

## ON THE $L^{N/2}$ -NORM OF SCALAR CURVATURE

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### 1. Introduction

Let  $M$  be a compact  $n$ -manifold without boundary. For a Riemannian metric  $g$  on  $M$ , the curvature tensor, Ricci curvature tensor and scalar curvature of  $g$  are denoted by  $R(g)$ ,  $\text{Ric}(g)$  and  $S(g)$ , respectively. A natural and interesting problem in Riemannian geometry is the relations between the topology of the manifold  $M$  and curvatures of  $g$ . Often the topology of  $M$  would impose certain restrictions on the behavior of curvatures of the metric  $g$ . The Gauss-Bonnet theorem provides a beautiful relation in this direction. As complexity of the Gauss-Bonnet integrand increases with dimension, it would be desirable to obtain simpler but not “sharp” relations. Indeed, there have been many interests on  $L^{\frac{n}{2}}$ -curvature pinching and bounds on topological quantities by integral norms of curvatures. In this article, we study some questions on obtaining lower bounds on  $L^{\frac{n}{2}}$ -norms of the Ricci curvature and scalar curvature. There are some rather general and well-known problems: given a compact  $n$ -manifold  $M$ , for a sufficiently large class of Riemannian metrics  $g$  on  $M$ , are there positive lower bounds on

- (1)  $\text{Vol}(M, g)$ , provided  $K_g \geq -1$  or  $\text{Ric}(g)_{ij} \geq -(n-1)g_{ij}$  or  $S(g) \geq -n(n-1)$ , where  $K_g$  is the sectional curvature of  $(M, g)$ ,
- (2)  $\int_M |S(g)|^{\frac{n}{2}} dv_g$  or
- (3)  $\int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g$ ?

We note that (2) and (3) are both scale invariant, while a lower bound on curvature is required in (1) so that  $\text{Vol}(M, g)$  will not go to zero by scaling. As a flat torus would not have positive lower bounds on (1), (2) and (3), some restrictions are needed on the manifold  $M$ . Some suggestions are:

- (a)  $M$  admits a locally symmetric metric of strictly negative sectional curvature;
- (b)  $M$  admits an Einstein metric of negative sectional curvature;
- (c) or simply  $M$  admits a metric of negative sectional curvature.

Recently, Besson, Courtois and Gallot [5], [6] have demonstrated that if  $(M, h)$  is a compact hyperbolic  $n$ -manifold ( $n \geq 3$ ), then for any Riemannian metric  $g$  on  $M$  with  $\text{Ric}(g) \geq -(n-1)g$ , one has  $\text{Vol}(M, g) \geq \text{Vol}(M, h)$  and equality holds if

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Received August 25, 1995.

1991 Mathematics Subject Classification. Primary 53C20, 53C21.

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and only if  $(M, g)$  is isometric to  $(M, h)$ . In this note, we mainly consider question (2) and (3), under one of the conditions in (a), (b) or (c) and with restrictions on the choices of the Riemannian metric  $g$  by certain curvature assumptions or in certain conformal classes. Our method is to investigate relations between the  $L^{n/2}$ -norms of scalar curvatures for different metrics with that of a standard metric.

The Gauss-Bonnet theorem for two-manifolds shows that if  $M$  is a compact surface and  $h$  is a metric on  $M$  with constant negative curvature  $S(h)$  then

$$(1.1) \quad \int_M |S(g)| dv_g \geq \int_M |S(h)| dv_h.$$

Let  $\chi(M)$  be the Euler characteristic of  $M$ . The Gauss-Bonnet theorem for higher dimensions ( $n$  even) [16] states that

$$(1.2) \quad c_n \chi(M) = \int_M \sum_{\sigma \in C_n} \sum_{\tau \in C_n} \varepsilon(\sigma) \varepsilon(\tau) R(g)_{\sigma(1)\sigma(2)\tau\circ\sigma(1)\tau\circ\sigma(2)\dots} \cdot \cdot \cdot R(g)_{\sigma(n-1)\sigma(n)\tau\circ\sigma(n-1)\tau\circ\sigma(n)} dv_g,$$

where  $c_n$  is a dimension constant,  $C_n$  is the set of all permutations on  $\{1, 2, \dots, n\}$  and  $\varepsilon(\tau)$  is the sign of  $\tau \in C_n$ . A decomposition of the curvature tensor gives

$$(1.3) \quad R(g)_{ijkl} = W(g)_{ijkl} + Z(g)_{ijkl} + U(g)_{ijkl},$$

where  $W(g)$  is the Weyl curvature tensor and

$$(1.4) \quad U(g)_{ijkl} = \frac{S(g)}{n(n-1)}(g_{ik}g_{jl} - g_{il}g_{jk}),$$

$$(1.5) \quad Z(g)_{ijkl} = \frac{1}{n-2}(\mathbf{z}(g)_{ik}g_{jl} + \mathbf{z}(g)_{jl}g_{ik} - \mathbf{z}(g)_{il}g_{jk} - \mathbf{z}(g)_{jk}g_{il}),$$

where  $\mathbf{z}(g)$  is the trace-free Ricci tensor given by

$$(1.6) \quad \mathbf{z}(g)_{ij} = \text{Ric}(g)_{ij} - \frac{S(g)}{n}g_{ij}.$$

Let  $x \in M$  and  $\{e_1, \dots, e_n\}$  be an orthonormal basis for the tangent space of  $M$  above  $x$ . We have

$$U(g)_{ijkl} = \frac{S(g)}{n(n-1)}(\delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk}) \quad \text{at } x.$$

If we apply (1.3), then at the point  $x$  we have

$$(1.7) \quad \sum_{\sigma \in C_n} \sum_{\tau \in C_n} \varepsilon(\sigma) \varepsilon(\tau) R(g)_{\sigma(1)\sigma(2)\tau\circ\sigma(1)\tau\circ\sigma(2)\dots} \cdot \cdot \cdot R(g)_{\sigma(n-1)\sigma(n)\tau\circ\sigma(n-1)\tau\circ\sigma(n)} \\ = C_o S(g)^{\frac{n}{2}} + P(W(g)_{ijkl}, Z(g)_{ijkl}, U(g)_{ijkl}, g_{ij}),$$

where  $P$  is a certain polynomial function and  $C_o$  is a constant that depends on  $n$  only. Putting (1.7) into the Gauss-Bonnet formula, we have

$$\begin{aligned} \chi(M) &= \int_M C_o S(g)^{\frac{n}{2}} dv_g + \int_M P(W(g)_{ijkl}, Z(g)_{ijkl}, U(g)_{ijkl}, g_{ij}) dv_g \\ &= \int_M C_o S(g')^{\frac{n}{2}} dv_{g'} + \int_M P(W(g')_{ijkl}, Z(g')_{ijkl}, U(g')_{ijkl}, g'_{ij}) dv_{g'}, \end{aligned}$$

where  $g'$  is another Riemannian metric on  $M$ . In general, the above formula is too complicated to give effective bounds on  $L^{\frac{n}{2}}$ -norms of scalar curvatures.

**THEOREM 1.** *Let  $(M, h)$  be a compact hyperbolic  $n$ -manifold with  $n$  being even.*

(1) *Let  $n = 4$ . For any conformally flat metric  $g$  on  $M$ , we have*

$$\int_M |S(g)|^2 dv_g \geq \int_M |S(h)|^2 dv_h,$$

*and equality holds if and only if  $g$  is, up to a positive constant, isometric to  $h$ .*

(2) *Let  $n \geq 4$ . For any conformally flat metric  $g$  on  $M$ , we have*

$$\int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g \geq c_n \int_M |\text{Ric}(h)|^{\frac{n}{2}} dv_h,$$

*where  $c_n$  is a positive constant that depends on  $n$  only.*

**THEOREM 2.** *Let  $(M, h)$  be a compact hyperbolic  $n$ -manifold with  $n$  being even. There exists a positive constant  $c'_n$  which depends on  $n$  only such that for any metric  $g$  on  $M$  with non-positive sectional curvature we have*

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq c'_n \int_M |S(h)|^{\frac{n}{2}} dv_h.$$

Besson, Courtois and Gallot [4] have shown that if  $(M, g)$  is a compact Einstein manifold with negative sectional curvature, then for any metric  $g'$  in a neighborhood of  $g$ , we have

$$(1.8) \quad \int_M |S(g')|^{\frac{n}{2}} dv_{g'} \geq \int_M |S(g)|^{\frac{n}{2}} dv_g.$$

In the proof of this result, they investigated the following.

(I) (1.8) holds whenever  $g'$  is conformal to  $g$ ; i.e., if  $g' = u^{\frac{4}{n-2}}g$  for some smooth function  $u > 0$  and if  $S(g)$  is a *negative* constant, then we have

$$\int_M |S(g')|^{\frac{n}{2}} dv_{g'} \geq \int_M |S(g)|^{\frac{n}{2}} dv_g.$$

Then they used the second variation formula to investigate the local behavior of the  $L^{n/2}$ -norm of  $S(g)$ . Partially motivated by their results, we consider the change of

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \quad \text{and} \quad \int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g$$

under Ricci flow and conformal change of metrics when  $S(g)$  is a *positive* constant. The Ricci flow have been considered by Hamilton [11] and many other authors. It has been proven to be very useful in deforming metrics into standard metrics, especially when the original metric is close to a standard metric. For example, it has been shown in [14] and [17] that the Ricci flow starting near a Einstein metric of negative sectional curvature always converges to it. We obtain the following behaviors of  $L^{\frac{n}{2}}$ -norms on curvatures under the Ricci flow.

