

# A THEOREM ON MODULAR ENDOMORPHISM RINGS

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In memory of Irving Reiner

## 1. Introduction

The object of this note is to present a new version<sup>1</sup> (Theorem (4.2)) of R. Brauer's well-known "reciprocity theorem" for modular decomposition numbers [2, p. 257], [4, p. 434], and to show its application to a theorem of G.D. James (see Section 5).

Let  $R$  be a complete discrete valuation ring with quotient field  $K$ , maximal ideal  $\pi R$ , and residue class field  $F = R/\pi R$ . Both  $K$  and  $F$  can be regarded as  $R$ -modules. If  $k$  is one of  $K$ ,  $F$ , and if  $M$  is any object which (like  $\Lambda$  and  $X$ , see below) is a free, finitely-generated  $R$ -module, we shall write  $kM$  for the  $k$ -space  $k \otimes_R M$ , and  $\theta_k: M \rightarrow kM$  for the  $R$ -map which takes  $m \rightarrow 1_k \otimes m$  ( $m \in M$ ). The map  $\theta_K$  is injective, and may be used to identify  $M$  with a sub- $R$ -module of  $kM$ . The map  $\theta_F$  is surjective and has kernel  $\pi M$ ; hence  $FM \cong M/\pi M$ . It is clear that

$$(1.1) \quad \dim_K KM = \dim_F FM,$$

both sides of (1.1) being equal to the  $R$ -rank of  $M$ .

Now let  $\Lambda$  be an  $R$ -order, i.e.,  $\Lambda$  is an  $R$ -algebra with 1, which is free and finitely-generated as  $R$ -module. Then  $k\Lambda$  is naturally a  $k$ -algebra ( $k \in \{K, F\}$ );  $\Lambda$  is usually regarded as a subring of  $K\Lambda$  via  $\theta_K: \Lambda \rightarrow K\Lambda$ . A (left)  $\Lambda$ -lattice is, by definition, a (left)  $\Lambda$ -module  $X$  which is free and finitely-generated as  $R$ -module. Then  $kX$  is naturally a finitely-generated (left)  $k\Lambda$ -module.

We shall need the following notation and terminology.

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<sup>1</sup>In the original version of this paper, Theorem (4.2) was described as a "generalization" of Brauer's theorem. However, E.C. Dade has kindly pointed out that Theorem (4.2) is deducible from Brauer's formula (1.3). I am indebted to Professor Dade for permission to use his proof of Theorem (4.2), which is shorter than mine.

*Notation.* If  $X, Y$  are  $\Lambda$ -lattices, then  $(Y, X)_R, (Y, X)_\Lambda, E(Y)$  denote  $\text{Hom}_R(Y, X), \text{Hom}_\Lambda(Y, X), \text{End}_\Lambda(Y)$ , respectively. If  $k \in \{K, F\}$  and if  $X', Y'$  are  $k\Lambda$ -modules, then  $(Y', X')_k, (Y', X')_{k\Lambda}, E(Y')$  denote  $\text{Hom}_k(Y', X'), \text{Hom}_{k\Lambda}(Y', X'), \text{End}_{k\Lambda}(Y')$ , respectively; also  $e(Y') := \dim_k E(Y')$ .

*Components.* A  $\Lambda$ -lattice  $Y_1$  is said to be a *component* of  $Y$ , if it is isomorphic to a direct  $\Lambda$ -summand of  $Y$ . A similar definition holds for components of  $k\Lambda$ -modules.

*R-forms.* If  $\mathbf{X}$  is any finitely-generated  $K\Lambda$ -module, it is always possible to find a  $\Lambda$ -lattice  $X$  such that  $KX \cong \mathbf{X}$  as  $K\Lambda$ -modules; such a  $\Lambda$ -lattice  $X$  is called an *R-form* of  $\mathbf{X}$ . (See [4], pp. 409, 410, or [12], p. 55. If  $X$  is contained in  $\mathbf{X}$ , Curtis and Reiner call it a *full  $\Lambda$ -lattice* in  $\mathbf{X}$ .)

