

PRIME RINGS WITH ANNIHILATOR CONDITIONS ON POWER VALUES OF DERIVATIONS ON MULTILINEAR POLYNOMIALS

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Abstract. Let R be a prime algebra over a commutative ring K , d a nonzero derivation of R , $f(x_1, \dots, x_n)$ a multilinear polynomial over K in n non-commuting variables, $a \in R$ and $m \geq 1$ a fixed integer. Suppose that $f(x_1, \dots, x_n)$ is not central on R . If $a(d(f(r_1, \dots, r_n)))^m = 0$, for any $r_1, \dots, r_n \in R$, then $a = 0$.

Throughout this paper R always denotes a prime ring with center $Z(R)$ and with extended centroid C , Q its Martindale quotient ring. We will consider some related problems concerning annihilators of power values of derivations in prime rings.

In [2] M. Brešar proved that if R is a semiprime ring, d a nonzero derivation of R and $a \in R$ such that $ad(x)^m = 0$, for all $x \in R$, where m is a fixed integer, then $ad(R) = 0$ when R is $(m-1)!$ -torsion free. In [9] T. K. Lee and J. S. Lin proved Brešar's result without the assumption of $(m-1)!$ -torsion free on R . They studied the Lie ideal case and, for the prime case, they showed that if R is a prime ring with a derivation $d \neq 0$, L a Lie ideal of R , $a \in R$ such that $ad(u)^m = 0$, for all $u \in L$, where m is fixed, then $ad(L) = 0$ unless the case when $\text{char}(R) = 2$ and $\dim_C RC = 4$. In addition, if $[L, L] \neq 0$, then $ad(R) = 0$.

Recently in [3] C. M. Chang and T. K. Lee established a unified version of the previous results for prime rings. More precisely they proved the following theorem: let R be a prime ring, ϱ a nonzero right ideal of R , d a nonzero derivation of R , $a \in R$ such that $ad([x, y])^m \in Z(R)$ ($d([x, y])^m a \in Z(R)$). If $[\varrho, \varrho]\varrho \neq 0$ and $\dim_C RC > 4$, then either $ad(\varrho) = 0$ ($a = 0$ resp.) or d is the inner derivation induced by some $q \in Q$ such that $q\varrho = 0$.

Here we shall continue the investigation about the properties of a subset S of R related to its left annihilator $\text{Ann}_R(S) = \{x \in R : xS = (0)\}$. More precisely

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we shall study the case when $S = \{d(f(x_1, \dots, x_n))^m : x_1, \dots, x_n \in R\}$, where $f(x_1, \dots, x_n)$ is a multilinear polynomial in n non-commuting variables and m is a fixed integer. We shall prove:

Theorem 1. *Let R be a prime algebra over a commutative ring K , d a nonzero derivation of R , $f(x_1, \dots, x_n)$ a multilinear polynomial over K in n non-commuting variables, $a \in R$, $m \geq 1$ a fixed integer. Suppose that $f(x_1, \dots, x_n)$ is not central on R . If $a(d(f(r_1, \dots, r_n)))^m = 0$, for any $r_1, \dots, r_n \in R$, then $a = 0$.*

We first dispose of the case that R is not a domain. In fact, if R is a domain, by supposing $a \neq 0$, we get $(d(f(r_1, \dots, r_n)))^m = 0$, for any $r_1, \dots, r_n \in R$. In this situation, by [13], $f(x_1, \dots, x_n)$ must be central on R .

In all that follows let $T = Q *_C C\{X\}$ be the free product over C of the C -algebra Q and the free C -algebra $C\{X\}$, with X the countable set consisting of non-commuting indeterminates $x_1, x_2, \dots, x_n, \dots$. We refer the reader to [4] for the definitions and the related properties of these objects. Moreover we must remark that the main tool will be the theory of differential identities, initiated by Kharchenko in [6].

Remark 1. Recall that d can be extended uniquely to a derivation on Q [8] which will be also denoted by d . Since by [8] R and Q satisfy the same differential identities, we have that $a(d(f(x_1, \dots, x_n)))^m = 0$ also in Q . Moreover Q is prime, by the primeness of R , and replacing R by Q we may assume, without loss of generality, $C = Z(R)$ and R is a C -algebra centrally closed.

From now on let K be a commutative ring, R a prime K -algebra, $f(x_1, \dots, x_n)$ a multilinear polynomial over K in n non-commuting variables, $a \in R$ and $m \geq 1$.