**THEOREM 3.** *Let  $(M, g)$  be a compact Riemannian manifold with  $S(g) < 0$ . Let  $g_t$  be the Ricci flow starting at  $g$ . If  $S(g_t) \leq 0$  then*

$$\frac{d}{dt} \int_M |S(g_t)|^{\frac{n}{2}} dv_{g_t} \leq 0.$$

*If we assume that the sectional curvature  $K_g$  of  $g$  is suitably pinched*

$$-1 - \epsilon \leq K_g \leq -1 + \epsilon$$

*for some  $\epsilon > 0$  then*

$$\frac{d}{dt} \int_M |\text{Ric}(g_t)|^{\frac{n}{2}} dv_{g_t} \leq 0.$$

*Under the above conditions, if the Ricci flow converges to a smooth metric  $g_o$  on  $M$  then*

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq \int_M |S(g_o)|^{\frac{n}{2}} dv_{g_o}$$

*and*

$$\int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g \geq \int_M |\text{Ric}(g_o)|^{\frac{n}{2}} dv_{g_o}.$$

In particular, we provide an alternative proof to (1.8). In the last section, we consider conformal change of metrics when the scalar curvature is positive. An interesting question is whether Besson-Courtois-Gallot's result holds for positive scalar curvature: namely, if  $g'$  is conformal to  $g$  and  $g$  has constant positive scalar curvature, does the inequality

$$\int_M |S(g')|^{\frac{n}{2}} dv_{g'} \geq \int_M |S(g)|^{\frac{n}{2}} dv_g$$

hold?

**THEOREM 4.** *Let  $(M, g_o)$  be an  $n$ -manifold with  $b^2g \geq \text{Ric}(g) \geq a^2g$  for some positive numbers  $a$  and  $b$ . Then for any metric  $g = u^{\frac{4}{n-2}}g_o$ ,  $u > 0$ , we have*

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq c_n \int_M |S(g_o)|^{\frac{n}{2}} dv_{g_o},$$

where  $c_n$  is a positive constant that depends on  $a, b$  and  $n$  only. In general,  $c_n < 1$ . For the special cases that (i)  $g$  is an Einstein metric with positive scalar curvature and  $g = u^{\frac{4}{n-2}}g_o$ ,  $u > 0$ , or (ii)  $(M, g)$  is a compact conformally flat manifold with positive Ricci curvature and  $g_o$  has constant positive sectional curvature then we have

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq \int_M |S(g_o)|^{\frac{n}{2}} dv_{g_o}.$$

### 2. Gauss-Bonnet formula

Given a compact  $n$ -manifold  $M$  with  $n \geq 4$  and a Riemannian metric  $g$  on  $M$ , the Weyl conformal curvature tensor can be defined by

$$W(g)_{ijkl} = R(g)_{ijkl} - Z(g)_{ijkl} - U(g)_{ijkl},$$

where  $Z(g)$  and  $U(g)$  are defined in (1.4) and (1.5), respectively. Using the fact that  $g^{ij}z(g)_{ij} = 0$  and  $g^{ik}g^{jl}R(g)_{ijkl} = S(g)$ , it is easy to show that  $g^{ik}g^{jl}W(g)_{ijkl} = 0$  and  $g^{ik}W(g)_{ijkl} = 0$ . And we have

$$(2.1) \quad |R(g)|^2 = |W(g)|^2 + |Z(g)|^2 + |U(g)|^2.$$

A direct calculation shows that

$$(2.2) \quad |U(g)|^2 = \frac{2S(g)^2}{n(n-1)},$$

$$|Z(g)|^2 = \frac{4}{(n-2)}|z(g)|^2 \quad \text{and} \quad |\text{Ric}(g)|^2 = |z(g)|^2 + \frac{S(g)^2}{n}.$$

In dimension four, the Gauss-Bonnet formula [3] takes the form

$$(2.3) \quad \chi(M) = \frac{1}{8\pi^2} \int_M (|U(g)|^2 - |Z(g)|^2 + |W(g)|^2) dv_g,$$

where  $\chi(M)$  is the Euler characteristic of  $M$ . Let  $h$  be a hyperbolic metric on  $M$ . Then

$$(2.4) \quad \chi(M) = \frac{1}{48\pi^2} \int_M S(h)^2 dv_h,$$

where  $S(h) = -4 \cdot 3 = -12$ . In dimension bigger than or equal to four, a Riemannian metric  $g$  is conformally flat if and only if  $W(g) \equiv 0$ . Then (2.2), (2.3) and (2.4) show that if  $g$  is any conformally flat metric on  $M$ , we have

$$\int_M S(g)^2 dv_g \geq \int_M S(h)^2 dv_h.$$

Furthermore, equality holds if and only if  $\mathbf{z}(g) \equiv 0$  and  $W(g) \equiv 0$ , i.e.,  $(M, g)$  is a hyperbolic metric. By the Mostow rigidity theorem,  $(M, g)$  is isometric to  $(M, h)$  up to a positive constant.

**THEOREM 2.5.** *Let  $(M, h)$  be a compact hyperbolic  $n$ -manifold and  $n \geq 4$ ,  $n$  even. For any conformally flat metric  $g$  on  $M$ , we have*

$$\int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g \geq c_n \int_M |\text{Ric}(h)|^{\frac{n}{2}} dv_g,$$

where  $c_n$  is a positive constant that depends on  $n$  only.

*Proof.* As  $n \geq 4$ , the metric  $g$  is conformally flat if and only if  $W(g) \equiv 0$ . Therefore  $R(g) = Z(g) + U(g)$ . Applying the Gauss-Bonnet theorem we have

$$\chi(M) = C(n) \int_M P(Z(g), U(g)) dv_g,$$

where  $C(n)$  is a constant that depends on  $n$  only and  $P$  is a homogeneous polynomial of degree  $n/2$  in the components of  $Z(g)$  and  $U(g)$ . There exist positive constants  $C_0, C_1, C_2, \dots, C_{\frac{n}{2}}$ , which depend on  $n$  only, such that

$$\begin{aligned} |\chi(M)| \leq & \int_M (C_0|Z(g)|^{\frac{n}{2}} + C_1|Z(g)|^{\frac{n}{2}-1}|U(g)| \\ & + C_2|Z(g)|^{\frac{n}{2}-2}|U(g)|^2 + \dots + C_{\frac{n}{2}}|U(g)|^{\frac{n}{2}}) dv_g. \end{aligned}$$

Using (2.2) we have

$$|\text{Ric}(g)| \geq (n - 2)/\sqrt{4(n - 2)}|Z(g)|$$

and

$$|\text{Ric}(g)| \geq \sqrt{(n - 1)/2}|U(g)|;$$

we have

$$|\chi(M)| \leq C \int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g,$$

where  $C$  is a constant that depends on  $n$  only. For the hyperbolic metric  $h$ , we have  $W(h) \equiv 0$ ,  $Z(h) \equiv 0$  and  $|\text{Ric}(h)|^2 = S(h)^2/n$ . The Gauss-Bonnet theorem gives

$$|\chi(M)| = C'(n) \int_M |S(h)|^{\frac{n}{2}} dv_h = C''(n) \int_M |\text{Ric}(h)|^{\frac{n}{2}} dv_h,$$

where  $C'(n)$  and  $C''(n)$  are positive constants that depends on  $n$  only. Combining the two formulas we have the result.  $\square$

**THEOREM 2.6.** *Let  $(M, h)$  be a compact hyperbolic  $n$ -manifold of even dimension. There exists a positive constant  $c_n$ , which depends on  $n$  only, such that for any Riemannian metric  $g$  on  $M$  with nonpositive sectional curvature we have*

$$\int_M S(g)^{\frac{n}{2}} dv_g \geq c_n \int_M S(h)^{\frac{n}{2}} dv_h.$$

*Proof.* By (1.1), we may assume that  $n \geq 4$ . As  $h$  is a hyperbolic metric,  $W(h) \equiv 0$  and  $Z(h) \equiv 0$ . The Gauss-Bonnet formula (1.2) gives

$$\chi(M) = \int_M C_o S(h)^{\frac{n}{2}} dv_h,$$

where  $C_o$  is a non-zero constant that depends on  $n$  only (its value can be found by applying the Gauss-Bonnet formula on  $S^n$  and the fact that  $\chi(S^n) = 2$  if  $n$  is even). For the Riemannian metric  $g$ , making use of the fact that  $R(g) = W(g) + Z(g) + U(g)$ , the Gauss-Bonnet formula gives

$$\chi(M) = \int_M (C_o S(g)^{\frac{n}{2}} + P(W(g)_{ijkl}, Z(g)_{ijkl}, U(g)_{ijkl}, g_{ij})) dv_g,$$

where  $P$  is a certain polynomial such that each term contain exactly  $n/2$  terms of  $W(g)_{jklk}$ ,  $Z(g)_{ijkl}$  or  $U(g)_{ijkl}$ . Therefore we have

$$|\chi(M)| \leq \int_M C_o |S(g)|^{\frac{n}{2}} dv_g + \int_M |P(W(g)_{ijkl}, Z(g)_{ijkl}, U(g)_{ijkl}, g_{ij})| dv_g.$$

