

## Normalized Solutions for the Fractional Choquard Equations with Lower Critical Exponent and Nonlocal Perturbation

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Abstract. We study the existence and nonexistence of normalized solutions for the following fractional Choquard equations with Hardy–Littlewood–Sobolev lower critical exponent and nonlocal perturbation:

$$\begin{cases} (-\Delta)^s u + \lambda u = \gamma(I_\alpha * |u|^{\frac{\alpha}{N}+1})|u|^{\frac{\alpha}{N}-1}u + \mu(I_\alpha * |u|^q)|u|^{q-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = c^2, \end{cases}$$

where  $N \geq 3$ ,  $s \in (0, 1)$ ,  $\alpha \in (0, N)$ ,  $\gamma, \mu, c > 0$  and  $2_\alpha := \frac{N+\alpha}{N} < q \leq 2_{\alpha,s}^* := \frac{N+\alpha}{N-2s}$ .  $I_\alpha$  is the Riesz potential and  $\lambda \in \mathbb{R}$  appears as an unknown Lagrange multiplier. By precisely restricting parameters  $\gamma, \mu$  and  $c$ , using constrained variational method and introducing new relevant arguments, we establish several existence and nonexistence results. In particular, we consider the case  $q = 2_{\alpha,s}^*$  which corresponds to equations involving double critical exponents, and the Hardy–Littlewood–Sobolev subcritical approximation method is used to solve the case.

### 1. Introduction and main results

In this paper, we are concerned with the following lower critical fractional Choquard equation with a nonlocal perturbation:

$$(1.1) \quad (-\Delta)^s u + \lambda u = \gamma(I_\alpha * |u|^{\frac{\alpha}{N}+1})|u|^{\frac{\alpha}{N}-1}u + \mu(I_\alpha * |u|^q)|u|^{q-2}u \quad \text{in } \mathbb{R}^N,$$

having prescribed  $L^2$ -norm

$$(1.2) \quad \int_{\mathbb{R}^N} |u|^2 dx = c^2,$$

where  $N \geq 3$ ,  $s \in (0, 1)$ ,  $\alpha \in (0, N)$ ,  $\gamma, \mu, c > 0$ ,  $2_\alpha < q \leq 2_{\alpha,s}^*$ , and  $\lambda \in \mathbb{R}$  appears as a Lagrange multiplier and is part of the unknowns. In particular,  $2_\alpha := \frac{N+\alpha}{N}$  is

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the Hardy–Littlewood–Sobolev lower critical exponent and  $2_{\alpha,s}^* := \frac{N+\alpha}{N-2s}$  is the fractional Hardy–Littlewood–Sobolev upper critical exponent. Here,  $I_\alpha: \mathbb{R}^N \setminus \{0\} \mapsto \mathbb{R}$  is the Riesz potential defined by

$$I_\alpha(x) := \frac{A_\alpha}{|x|^{N-\alpha}} \quad \text{with } A_\alpha = \frac{\Gamma(\frac{N-\alpha}{2})}{2^\alpha \pi^{\frac{N}{2}} \Gamma(\frac{\alpha}{2})},$$

and the fractional Laplacian  $(-\Delta)^s$  is defined for  $u \in S(\mathbb{R}^N)$  by

$$(-\Delta)^s u(x) = C_{N,s} \text{P. V.} \int_{\mathbb{R}^N} \frac{u(x) - u(y)}{|x - y|^{N+2s}} dy, \quad x \in \mathbb{R}^N,$$

where  $S(\mathbb{R}^N)$  denotes the Schwartz space of rapidly decreasing smooth functions, P. V. stands for the principle value of the integral and  $C_{N,s}$  is the positive normalization constant. The fractional Laplacian appears in many diverse domains, including optimization, phase transitions, conservation laws, anomalous diffusion, stratified materials, ultra-relativistic limits of quantum mechanics, crystal dislocation, water waves and so on. We refer to [4, 11] for more applied backgrounds.

Recently, the following Choquard equation

$$(1.3) \quad -\Delta u + V u = (I_\alpha * |u|^p) |u|^{p-2} u \quad \text{in } \mathbb{R}^N,$$

has been investigated by many scholars. Physically speaking, Choquard equation appears as several models in quantum mechanics. For  $N = 3$ ,  $\alpha = 2$ ,  $p = 2$  and  $V = 1$  in (1.3), Pekar [39] introduced it to describe the quantum theory of a polaron at rest, Choquard [29] adopted it as a certain approximation to Hartree–Fock theory of one component plasma to model electron trapped in its own hole, and Penrose [40] put forward its application in investigating the self-gravitational collapse of a quantum wave function. We shall pay more attention to the mathematical aspects. When  $V = 1$  in (1.3), Moroz and Schaftingen [35] established the existence, regularity and radially symmetry of ground state solutions to (1.3) with an optimal range exponent  $p$  satisfying  $\frac{N+\alpha}{N} < p < \frac{N+\alpha}{N-2}$ . For more related topics, we advise readers to read a survey paper [36] and its references.

Meanwhile, when it comes to the fractional Choquard equations, d’Avenia et al. [10] studied the following fractional Choquard equation:

$$(-\Delta)^s u + \omega u = (|x|^{\alpha-N} * |u|^p) |u|^{p-2} u \quad \text{in } \mathbb{R}^N,$$

where  $\omega > 0$ ,  $N \geq 3$  and  $p > 1$ , and they obtained regularity, existence, nonexistence, symmetry and decays properties of solutions. Shen et al. [42] investigated the existence of ground state solutions for a fractional Choquard equation involving a nonlinearity which is subcritical and satisfies the general Berestycki–Lions type conditions. Chen

and Liu [6] considered an autonomous fractional Choquard equation via Nehari manifold and concentration-compactness arguments. By using variational methods, Mukherjee and Sreenadh [37] obtained some existence, nonexistence and regularity results for weak solution of the Brezis–Nirenberg type problem of nonlinear fractional Choquard equation. He and Rădulescu [18] studied the critical fractional Choquard equations with small linear perturbation, and they established a nonlocal global compactness property in the framework of fractional Choquard equations and obtained the existence of at least one positive solution. For more results about the fractional Choquard equations, we refer to [2, 3, 15, 33, 34] and the references therein.

For the last few years, normalized solutions to nonlinear elliptic problems have been widely concerned by scholars. Jeanjean [20] introduced a stretched functional, constructing the mountain pass structure for the functional on a natural constraint related to the Pohozaev identity to obtain the existence of at least one normalized solution for a nonlinear elliptic problem. Luo [32] approached a Hartree equation by multiple constrained minimization methods which differs from the methods in [20]. In [43, 44], Soave considered the existence of normalized solutions to the following nonlinear Schrödinger equation with local perturbation:

$$\begin{cases} -\Delta u = \lambda u + \mu|u|^{q-2}u + |u|^{p-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = a^2. \end{cases}$$

When  $N \geq 1$ ,  $\mu \in \mathbb{R}$  and  $2 < q \leq 2 + \frac{4}{N} \leq p \leq 2^* := \frac{2N}{N-2}$ ,  $q \neq p$ , Soave [43] proved that if the perturbation term is small, there exists at least one normalized radial ground state. In particular, if  $2 + \frac{4}{N} < p \leq 2^*$ ,  $2 < q < 2^*$  and  $\mu > 0$  small, there are two radial positive solutions. When  $N \geq 3$ ,  $\mu > 0$ ,  $\lambda \in \mathbb{R}$  and  $p = 2^*$ , in the context of  $L^2$ -subcritical,  $L^2$ -critical and  $L^2$ -supercritical perturbation  $\mu|u|^{q-2}u$ , Soave [44] obtained several existence/non-existence and stability/instability results. Later, when  $N \geq 3$ ,  $\mu > 0$ ,  $p = 2^*$  and  $2 < q < 2 + \frac{4}{N}$ , Jeanjean et al. [21] established the existence of ground state and demonstrated that the set of ground states is orbitally stable. By the Sobolev subcritical approximation approach to mass constrained problem, Li [25] got the existence of normalized ground states with  $p = 2^*$  for  $L^2$ -critical and  $L^2$ -supercritical perturbation.

Yao et al. [47] considered normalized solutions of the following Choquard equation:

$$\begin{cases} -\Delta u + \lambda u = \gamma(I_\alpha * |u|^p)|u|^{p-2}u + \mu|u|^{q-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = c^2, \end{cases}$$

where  $p = \frac{N+\alpha}{N}$  is the Hardy–Littlewood–Sobolev lower critical exponent. Under different assumptions on  $q$ ,  $p$ ,  $\gamma$  and  $\mu$ , they established several existence and nonexistence results. When  $\gamma = 1$  and  $p = 2_\alpha^* := \frac{N+\alpha}{N-2}$ , Li [26] considered the case  $2 < q < 2 + \frac{4}{N}$ , then the

existence and orbital stability of the ground states were obtained. Moreover, the case  $\frac{N+2+\alpha}{N} < p < 2_\alpha^*$  and  $2 + \frac{4}{N} < q < 2^*$  was investigated in [27].

Moreover, Ding et al. [12] explored the following equation:

$$\begin{cases} -\Delta u = \lambda u + (I_\alpha * |u|^p)|u|^{p-2}u + \mu(I_\alpha * |u|^q)|u|^{q-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = a^2, \end{cases}$$

where  $N \geq 3$  and  $\frac{N+\alpha}{N} < q < p \leq \frac{N+\alpha}{N-2}$ . They got the existence and nonexistence of normalized solutions to this problem. In particular, when  $p = 2_\alpha^*$ , Ye et al. [48] and Shang et al. [41], using the method in [44], studied the critical Choquard equation with nonlocal perturbation. Then, for  $L^2$ -subcritical,  $L^2$ -critical and  $L^2$ -supercritical perturbation  $\mu(I_\alpha * |u|^q)|u|^{q-2}u$ , the normalized ground states and mountain-pass type solutions were obtained.

When it comes to investigating normalized solutions for the fractional Choquard equations, Li and Luo [23] studied the following equation:

$$(-\Delta)^s u = \lambda u + (I_\alpha * |u|^p)|u|^{p-2}u \quad \text{in } \mathbb{R}^N,$$

where  $N \geq 3$  and  $\max\{2, \frac{N+2s+\alpha}{N}\} < p < 2_{\alpha,s}^*$ . By using the constrained minimization method, they got the existence of normalized ground state. For the following equation:

$$\begin{cases} (-\Delta)^s u = \lambda u + |u|^{q-2}u + \mu(I_\alpha * |u|^p)|u|^{p-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = a^2, \end{cases}$$

when  $N \geq 2$ ,  $2 + \frac{4s}{N} < q < \frac{2N}{N-2s}$  and  $\frac{N+2s+\alpha}{N} < p < \frac{N+\alpha}{N-2s}$ , Yang [46] used a refined version of the min-max principle, and under suitable assumptions on the related parameters they obtained the existence and asymptotic properties of normalized solutions. When  $2 \leq p < \frac{N+2s+\alpha}{N}$ , Li et al. [24] obtained the existence and asymptotic properties of normalized solutions. Relied on a Lagrange formulation and new deformation arguments, Cingolani et al. [7] obtained the existence of a symmetric ground state solution with a general nonlinearity.

Moreover, He et al. [19] considered the following equation:

$$\begin{cases} (-\Delta)^s u = \lambda u + \mu|u|^{q-2}u + (I_\alpha * |u|^{2_{\alpha,s}^*})|u|^{2_{\alpha,s}^*-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = a^2. \end{cases}$$

For  $2 < q < \frac{2N}{N-2s}$ , they obtained the existence and asymptotic properties of normalized solutions. When  $\mu > 0$  is large enough, Feng et al. [16] got the existence and multiplicity of normalized solutions by the concentration-compactness principle and truncation technique. Besides, Yu et al. [49] researched the following fractional lower critical Choquard

equation:

$$\begin{cases} (-\Delta)^s u = \lambda u + \gamma(I_\alpha * |u|^{2\alpha})|u|^{2\alpha-2}u + \mu|u|^{q-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = a^2, \end{cases}$$

where  $2 < q \leq \frac{2N}{N-2s}$ , they got the existence and symmetry of normalized ground states. Lan et al. [22] studied the following fractional critical Choquard equation with a nonlocal perturbation:

$$\begin{cases} (-\Delta)^s u = \lambda u + a(I_\alpha * |u|^q)|u|^{q-2}u + (I_\alpha * |u|^{2\alpha,s})|u|^{2\alpha,s-2}u & \text{in } \mathbb{R}^N, \\ \int_{\mathbb{R}^N} |u|^2 dx = c^2. \end{cases}$$

Under  $L^2$ -subcritical,  $L^2$ -critical and  $L^2$ -supercritical perturbation  $a(I_\alpha * |u|^q)|u|^{q-2}u$ , they obtained the existence of normalized ground states and mountain-pass-type solutions.

