

## SOLUTIONS TO THE QUANTUM YANG-BAXTER EQUATION HAVING CERTAIN BIALGEBRAS AS THEIR REDUCED FRT CONSTRUCTION

LARRY LAMBE AND DAVID E. RADFORD

Suppose that  $M$  is a finite-dimensional vector space over a field  $k$  and that  $R: M \otimes M \rightarrow M \otimes M$  is solution to the quantum Yang-Baxter equation (QYBE). The FRT construction [3] is a bialgebra  $A(R)$  associated with  $R$  in a natural way. There is a quotient of the FRT construction, referred to as the reduced FRT construction and denoted by  $\widetilde{A}(R)$ , which seems rather useful in computation [11]. The bialgebra  $A(R)$  is Hopf algebra only when  $M = (0)$ , whereas the bialgebra  $\widetilde{A}(R)$  may very well be a Hopf algebra.

Given a bialgebra  $A$  over the field  $k$ , a natural question to ask is for which solutions  $R$  to the quantum Yang-Baxter equation is  $A \simeq \widetilde{A}(R)$  as bialgebras. The question suggests a way of going about classifying and studying solutions to the quantum Yang-Baxter equation.

In this paper we consider three classes of bialgebras as reduced FRT constructions: the semigroup algebras  $k[S]$  of semigroups  $S$  over  $k$ , the universal enveloping algebras  $U(L)$  of finite-dimensional abelian Lie algebras over  $k$  when  $k$  has characteristic 0, and the class of finite-dimensional Hopf algebras over  $k$ .

The first two classes provide an interesting contrast. The polynomial algebra  $k[x_1, \dots, x_r]$  in commuting indeterminants  $x_1, \dots, x_r$  is the underlying algebra of  $U(L)$ , when  $\text{Dim } L = r$ , and is also the underlying algebra of  $k[S]$ , when  $S$  is the free commutative semigroup on  $r$  generators. For the enveloping algebra, one has

$$\Delta(x_i) = 1 \otimes x_i + x_i \otimes 1$$

for all  $1 \leq i \leq r$  and for the semigroup algebra, one has

$$\Delta(x_i) = x_i \otimes x_i$$

for all  $1 \leq i \leq r$ .

We show that every finite-dimensional Hopf algebra  $H$  over  $k$  is the reduced FRT construction for some solution to the QYBE. This is not difficult to prove and is very

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interesting theoretically. As one might suspect, the quantum double  $D(H)$  of  $H$  is instrumental in the construction of such a solution.

A special case ( $r = 1$ ) of Corollary 1 was found during the preparation of [7] and inspired this paper. This special case was presented by the first author in [5].

Throughout this paper  $k$  is a field.

### 1. Preliminaries

In this section we discuss basic definitions and results used in this paper. We assume that the reader has some familiarity with the theory of coalgebras and related structures. A good general reference is [14] from which we draw freely. Other books on Hopf algebras adequate for our purposes are [1] and [9].

Let  $U$  and  $V$  be vector spaces over the field  $k$ . We use the notation  $f: U \rightarrow V$  to denote a linear map  $f$  from  $U$  to  $V$ . Composition of linear maps will be denoted by juxtaposition. We will omit the subscript  $k$  from the familiar notations  $\text{Hom}_k(U, V)$ ,  $\text{End}_k(U)$ , and  $U \otimes_k V$ .

Let  $\alpha \in \text{Hom}(U, k) = U^*$  be a linear functional on  $U$ . We denote the image of  $u \in U$  under  $\alpha$  by  $\langle \alpha, u \rangle$  or  $\alpha(u)$ . Suppose that  $\mathcal{U}$  is a subspace of  $U^*$ . Then  $\mathcal{U}^\perp = \{u \in U \mid \mathcal{U}(u) = (0)\}$  is a subspace of  $U$ . We say that  $\mathcal{U}$  is a *dense subspace of  $U^*$*  if  $\mathcal{U}^\perp = (0)$ . Suppose that  $\mathcal{U}$  is a dense subspace of  $U^*$  and let  $V$  be a finite-dimensional subspace of  $U$ . Then for a given  $\beta \in U^*$  there exists an  $\alpha \in \mathcal{U}$  such that  $\alpha|_V = \beta|_V$ , where  $\gamma|_V$  denotes the restriction of  $\gamma \in U^*$  to  $V$ .

Various notions of rank will be useful to us. If  $f: U \rightarrow V$  is linear then  $\text{rank } f = \text{Dim Im } f$  has the usual meaning. If  $S$  is a subset of  $U$  then by  $\text{rank } S$  we mean the dimension of the span of  $S$ . Suppose that  $v \in U \otimes V$  is not zero. Then  $v$  has many representations  $\sum_{i=1}^r u_i \otimes v_i$ , where  $u_i \in U$  and  $v_i \in V$  for  $1 \leq i \leq r$ . We will denote the smallest  $r$  which occurs in these representations by  $\text{Rank } v$ . When  $r = \text{Rank } v$  observe that  $\{u_1, \dots, u_r\}$  and  $\{v_1, \dots, v_r\}$  are linearly independent. We set  $\text{Rank } 0 = 0$ .

We let  $\tau_U: U \otimes U \rightarrow U \otimes U$  denote the “twist” map defined by  $\tau_U(u \otimes v) = v \otimes u$  for all  $u, v \in U$ .

**1.1. The quantum Yang-Baxter equation.** Let  $M$  be a vector space over the field  $k$  and let  $R: M \otimes M \rightarrow M \otimes M$  be a linear map. For  $1 \leq i < j \leq 3$  we define  $R_{(i,j)}$  by

$$R_{(1,2)} = R \otimes 1_M, \quad R_{(2,3)} = 1_M \otimes R,$$

and

$$R_{(1,3)} = (1_M \otimes \tau_M)(R \otimes 1_M)(1_M \otimes \tau_M).$$

The equation

$$R_{(2,3)}R_{(1,3)}R_{(1,2)} = R_{(1,2)}R_{(1,3)}R_{(2,3)} \tag{1}$$

is called the *quantum Yang-Baxter equation* (QYBE). The reader can check that  $B = \tau_M R$  satisfies

$$B_{(2,3)} B_{(1,2)} B_{(2,3)} = B_{(1,2)} B_{(2,3)} B_{(1,2)} \tag{2}$$

if and only if  $R$  satisfies (1). Equation (2) is called the *braid equation*. Solutions to the braid equation are important in connection with invariants of knots and links. See [4] for a discussion of knot and link invariants and also as a source for other references.

**1.2. Coalgebras and related structures.** Let  $(C, \Delta, \epsilon)$  be a coalgebra over the field  $k$ . A common way of denoting the coproduct  $\Delta: C \rightarrow C \otimes C$  applied to  $c \in C$  is the variation of the Heyneman-Sweedler notation  $\Delta(c) = \sum c_{(1)} \otimes c_{(2)}$ . We drop the summation symbol and write

$$\Delta(c) = c_{(1)} \otimes c_{(2)}$$

for all  $c \in C$ . Throughout this paper coalgebras, algebras, and bialgebras are usually denoted by their underlying vector spaces. We let  $C^{\text{cop}}$  be the coalgebra  $(C, \Delta^{\text{cop}}, \epsilon)$ , where  $\Delta^{\text{cop}} = \tau_C \Delta$ . Thus

$$\Delta^{\text{cop}}(c) = c_{(2)} \otimes c_{(1)}$$

for all  $c \in C$ . The coalgebra  $C$  is *cocommutative* if  $C = C^{\text{cop}}$ .

Likewise, if  $(A, m, \eta)$  is an algebra over  $k$ , then  $A^{\text{op}}$  denotes the algebra  $(A, m^{\text{op}}, \eta)$ , where  $m^{\text{op}} = m\tau_A$ . Thus

$$m^{\text{op}}(a \otimes b) = m(b \otimes a) = ba$$

for  $a, b \in A$ . The algebra  $A$  is *commutative* if  $A = A^{\text{op}}$ .

Suppose that  $(M, \rho)$  is a right  $C$ -comodule. There are various notations for representing  $\rho(m) \in M \otimes C$ . We will write

$$\rho(m) = m^{(1)} \otimes m^{(2)}$$

for all  $m \in M$ , again omitting the summation symbol.

*Definition 1.* We denote the unique minimal subspace  $V$  of  $C$  such that  $\rho(M) \subseteq M \otimes V$  by  $C(\rho)$ .

It is not hard to see that  $C(\rho)$  is in fact a subcoalgebra of  $C$ . Let  $m \in M$  and suppose that  $N$  is the submodule of  $M$  which  $m$  generates. Then  $N$  is finite-dimensional. We may assume that  $N \neq (0)$  and  $\{m_1, \dots, m_r\}$  is a basis for  $N$ . For  $1 \leq j \leq r$  write  $\rho(m_j) = \sum_{i=1}^r m_i \otimes c_j^i$ . Then the comodule axioms imply that  $\epsilon(c_j^i) = \delta_j^i$  and  $\Delta(c_j^i) = \sum_{\ell=1}^r c_\ell^i \otimes c_j^\ell$  for all  $1 \leq i \leq r$ .

The right  $C$ -comodule structure  $(M, \rho)$  accounts for a left  $C^*$ -module structure on  $M$  which is described by

$$\alpha \rightarrow m = (1_M \otimes \alpha)(\rho(m)) = m^{(1)} \langle \alpha, m^{(2)} \rangle$$

for all  $\alpha \in C^*$  and  $m \in M$ . We will denote this module structure by  $(M, \mu_\rho)$ , and refer to it as the *rational left  $C^*$ -module structure on  $M$  arising from  $(M, \rho)$* .

An element  $c \in C$  is said to be *grouplike* if  $\Delta(c) = c \otimes c$  and  $\epsilon(c) = 1$ . We let  $G(C)$  denote the set of all grouplike elements of  $C$ . Then by [14, Proposition 3.2.1.b)] we have:

**LEMMA 1.** *Suppose that  $C$  is a coalgebra over the field  $k$ . Then  $G(C)$  is linearly independent.*

If  $C$  is a bialgebra over  $k$  then  $G(C)$  is a semigroup under the multiplication of  $C$ . If  $C$  is a Hopf algebra with antipode  $s$  then the semigroup  $G(C)$  is a group since  $s(c) \in G(C)$  for  $c \in C$  and is a multiplicative inverse for  $c$ .