From now on we assume that  $K\Lambda$  is a semisimple  $K$ -algebra. Let  $\mathbf{X}_1, \dots, \mathbf{X}_t$  be a full set of simple (= irreducible) left  $K\Lambda$ -modules, and let  $E_1, \dots, E_a$  be a full set of simple left  $F\Lambda$ -modules. Take fixed suffices  $i \in \{1, \dots, t\}$ ,  $\alpha \in \{1, \dots, a\}$ . We choose an *R-form*  $X_i$  of  $\mathbf{X}_i$ , and an indecomposable component  $\Lambda_\alpha$  of the left  $\Lambda$ -lattice  ${}_\Lambda\Lambda$  which covers  $E_\alpha$ —this means that  $F\Lambda_\alpha$ , which is an indecomposable component of the left  $F\Lambda$ -module  ${}_{F\Lambda}F\Lambda$ , satisfies  $F\Lambda_\alpha/\text{rad } F\Lambda_\alpha \cong E_\alpha$  (see [4], pp. 130–132 or [12], p. 11).

*Brauer’s proof.* It will be useful to review Brauer’s proof of his theorem. This rests on the equation

$$(1.2) \quad \dim_K(K\Lambda_\alpha, \mathbf{X}_i)_{K\Lambda} = \dim_F(F\Lambda_\alpha, FX_i)_{F\Lambda}$$

(see [2], (8), p. 257). The left side of (1.2) is easily calculated using Schur’s lemma, since the  $K\Lambda$ -module  $K\Lambda_\alpha$  is semisimple: it is equal to  $\delta_{i\alpha}^* \cdot e(\mathbf{X}_i)$ , where  $\delta_{i\alpha}^*$  denotes the multiplicity of  $\mathbf{X}_i$  as component of  $K\Lambda_\alpha$ , and  $e(\mathbf{X}_i) := \dim_K E(\mathbf{X}_i)$  ( $E(\mathbf{X}_i) := \text{End}_{K\Lambda}(\mathbf{X}_i)$ ). Since  $F\Lambda_\alpha$  is a projective cover of  $E_\alpha$ , we may calculate also the right side of (1.2) [3, Thm. (54.19), p. 376]: it is equal to  $\delta_{i\alpha} \cdot e(E_\alpha)$ , where  $\delta_{i\alpha}$  denotes the multiplicity of  $E_\alpha$  as composition factor of the  $F\Lambda$ -module  $FX_i$ , and  $e(E_\alpha) := \dim_F E(E_\alpha)$  ( $E(E_\alpha) := \text{End}_{F\Lambda}(E_\alpha)$ ). Therefore (1.2) gives Brauer’s “reciprocity theorem”

$$(1.3) \quad \delta_{i\alpha}^* \cdot e(\mathbf{X}_i) = \delta_{i\alpha} \cdot e(E_\alpha) \quad \text{for } i \in \{1, \dots, t\}, \alpha \in \{1, \dots, a\}.$$

This shows incidentally that the decomposition number  $\delta_{i\alpha}$  is independent of the *R-form*  $X_i$  of  $\mathbf{X}_i$  which has been used to define it, because the left side of (1.3) depends only on the  $K\Lambda$ -isomorphism class of  $\mathbf{X}_i$ .

**2.  $F$ -endostable  $\Lambda$ -lattices**

Our “new version” of Brauer’s theorem comes by replacing the  $\Lambda$ -lattice  ${}_{\Lambda}\Lambda$  by an arbitrary (non-zero)  $\Lambda$ -lattice  $Y$  which is  $F$ -endostable, in the sense now to be defined.

If  $Y, X$  are  $\Lambda$ -lattices then  $(Y, X)_{\Lambda}$  is an  $R$ -pure sublattice of the  $R$ -lattice  $(Y, X)_R$ , and it follows easily that, for  $k \in \{K, F\}$ , the  $k$ -isomorphism

$$k(Y, X)_R \rightarrow (kY, kX)_k$$

which takes  $c \otimes f \rightarrow c(\text{Id}_k \otimes f)$  ( $c \in k, f \in (Y, X)_R$ ;  $\text{Id}_k$  denotes the identity map on  $k$ ) induces a  $k$ -map

$$(2.1) \quad \psi_k: k(Y, X)_{\Lambda} \rightarrow (kY, kX)_{k\Lambda}$$

which is injective. If  $k = K$ , then (2.1) is always an isomorphism, so that

$$(2.2) \quad K(Y, X)_{\Lambda} \cong (KY, KX)_{K\Lambda} \text{ as } K\text{-spaces}$$

(see [12] Lemma 14.5, p. 57, or [4] (2.39), p. 36).