From (2.1),  $|R(g)| \geq |W(g)|$ ,  $|R(g)| \geq |Z(g)|$  and  $|R(g)| \geq |U(g)|$ , there exists a positive constant  $C_n$  that depends on  $n$  only such that

$$|P(W(g)_{ijkl}, Z(g)_{ijkl}, U(g)_{ijkl}, g_{ij})| \leq C_n |R(g)|^{\frac{n}{2}}.$$

Given a point  $x \in M$ , we choose an orthonormal basis  $\{e_1, \dots, e_n\}$  for the tangent space of  $M$  above  $x$ . Let  $\sigma_{ij}$  be the sectional curvature of the plane spanned by  $e_i$  and  $e_j$ ,  $i \neq j$ , with respect to the Riemannian metric  $g$  on  $M$ . Assume that  $\sigma_{ij} \leq 0$ . We may also assume that  $\sigma_{12}$  is the minimum of the sectional curvatures at the point  $x$ . We have

$$|S(g)| = \left| \sum_{i,j,i \neq j} \sigma_{ij} \right| \geq |\sigma_{12}|.$$

Let  $\sigma(\mathbf{u}, \mathbf{v})$  be the sectional curvature of the plane spanned by  $\mathbf{u}$  and  $\mathbf{v}$  in the tangent space of  $M$  above  $x$ . Then [7] we have

$$R(g)_{ijkl} = \frac{1}{6} \{ 4[\sigma(e_i + e_l, e_j + e_k) - \sigma(e_j + e_l, e_i + e_k)] \\ - 2[\sigma(e_i, e_j + e_k) + \sigma(e_j, e_i + e_l) + \sigma(e_k, e_i + e_l) + \sigma(e_l, e_j + e_k)] \\ + 2[\sigma(e_i, e_j + e_l) + \sigma(e_j, e_k + e_l) + \sigma(e_k, e_j + e_l) + \sigma(e_l, e_i + e_k)] \\ + \sigma_{ik} + \sigma_{jl} - \sigma_{il} - \sigma_{jk} \}.$$

There exists a positive constant  $C'$  which depends on  $n$  only, and with  $g_{ij} = \delta_{ij}$ , such that we obtain

$$|R(g)|^2 = \sum_{ijkl} R_{ijkl} R_{ijkl} \leq C'(\sigma_{12})^2 \leq C'|S(g)|^2,$$

and so

$$|P(W(g)_{ijkl}, Z(g)_{ijkl}, U(g)_{ijkl}, g_{ij})| \leq C_n C' |S(g)|^{\frac{n}{2}}.$$

Thus

$$|\chi(M)| \leq (|C_o| + C_n C') \int_M |S(g)|^{\frac{n}{2}} dv_g,$$

or

$$\int_M |S(h)|^{\frac{n}{2}} dv_h \leq C \int_M |S(g)|^{\frac{n}{2}} dv_g,$$

where  $C = 1 + C_n C' / |C_o|$  is a positive constant that depends on  $n$  only.  $\square$

*Remark.* From the proof of the above theorem, one can replace the condition of non-positive sectional curvature by a pinching condition that the absolute value of sectional curvature of any 2-plane above a point  $x \in M$  is lesser than or equal to  $c_n |S(g)(x)|$ , a positive constant times the absolute value of the scalar curvature at that point. Then we have

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq c' \int_M |S(h)|^{\frac{n}{2}} dv_h,$$

where  $c'$  is now a constant that depends both on  $n$  and  $c_n$ .

*Remark.* It is easy to see that the same result in theorem 2.6 holds for *conformally flat* metrics of nonpositive Ricci curvature.

The Gauss-Bonnet formula yields the following estimate on the  $L^{n/2}$ -norm of scalar curvature.

LEMMA 2.7. For an even integer  $n$  bigger than two, let  $(M, g)$  be a compact  $n$ -manifold with  $\chi(M) \neq 0$ . Then there exist positive constants  $\delta_n$  and  $\epsilon_n$ , depending on  $n$ , which can be chosen a priori such that if

$$\int_M |Z(g)|^{\frac{n}{2}} dv_g \leq \delta_n \quad \text{and} \quad \int_M |W(g)|^{\frac{n}{2}} dv_g \leq \epsilon_n$$

then

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq c_n,$$

where  $c_n$  is a positive constant that depends on  $n$  only.

*Proof.* As  $R(g) = W(g) + Z(g) + U(g)$ , applying the Gauss-Bonnet theorem we have

$$\chi(M) = C(n) \int_M P(W(g), Z(g), U(g)) dv_g,$$

where  $C(n)$  is a non-zero constant that depends on  $n$  only and  $P$  is a homogeneous polynomial of degree  $n/2$  in the components of  $W(g)$ ,  $Z(g)$  and  $U(g)$ . There exist positive constants  $C'_o, C_o, C_1, C_2, \dots, C_{\frac{n}{2}}$  and  $C(n_1, n_2, n_3)$ , which depend on  $n, n_1, n_2$  and  $n_3$  only, such that

$$\begin{aligned} (2.8) \quad |\chi(M)| &\leq \int_M (C'_o |U(g)|^{\frac{n}{2}} \\ &+ \sum_{n_1, n_2, n_3} C(n_1, n_2, n_3) \int_M |U(g)|^{n_1} |Z(g)|^{n_2} |W(g)|^{n_3} dv_g \\ &+ \int_M (C_o |Z(g)|^{\frac{n}{2}} + C_1 |Z(g)|^{\frac{n}{2}-1} |W(g)| \\ &+ C_2 |z(g)|^{\frac{n}{2}-2} |W(g)|^2 + \dots + C_{\frac{n}{2}} |W(g)|^{\frac{n}{2}}) dv_g, \end{aligned}$$

where  $n_1, n_2$ , and  $n_3$  are positive integers such that  $n_1 + n_2 + n_3 = n/2$  and  $n_1 < n/2$ . For positive numbers  $s, t, p$  and  $q$  such that

$$s + t = \frac{n}{2} \quad \text{and} \quad \frac{1}{p} + \frac{1}{q} = 1,$$

a calculation shows that if  $tq = n/2$ , then we have  $sp = n/2$  as well. Applying the Hölder's inequality to (2.8) (twice to the terms with  $n_1, n_2$ , and  $n_3$ ), we have

$$\begin{aligned} (2.9) \quad |\chi(M)| &\leq C'_o \int_M |U(g)|^{\frac{n}{2}} dv_g \\ &+ \sum_{n_1, n_2, n_3} C(n_1, n_2, n_3) \left( \int_M |U(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{p_{n_1}}} \left( \int_M |Z(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{r_{n_2}}} \end{aligned}$$

$$\begin{aligned} & \times \left( \int_M |W(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{q_{n_3}}} + C_o \int_M |Z(g)|^{\frac{n}{2}} dv_g \\ & + C_1 \left( \int_M |Z(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{p_1}} \left( \int_M |W(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{q_1}} + \dots \\ & + C_{\frac{n}{2}-1} \left( \int_M |Z(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{p_{\frac{n}{2}-1}}} \left( \int_M |W(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{q_{\frac{n}{2}-1}}} \\ & + C_{\frac{n}{2}} \int_M |W(g)|^{\frac{n}{2}} dv_g, \end{aligned}$$

where

$$p_{n_1}, r_{n_2}, q_{n_3}, \quad p_1, \dots, p_{\frac{n}{2}-1} \quad \text{and} \quad q_1, \dots, q_{\frac{n}{2}-1}$$

are positive constants specified in the Hölder’s inequality. If we choose  $\delta_n$  and  $\epsilon_n$  (which depend on  $C_o, C_1, \dots, C_{n/2}$ , i.e., depend on  $n$  only) sufficiently small so that

$$\int_M |Z(g)|^{\frac{n}{2}} dv_g \leq \delta_n \quad \text{and} \quad \int_M |W(g)|^{\frac{n}{2}} dv_g \leq \epsilon_n$$

then

$$\begin{aligned} & C_o \int_M |Z(g)|^{\frac{n}{2}} dv_g + C_1 \left( \int_M |Z(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{p_1}} \left( \int_M |W(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{q_1}} + \dots \\ & + C_{\frac{n}{2}-1} \left( \int_M |Z(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{p_{\frac{n}{2}-1}}} \left( \int_M |W(g)|^{\frac{n}{2}} dv_g \right)^{\frac{1}{q_{\frac{n}{2}-1}}} \\ & + C_{\frac{n}{2}} \int_M |W(g)|^{\frac{n}{2}} dv_g \leq \frac{1}{2}, \end{aligned}$$

and the fact that

$$|U(g)|^2 = \frac{2S(g)^2}{n(n-1)}$$

(2.9) gives

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq 2c_n \left( |\chi(M)| - \frac{1}{2} \right) \geq c_n,$$

as  $\chi(M) \neq 0$  and hence  $|\chi(M)| \geq 1$ . Here  $c_n$  is a positive constant that depends on  $n$  only.  $\square$

**COROLLARY 2.10.** *For an even integer  $n$  bigger than two, let  $(M, g)$  be a compact Einstein  $n$ -manifold with  $\text{Ric}(g) = \pm(n-1)g$ . If  $\chi(M) \neq 0$  and*

$$\int_M |W(g)|^{\frac{n}{2}} dv_g \leq \frac{1}{2C_{\frac{n}{2}}}$$

then  $\text{Vol}(M, g) \geq c'_n$ , where  $C_{\frac{n}{2}}$  is the same constant as in (2.9) and  $c'_n$  is a positive constant that depends on  $n$  only.