Suppose that  $C$  is a coalgebra over the field  $k$  which is spanned by a subset  $S$  of its grouplike elements  $G(C)$ . Then by Lemma 1 it follows that  $S = G(C)$  and  $C = k[S]$  is the free  $k$ -module on the set  $S$ . For  $s \in G(C)$  define  $e_s \in C^*$  by  $\langle e_s, s' \rangle = \delta_{s,s'}$  for  $s' \in G(C)$ . Then

$$e_s e_{s'} = \delta_{s,s'} e_s \tag{3}$$

for all  $s, s' \in G(C)$  and

$$\sum_{s \in G(C)} e_s = \epsilon. \tag{4}$$

Notice that the left hand side of (4) is meaningful since for  $c \in C$ , only finitely many of the  $e_s(c)$ 's are non-zero. Therefore for each  $c \in C$ , the sum  $\sum_{s \in G(C)} e_s(c)$  can be interpreted as a finite sum.

Now suppose that  $(M, \rho)$  is a right  $C$ -comodule and let  $(M, \mu_\rho)$  be the left rational  $C^*$ -module structure on  $M$  arising from  $(M, \rho)$ . For  $m \in M$  only finitely many of the  $e_s \rightarrow m$ 's are not zero. Thus  $\sum_{s \in G(C)} e_s \rightarrow m$  can be regarded as a finite sum and  $m = \sum_{s \in G(C)} e_s \rightarrow m$  by (4). Let  $M_s = e_s \rightarrow M$ . We have shown that  $M = \sum_{s \in G(C)} M_s$ . By (3) this sum is direct. Since  $\rho(e_s \rightarrow m) = m^{(1)} \otimes (e_s \rightarrow m^{(2)})$  for all  $m \in M$  and  $s \in S$  it is easy to see that  $M_s = \rho^{-1}(M \otimes ks)$ . Note the  $\mathcal{U}$  is a sub-semigroup of  $C^*$ .

The difference of two grouplike elements in a coalgebra spans a coideal of the coalgebra. By virtue of Lemma 1 it follows that a coideal of  $C$  is spanned by differences of grouplike elements. We summarize all of this in the following:

**LEMMA 2.** *Suppose that  $C$  is a coalgebra over the field  $k$  spanned by a subset of grouplike elements  $S$ . Then:*

- (a)  $S = G(C)$  and  $C = k[S]$  is the free  $k$ -module on  $S$ .

- (b) Let  $(M, \rho)$  be a right  $C$ -comodule and  $M_s = \rho^{-1}(M \otimes ks)$  for  $s \in G(C)$ . Then  $M_s$  is a subcomodule of  $M$  and  $M = \bigoplus_{s \in G(C)} M_s$ .
- (c) Let  $I$  be a coideal of  $C$ . Then  $I$  is spanned by certain differences  $s - s'$ , where  $s, s' \in G(C)$ .

If  $A$  is a bialgebra over  $k$ , then  $v \in A$  is said to be *primitive* if  $\Delta(v) = 1 \otimes v + v \otimes 1$ . The subspace  $P(A)$  of primitives of  $A$  is a Lie algebra under the product  $[u, v] = uv - vu$  for all  $u, v \in P(A)$ . Let  $A^o$  be the dual bialgebra of  $A$ . Recall that  $\alpha \in A^*$  belongs to  $A^o$  if and only if  $\alpha$  vanishes on a cofinite ideal of  $A$ . It is not hard to see that  $\alpha \in A^*$  belongs to  $A^o$  if and only if there exists  $v = \sum_{i=1}^r \alpha_i \otimes \beta_i \in A^* \otimes A^*$  such that

$$\langle \alpha, ab \rangle = \sum_{i=1}^r \langle \alpha_i, a \rangle \langle \beta_i, b \rangle$$

for all  $a, b \in A$ . If this is the case, and in addition  $r = \text{Rank } v$ , then  $\alpha_i, \beta_i \in A^o$  for  $1 \leq i \leq r$ .

We note in particular that  $P(A^o)$  is the set of all  $\alpha \in A^*$  which satisfy

$$\langle \alpha, ab \rangle = \langle \epsilon, a \rangle \langle \alpha, b \rangle + \langle \alpha, a \rangle \langle \epsilon, b \rangle$$

for all  $a, b \in A$ .

**1.3. The reduced FRT construction.** Throughout this subsection  $A$  is a bialgebra over the field  $k$ .

*Definition 2.* Let  $A$  be a bialgebra over the field  $k$ . A *left quantum Yang-Baxter  $A$ -module* is a triple  $(M, \mu, \rho)$ , where  $(M, \mu)$  is a left  $A$ -module and  $(M, \rho)$  is a right  $A$ -comodule, such that

$$a_{(1)} \cdot m^{(1)} \otimes a_{(2)} m^{(2)} = (a_{(2)} \cdot m)^{(1)} \otimes (a_{(2)} \cdot m)^{(2)} a_{(1)} \tag{5}$$

holds for all  $a \in A$  and  $m \in M$ .

For a discussion of the origin of quantum Yang-Baxter modules the reader is referred to [13]. For their connection with the FRT construction and for a discussion of their structure the reader is referred to [12, 6, 7].

Left quantum Yang-Baxter  $A$ -modules give rise to solutions to the QYBE (see [12], [6], [7] for example). Let  $(M, \mu, \rho)$  be a left quantum Yang-Baxter  $A$ -module and define a linear map  $R_{(\mu, \rho)}: M \otimes M \rightarrow M \otimes M$  by

$$R_{(\mu, \rho)}(m \otimes n) = m^{(1)} \otimes m^{(2)} \cdot n \tag{6}$$

for all  $m, n \in M$ . Then  $R_{(\mu, \rho)}$  is a solution to the quantum Yang-Baxter equation [12, 6, 7].

*Definition 3.* Let  $A$  be a bialgebra over the field  $k$  and let  $(M, \mu, \rho)$  be a left quantum Yang-Baxter  $A$ -module. Then  $R_{(\mu, \rho)}$  defined by (6) is the QYBE solution associated with  $(M, \mu, \rho)$ .

In [7, Section 8.5] we noted that (5) has the more natural formulation

$$(a \cdot m)^{(1)} \otimes (a \cdot m)^{(2)} = a \cdot m^{(1)} \otimes m^{(2)} \tag{7}$$

for all  $a \in A$  and  $m \in M$  when  $A$  is a commutative cocommutative Hopf algebra with antipode  $s$ . In this case (7) implies (5) since  $A$  is a commutative cocommutative bialgebra. Since  $A$  is commutative,  $s$  is an antipode of  $A^{\text{op}}$ . Starting with the equation

$$(a \cdot m)^{(1)} \otimes (a \cdot m)^{(2)} = (a_{(3)} \cdot m)^{(1)} \otimes (a_{(3)} \cdot m)^{(2)} a_{(2)} s(a_{(1)})$$

it is not hard to see that (5) implies (7).

Consider a triple  $(M, \mu, \rho)$  where  $(M, \mu)$  is a left  $A$ -module and  $(M, \rho)$  is a right  $A$ -comodule. Let  $(M, \mu_\rho)$  be the left rational  $A^*$ -module structure on  $M$  arising from  $(M, \rho)$ . Then (7) is equivalent to

$$\alpha \rightarrow (a \cdot m) = a \cdot (\alpha \rightarrow m) \tag{8}$$

for all  $\alpha \in M^*$ ,  $a \in A$ , and  $m \in M$ . Thus (5) and (8) are equivalent when  $A$  is a commutative cocommutative Hopf algebra over  $k$ .

We need the notion of  $M$ -reduced [11, Section 3] in order to describe the reduced FRT construction.

*Definition 4.* Let  $A$  be a bialgebra over  $k$  and suppose  $(M, \mu)$  is a left  $A$ -module. Then  $A$  is  $M$ -reduced if the only coideal of  $A$  contained in  $\text{ann}_A(M)$  is  $(0)$ .

Let  $(M, \mu)$  be a left  $A$ -module. Then the sum  $I$  of all coideals of  $A$  contained in  $\text{ann}_A(M)$  is a bi-ideal of  $A$ . Thus  $\tilde{A} = A/I$  is a bialgebra over  $k$  with the quotient bialgebra structure. Let  $\pi: A \rightarrow \tilde{A}$  be the projection. Then  $(M, \tilde{\mu})$  is a left  $\tilde{A}$ -module, where  $\tilde{\mu}$  is determined by  $\tilde{\mu}(\pi \otimes 1_M) = \mu$ , and  $\tilde{A}$  is  $(M, \tilde{\mu})$ -reduced. We leave the reader to work out the details.

In the finite-dimensional case solutions to the quantum Yang-Baxter equation have the form  $R_{(\mu, \rho)}$  by the next result. The following proposition is Theorem 4.2.2 in [7] which is a slight variation of Theorem 2 in [11].

**PROPOSITION 1.** *Suppose that  $M$  is a finite-dimensional vector space over the field  $k$  and that  $R: M \otimes M \rightarrow M \otimes M$  is a solution to the quantum Yang-Baxter equation. Then the bialgebra  $\widetilde{A}(R)$  satisfies the following properties:*

- (a) *There exists a left quantum Yang-Baxter  $\widetilde{A}(R)$ -module structure  $(M, \mu, \rho)$  on  $M$  such that  $\widetilde{A}(R)$  is  $M$ -reduced and  $R = R_{(\mu, \rho)}$ .*

- (b) Suppose that  $A$  is a bialgebra over the field  $k$  and  $(M, \mu', \rho')$  is a left quantum Yang-Baxter  $A$ -module structure on  $M$  such  $A$  is  $M$ -reduced and  $R = R_{(\mu', \rho')}$ . There is a bialgebra map  $F: \widetilde{A}(R) \rightarrow A$  uniquely defined by  $(1_M \otimes F)\rho = \rho'$ . Furthermore  $\mu = \mu'(F \otimes 1_M)$ ,  $F$  is one-one, and  $F$  is an isomorphism when  $A(\rho')$  (see Definition 1) generates  $A$  as an algebra.

*Definition 5.* Let  $M$  be a finite-dimensional vector space over the field  $k$  and suppose that  $R: M \otimes M \rightarrow M \otimes M$  is a solution to the quantum Yang-Baxter equation. The bialgebra  $\widetilde{A}(R)$  described in the previous proposition is the *reduced FRT construction*.

The reduced FRT construction  $\widetilde{A}(R)$  is a quotient of the FRT construction  $A(R)$  which has a universal mapping property similar to that of Proposition 1. See Theorem 2 in [12].

Suppose that  $M$  is a finite-dimensional vector space over  $k$  and  $(M, \mu, \rho)$  is a left quantum Yang-Baxter  $A$ -module structure on  $M$ . Let  $R$  be the solution to the quantum Yang-Baxter equation associated with  $(M, \mu, \rho)$ . Then  $\widetilde{A}(R)$  is a sub-bialgebra of a quotient of  $A$ .