In general,  $\psi_F$  is not surjective. If it is, then

$$F(X, Y)_{\Lambda} \cong (FX, FY)_{F\Lambda} \text{ as } F\text{-spaces,}$$

and we say that the pair  $Y, X$  is  $F$ -stable. This is clearly equivalent to the condition that the map

$$(2.3) \quad \phi_F: (Y, X)_{\Lambda} \rightarrow (FY, FX)_{F\Lambda}$$

which takes  $f \rightarrow \text{Id}_F \otimes f$  ( $f \in (Y, X)_{\Lambda}$ ) should be surjective. Notice that in any case  $\phi_F$  has kernel  $\pi(Y, X)_{\Lambda}$ , for it is the composite of  $\psi_F$  with the natural map  $\theta_F: (Y, X)_{\Lambda} \rightarrow F(Y, X)$ .

The proof of the next lemma is an easy exercise.

(2.4) LEMMA. *Let  $X, Y$  be  $\Lambda$ -lattices.*

- (i) *If the pair  $Y, X$  is  $F$ -stable, then so also is the pair  $Y_1, X_1$ , where  $Y_1, X_1$  are any components of  $Y, X$ , respectively.*
- (ii) *If  $Y$  is projective, the pair  $Y, X$  is  $F$ -stable for any  $X$ .*

DEFINITION. We say that a  $\Lambda$ -lattice  $Y$  is  $F$ -endostable if the pair  $Y, Y$  is  $F$ -stable, i.e., if the map  $\phi_F: E(Y) \rightarrow E(FY)$  (see (2.3)) is surjective.

It is clear that  $Y = {}_{\Lambda}\Lambda$  is  $F$ -endostable. And if  $\Lambda = RG$ , for a finite group  $G$ , then any permutation  $RG$ -lattice  $Y$  is  $F$ -endostable [13], [12, p. 174].

From now on we assume that  $Y$  is a non-zero  $F$ -endostable  $\Lambda$ -lattice. Then we have  $E(FY) \cong E(Y)/\text{Ker } \phi_F = E(Y)/\pi E(Y)$ ; and by (2.2) we may re-

gard  $E(Y)$  as an  $R$ -order in the  $K$ -algebra  $E(KY)$ . Also  $E(KY)$  is a semisimple  $K$ -algebra, since  $KY$  is a  $K\Lambda$ -module, and  $K\Lambda$  is by assumption a semisimple algebra. Let  $\mathbf{Z}_1, \dots, \mathbf{Z}_s$  be a full set of simple  $E(KY)$ -modules, and let  $S_1, \dots, S_l$  be a full set of simple  $E(FY)$ -modules. Then we may define decomposition number  $d_{i\lambda}$  as the multiplicity of  $S_\lambda$  as an  $E(FY)$ -composition factor of  $FZ_i$ , where  $Z_i$  is an  $R$ -form for  $\mathbf{Z}_i$  ( $i \in \{1, \dots, s\}$ ,  $\lambda \in \{1, \dots, l\}$ ). By the argument used in the last section, we have

$$(2.5) \quad d_{i\lambda} \cdot e(S_\lambda) = \dim_F(\bar{e}_\lambda E(FY), FZ_i),$$

where  $e(S_\lambda) = \dim_F E(S_\lambda)$ ,  $Z_i$  is any  $R$ -form of  $\mathbf{Z}_i$ , and  $\bar{e}_\lambda$  is a primitive idempotent of  $E(FY)$  so chosen that

$$(2.6) \quad \bar{e}_\lambda E(FY) / \text{rad } \bar{e}_\lambda E(FY) \cong S_\lambda.$$

Because the discrete valuation ring  $R$  is complete, we may “lift” each  $\bar{e}_\lambda$  to a primitive idempotent  $e_\lambda \in E(Y)$  such that  $\phi_F(e_\lambda) = \bar{e}_\lambda$  [4, Thm. (6.7), p. 123]. A standard theorem [4, Prop. (6.17), p. 130] now tells us that

$$(2.7) \quad e_1 E(Y), \dots, e_l E(Y)$$

is a full set of indecomposable projective right  $E(Y)$ -lattices.