*Proof.* As  $(M, g)$  is an Einstein manifold, we have  $Z(g) = 0$ . Therefore in (2.9), the terms involving  $Z(g)$  vanish and we just need

$$\int_M |W(g)|^{\frac{n}{2}} dv_g \leq \frac{1}{2C_{\frac{n}{2}}}$$

to conclude that

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq c_n.$$

Using the fact that

$$|S(g)| = n(n - 1)$$

for an Einstein manifold with  $\text{Ric}(g) = \pm(n - 1)g$ , we obtain the result.  $\square$

**COROLLARY 2.11.** *For an even integer  $n$  bigger than two, let  $(M, g)$  be a compact Einstein  $n$ -manifold with  $\text{Ric}(g) = (n - 1)g$  and  $\chi(M) \neq 0$ . Then there exists a positive number  $\varepsilon_n$ , which depends on  $n$  only, such that if*

$$\int_M |W(g)|^{\frac{n}{2}} dv_g \leq \varepsilon_n,$$

*then  $g$  has constant positive sectional curvature. In the case  $n = 4$ , we can drop the assumption that  $\chi(M) \neq 0$ .*

*Proof.* If we take  $\varepsilon_n < 1/(2C_{\frac{n}{2}})$ , then Corollary (2.9) shows that  $\text{Vol}(M, g) \geq c'_n$  for some positive constant  $c'_n$  that depends on  $n$  only. A result in [15] shows that there exists a positive constant  $c''_n$  which depends on  $n$  only, such that if

$$\int_M |W(g)|^{\frac{n}{2}} dv_g \leq c''_n \text{Vol}(M, g),$$

then  $g$  is a metric of constant sectional curvature one. We can take  $\varepsilon_n = \min\{c'_n c''_n, 1/(2C_{\frac{n}{2}})\}$ . If  $n = 4$ , then the Gauss-Bonnet formula for an Einstein metric has the form

$$\chi(M) = \frac{1}{8\pi^2} \int_M (|U(g)|^2 + |W(g)|^2) dv_g.$$

It follows that  $\chi(M) \neq 0$  if  $\text{Ric}(g) = (n - 1)g$ .  $\square$

*Remark.* Similar pinching results are obtained in [12] and [9].

### 3. Ricci curvature flow

Let  $(M, g_o)$  be a compact Riemannian manifold. In this section we consider the Ricci curvature flow

$$(3.1) \quad \frac{\partial g}{\partial t} = -2\mathbf{z}(g) - \frac{2\delta S(g)}{n}g, \quad g(0) = g_o,$$

where  $\mathbf{z}(g) = \text{Ric}(g) - [S(g)/n]g$  is the trace free Ricci tensor as in Section 1 and

$$\delta S(g) = S(g) - \frac{\int_M S(g) dv_g}{\int_M dv_g}.$$

The Ricci curvature flow has been studied extensively by Hamilton, Huisken, Margerin, Nishikawa, Shi, Ye, and many others in respect to the questions of long time existence and convergence; we refer to [17] for comprehensive references. It has been shown that if  $(M, h)$  is a compact Einstein manifold of strictly negative sectional curvature, then there exists an open neighborhood of  $h$  in the space of smooth metrics with  $C^\infty$ -norm such that each metric  $g_o$  in that open neighborhood converges to  $h$  under the Ricci curvature flow (3.1) [14], [17]. Furthermore, we can choose an open neighborhood such that the Ricci curvature remains negative during the Ricci curvature flow.

LEMMA 3.2. *For  $n \geq 4$ , let  $M$  be a compact  $n$ -manifold. Let  $g$  be a solution to the Ricci curvature flow equation (3.1) on the time interval  $(0, t')$ , where  $t'$  may equal infinity. Assume that  $\lim_{t \rightarrow t'} g = g'$  is a smooth Riemannian metric on  $M$ . If  $S(g) < 0$  for  $t \in (0, t')$ , then*

$$\frac{d}{dt} \int_M |S(g)|^{\frac{n}{2}} dv_g \leq 0 \quad \text{for all } t \in (0, t').$$

Hence

$$\int_M |S(g_o)|^{\frac{n}{2}} dv_{g_o} \geq \int_M |S(g')|^{\frac{n}{2}} dv_{g'}.$$

*Proof.* From (3.1) (see [11], [16]) we have

$$(3.3) \quad \frac{dS(g)}{dt} = \Delta S(g) + 2|\mathbf{z}|^2 + \frac{2\delta S(g)}{n}S(g).$$

As  $\mathbf{z}(g)$  is trace-free, we have

$$(3.4) \quad (dv_g)' = \frac{1}{2} \text{tr}_g \left( \frac{dg}{dt} \right) dv_g = -\delta S(g).$$

Therefore

$$\begin{aligned}
 \frac{d}{dt} \int_M |S(g)|^{\frac{n}{2}} dv_g &= \int_M \frac{n}{2} |S(g)|^{\frac{n}{2}-1} \frac{d}{dt} |S(g)| dv_g + \int_M |S(g)|^{\frac{n}{2}} (dv_g)' \\
 &= \int_M \frac{n}{2} |S(g)|^{\frac{n}{2}-1} \left( -\frac{d}{dt} S(g) \right) dv_g \\
 &\quad - \int_M |S(g)|^{\frac{n}{2}} (\delta S(g)) dv_g \quad (\text{as } S(g) < 0) \\
 &= \int_M \frac{n}{2} |S(g)|^{\frac{n}{2}-1} \left( -\Delta S(g) - 2|\mathbf{z}|^2 - \frac{2\delta S(g)}{n} S(g) \right) dv_g \\
 &\quad - \int_M |S(g)|^{\frac{n}{2}} (\delta S(g)) dv_g \\
 &= - \int_M \left( \frac{n}{2} \right) \left( \frac{n}{2} - 1 \right) |S(g)|^{\frac{n}{2}-2} |\nabla |S(g)||^2 \\
 &\quad - 2 \int_M \frac{n}{2} |S(g)|^{\frac{n}{2}-1} |\mathbf{z}|^2 dv_g \leq 0,
 \end{aligned}$$

as  $-\Delta S(g) = \Delta |S(g)|$ .  $\square$

**THEOREM 3.5** [4]. *For  $n \geq 4$ , let  $(M, h)$  be a compact Einstein  $n$ -manifold of strictly negative sectional curvature. Then there exists an open neighborhood of  $h$  in the space of smooth metrics on  $M$  with  $C^\infty$ -norm such that for any metric  $g$  in the open neighborhood,*

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq \int_M |S(h)|^{\frac{n}{2}} dv_h.$$

*Proof.* The existence of such an open neighborhood of  $h$  for which the the Ricci curvature flow (3.1) converges to  $h$  is shown in [17]. Furthermore, we may choose the open neighborhood such that the scalar curvature remains negative during the Ricci curvature flow. Then we can apply Lemma 3.2.

**THEOREM 3.6.** *For  $n \geq 4$ , let  $(M, h)$  be a compact hyperbolic  $n$ -manifold. Then there exists an open neighborhood of  $h$  in the space of smooth metrics on  $M$  with  $C^\infty$ -norm such that for any metric  $g_o$  in the open neighborhood, if  $g$  is a solution to the Ricci curvature flow (3.1) with initial condition  $g_o$ , then*

$$\frac{d}{dt} \int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g \leq 0.$$

*Proof.* As  $|\text{Ric}(g)|^2 = |\mathbf{z}(g)|^2 + S(g)^2/n$ , we have

$$\frac{d}{dt} (|\text{Ric}(g)|^{\frac{n}{2}}) = \frac{d}{dt} (|\text{Ric}(g)|^2)^{\frac{n}{4}}$$

$$\begin{aligned}
 &= \frac{n}{4} (|\text{Ric}(g)|^2)^{\frac{n}{4}-1} \frac{d}{dt} |\text{Ric}(g)|^2 \\
 &= \frac{n}{4} (|\text{Ric}(g)|^2)^{\frac{n}{4}-1} \frac{d}{dt} \left( |\mathbf{z}(g)|^2 + \frac{S(g)^2}{n} \right).
 \end{aligned}$$