To establish this, we first let  $I$  be the bi-ideal of  $A$  which is the sum of the coideals of  $A$  contained in  $\text{ann}_A(M)$ . Set  $\widetilde{A} = A/I$  and let  $\pi: A \rightarrow \widetilde{A}$  and  $(M, \widetilde{\mu})$  be as above. Since  $\pi$  is a coalgebra map,  $\widetilde{\rho}: M \rightarrow M \otimes \widetilde{A}$  defined by  $\widetilde{\rho} = (1_M \otimes \pi)\rho$  gives  $M$  a right  $\widetilde{A}$ -comodule structure  $(M, \widetilde{\rho})$ . It is easy to see that  $(M, \widetilde{\mu}, \widetilde{\rho})$  is a left quantum Yang-Baxter  $\widetilde{A}$ -module and that  $R_{(\mu, \rho)} = R_{(\widetilde{\mu}, \widetilde{\rho})}$ . Since  $\widetilde{A}$  is  $(M, \widetilde{\mu})$ -reduced, it follows that  $\widetilde{A}(R) \simeq \widetilde{A}(\widetilde{\rho})$  by Proposition 1.

**1.4. The Hopf algebra  $U(r, k)$ .** Let  $L$  be an  $r$ -dimensional abelian Lie algebra over the field  $k$ . We denote the universal enveloping algebra  $U(L)$  by  $U(r, k)$ . Choose a basis  $\mathcal{B} = \{x_1, \dots, x_r\}$  for  $L$ . Then as a  $k$ -algebra  $U(r, k) = k[x_1, \dots, x_r]$  is the polynomial algebra over  $k$  in commuting indeterminants  $x_1, \dots, x_r$ . For  $\mathbf{n} = (n_1, \dots, n_r) \in \mathbb{N} \times \dots \times \mathbb{N} = \mathbb{N}^r$  define

$$x^{\mathbf{n}} = x_1^{n_1} \dots x_r^{n_r}. \tag{9}$$

Thus the  $x^{\mathbf{n}}$ 's form a linear basis for  $U(r, k)$ . Let  $U(r, k)_n$  be the homogeneous (total) degree  $n$  subspace of  $U(r, k)$  for all  $n \geq 0$ , i.e.  $U(r, k)_n$  is the span of the  $x^{\mathbf{n}}$ 's which satisfy  $|\mathbf{n}| = n$ , where  $|\mathbf{n}| = n_1 + \dots + n_r$ . Thus  $U(r, k)$  is a graded algebra since

$$U(r, k) = \bigoplus_{n=0}^{\infty} U(r, k)_n$$

and

$$U(r, k)_m U(r, k)_n = U(r, k)_{m+n}$$

for all  $m, n \geq 0$ .

Set  $U(r, k)_{(0)} = U(r, k)$  and let  $U(r, k)_{(n)}$  be the span of the  $x^{\mathbf{n}}$ 's where  $|\mathbf{n}| \geq n$ . Notice that

$$U(r, k)_{(m)}U(r, k)_{(n)} = U(r, k)_{(m+n)} \tag{10}$$

for all  $m, n \geq 0$  and

$$U(r, k)_{(0)} \supseteq U(r, k)_{(1)} \supseteq U(r, k)_{(2)} \supseteq \dots \tag{11}$$

For  $1 \leq i \leq r$  let  $\epsilon_i = (0, \dots, 1, \dots, 0)$  be the  $r$ -tuple whose entries are 0 except for the  $i^{\text{th}}$ , which is 1. Define  $X_i \in U(r, k)^*$  by

$$\langle X_i, x^{\mathbf{n}} \rangle = \delta_{\epsilon_i, \mathbf{n}} \tag{12}$$

for all  $\mathbf{n} \in \mathbb{N}^r$ . Let  $\mathbf{n} = (n_1, \dots, n_r) \in \mathbb{N}^r$ . Set

$$X^{\mathbf{n}} = X_1^{n_1} \dots X_r^{n_r} \tag{13}$$

and set  $\mathbf{n}! = n_1! \dots n_r!$ . The notation  $\mathbf{m} \leq \mathbf{n}$  means that  $m_i \leq n_i$  for all  $1 \leq i \leq r$ , where  $\mathbf{m} = (m_1, \dots, m_r)$ . Set

$$\binom{\mathbf{n}}{\mathbf{m}} = \prod_{i=1}^r \binom{n_i}{m_i}.$$

Thus  $\binom{\mathbf{n}}{\mathbf{m}} = 0$  unless  $\mathbf{m} \leq \mathbf{n}$ , in which case

$$\binom{\mathbf{n}}{\mathbf{m}} = \frac{\mathbf{n}!}{\mathbf{m}!(\mathbf{n} - \mathbf{m})!}.$$

We are nearly ready to describe the structure of  $U(r, k)$  as a Hopf algebra. First some more notation. Let  $P(r, k) = P(U(r, k))$  be the space of primitive elements of  $U(r, k)$ , let  $P^o(r, k)$  be the space of primitive elements of  $U(r, k)^o$ , and let  $U^o(r, k)$  be the subalgebra of  $U(r, k)^*$  generated by  $P^o(r, k)$ .

The reader is left with with the details of proof of the following lemma.

LEMMA 3. *Let  $r \geq 1$  and suppose that the field  $k$  has characteristic 0. Let  $\mathcal{B} = \{x_1, \dots, x_r\}$  be a basis for  $U(r, k)_1$  and suppose that  $x^{\mathbf{n}}$  and  $X^{\mathbf{n}}$  are defined by (9)–(13). Then:*

- (a)  $P(r, k) = U(r, k)_1$ . In particular  $\mathcal{B}$  is a basis for the subspace of primitive elements of  $U(r, k)$ , and the  $x^{\mathbf{n}}$ 's form a basis for  $U(r, k)$ .
- (b)  $\Delta(x^{\mathbf{n}}) = \sum_{\mathbf{m} \leq \mathbf{n}} \binom{\mathbf{n}}{\mathbf{m}} x^{\mathbf{n}-\mathbf{m}} \otimes x^{\mathbf{m}}$  for all  $\mathbf{n} \in \mathbb{N}^r$ .
- (c)  $X^{\mathbf{n}}(x^{\mathbf{m}}) = \mathbf{n}! \delta_{\mathbf{n}, \mathbf{m}}$  for all  $\mathbf{n}, \mathbf{m} \in \mathbb{N}^r$ . Thus the  $X^{\mathbf{n}}$ 's form a linearly independent set.



- (d)  $P^o(r, k)$  has linear basis  $\{X_1, \dots, X_r\}$ . In particular  $\text{Dim } P^o(r, k) = \text{Dim } P(r, k) = r$ .
- (e)  $U^o(r, k)$  is a sub-bialgebra of  $U(r, k)$  and the correspondence  $x_i \mapsto X_i$  determines a bialgebra isomorphism  $U(r, k) \simeq U^o(r, k)$ .
- (f)  $U^o(r, k)$  is a dense subalgebra of  $U(r, k)^*$ .

We now consider the subalgebras and quotients of  $U(r, k)$ . The bialgebra  $U(r, k)$  belongs to the class of pointed irreducible cocommutative bialgebras. It is clear that sub-bialgebras and quotients of cocommutative bialgebras are cocommutative. Subcoalgebras of pointed irreducible coalgebras are pointed irreducible. Quotients of pointed irreducible coalgebras are pointed irreducible by [14, Corollary 8.0.9]. Therefore sub-bialgebras and quotients of cocommutative pointed irreducible bialgebras are themselves cocommutative and pointed irreducible. By [14, Lemma 9.2.3], a pointed irreducible bialgebra is a Hopf algebra.

Now assume that the characteristic of  $k$  is 0 and  $H$  is a cocommutative pointed irreducible Hopf algebra over  $k$ . Then  $H \simeq U(P(H))$  as Hopf algebras by [14, Theorem 13.0.1]. We make the following definition.

*Definition 6.* Let  $H$  be a cocommutative pointed irreducible Hopf algebra over the field  $k$ . Then  $\text{rank } H = \text{Dim } P(H)$ .

By part (a) of Lemma 3 we have:

LEMMA 4. Suppose that the field  $k$  has characteristic 0. Then  $\text{rank } U(r, k) = r$ .

The conclusion of the lemma is false when the characteristic of  $k$  is not 0 except in the case when  $r = 0$ .

PROPOSITION 2. Suppose that the field  $k$  has characteristic 0.

- (a) Let  $B$  be a sub-bialgebra of  $U(r, k)$ . Then  $B$  is a sub-Hopf algebra of  $U(r, k)$  and  $B \simeq U(s, k)$  for some  $s \leq r$ . Furthermore  $B = U(r, k)$  if and only if  $s = r$ , or equivalently  $\text{rank } B = \text{rank } U(r, k)$ .
- (b) Suppose that  $I$  is a bi-ideal of  $U(r, k)$ . Then  $U(r, k)/I \simeq U(s, k)$  for some  $s \leq r$ . Furthermore  $I = (0)$  if and only if  $s = r$ , or equivalently  $\text{rank } U(r, k)/I = \text{rank } U(r, k)$ .

*Proof.* In light of the preceding comments we need only establish part (b). Suppose that  $I$  is a bi-ideal of  $U(r, k)$  and let  $\pi: U(r, k) \rightarrow U(r, k)/I$  be the projection. Set  $L = P(r, k)$ . Then  $\pi(L) \subseteq P(U(r, k)/I)$ . Since  $L$  generates  $U(r, k)$  as an algebra it follows that  $\pi(L)$  generates  $U(r, k)/I$  as an algebra. Since the monomials in a linear basis for  $P(U(r, k)/I)$  form a linear basis for  $U(r, k)/I$  it follows that  $\pi(L) = P(U(r, k)/I)$ . Therefore  $U(r, k)/I \simeq U(s, k)$ , where  $s = \text{Dim } P(U(r, k)/I)$ . Now

$\pi$  is an isomorphism if and only if  $\pi|_L: L \rightarrow \pi(L)$  is a linear isomorphism. This is the case if and only if  $s = r$  which happens if and only if  $\text{Ker } \pi|_L = I \cap L = (0)$ . But  $I \cap L = (0)$  if and only if  $I = (0)$  by [14, Lemma 11.0.1].  $\square$

## 2. The semigroup algebra as a reduced FRT construction

Throughout this section  $S$  is a (multiplicative) semigroup with neutral element  $e$  and  $A = k[S]$  is the semigroup algebra over  $k$ . We give  $A$  a bialgebra structure by making  $s \in S$  grouplike. By part (a) of Lemma 2 it follows that  $S = G(A)$ . In this section we characterize the left quantum Yang-Baxter  $A$ -modules and for the associated solution  $R$  to the quantum Yang-Baxter equation we compute the reduced FRT construction  $\widetilde{A}(R)$ . It turns out that  $\widetilde{A}(R) \simeq k[S]$  where  $S$  is a quotient of a sub-semigroup of  $S$ .

