

# CUBIC FIELDS WHOSE CLASS NUMBERS ARE NOT DIVISIBLE BY 3

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## 1. Main results

In this paper we describe a procedure for finding the discriminants of all cubic extensions  $L$  of the rational numbers  $\mathbf{Q}$  such that  $3 \nmid h_L$ , where  $h_L$  is the class number of  $L$ . We first consider the case where  $L/\mathbf{Q}$  is Galois. In this case  $L/\mathbf{Q}$  is a cyclic cubic extension, and the following result is well known (cf. [4, Theorem 1 and Corollary to Theorem 4]).

**THEOREM 1.** *For  $D = 9^2$  and  $D = p^2$ , where  $p$  is any rational prime  $\equiv 1 \pmod{3}$ , there is a unique cyclic cubic extension  $L/\mathbf{Q}$  whose discriminant is  $D$ . These fields are the only cyclic cubic extensions of  $\mathbf{Q}$  whose class numbers are not divisible by 3.*

We now consider the case where  $L/\mathbf{Q}$  is not Galois. We let  $K$  denote the normal closure of  $L/\mathbf{Q}$ , and we let  $F$  be the quadratic subfield of  $K$ . We let  $D$  denote the discriminant of  $L/\mathbf{Q}$ . The following results are known (cf. [3] and [6]).

**LEMMA 1.**  *$D = df^2$ , where  $d$  and  $f$  are rational integers,  $d$  is the discriminant of  $F/\mathbf{Q}$ , and  $f$  is the conductor of the cyclic cubic extension  $K/F$ . Furthermore, if  $p$  is a rational prime dividing  $f$  and  $p \neq 3$ , then  $p$  decomposes in  $F/\mathbf{Q}$  if  $p \equiv 1 \pmod{3}$ , and  $p$  is inert in  $F/\mathbf{Q}$  if  $p \equiv -1 \pmod{3}$ . Also  $p^2 \nmid f$  for any rational prime  $p \neq 3$ , and  $3^3 \nmid f$ .*

We now specify all non-Galois cubic extensions  $L/\mathbf{Q}$  such that  $3 \nmid h_L$ .

**THEOREM 2.** *Let  $F$  be a quadratic extension of  $\mathbf{Q}$  with discriminant  $d$ . Let  $S_F$  denote the 3-class group of  $F$ . In each part below, we give the discriminants  $D$  of the non-Galois cubic extensions  $L/\mathbf{Q}$  such that  $F$  is contained in the normal closure of  $L/\mathbf{Q}$  and  $3 \nmid h_L$ , where  $h_L$  is the class number of  $L$ . Unless otherwise indicated, there is a unique  $L$  (up to conjugacy) with the specified discriminant  $D$ .*

- (a)  $S_F$  is not cyclic. Then no such  $L$  exists.
- (b)  $S_F \neq \{1\}$  but  $S_F$  is cyclic. Then  $L$  has discriminant  $D = d$ .
- (c)  $S_F = \{1\}$ . Let  $A$  be the set of rational primes  $\equiv -1 \pmod{3}$  which are inert in  $F$ . Let  $e$  be a primitive cube root of unity if  $d = -3$ ; let  $e$  be the fundamental unit of  $F$  when  $d > 0$ ; and let  $e = 1$  otherwise. Let

$$A_1 = \{p \in A \mid e \text{ is a cubic residue (mod } p\mathcal{O}_F)\},$$

where  $\mathcal{O}_F$  is the ring of integers of  $F$ , and let

$$A_2 = \{p \in A \mid e \text{ is not a cubic residue (mod } p\mathcal{O}_F)\}.$$

(Note that  $A_1 = A$  and  $A_2$  is empty when  $e = 1$ .) If  $d \equiv -1 \pmod{3}$ , let  $B = \{3\}$  if  $e$  is a cubic residue (mod  $9\mathcal{O}_F$ ), and let  $B$  be empty if  $e$  is not a cubic residue (mod  $9\mathcal{O}_F$ ). If  $d \equiv \pm 3 \pmod{9}$ , let  $B = \{3\}$  if  $e$  is a cubic residue (mod  $3\mathcal{O}_F$ ), and let  $B$  be empty if  $e$  is not a cubic residue (mod  $3\mathcal{O}_F$ ). Then the  $L$  such that  $3 \nmid h_L$  have the following discriminants:

- (i)  $D = dp^2$  where  $p$  is any element of  $A_1$ ;
- (ii)  $D = dp_1^2 p_2^2$  where  $p_1$  and  $p_2$  are any distinct elements of  $A_2$ ;
- (iii)  $D = d \cdot 9^2$  if  $d \equiv -1 \pmod{3}$  and  $3 \in B$ ;
- (iv)  $D = d \cdot 9^2 \cdot p^2$  if  $d \equiv -1 \pmod{3}$ ,  $3 \notin B$ , and  $p$  is any element of  $A_2$ ;
- (v)  $D = d \cdot 3^2$  if  $d \equiv 3 \pmod{9}$  and  $3 \in B$ ;
- (vi)  $D = d \cdot 3^2 \cdot p^2$  if  $d \equiv 3 \pmod{9}$ ,  $3 \notin B$ , and  $p$  is any element of  $A_2$ ;
- (vii)  $D = d \cdot 3^2$  if  $d \equiv -3 \pmod{9}$  and  $3 \in B$ ;
- (viii)  $D = d \cdot 3^2 \cdot p^2$  if  $d \equiv -3 \pmod{9}$ ,  $3 \notin B$ , and  $p$  is any element of  $A_2$ ;
- (ix)  $D = d \cdot 9^2$  (for three nonconjugate  $L$ ) if  $d \equiv -3 \pmod{9}$  and  $3 \in B$ ;
- (x)  $D = d \cdot 9^2$  if  $d \equiv -3 \pmod{9}$  and  $3 \notin B$ ;
- (xi)  $D = d \cdot 9^2 \cdot p^2$  (for two nonconjugate  $L$ ) if  $d \equiv -3 \pmod{9}$ ,  $3 \notin B$ , and  $p$  is any element of  $A_2$ .

*Remark.* Assume  $d = -3$ . Then  $e$  is not a cubic residue (mod  $3\mathcal{O}_F$ ). Furthermore  $e$  is a cubic residue (mod  $p\mathcal{O}_F$ ) if  $p \equiv 8 \pmod{9}$ , and  $e$  is not a cubic residue (mod  $p\mathcal{O}_F$ ) if  $p \equiv 2$  or  $5 \pmod{9}$ . Then it is easy to see that our results in Theorem 2 agree with the results in [5] for the case  $d = -3$ .

In Sections 2 and 3, we shall prove Theorem 2.

### 2. Necessary conditions for $D$

We let  $L$  be a non-Galois cubic extension of  $\mathbf{Q}$ ,  $K$  the normal closure of  $L$ , and  $F$  the quadratic subfield of  $K$ . We first prove the following lemma (cf. [1, Lemmas 4.7 and 4.8]).

**LEMMA 2.** *If  $p$  is a rational prime which ramifies totally in  $L/\mathbf{Q}$  and decomposes in  $F/\mathbf{Q}$ , then  $3 \mid h_L$ , where  $h_L$  is the class number of  $L$ .*

*Proof.* By Lemma 1, either  $p = 3$  or  $p \equiv 1 \pmod{3}$ . Let  $M/\mathbf{Q}$  be the cyclic cubic extension with discriminant  $9^2$  if  $p = 3$  and with discriminant  $p^2$  if  $p \equiv 1 \pmod{3}$ . Then  $M \cdot L$  is a cyclic cubic extension of  $L$ . We shall show that  $M \cdot L$  is unramified over  $L$ , and hence  $3 \mid h_L$  by class field theory. Let  $\mathfrak{p}$  be the unique prime of  $L$  above  $p$ . Since only  $p$  ramifies in  $M/\mathbf{Q}$ , it suffices to show that  $\mathfrak{p}$  is unramified in  $M \cdot L/L$ . Let  $\mathbf{Q}_p$  denote the field of  $p$ -adic numbers, and let  $L_{\mathfrak{p}} = L \cdot \mathbf{Q}_p$ . Since  $p$  decomposes in  $F/\mathbf{Q}$ , then  $F \cdot \mathbf{Q}_p = \mathbf{Q}_p$ , and hence  $L_{\mathfrak{p}} = L \cdot F \cdot \mathbf{Q}_p = K \cdot \mathbf{Q}_p$ . Since  $K/F$  is a cyclic cubic extension in which the primes above  $p$  ramify, then  $L_{\mathfrak{p}}/\mathbf{Q}_p$  is a cyclic cubic extension in which  $p$  ramifies.