We have (see [17])

$$\frac{\partial}{\partial t} |\mathbf{z}(g)|^2 = \Delta |\mathbf{z}(g)|^2 - 2|\nabla \mathbf{z}(g)|^2 + 4Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) + \frac{4}{n} \delta S(g) |\mathbf{z}(g)|^2,$$

where  $Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) = g^{i'j'} g^{k'k'} g^{l'l'} R(g)_{ijkl} \mathbf{z}(g)_{i'k'} \mathbf{z}(g)_{j'l'}$ . From (3.3) we have

$$\begin{aligned}
 \frac{\partial}{\partial t} |S|^2 &= 2S(g) \Delta S(g) + 4S(g) |\mathbf{z}(g)|^2 + \frac{4}{n} \delta S(g) S(g)^2 \\
 &= \Delta |S(g)|^2 - 2|\nabla |S(g)||^2 + 4S(g) |\mathbf{z}(g)|^2 + \frac{4}{n} \delta S(g) S(g)^2,
 \end{aligned}$$

as  $S(g) < 0$  and  $\Delta u^2 = 2u \Delta u + 2|\nabla u|^2$ . Therefore

$$\begin{aligned}
 \frac{d}{dt} \int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g &= \int_M \frac{n}{4} [ (|\text{Ric}(g)|^2)^{\frac{n}{4}-1} (\Delta |\mathbf{z}(g)|^2 - 2|\nabla \mathbf{z}(g)|^2 \\
 &\quad + 4Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) + \frac{4}{n} \delta S(g) |\mathbf{z}(g)|^2 \\
 &\quad + \frac{1}{n} (\Delta |S(g)|^2 - 2|\nabla |S(g)||^2) + \frac{4}{n} S(g) |\mathbf{z}(g)|^2 \\
 &\quad + \frac{4}{n} \delta S(g) \frac{S(g)^2}{n} ] dv_g - \int_M |\text{Ric}(g)|^{\frac{n}{2}} \delta S(g) dv_g \\
 &= \int_M \frac{n}{4} \left( \frac{n}{4} - 1 \right) (|\text{Ric}(g)|^2)^{\frac{n}{4}-2} (-|\nabla \text{Ric}(g)|^2 \\
 &\quad + 4Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) - \frac{2}{n} |\nabla |S(g)||^2 + \frac{4}{n} S(g) |\mathbf{z}(g)|^2) dv_g,
 \end{aligned}$$

as  $\Delta |\mathbf{z}(g)|^2 + \frac{1}{n} \Delta |S(g)|^2 = \Delta |\text{Ric}(g)|^2$ . Therefore  $\frac{d}{dt} \int_M |\text{Ric}(g)|^{\frac{n}{2}} dv_g \leq 0$  if we can show that

$$Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) + \frac{1}{n} S(g) |\mathbf{z}(g)|^2 \leq 0.$$

**LEMMA 3.7.** *There exists a positive constant  $\epsilon$  which depends on  $n$  only ( $n \geq 4$ ) such that if  $(M, g)$  is a compact Riemannian  $n$ -manifold with sectional curvature  $K$  satisfying  $-1 - \epsilon \leq K \leq -1 + \epsilon$ , then*

$$nRm(\mathbf{z}(g)) \cdot \mathbf{z}(g) + S(g) |\mathbf{z}(g)|^2 \leq 0.$$

*Proof.* We show the case  $n = 4$  first. Let  $x \in M$ . Choose an orthonormal basis  $\{e_1, e_2, e_3, e_4\}$  for the tangent space above  $x$  such that, at the point  $x$ ,

$$g_{ij} = \delta_{ij} \quad \text{and} \quad \mathbf{z}(g)_{ij} = \lambda_i \delta_{ij} \quad \text{for } 1 \leq i, j \leq 4.$$

Let  $\sigma_{ij}$  be the sectional curvature of the plane spanned by  $e_i$  and  $e_j$ . Then, at the point  $x \in M$ ,

$$\begin{aligned} Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) &= R(g)_{ijkl} \mathbf{z}(g)_{i'k'} \mathbf{z}(g)_{j'l'} g^{ii'} g^{jj'} g^{kk'} g^{ll'} \\ &= \sum_{i \neq j} R(g)_{ijij} \mathbf{z}(g)_{ii} \mathbf{z}(g)_{jj} \\ &= \sum_{i \neq j} \sigma_{ij} \lambda_i \lambda_j. \end{aligned}$$

Therefore

$$\begin{aligned} 4Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) + S(g)|\mathbf{z}(g)|^2 &= 4 \sum_{i \neq j} \sigma_{ij} \lambda_i \lambda_j + \sum_{i \neq j} \sigma_{ij} (\lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \\ &= \sum_{i \neq j} \sigma_{ij} (4\lambda_i \lambda_j + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2). \end{aligned}$$

We need to show that

$$(3.8) \quad \sum_{i \neq j} \sigma_{ij} (4\lambda_i \lambda_j + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \leq 0.$$

Assume that  $-1 - \epsilon \leq \sigma_{ij} \leq -1 + \epsilon$  for  $1 \leq i, j \leq 4$ . Then

$$\begin{aligned} (3.9) \quad \sigma_{12} (4\lambda_1 \lambda_2 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) &+ \sigma_{34} (4\lambda_3 \lambda_4 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \\ &= -2[(\lambda_1 + \lambda_2)^2 + (\lambda_3 + \lambda_4)^2] \\ &+ O(\epsilon)[4(\lambda_1 \lambda_2 + \lambda_3 \lambda_4) + 2(\lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2)]. \end{aligned}$$

And

$$\begin{aligned} (3.10) \quad \sigma_{13} (4\lambda_1 \lambda_3 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) &+ \sigma_{14} (4\lambda_1 \lambda_4 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \\ &+ \sigma_{23} (4\lambda_2 \lambda_3 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) + \sigma_{24} (4\lambda_2 \lambda_4 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \\ &= -[2\lambda_1 \lambda_3 + (\lambda_1 + \lambda_3)^2 + \lambda_2^2 + \lambda_4^2 + 2\lambda_1 \lambda_4 + (\lambda_1 + \lambda_4)^2 + \lambda_2^2 + \lambda_3^2 \\ &+ 2\lambda_2 \lambda_3 + (\lambda_2 + \lambda_3)^2 + \lambda_1^2 + \lambda_4^2 + 2\lambda_2 \lambda_4 + (\lambda_2 + \lambda_4)^2 + \lambda_1^2 + \lambda_3^2] \\ &+ O(\epsilon)[4(\lambda_1 \lambda_3 + \lambda_1 \lambda_4 + \lambda_2 \lambda_3 + \lambda_2 \lambda_4 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2)]. \end{aligned}$$

Since

$$-[(\lambda_1 + \lambda_2)^2 + (\lambda_3 + \lambda_4)^2 + 2(\lambda_1 \lambda_3 + \lambda_1 \lambda_4 + \lambda_2 \lambda_3 + \lambda_2 \lambda_4)] = -[(\lambda_1 + \lambda_2) + (\lambda_3 + \lambda_4)]^2,$$

we add (3.9) and (3.10) together to obtain

$$\begin{aligned}
 (3.11) \quad & \sigma_{12}(4\lambda_1\lambda_2 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) + \sigma_{34}(4\lambda_3\lambda_4 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \\
 & + \sigma_{13}(4\lambda_1\lambda_3 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) + \sigma_{14}(4\lambda_1\lambda_4 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \\
 & + \sigma_{23}(4\lambda_2\lambda_3 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) + \sigma_{24}(4\lambda_2\lambda_4 + \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2) \\
 & = - [(\lambda_1 + \lambda_2 + \lambda_3 + \lambda_4)^2 + (\lambda_1 + \lambda_2)^2 + (\lambda_3 + \lambda_4)^2 + (\lambda_1 + \lambda_3)^2 \\
 & + (\lambda_1 + \lambda_4)^2 + (\lambda_2 + \lambda_4)^2 + (\lambda_2 + \lambda_3)^2 + 2(\lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2)] \\
 & + O(\epsilon)[4(\lambda_1\lambda_2 + \lambda_3\lambda_4 + \lambda_1\lambda_3 + \lambda_1\lambda_4 + \lambda_2\lambda_3 + \lambda_2\lambda_4) \\
 & + 6(\lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2)] \leq 0.
 \end{aligned}$$

The last inequality holds if we choose  $\epsilon$  to be small, as the term  $(\lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2)$  will dominate all the terms with  $\epsilon$ . We can explicitly choose  $\epsilon = 1/4$ . As  $\sigma_{ij} = \sigma_{ji}$ , the remaining six terms in (3.8) is in fact the same as in (3.11). Hence

$$4Rm(\mathbf{z}(g)) \cdot \mathbf{z}(g) + S(g)|\mathbf{z}(g)|^2 \leq 0.$$

For  $n > 4$ , the proof is similar but more complicated. Choose an orthonormal basis for the tangent space above  $x \in M$  such that  $\mathbf{z}(g)_{ij} = \lambda_i \delta_{ij}$  for  $1 \leq i, j \leq n$ . We need to show that

$$\sum_{i < j, 1 \leq i, j \leq n} \sigma_{ij}(n\lambda_i\lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2) \leq 0.$$

By induction, we may assume that there exists a positive number  $c_{n-1}$  such that

$$\begin{aligned}
 & \sum_{i < j, 1 \leq i, j \leq n-1} \sigma_{ij}[(n-1)\lambda_i\lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2] \\
 & \leq -c_{n-1}(\lambda_1^2 + \dots + \lambda_{n-1}^2) \\
 & \quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n-1} \lambda_i\lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 \right)
 \end{aligned}$$

Then

$$\begin{aligned}
 & \sum_{i < j, 1 \leq i, j \leq n-1} \sigma_{ij}[n\lambda_i\lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2] \\
 & \leq -c_{n-1}(\lambda_1^2 + \dots + \lambda_{n-1}^2) - \sum_{i < j, 1 \leq i, j \leq n-1} \lambda_i\lambda_j - \frac{(n-1)(n-2)}{2}\lambda_n^2 \\
 & \quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n-1} \lambda_i\lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2 \right)
 \end{aligned}$$