### 3. The functor $(Y, \ )$

The transition from  $\Lambda$ -lattices to  $E(Y)$ -lattices is most easily made by means of the familiar functor

$$T = (Y, \ ): \text{mod } \Lambda \rightarrow \text{mod } E(Y)^{\text{op}}.$$

Here  $\text{mod } \Lambda$  and  $\text{mod } E(Y)^{\text{op}}$  denote the categories of left  $\Lambda$ -lattices and right  $E(Y)$ -lattices, respectively.  $T$  takes any  $X \in \text{mod } \Lambda$  to  $T(X) := (Y, X)_\Lambda$ , which has a natural structure of right  $E(Y)$ -lattice:  $h \in E(Y)$  acts on  $f \in (Y, X)_\Lambda$  to give  $fh \in (Y, X)_\Lambda$ .  $T$  takes any  $\Lambda$ -map  $\xi: M \rightarrow X$  to the  $E(Y)$ -map

$$T(\xi): (Y, M)_\Lambda \rightarrow (Y, X)_\Lambda$$

given by  $T(\xi)(g) = \xi g$  ( $g \in (Y, M)_\Lambda$ ). Also  $T$  is an  $R$ -functor, which means that, for any  $M, X \in \text{mod } \Lambda$ , the map

$$T_{M, X}: (M, X)_\Lambda \rightarrow ((Y, M)_\Lambda, (Y, X)_\Lambda)_{E(Y)}$$

which takes  $\xi \rightarrow T(\xi)$ , is  $R$ -linear. It follows that  $T$  commutes with finite direct sums.

Let  $\text{add } Y$  denote the full subcategory of  $\text{mod } \Lambda$  whose objects are all the components of finite direct sums of copies of  $Y$ . Since  $T(Y) = (Y, Y) = E(Y)_{E(Y)}$ , it is clear that  $T(M)$  is a projective right  $E(Y)$ -lattice, for all  $M \in \text{add } Y$ . The next proposition is well known (see M. Auslander [1], Prop. 27(d), p. 193 or [4], Prop. (6.3), p. 120), and follows easily from Lemmas (3.2), (3.3) below.

(3.1) PROPOSITION. *The functor  $T$  induces a category equivalence between  $\text{add } Y$  and the category  $\mathfrak{P}(E(Y)^{\text{op}})$  of all finitely generated projective right  $E(Y)$ -lattices.*

(3.2) LEMMA. *If  $M \in \text{add } Y$ , then the  $R$ -map  $T_{M, X}$  is bijective, for all  $X \in \text{mod } \Lambda$ .*

*Proof.* First verify that  $T_{Y, X}$  is bijective, which is easy. One then shows that  $T_{M, X}$  is bijective for any component  $M$  of  $Y$  [7, Lemma (2.1a), p. 249]; the lemma follows.

(3.3) LEMMA. *If  $e$  is an idempotent in  $E(Y)$ , then  $T(e(Y)) = (Y, e(Y))_{\Lambda}$  is isomorphic, as right  $E(Y)$ -lattice, to  $e(Y, Y)_{\Lambda} = eE(Y)$ .*

*Proof.* Let  $p: Y \rightarrow e(Y)$  (resp.  $i: e(Y) \rightarrow Y$ ) be the projection (resp. inclusion) map. Check that  $g \rightarrow ig$  ( $g \in (Y, e(Y))_{\Lambda}$ ) defines an  $E(Y)$ -isomorphism  $(Y, e(Y))_{\Lambda} \rightarrow e(Y, Y)_{\Lambda}$ , with inverse  $f \rightarrow pf$  ( $f \in e(Y, Y)_{\Lambda}$ ).

Now let  $e_1, \dots, e_l$  be the primitive idempotents of  $E(Y)$  which figure in (2.7). Then for any indecomposable component  $Y'$  of  $Y$ , there is precisely one  $\lambda \in \{1, \dots, l\}$  such that  $(Y, Y')_{\Lambda} \cong e_{\lambda}E(Y)$  as right  $E(Y)$ -lattices (the  $E(Y)$ -lattice  $(Y, Y')_{\Lambda}$  is indecomposable by (3.1)), hence such that  $Y' \cong e_{\lambda}(Y)$  as  $\Lambda$ -lattices (since  $(Y, Y')_{\Lambda} \cong (Y, e_{\lambda}(Y))_{\Lambda}$  by (3.3), and this implies  $Y' \cong e_{\lambda}(Y)$  by (3.1)). Therefore

$$e_1(Y), \dots, e_l(Y)$$

is a full set of indecomposable components of  $Y$ . This can be restated as the following proposition.