Moreover $f(x_1, \dots, x_n)$ is not central on R and, for all $r_1, \dots, r_n \in R$, $a(d(f(r_1, \dots, r_n)))^m = 0$. We will use the following notation:

$$f(x_1, \dots, x_n) = x_1 x_2 \dots x_n + \sum_{\sigma \in S_n} \alpha_\sigma x_{\sigma(1)} x_{\sigma(2)} \dots x_{\sigma(n)}.$$

We begin with the following:

Lemma 1. *If d is an outer derivation of R then $a = 0$.*

Proof. Suppose on the contrary that $a \neq 0$. We denote by $f^d(x_1, \dots, x_n)$ the polynomial obtained from $f(x_1, \dots, x_n)$ by replacing each coefficient α_σ with $\delta(\alpha_\sigma \cdot 1)$. Thus we write $d(f(x_1, \dots, x_n)) = f^d(x_1, \dots, x_n) + \sum_i f(x_1, \dots, d(x_i), \dots, x_n)$. Since R satisfies the generalized differential identity

$$a(d(f(x_1, \dots, x_n)))^m =$$

$$a \left(f^d(x_1, \dots, x_n) + \sum_i f(x_1, \dots, d(x_i), \dots, x_n) \right)^m$$

and d is an outer derivation, by [6] R satisfies the generalized polynomial identity

$$a \left(f^d(x_1, \dots, x_n) + \sum_i f(x_1, \dots, y_i, \dots, x_n) \right)^m$$

and in particular R satisfies $a(f(y_1, x_2, \dots, x_n))^m$. As a consequence of [5], since R is prime and $f(x_1, \dots, x_n)$ is not an identity for R , we get $a = 0$, a contradiction. ■

In all that follows we will consider the only case when d is an inner derivation in R . This means that there exists $q \in R$ such that $a[q, f(r_1, \dots, r_n)]^m = 0$, for any $r_1, \dots, r_n \in R$.

Lemma 2. *If R does not satisfy any non-trivial generalized polynomial identity, then $a = 0$.*

Proof. Since R does not satisfy any non-trivial generalized polynomial identity, we have that

$$a[q, f(x_1, \dots, x_n)]^m$$

is the zero element in the free product $T = Q *_C C\{x_1, \dots, x_n\}$, that is

$$a[q, f(x_1, \dots, x_n)]^{m-1} (qf(x_1, \dots, x_n) - f(x_1, \dots, x_n)q) = 0 \in T.$$

Since $q \notin C$, it follows that $a[q, f(x_1, \dots, x_n)]^{m-1} f(x_1, \dots, x_n)q = 0 \in T$ and so $a[q, f(x_1, \dots, x_n)]^{m-1} = 0 \in T$. Continuing this process, we obtain that $a = 0$. ■

Lemma 3. *If R is a dense ring of linear transformations over an infinite dimensional right vector space V over a division ring D , then $a = 0$.*

Proof. Since $f(x_1, \dots, x_n)$ is a multilinear polynomial and $a[q, f(r_1, \dots, r_n)]^m = 0$, for all $r_1, \dots, r_n \in R$, by [13, Lemma 2] we have $a[q, r]^m = 0$, for all $r \in R$. Hence $a = 0$ follows from [9, Theorem 1]. ■

Now we are ready to prove the following:

Theorem 1. *Let R be a prime K -algebra, d a nonzero derivation of R , $f(x_1, \dots, x_n)$ a multilinear polynomial over K in n non-commuting variables, $a \in R$ and $m \geq 1$. Suppose that $f(x_1, \dots, x_n)$ is not central on R . If $a(d(f(r_1, \dots, r_n)))^m = 0$, for any $r_1, \dots, r_n \in R$, then $a = 0$.*

Proof. By Lemma 1, we assume that d is the inner derivation induced by $q \in R$, moreover by remark 1, $C = Z(R)$ and R is a C -algebra centrally closed, that is $R = RC$. If R does not satisfy any non-trivial generalized polynomial identity then, by Lemma 2, $a = 0$. Thus we may suppose that R satisfies a non-trivial generalized polynomial identity. By Martindale's theorem in [11], R is a primitive ring which is isomorphic to a dense ring of linear transformations of a vector space V over a division ring D . If $\dim_D V = \infty$, then, by Lemma 3, we get the conclusion required.

Therefore consider the case $\dim_D(V) = k$, with k finite positive integer ≥ 2 , because R is not a domain. In this condition R is a simple ring which satisfies a non-trivial generalized polynomial identity. By [7, Lemma 2; 12 theorem 2.3.29] $R \subseteq M_t(F)$, for a suitable field F and $t \geq 2$, moreover $M_t(F)$ satisfies the same generalized identity of R . Since $f(x_1, \dots, x_n)$ is not central on R then, by [10], there exist $u_1, \dots, u_n \in M_t(F)$, such that $f(u_1, \dots, u_n) = \beta e_{ij}$, for some distinct i, j , with $\beta \in F - \{0\}$ and e_{ij} the usual matrix unit with 1 in (i, j) -entry and zero elsewhere. Moreover, since the set $\{f(x_1, \dots, x_n) : x_1, \dots, x_n \in M_t(F)\}$ is invariant under the action of all F -automorphisms of $M_t(F)$, then for any $i \neq j$ there exist $r_1, \dots, r_n \in M_t(F)$ such that $f(r_1, \dots, r_n) = \beta e_{ij}$.