In the sum  $\sum_{i < j, 1 \leq i, j \leq n-1} \lambda_i\lambda_j$ , each  $\lambda_i$  appears  $n - 2$  times for  $1 \leq i \leq n - 1$ . We have

$$\sum_{i < j, 1 \leq i, j \leq n} \sigma_{ij}(n\lambda_i\lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2)$$

$$\begin{aligned}
&\leq -c_{n-1}(\lambda_1^2 + \cdots + \lambda_{n-1}^2) - \sum_{i < j, 1 \leq i, j \leq n-1} \lambda_i \lambda_j - \frac{(n-1)(n-2)}{2} \lambda_n^2 \\
&\quad - (n\lambda_1 \lambda_n + \lambda_1^2 + \cdots + \lambda_{n-1}^2 + \lambda_n^2) \\
&\quad \vdots \\
&\quad - (n\lambda_{n-1} \lambda_n + \lambda_1^2 + \cdots + \lambda_{n-1}^2 + \lambda_n^2) \\
&\quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n} \lambda_i \lambda_j + \lambda_1^2 + \cdots + \lambda_{n-1}^2 + \lambda_n^2 \right) \\
&= -c_{n-1}(\lambda_1^2 + \cdots + \lambda_{n-1}^2) - \sum_{i < j, 1 \leq i, j \leq n-1} \lambda_i \lambda_j - n(\lambda_1 \lambda_n + \cdots + \lambda_{n-1} \lambda_n) \\
&\quad - (n-1)(\lambda_1^2 + \cdots + \lambda_{n-1}^2) - \left[ \frac{(n-1)(n-2)}{2} + (n-1) \right] \lambda_n^2 \\
&\quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n} \lambda_i \lambda_j + \lambda_1^2 + \cdots + \lambda_{n-1}^2 + \lambda_n^2 \right) \\
&= -c_{n-1}(\lambda_1^2 + \cdots + \lambda_{n-1}^2) - \sum_{i < j, 1 \leq i, j \leq n-1} \left( \frac{1}{2} \lambda_i + \lambda_i \lambda_j + \frac{1}{2} \lambda_j \right) \\
&\quad - \frac{n}{2}(\lambda_1^2 + \cdots + \lambda_{n-1}^2) - n(\lambda_1 \lambda_n + \cdots + \lambda_{n-1} \lambda_n) - \left( \frac{n}{2} \right) (n-1) \lambda_n^2 \\
&\quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n} \lambda_i \lambda_j + \lambda_1^2 + \cdots + \lambda_{n-1}^2 + \lambda_n^2 \right) \\
&= -c_{n-1}(\lambda_1^2 + \cdots + \lambda_{n-1}^2) - \frac{1}{2}(\lambda_1 + \lambda_2)^2 - (\lambda_3 + \lambda_n)^2 \\
&\quad - \sum_{i < j, 1 \leq i, j \leq n-1, (i, j) \neq (1, 2)} \left( \frac{1}{2} \lambda_i^2 + \lambda_i \lambda_j + \frac{1}{2} \lambda_j^2 \right) \\
&\quad - \left( \frac{n}{2} \lambda_1^2 + \frac{n}{2} \lambda_2^2 + \left( \frac{n}{2} - 1 \right) \lambda_3^2 + \frac{n}{2} \lambda_4^2 + \cdots + \frac{n}{2} \lambda_{n-1}^2 \right) \\
&\quad - (n\lambda_1 \lambda_n + n\lambda_2 \lambda_n + (n-2)\lambda_3 \lambda_n + n\lambda_4 \lambda_n + \cdots + n\lambda_{n-1} \lambda_n) \\
&\quad - \left[ \left( \frac{n}{2} \right) (n-1) - 1 \right] \lambda_n^2 \\
&\quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n} \lambda_i \lambda_j + \lambda_1^2 + \cdots + \lambda_{n-1}^2 + \lambda_n^2 \right).
\end{aligned}$$

We have

$$\begin{aligned}
&\frac{1}{2}(\lambda_1 + \lambda_2)^2 + (\lambda_3 + \lambda_n)^2 + \sqrt{2}(\lambda_1 \lambda_3 + \lambda_1 \lambda_n + \lambda_2 \lambda_3 + \lambda_2 \lambda_n) \\
&= \left( \frac{1}{\sqrt{2}} \lambda_1 + \frac{1}{\sqrt{2}} \lambda_2 + \lambda_3 + \lambda_n \right)^2.
\end{aligned}$$

Therefore

$$\begin{aligned}
 & \sum_{i < j, 1 \leq i, j \leq n} \sigma_{ij} (n\lambda_i \lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2) \\
 & \leq -c_{n-1} (\lambda_1^2 + \dots + \lambda_{n-1}^2) - \left( \frac{1}{\sqrt{2}} \lambda_1 + \frac{1}{\sqrt{2}} \lambda_2 + \lambda_3 + \lambda_n \right)^2 \\
 & \quad - \sum_{i < j, 1 \leq i, j \leq n-1, (i,j) \neq (1,2), (1,3), (2,3)} \frac{1}{2} (\lambda_i + \lambda_j)^2 \\
 & \quad - \left( \frac{1}{2} \lambda_1^2 - (\sqrt{2} - 1) \lambda_1 \lambda_3 + \frac{1}{2} \lambda_3^2 \right) \\
 & \quad - \left( \frac{1}{2} \lambda_2^2 - (\sqrt{2} - 1) \lambda_2 \lambda_3 + \frac{1}{2} \lambda_3^2 \right) \\
 & \quad - \left( \frac{n}{2} \lambda_1^2 + \frac{n}{2} \lambda_2^2 + \left( \frac{n}{2} - 1 \right) \lambda_3^2 + \frac{n}{2} \lambda_4^2 + \dots + \frac{n}{2} \lambda_{n-1}^2 \right) \\
 & \quad - \left[ (n - \sqrt{2}) \lambda_1 \lambda_n + (n - \sqrt{2}) \lambda_2 \lambda_n + (n - 2) \lambda_3 \lambda_n + n \lambda_4 \lambda_n + \dots + n \lambda_{n-1} \lambda_n \right] \\
 & \quad - \left[ \left( \frac{n}{2} \right) (n - 1) - 1 \right] \lambda_n^2 + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n} \lambda_i \lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2 \right) \\
 & \leq -c_n (\lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2) - \left( \frac{1}{\sqrt{2}} \lambda_1 + \frac{1}{\sqrt{2}} \lambda_2 + \lambda_3 + \lambda_4 \right)^2 \\
 & \quad - \sum_{i < j, 1 \leq i, j \leq n-1, (i,j) \neq (1,2), (1,3), (2,3)} \frac{1}{2} (\lambda_i + \lambda_j)^2 \\
 & \quad - \frac{\sqrt{2} - 1}{2} [(\lambda_1 - \lambda_3)^2 + (\lambda_2 + \lambda_3)^2] \\
 & \quad - \frac{n}{2} [(\lambda_3 + \lambda_n)^2 + \dots + (\lambda_{n-1} + \lambda_n)^2] - \frac{n - \sqrt{2}}{2} [(\lambda_1 + \lambda_n)^2 + (\lambda_2 + \lambda_n)^2] \\
 & \quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n} \lambda_i \lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2 \right),
 \end{aligned}$$

where in the last inequality  $c_n$  is a positive constant. Therefore

$$\begin{aligned}
 & \sum_{i < j, 1 \leq i, j \leq n} \sigma_{ij} [n\lambda_i \lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2] \\
 & \leq -c_n (\lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2) \\
 & \quad + O(\epsilon) \left( \sum_{i < j, 1 \leq i, j \leq n} \lambda_i \lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2 \right).
 \end{aligned}$$

Hence we can choose  $\epsilon$  sufficiently small so that

$$\sum_{i < j, 1 \leq i, j \leq n} \sigma_{ij}(n\lambda_i\lambda_j + \lambda_1^2 + \dots + \lambda_{n-1}^2 + \lambda_n^2) \leq 0.$$

By induction, we have finished the proof for all  $n \geq 4$ .  $\square$

*Proof of Theorem 3.6 continued.* We may choose an open neighborhood of  $h$  such that the sectional curvatures of all the metrics in the open neighborhood is sufficiently pinched. As shown in [17], curvature pinching is preserved during the Ricci curvature flow. Therefore we can apply Lemma 3.7 to finish the proof.

*Remark.* We may apply Lemma 3.7 to show theorem 3 in the introduction.

### 4. Conformal changes of metrics

We begin with the following lemma (cf. [4]), which says that among all conformal metrics, the ones with constant nonpositive scalar curvatures have minimal  $L^{\frac{n}{2}}$ -norms of scalar curvatures. The result has been proved in [4]. For the sake of completeness we present a proof here, using a different scalar curvature equation.