We note that  $\widetilde{A}(R)$  has been studied, when  $\widetilde{A}(R)$  is spanned by grouplike elements, in special cases in [11] and [7, Chapter 4].

Let  $M$  be a left  $A$ -module. To say that  $A$  is  $M$ -reduced is to say that  $A$  is faithfully represented by endomorphisms of  $M$ .

**PROPOSITION 3.** *Suppose that  $S$  is a semigroup and  $A = k[S]$  is the semigroup algebra of  $S$  over the field  $k$ . Let  $(M, \mu)$  be a left  $A$ -module and suppose that  $\pi: A \rightarrow \text{End}(M)$  is the representation afforded by  $(M, \mu)$ . Then the following are equivalent:*

- (a)  $A$  is  $M$ -reduced.
- (b) The restriction  $\pi|_S: S \rightarrow \text{End}(M)$  is one-one.

*Proof.* Suppose that  $A$  is  $M$ -reduced and let  $s, s' \in S$  satisfy  $\pi(s) = \pi(s')$ . Then  $s - s' \in \text{ann}_A(M)$  and spans a coideal of  $A$ . Therefore  $s - s' = 0$ . We have shown part (a) implies part (b).

To show part (b) implies part (a), suppose that the restriction  $\pi|_S$  is one-one. Let  $I$  be a coideal of  $A$  contained in  $\text{ann}_A(M)$ . Suppose that  $s, s' \in S$  and  $s - s' \in I$ . Then  $\pi(s) = \pi(s')$  which means that  $s - s' = s - s = 0$ . By part (c) of Lemma 2 we conclude that  $I = (0)$ . Thus  $A$  is  $M$ -reduced.  $\square$

It is convenient to express a representation of  $S$  by endomorphisms of  $M$  in a slightly different terminology.

**Definition 7.** Let  $S$  be a multiplicative semigroup with neutral element  $e$  and suppose that  $M$  is a vector space over the field  $k$ . A set of endomorphisms  $\{T_s\}_{s \in S}$  is a *representing set of endomorphisms of  $S$  in  $M$*  if  $T_e = 1_M$  and  $T_s T_{s'} = T_{ss'}$  for  $s, s' \in S$ .

**PROPOSITION 4.** *Suppose that  $S$  is a semigroup and  $A = k[S]$  is the semigroup algebra of  $S$  over the field  $k$ . Let  $(M, \rho)$  be a right  $A$ -comodule and suppose that  $\pi: A \rightarrow \text{End}(M)$  is the representation afforded by the rational left  $A^*$ -module structure  $(M, \mu_\rho)$  arising from  $(M, \rho)$ . Then  $A(\rho)$  is the span of the  $s \in S$  such that  $\pi(e_s) \neq 0$ , where  $e_s \in A^*$  is defined by  $\langle e_s, s' \rangle = \delta_{s,s'}$  for all  $s' \in S$ .*

*Proof.* By part (b) of Lemma 2 we have  $M = \bigoplus_{s \in S} M_s$  where  $M_s = \rho^{-1}(M \otimes ks)$  for  $s \in S$ . Now  $A(\rho)$  is the span of the  $s \in S$  such that  $M_s \neq (0)$ . Since  $\pi(e_s)(M_{s'}) = \delta_{s,s'} M_s$  it follows that  $M_s \neq (0)$  if and only if  $\pi(e_s) \neq (0)$ .  $\square$

Let  $\pi: S \rightarrow \text{End}(M)$  be the representation of  $S$  implicit in the previous proposition. Then the endomorphisms  $E_s = \pi(s)$  of  $M$  satisfy the conditions of the following definition.

**Definition 8.** Let  $S$  be a set and suppose that  $M$  is a vector space over the field  $k$ . A set  $\{E_s\}_{s \in S}$  of endomorphisms of  $M$  is a *spanning orthogonal set of endomorphisms of  $M$*  if  $E_s E_{s'} = \delta_{s,s'} E_s$  for all  $s, s' \in S$  and  $\sum_{s \in S} \text{Im } E_s = M$ .

Observe that the sum  $M = \sum_{s \in S} \text{Im } E_s$  described in the definition is direct. Also for  $m \in M$  the set of  $s \in S$  such that  $E_s(m) \neq 0$  is finite. Therefore  $\sum_{s \in S} E_s$  defined by  $(\sum_{s \in S} E_s)(m) = \sum_{s \in S} E_s(m)$  for  $m \in M$  is a well-defined endomorphism of  $M$  since the right hand side of the last equation can be regarded as a finite sum.

Our next result characterizes the left  $A$ -modules, right  $A$ -comodules, and the left quantum Yang-Baxter  $A$ -modules of a semigroup algebra  $A = k[S]$ .

**PROPOSITION 5.** *Suppose that  $S$  is a semigroup and  $M$  is a vector space over  $k$ . Then:*

- (a) *There is a one-one correspondence*

$$\mathcal{T} \mapsto (M, \mu_{\mathcal{T}})$$

*between the set of representing sets of endomorphisms  $\mathcal{T} = \{T_s\}_{s \in S}$  of  $S$  in  $M$  and the set of left  $A$ -module structures on  $M$ , where  $s \cdot m = T_s(m)$  for all  $s \in S$  and  $m \in M$ .*

- (b) *There is a one-one correspondence*

$$\mathcal{N} \mapsto (M, \rho_{\mathcal{E}})$$

*between the set of spanning orthogonal sets of endomorphisms  $\mathcal{E} = \{E_s\}_{s \in S}$  of  $M$  and the set of right  $A$ -comodule structures on  $M$ , where*

$$\rho_{\mathcal{E}}(m) = \sum_{s \in S} E_s(m) \otimes s$$

*for all  $m \in M$ .*

Suppose that  $(M, \mu_{\mathcal{T}})$  and  $(M, \rho_{\mathcal{E}})$  are as described in parts (a) and (b) respectively. Then:

- (c)  $(M, \mu_{\mathcal{T}}, \rho_{\mathcal{E}})$  is a left quantum Yang-Baxter  $A$ -module if and only if the endomorphisms of  $\mathcal{T}$  and  $\mathcal{E}$  commute. In this case the associated solution to the quantum Yang-Baxter equation is given by

$$R = \sum_{s \in S} E_s \otimes T_s,$$

where  $R = R_{(\mu_{\mathcal{T}}, \rho_{\mathcal{E}})}$ .

*Proof.* Part (a) follows since we are really characterizing the representations  $\pi: S \rightarrow \text{End}(M)$  which are in one-one correspondence with the representations of  $A$  as endomorphisms of  $M$ . Part (b) is a straightforward exercise based on part (b) of Lemma 2.

It remains to establish part (c). Recall from Section 1 that the  $e_s$ 's defined by  $\langle e_s, s' \rangle = \delta_{s,s'}$  for  $s, s' \in S$  span a dense subspace of  $A^*$ . Now  $(M, \mu_{\mathcal{T}}, \rho_{\mathcal{E}})$  is a left quantum Yang-Baxter  $A$ -module if and only if (8) holds, namely

$$\alpha \rightarrow (a \cdot m) = a \cdot (\alpha \rightarrow m)$$

for all  $\alpha \in A^*$  and  $m \in M$ . Since the  $e_s$ 's span a dense subspace of  $A^*$  and  $S$  is a basis for  $A$  this last condition holds if and only if

$$e_s \rightarrow (s' \cdot m) = s' \cdot (e_s \rightarrow m)$$

for all  $s, s' \in S$ . Fix  $s, s' \in S$ . Since  $e_s \rightarrow m = E_s(m)$  and  $s \cdot m = T_s(m)$  for all  $m \in M$ , this last equation is the same as  $E_s T_{s'} = T_{s'} E_s$ . We have established part (c), and the proof is complete.  $\square$

We leave the proof of the following to the reader.

**THEOREM 1.** *Suppose that  $S$  is a semigroup and  $A = k[S]$  is the semigroup algebra of  $S$  over the field  $k$ . Let  $M$  be a vector space over  $k$ . Suppose that  $\{T_s\}_{s \in S}$  is a set of endomorphisms of  $M$  representing  $S$  and  $\{E_s\}_{s \in S}$  is a spanning orthogonal set of endomorphisms of  $M$ . Assume that the members of  $\mathcal{T}$  and  $\mathcal{E}$  commute and set*

$$R = \sum_{s \in S} E_s \otimes T_s.$$

Then:

- (a)  $R$  is a solution to the quantum Yang-Baxter equation.
- (b) Assume that  $M$  is finite-dimensional. Let  $S(\rho)$  be the sub-semigroup of  $S$  generated by the  $s \in S$  such that  $E_s \neq 0$ , and let  $\mathcal{S}$  be the set of equivalence classes of  $S(\rho)$  under the relation  $s \sim s'$  if and only if  $T_s = T_{s'}$ . Then  $\mathcal{S}$  is a multiplicative semigroup with neutral element  $[e]$  and product  $[s][s'] = [ss']$  for  $s, s' \in S$ , and  $A(R) \simeq k[\mathcal{S}]$ .

**3. The enveloping algebra of an abelian Lie algebra as a reduced FRT construction**

Let  $M$  be a finite-dimensional vector space over the field  $k$ . In this section we find all solutions  $R: M \otimes M \rightarrow M \otimes M$  to the quantum Yang-Baxter equation such that  $\widehat{A(R)} \simeq U(r, k)$  for some  $r \geq 1$  when the characteristic of  $k$  is 0.

We describe the left  $U(r, k)$ -modules, the right  $U(r, k)$ -comodules, and the left quantum Yang-Baxter  $U(r, k)$ -modules in terms of  $r$ -tuples of endomorphisms of  $M$ . Initially we do not assume that  $M$  is finite-dimensional.

We begin this section with a study of the left  $U(r, k)$ -modules  $M$ .