However, there seems to be no result on normalized solutions to the fractional Choquard equations involving Hardy–Littlewood–Sobolev lower critical exponent and a nonlocal perturbation in  $\mathbb{R}^N$ . For the fractional Choquard equation, the Hardy–Littlewood–Sobolev lower critical exponent  $2_\alpha$  is a new feature which is associated with the phenomenon of “bubbling at infinity”, and this makes it differ from the case of the Hardy–Littlewood–Sobolev upper critical exponent.

Motivated by the aforementioned works, especially by [41, 47, 49], we shall focus on the problem (1.1) and (1.2) for four different scenarios: (i)  $L^2$ -subcritical case:  $2_\alpha < q < \bar{q} := \frac{N+2s+\alpha}{N}$ ; (ii)  $L^2$ -critical case:  $q = \bar{q}$ ; (iii)  $L^2$ -supercritical case:  $\bar{q} < q < 2_{\alpha,s}^*$ ; (iv) doubly critical case:  $q = 2_{\alpha,s}^*$ , respectively.

Before we state our main results, we first introduce some notations. Throughout this paper,  $L^r(\mathbb{R}^N)$  denotes the Lebesgue space with the norm  $\|u\|_r = (\int_{\mathbb{R}^N} |u|^r dx)^{1/r}$  for any  $1 \leq r < \infty$ .  $H^s(\mathbb{R}^N)$  is the fractional Hilbert space defined as

$$H^s(\mathbb{R}^N) := \{u \in L^2(\mathbb{R}^N) : (-\Delta)^{\frac{s}{2}}u \in L^2(\mathbb{R}^N)\},$$

which is endowed with the standard inner product and norm given respectively by

$$\langle u, v \rangle := \int_{\mathbb{R}^N} ((-\Delta)^{\frac{s}{2}}u(-\Delta)^{\frac{s}{2}}v + uv) dx, \quad \|u\|_{H^s}^2 = \langle u, u \rangle = \|(-\Delta)^{\frac{s}{2}}u\|_2^2 + \|u\|_2^2,$$

where

$$\|(-\Delta)^{\frac{s}{2}}u\|_2^2 = \frac{C_{N,s}}{2} \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|u(x) - u(y)|^2}{|x - y|^{N+2s}} dx dy.$$

Define  $E_q(u) : H^s(\mathbb{R}^N) \rightarrow \mathbb{R}$  by

$$(1.4) \quad \begin{aligned} E_q(u) &= \frac{1}{2} \int_{\mathbb{R}^N} |(-\Delta)^{\frac{s}{2}}u|^2 dx - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha})|u|^{2\alpha} dx \\ &\quad - \frac{\mu}{2q} \int_{\mathbb{R}^N} (I_\alpha * |u|^q)|u|^q dx. \end{aligned}$$

It is standard to check that the energy functional is of class  $C^1$ , and a critical point of  $E_q(u)$  restricted on the constraint

$$S(c) = \left\{ u \in H^s(\mathbb{R}^N) : \int_{\mathbb{R}^N} |u|^2 dx = c^2 \right\}$$

corresponds to a solution of the problem (1.1) and (1.2).

Now, we recall the following definitions.

**Definition 1.1.** We say that  $u \in H^s(\mathbb{R}^N)$  is a weak solution to (1.1) if

$$\begin{aligned} & \int_{\mathbb{R}^N} (-\Delta)^{\frac{s}{2}} u (-\Delta)^{\frac{s}{2}} v dx + \lambda \int_{\mathbb{R}^N} uv dx \\ &= \gamma \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha}) |u|^{2\alpha-2} uv dx + \mu \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^{q-2} uv dx \end{aligned}$$

for any  $v \in H^s(\mathbb{R}^N)$ . Moreover,  $(u_c, \lambda_c) \in H^s(\mathbb{R}^N) \times \mathbb{R}$  is a couple of weak solution to (1.1) if  $u_c$  is a weak solution to (1.1) with  $\lambda = \lambda_c$ , where  $\lambda_c$  arises as an unknown Lagrange multiplier which depends on the solution  $u_c$ .

**Definition 1.2.** We say that  $u_c$  is a normalized ground state solution of the problem (1.1) if  $(u_c, \lambda_c) \in H^s(\mathbb{R}^N) \times \mathbb{R}$  is the solution to the problem (1.1), and  $u_c$  has the minimal energy among all the solutions which belong to  $S(c)$ , that is,

$$E'_q|_{S(c)}(u_c) = 0 \quad \text{and} \quad E_q(u_c) = \inf\{E_q(v) \mid E'_q|_{S(c)}(v) = 0 \text{ and } v \in S(c)\}.$$

Here,  $\lambda_c$  depending on the solution  $u_c$  is an unknown Lagrange multiplier.

Let us set

$$c^* := \left( \frac{N + \alpha}{N\gamma} \right)^{\frac{N}{2\alpha}} S_\alpha^{\frac{N+\alpha}{2\alpha}}$$

and

$$\begin{aligned} c_* &:= \left[ \frac{2qs}{\mu \tilde{C}(Nq - N - \alpha)} \right]^{\frac{s}{(2\alpha-1)(Nq-N-\alpha)+2qs-2s\cdot 2\alpha}} \\ &\quad \times \left[ \frac{\gamma(Nq - N - \alpha)}{2_\alpha S_\alpha^{2\alpha}(Nq - N - \alpha - 2s)} \right]^{\frac{N+\alpha+2s-Nq}{2(2\alpha-1)(Nq-N-\alpha)+4qs-4s\cdot 2\alpha}}, \end{aligned}$$

where  $\tilde{C}$  and  $S_\alpha$  are defined in (2.3) and (2.6) in Section 2, respectively. In addition, the definitions of  $S$  and  $C_\alpha$  are given in (2.1) and (2.4) respectively in Section 2.

The main results read as follows.

**Theorem 1.3.** *Let  $\gamma, \mu > 0$  and  $2_\alpha < q < \bar{q}$ . It results that the infimum*

$$\sigma(c) := \inf_{u \in S(c)} E_q(u) < -\frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha}$$

is achieved by  $u^* \in S(c)$  which is a normalized ground state solution of the problem (1.1) and (1.2) with the corresponding Lagrange multiplier  $\lambda^* > \frac{\gamma}{2\alpha} S_\alpha^{-2\alpha} c^{\frac{2\alpha}{N}}$ . Besides,  $u^*$  is a real-valued positive function in  $\mathbb{R}^N$ , which is radially symmetric and non-increasing.

**Theorem 1.4.** *Let  $\gamma > 0$  and  $q = \bar{q}$ . For  $0 < \mu < \frac{N+2s+\alpha}{NC} c^{-\frac{4s+2\alpha}{N}}$ , problem (1.1) and (1.2) has no solution for any  $\lambda \in \mathbb{R}$ .*

**Theorem 1.5.** *Let  $\gamma > 0$ ,  $\bar{q} < q < 2_{\alpha,s}^*$  and  $0 < c < c_*$ . Then there exists  $\hat{\mu} > 0$  such that for every  $\mu > \hat{\mu}$ , problem (1.1) and (1.2) possesses a normalized ground state solution  $\hat{u} \in H^s(\mathbb{R}^N)$  with the corresponding Lagrange multiplier  $\hat{\lambda} > 0$ , which is positive and radially symmetric.*

**Theorem 1.6.** *Let  $q = 2_{\alpha,s}^*$ ,  $0 < c < \min\{c_*, c^*\}$ , and*

$$\gamma > \left( \frac{\alpha}{\alpha + 2s} \right)^{\frac{\alpha}{N}} S_\alpha^{\frac{N+\alpha}{N}} \left( \frac{C_\alpha^{N-2s}}{S^{N+\alpha}} \right)^{\frac{\alpha}{N(2s+\alpha)}} \mu^{\frac{\alpha(N-2s)}{N(2s+\alpha)}}.$$

*Then, there exists  $\tilde{\mu} \geq \hat{\mu}$  such that for every  $\mu > \tilde{\mu}$ , problem (1.1) and (1.2) possesses a normalized ground state solution  $\tilde{u} \in H^s(\mathbb{R}^N)$  which is positive and radially symmetric, and the corresponding Lagrange multiplier  $\tilde{\lambda}$  satisfies  $0 < \tilde{\lambda} \leq \gamma S_\alpha^{-2\alpha} c^{\frac{2\alpha}{N}}$ .*

To better understand the context of this paper, let us briefly state the strategies and methodologies which are used to prove the above theorems. Firstly, when  $2_\alpha < q < \bar{q}$ , the functional  $E_q$  is bounded from below on  $S(c)$ . To prove that the infimum  $\sigma(c)$  is achieved, we utilize the fractional concentration-compactness principle. Specifically, we rule out the vanishing and dichotomy of the minimizing sequence to obtain the compactness of the minimizing sequence. The dichotomy can be excluded by applying the strict inequality  $\sigma(c) < \sigma(c_1) + \sigma(c_2)$ . Moreover, we take advantage of the extremal function to make an estimate of  $\sigma(c)$ , which allows us to exclude the vanishing. Secondly, when  $q = \bar{q}$ , we make restriction on  $\mu$  and then obtain the nonexistence result. Next, when it comes to  $q \in (\bar{q}, 2_{\alpha,s}^*]$ , we find that  $E_q$  is no longer bounded from below on  $S(c)$ . Hence, we make use of the Pohozaev manifold  $\mathcal{P}_q(c)$  as a natural constraint of  $E_q$  that contains all the critical points of  $E_q$  restricted to  $S(c)$  to make sure that the functional  $E_q$  restricted to  $\mathcal{P}_q(c)$  is bounded from below. Then, for  $\bar{q} < q < 2_{\alpha,s}^*$ , inspired by [9], we introduce the homotopy-stable family to establish the existence of Palais–Smale sequence, and take advantage of a similar idea in [47, 49] to get the compactness result and then illustrate the existence of normalized ground states. Finally, when it comes to the case  $q = 2_{\alpha,s}^*$  which involves the interaction of the double critical terms, due to the assistance of some processes and the solutions obtained in proving Theorem 1.5, we make full use of the Hardy–Littlewood–Sobolev subcritical approximation method combined with the new estimate trick to achieve our results. Due to the dual influence of fractional Laplacian and nonlocal perturbation,

the problem becomes more complex and challenging. This requires us to precisely restrict parameters. We further estimate accurately, mainly use constrained variational method, and then under different assumptions on parameters we obtain new and interesting results about the existence and nonexistence of normalized solutions for the fractional Choquard equation.

The paper is organized as follows. In Section 2, we give some preliminary results which will be used later. In Section 3, we deal with the case  $2_\alpha < q < \bar{q}$  and prove Theorem 1.3. In Section 4, we consider the case  $q = \bar{q}$  and prove Theorem 1.4. In Section 5, we treat the case  $\bar{q} < q < 2_{\alpha,s}^*$  and the case  $q = 2_{\alpha,s}^*$  respectively, and give the proofs of Theorems 1.5 and 1.6.

## 2. Preliminaries

In this section, we present various preliminary results that will be used later. Firstly, let us recall the following fractional Sobolev embedding, see [11, Theorem 6.5].

**Lemma 2.1.** *Let  $0 < s < 1$  and  $N > 2s$ . Then there exists a constant  $S = S(N, s) > 0$  such that*

$$(2.1) \quad S = \inf_{u \in H^s(\mathbb{R}^N) \setminus \{0\}} \frac{\|(-\Delta)^{\frac{s}{2}} u\|_2^2}{\|u\|_{2_s^*}^2},$$

where  $2_s^* = \frac{2N}{N-2s}$ . Moreover,  $H^s(\mathbb{R}^N)$  is continuously embedded into  $L^q(\mathbb{R}^N)$  for any  $q \in [2, 2_s^*]$  and compactly embedded into  $L_{\text{loc}}^q(\mathbb{R}^N)$  for every  $q \in [2, 2_s^*)$ .

Next, we introduce the fractional Gagliardo–Nirenberg inequality of Hartree type established in [15].

**Lemma 2.2.** *Let  $0 < s < 1$ ,  $N > 2s$  and  $q \in (2_\alpha, 2_{\alpha,s}^*)$ . Then, for all  $u \in H^s(\mathbb{R}^N)$ ,*

$$(2.2) \quad \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q \, dx \leq \tilde{C} \|(-\Delta)^{\frac{s}{2}} u\|_2^{2q\gamma_{q,s}} \|u\|_2^{2q(1-\gamma_{q,s})},$$

where  $\gamma_{q,s} = \frac{Nq-N-\alpha}{2qs}$  and the optimal constant  $\tilde{C}$  is given by

$$(2.3) \quad \tilde{C} = \frac{2sq}{2sq - Nq + N + \alpha} \left( \frac{2sq - Nq + N + \alpha}{Nq - N - \alpha} \right)^{\frac{Nq-N-\alpha}{2s}} \|w\|_2^{2-2q},$$

where  $W$  is the ground state solution of  $(-\Delta)^s W + W - (I_\alpha * |W|^q) |W|^{q-2} W = 0$ .