LEMMA 4.1. *Let  $M$  be a compact  $n$ -manifold with  $n \geq 3$  and  $g$  be a Riemannian metric on  $M$  with constant nonpositive scalar curvature. Then for any metric  $g'$  that is conformal to  $g$ , we have*

$$\int_M |S(g')|^{\frac{n}{2}} dv_{g'} \geq \int_M |S(g)|^{\frac{n}{2}} dv_g,$$

where equality holds if and only if  $g' = cg$  for some positive constant  $c$

*Proof.* Let  $g = u^{\frac{4}{n-2}} g'$  with  $u > 0$ . If  $S(g')$  is the scalar curvature of the metric  $g'$ , then

$$(4.2) \quad C_n \Delta' u - S(g')u = -S(g)u^{\frac{n+2}{n-2}},$$

where  $C_n = 4(n - 1)/(n - 2)$  and  $\Delta'$  is the Laplacian for the metric  $g'$ . Multiplying (4.2) by  $u$  and then integrating by parts we have

$$\begin{aligned} -C_n \int_M |\nabla u|_{g'}^2 dv_{g'} - \int_M S(g')u^2 dv_{g'} &= |S(g)| \int_M u^{\frac{2n}{n-2}} dv_{g'} \\ &= |S(g)| \text{Vol}(M, g), \end{aligned}$$

as  $S(g)$  is a nonpositive constant. Therefore

$$(4.3) \quad - \int_M S(g')u^2 dv_{g'} \geq |S(g)| \text{Vol}(M, g),$$

and equality holds if and only if  $u$  is a constant. Using Hölder’s inequality we obtain

$$\left( \int_M |S(g')|^{\frac{n}{2}} dv_{g'} \right)^{\frac{2}{n}} \left( \int_M u^{\frac{2n}{n-2}} dv_{g'} \right)^{\frac{n-2}{n}} \geq - \int_M S(g')u^2 dv_{g'}.$$

Combine with (4.3) to obtain

$$\left( \int_M |S(g')|^{\frac{n}{2}} dv_{g'} \right)^{\frac{2}{n}} (\text{Vol}(M, g))^{\frac{n-2}{n}} \geq |S(g)| \text{Vol}(M, g).$$

That is,

$$\int_M |S(g')|^{\frac{n}{2}} dv_{g'} \geq |S(g)|^{\frac{2}{n}} \text{Vol}(M, g) = \int_M |S(g)|^{\frac{n}{2}} dv_g. \quad \square$$

For a Riemannian metric  $g$  on a compact manifold  $M$ , the Yamabe invariant is defined as

$$(4.4) \quad Q(M, g) = \inf \left\{ \frac{\frac{4(n-1)}{n-2} \int_M |\nabla u|^2 dv_g + \int_M R_g u^2 dv_g}{\left( \int_M |u|^{\frac{2n}{n-2}} dv_g \right)^{\frac{n-2}{n}}} \mid u \in C^\infty(M), u \not\equiv 0 \right\}.$$

It is known that the Yamabe invariant for the standard unit sphere is equal to the best constant for the Sobolev inequality on  $\mathbf{R}^n$  (Theorem 3.3 of [13]); i.e.,

$$Q(S^n, g_o) = n(n - 1)\omega_n^{\frac{2}{n}},$$

where  $\omega_n$  is the volume of the unit  $n$ -sphere.

Lemma (4.1) does not hold in general for constant positive scalar curvature. However, for Einstein metrics with positive scalar curvature we have the following result.

LEMMA 4.5. *For  $n \geq 3$ , let  $(M, g_o)$  be a compact Einstein manifold with positive scalar curvature. Then for any metric  $g$  that is conformal to  $g_o$ , we have*

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq \int_M |S(g_o)|^{\frac{n}{2}} dv_{g_o}.$$

*Proof.* As the scalar curvature of  $(M, g_o)$  is positive, we have  $Q(M, g_o) > 0$ . If  $Q(M, g) < n(n - 1)\omega_n^{\frac{2}{n}}$ , then there is a smooth positive function  $u$  such that

$$Q(M, g) = \frac{\frac{4(n-1)}{n-2} \int_M |\nabla u|^2 dv_g + \int_M R_g u^2 dv_g}{\left( \int_M |u|^{\frac{2n}{n-2}} dv_g \right)^{\frac{n-2}{n}}},$$

and the metric  $u^{4/(n-2)}g_o$  has constant positive scalar curvature. Obata’s theorem A implies that  $u$  is a positive constant and

$$Q(M, g) = n(n - 1) \text{Vol}(M, g_o)^{\frac{2}{n}}.$$

The same relation holds of the standard  $n$ -sphere. (4.4) gives the inequality

$$(4.6) \quad n(n-1) \text{Vol}(M, g_o)^{\frac{2}{n}} \left( \int_M |u|^{\frac{2n}{n-2}} dv_{g_o} \right)^{\frac{n-2}{n}} \leq 4 \frac{n-1}{n-2} \int_M |\nabla u|^2 dv_{g_o} + \int_M R_{g_o} u^2 dv_{g_o},$$

for  $u \in C^\infty(M)$ . Let  $g = u^{\frac{4}{n-2}} g_o, u > 0$ . We have

$$(4.7) \quad 4 \frac{n-1}{n-2} \Delta_o u - S(g_o)u = -S(g)u^{\frac{n+2}{n-2}},$$

where  $\Delta_o$  is the Laplacian for  $(S^n, g_o)$ . Multiplying (4.7) by  $u$  and then integrating by parts we obtain

$$(4.8) \quad 4 \frac{n-1}{n-2} \int_M |\nabla u|^2 dv_{g_o} + \int_M S(g_o)u^2 dv_{g_o} = \int_M S(g)u^{\frac{2n}{n-2}} dv_{g_o}.$$

Applying the Hölder's inequality and the inequality (4.6) we have

$$\begin{aligned} \int_M S(g)u^{\frac{2n}{n-2}} dv_{g_o} &\leq \left( \int_M |S(g)|^{\frac{n}{2}} u^{\frac{2n}{n-2}} dv_{g_o} \right)^{\frac{2}{n}} \left( \int_M u^{\frac{2n}{n-2}} dv_{g_o} \right)^{\frac{n-2}{n}} \\ &\leq [n(n-1) \text{Vol}(M, g_o)^{\frac{2}{n}}]^{-1} \left( \int_M |S(g)|^{\frac{n}{2}} dv_g \right)^{\frac{2}{n}} \\ &\quad \times \left( 4 \frac{n-1}{n-2} \int_M |\nabla u|^2 dv_{g_o} + \int_M u^2 dv_{g_o} \right). \end{aligned}$$

So from (4.8) we obtain

$$\begin{aligned} 4 \frac{n-1}{n-2} \int_M |\nabla u|^2 dv_{g_o} + \int_M S(g)u^2 dv_{g_o} \\ \leq [n(n-1) \text{Vol}(M, g_o)^{\frac{2}{n}}]^{-1} \left( \int_M |S(g)|^{\frac{n}{2}} dv_g \right)^{\frac{2}{n}} \\ \times \left( 4 \frac{n-1}{n-2} \int_M |\nabla u|^2 dv_{g_o} + \int_M u^2 dv_{g_o} \right). \end{aligned}$$

We must have

$$[n(n-1) \text{Vol}(M, g_o)^{\frac{2}{n}}]^{-1} \left( \int_M |S(g)|^{\frac{n}{2}} dv_g \right)^{\frac{2}{n}} \geq 1,$$

or

$$\int_M |S(g)|^{\frac{n}{2}} dv_g \geq [n(n-1)]^{\frac{n}{2}} \text{Vol}(M, g_o) = \int_M |S(g_o)|^{\frac{n}{2}} dv_{g_o},$$

as  $S(g_o) = n(n-1)$ .  $\square$

**COROLLARY 4.9.** *For any metric  $g$  on  $S^n$  that is conformal to  $g_o$  and with  $S(g) \leq n(n - 1)$ , we have  $\text{Vol}(S^n, g) \geq \text{Vol}(S^n, g_o)$*

**PROPOSITION 4.10.** *Let  $(M, g)$  be an  $n$ -manifold with  $b^2g \geq \text{Ric}(g) \geq a^2g$  for some positive numbers  $a$  and  $b$ . Then for any metric  $g' = u^{\frac{4}{n-2}}g$ ,  $u > 0$ , we have*

$$\int_M |S(g')|^{\frac{n}{2}} dv_{g'} \geq c_n \int_M |S(g)|^{\frac{n}{2}} dv_g,$$

where  $c_n$  is a positive constant that depends on  $a, b$  and  $n$  only.

*Proof.* For the smooth positive function  $u$ , the Sobolev inequality on  $(M, g)$  [1] gives

$$(4.11) \quad \left( \int_M u^{\frac{2n}{n-2}} dv_g \right)^{\frac{n-2}{2n}} \leq (\text{Vol}(M, g))^{-\frac{1}{n}} \left[ \tau \sigma_n \left( \int_M |\nabla u|^2 dv_g \right)^{\frac{1}{2}} + \left( \int_M u^2 dv_g \right)^{\frac{1}{2}} \right],$$

where  $\tau = \text{Diam}(M, g)/\alpha_n$  and  $\sigma_n, \alpha_n$  are positive constants that depend on  $n$  only. As  $\text{Ric}(g) \geq a^2g$ , Myers' theorem gives  $\text{Diam}(M, g) \leq \pi\sqrt{n-1}/a$ . Therefore there exists a positive constant  $C(n, a)$ , which depends on  $n$  and  $a$  only, such that

$$(4.12) \quad \left( \int_M u^{\frac{2n}{n-2}} dv_g \right)^{\frac{n-2}{n}} \leq C(n, a) (\text{Vol}(M, g))^{-\frac{2}{n}} \left( \int_M |\nabla u|^2 dv_g + \int_M u^2 dv_g \right).$$

In the proof of Lemma (4.5), if we use the inequality (4.12) instead of (4.6), we obtain

$$4 \frac{n-1}{n-2} \int_M |\nabla u|^2 dv_g + \int_M S(g)u^2 dv_g \leq C(n, a) \left( \int_M |S(g')|^{\frac{n}{2}} dv_g \right)^{\frac{2}{n}} (\text{Vol}(M, g))^{-\frac{2}{n}} \left( \int_M |\nabla u|^2 dv_g + \int_M u^2 dv_g \right).$$