**PROPOSITION 6.** *Suppose that  $M$  is a vector space over the field  $k$ ,  $r \geq 1$ , and  $\pi: U(r, k) \rightarrow \text{End}(M)$  is a representation of  $U(r, k)$ . Let  $(M, \mu)$  be the resulting left  $U(r, k)$ -module structure on  $M$ . Assume that the characteristic of  $k$  is 0. Then the following are equivalent:*

- (a)  $U(r, k)$  is  $(M, \mu)$ -reduced.
- (b) For all bases  $\{x_1, \dots, x_r\}$  for  $P(r, k)$  the set  $\{T_1, \dots, T_r\}$  of endomorphisms of  $M$  is linearly independent, where  $T_i = \pi(x_i)$  for all  $1 \leq i \leq r$ .
- (c) There exists a basis  $\{x_1, \dots, x_r\}$  for  $P(r, k)$  such that the set  $\{T_1, \dots, T_r\}$  of endomorphisms of  $M$  is linearly independent, where  $T_i = \pi(x_i)$  for all  $1 \leq i \leq r$ .

*Proof.* Let  $L = P(r, k)$  and  $I$  be the largest coideal of  $U(r, k)$  contained in  $\text{ann}_{U(r, k)}(M)$ . Consider the restriction map  $\pi|_L: L \rightarrow \text{End}(M)$ . Since  $\text{Ker } \pi|_L = L \cap I$ , and  $I$  is a coideal of  $U(r, k)$ , it follows by [14, Lemma 11.0.1] that  $I = (0)$  if and only if  $L \cap I = (0)$ . The proposition now follows.  $\square$

**PROPOSITION 7.** *Suppose that  $M$  is a vector space over the field  $k$ ,  $r \geq 1$  and  $(M, \rho)$  is a right  $U(r, k)$ -comodule. Assume that the characteristic of  $k$  is 0 and let  $\pi: U(r, k)^* \rightarrow \text{End}(M)$  be the representation of  $U(r, k)^*$  afforded by the rational left  $U(r, k)^*$ -module structure  $(M, \mu_\rho)$ . Then the following are equivalent:*

- (a)  $U(r, k)(\rho)$  generates  $U(r, k)$  as an algebra.
- (b) For all bases  $\{X_1, \dots, X_r\}$  for  $P^o(r, k)$  the set  $\{N_1, \dots, N_r\}$  of endomorphisms of  $M$  is linearly independent, where  $N_i = \pi(X_i)$  for all  $1 \leq i \leq r$ .
- (c) There exists a basis  $\{X_1, \dots, X_r\}$  for  $P^o(r, k)$  such that the set of endomorphisms  $\{N_1, \dots, N_r\}$  of  $M$  is linearly independent, where  $N_i = \pi(X_i)$  for all  $1 \leq i \leq r$ .

*Proof.* Let  $A = U(r, k)$ , let  $B$  be the subalgebra of  $A$  generated by  $A(\rho)$ , and consider the map  $\text{Res}: P(A^o) \rightarrow P(B^o)$  defined by  $\text{Res}(p) = p|_B$ . Let  $p \in P(A^o) = P^o(r, k)$ . Then  $\text{Ker } p$  is a subalgebra of  $A$ . Thus it follows that

$p(A(\rho)) = (0)$  if and only if  $p(B) = (0)$ . Since  $\text{ann}_{A^*}(M) = A(\rho)^\perp$  we conclude that  $p \in \text{ann}_{A^*}(M)$  if and only if  $p(B) = (0)$ .

We have shown that  $\text{Ker Res} = P^o(r, k) \cap \text{ann}_{A^*}(M) = \text{Ker } \pi|_{P^o(r, k)}$ . Therefore  $\text{Rank Res} = \text{Rank } \pi|_{P^o(r, k)}$ . By part (a) of Proposition 2 and Lemma 3, Res is onto. Thus we compute

$$\text{Dim } P(B) = \text{Dim } P(B^o) = \text{Rank Res} = \text{Rank } \pi|_{P^o(r, k)}.$$

By part (a) of Proposition 2 again we have  $A = B$  if and only if  $r = \text{Dim } P(B)$ , and  $r = \text{Dim } P^o(r, k)$  by part (d) of Lemma 3. Thus it follows that  $A = B$  if and only if  $\pi|_{P^o(r, k)}$  is one-one. Now the proof is easily completed.  $\square$

We next characterize the left modules, right comodules, and the left quantum Yang-Baxter modules for  $U(r, k)$  when the field  $k$  has characteristic 0. We will find the following notation conventions very convenient. Let  $V$  be a vector space over  $k$  and  $r \geq 1$  be a fixed integer. For an  $r$ -tuple  $T = (T_1, \dots, T_r)$  of endomorphisms of  $M$  we define

$$T^n = T_1^{n_1} \dots T_r^{n_r}$$

for all  $n = (n_1, \dots, n_r) \in \mathbb{N} \times \dots \times \mathbb{N} = \mathbb{N}^r$ .

To characterize the right comodules for  $U(r, k)$  we will need the notion of locally nilpotent endomorphism.

*Definition 9.* A linear endomorphism  $T: V \rightarrow V$  of a vector space over  $V$  over the field  $k$  is *locally nilpotent* if for every  $v \in V$  there is an integer  $n \geq 0$  such that  $T^n(v) = 0$ .

A basic example of a locally nilpotent endomorphism is the following. Let  $(M, \rho)$  be a right  $C$ -comodule for a coalgebra  $C$  over the field  $k$  and let  $(M, \mu_\rho)$  be the resulting rational left  $C^*$ -module structure on  $M$ . Let  $\pi: C^* \rightarrow \text{End}(M)$  be the representation of  $C^*$  afforded by  $(M, \mu_\rho)$ . Then

$$\pi(\alpha)(m) = \alpha \rightarrow m = m^{(1)} \langle \alpha, m^{(2)} \rangle$$

for all  $\alpha \in C^*$  and  $m \in M$ . Since every  $m \in M$  generates a finite-dimensional subcomodule  $(N, \rho|_N)$  of  $(M, \rho)$ , and thus  $C(\rho|_N)$  is a finite-dimensional subcoalgebra of  $C$ , it follows that  $\pi(\alpha)$  is a locally nilpotent endomorphism of  $M$  for all  $\alpha \in \text{Rad}(C^*)$ .

Now suppose that  $V$  is a vector space over the field  $k$  and  $N \in \text{End}(V)$  is locally nilpotent. Then

$$T = \sum_{\ell=0}^{\infty} \alpha_\ell N^\ell$$

is a well-defined endomorphism of  $V$  for any  $\alpha_0, \alpha_1, \alpha_2, \dots \in k$ . To see this, note that for a given  $v \in V$  there are only finitely many  $\ell \geq 0$  such that  $N^\ell(v) \neq 0$ . Thus

$$T(v) = \sum_{\ell=0}^{\infty} N^\ell(v)$$

has finitely many non-zero summands and can thus be regarded as a finite sum. For the same reason if  $\mathcal{N} = (N_1, \dots, N_r)$  is an  $r$ -tuple of locally nilpotent endomorphism of  $V$  then

$$T = \sum_{\mathbf{n} \in \mathbb{N}^r} \alpha_{\mathbf{n}} \mathcal{N}^{\mathbf{n}}$$

is a well-defined endomorphism of  $V$  for all choices of coefficients  $\alpha_{\mathbf{n}} \in k$ . If in addition  $\mathcal{T} = (T_1, \dots, T_r)$  is an  $r$ -tuple of endomorphisms of  $V$  then

$$T = \sum_{\mathbf{n} \in \mathbb{N}^r} \alpha_{\mathbf{n}} \mathcal{N}^{\mathbf{n}} \otimes T^{\mathbf{n}}$$

is a well-defined endomorphism of  $V \otimes V$  for any choice of coefficients  $\alpha_{\mathbf{n}} \in k$ . There are obvious generalizations of the latter to the tensor product of a finite number of vector spaces over  $k$ .

**PROPOSITION 8.** *Suppose that  $M$  is a vector space over the field  $k$  and  $r \geq 1$ . Assume that the characteristic of  $k$  is 0. Let  $\mathcal{B} = \{x_1, \dots, x_r\}$  be a fixed basis for the space of primitives  $\mathcal{P}(r, k)$  of  $U(r, k)$ . Then:*

- (a) *There is a one-one correspondence*

$$\mathcal{T} \mapsto (M, \mu_{\mathcal{T}, \mathcal{B}})$$

*between the set of  $r$ -tuples  $\mathcal{T} = (T_1, \dots, T_r)$  of commuting endomorphisms of  $M$  and the set of left  $U(r, k)$ -module structures on  $M$ , where  $x_i \cdot m = T_i(m)$  for all  $1 \leq i \leq r$  and  $m \in M$ .*

- (b) *There is a one-one correspondence*

$$\mathcal{N} \mapsto (M, \rho_{\mathcal{N}, \mathcal{B}})$$

*between the set of  $r$ -tuples  $\mathcal{N} = (N_1, \dots, N_r)$  of commuting locally nilpotent endomorphisms of  $M$  and the set of right  $U(r, k)$ -comodule structures on  $M$ , where*

$$\rho_{\mathcal{N}, \mathcal{B}}(m) = \sum_{\mathbf{n} \in \mathbb{N}^r} \mathcal{N}^{\mathbf{n}}(m) \otimes \frac{x^{\mathbf{n}}}{\mathbf{n}!}$$

*for all  $m \in M$ .*

Suppose that  $(M, \mu_{\mathcal{T}, \mathcal{B}})$  and  $(M, \rho_{\mathcal{N}, \mathcal{B}})$  are as described in parts (a) and (b) respectively. Then:

- (c)  $(M, \mu_{\mathcal{T}, \mathcal{B}}, \rho_{\mathcal{N}, \mathcal{B}})$  is a left quantum Yang-Baxter  $U(r, k)$ -module if and only if the components of  $\mathcal{T}$  and  $\mathcal{N}$  commute. In this case the associated solution to the quantum Yang-Baxter equation is given by

$$R = \sum_{\mathbf{n} \in \mathbb{N}^r} \frac{1}{\mathbf{n}!} \mathcal{N}^{\mathbf{n}} \otimes \mathcal{T}^{\mathbf{n}},$$

where  $R = R_{(\mu_{\mathcal{N}, \mathcal{B}}, \rho_{\mathcal{T}, \mathcal{B}})}$ .

*Proof.* Part (a) follows from the usual formulation of left  $A$ -module structures  $(M, \mu)$  on  $M$  in terms of representations  $\pi_\mu: A \rightarrow \text{End}(M)$  given by  $\mu(a \otimes m) = \pi_\mu(a)(m)$  for any algebra  $A$  over  $k$ , where  $a \in A$  and  $m \in M$ , together with the observation that as an algebra  $A = U(r, k)$  is the (commutative) polynomial algebra over  $k$  on any basis for  $P(A)$ .