The following Hardy–Littlewood–Sobolev inequality is of importance, see [31].



**Lemma 2.3.** *Let  $\alpha \in (0, N)$  and  $r, t > 1$  with  $\frac{1}{r} + \frac{1}{t} = 1 + \frac{\alpha}{N}$ . Let  $f \in L^r(\mathbb{R}^N)$  and  $h \in L^t(\mathbb{R}^N)$ , then there exists a constant  $C(r, t, \alpha, N)$  such that*

$$\left| \int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{f(x)h(y)}{|x-y|^{N-\alpha}} dx dy \right| \leq C(r, t, \alpha, N) \|f\|_r \|h\|_t.$$

In particular, if  $r = t = \frac{2N}{N+\alpha}$ , then

$$(2.4) \quad C(r, t, \alpha, N) = C_\alpha := \pi^{\frac{N-\alpha}{2}} \frac{\Gamma(\frac{\alpha}{2})}{\Gamma(\frac{N+\alpha}{2})} \left\{ \frac{\Gamma(\frac{N}{2})}{\Gamma(N)} \right\}^{-\frac{\alpha}{N}}.$$

*Remark 2.4.* Let  $r = t = \frac{2N}{N+\alpha}$  and  $f = h = |u|^p \in L^r(\mathbb{R}^N)$ , then according to Lemma 2.3 the integral

$$\int_{\mathbb{R}^N} \int_{\mathbb{R}^N} \frac{|u(x)|^p |u(y)|^p}{|x-y|^{N-\alpha}} dx dy$$

is well defined. Thus, for  $u \in H^s(\mathbb{R}^N)$ , by Lemma 2.1, we have

$$\frac{N+\alpha}{N} \leq p \leq \frac{N+\alpha}{N-2s}.$$

In particular, when  $p = \frac{N+\alpha}{N}$ , for any  $u \in H^s(\mathbb{R}^N)$ , we have

$$(2.5) \quad \int_{\mathbb{R}^N} (I_\alpha * |u|^{\frac{N+\alpha}{N}}) |u|^{\frac{N+\alpha}{N}} dx \leq S_\alpha^{-\frac{N+\alpha}{N}} \left( \int_{\mathbb{R}^N} |u|^2 dx \right)^{\frac{N+\alpha}{N}},$$

where  $S_\alpha$  is relevant to the following minimization problem:

$$(2.6) \quad S_\alpha = \inf \left\{ \int_{\mathbb{R}^N} |u|^2 dx : u \in L^2(\mathbb{R}^N) \text{ and } \int_{\mathbb{R}^N} (I_\alpha * |u|^{\frac{N+\alpha}{N}}) |u|^{\frac{N+\alpha}{N}} dx = 1 \right\} > 0.$$

Furthermore, by [31, Theorem 4.3] and [30, Theorem 3.1],  $S_\alpha$  is achieved by the function

$$(2.7) \quad V_\epsilon(x) = C \left( \frac{\epsilon}{\epsilon^2 + |x-z|^2} \right)^{\frac{N}{2}}$$

for some  $C \in \mathbb{R}$ ,  $\epsilon \in \mathbb{R}^+$ , and  $z \in \mathbb{R}^N$ .

In what follows, we show the weak compactness result for the nonlocal nonlinearities, see [49, Lemma 2.7].

**Lemma 2.5.** *Suppose that  $q \in [\frac{N+\alpha}{N}, \frac{N+\alpha}{N-2s}]$ . If  $\{u_n\}$  is a sequence satisfying  $u_n \rightharpoonup u$  weakly in  $H^s(\mathbb{R}^N)$ , then, for any  $\varphi \in H^s(\mathbb{R}^N)$ , we have*

$$\int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^{q-2} u_n \varphi dx \rightarrow \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^{q-2} u \varphi dx$$

as  $n \rightarrow \infty$ .

In view of the Brezis–Lieb lemma for the Riesz potential (see [10, 18]), we obtain the following lemma.

**Lemma 2.6.** *Let  $q \in [\frac{N+\alpha}{N}, \frac{N+\alpha}{N-2s}]$  and  $\{u_n\}$  be a bounded sequence in  $H^s(\mathbb{R}^N)$ . If  $u_n \rightarrow u$  a.e. on  $\mathbb{R}^N$  as  $n \rightarrow \infty$ , then*

$$\int_{\mathbb{R}^N} (I_\alpha * |u_n - u|^q) |u_n - u|^q dx = \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx - \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx + o_n(1).$$

Now, we introduce the following Pohozaev identity, which can be derived from [8, 28].

**Proposition 2.7.** *Let  $u \in H^s(\mathbb{R}^N)$  be a weak solution of (1.1), then  $u$  satisfies the Pohozaev identity:*

$$(2.8) \quad \begin{aligned} & \frac{N-2s}{2} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 + \frac{\lambda N}{2} \|u\|_2^2 \\ &= \frac{\gamma(N+\alpha)}{22\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha}) |u|^{2\alpha} dx + \frac{\mu(N+\alpha)}{2q} \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx. \end{aligned}$$

Next, we show the Pohozaev manifold.

**Lemma 2.8.** *Let  $u \in H^s(\mathbb{R}^N)$  be a weak solution of (1.1), the Pohozaev manifold is the following:*

$$\mathcal{P}_q(c) = \{u \in S(c) : P_q(u) = 0\},$$

where

$$P_q(u) = \|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\mu}{2s} \left( N - \frac{N+\alpha}{q} \right) \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx.$$

*Proof.* In view of Proposition 2.7, we know that  $u$  satisfies the Pohozaev identity as follows:

$$\begin{aligned} & \frac{N-2s}{2} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 + \frac{\lambda N}{2} \|u\|_2^2 \\ &= \frac{\gamma N}{2} \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha}) |u|^{2\alpha} dx + \frac{\mu(N+\alpha)}{2q} \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx. \end{aligned}$$

Moreover, since  $u$  is the weak solution of (1.1), we have

$$\|(-\Delta)^{\frac{s}{2}} u\|_2^2 + \lambda \|u\|_2^2 = \gamma \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha}) |u|^{2\alpha} dx + \mu \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx.$$

Therefore, we have

$$\|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\mu}{2s} \left( N - \frac{N+\alpha}{q} \right) \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx = 0,$$

which finishes the proof.  $\square$

In light of Lemma 2.8, it is clear that any critical point of  $E_q|_{S(c)}$  belongs to  $\mathcal{P}_q(c)$ . Thus, the properties of  $\mathcal{P}_q(c)$  are related to the minimax structure of  $E_q|_{S(c)}$ . Actually, for each  $u \in S(c)$  and  $t \in \mathbb{R}$ , we define

$$u_t(x) := t^{\frac{N}{2}} u(tx) \quad \text{for a.e. } x \in \mathbb{R}^N.$$

It results that  $u_t \in S(c)$ . Then we define the fibering map  $t \in (0, \infty) \mapsto \Phi_u(t) := E_q(u_t)$  given by

$$(2.9) \quad \begin{aligned} \Phi_u(t) &= \frac{1}{2} t^{2s} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\gamma}{22\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha}) |u|^{2\alpha} dx \\ &\quad - \frac{\mu}{2q} t^{Nq-N-\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx. \end{aligned}$$

**Lemma 2.9.** *Let  $u \in S(c)$ , then  $t \in \mathbb{R}$  is the critical point of  $\Phi_u(t)$  if and only if  $u_t \in \mathcal{P}_q(c)$ .*

*Proof.* For  $u \in S(c)$  and  $t \in \mathbb{R}$ , it is easy to check that

$$(2.10) \quad \begin{aligned} \Phi'_u(t) &= s t^{2s-1} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\mu}{2} \left( N - \frac{N+\alpha}{q} \right) t^{Nq-N-\alpha-1} \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx \\ &= \frac{s}{t} \|(-\Delta)^{\frac{s}{2}} u_t\|_2^2 - \frac{\mu}{2t} \left( N - \frac{N+\alpha}{q} \right) \int_{\mathbb{R}^N} (I_\alpha * |u_t|^q) |u_t|^q dx \\ &= \frac{s P_q(u_t)}{t}. \end{aligned}$$

Then, by Lemma 2.8, we can easily draw this conclusion.  $\square$

It is natural to consider the decomposition of  $\mathcal{P}_q(c)$  into the disjoint union  $\mathcal{P}_q(c) = \mathcal{P}_q^+(c) \cup \mathcal{P}_q^0(c) \cup \mathcal{P}_q^-(c)$ , where

$$\begin{aligned} \mathcal{P}_q^+(c) &:= \{u \in S(c) \mid \Phi'_u(1) = 0, \Phi''_u(1) > 0\}, \\ \mathcal{P}_q^-(c) &:= \{u \in S(c) \mid \Phi'_u(1) = 0, \Phi''_u(1) < 0\}, \\ \mathcal{P}_q^0(c) &:= \{u \in S(c) \mid \Phi'_u(1) = 0, \Phi''_u(1) = 0\}. \end{aligned}$$

Moreover, for  $u \in \mathcal{P}_q(c)$ , we have

$$(2.11) \quad \begin{aligned} \Phi''_u(1) &= s(2s-1) \|(-\Delta)^{\frac{s}{2}} u\|_2^2 \\ &\quad - \frac{\mu}{2} \left( N - \frac{N+\alpha}{q} \right) (Nq - N - \alpha - 1) \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx \\ &= s(2s - Nq + N + \alpha) \|(-\Delta)^{\frac{s}{2}} u\|_2^2. \end{aligned}$$

We now analyze the structure of the Pohozaev manifold  $\mathcal{P}_q(c)$ . Similar to the arguments in [22, Lemma 3.1, Proposition 3.1] and [43], the following proposition holds.

**Proposition 2.10.** *Assume that  $\mathcal{P}_q^0(c) = \emptyset$ . Then  $\mathcal{P}_q(c)$  is a smooth manifold of codimension 2 in  $H^s(\mathbb{R}^N)$  and a smooth manifold of codimension 1 in  $S(c)$ . Moreover, if  $u \in \mathcal{P}_q(c)$  is a critical point for  $E_q|_{\mathcal{P}_q(c)}$ , then  $u$  is a critical point for  $E_q|_{S(c)}$ .*

3. The case  $2_\alpha < q < \bar{q}$ 

**Lemma 3.1.** *Let  $\gamma, \mu > 0$  and  $q \in (2_\alpha, \bar{q})$ . Then the following statements are true.*

- (i) *The functional  $E_q$  is bounded below and coercive on  $S(c)$ ;*
- (ii)  *$\sigma(c) := \inf_{u \in S(c)} E_q(u) < -\frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} < 0$ ;*
- (iii) *Let  $c_1, c_2 > 0$  be such that  $c_1^2 + c_2^2 = c^2$ . Then  $\sigma(c) < \sigma(c_1) + \sigma(c_2)$ .*

*Proof.* (i) By (1.4), (2.2) and (2.5), for every  $u \in S(c)$ , we have

$$\begin{aligned} E_q(u) &= \frac{1}{2} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^{2_\alpha}) |u|^{2_\alpha} dx - \frac{\mu}{2q} \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx \\ &\geq \frac{1}{2} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} - \frac{\mu \tilde{C}}{2q} c^{2q - \frac{Nq - N - \alpha}{s}} \|(-\Delta)^{\frac{s}{2}} u\|_2^{\frac{Nq - N - \alpha}{s}}. \end{aligned}$$

Since  $2_\alpha < q < \bar{q}$ , we can deduce that the functional  $E_q$  is bounded below and coercive on  $S(c)$ .