Let  $M_{\mathfrak{p}} = M \cdot \mathbf{Q}_p$ . Then  $M_{\mathfrak{p}}/\mathbf{Q}_p$  is also a cyclic cubic extension in which  $p$  ramifies. Now if  $\mathfrak{p}$  ramifies in  $M \cdot L/L$ , then  $M_{\mathfrak{p}} \cdot L_p$  is a totally ramified extension of  $\mathbf{Q}_p$  with Galois group isomorphic to  $\mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z}$ . By local class field theory there is no such extension. Hence  $\mathfrak{p}$  must be unramified in  $M \cdot L/L$ , and then  $3 \mid h_L$ .

The following result is proved in [2, Theorem 3.5].

LEMMA 3. *Let  $S_L$  (resp.  $S_F$ ) denote the 3-class group of  $L$  (resp.  $F$ ). Then*

$$\text{rank } S_L = r + t - 1 - z - w$$

where  $r = \text{rank } S_F$ ,  $t = \text{number of ramified primes in } K/F$ ,

$$z = \text{rank of a certain subgroup of } S_F/S_F^3,$$

$$w = \text{rank of a certain matrix of norm residue symbols.}$$

Also  $0 \leq z \leq \min(r, u)$ , where  $u$  is the number of rational primes which ramify totally in  $L/\mathbf{Q}$  and decompose in  $F/\mathbf{Q}$ . Furthermore, the matrix has  $t - 1$  rows and  $r + u - z + 1$  columns.

Note. In Lemma 3, the rank of an abelian 3-group  $S$  (e.g.,  $\text{rank } S_L, \text{rank } S_F$ ) is defined as follows:  $\text{rank } S = \dim_{\mathbf{F}_3} (S/S^3)$ , where  $\mathbf{F}_3$  is the finite field of 3 elements. This rank is also called the 3-rank of  $S$ .

Remark.  $w = 0$  if  $t \leq 1$ .

Now assume  $3 \nmid h_L$ . By Lemma 2,  $u = 0$ . Hence  $z = 0$  in Lemma 3. Then from Lemma 3, we get

$$\text{rank } S_L = r + t - 1 - w \tag{1}$$

where  $w$  is the rank of a certain matrix with  $t - 1$  rows and  $r + 1$  columns. We first suppose that  $r > 0$ . If we also suppose that  $t > 0$ , then  $w \leq t - 1$ , and Equation 1 implies

$$\text{rank } S_L = r + t - 1 - w \geq r > 0,$$

which contradicts  $3 \nmid h_L$ . So we cannot have  $3 \nmid h_L$  if both  $r > 0$  and  $t > 0$ . Next we suppose  $r > 0$  and  $t = 0$ . Then  $w = 0$ , and  $\text{rank } S_L = r - 1$ . So  $3 \nmid h_L$  if and only if  $r = 1$ . Hence when  $r > 0$  (which means  $S_F \neq \{1\}$ ), we have proved that  $3 \nmid h_L$  if and only if  $r = 1$  (which means  $S_F$  is cyclic but  $S_F \neq \{1\}$ ) and  $t = 0$  (which means that  $K/F$  is unramified, and hence the discriminant of  $L$  is  $D = d \cdot 1^2 = d$ ). This proves Theorem 2 (a-b), provided there exists a unique (up to conjugacy) non-Galois cubic field with discriminant  $D = d$  when  $S_F$  is cyclic but  $S_F \neq \{1\}$ . Now by class field theory, when  $S_F$  is cyclic and  $S_F \neq \{1\}$ , there is a unique cyclic cubic unramified extension  $K$  of  $F$ , and  $K/\mathbf{Q}$  is Galois with Galois group isomorphic to the symmetric group on three letters.  $K$  contains three conjugate subfields of degree 3 over  $\mathbf{Q}$ , and each has discriminant  $D = d$ . Hence there exists a non-Galois cubic extension  $L$  of  $\mathbf{Q}$  with discriminant  $D = d$ , and  $L$  is unique up to conjugacy.