To show part (b) we first note that the subalgebra  $\mathcal{A} = U^o(r, k)$  of  $A^o = U(r, k)^o$  generated by  $P^o(r, k) = P(A^o)$  is a dense subspace of  $A^*$  by part (f) of Lemma 3. Thus if  $\rho: M \rightarrow M \otimes A$  is a linear map we have that  $(M, \rho)$  is a right  $A$ -comodule if and only if  $(M, \mu_\rho)$  is a left  $\mathcal{A}$ -module, where this module action is given by

$$\alpha \cdot m = (1_M \otimes \alpha)(\rho(m))$$

for all  $\alpha \in \mathcal{A}$  and  $m \in M$ .

First of all assume that  $\mathcal{N} = (N_1, \dots, N_r)$  is an  $r$ -tuple whose components are commuting locally nilpotent endomorphisms of  $M$ . Define  $\rho_{\mathcal{N}, \mathcal{B}}: M \rightarrow M \otimes A$  by

$$\rho_{\mathcal{N}, \mathcal{B}}(m) = \sum_{\mathbf{n} \in \mathbb{N}^r} \mathcal{N}^{\mathbf{n}}(m) \otimes \frac{x^{\mathbf{n}}}{\mathbf{n}!}$$

for all  $m \in M$ . By part (b) of Lemma 3 it follows that

$$\Delta \left( \frac{x^{\mathbf{n}}}{\mathbf{n}!} \right) = \sum_{\mathbf{m} \leq \mathbf{n}} \frac{x^{\mathbf{n}-\mathbf{m}}}{(\mathbf{n}-\mathbf{m})!} \otimes \frac{x^{\mathbf{m}}}{\mathbf{m}!}$$

for all  $\mathbf{n} \in \mathbb{N}^r$ . Therefore  $(M, \rho_{\mathcal{N}, \mathcal{B}})$  is a right  $U(r, k)$ -comodule.

Conversely, suppose that  $(M, \rho)$  is a right  $A = U(r, k)$ -comodule. Let  $\pi: A^* \rightarrow \text{End}(M)$  be the representation of the induced left rational  $A^*$ -module structure  $(M, \mu_\rho)$  on  $M$ . By parts (b) and (d) of Lemma 3 the set  $\{X_1, \dots, X_r\}$  is a basis for  $P(A^o)$ , where  $X_i(x^{\mathbf{n}}) = \delta_{\mathbf{e}_i, \mathbf{n}}$  for all  $\mathbf{n} \in \mathbb{N}^r$ , and  $X^{\mathbf{n}}(x^{\mathbf{m}}) = \mathbf{n}! \delta_{\mathbf{n}, \mathbf{m}}$  for all  $\mathbf{n}, \mathbf{m} \in \mathbb{N}^r$ . Let  $N_i = \pi(X_i)$ . Then  $N_1, \dots, N_r$  commute since  $X_1, \dots, X_r$  commute. Now let  $m \in M$  and suppose that  $N$  is the finite-dimensional sub-comodule of  $M$  which  $m$  generates. Then  $\rho(N) \subseteq N \otimes V$  for some finite-dimensional subspace



$V$  of  $A$ . Therefore there exist an integer  $n_{\min} \geq 0$  such that  $V$  is in the span of the  $x^{\mathbf{n}}$ 's, where  $\mathbf{n} = (n_1, \dots, n_r)$  satisfies  $n_i \leq n_{\min}$  for all  $1 \leq i \leq r$ . This means

$$\mathcal{N}^{\mathbf{n}}(m) = X^{\mathbf{n}} \rightarrow m \in M \langle X^{\mathbf{n}}, V \rangle = (0)$$

whenever  $n_i > n_{\min}$  holds for one of the components  $n_i$  of  $\mathbf{n}$ . In particular  $N_i$  is a locally nilpotent endomorphism of  $M$  for  $1 \leq i \leq r$ . Since  $\mathcal{A}$  is a dense subspace of  $A^*$  and is spanned by the  $X^{\mathbf{n}}$ 's, the calculation

$$\begin{aligned} (1_M \otimes X^{\mathbf{m}}) \left( \sum_{\mathbf{n} \in \mathbb{N}^r} \mathcal{N}^{\mathbf{n}}(m) \otimes \frac{x^{\mathbf{n}}}{\mathbf{n}!} \right) &= \mathcal{N}^{\mathbf{m}}(m) \\ &= X^{\mathbf{m}} \rightarrow m \\ &= (1_M \otimes X^{\mathbf{m}})(\rho(m)) \end{aligned}$$

for all  $\mathbf{m} \in \mathbb{N}^r$  and  $m \in M$  shows that  $\rho = \rho_{\mathcal{N}, \mathcal{B}}$ . We leave it to the reader to complete the proof of part (b) by showing for  $r$ -tuples  $\mathcal{N}$  and  $\mathcal{N}'$  whose components are commuting locally nilpotent endomorphisms of  $M$  that  $\rho_{\mathcal{N}, \mathcal{B}} = \rho_{\mathcal{N}', \mathcal{B}}$  implies  $\mathcal{N} = \mathcal{N}'$ .

We now show part (c). By parts (a) and (b) any left quantum Yang-Baxter  $A$ -module has the form  $(M, \mu_{\mathcal{T}, \mathcal{B}}, \rho_{\mathcal{N}, \mathcal{B}})$  where  $\mathcal{T} = (T_1, \dots, T_r)$  and  $\mathcal{N} = (N_1, \dots, N_r)$  are  $r$ -tuples of commuting endomorphisms, where  $N_1, \dots, N_r$  are locally nilpotent. The formula for  $R = R_{(\mu_{\mathcal{T}, \mathcal{B}}, \rho_{\mathcal{N}, \mathcal{B}})}$  follows from the calculation

$$\begin{aligned} R(m \otimes n) &= m^{(1)} \otimes m^{(2)} \cdot n \\ &= \sum_{\mathbf{n} \in \mathbb{N}^r} \mathcal{N}^{\mathbf{n}}(m) \otimes \left( \frac{x^{\mathbf{n}}}{\mathbf{n}!} \right) \cdot n \\ &= \sum_{\mathbf{n} \in \mathbb{N}^r} \mathcal{N}^{\mathbf{n}}(m) \otimes \frac{1}{\mathbf{n}!} \mathcal{T}^{\mathbf{n}}(n) \end{aligned}$$

for all  $m, n \in M$ .

We complete the proof of part (c) by showing that (8) holds, namely

$$\alpha \rightarrow (a \cdot m) = a \cdot (\alpha \rightarrow m)$$

for all  $\alpha \in A^*$ ,  $a \in A$ , and  $m \in M$  if and only if the  $T_i$ 's and  $N_j$ 's commute. Since  $\mathcal{A}$  is a dense subalgebra of  $A^*$  it is not hard to see that (8) is equivalent to

$$X_i \rightarrow (x_j \cdot m) = x_j \cdot (X_i \rightarrow m)$$

for all  $1 \leq i, j \leq r$  and  $m \in M$ . This last equation is equivalent to  $N_i T_j = T_j N_i$  for all  $1 \leq i, j \leq r$ . We have shown part (c), and thus the proof of the proposition is complete.  $\square$

The solution to the quantum Yang-Baxter equation described in part (c) of Proposition 8 can be described in terms of the exponential map. Assume that the characteristic of  $k$  is 0 and that  $N$  is a locally nilpotent endomorphism of a vector space  $V$  over  $k$ . Then

$$\exp N = \sum_{n=0}^{\infty} \frac{N^n}{n!}$$

is a well-defined endomorphism of  $V$ . The endomorphism of part (c) of Proposition 8 can be written

$$R = \exp(N_1 \otimes T_1) \cdots \exp(N_r \otimes T_r).$$

When  $M$  is finite-dimensional, observe that  $R = 1_{M \otimes M} + N$  for some nilpotent endomorphism  $N$  of  $M \otimes M$ ; thus  $R$  is unipotent.

Suppose that  $A = U(r, k)$  and that  $(M, \rho_{\mathcal{N}, \mathcal{B}})$  is a finite-dimensional right  $A$ -comodule. To prove the theorem of this section we need to know the rank of the subalgebra  $B$  of  $A$  generated by  $A(\rho)$ .

**LEMMA 5.** *Suppose that  $M$  is a finite-dimensional vector space over the field  $k$  and  $\mathcal{N} = (N_1, \dots, N_r)$  is an  $r$ -tuple of nilpotent endomorphisms of  $M$ . Assume that the characteristic of  $k$  is 0, let  $\mathcal{B}$  be a basis for  $\mathcal{P}(r, k)$ , and suppose that  $B$  is the subalgebra of  $U(r, k)$  generated by  $U(r, k)(\rho_{\mathcal{N}, \mathcal{B}})$ . Then  $\text{rank } B = \text{rank } \mathcal{N}$ .*

*Proof.* First of all suppose that  $C$  is a coalgebra over  $k$  and that  $(M, \rho)$  is a finite-dimensional right  $C$ -comodule. Let  $\{m_1, \dots, m_s\}$  be a basis for  $M$  and write  $\rho(m_j) = \sum_{i=1}^s m_i \otimes c_j^i$  where  $c_j^i \in C$ . Then  $C(\rho)$  is the span of the  $c_j^i$ 's. Therefore

$$C(\rho) = (M^* \otimes 1_C)(\rho(M)). \tag{14}$$

Now let  $A = U(r, k)$  and consider  $(M, \rho)$ , where  $\rho = \rho_{\mathcal{N}, \mathcal{B}}$ . Choose a basis  $\{\mathcal{N}^{\mathbf{n}_1}, \dots, \mathcal{N}^{\mathbf{n}_t}\}$  for the span of the  $\mathcal{N}^{\mathbf{n}}$ 's. Since

$$\rho(m) = \sum_{\mathbf{n} \in \mathbb{N}^r} \mathcal{N}^{\mathbf{n}}(m) \otimes \frac{x^{\mathbf{n}}}{\mathbf{n}!}$$

for all  $m \in M$ , there exist  $c_1, \dots, c_t \in A$  such that

$$\rho(m) = \sum_{i=1}^t \mathcal{N}^{\mathbf{n}_i}(m) \otimes c_i \tag{15}$$

for all  $m \in M$ .