Suppose on the contrary that the matrix $a = \sum a_{hl} e_{hl}$ is not zero. Let $q = \sum q_{hl} e_{hl}$, with $q_{hl} \in F$ and fix i and $j \neq i$. Then

$$\begin{aligned} 0 &= a[q, f(r_1, \dots, r_n)]^m = a(qf(r_1, \dots, r_n) - f(r_1, \dots, r_n)q)^m \\ &= a(q\beta e_{ij} - \beta e_{ij}q)^m. \end{aligned}$$

In particular, right multiplying by $e_{ij}q$ we have

$$0 = a(q\beta e_{ij} - \beta e_{ij}q)^m e_{ij}q = a(-\beta)^m (e_{ij}q)^{m+1}.$$

Then, for all $j \neq i$, either $q_{ji} = 0$ or the i -th column of the matrix a is zero, a desired contradiction.

Case 1: $t = 2$.

Since $f(x_1, \dots, x_n)$ is not central on R , by [10, lemmas 2 and 9], there exists a sequence of matrices $r = (r_1, \dots, r_n)$ such that $f(r) = \beta e_{21}$ is not zero.

Suppose that q is not diagonal, say $q_{12} \neq 0$, then the 2-nd column of a is zero. In other words the following hold:

$$q = \begin{bmatrix} q_{11} & q_{12} \\ q_{21} & q_{22} \end{bmatrix}, \quad q_{12} \neq 0$$

$$a = \begin{bmatrix} a_{11} & 0 \\ a_{21} & 0 \end{bmatrix}$$

$$f(r) = \begin{bmatrix} 0 & 0 \\ \beta & 0 \end{bmatrix}.$$

By calculation it follows that

$$[q, f(r)]^{2m} = \begin{bmatrix} (q_{12}\beta)^{2m} & 0 \\ 0 & (q_{12}\beta)^{2m} \end{bmatrix}.$$

Then $0 = a[q, f(r)]^{2m} = a(q_{12}\beta)^{2m} = 0$ and so $a = 0$.

Moreover we get the same conclusion if suppose $q_{21} \neq 0$. Thus we conclude that if $k = 2$, either q is a diagonal matrix or $a = 0$.

Case 2: $t \geq 3$.

Also in this case we want to prove that if a is not zero then q is a diagonal matrix. Suppose there exists $q_{ji} \neq 0, i \neq j$, then the i -th column of a is zero. For all $l \neq i, j$ let $\varphi_{li} \in \text{Aut}_F(M_t(F))$ such that $\varphi_{li}(x) = (1 + e_{li})x(1 - e_{li})$. Consider the following valuations of $f(x_1, \dots, x_n)$:

$$f(r) = \gamma e_{ij}, \quad f(s) = \varphi_{li}(f(r)) = \gamma e_{ij} + \gamma e_{lj}, \quad \gamma \neq 0.$$

Since the i -th column of a is zero, by $a[q, f(s)]^m = 0$ and right multiplying by $e_{ij} + e_{lj}$, we have:

$$0 = a[q, f(s)]^m(e_{ij} + e_{lj}) = a(-\gamma)^m(q_{ji} + q_{jl})^m(e_{ij} + e_{lj}) \quad (1).$$

Notice that if $q_{ji} + q_{jl} = 0$, then $q_{jl} = -q_{ji} \neq 0$ and, as in the first part of the proof, the l -th column of a is zero. On the other hand, if $q_{ji} + q_{jl} \neq 0$, by (1), for all $k, a_{kl} = -a_{ki}$ and, since the i -th column of a is zero, it follows again that the l -th one is also zero. Hence we can say that the matrix a has at most one nonzero column, the j -th one.

Thus $a = ae_{jj}$ and so

$$\begin{aligned} 0 &= a[q, f(r)]^m = ae_{jj}[q, \gamma e_{ij}]^m = ae_{jj}(q\gamma e_{ij} - \gamma e_{ij}q)[q, \gamma e_{ij}]^{m-1} \\ &= ae_{jj}q\gamma e_{ij}[q, \gamma e_{ij}]^{m-1} = \dots = ae_{jj}(q\gamma e_{ij})^m = a(q_{ji}\gamma)^m. \end{aligned}$$

Hence $a = 0$.

The previous two cases show that if a is the nonzero matrix then q is a diagonal one, $q = \sum q_{kk}e_{kk}$. Now let $\varphi_{ij} \in \text{Aut}_F(M_t(F))$ such that $\varphi_{ij}(x) = (1 + e_{ij})x(1 - e_{ij})$, with $i \neq j$. Since $0 = \varphi_{ij}(a)[\varphi_{ij}(q), \varphi(f(x_1, \dots, x_n))]^m = \varphi_{ij}(a)[\varphi_{ij}(q), f(y_1, \dots, y_n)]^m$ and $a \neq 0$, we have that $\varphi(q)$ is also diagonal. On the other hand $\varphi_{ij}(q) = q + (q_{jj} - q_{ii})e_{ij}$, i.e. $q_{jj} = q_{ii}$ and q is central in $M_t(F)$, which is a contradiction. Therefore must be $a = 0$. ■

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