(3.4) PROPOSITION. *If  $Y_1, \dots, Y_l$  is a full set of indecomposable components of  $Y$ , then  $(Y, Y_1)_{\Lambda}, \dots, (Y, Y_l)_{\Lambda}$  is a full set of indecomposable projective right  $E(Y)$ -modules; in fact the  $Y_{\lambda}$  can be so numbered that*

$$(3.5) \quad (Y, Y_{\lambda})_{\Lambda} \cong e_{\lambda}E(Y) \text{ as right } E(Y)\text{-lattices,}$$

for all  $\lambda \in \{1, \dots, l\}$ .

All the preceding discussion of the functor  $(Y, \ )$  holds good for the functor  $(kY, \ ): \text{mod } kY \rightarrow \text{mod } E(kY)^{\text{op}}$  ( $k \in \{K, F\}$ ); one has only to replace  $Y$  by  $kY$ , and “lattice” by “finitely-generated module”, throughout. For  $k = K, F$ , an argument analogous to that of Proposition (3.4) gives:

(3.6) *If  $U_1, \dots, U_r$  is a full set of indecomposable components of the  $k\Lambda$ -module  $kY$ , then  $(kY, U_1)_{k\Lambda}, \dots, (kY, U_r)_{k\Lambda}$  is a full set of indecomposable projective right  $E(kY)$ -modules.*

Returning to the case  $k = F$ , suppose that  $Y_1, \dots, Y_l$  are as in Proposition (3.4). Then we find

$$(3.7) \quad (FY, FY_\lambda)_{F\Lambda} \cong \bar{e}_\lambda E(FY), \quad \text{for all } \lambda \in \{1, \dots, l\}.$$

For our assumption that  $Y$  is  $F$ -endostable, together with (2.4)(i), shows that the maps  $\phi_F: e_\lambda E(Y) \rightarrow \bar{e}_\lambda E(FY)$  and  $\phi_F: (Y, Y_\lambda)_\Lambda \rightarrow (FY, FY)_{F\Lambda}$  are both surjective (remember that  $\phi_F(e_\lambda) = \bar{e}_\lambda$ ). Thus

$$(FY, FY_\lambda)_{F\Lambda} \cong (Y, Y_\lambda)_\Lambda / \pi(Y, Y_\lambda)_\Lambda \cong e_\lambda E(Y) / \pi e_\lambda E(Y) \cong \bar{e}_\lambda E(FY).$$

Finally, combining (3.7) with (2.6) we have

$$(3.8) \quad (FY, FY_\lambda)_{F\Lambda} / \text{rad}(FY, FY_\lambda)_{F\Lambda} \cong S_\lambda, \quad \text{for all } \lambda \in \{1, \dots, l\}.$$

Any one of the (equivalent) conditions (3.5), (3.7), (3.8) serves to show how the numbering of the components  $Y_\lambda$ , is ‘compatible’ with that of the simple  $E(FY)$ -modules  $S_\lambda$ .

#### 4. The theorem

From now on we arrange the simple  $K\Lambda$ -modules  $\mathbf{X}_1, \dots, \mathbf{X}_t$  (see Section 1) so that  $\mathbf{X}_1, \dots, \mathbf{X}_s$  are components of  $KY$ , while for  $i > s$ ,  $\mathbf{X}_i$  is not a component of  $KY$ . Then (remembering that both  $K\Lambda$  and  $E(KY)$  are semisimple  $K$ -algebras)  $\mathbf{X}_1, \dots, \mathbf{X}_s$  is a full set of indecomposable  $K\Lambda$ -components of  $KY$ , so by (3.6),

$$(KY, \mathbf{X}_1)_{K\Lambda}, \dots, (KY, \mathbf{X}_s)_{K\Lambda}$$

is a full set of simple right  $E(KY)$ -modules. Write  $\mathbf{Z}_i = (KY, \mathbf{X}_i)_{k\Lambda}$  ( $i \in \{1, \dots, s\}$ ), and use this numbering to define the decomposition numbers  $d_{i\lambda}$  of Section 2 ( $\lambda \in \{1, \dots, l\}$ ).

Suppose now that  $Y_\lambda$  is an indecomposable  $\Lambda$ -component of  $Y$  such that  $Y_\lambda \cong e_\lambda(Y)$  (see (3.3)). Then  $KY_\lambda$  is a  $K\Lambda$ -component, in general not indecomposable, of  $KY$ .