(ii) By (2.5) and (2.7), we have

$$(3.1) \quad \int_{\mathbb{R}^N} (I_\alpha * |V_\epsilon|^{2_\alpha}) |V_\epsilon|^{2_\alpha} dx = S_\alpha^{-2_\alpha} \left( \int_{\mathbb{R}^N} |V_\epsilon|^2 dx \right)^{2_\alpha}.$$

Then we define  $v := c \frac{V_\epsilon}{\|V_\epsilon\|_2}$  and  $v_t := t^{\frac{N}{2}} v(tx)$ . It is clear that  $v \in S(c)$  and  $v_t \in S(c)$ . By (2.9) and (3.1), we have

$$\begin{aligned} &E_q(v_t) \\ &= \frac{1}{2} t^{2s} \|(-\Delta)^{\frac{s}{2}} v\|_2^2 - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |v|^{2_\alpha}) |v|^{2_\alpha} dx - \frac{\mu}{2q} t^{Nq - N - \alpha} \int_{\mathbb{R}^N} (I_\alpha * |v|^q) |v|^q dx \\ &= \frac{1}{2} t^{2s} \|(-\Delta)^{\frac{s}{2}} v\|_2^2 - \frac{\mu}{2q} t^{Nq - N - \alpha} \int_{\mathbb{R}^N} (I_\alpha * |v|^q) |v|^q dx - \frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha}. \end{aligned}$$

Thus, in view of  $2_\alpha < q < \bar{q}$ , there exists  $t_0 \in (0, 1)$  such that  $\frac{1}{2} t^{2s} \|(-\Delta)^{\frac{s}{2}} v\|_2^2 - \frac{\mu}{2q} t^{Nq - N - \alpha} \int_{\mathbb{R}^N} (I_\alpha * |v|^q) |v|^q dx < 0$ . Then, we have

$$E_q(v_t) < -\frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} < 0,$$

namely, there exists some  $\Upsilon = v_t \in S(c)$  such that  $E_q(\Upsilon) < -\frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} < 0$ . Thus, we can deduce that  $\sigma(c) < -\frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} < 0$ .

(iii) Let  $\{u_n\} \subset S(c)$  be a bounded minimizing sequence for  $\sigma(c)$ . Then for any  $\theta \in (1, \sqrt{2})$  and  $u \in S(c)$ , it holds that  $\theta u \in S(\theta c)$  and

$$\begin{aligned} E_q(\theta u_n) - \theta^2 E_q(u_n) &= \frac{\theta^2 - \theta^{22_\alpha}}{22_\alpha} \gamma \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2_\alpha}) |u_n|^{2_\alpha} dx \\ &\quad + \frac{\theta^2 - \theta^{2q}}{2q} \mu \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx \\ &< 0. \end{aligned}$$

This implies that  $\sigma(\theta c) \leq \theta^2 \sigma(c)$ , where the equality holds if and only if

$$\int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx + \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

However, this is impossible, since otherwise we find that

$$0 > \sigma(c) = \lim_{n \rightarrow \infty} E_q(u_n) \geq \liminf_{n \rightarrow \infty} \frac{1}{2} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 \geq 0.$$

Therefore, we have the strict inequality  $\sigma(\theta c) < \theta^2 \sigma(c)$ . It follows that

$$\sigma(c) < \theta^2 \sigma\left(\frac{c}{\theta}\right) = \sigma\left(\frac{c}{\theta}\right) + (\theta^2 - 1) \sigma\left(\frac{1}{\sqrt{\theta^2 - 1}} \cdot \frac{\sqrt{\theta^2 - 1}}{\theta} c\right) < \sigma\left(\frac{c}{\theta}\right) + \sigma\left(\frac{\sqrt{\theta^2 - 1}}{\theta} c\right).$$

Then, choosing  $c_1 = \frac{c}{\theta}$ ,  $c_2 = \frac{\sqrt{\theta^2 - 1}}{\theta} c$ , we have  $c_1^2 + c_2^2 = c^2$  and  $\sigma(c) < \sigma(c_1) + \sigma(c_2)$ . The proof is completed.  $\square$

**Lemma 3.2.** *Assume that  $\gamma, \mu > 0$  and  $2\alpha < q < \bar{q}$ . Let  $\{u_n\} \subset H^s(\mathbb{R}^N)$  be a sequence such that  $E_q(u_n) \rightarrow \sigma(c)$  and  $\|u_n\|_2 = c_n \rightarrow c$ . Then the sequence  $\{u_n\}$  is relatively compact in  $H^s(\mathbb{R}^N)$  up to translations, that is, there exist a subsequence, still denoted by  $\{u_n\}$ , a sequence of points  $\{y_n\} \subset \mathbb{R}^N$ , and a function  $u_0 \in S(c)$  such that  $u_n(\cdot + y_n) \rightarrow u_0$  strongly in  $H^s(\mathbb{R}^N)$ .*

*Proof.* It follows from Lemma 3.1(i) and  $c_n \rightarrow c$  that the sequence  $\{u_n\}$  is bounded in  $H^s(\mathbb{R}^N)$ . Then according to the fractional concentration-compactness principle (see [14, Lemma 2.4]), we take  $\varsigma_n := \frac{c}{c_n} u_n$ , and there exists a subsequence, still denoted by  $\{\varsigma_n\}$ , for which one of the following properties holds:

(i) Compactness: there exists a sequence  $\{y_n\}$  in  $\mathbb{R}^N$  such that, for any  $\varepsilon > 0$ , there exists  $0 < r < \infty$  with

$$\int_{|x - y_n| \leq r} |\varsigma_n(x)|^2 dx \geq c^2 - \varepsilon.$$

(ii) Vanishing: for all  $r < \infty$ , it follows that

$$\lim_{n \rightarrow \infty} \sup_{y \in \mathbb{R}^N} \int_{|x - y| \leq r} |\varsigma_n(x)|^2 dx = 0.$$

(iii) Dichotomy: there exist a constant  $c_1 \in (0, c)$  and two bounded sequences  $\{\nu_n\}$ ,  $\{\omega_n\}$  such that

$$\begin{aligned} & \text{supp } \nu_n \cap \text{supp } \omega_n = \emptyset; \quad |\nu_n| + |\omega_n| \leq |\varsigma_n|; \\ & \|\nu_n\|_2^2 \rightarrow c_1^2, \quad \|\omega_n\|_2^2 \rightarrow c_2^2 := c^2 - c_1^2 \quad \text{as } n \rightarrow \infty; \\ & \|\varsigma_n - \nu_n - \omega_n\|_r \rightarrow 0 \quad \text{for } 2 \leq r < \frac{2N}{N - 2s}; \\ & \liminf_{n \rightarrow \infty} \left\{ \|(-\Delta)^{\frac{s}{2}} \varsigma_n\|_2^2 - \|(-\Delta)^{\frac{s}{2}} \nu_n\|_2^2 - \|(-\Delta)^{\frac{s}{2}} \omega_n\|_2^2 \right\} \geq 0. \end{aligned}$$

Firstly, we verify that the vanishing cannot occur. Suppose the contrary. Then by [13, Lemma 2.2] we have that  $u_n \rightarrow 0$  in  $L^r(\mathbb{R}^N)$  for  $2 < r < 2_s^*$ . Noticing  $q \in (2_\alpha, 2_{\alpha,s}^*)$  implying that  $\frac{2Nq}{N+\alpha} \in (2, 2_s^*)$ , by (1.4), (2.5) and Lemma 2.3, it follows that

$$\begin{aligned} & \sigma(c) + o_n(1) \\ &= \frac{1}{2} \left\| (-\Delta)^{\frac{s}{2}} u_n \right\|_2^2 - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx - \frac{\mu}{2q} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx \\ &\geq \frac{1}{2} \left\| (-\Delta)^{\frac{s}{2}} u_n \right\|_2^2 - \frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha} - \frac{\mu C_\alpha}{2q} \|u_n\|_{\frac{2Nq}{N+\alpha}}^{2q} \\ &\geq \frac{1}{2} \left\| (-\Delta)^{\frac{s}{2}} u_n \right\|_2^2 - \frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha} + o_n(1) \\ &\geq -\frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha}, \end{aligned}$$

which contradicts Lemma 3.1(ii).

Next we claim dichotomy cannot occur. Otherwise, according to [2, Lemma 2.14] and [5, Proposition 1.7.6 with Lemma 1.7.5(ii)] which state that

$$\int_{\mathbb{R}^N} (I_\alpha * |\varsigma_n|^q) |\varsigma_n|^q dx = \int_{\mathbb{R}^N} (I_\alpha * |\nu_n|^q) |\nu_n|^q dx + \int_{\mathbb{R}^N} (I_\alpha * |\omega_n|^q) |\omega_n|^q dx + o_n(1)$$

for  $q \in [2_\alpha, \bar{q}]$ . Then we have

$$\sigma(c) = \lim_{n \rightarrow \infty} E_q(u_n) = \lim_{n \rightarrow \infty} E_q(\varsigma_n) \geq \limsup_{n \rightarrow \infty} (E_q(\nu_n) + E_q(\omega_n)) \geq \sigma(c_1) + \sigma(c_2),$$

which contradicts Lemma 3.1(iii). Thus, the compactness holds, then there exist  $\{y_n\} \subset \mathbb{R}^N$  and  $u_0 \in S(c)$  such that  $\varsigma_n(\cdot + y_n) \rightarrow u_0$  in  $L^2(\mathbb{R}^N)$ . Since  $c_n \rightarrow c$  and  $\{u_n\}$  is bounded, then we have that  $\bar{u}_n := u_n(\cdot + y_n) \rightarrow u_0$  in  $L^2(\mathbb{R}^N)$ . Meanwhile, by interpolation inequality and fractional Sobolev embedding theorem, we have

$$\|\bar{u}_n - u_0\|_r^r \leq \|\bar{u}_n - u_0\|_2^{\theta r} \|\bar{u}_n - u_0\|_{2_s^*}^{(1-\theta)r} \leq C \|\bar{u}_n - u_0\|_2^{\theta r} \rightarrow 0 \quad \text{as } n \rightarrow \infty,$$

where  $r \in (2, 2_s^*)$  and  $\frac{1}{r} = \frac{\theta}{2} + \frac{1-\theta}{2_s^*}$ , then we have that  $\bar{u}_n := u_n(\cdot + y_n) \rightarrow u_0$  in  $L^r(\mathbb{R}^N)$  for  $2 \leq r < 2_s^*$ . Then, by Lemmas 2.6 and 2.3, we can imply that

$$\begin{aligned} \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}_n|^{2\alpha}) |\bar{u}_n|^{2\alpha} dx &= \int_{\mathbb{R}^N} (I_\alpha * |u_0|^{2\alpha}) |u_0|^{2\alpha} dx + o_n(1), \\ \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}_n|^q) |\bar{u}_n|^q dx &= \int_{\mathbb{R}^N} (I_\alpha * |u_0|^q) |u_0|^q dx + o_n(1). \end{aligned}$$

Hence, we can deduce that

$$\sigma(c) \leq E_q(u_0) \leq \liminf_{n \rightarrow \infty} E_q(\bar{u}_n) = \liminf_{n \rightarrow \infty} E_q(u_n) = \sigma(c),$$

which implies that  $E_q(u_0) = \sigma(c)$  and  $\|(-\Delta)^{\frac{s}{2}} \bar{u}_n\|_2^2 \rightarrow \|(-\Delta)^{\frac{s}{2}} u_0\|_2^2$ . Consequently, through the above analysis, we have that  $\|\bar{u}_n\|_{H^s(\mathbb{R}^N)} \rightarrow \|u_0\|_{H^s(\mathbb{R}^N)}$ , namely,  $u_n(\cdot + y_n) \rightarrow u_0$  strongly in  $H^s(\mathbb{R}^N)$ , and  $u_0$  is a minimizer for  $\sigma(c)$ . We complete the proof.  $\square$

*Proof of Theorem 1.3.* It follows from Lemma 3.2 that there exists a minimizer  $u_0$  for  $E_q$  on  $S(c)$ . Hence, the infimum  $\sigma(c) < -\frac{\gamma}{22\alpha} S_\alpha^{-2\alpha} c^{22\alpha}$  is achieved by  $u_0 \in S(c)$  which is a ground state of problem (1.1) and (1.2). Then, let  $u^*$  denote the Schwartz rearrangement of  $|u_0|$  and we have

$$(3.2) \quad \|u^*\|_2^2 = \|u_0\|_2^2 = c^2.$$

By the Riesz's rearrangement inequality [31, Theorem 3.7], we have

$$(3.3) \quad \int_{\mathbb{R}^N} (I_\alpha * |u^*|^q) |u^*|^q dx \geq \int_{\mathbb{R}^N} (I_\alpha * |u_0|^q) |u_0|^q dx,$$

$$(3.4) \quad \int_{\mathbb{R}^N} (I_\alpha * |u^*|^{2\alpha}) |u^*|^{2\alpha} dx \geq \int_{\mathbb{R}^N} (I_\alpha * |u_0|^{2\alpha}) |u_0|^{2\alpha} dx.$$

And the fractional Polya–Szegő inequality [38] shows that

$$(3.5) \quad \|(-\Delta)^{\frac{s}{2}} u^*\|_2^2 \leq \|(-\Delta)^{\frac{s}{2}} u_0\|_2^2.$$