We must still prove Theorem 2 (c)(i-xi). So we suppose  $S_F = \{1\}$ , which means  $r = 0$ . By class field theory  $r = 0$  implies that  $K/F$  cannot be unramified, and hence  $t \geq 1$ . Then from Equation 1,

$$\text{rank } S_L = t - 1 - w$$

where  $w$  is the rank of a  $(t - 1) \times 1$  matrix. So  $w = 0$  or  $1$ . Then  $3 \nmid h_L$  if and only if  $t = 1$  and  $w = 0$ , or  $t = 2$  and  $w = 1$ . Let  $e$  be defined as in Theorem 2 (c). Then by [2, Corollary 3.7],  $w = 0$  if  $e$  is a local norm at each prime of  $F$  which ramifies in  $K$ , and  $w = 1$  otherwise. We note that  $t = 1$  implies  $w = 0$  by the product formula for norm residue symbols, and if  $t = 2$ , the product formula implies that  $e$  is a local norm at both of the ramified primes of  $K/F$  or at neither of them. Furthermore, if  $3 \nmid h_L$ , then Lemmas 1 and 2 imply that the primes of  $F$  which ramify in  $K$  must be either rational primes  $p \equiv -1 \pmod{3}$ ,  $3$  (if  $3$  is inert in  $F/\mathbf{Q}$ ), or the unique prime of  $F$  above  $3$  if  $3$  ramifies in  $F/\mathbf{Q}$ . Also it is easy to see that  $e$  is a local norm at a prime  $p \equiv -1 \pmod{3}$  if and only if  $e$  is a cubic residue  $\pmod{p\mathcal{O}_F}$ . Correlating the above results for the case where  $S_F = \{1\}$ , we obtain the following restrictions for the discriminants  $D$  of the non-Galois cubic fields  $L/\mathbf{Q}$  such that  $3 \nmid h_L$ .

LEMMA 4. *Let notations be as in Theorem 2. If  $S_F = \{1\}$ , then  $3 \nmid h_L$  if and only if the discriminant  $D$  of  $L$  has one of the following forms:*

- (i)  $D = dp^2$  with  $p \in A_1$ ;
- (ii)  $D = d \cdot 3^2$  or  $d \cdot 9^2$ ;
- (iii)  $D = dp_1^2 p_2^2$  with  $p_1$  and  $p_2$  distinct elements of  $A_2$ ;
- (iv)  $D = d \cdot 3^2 \cdot p^2$  or  $d \cdot 9^2 \cdot p^2$  with  $p \in A_2$ .

Remark.  $D$  is restricted to  $dp^2$ ,  $d \cdot 3^2$ , and  $d \cdot 9^2$  when  $t = 1$  (and  $w = 0$ ), and  $D$  is restricted to  $dp_1^2 p_2^2$ ,  $d \cdot 3^2 \cdot p^2$ , and  $d \cdot 9^2 \cdot p^2$  when  $t = 2$  and  $w = 1$ . However we have not proved that there exists an  $L$  for each of the possible values of  $D$ ; what we have proved is that if there is an  $L$  with discriminant  $D$ , then  $3 \nmid h_L$  if and only if  $D$  has one of the above forms. In the next section we determine for which of the possible values of  $D$  there exists an  $L$  with discriminant  $D$ .

### 3. Completion of proof of Theorem 2(c)

We first review some results on ideal class groups. Let  $F$  be a finite extension field of  $\mathbf{Q}$ , and let  $\mathfrak{m}$  be an integral ideal of  $F$ . Let  $I(\mathfrak{m})$  denote the group of all fractional ideals of  $F$  which are relatively prime to  $\mathfrak{m}$ , and let

$$P(\mathfrak{m}) = \{\alpha\mathcal{O}_F \mid \alpha \in F^\times \text{ and } \alpha \equiv 1 \pmod{\mathfrak{m}^*}\},$$

where  $\mathcal{O}_F$  is the ring of integers of  $F$ ,  $F^\times = F - \{0\}$ , and “ $\alpha \equiv 1 \pmod{\mathfrak{m}^*}$ ” means “for every prime  $\mathfrak{p} \mid \mathfrak{m}$ ,  $\alpha$  is a  $\mathfrak{p}$ -unit and  $\alpha \equiv 1 \pmod{\mathfrak{m}_\mathfrak{p}}$  in the  $\mathfrak{p}$  completion of  $F$ ”. (When dealing with integral elements of  $F$ , we shall usually write  $\text{mod } \mathfrak{m}$  instead of  $\text{mod } \mathfrak{m}^*$ .) For  $\mathfrak{m} = \mathcal{O}_F$ , we let  $I$  denote  $I(\mathcal{O}_F)$  and  $P$  denote  $P(\mathcal{O}_F)$ . Then  $I/P$  is the ideal class group, and for arbitrary integral ideals  $\mathfrak{m}$  of  $F$ ,