We claim that  $A(\rho)$  is the span of the  $c_i$ 's. First note that  $A(\rho)$  is contained in the span of the  $c_i$ 's by (14) and (15). To see that the  $c_i$ 's are contained in  $A(\rho)$  we note that  $M^* \otimes M \simeq \text{End}(M)$ , where  $\langle \alpha \otimes m, n \rangle = \langle \alpha, n \rangle m$  for all  $\alpha \in M^*$  and  $m, n \in M$ . Thus we can think of  $M \otimes M^*$  as  $\text{End}(M)^*$  via the composite

$M \otimes M^* \simeq (M^* \otimes M)^* \simeq \text{End}(M)^*$  which is given by  $\langle m \otimes \alpha, T \rangle = \langle \alpha, T(m) \rangle$  for all  $m \in M, \alpha \in M^*$ , and  $T \in \text{End}(M)$ . Now fix  $1 \leq j \leq t$  and let

$$f = \sum_{\ell=1}^p m_\ell \otimes \alpha_\ell \in \text{End}(M)^*$$

be the functional which satisfies  $\langle f, \mathcal{N}^{\mathbf{n}_i} \rangle = \delta_{i,j}$ . Then

$$\begin{aligned} c_j &= \sum_{i=1}^t \langle f, \mathcal{N}^{\mathbf{n}_i} \rangle c_i \\ &= \sum_{i=1}^t \left( \sum_{\ell=1}^p \langle \alpha_\ell, \mathcal{N}^{\mathbf{n}_i}(m_\ell) \rangle \right) c_i \\ &= \sum_{\ell=1}^p (\alpha_\ell \otimes 1_{C^*})(\rho(m_\ell)) \end{aligned}$$

which means that  $c_j \in A(\rho)$ . Therefore  $A(\rho)$  is the span of the  $c_i$ 's.

We will assume that the basis  $\mathcal{B}$  has been chosen in the following way. Reorder  $\{N_1, \dots, N_r\}$  if necessary so that  $\{N_1, \dots, N_s\}$  is a basis for the span of the  $N_i$ 's. Now there are only finitely many  $\mathbf{n}$ 's such that  $\mathcal{N}^{\mathbf{n}}$  is not zero. Choose a basis for the span of the  $\mathcal{N}^{\mathbf{n}}$ 's, consisting of  $\mathcal{N}^{\mathbf{n}}$ 's, so that any  $\mathcal{N}^{\mathbf{n}}$  is a linear combination of basis elements  $\mathcal{N}^{\mathbf{m}}$  which satisfy  $|\mathbf{m}| \geq |\mathbf{n}|$ . Since  $\mathcal{N}^{\mathbf{n}}$  is nilpotent whenever  $\mathbf{n} \neq \mathbf{o}$ , it follows that  $\mathcal{N}^{\mathbf{o}} = 1_M$  must be in the basis. Also observe that

$$P(A) \subseteq A_{(1)}, \tag{16}$$

$$c_i \in A_{(2)} \quad \text{if } |\mathbf{n}_i| > 1, \tag{17}$$

and

$$c_{\mathbf{o}} = 1. \tag{18}$$

Let  $B$  be the subalgebra of  $A$  generated by  $C = A(\rho)$ . Since  $\{N_1, \dots, N_s\}$  is a basis for the span of  $\{N_1, \dots, N_r\}$  for  $s < j \leq r$  we have

$$N_j = \sum_{i=1}^s \alpha_j^i N_i,$$

where  $\alpha_j^i \in k$ . We calculate

$$\rho_{\mathcal{N}, \mathcal{B}}(m) = m \otimes 1 + \sum_{j=1}^r \mathcal{N}_j(m) \otimes x_j + \nabla$$

$$\begin{aligned}
 &= m \otimes 1 + \sum_{i=1}^s \mathcal{N}_i(m) \otimes x_i + \sum_{j=s+1}^r \left( \sum_{i=1}^s \alpha_j^i N_i(m) \right) \otimes x_j + \nabla \\
 &= m \otimes 1 + \sum_{i=1}^s N_i(m) \otimes (x_i + \sum_{j=s+1}^r \alpha_j^i x_j) + \nabla \\
 &= m \otimes 1 + \sum_{i=1}^s N_i(m) \otimes x'_i + \nabla,
 \end{aligned}$$

where  $x'_i = x_i + \sum_{j=s+1}^r \alpha_j^i x_j$  for all  $1 \leq i \leq s$  and  $\nabla = \sum_{|\mathbf{n}|>1} \mathcal{N}^{\mathbf{n}} \otimes \frac{x^{\mathbf{n}}}{\mathbf{n}!} \in M \otimes A_{(2)}$ . By the way we chose our basis for the span of the  $\mathcal{N}^{\mathbf{n}}$ 's it follows by (16)–(18) that  $A(\rho) \subseteq k1 \oplus \text{sp}(x'_1, \dots, x'_s) \oplus A_{(2)}$ . Thus the primitives of  $B$  lie in the span of  $x'_1, \dots, x'_s$  which form a linearly independent set.

Let  $\mathcal{A} = k[x'_1, \dots, x'_s]$  be the subalgebra of  $A$  generated by  $x'_1, \dots, x'_s$ . Then  $\mathcal{A}$  is a sub-Hopf algebra of  $A$  and  $\mathcal{A} \simeq U(s, k)$  as Hopf algebras. Since  $A(\rho) \subseteq B \subseteq \mathcal{A}$  we may consider  $(M, \rho_{\mathcal{N}, B})$  to be a right  $\mathcal{A}$ -comodule. Let  $\pi: \mathcal{A}^* \rightarrow \text{End}(M)$  be the representation of  $\mathcal{A}^*$  arising from the left rational  $\mathcal{A}^*$ -module structure on  $M$  determined by  $(M, \rho_{\mathcal{N}, B})$ . Let  $X'_i = X_i|_{\mathcal{A}}$  for  $1 \leq i \leq s$ . Then  $X'_1, \dots, X'_s \in P(\mathcal{A}^o)$  form a linear independent set, and thus form a basis for  $P(\mathcal{A}^o)$  by Lemma 3. Since  $N_i = \pi(X'_i)$  for  $1 \leq i \leq s$  we can apply Proposition 7 to conclude that  $B = \mathcal{A}$ . This completes the proof.  $\square$

By part (c) of Proposition 8 we have an explicit formulation of the solution  $R$  to the quantum Yang-Baxter equation associated to a left quantum Yang-Baxter  $U(r, k)$ -module structure. Our next result characterizes  $\widetilde{A}(R)$ .

**THEOREM 2.** *Let  $M$  be a vector space over the field  $k$ . Suppose that the characteristic of  $k$  is 0. Let  $\mathcal{T} = (T_1, \dots, T_r)$  and  $\mathcal{N} = (N_1, \dots, N_r)$  be  $r$ -tuples of commuting endomorphisms of  $M$  such that the  $N_i$ 's are locally nilpotent and the  $N_i$ 's commute with the  $T_j$ 's. Set*

$$R = \sum_{\mathbf{n} \in \mathbb{N}^r} \frac{1}{\mathbf{n}!} \mathcal{N}^{\mathbf{n}} \otimes \mathcal{T}^{\mathbf{n}}$$

and

$$\mathfrak{R} = \sum_{i=1}^r N_i \otimes T_i.$$

Then:

- (a)  $R$  is a solution to the quantum Yang-Baxter equation.
- (b) If  $M$  is finite-dimensional, then  $\widetilde{A}(R) \simeq U(\text{Rank } \mathfrak{R}, k)$ .

*Proof.* By part (c) of Proposition 8 there exists a left quantum Yang-Baxter  $U(r, k)$ -module structure  $(M, \mu_{\mathcal{T}, \mathcal{B}}, \rho_{\mathcal{N}, \mathcal{B}}) = (M, \mu, \rho)$  on  $M$  such that  $R$  described in the statement of the theorem is the associated solution to the QYBE. Thus part (a) follows. In the finite-dimensional case, we note that the fact that  $R$  satisfies the QYBE also follows from the fact that the  $N_i$ 's and  $T_j$ 's generate a commutative subalgebra  $\mathcal{A}$  of  $\text{End}(M)$  and that  $R \in \mathcal{A} \otimes \mathcal{A}$ .

Assume further that  $M$  is finite-dimensional. Let  $A = U(r, k)$  and write  $\mathcal{B} = \{x_1, \dots, x_r\}$ . Reorder  $\{T_1, \dots, T_r\}$  if necessary so that  $\{T_1, \dots, T_s\}$  is a basis for the span of the  $T_i$ 's. Recall that the representation  $\pi: A \rightarrow \text{End}(M)$  afforded by  $(M, \mu)$  is determined by  $\pi(x_i) = T_i$  for all  $1 \leq i \leq r$  and that the representation  $\pi_{\text{rat}}: A^* \rightarrow \text{End}(M)$  afforded by  $(M, \mu_\rho)$  is determined by  $\pi_{\text{rat}}(X_i) = N_i$  for all  $1 \leq i \leq r$ , where the  $X_i$ 's are defined for  $\mathcal{B}$  as in Lemma 3. To compute  $\widetilde{A(R)}$  we will pass to a quotient of  $A$  and then to a subalgebra of the quotient.

Let  $s < j \leq r$  and write

$$T_j = \sum_{i=1}^s \alpha_j^i T_i$$

where  $\alpha_j^i \in k$ . Let  $I$  be the sum of the coideals of  $\text{ann}_A(M)$ . Then  $x_j - \sum_{i=1}^s \alpha_j^i x_i \in I$  for  $s < j \leq r$ . Since  $\{T_1, \dots, T_s\}$  is linearly independent, the quotient  $A/I$  is the free algebra on the set of cosets  $\overline{\mathcal{B}} = \{\overline{x}_1, \dots, \overline{x}_s\}$  by Lemma 3. Observe that

$$\begin{aligned} \mathfrak{N} &= \sum_{i=1}^s N_i \otimes T_i + \sum_{j=s+1}^r N_j \otimes \left( \sum_{i=1}^s \alpha_j^i T_i \right) \\ &= \sum_{i=1}^s \left( N_i + \sum_{j=s+1}^r \alpha_j^i N_j \right) \otimes T_i \end{aligned}$$

so

$$\mathfrak{N} = \sum_{i=1}^s \overline{N}_i \otimes T_i,$$

where  $\overline{N}_i = N_i + \sum_{j=s+1}^r \alpha_j^i N_j$  for all  $1 \leq i \leq s$ .