Thus,  $u^* \in S(c)$  and  $E_q(u^*) \leq E_q(u_0) = \sigma(c)$ . According to the definition of  $\sigma(c)$ , we have  $E_q(u^*) = \sigma(c)$ , which implies that  $\sigma(c)$  is achieved by the real-valued positive and radially symmetric nonincreasing function. Moreover, corresponding to  $u^*$ , there exists a Lagrange multiplier  $\lambda^* \in R$  such that

$$\begin{aligned} \lambda^* c^2 &= -\|(-\Delta)^{\frac{s}{2}} u^*\|_2^2 + \gamma \int_{\mathbb{R}^N} (I_\alpha * |u^*|^{2\alpha}) |u^*|^{2\alpha} dx + \mu \int_{\mathbb{R}^N} (I_\alpha * |u^*|^q) |u^*|^q dx \\ &= -2\sigma(c) + \frac{\gamma(2\alpha - 1)}{2\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u^*|^{2\alpha}) |u^*|^{2\alpha} dx + \frac{\mu(q-1)}{q} \int_{\mathbb{R}^N} (I_\alpha * |u^*|^q) |u^*|^q dx \\ &> -2\sigma(c), \end{aligned}$$

together with Lemma 3.1(ii), we have

$$\lambda^* > \frac{\gamma}{2\alpha} S_\alpha^{-2\alpha} c^{\frac{2\alpha}{N}}.$$

We complete the proof.  $\square$

#### 4. The case $q = \bar{q} = \frac{N+2s+\alpha}{N}$

*Proof of Theorem 1.4.* Let  $u \in S(c)$  and  $t > 0$ . By (2.2) and (2.10), we have

$$\begin{aligned} \Phi'_u(t) &= st^{2s-1} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\mu s N}{N+2s+\alpha} t^{2s-1} \int_{\mathbb{R}^N} (I_\alpha * |u|^{\bar{q}}) |u|^{\bar{q}} dx \\ &\geq st^{2s-1} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 - \frac{\mu s N \tilde{C}}{N+2s+\alpha} c^{\frac{4s+2\alpha}{N}} t^{2s-1} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 \\ &= \left( s - \frac{\mu s N \tilde{C}}{N+2s+\alpha} c^{\frac{4s+2\alpha}{N}} \right) t^{2s-1} \|(-\Delta)^{\frac{s}{2}} u\|_2^2 \\ &> 0 \quad \text{if } \mu < \frac{N+2s+\alpha}{N \tilde{C}} c^{-\frac{4s+2\alpha}{N}} := \mu^*. \end{aligned}$$

This implies that the fiber map  $\Phi_u(t)$  is strictly increasing, and then the functional  $E_q$  has no critical point on  $S(c)$  for  $0 < \mu < \mu^*$ . Hence, when  $0 < \mu < \mu^*$ , problem (1.1) and (1.2) has no solution for any  $\lambda \in \mathbb{R}$ . We complete the proof.  $\square$

### 5. The case $\bar{q} < q \leq 2_{\alpha,s}^*$

In this case, we notice that the functional  $E_q$  is unbounded from below on  $S(c)$ . Thus, we shall restrict it to a natural constraint manifold  $\mathcal{P}_q(c)$  on which  $E_q$  is bounded below and then we may find critical points of  $E_q$ .

**Lemma 5.1.** *Assume that  $\gamma, \mu > 0$  and  $\bar{q} < q \leq 2_{\alpha,s}^*$ . Then the following statements are true.*

(i) *There exists a unique  $\tilde{t}_u := t(u) > 0$  such that  $u_{\tilde{t}_u} \in \mathcal{P}_q(c) = \mathcal{P}_q^-(c)$  and*

$$E_q(u_{\tilde{t}_u}) = \max_{t>0} E_q(u_t);$$

(ii) *The map  $u \in S(c) \mapsto \tilde{t}_u \in \mathbb{R}$  is of class  $C^1$ .*

*Proof.* (i) In view of  $\bar{q} < q \leq 2_{\alpha,s}^*$  and (2.11), we have  $\mathcal{P}_q(c) = \mathcal{P}_q^-(c)$ . Fix  $u \in S(c)$  and we define

$$F(t) := \frac{2qs}{\mu(Nq - N - \alpha)} \left\| (-\Delta)^{\frac{s}{2}} u \right\|_2^2 t^{N+\alpha+2s-Nq} \quad \text{for } t > 0.$$

According to Lemma 2.9, we have  $u_t \in \mathcal{P}_q(c)$  if and only if  $F(t) = \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx$ . We notice that  $F(t)$  is decreasing on  $(0, +\infty)$ ,  $\lim_{t \rightarrow 0^+} F(t) = +\infty$ ,  $\lim_{t \rightarrow +\infty} F(t) = 0$ . This implies that there exists a unique  $\tilde{t}_u > 0$  such that  $u_{\tilde{t}_u} \in \mathcal{P}_q(c)$ . Furthermore, we also obtain that  $\Phi'_u(t) > 0$  on  $(0, \tilde{t}_u)$  and  $\Phi'_u(t) < 0$  on  $(\tilde{t}_u, +\infty)$ , namely,  $E_q(u_{\tilde{t}_u}) = \max_{t>0} E_q(u_t)$ .

(ii) By a direct application of the implicit function theorem on the  $C^1$  function  $G: \mathbb{R} \times S(c) \rightarrow \mathbb{R}$  defined by  $G(t, u) = \Phi'_u(t)$ , we easily reach the conclusion.  $\square$

**Lemma 5.2.** *Assume that  $\gamma, \mu > 0$  and  $q \in (\bar{q}, 2_{\alpha,s}^*]$ . Then the functional  $E_q$  is bounded below and coercive on  $\mathcal{P}_q(c)$  for  $0 < c < c_*$ , where  $c_*$  is defined as*

$$c_* := \left[ \frac{2qs}{\mu \tilde{C}(Nq - N - \alpha)} \right]^{\frac{s}{(2\alpha-1)(Nq-N-\alpha)+2qs-2s \cdot 2\alpha}} \times \left[ \frac{\gamma(Nq - N - \alpha)}{2_\alpha S_\alpha^{2\alpha}(Nq - N - \alpha - 2s)} \right]^{\frac{N+\alpha+2s-Nq}{2(2\alpha-1)(Nq-N-\alpha)+4qs-4s \cdot 2\alpha}}.$$

*Proof.* Let  $u \in \mathcal{P}_q(c)$ , then we have

$$\left\| (-\Delta)^{\frac{s}{2}} u \right\|_2^2 = \frac{\mu}{2s} \left( N - \frac{N+\alpha}{q} \right) \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx.$$



Combined with Lemma 2.2, then

$$\|(-\Delta)^{\frac{s}{2}}u\|_2^2 \geq \left( \frac{2qs}{\mu\tilde{C}(Nq - N - \alpha)} \cdot c^{-\frac{2qs+N+\alpha-Nq}{s}} \right)^{\frac{2s}{Nq-N-\alpha-2s}}.$$

It follows that

$$\begin{aligned} & E_q(u) \\ &= \frac{1}{2} \|(-\Delta)^{\frac{s}{2}}u\|_2^2 - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha}) |u|^{2\alpha} dx - \frac{\mu}{2q} \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx \\ &= \left( \frac{1}{2} - \frac{s}{Nq - N - \alpha} \right) \|(-\Delta)^{\frac{s}{2}}u\|_2^2 - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^{2\alpha}) |u|^{2\alpha} dx \\ &\geq \left( \frac{1}{2} - \frac{s}{Nq - N - \alpha} \right) \|(-\Delta)^{\frac{s}{2}}u\|_2^2 - \frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha} \\ &\geq \left( \frac{1}{2} - \frac{s}{Nq - N - \alpha} \right) \left( \frac{2qs}{\mu\tilde{C}(Nq - N - \alpha)} \cdot c^{-\frac{2qs+N+\alpha-Nq}{s}} \right)^{\frac{2s}{Nq-N-\alpha-2s}} - \frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha} \\ &> 0, \end{aligned}$$

provided that  $c < c_*$ . We complete the proof.  $\square$

Through the above discussion, we can define

$$m_q(c) := \inf_{u \in \mathcal{P}_q(c)} E_q(u).$$

We now work in the subspace of functions in  $H^s(\mathbb{R}^N)$  which are radially symmetric with respect to 0, denoted by  $H_r^s(\mathbb{R}^N)$ , and we define

$$S_r(c) := S(c) \cap H_r^s(\mathbb{R}^N) \quad \text{and} \quad \mathcal{P}_q^r(c) := \mathcal{P}_q \cap H_r^s(\mathbb{R}^N).$$

**Lemma 5.3.** *Suppose that  $\gamma, \mu > 0$  and  $q \in (\bar{q}, 2_{\alpha,s}^*]$ . Then for  $0 < c < c_*$ , the following statements are true.*

- (i)  $m_q(c) = \inf_{u \in \mathcal{P}_q(c)} E_q(u) = \inf_{u \in \mathcal{P}_q^r(c)} E_q(u) > 0$ ;
- (ii) *If  $m_q(c)$  is achieved, then it is achieved by a Schwarz symmetric function.*

*Proof.* (i) Since  $\mathcal{P}_q^r(c) \subset \mathcal{P}_q(c)$ , then we obtain that

$$(5.1) \quad \inf_{u \in \mathcal{P}_q(c)} E_q(u) \leq \inf_{u \in \mathcal{P}_q^r(c)} E_q(u).$$

Next, we claim that  $\inf_{u \in \mathcal{P}_q(c)} E_q(u) \geq \inf_{u \in \mathcal{P}_q^r(c)} E_q(u)$ . Indeed, by Lemma 5.1(i), it is clear to see that

$$(5.2) \quad m_q(c) = \inf_{u \in \mathcal{P}_q(c)} E_q(u) = \inf_{u \in S(c)} \max_{t>0} E_q(u_t)$$

and  $E_q(u_{\tilde{t}_u}) = \max_{t>0} E_q(u_t)$ . Let  $u \in S(c)$  and  $\vartheta \in S(c)$  be the Schwartz rearrangement of  $|u|$ . Then by (3.2)–(3.5), we obtain that

$$\begin{aligned}
\Phi_{\vartheta}(t) &= \frac{1}{2} t^{2s} \left\| (-\Delta)^{\frac{s}{2}} \vartheta \right\|_2^2 - \frac{\gamma}{22\alpha} \int_{\mathbb{R}^N} (I_{\alpha} * |\vartheta|^{2\alpha}) |\vartheta|^{2\alpha} dx \\
&\quad - \frac{\mu}{2q} t^{Nq-N-\alpha} \int_{\mathbb{R}^N} (I_{\alpha} * |\vartheta|^q) |\vartheta|^q dx \\
(5.3) \quad &\leq \frac{1}{2} t^{2s} \left\| (-\Delta)^{\frac{s}{2}} u \right\|_2^2 - \frac{\gamma}{22\alpha} \int_{\mathbb{R}^N} (I_{\alpha} * |u|^{2\alpha}) |u|^{2\alpha} dx \\
&\quad - \frac{\mu}{2q} t^{Nq-N-\alpha} \int_{\mathbb{R}^N} (I_{\alpha} * |u|^q) |u|^q dx \\
&= \Phi_u(t).
\end{aligned}$$

Moreover, we also have  $\Phi'_{\vartheta}(t) \leq \Phi'_u(t)$  for  $t \in (0, +\infty)$ , which implies  $\tilde{t}_{\vartheta} \leq \tilde{t}_u$ . Then it follows from (5.3) that

$$(5.4) \quad \inf_{u \in \mathcal{P}_q^r(c)} E_q(u) \leq \max_{t>0} E_q(\vartheta_t) = \Phi_{\vartheta}(\tilde{t}_{\vartheta}) \leq \Phi_u(\tilde{t}_{\vartheta}) = E_q(u_{\tilde{t}_{\vartheta}}) \leq \max_{t>0} E_q(u_t).$$

Then, by (5.2) and (5.4), we have

$$(5.5) \quad \inf_{u \in \mathcal{P}_q(c)} E_q(u) \geq \inf_{u \in \mathcal{P}_q^r(c)} E_q(u).$$

Thus, it follows from (5.1), (5.5) and Lemma 5.2 that

$$\inf_{u \in \mathcal{P}_q(c)} E_q(u) = \inf_{u \in \mathcal{P}_q^r(c)} E_q(u) > 0.$$

(ii) Let  $v \in \mathcal{P}_q(c)$  satisfy  $E_q(v) = m_q(c)$ , and let  $\kappa$  be the Schwartz rearrangement of  $|v|$ . Indeed, according to (3.2)–(3.5), if  $\left\| (-\Delta)^{\frac{s}{2}} \kappa \right\|_2^2 < \left\| (-\Delta)^{\frac{s}{2}} v \right\|_2^2$ , or  $\int_{\mathbb{R}^N} (I_{\alpha} * |\kappa|^q) |\kappa|^q dx > \int_{\mathbb{R}^N} (I_{\alpha} * |v|^q) |v|^q dx$ , or  $\int_{\mathbb{R}^N} (I_{\alpha} * |\kappa|^{2\alpha}) |\kappa|^{2\alpha} dx > \int_{\mathbb{R}^N} (I_{\alpha} * |v|^{2\alpha}) |v|^{2\alpha} dx$  hold, then, based on (5.2) and (5.4), we can deduce that

$$m_q(c) = \inf_{\kappa \in S(c)} \max_{t>0} E_q(\kappa_t) \leq \max_{t>0} E_q(\kappa_t) = \Phi_{\kappa}(\tilde{t}_{\kappa}) < \Phi_v(\tilde{t}_{\kappa}) = E_q(v_{\tilde{t}_{\kappa}}) \leq E_q(v) = m_q(c),$$

which is a contradiction. As a result, we have

$$\begin{aligned}
\left\| (-\Delta)^{\frac{s}{2}} \kappa \right\|_2^2 &= \left\| (-\Delta)^{\frac{s}{2}} v \right\|_2^2, \quad \int_{\mathbb{R}^N} (I_{\alpha} * |\kappa|^q) |\kappa|^q dx = \int_{\mathbb{R}^N} (I_{\alpha} * |v|^q) |v|^q dx, \\
\int_{\mathbb{R}^N} (I_{\alpha} * |\kappa|^{2\alpha}) |\kappa|^{2\alpha} dx &= \int_{\mathbb{R}^N} (I_{\alpha} * |v|^{2\alpha}) |v|^{2\alpha} dx.
\end{aligned}$$

Then combined with (3.2) we can imply that  $\kappa \in \mathcal{P}_q^r(c)$  and  $E_q(\kappa) = E_q(v) = m_q(c)$ . We complete the proof.  $\square$

Next, we shall take advantage of the homotopy-stable family and some related known results in order to establish the existence of Palais–Smale sequence.