$I(\mathfrak{m})/P(\mathfrak{m})$  is called the ideal class group modulo  $\mathfrak{m}$ . For a given  $\mathfrak{m}$ , it is known that each element of  $I/P$  can be represented by an ideal which is prime to  $\mathfrak{m}$ ; hence there is a natural surjection  $\psi: I(\mathfrak{m})/P(\mathfrak{m}) \rightarrow I/P$ . The kernel of  $\psi$  is  $(I(\mathfrak{m}) \cap P)/P(\mathfrak{m})$ . Let  $\alpha_1, \dots, \alpha_s \in \mathcal{O}_F$  be a set of representatives for  $(\mathcal{O}_F/\mathfrak{m})^x$ , where  $(\mathcal{O}_F/\mathfrak{m})^x$  denotes the group of invertible elements of  $\mathcal{O}_F/\mathfrak{m}$ . Then  $(\alpha_i) \in I(\mathfrak{m}) \cap P$  for each  $i$ , where  $(\alpha_i)$  denotes  $\alpha_i \mathcal{O}_F$ . If  $\beta_1, \dots, \beta_s$  is another set of representatives for  $(\mathcal{O}_F/\mathfrak{m})^x$  with  $\beta_i \equiv \alpha_i \pmod{\mathfrak{m}}$  for each  $i$ , then  $(\beta_i \alpha_i^{-1}) \in P(\mathfrak{m})$ . So the image of  $(\beta_i)$  in  $(I(\mathfrak{m}) \cap P)/P(\mathfrak{m})$  is the same as the image of  $(\alpha_i)$  in  $(I(\mathfrak{m}) \cap P)/P(\mathfrak{m})$ . So there is a well-defined map

$$\lambda: (\mathcal{O}_F/\mathfrak{m})^x \rightarrow (I(\mathfrak{m}) \cap P)/P(\mathfrak{m}).$$

It is easy to see that  $\lambda$  is surjective. Now  $(\alpha_i) \in P(\mathfrak{m})$  if and only if  $\alpha_i \varepsilon \equiv 1 \pmod{\mathfrak{m}}$  for some unit  $\varepsilon$  of  $F$  if and only if  $\alpha_i \equiv \varepsilon^{-1} \pmod{\mathfrak{m}}$  for some unit  $\varepsilon$  of  $F$ . So kernel  $\lambda \cong E/E_{\mathfrak{m}}$ , where  $E$  is the group of units of  $F$ , and  $E_{\mathfrak{m}} = \{\varepsilon \in E \mid \varepsilon \equiv 1 \pmod{\mathfrak{m}}\}$ . From the exact sequences

$$1 \longrightarrow (I(\mathfrak{m}) \cap P)/P(\mathfrak{m}) \longrightarrow I(\mathfrak{m})/P(\mathfrak{m}) \xrightarrow{\psi} I/P \longrightarrow 1$$

and

$$1 \longrightarrow E/E_{\mathfrak{m}} \rightarrow (\mathcal{O}_F/\mathfrak{m})^x \xrightarrow{\lambda} (I(\mathfrak{m}) \cap P)/P(\mathfrak{m}) \longrightarrow 1$$

we get the exact sequence

$$1 \rightarrow (\mathcal{O}_F/\mathfrak{m})^x/(E/E_{\mathfrak{m}}) \rightarrow I(\mathfrak{m})/P(\mathfrak{m}) \rightarrow I/P \rightarrow 1. \tag{2}$$