(4.1) DEFINITION. For any  $i \in \{1, \dots, s\}$ ,  $\lambda \in \{1, \dots, l\}$ ,  $d_{i\lambda}^*$  is the multiplicity of  $\mathbf{X}_i$  as component of  $KY_\lambda$ .

We are now at last in a position to state our theorem.

(4.2) THEOREM. Let  $\Lambda$  be an  $R$ -order in a semisimple  $K$ -algebra  $K\Lambda$ , and let  $Y$  be a non-zero  $F$ -endostable  $\Lambda$ -lattice. Let  $\mathbf{X}_1, \dots, \mathbf{X}_s$  be a full set of simple  $K\Lambda$ -modules which are components of  $KY$ . Let  $S_1, \dots, S_l$  be a full set of simple  $E(FY)$ -modules. Then the numbers  $d_{i\lambda}$ ,  $d_{i\lambda}^*$  defined above are connected by the equation

$$(4.3) \quad d_{i\lambda}^* \cdot e(\mathbf{X}_i) = d_{i\lambda} \cdot e(S_\lambda),$$

for all  $i \in \{1, \dots, s\}$ ,  $\lambda \in \{1, \dots, l\}$ . Here

$$e(S_\lambda) := \dim_F E(S_\lambda) \quad \text{and} \quad e(\mathbf{X}_i) := \dim_K E(\mathbf{X}_i).$$

*Proof* (E.C. Dade). Since  $Y \cong e_\lambda(Y)$ , it follows from (3.5) and (2.6) that  $(Y, Y_\lambda)_\Lambda$  is a projective  $E(Y)$ -lattice which covers the simple  $E(FY)$ -module  $S_\lambda$ . So if we replace  $\Lambda$ ,  $\mathbf{X}_i$ ,  $\Lambda_\alpha$ ,  $E_\alpha$  in Brauer's formula (1.3) by  $E(Y)$ ,  $\mathbf{Z}_i$ ,  $(Y, Y_\lambda)_\Lambda$ ,  $S_\lambda$ , respectively, we get

$$(4.4) \quad \delta_{i\lambda}^* \cdot e(\mathbf{Z}_i) = \delta_{i\lambda} \cdot e(S_\lambda),$$

where  $\delta_{i\lambda}$  is exactly the decomposition number  $d_{i\lambda}$  defined in Section 2, and  $\delta_{i\lambda}^*$  is the multiplicity of  $\mathbf{Z}_i = (KY, \mathbf{X}_i)_{K\Lambda}$  as a component of  $K(Y, Y_\lambda)_\Lambda \cong (KY, KY_\lambda)_{K\Lambda}$ . But the functor

$$(KY, \quad): \text{mod } K\Lambda \rightarrow \text{mod } E(KY)^{\text{op}}$$

induces an equivalence of categories  $\text{add } KY \rightarrow \text{mod } E(KY)^{\text{op}}$ , by the analog of Proposition (3.1) (all  $E(KY)^{\text{op}}$ -modules are projective, of course). From this follows at once that  $\delta_{i\lambda}^*$  equals the multiplicity  $d_{i\lambda}^*$  of  $\mathbf{X}_i$  as component of  $KY_\lambda$ ; also that  $e(\mathbf{Z}_i) = e(\mathbf{X}_i)$ . Therefore (4.4) is the required formula (4.3).

*Remarks* 1. If  $Y =_\Lambda \Lambda$ , we have  $E(Y) \cong \Lambda^{\text{op}}$ , and theorem (4.2) reverts to Brauer's theorem (1.3) in its original form.