**Definition 5.4.** [45, Definition 3.1] Let  $\mathcal{D}$  be a closed subset of a metric space  $X$ . We say that a class  $\mathcal{F}$  of compact subsets of  $X$  is a homotopy-stable family with boundary  $\mathcal{D}$  provided that

- (i) every set in  $\mathcal{F}$  contains  $\mathcal{D}$ ;
- (ii) for any set  $\Xi \in \mathcal{F}$  and any  $\mathcal{E} \in C([0, 1] \times X, X)$  satisfying  $\mathcal{E}(t, x) = x$  for all  $(t, x) \in (\{0\} \times X) \cup ([0, 1] \times \mathcal{D})$ , we have that  $\mathcal{E}(\{1\} \times \Xi) \in \mathcal{F}$ .

In particular, the above definition is still valid if the boundary  $\mathcal{D}$  is empty.

**Lemma 5.5.** For  $u \in S_r(c)$ ,  $t \in \mathbb{R}$ , the map

$$T_u S_r(c) \rightarrow T_{u_{\tilde{t}_u}} S_r(c), \quad \psi \mapsto \psi_{\tilde{t}_u}$$

is an linear isomorphism, where  $T_u S_r(c)$  denotes the tangent space to  $S_r(c)$  in  $u$ .

*Proof.* The proof is standard, see [47, Lemma 5.5] or [1, Lemma 3.6], so we omit the details.  $\square$

Now we define the functional  $\mathcal{J}: S_r(c) \mapsto \mathbb{R}$  by

$$\mathcal{J}(u) = E_q(u_{\tilde{t}_u}).$$

According to Lemma 5.1(ii), we obtain that the functional  $\mathcal{J}$  is of class  $C^1$ .

**Lemma 5.6.** It holds that

$$\mathcal{J}'(u)[\psi] = E'_q(u_{\tilde{t}_u})[\psi_{\tilde{t}_u}]$$

for any  $u \in S_r(c)$  and  $\psi \in T_u S_r(c)$ .

*Proof.* The proof is similar to [9, Lemma 3.15]; we omit it here.  $\square$

Then, the result for the existence of Palais–Smale sequences to a general homotopy-stable family is as follows.

**Lemma 5.7.** Let  $\mathcal{F}$  be a homotopy-stable family of compact subsets of  $S_r(c)$  with closed boundary  $\mathcal{D}$ , and let

$$e_{\mathcal{F}} := \inf_{\Xi \in \mathcal{F}} \max_{u \in \Xi} \mathcal{J}(u).$$

Assume that  $\mathcal{D}$  is contained in a connected component of  $\mathcal{P}_q^r(c)$  and

$$\max\{\sup \mathcal{J}(\mathcal{D}), 0\} < e_{\mathcal{F}} < \infty.$$

Then there exists a Palais–Smale sequence  $\{u_n\} \subset \mathcal{P}_q^r(c)$  for  $E_q$  restricted to  $S_r(c)$  at level  $e_{\mathcal{F}}$ .

*Proof.* By Lemmas 5.5 and 5.6, similar to the arguments of [9, Lemma 3.16], we can easily obtain the conclusion.  $\square$

**Lemma 5.8.** *Suppose that  $\gamma, \mu > 0$  and  $q \in (\bar{q}, 2_{\alpha, s}^*]$ . Then for  $0 < c < c_*$ , there exists a Palais–Smale sequence  $\{u_n\} \subset \mathcal{P}_q^r(c)$  for  $E_q$  restricted to  $S_r(c)$  at level  $m_q(c) > 0$ .*

*Proof.* Let  $\mathcal{F}_1$  be a family of all singletons belonging to  $S_r(c)$ . Clearly, the boundary  $\mathcal{D}$  is empty. Then, by Definition 5.4 it is clearly a homotopy-stable family of compact subsets of  $S_r(c)$  (without boundary). Besides, by Lemma 5.3 one has

$$e_{\mathcal{F}_1} = \inf_{\Xi \in \mathcal{F}_1} \max_{u \in \Xi} \mathcal{J}(u) = \inf_{u \in S_r(c)} \mathcal{J}(u) = \inf_{u \in \mathcal{P}_q^r(c)} E_q(u) = \inf_{u \in \mathcal{P}_q(c)} E_q(u) = m_q(c).$$

Consequently, choosing  $\mathcal{F} = \mathcal{F}_1$ , the lemma follows directly from Lemma 5.7. We complete the proof.  $\square$

### 5.1. The subcritical perturbation

**Lemma 5.9.** *Let  $\gamma, \mu > 0$ ,  $q \in (\bar{q}, 2_{\alpha, s}^*)$  and  $\{u_n\} \subset \mathcal{P}_q^r(c)$  be a bounded Palais–Smale sequence for  $E_q$  restricted to  $S_r(c)$  at level  $m_q(c) > 0$ . Then there exists a constant  $\hat{\mu} > 0$  such that for every  $\mu > \hat{\mu}$ , up to a subsequence,  $u_n \rightarrow \hat{u}$  strongly in  $H_r^s(\mathbb{R}^N)$  for  $0 < c < c_*$ .*

*Proof.* Since  $\{u_n\} \subset \mathcal{P}_q^r(c)$  is a bounded Palais–Smale sequence, then there exists  $\hat{u} \in H_r^s(\mathbb{R}^N)$  such that

$$(5.6) \quad u_n \rightharpoonup \hat{u} \text{ in } H_r^s(\mathbb{R}^N), \quad u_n \rightarrow \hat{u} \text{ in } L^r(\mathbb{R}^N) \quad \text{for } 2 < r < 2_s^*, \quad u_n \rightarrow \hat{u} \text{ a.e. on } \mathbb{R}^N.$$

Based on the Lagrange multipliers rule, there exists  $\lambda_n \in \mathbb{R}$  such that for every  $\varphi \in H_r^s(\mathbb{R}^N)$ ,

$$(5.7) \quad \begin{aligned} & \int_{\mathbb{R}^N} (-\Delta)^{\frac{s}{2}} u_n (-\Delta)^{\frac{s}{2}} \varphi \, dx + \lambda_n \int_{\mathbb{R}^N} u_n \varphi \, dx - \gamma \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha-2} u_n \varphi \, dx \\ & - \mu \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^{q-2} u_n \varphi \, dx \\ & = o_n(1) \|\varphi\|. \end{aligned}$$

It follows that

$$(5.8) \quad \begin{aligned} \lambda_n c^2 &= \lambda_n \|u_n\|_2^2 = \gamma \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} \, dx \\ &+ \mu \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q \, dx - \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 + o_n(1), \end{aligned}$$

which implies that  $\{\lambda_n\}$  is bounded. Then, up to a subsequence, there exists  $\hat{\lambda} \in \mathbb{R}$  such that  $\lambda_n \rightarrow \hat{\lambda}$  as  $n \rightarrow \infty$ . In view of  $q \in (\bar{q}, 2_{\alpha, s}^*)$ , (5.8) and the fact of  $P_q(u_n) = o_n(1)$ , we

have

$$\begin{aligned}
(5.9) \quad \widehat{\lambda}c^2 &= \lim_{n \rightarrow \infty} \lambda_n c^2 \\
&= \lim_{n \rightarrow \infty} \left[ \gamma \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx \right. \\
&\quad \left. + \mu \left( 1 - \frac{Nq - N - \alpha}{2qs} \right) \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx \right] \\
&\geq 0,
\end{aligned}$$

which implies  $\widehat{\lambda} \geq 0$ . Then, we claim that  $\widehat{\lambda} \neq 0$ . Otherwise, by (5.9), we have that  $\int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx = o_n(1)$  and  $\int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx = o_n(1)$ , and then combined with  $P_q(u_n) = o_n(1)$  we deduced that  $\|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 = o_n(1)$ . Thus, we have  $E_q(u_n) = m_q(c) + o_n(1) = o_n(1)$ , which is contradictory to  $m_q(c) > 0$ . Consequently,  $\widehat{\lambda} > 0$ .

Next, we claim that  $\widehat{u} \neq 0$ . Suppose by contradiction that  $\widehat{u} = 0$ , obviously we have  $\|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 = o_n(1)$ . Moreover, by Lemma 2.3 and (5.6), we have  $\int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx = o_n(1)$ . Then, in view of (5.8) and  $\widehat{\lambda} > 0$ , we have

$$0 < \widehat{\lambda}c^2 = \lim_{n \rightarrow \infty} \gamma \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx,$$

which contradicts

$$0 < m_q(c) = \lim_{n \rightarrow \infty} E_q(u_n) = -\frac{\gamma}{22_\alpha} \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx.$$

As a consequence,  $\widehat{u} \neq 0$ .

Now, according to  $u_n \rightharpoonup \widehat{u}$  in  $H_r^s(\mathbb{R}^N)$ , (5.7) and Lemma 2.5, it follows that  $\widehat{u}$  is a weak solution such that

$$(5.10) \quad (-\Delta)^s \widehat{u} + \widehat{\lambda} \widehat{u} = \gamma (I_\alpha * |\widehat{u}|^{2\alpha}) |\widehat{u}|^{2\alpha-2} \widehat{u} + \mu (I_\alpha * |\widehat{u}|^q) |\widehat{u}|^{q-2} \widehat{u} \quad \text{in } \mathbb{R}^N.$$

Then, it follows from Lemma 2.8 that  $P_q(\widehat{u}) = 0$ .

Let  $v_n := u_n - \widehat{u}$ , then by (5.6) we have  $v_n \rightharpoonup 0$  weakly in  $H_r^s(\mathbb{R}^N)$ . Thus, one has

$$(5.11) \quad \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 = \|(-\Delta)^{\frac{s}{2}} \widehat{u}\|_2^2 + \|(-\Delta)^{\frac{s}{2}} v_n\|_2^2 + o_n(1).$$

Moreover, it follows from Lemma 2.6 and (5.6) that

$$\begin{aligned}
\int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx &= \int_{\mathbb{R}^N} (I_\alpha * |\widehat{u}|^{2\alpha}) |\widehat{u}|^{2\alpha} dx + \int_{\mathbb{R}^N} (I_\alpha * |v_n|^{2\alpha}) |v_n|^{2\alpha} dx + o_n(1), \\
\int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx &= \int_{\mathbb{R}^N} (I_\alpha * |\widehat{u}|^q) |\widehat{u}|^q dx + \int_{\mathbb{R}^N} (I_\alpha * |v_n|^q) |v_n|^q dx + o_n(1),
\end{aligned}$$

and then by Lemma 2.3 we know that

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} (I_\alpha * |v_n|^q) |v_n|^q dx = 0,$$

which implies that

$$(5.12) \quad \int_{\mathbb{R}^N} (I_\alpha * |u_n|^q) |u_n|^q dx = \int_{\mathbb{R}^N} (I_\alpha * |\widehat{u}|^q) |\widehat{u}|^q dx + o_n(1).$$

By (5.11), (5.12) and the fact of  $P_q(u_n) = o_n(1)$ , we have

$$\|(-\Delta)^{\frac{s}{2}} \widehat{u}\|_2^2 + \|(-\Delta)^{\frac{s}{2}} v_n\|_2^2 = \frac{\mu}{2s} \left( N - \frac{N+\alpha}{q} \right) \int_{\mathbb{R}^N} (I_\alpha * |\widehat{u}|^q) |\widehat{u}|^q dx + o_n(1),$$

which shows that  $\|(-\Delta)^{\frac{s}{2}} v_n\|_2^2 = o_n(1)$  by the fact of  $P_q(\widehat{u}) = 0$ .