As  $S(g) \geq na^2$ , we must have

$$C(n, a) \left( \int_M |S(g')|^{\frac{n}{2}} dv_{g'} \right)^{\frac{2}{n}} (\text{Vol}(M, g))^{-\frac{2}{n}} \geq \min \left\{ \frac{4(n-1)}{(n-2)}, na^2 \right\},$$

or

$$\int_M |S(g')|^{\frac{n}{2}} dv_{g'} \geq \int_M |S(g)|^{\frac{n}{2}} dv_g,$$

where

$$C(n, a, b) = \frac{\min \left\{ \frac{4(n-1)}{(n-2)}, na^2 \right\}}{C(n, a)nb^2}.$$

We have made use of the fact that  $S(g) \leq nb^2$ .  $C(n, a, b)$  is a positive constant that depends on  $n, a$  and  $b$  only.  $\square$

Hamilton has introduced the following normalized Yamabe flow (scalar curvature flow), similar to the Ricci curvature flow:

$$(4.13) \quad \frac{\partial g_t}{\partial t} = (\bar{s}(g_t) - S(g_t))g_t,$$

where  $\bar{s}(g_t) = \int_M S(g_t) dv_{g_t} / \text{Vol}(M, g_t)$ . The Yamabe flow has been used by Hamilton, B. Chow [8], and R. Ye [18] to obtain constant scalar curvature metrics on various situations. As in Section 3, we consider the change of the  $L^{\frac{n}{2}}$ -norm on scalar curvatures along the Yamabe flow.

LEMMA 4.14. *Let  $(M, g_o)$  be a compact Riemannian  $n$ -manifold with  $n \geq 4$ . Assume that  $(M, g_o)$  has positive scalar curvature. If  $g_t$  is a solution to the Yamabe flow (4.13) with initial metric  $g_o$ , then*

$$\frac{d}{dt} \int_M |S(g_t)|^{\frac{n}{2}} dv_{g_t} \leq 0,$$

and equality holds at time  $t$  if and only if  $g_t$  has constant scalar curvature.

*Proof.* It is more convenient to consider the unnormalized Yamabe flow

$$(4.15) \quad \frac{\partial g_t}{\partial t} = -S(g_t)g_t.$$

One can rescale in time for the solutions of (4.15) to obtain corresponding solutions of (4.13) [8], [17]. Under the flow (4.13), the evolution equation for the scalar curvature [8] is

$$\frac{\partial}{\partial t} S(g_t) = (n - 1)\Delta S(g_t) + S(g_t)^2.$$

It follows from the maximal principle that if  $g_o$  has positive scalar curvature, then  $S(g_t) > 0$  for all  $t \geq 0$ . Under the normalized Yamabe flow (4.13), the evolution equation for the scalar curvature [18] is

$$(4.16) \quad \frac{\partial}{\partial t} S(g_t) = (n - 1)\Delta S(g_t) + S(g_t)(S(g_t) - \bar{s}(g_t)),$$

and

$$(4.17) \quad (dv_g)' = \frac{1}{2} \text{tr}_g \left( \frac{dg}{dt} \right) dv_g = \frac{n}{2} (\bar{s}(g_t) - S(g_t)).$$

Therefore we have

$$\begin{aligned} \frac{d}{dt} \int_M |S(g_t)|^{\frac{n}{2}} dv_{g_t} &= \int_M \frac{n}{2} S(g_t)^{\frac{n}{2}-1} \frac{\partial}{\partial t} S(g_t) dv_{g_t} \\ &\quad + \int_M \frac{n}{2} S^{\frac{n}{2}}(\bar{s}(g_t) - S(g_t)) dv_{g_t} \quad (\text{as } S(g) > 0) \\ &= \int_M \frac{n}{2} S(g_t)^{\frac{n}{2}-1} [(n-1)\Delta S(g_t) + S(g_t)(S(g_t) - \bar{s}(g_t))] dv_{g_t} \\ &\quad + \int_M \frac{n}{2} S^{\frac{n}{2}}(\bar{s}(g_t) - S(g_t)) dv_{g_t} \\ &= - \int_M \frac{n}{2} \left(\frac{n}{2} - 1\right) S(g_t)^{\frac{n}{2}-2} |\nabla S(g)|^2 dv_{g_t} \leq 0, \end{aligned}$$

and equality holds if and only if  $S(g_t)$  is a constant.  $\square$

Let  $(M, g)$  be a compact conformally flat manifold with positive Ricci curvature. The Yamabe flow (4.6) with initial metric  $g$  is known to converge to a constant curvature metric  $g_o$  as  $t \rightarrow \infty$  [8]. Applying the above lemma we have the following.

**THEOREM 4.18.** *Let  $(M, g)$  be a compact conformally flat manifold with positive Ricci curvature. Then*

$$(4.19) \quad \int_M |S(g)|^{\frac{n}{2}} dv_g \geq \int_M |S(g_o)|^{\frac{n}{2}} dv_{g_o},$$

where  $g_o$  has constant positive sectional curvature.

*Remark.* As the Ricci curvature of  $(M, g)$  is positive, it is bounded from below by a positive constant. Hence the fundamental group is finite by Myer’s theorem. The universal covering of  $M$  is then conformally equivalent to the standard  $n$ -sphere  $S^n$  under the development map. Because a finite group of conformal transformations of the  $S^n$  is conjugate to a group of isometries of  $S^n$ , we see that the metric  $g$  is conformal to a metric of  $g_o$  of constant positive sectional curvature. Proposition (4.10) provides a not so sharp lower bound on the  $L^{\frac{n}{2}}$ -norm on  $S(g)$ .

We note that there exists a family of metrics on  $S^n$  for  $n \geq 3$  with  $L^{\frac{n}{2}}$ -norms on the scalar curvatures concentrate around one point. For any  $\epsilon > 0$ , the family of functions

$$u_\epsilon(x) = \left( \frac{\epsilon}{\epsilon^2 + |x|^2} \right)^{\frac{n-2}{2}}, \quad x \in \mathbf{R}^n,$$

satisfy the equation

$$\Delta_o u_\epsilon + n(n-2)u_\epsilon^{\frac{n+2}{n-2}} = 0,$$

where  $\Delta_o$  is the Laplacian for  $\mathbf{R}^n$  with the standard flat metric  $\delta_{ij}$ . That is, the metric  $g_{o,\epsilon} = u_\epsilon^{\frac{4}{n-2}} \delta_{ij}$  has scalar curvature equal to  $n(n - 2)$ . Let  $\Phi : S^n \rightarrow \mathbf{R}^n$  be the stereographic projection which sends the north pole to infinity. Using the fact that  $d((0, 0, \dots, 0, 1), y) \sim 1/|\Phi(y)|$ , where  $(0, 0, \dots, 0, 1)$  is the north pole of  $S^n$ ,  $y \in S^n \setminus (0, 0, \dots, 0, 1)$  and  $d$  is the distance on  $S^n$ , the pull back of the family of metrics  $g_{o,\epsilon}$  by  $\Phi$ , denoted by  $g_\epsilon$ , on  $S^n$ , is a family of nonsingular metrics on  $S^n$ . Then  $\Phi : (S^n \setminus (0, 0, \dots, 0, 1), g_\epsilon) \rightarrow (\mathbf{R}^n, g_{o,\epsilon})$  is an isometry. The scalar curvature of  $(S^n, g_\epsilon)$  equals  $n(n - 2)$ . And

$$\begin{aligned} \int_{S^n} |S(g_\epsilon)|^{\frac{n}{2}} dv_{g_\epsilon} &= \int_{\mathbf{R}^n} [n(n - 2)]^{\frac{n}{2}} dv_{g_{o,\epsilon}} \\ &= \int_{\mathbf{R}^n} [n(n - 2)]^{\frac{n}{2}} u_\epsilon^{\frac{2n}{n-2}} dv_o \\ &= \int_{\mathbf{R}^n} [n(n - 2)]^{\frac{n}{2}} \left(\frac{\epsilon}{\epsilon^2 + |x|^2}\right)^n dv_o \\ &= c_n \int_0^\infty \left(\frac{1}{1 + r^2}\right)^n r^{n-1} dr, \end{aligned}$$

where  $c_n = [n(n - 2)]^{\frac{n}{2}} \text{Vol}(S^{n-1})$  and  $r = |x|/\epsilon$ ,  $x \in \mathbf{R}^n$ . As  $\epsilon \rightarrow 0$ ,  $L^{\frac{n}{2}}$ -norms on the scalar curvatures concentrate around the south pole; i.e., there exist a positive constant  $C_n$  such that

$$\int_{S^n} |S(g_\epsilon)|^{\frac{n}{2}} dv_{g_\epsilon} \geq C_n$$

for all  $1 > \epsilon > 0$  while if  $O$  is any open neighborhood of the south pole, then

$$\int_{S^n \setminus O} |S(g_\epsilon)|^{\frac{n}{2}} dv_{g_\epsilon} \rightarrow 0 \quad \text{as } \epsilon \rightarrow 0.$$

While as  $\epsilon \rightarrow \infty$ , the integral concentrates around the north pole.

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