2. If  $K$  is a splitting field for  $K\Lambda$  and if  $F$  is a splitting field for  $E(FY)$ , then  $e(\mathbf{X}_i) = 1$ ,  $e(S_\lambda) = 1$  for all  $i, \lambda$  and hence (4.3) reduces to

$$(4.5) \quad d_{i\lambda}^* = d_{i\lambda} \quad (i \in \{1, \dots, s\}, \lambda \in \{1, \dots, l\}).$$

3. Even in a case where  $Y$  is not a projective  $\Lambda$ -lattice, it may happen that some indecomposable component  $Y_\lambda$  of  $Y$  is projective. Then  $Y_\lambda \cong \Lambda_\alpha$  for

some  $\alpha \in \{1, \dots, a\}$  (see Section 1) and  $d_{i\lambda}^* = \delta_{i\alpha}^*$  for  $1 \leq i \leq s$ , while for  $s < i \leq t$ ,  $\delta_{i\alpha}^* = 0$ , since  $X_i$  is not a component of  $\Lambda_\alpha \cong Y_\lambda$ . We may now use Brauer's theorem (1.3),

$$\delta_{i\alpha}^* \cdot e(X_i) = \delta_{i\alpha} \cdot e(E_\alpha).$$

Comparing this with (4.3) we have a relation between decomposition numbers, namely

$$(4.6) \quad \delta_{i\alpha} \cdot e(E_\alpha) = d_{i\lambda} \cdot e(S_\lambda) \quad \text{for all } i \in \{1, \dots, s\}.$$

In particular, if  $F$  is a splitting field for both  $F\Lambda$  and  $E(FY)$ , then the  $\lambda$ -th column of the decomposition matrix  $(d_{i\lambda})$  for  $E(Y)$  coincides, as far as the rows  $1, \dots, s$  are concerned, with the  $\alpha$ -th column of the decomposition matrix  $(\delta_{i\alpha})$  for  $\Lambda$ . The example in the next section provides a striking illustration of this phenomenon.

### 5. James's theorem

In this section we assume that  $\text{char } K = 0$ , and that  $\text{char } F = p > 0$ .

Let  $n, r$  be positive integers with  $r \leq n$ , let  $E$  be a free  $R$ -module with basis  $e_1, \dots, e_n$ , and let  $Y = E^{\otimes r}$  be the  $r$ -fold tensor product  $E \otimes_R \dots \otimes_R E$ . Then  $Y$  can be regarded as right  $RG$ -lattice, where  $G$  is the symmetric group on  $\{1, \dots, r\}$ , acting by 'place permutations' [8, p. 28]. We shall use notations from [8] (with slight modifications) without further comment. However, since we start with a *right*  $RG$ -lattice  $Y$ , we must transpose 'left' and 'right' in Theorem (4.2), in order to apply it to the present case. This gives little trouble; the functor

$$(\ , Y): \text{mod } \Lambda^{\text{op}} \rightarrow \text{mod } E(Y)$$

takes the place of  $(Y, \ )$ , so that we regard  $(X, Y)$  as a left  $E(Y)$ -module, etc. We can identify  $E(Y)$ ,  $E(KY)$ ,  $E(FY)$  with the corresponding Schur algebras  $S_R(n, r)$ ,  $S_K(n, r)$ ,  $S_F(n, r)$ . Since  $Y$  is a permutation  $RG$ -lattice,  $Y$  is  $F$ -endostable. The Weyl modules  $\{V_{\lambda, K}: \lambda \vdash r\}$  [8, p. 65] form a full set of simple  $S_K(n, r)$ -modules, and the unique simple factor modules  $\{F_{\lambda, F}: \lambda \vdash r\}$  of the 'characteristic  $p$ ' Weyl modules  $V_{\lambda, F}$  [8, p. 71] form a full set of simple  $S_F(n, r)$ -modules. The decomposition number  $d_{\lambda\mu}$  (corresponding to  $d_{i\lambda}$  in equation (4.3)) is the multiplicity of  $F_{\mu, F}$  as a composition factor in  $V_{\lambda, F}$ . Moreover  $e(F_{\mu, K}) = 1$ , from the fact that  $F_{\mu, F}$  is generated by its  $\mu$ -weight space, which has dimension one [8, (5.4a), (5.4b), p. 71].

In [6], [9] and [11] it is proved (in three very different ways!) that, for any field  $k$ , a full set of indecomposable  $kG$ -components of  $kY = (kE)^{\otimes r}$  can be



labelled  $U_{\lambda, k}$  ( $\lambda \vdash r$ ) in such a way that for each pair  $\lambda, \mu \vdash r$  with  $\mu \triangleright \lambda$  (see [10], p. 23 for the definition of the partial order  $\triangleright$ ) there exists a non-negative integer  $a_{\lambda, \mu}(c)$  depending only on the characteristic  $c$  of  $k$ , so that

$$(5.1) \quad M_{\lambda, k} \cong U_{\lambda, k} \oplus \sum_{\mu \triangleright \lambda}^{\oplus} a_{\lambda, \mu}(c) U_{\mu, k},$$