We now return to the case where  $F$  is quadratic with discriminant  $d$ , and the 3-class group  $S_F = \{1\}$ . We want to find all non-Galois cubic fields  $L/\mathbf{Q}$  with discriminants  $df^2$ , where  $f$  is a rational integer, such that  $3 \nmid h_L$ , where  $h_L$  is the class number of  $L$ . Let  $C(\mathfrak{m}) = I(\mathfrak{m})/P(\mathfrak{m})$ , and let  $\sigma$  be the generator of  $G = \text{Gal}(F/\mathbf{Q})$ . If we assume  $\mathfrak{m}^\sigma = \mathfrak{m}$ , then  $C(\mathfrak{m})$  is a  $G$ -module. Let  $S(\mathfrak{m}) = C(\mathfrak{m})/(C(\mathfrak{m}))^3$ . Then  $S(\mathfrak{m})$  is a  $G$ -module, and it is straightforward to check that

$$S(\mathfrak{m}) \cong S(\mathfrak{m})^+ \times S(\mathfrak{m})^-$$

where  $S(\mathfrak{m})^+ = \{a \in S(\mathfrak{m}) \mid a^\sigma = a\}$  and  $S(\mathfrak{m})^- = \{a \in S(\mathfrak{m}) \mid a^\sigma = a^{-1}\}$ . By class field theory  $S(\mathfrak{m})$  is isomorphic to the Galois group of the abelian extension  $M$  of  $F$  of exponent 3 which is the composition of all cyclic cubic extensions of  $F$  whose conductors divide  $\mathfrak{m}$ . Let  $M^+$  be the compositum of  $F$  and all cyclic cubic extensions of  $\mathbf{Q}$  contained in  $M$ . Let  $M^-$  be the compositum of the normal closures  $K$  of all non-Galois cubic extensions  $L$  of  $\mathbf{Q}$  that are contained in  $M$ . Then  $(M^+/F) \cong S(\mathfrak{m})^+$ , and  $\text{Gal}(M^-/F) \cong S(\mathfrak{m})^-$ .

Our goal is to consider the  $\mathfrak{m}$  which are associated with the discriminants in Lemma 4 and determine when  $S(\mathfrak{m})^- \neq \{1\}$ . From Lemma 4, we see that we need to consider the following  $\mathfrak{m}$ :

$$\begin{aligned} \mathfrak{m} &= (p) \text{ with } p \in A_1, & \mathfrak{m} &= (3) \text{ and } (9), \\ \mathfrak{m} &= (p_1 p_2) \text{ with } p_1 \text{ and } p_2 \text{ distinct elements of } A_2, \\ \mathfrak{m} &= (3p) \text{ and } (9p) \text{ with } p \in A_2. \end{aligned}$$

We note that  $m^\sigma = m$  for all of these  $m$ , where  $\sigma$  is the generator of  $\text{Gal}(F/\mathbf{Q})$ . Hence the results of this section apply to these values of  $m$ . To determine when  $S(m)^- \neq \{1\}$ , we shall exploit the exact sequence (2). We let  $Y(m) = (\mathcal{O}_F/m)^x / (E/E_m)$  and  $T(m) = Y(m)/(Y(m))^3$ . Since the 3-class group of  $F$  is trivial by assumption, the exact sequence (2) implies  $S(m) \cong T(m)$ .

We first consider  $m = (p)$  with  $p \in A_1$ . Let  $e$  be defined as in Theorem 2. We note that  $(\mathcal{O}_F/(p))^x$  is a cyclic group of order  $p^2 - 1$  and  $3 \mid (p^2 - 1)$ . Also  $e$  is a cubic residue mod  $(p)$  since  $p \in A_1$ . Hence  $S(p) \cong T(p) \cong \mathbf{Z}/3\mathbf{Z}$ . Also  $S(p)^+ = \{1\}$  since there is no cyclic cubic extension of  $\mathbf{Q}$  with conductor  $p$  for  $p \in A_1$ . So  $S(p)^- \cong S(p) \cong \mathbf{Z}/3\mathbf{Z}$ . This implies that there is a unique (up to conjugacy) non-Galois cubic field  $L$  with discriminant  $dp^2$ . This fact and Lemma 4(i) imply Theorem 2(c)(i).