Let  $(M, \overline{\mu}_{\mathcal{T}, \mathcal{B}})$  be the left  $A/I$ -module structure on  $M$  given by  $\overline{\mu}_{\mathcal{T}, \mathcal{B}} = \mu_{\mathcal{T}, \mathcal{B}}(\pi \otimes 1_M)$  and let  $(M, \overline{\rho}_{\mathcal{N}, \mathcal{B}})$  be the right  $A/I$ -comodule structure on  $M$  defined by  $\overline{\rho}_{\mathcal{N}, \mathcal{B}} = (1_M \otimes \pi)\rho_{\mathcal{N}, \mathcal{B}}$ , where  $\pi: A \rightarrow A/I$  is the projection. Then  $(M, \overline{\mu}_{\mathcal{T}, \mathcal{B}}, \overline{\rho}_{\mathcal{N}, \mathcal{B}})$  is a left quantum Yang-Baxter  $A$ -module and  $R$  is the associated quantum Yang-Baxter equation solution. Let  $\overline{\mathcal{T}} = \{T_1, \dots, T_s\}$ . Then  $(M, \overline{\mu}_{\mathcal{T}, \mathcal{B}}) = (M, \mu_{\overline{\mathcal{T}}, \overline{\mathcal{B}}})$ . Observe that for  $m \in M$  we have

$$\overline{\rho}_{\mathcal{N}, \mathcal{B}}(m) = \sum_{\mathbf{n} \in \mathcal{N}^r} \mathcal{N}^{\mathbf{n}}(m) \otimes \overline{\binom{x^{\mathbf{n}}}{\mathbf{n}!}}$$

$$\begin{aligned}
 &= m \otimes \bar{1} + \sum_{i=1}^s N_i(m) \otimes \bar{x}_i + \sum_{j=s+1}^r N_j(m) \otimes \bar{x}_j + \nabla \\
 &= m \otimes \bar{1} + \sum_{i=1}^s N_i(m) \otimes \bar{x}_i + \sum_{j=s+1}^r N_j(m) \otimes \left( \sum_{i=1}^s \alpha_j^i \bar{x}_i \right) + \nabla \\
 &= m \otimes \bar{1} + \sum_{i=1}^s (N_i(m) + \sum_{j=s+1}^r \alpha_j^i N_j(m)) \otimes \bar{x}_i + \nabla \\
 &= m \otimes \bar{1} + \sum_{i=1}^s \bar{N}_i(m) \otimes \bar{x}_i + \nabla
 \end{aligned}$$

where  $\nabla \in M \otimes (A/I)_{(2)}$ . Thus  $\bar{\rho}_{\mathcal{N}, \mathcal{B}} = \rho_{\bar{\mathcal{N}}, \bar{\mathcal{B}}}$ , where  $\bar{\mathcal{N}} = (\bar{N}_1, \dots, \bar{N}_s)$ . Thus we may replace  $A$  by  $A/I$  and  $(M, \mu_{\mathcal{T}, \mathcal{B}}, \rho_{\mathcal{N}, \mathcal{B}})$  by  $(M, \mu_{\bar{\mathcal{T}}, \bar{\mathcal{B}}}, \rho_{\bar{\mathcal{N}}, \bar{\mathcal{B}}})$ . In particular we may assume that  $\{T_1, \dots, T_r\}$  is linearly independent.

Assume that  $\{T_1, \dots, T_r\}$  is linearly independent and  $A$  is  $M$ -reduced. Notice that  $\text{Rank } \mathfrak{N} = \text{Rank } \mathcal{N}$ . Let  $B$  be the subalgebra of  $A$  generated by  $A(\rho)$ . Then  $\widetilde{A(\mathcal{R})} \simeq B$ . But  $\text{Rank } \mathcal{N} = \text{rank } B$  by Lemma 5. This completes the proof of part b), and we are done.  $\square$

**COROLLARY 1.** *Suppose that  $M$  is a finite-dimensional vector space over the field  $k$  and let  $R: M \otimes M \rightarrow M \otimes M$  be a solution to the quantum Yang-Baxter equation. Assume that the characteristic of  $k$  is 0. Then the following are equivalent:*

- (a)  $\widetilde{A(\mathcal{R})} \simeq U(r, k)$  as bialgebras.
- (b) *There exists  $r$ -tuples  $\mathcal{T} = \{T_1, \dots, T_r\}$  and  $\mathcal{N} = \{N_1, \dots, N_r\}$  of endomorphisms of  $M$  such that*
  - (i)  $\{T_1, \dots, T_r, N_1, \dots, N_r\}$  is a commuting family,
  - (ii)  $N_1, \dots, N_r$  are nilpotent,
  - (iii)  $\{T_1, \dots, T_r\}$  and  $\{N_1, \dots, N_r\}$  are linearly independent, and
  - (iv)  $R = \sum_{\mathbf{n} \in \mathbb{N}^r} \frac{1}{\mathbf{n}!} \mathcal{N}^{\mathbf{n}} \otimes \mathcal{T}^{\mathbf{n}}$ .

*Proof.* Part (b) implies part (a) by Theorem 2. To show part (a) implies part (b) we first observe that there is a left quantum Yang-Baxter  $\widetilde{A(\mathcal{R})}$ -module structure on  $M$  with associated quantum Yang-Baxter equation solution  $R$ . Thus part (a) implies part (b) by Proposition 8 and Theorem 2.  $\square$

#### 4. Finite-dimensional Hopf algebras as reduced FRT constructions

Every finite-dimensional Hopf algebra  $H$  over the field  $k$  can be embedded into the underlying Hopf algebra  $D(H)$  of the quantum double  $(D(H), \mathfrak{N})$  of  $H$ . In

this section we show that  $M = D(H)$  has a left quantum Yang-Baxter  $H$ -module structure  $(M, \mu, \rho)$  such that  $H$  is  $(M, \mu)$ -reduced and  $H(\rho) = H$ . As a consequence  $H \simeq \widetilde{A(R)}$ , where  $R$  is the solution to the quantum Yang-Baxter equation associated to  $(M, \mu, \rho)$ .

The quantum double is a quasitriangular Hopf algebra.

*Definition 10.* A quasitriangular bialgebra (respectively quasitriangular Hopf algebra) over the field  $k$  is a pair  $(A, R)$ , where  $A$  is a bialgebra (respectively Hopf algebra) over  $k$  and  $R = \sum_{i=1}^r a_i \otimes b_i \in A \otimes A$  satisfies the following:

$$(QT.1) \quad \sum_{i=1}^r \Delta(a_i) \otimes b_i = \sum_{i,j=1}^r a_i \otimes a_j \otimes b_i b_j,$$

$$(QT.2) \quad \sum_{i=1}^r \epsilon(a_i) b_i = 1,$$

$$(QT.3) \quad \sum_{i=1}^r a_i \otimes \Delta^{\text{cop}}(b_i) = \sum_{i,j=1}^r a_i a_j \otimes b_i \otimes b_j,$$

$$(QT.4) \quad \sum_{i=1}^r a_i \epsilon(b_i) = 1, \text{ and}$$

$$(QT.5) \quad (\Delta^{\text{cop}}(a))R = R(\Delta(a)) \text{ for all } a \in A.$$

Let  $R_{(\ell)} = (1_A \otimes A^*)(R)$  and  $R_{(r)} = (A^* \otimes 1_A)(R)$ . If  $r = \text{Rank } R$  observe that  $\{a_1, \dots, a_r\}$  is a basis for  $R_{(\ell)}$  and  $\{b_1, \dots, b_r\}$  is a basis for  $R_{(r)}$ .

Suppose that  $A$  is a finite-dimensional quasitriangular Hopf algebra over the field  $k$ . Then  $R_{(\ell)}$  and  $R_{(r)}$  are sub-Hopf algebras of  $A$  by [10, Proposition 2.a)] and  $R_{(\ell)}R_{(r)} = R_{(r)}R_{(\ell)}$  by [10, Theorem 1.a)]. Let  $H = R_{(\ell)}$  and regard  $M = A$  as a left  $H$ -module under multiplication. Define  $\rho: M \rightarrow M \otimes H$  by

$$\rho(m) = \sum_{i=1}^r b_i m \otimes a_i$$

for all  $m \in M$ . Then  $(M, \rho)$  is a right  $H$ -comodule by virtue of (QT.1) and (QT.2). Using (QT.5) we deduce that (5) holds for  $(M, \mu, \rho)$ . Therefore  $(M, \mu, \rho)$  is a left quantum Yang-Baxter  $H$ -module. Since  $(M, \mu)$  is a faithful  $H$ -module we conclude that  $H$  is  $(M, \mu)$ -reduced. Now suppose that  $r = \text{Rank } R$ . We have noted that  $H = R_{(\ell)}$  has basis  $\{a_1, \dots, a_r\}$  and  $\{b_1, \dots, b_r\}$  is linearly independent. Since  $\rho(1) = \sum_{i=1}^r b_i \otimes a_i$  it follows that  $H(\rho) = H$  (see Definition 1). Therefore  $\widetilde{A(R)} \simeq H$  by Proposition 1(b), where  $R: M \otimes M \rightarrow M \otimes M$  is the quantum Yang-Baxter equation solution  $R = R_{(\mu, \rho)}$ . Since

$$R(m \otimes n) = \sum_{i=1}^r m^{(1)} \otimes m^{(2)} \cdot n = \sum_{i=1}^r b_i m \otimes a_i n$$

the solution  $R$  is given by

$$R(m \otimes n) = \sum_{i=1}^r b_i m \otimes a_i n$$

for all  $m, n \in M$ .

Now suppose that  $(D(H), \mathfrak{H})$  is the quantum double of  $H$ . Then there exists an embedding of Hopf algebras  $\iota: H \rightarrow D(H)$  such that  $\iota(H) = \mathfrak{H}_{(\iota)}$ . See [2, page 816] for the definition of the quantum double and its construction and see [10, Section 3] for the conventions regarding the double we are following here. Since  $\text{Dim } D(H) = (\text{Dim } H)^2$  we have shown:

**THEOREM 3.** *Suppose that  $H$  is an  $n$ -dimensional Hopf algebra over the field  $k$ . Then there exists an  $n^2$ -dimensional vector space  $M$  over  $k$  and a solution  $R: M \otimes M \rightarrow M \otimes M$  to the quantum Yang-Baxter equation such that  $H \simeq \widetilde{A}(R)$ .*

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Larry Lambe, Department of Mathematics, University of Wales, Bangor, Bangor, Gwynedd LL57 1UT, United Kingdom  
llambe@caip.rutgers.edu



David E. Radford, Department of Mathematics, Statistics, and Computer Science,  
University of Illinois at Chicago, Chicago, Illinois 60607-7045  
radford@uic.edu