisfies one (and hence all) of the conditions of Theorem 4.2. Then there exists a compact normal subgroup K of G such that*

$$\widehat{G/K} = \{\pi \in \widehat{G}: M_U(\pi) < \infty\} = \{\pi \in \widehat{G}: M_L(\pi) < \infty\} = \{\pi \in \widehat{G}: d_\pi < \infty\}.$$

Proof. We know that G/G_F is finite and C , the closure of the commutator subgroup of G_F , is compact. We define a normal subgroup K of G by

$$K = \{x \in G: \rho(x) = 1 \text{ for all } \rho \in \widehat{G} \text{ such that } d_\rho < \infty\}.$$

Then $K \subseteq C$ since G/C is a Moore group. By definition of K , G_F/K is a maximally almost periodic group with relatively compact commutator subgroup. As such, G_F/K is a Moore group [17] and hence so is G/K . Thus

$$\widehat{G/K} = \{\pi \in \widehat{G}: d_\pi < \infty\}.$$

From Theorem 2.1 we know that $M_U(\pi) < \infty$ for every $\pi \in \widehat{G/K}$. Note that, as in the proof of Theorem 4.2, $M_U(\pi)$ is the same relative to $C^*(G/K)$ as relative to $C^*(G)$. To complete the proof of the theorem it remains to show that $M_L(\pi) = \infty$ for every $\pi \in \widehat{G} \setminus \widehat{G/K}$.

Suppose $\pi \in \widehat{G}$ is such that $M_L(\pi) < \infty$. Notice that $\{\pi\}$ cannot be open in \widehat{G} since G is non-compact. It follows that $\pi(C^*(G)) \supseteq \mathcal{K}(\mathcal{H}_\pi)$. Now, G being a finite extension of a group with relatively compact commutator subgroup, $C^*(G)$ has a T_1 primitive ideal space [7], [15]. Hence $\pi(C^*(G))$ is simple, whence $\pi(C^*(G)) =$

$\mathcal{K}(\mathcal{H}_\pi)$. Since G is a SIN-group, $L^1(G)$ (and hence $C^*(G)$) has a central approximate identity F . Thus, for every $f \in F$, $\pi(f)$ is compact and $\pi(f) \in \widehat{\mathbb{C}} \cdot 1$ since π is irreducible. This forces π to be finite dimensional, so that $\pi \in \widehat{G/K}$. \square

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