for all  $\lambda \vdash r$ ; here  $M_{\lambda, k}$  is the permutation  $kG$ -module  $kG_{G_\lambda}^G$  where  $G_\lambda$  is the Young subgroup [10, p. 16] corresponding to  $\lambda$ . It is clear from the Krull-Schmidt theorem that the indecomposable  $kG$ -modules  $U_{\lambda, k}$  are determined up to isomorphism by these equations (5.1); therefore  $U_{\lambda, k}$  is isomorphic to the module denoted  $V_\lambda$  in [9], p. 12, and also to James's  $I_{\lambda, k}$  (see [11], Theorem 3.1(i); note that James's fields  $K$  and  $F$  are our  $F$  and  $K$ , respectively!).

It is proved in [9], Remark 6, pp. 14–16, that the simple  $GL_n(k)$ -module (or  $S_k(n, r)$ -module)  $F_{\lambda, k}$  is associated by the Brauer-Fitting theorem to the components of  $kY$  of type  $U_{\lambda, k}$ , which means precisely that

$$(5.2) \quad (U_{\lambda, k}, kY)_{kG} / \text{rad}(U_{\lambda, k}, kY)_{kG} \cong F_{\lambda, k}.$$

(James proves an equivalent result in [11], but a little less directly.)

By 'idempotent lifting' we find a full set  $\{Y_\lambda: \lambda \vdash r\}$  of indecomposable  $RG$ -components of  $Y = E^{\otimes r}$  such that  $FY_\lambda \cong U_{\lambda, F}$  ( $\lambda \vdash r$ ). Equations (5.2) give

$$(FY_\lambda, FY)_{FG} / \text{rad}(FY_\lambda, FY)_{FG} \cong F_{\lambda, F},$$

and so our labelling  $Y_\lambda$  is compatible (see (3.8)) with the labelling of the simple  $E(FY) = S_F(n, r)$ -modules  $F_{\lambda, F}$ .

Now take  $k = K$  in (5.1) and (5.2). Equations (5.1) show that the (simple)  $KG$ -module  $U_{\lambda, K}$  has character  $\zeta^\lambda$  in standard notation (see [10], §2.2). So we may take  $U_{\lambda, K}$  to be the Specht module  $S_K^\lambda$  over  $K$  [10, p. 396]. Another classical result says that  $e(S_K^\lambda) = 1$  [3, Exercise 3, p. 206]. The full set  $\{S_K^\lambda: \lambda \vdash r\}$  of simple  $KG$ -modules corresponds to  $\{X_1, \dots, X_t\}$  in our general notation, so that (definition)  $d_{\lambda\mu}^*$  is the multiplicity of  $S_K^\mu$  in  $KY_\lambda$ . All the  $S_K^\lambda$  appear as components of  $KY$ , so that  $s = t$  in the notation of Section 4; but we must be sure to label the simple  $E(KY) = S_K(n, r)$ -modules  $Z_\lambda$  so that

$$Z_\lambda \cong (S_K^\lambda, KY)_{KG}$$

(this corresponds to  $Z_i = (KY, X_i)_{K\Lambda}$  in Section 4). Fortunately (5.2) gives

$$(S_K^\lambda, KY)_{KG} \cong (U_{\lambda, K}, KY)_{KG} \cong F_{\lambda, K} \cong V_{\lambda, K}.$$

So we may take  $Z_\lambda = V_{\lambda, K}$ , which means that the  $d_{\lambda\mu}$  have the meaning announced earlier in this section, and Theorem (4.2) gives James's Theorem 3.4(ii) [11] namely

$$d_{\lambda\mu}^* = d_{\lambda\mu} \quad \text{for all } \lambda, \mu \vdash r.$$

Finally we may recover an earlier theorem of James involving the decomposition numbers  $\delta_{\lambda\mu}$  for  $G$ , namely

$$\delta_{\lambda\mu} = d_{\lambda\mu}$$

for all  $\lambda \vdash r$ , and all column  $p$ -regular  $\mu \vdash r$  (see [11], Section 1). For it can be shown that  $Y_\mu$  (or, what comes to the same thing,  $FY_\mu$ ) is projective if and only if  $\mu$  is column  $p$ -regular; now we may apply Remark 3 of the last section.

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