Next we consider  $m = (p_1p_2)$  with  $p_1$  and  $p_2$  distinct elements of  $A_2$ . Then  $(\mathcal{O}_F/(p_1p_2))^x$  is the product of cyclic groups of order  $p_1^2 - 1$  and  $p_2^2 - 1$  with  $3 \mid (p_1^2 - 1)$  and  $3 \mid (p_2^2 - 1)$ . Also  $e$  is not a cubic residue mod  $(p_1p_2)$  since  $p_1, p_2 \in A_2$ . It is then easy to see that  $S(p_1p_2) \cong \mathbf{Z}/3\mathbf{Z}$ . Since there is no cyclic cubic extension of  $\mathbf{Q}$  with conductor  $p_1p_2$  for  $p_1, p_2 \in A_2$ , then

$$S(p_1p_2)^+ = \{1\} \quad \text{and} \quad S(p_1p_2)^- \cong S(p_1p_2) \cong \mathbf{Z}/3\mathbf{Z}.$$

So there is a unique (up to conjugacy) non-Galois cubic field  $L$  with discriminant  $dp_1^2p_2^2$ . This fact and Lemma 4(iii) imply Theorem 2(c)(ii).

In the remaining cases  $(3) \mid m$ . We first note that we do not need any cases where  $d \equiv 1 \pmod{3}$ , since then 3 would decompose in  $F$  and would ramify totally in  $L$ , and hence 3 would divide  $h_L$  by Lemma 2.

We now consider  $d \equiv -1 \pmod{3}$ . Then 3 is inert in  $F$ . For  $m = (3)$ ,  $(\mathcal{O}_F/(3))^x$  is a cyclic group of order 8, and hence  $S(3)$  is trivial. Furthermore  $S(3p) = \{1\}$  for  $p \in A_2$ . Now let  $m = (9)$ . Then

$$S(9) \cong T(9) \cong \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z} \quad \text{or} \quad \mathbf{Z}/3\mathbf{Z},$$

according as  $e$  is a cubic residue mod (9) or not. We note that  $S(9)^+ \cong \mathbf{Z}/3\mathbf{Z}$  since there is a unique cyclic cubic extension of  $\mathbf{Q}$  with conductor 9. So  $S(9)^- \cong \mathbf{Z}/3\mathbf{Z}$  or  $\{1\}$ , according as  $e$  is a cubic residue mod (9) or not. In the notation of Theorem 2,  $S(9)^- \cong \mathbf{Z}/3\mathbf{Z}$  or  $\{1\}$ , according as  $3 \in B$  or  $3 \notin B$ . So when  $3 \in B$ , there is a unique (up to conjugacy) non-Galois cubic field  $L$  with discriminant  $d \cdot 9^2$ . When  $3 \notin B$ , it can be checked that  $S(9p)^- \cong \mathbf{Z}/3\mathbf{Z}$  if  $p \in A_2$ . Hence when  $3 \notin B$  and  $p \in A_2$ , there is a unique (up to conjugacy) non-Galois cubic field  $L$  with discriminant  $d \cdot 9^2 \cdot p^2$ . When  $3 \in B$  and  $p \in A_2$ , it is also true that  $S(9p)^- \cong \mathbf{Z}/3\mathbf{Z}$ . However, since  $S(9)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \in B$ , the cubic extension associated with  $S(9p)^-$  is the one associated with  $S(9)^-$ . So no new cubic field occurs in this case. The results of this paragraph and Lemma 4(ii and iv) imply Theorem 2(c)(iii-iv).

Now we consider  $d \equiv 3 \pmod{9}$ . In this case  $S(3) \cong \mathbf{Z}/3\mathbf{Z}$  or  $\{1\}$ , according as  $e$  is a cubic residue mod (3) or not, according as  $3 \in B$  or  $3 \notin B$  (using the notation of Theorem 2). Since there is no cyclic cubic extension of  $\mathbf{Q}$  with

conductor 3, then  $S(3)^- \cong \mathbf{Z}/3\mathbf{Z}$  or  $\{1\}$ , according as  $3 \in B$  or  $3 \notin B$ . So there is a unique (up to conjugacy) non-Galois cubic field  $L$  with discriminant  $d \cdot 3^2$  when  $3 \in B$ . If  $3 \notin B$ , then it can be checked that  $S(3p)^- \cong \mathbf{Z}/3\mathbf{Z}$  if  $p \in A_2$ , and hence there is a unique (up to conjugacy) non-Galois cubic field with discriminant  $d \cdot 3^2 \cdot p^2$ . When  $3 \in B$  and  $p \in A_2$ , then  $S(3p)^- \cong \mathbf{Z}/3\mathbf{Z}$ . However  $S(3)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \in B$ , and hence no new cubic field is associated with  $S(3p)^-$ . Next we consider  $m = (9)$ . We note that the Sylow 3-subgroup of  $(\mathcal{O}_F(9))^x$  is isomorphic to  $\mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/9\mathbf{Z}$ . So

$$S(9) \cong \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z} \quad \text{or} \quad \mathbf{Z}/3\mathbf{Z}.$$