Moreover, by (5.10), we have that

$$(5.13) \quad E'_q(\widehat{u})\varphi + \widehat{\lambda} \int_{\mathbb{R}^N} \widehat{u}\varphi dx = 0$$

for every  $\varphi \in H_r^s(\mathbb{R}^N)$ . Taking  $\varphi = v_n$  in (5.7) and (5.13), and then subtracting, we have

$$\|(-\Delta)^{\frac{s}{2}} v_n\|_2^2 + \widehat{\lambda} \|v_n\|_2^2 = \mu \int_{\mathbb{R}^N} (I_\alpha * |v_n|^q) |v_n|^q dx + \gamma \int_{\mathbb{R}^N} (I_\alpha * |v_n|^{2\alpha}) |v_n|^{2\alpha} dx + o_n(1),$$

which implies that

$$(5.14) \quad \mathcal{L} := \lim_{n \rightarrow \infty} \widehat{\lambda} \|v_n\|_2^2 = \lim_{n \rightarrow \infty} \gamma \int_{\mathbb{R}^N} (I_\alpha * |v_n|^{2\alpha}) |v_n|^{2\alpha} dx,$$

where we also have used the fact that  $\|(-\Delta)^{\frac{s}{2}} v_n\|_2^2 = o_n(1)$  and  $\int_{\mathbb{R}^N} (I_\alpha * |v_n|^q) |v_n|^q dx = o_n(1)$ . It follows from (5.14) and (2.5) that either  $\mathcal{L} = 0$  or  $\mathcal{L} \geq \gamma^{-\frac{N}{\alpha}} (\widehat{\lambda} S_\alpha)^{\frac{N+\alpha}{\alpha}}$ .

If  $\mathcal{L} = 0$ , by (5.14) and  $\|(-\Delta)^{\frac{s}{2}} v_n\|_2^2 = o_n(1)$ , then we obtain  $u_n \rightarrow \widehat{u}$  in  $H_r^s(\mathbb{R}^N)$ .

If  $\mathcal{L} \geq \gamma^{-\frac{N}{\alpha}} (\widehat{\lambda} S_\alpha)^{\frac{N+\alpha}{\alpha}}$ , firstly, similar to the argument of Lemma 5.2, according to  $P_q(\widehat{u}) = 0$  and  $\|\widehat{u}\|_2 \leq c$ , we can easily deduce  $E_q(\widehat{u}) > 0$  for  $0 < c < c_*$ . Then, for one thing, we have

$$(5.15) \quad \begin{aligned} m_q(c) + \frac{\widehat{\lambda}}{2} c^2 &= m_q(c) + \frac{1}{2} \lim_{n \rightarrow \infty} \lambda_n \|u_n\|_2^2 \geq m_q(c) + \frac{1}{2} \lim_{n \rightarrow \infty} \lambda_n \|v_n\|_2^2 \\ &= E_q(\widehat{u}) + \lim_{n \rightarrow \infty} \left( E_q(v_n) + \frac{\lambda_n}{2} \|v_n\|_2^2 \right) \\ &= E_q(\widehat{u}) + \lim_{n \rightarrow \infty} \left( \frac{\lambda_n}{2} \|v_n\|_2^2 - \frac{\gamma}{22\alpha} \int_{\mathbb{R}^N} (I_\alpha * |v_n|^{2\alpha}) |v_n|^{2\alpha} dx \right) \\ &= E_q(\widehat{u}) + \lim_{n \rightarrow \infty} \frac{\gamma\alpha}{2(N+\alpha)} \int_{\mathbb{R}^N} (I_\alpha * |v_n|^{2\alpha}) |v_n|^{2\alpha} dx \\ &\geq E_q(\widehat{u}) + \frac{\alpha}{2(N+\alpha)} \gamma^{-\frac{N}{\alpha}} (\widehat{\lambda} S_\alpha)^{\frac{N+\alpha}{\alpha}} > \frac{\alpha}{2(N+\alpha)} \gamma^{-\frac{N}{\alpha}} (\widehat{\lambda} S_\alpha)^{\frac{N+\alpha}{\alpha}}. \end{aligned}$$

For another, we make use of the extremal function  $V_\varepsilon$  in (2.7) to estimate  $m_q(c) + \frac{\widehat{\lambda}}{2} c^2$ . We define  $\tau := c \frac{V_\varepsilon}{\|V_\varepsilon\|_2}$  and  $\tau_t := t^{\frac{N}{2}} \tau(tx)$ . Clearly,  $\tau \in S(c)$  and  $\tau_t \in S(c)$ . It follows

from Lemma 5.1 that there exists a unique  $\tilde{t}_\tau > 0$  such that  $\tau_{\tilde{t}_\tau} \in \mathcal{P}_q(c)$  and  $E_q(\tau_{\tilde{t}_\tau}) = \max_{t>0} E_q(\tau_t)$ , then we have

$$m_q(c) \leq \max_{t>0} E_q(\tau_t) = E_q(\tau_{\tilde{t}_\tau}).$$

Moreover, by (2.9) and (3.1) we have

$$\begin{aligned} m_q(c) &\leq E_q(\tau_{\tilde{t}_\tau}) \\ &= \frac{1}{2}(\tilde{t}_\tau)^{2s} \|(-\Delta)^{\frac{s}{2}} \tau\|_2^2 - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |\tau|^{2\alpha}) |\tau|^{2\alpha} dx \\ &\quad - \frac{\mu}{2q} (\tilde{t}_\tau)^{Nq-N-\alpha} \int_{\mathbb{R}^N} (I_\alpha * |\tau|^q) |\tau|^q dx \\ &= \frac{1}{2}(\tilde{t}_\tau)^{2s} \|(-\Delta)^{\frac{s}{2}} \tau\|_2^2 - \frac{\mu}{2q} (\tilde{t}_\tau)^{Nq-N-\alpha} \int_{\mathbb{R}^N} (I_\alpha * |\tau|^q) |\tau|^q dx - \frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha}. \end{aligned}$$

Then, we choose

$$\hat{\mu} := \frac{q \|(-\Delta)^{\frac{s}{2}} \tau\|_2^2}{\int_{\mathbb{R}^N} (I_\alpha * |\tau|^q) |\tau|^q dx} (\tilde{t}_\tau)^{2s+N+\alpha-Nq}.$$

Thus, for every  $\mu > \hat{\mu}$ , there holds that

$$m_q(c) < -\frac{\gamma}{22_\alpha} S_\alpha^{-2\alpha} c^{22_\alpha},$$

which implies that

$$(5.16) \quad m_q(c) + \frac{\hat{\lambda}}{2} c^2 < -\frac{N\gamma}{2(N+\alpha)} S_\alpha^{-\frac{N+\alpha}{N}} c^{\frac{2(N+\alpha)}{N}} + \frac{\hat{\lambda}}{2} c^2.$$

Let us consider the function  $\mathcal{K}: \mathbb{R}^+ \rightarrow \mathbb{R}$  given by

$$(5.17) \quad \mathcal{K}(c) := -\frac{N\gamma}{2(N+\alpha)} S_\alpha^{-\frac{N+\alpha}{N}} c^{\frac{2(N+\alpha)}{N}} + \frac{\hat{\lambda}}{2} c^2.$$

By a direct calculation, we have that  $\mathcal{K}$  has a unique critical point  $c_0 = \left(\frac{\hat{\lambda}}{\gamma}\right)^{\frac{N}{2\alpha}} S_\alpha^{\frac{N+\alpha}{2\alpha}}$ , which is also a global maximum point. Then, the maximum of  $\mathcal{K}$  is

$$(5.18) \quad \mathcal{K}(c_0) = \frac{\alpha}{2(N+\alpha)} \gamma^{-\frac{N}{\alpha}} (\hat{\lambda} S_\alpha)^{\frac{N+\alpha}{\alpha}}.$$

It follows from (5.16), (5.17) and (5.18) that

$$(5.19) \quad m_q(c) + \frac{\hat{\lambda}}{2} c^2 < \frac{\alpha}{2(N+\alpha)} \gamma^{-\frac{N}{\alpha}} (\hat{\lambda} S_\alpha)^{\frac{N+\alpha}{\alpha}},$$

which contradicts (5.15), that is,  $\mathcal{L} \geq \gamma^{-\frac{N}{\alpha}} (\hat{\lambda} S_\alpha)^{\frac{N+\alpha}{\alpha}}$  is not true. Consequently, we have  $u_n \rightarrow \hat{u}$  in  $H_r^s(\mathbb{R}^N)$ . The proof is completed.  $\square$

*Proof of Theorem 1.5.* By Lemmas 5.2 and 5.8, for  $0 < c < c_*$ , there exists a Palais–Smale sequence  $\{u_n\} \subset \mathcal{P}_q^r(c)$  for  $E_q$  restricted to  $S_r(c)$  at level  $m_q(c) > 0$ . Then in view of Lemma 5.9, we have that there exists a constant  $\hat{\mu} > 0$  such that for every  $\mu > \hat{\mu}$ , up to a subsequence,  $u_n \rightarrow \hat{u}$  strongly in  $H_r^s(\mathbb{R}^N)$  for  $0 < c < c_*$ . Combined with Lemma 5.3(i), we can deduce that  $\hat{u}$  is a ground state normalized solution of (1.1) for some  $\hat{\lambda} > 0$ . Moreover, by Lemma 5.3(ii) and the maximum principle (see [17, Theorem 8.19]),  $\hat{u}$  is a positive radial ground state normalized solution of (1.1) for some  $\hat{\lambda} > 0$ .  $\square$

## 5.2. The critical perturbation

In this part, we consider the case of  $q = 2_{\alpha,s}^* = \frac{N+\alpha}{N-2s}$ , which corresponds to the fractional doubly critical Choquard equation with prescribed  $L^2$ -norm. Here, we mainly apply the Hardy–Littlewood–Sobolev approximation method to study the problem. We first present a relevant property of  $m_q(c)$ .

**Lemma 5.10.** *Assume that  $\gamma, \mu > 0$  and  $\bar{q} < q < 2_{\alpha,s}^*$ . Then*

$$\limsup_{q \rightarrow 2_{\alpha,s}^{*-}} m_q(c) \leq m_{2_{\alpha,s}^*}(c).$$

*Proof.* By the definition of  $m_{2_{\alpha,s}^*}(c)$ , for any  $\varepsilon \in (0, 1)$ , there exists  $u \in \mathcal{P}_{2_{\alpha,s}^*}(c)$  such that

$$(5.20) \quad E_{2_{\alpha,s}^*}(u) < m_{2_{\alpha,s}^*}(c) + \varepsilon.$$

It follows from (2.9) that there exists  $t_1 > 0$  large enough such that  $E_{2_{\alpha,s}^*}(u_{t_1}) \leq -2$ . Moreover, by the Young inequality, we have

$$(5.21) \quad |u|^q \leq \frac{2_{\alpha,s}^* - q}{2_{\alpha,s}^* - p} |u|^p + \frac{q - p}{2_{\alpha,s}^* - p} |u|^{2_{\alpha,s}^*} \quad \text{for } \bar{q} < p < q < 2_{\alpha,s}^*.$$

By the Lebesgue dominated convergence theorem, we have that

$$\frac{\mu}{2q} t^{Nq-N-\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u|^q) |u|^q dx$$

is continuous on  $q \in [p, 2_{\alpha,s}^*]$  uniformly with respect to  $t \in (0, t_1]$ . Therefore, for any  $\varepsilon > 0$ , there exists  $\delta > 0$  such that

$$(5.22) \quad |E_q(u_t) - E_{2_{\alpha,s}^*}(u_t)| < \varepsilon$$

for  $q \in [2_{\alpha,s}^* - \delta, 2_{\alpha,s}^*]$  and  $t \in (0, t_1]$ , which implies that  $E_q(u_{t_1}) \leq -1$  for any  $q \in [2_{\alpha,s}^* - \delta, 2_{\alpha,s}^*]$ . Then it follows from Lemma 5.1(i) that there exists a unique critical point  $t_* \in (0, t_1)$  of  $E_q(u_t)$  such that  $P_q(u_{t_*}) = 0$ . Noticing that  $u \in \mathcal{P}_{2_{\alpha,s}^*}(c)$ , we deduce that

$$(5.23) \quad E_{2_{\alpha,s}^*}(u) = \max_{t > 0} E_{2_{\alpha,s}^*}(u_t).$$



As a consequence, by (5.20), (5.22) and (5.23), we have

$$m_q(c) \leq E_q(u_{t_*}) \leq E_{2_{\alpha,s}^*}(u_{t_*}) + \varepsilon \leq E_{2_{\alpha,s}^*}(u) + \varepsilon < m_{2_{\alpha,s}^*}(c) + 2\varepsilon$$

for any  $q \in [2_{\alpha,s}^* - \delta, 2_{\alpha,s}^*]$ . Hence,  $\limsup_{q \rightarrow 2_{\alpha,s}^*} m_q(c) \leq m_{2_{\alpha,s}^*}(c)$ .  $\square$