If  $3 \in B$ , then  $S(9) \cong \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z}$  with  $S(9)^+ \cong \mathbf{Z}/3\mathbf{Z}$  (since there is a unique cyclic cubic extension of  $\mathbf{Q}$  with conductor 9) and  $S(9)^- \cong \mathbf{Z}/3\mathbf{Z}$ . However, since  $S(3)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \in B$ , no new cubic field is associated with  $S(9)^-$ . When  $3 \notin B$ , it can be checked that  $S(9) \cong S(9)^+ \cong \mathbf{Z}/3\mathbf{Z}$  and  $S(9)^- \cong \{1\}$ . If  $p \in A_2$ , then  $S(9p)^- \cong \mathbf{Z}/3\mathbf{Z}$ . However no new cubic field occurs because  $S(3)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \in B$ , and  $S(3p)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \notin B$ . The results of this paragraph and Lemma 4(ii and iv) imply Theorem 2 (c) (v-vi).

Finally we let  $d \equiv -3 \pmod{9}$ . Then  $S(3)^+ = \{1\}$ , and  $S(3)^- \cong S(3) \cong \mathbf{Z}/3\mathbf{Z}$  or  $\{1\}$ , according as  $3 \in B$  or  $3 \notin B$ . So when  $3 \in B$ , there is a unique (up to conjugacy) non-Galois cubic field with discriminant  $d \cdot 3^2$ . It can be checked that  $S(3p)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \notin B$  and  $p \in A_2$ , and hence there is a unique (up to conjugacy) non-Galois cubic field with discriminant  $d \cdot 3^2 \cdot p^2$ . Also  $S(3p)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \in B$  and  $p \in A_2$ , but no new cubic field occurs since  $S(3)^- \cong \mathbf{Z}/3\mathbf{Z}$  when  $3 \in B$ . We now take  $m = 9$ . The Sylow 3-subgroup of  $(\mathcal{O}_F(9))^x$  is isomorphic to

$$\mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z}.$$

Then  $S(9)^+ \cong \mathbf{Z}/3\mathbf{Z}$ , and  $S(9)^- \cong \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z}$  or  $\mathbf{Z}/3\mathbf{Z}$ , according as  $3 \in B$  or  $3 \notin B$ . When  $S(9)^- \cong \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z}$  (i.e.,  $3 \in B$ ), there are four non-conjugate non-Galois cubic fields associated with  $S(9)^-$ . One of them is the cubic field associated with  $S(3)^-$ . So there are three non-conjugate non-Galois cubic fields with discriminant  $d \cdot 9^2$  when  $3 \in B$ . If  $3 \notin B$ , then  $S(9)^- \cong \mathbf{Z}/3\mathbf{Z}$ , and hence there is a unique (up to conjugacy) non-Galois cubic field with discriminant  $d \cdot 9^2$ . If  $3 \notin B$  and  $p \in A_2$ , then it can be checked that  $S(9p)^- \cong \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z}$ . So there are four nonconjugate non-Galois cubic fields associated with  $S(9p)^-$ . One of these is associated with  $S(3p)^-$  and another with  $S(9)^-$ . So there are two nonconjugate non-Galois cubic fields with discriminant  $d \cdot 9^2 \cdot p^2$  when  $3 \notin B$  and  $p \in A_2$ . For  $3 \in B$  and  $p \in A_2$ ,  $S(9p)^- \cong \mathbf{Z}/3\mathbf{Z} \oplus \mathbf{Z}/3\mathbf{Z} \cong S(9)^-$ . So no new cubic fields occur in this case. The results of this paragraph and Lemma 4 (ii and iv) imply Theorem 2 (c) (vii-xi).

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