**Lemma 5.11.** *Let  $q = 2_{\alpha,s}^*$ ,  $c^* = \left(\frac{N+\alpha}{N\gamma}\right)^{\frac{N}{2\alpha}} S_{\alpha}^{\frac{N+\alpha}{2\alpha}}$ ,  $0 < c < \min\{c_*, c^*\}$ , and*

$$\gamma > \left(\frac{\alpha}{\alpha+2s}\right)^{\frac{\alpha}{N}} S_{\alpha}^{\frac{N+\alpha}{N}} \left(\frac{C^{\alpha N-2s}}{S^{\alpha N+\alpha}}\right)^{\frac{\alpha}{N(2s+\alpha)}} \mu^{\frac{\alpha(N-2s)}{N(2s+\alpha)}}.$$

*Then, there exists a constant  $\tilde{\mu} \geq \hat{\mu}$  such that for every  $\mu > \tilde{\mu}$ , the infimum  $m_{2_{\alpha,s}^*}(c)$  is achieved by  $\tilde{u}$ , where  $\hat{\mu}$  is as in Lemma 5.9.*

*Proof.* Let  $q_n \rightarrow 2_{\alpha,s}^{*-}$  as  $n \rightarrow \infty$ . According to Theorem 1.5 and Lemma 5.10, for every  $\mu > \hat{\mu}$ , there exists a sequence of positive and radially functions  $\{u_n := u_{q_n}\} \subset \mathcal{P}^r q_n(c)$  such that

$$E_{q_n}(u_n) = m_{q_n}(c) \leq m_{2_{\alpha,s}^*}(c) + 1,$$

and then combining Lemma 5.2 we have that  $\{u_n\}$  is bounded in  $H_r^s(\mathbb{R}^N)$ . Thus, there exists  $\bar{u} \in H_r^s(\mathbb{R}^N)$  such that, up to a subsequence,  $u_n \rightharpoonup \bar{u}$  in  $H_r^s(\mathbb{R}^N)$ ,  $u_n \rightarrow \bar{u}$  in  $L^r(\mathbb{R}^N)$  for  $2 < r < 2_s^*$ , and  $u_n \rightarrow \bar{u}$  a.e. on  $\mathbb{R}^N$ . Then by the Lagrange multipliers rule, there exists  $\lambda_n \in \mathbb{R}$  such that for every  $\varphi \in H_r^s(\mathbb{R}^N)$ ,

$$\begin{aligned} & \int_{\mathbb{R}^N} (-\Delta)^{\frac{s}{2}} u_n (-\Delta)^{\frac{s}{2}} \varphi \, dx + \lambda_n \int_{\mathbb{R}^N} u_n \varphi \, dx - \gamma \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^{2\alpha}) |u_n|^{2\alpha-2} u_n \varphi \, dx \\ (5.24) \quad & - \mu \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^{q_n}) |u_n|^{q_n-2} u_n \varphi \, dx \\ & = o_n(1) \|\varphi\|. \end{aligned}$$

There holds that

$$\lambda_n c^2 = \gamma \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^{2\alpha}) |u_n|^{2\alpha} \, dx + \mu \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^{q_n}) |u_n|^{q_n} \, dx - \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 + o_n(1),$$

which shows that  $\{\lambda_n\}$  is bounded. Similar to the argument in Lemma 5.9, we know that there exists  $\bar{\lambda} \in \mathbb{R}$  such that  $\lambda_n \rightarrow \bar{\lambda}$  as  $n \rightarrow \infty$  and  $\bar{\lambda} > 0$ .

Now, we claim that  $\bar{u} \neq 0$ . Assume by contradiction that  $\bar{u} = 0$ . Similar to the inequality (5.21) we have

$$(5.25) \quad |u_n|^{q_n} \leq \frac{2_{\alpha,s}^* - q_n}{2_{\alpha,s}^* - p} |u_n|^p + \frac{q_n - p}{2_{\alpha,s}^* - p} |u_n|^{2_{\alpha,s}^*} \quad \text{for } \bar{q} < p < q_n.$$

Moreover, since  $\{u_n\}$  is bounded and  $u_n \rightarrow \bar{u}$  in  $L^r(\mathbb{R}^N)$  for  $2 < r < 2_s^*$ , using the Hardy–Littlewood–Sobolev inequality in Lemma 2.3, we have

$$(5.26) \quad \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^p) |u_n|^{2_{\alpha,s}^*} \, dx = o_n(1), \quad \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^p) |u_n|^p \, dx = o_n(1)$$

for  $\bar{q} < p < q_n$ . Hence, we conclude that

$$(5.27) \quad \begin{aligned} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{q_n}) |u_n|^{q_n} dx &\leq \left( \frac{q_n - p}{2_{\alpha,s}^* - p} \right)^2 \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2_{\alpha,s}^*}) |u_n|^{2_{\alpha,s}^*} dx + o_n(1) \\ &\leq \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2_{\alpha,s}^*}) |u_n|^{2_{\alpha,s}^*} dx + o_n(1), \end{aligned}$$

where we have used (5.25), (5.26) and  $0 < \frac{q_n - p}{2_{\alpha,s}^* - p} < 1$ . Besides, it follows from Lemmas 2.1 and 2.3 that

$$(5.28) \quad \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2_{\alpha,s}^*}) |u_n|^{2_{\alpha,s}^*} dx \leq C_\alpha \|u_n\|_{2_s^{2_{\alpha,s}^*}}^{2_{\alpha,s}^*} \leq C_\alpha S^{-2_{\alpha,s}^*} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^{2_{\alpha,s}^*}.$$

Thus, by  $P_{q_n}(u_n) = 0$ , (5.27), (5.28) and  $q_n \rightarrow 2_{\alpha,s}^*$ , we can infer that

$$(5.29) \quad \begin{aligned} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 &= \frac{\mu}{2s} \left( N - \frac{N + \alpha}{q_n} \right) \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{q_n}) |u_n|^{q_n} dx \\ &\leq \frac{\mu}{2s} \left( N - \frac{N + \alpha}{q_n} \right) C_\alpha S^{-2_{\alpha,s}^*} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^{2_{\alpha,s}^*} + o_n(1) \\ &\leq \mu C_\alpha S^{-2_{\alpha,s}^*} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^{2_{\alpha,s}^*} + o_n(1). \end{aligned}$$

Since  $\liminf_{n \rightarrow \infty} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 > 0$ , then it follows from (5.29) that

$$(5.30) \quad \limsup_{n \rightarrow \infty} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 \geq (\mu C_\alpha)^{-\frac{N-2s}{\alpha+2s}} S^{\frac{N+\alpha}{\alpha+2s}}.$$

Then, by Lemma 5.10, (5.30), (2.5) and  $P_{q_n}(u_n) = 0$ , we have

$$(5.31) \quad \begin{aligned} m_{2_{\alpha,s}^*}(c) &\geq \limsup_{n \rightarrow \infty} m_{q_n}(c) \\ &= \limsup_{n \rightarrow \infty} \left[ \frac{1}{2} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 - \frac{\gamma}{22_\alpha} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2_\alpha}) |u_n|^{2_\alpha} dx \right. \\ &\quad \left. - \frac{s}{Nq_n - N - \alpha} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 \right] \\ &\geq \limsup_{n \rightarrow \infty} \left[ \left( \frac{1}{2} - \frac{s}{Nq_n - N - \alpha} \right) \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 - \frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} \right] \\ &= \limsup_{n \rightarrow \infty} \left[ \left( \frac{1}{2} - \frac{s}{N \cdot 2_{\alpha,s}^* - N - \alpha} \right) \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 - \frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} \right] \\ &= \limsup_{n \rightarrow \infty} \left[ \frac{\alpha + 2s}{2(N + \alpha)} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 - \frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha} \right] \\ &\geq \frac{\alpha + 2s}{2(N + \alpha)} (\mu C_\alpha)^{-\frac{N-2s}{\alpha+2s}} S^{\frac{N+\alpha}{\alpha+2s}} - \frac{\gamma}{22_\alpha} S_\alpha^{-2_\alpha} c^{22_\alpha}. \end{aligned}$$

Moreover, similar to the process of (5.19) in Lemma 5.9 with  $q = 2_{\alpha,s}^*$  and  $\hat{\lambda} = 1$ , there holds that

$$(5.32) \quad m_{2_{\alpha,s}^*}(c) < \frac{\alpha}{2(N + \alpha)} \gamma^{-\frac{N}{\alpha}} S_\alpha^{\frac{N+\alpha}{\alpha}} - \frac{1}{2} c^2.$$

However, in view of

$$\gamma > \left( \frac{\alpha}{\alpha + 2s} \right)^{\frac{\alpha}{N}} S_{\alpha}^{\frac{N+\alpha}{N}} \left( \frac{C_{\alpha}^{N-2s}}{S^{N+\alpha}} \right)^{\frac{\alpha}{N(2s+\alpha)}} \mu^{\frac{\alpha(N-2s)}{N(2s+\alpha)}} \text{ and } 0 < c < c^* := \left( \frac{N+\alpha}{N\gamma} \right)^{\frac{N}{2\alpha}} S_{\alpha}^{\frac{N+\alpha}{2\alpha}},$$

we get

$$\frac{\alpha + 2s}{2(N+\alpha)} (\mu C_{\alpha})^{-\frac{N-2s}{\alpha+2s}} S_{\alpha}^{\frac{N+\alpha}{\alpha+2s}} - \frac{\gamma}{22\alpha} S_{\alpha}^{-2\alpha} c^{22\alpha} > \frac{\alpha}{2(N+\alpha)} \gamma^{-\frac{N}{\alpha}} S_{\alpha}^{\frac{N+\alpha}{\alpha}} - \frac{1}{2} c^2,$$

which is contradictory to (5.31) and (5.32). Thus,  $\bar{u} \neq 0$ .

Next, we claim that  $\bar{u}$  is a weak solution of (1.1) with  $q = 2_{\alpha,s}^*$ . Note  $\tilde{r} := \frac{2N}{N+\alpha}$ . Since  $\{|u_n|^{q_n-2}u_n - |\bar{u}|^{2_{\alpha,s}^*-2}\bar{u}|^{\tilde{r}}\}$  is bounded in  $L^{\frac{2_{\alpha,s}^*}{2_{\alpha,s}^*-1}}(\mathbb{R}^N)$  and  $|u_n|^{q_n-2}u_n - |\bar{u}|^{2_{\alpha,s}^*-2}\bar{u} \rightarrow 0$  a.e. on  $\mathbb{R}^N$ , we can infer that  $\{|u_n|^{q_n-2}u_n - |\bar{u}|^{2_{\alpha,s}^*-2}\bar{u}|^{\tilde{r}}\} \rightarrow 0$  in  $L^{\frac{2_{\alpha,s}^*}{2_{\alpha,s}^*-1}}(\mathbb{R}^N)$ , and then  $\|(|u_n|^{q_n-2}u_n - |\bar{u}|^{2_{\alpha,s}^*-2}\bar{u})\varphi\|_{\tilde{r}} \rightarrow 0$  for any  $\varphi \in H^s(\mathbb{R}^N)$ . Since  $\{|u_n|^{q_n}\}$  is bounded in  $L^{\tilde{r}}(\mathbb{R}^N)$ , by the Hardy–Littlewood–Sobolev inequality, we have

$$(5.33) \quad \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^{q_n})(|u_n|^{q_n-2}u_n - |\bar{u}|^{2_{\alpha,s}^*-2}\bar{u})\varphi \, dx \rightarrow 0.$$

Since  $|\bar{u}|^{2_{\alpha,s}^*-2}\bar{u}\varphi \in L^{\tilde{r}}(\mathbb{R}^N)$ , we have  $I_{\alpha} * (|\bar{u}|^{2_{\alpha,s}^*-2}\bar{u}\varphi) \in L^{\frac{2N}{N-\alpha}}(\mathbb{R}^N)$ . Besides,  $|u_n|^{q_n} \rightarrow |\bar{u}|^{2_{\alpha,s}^*}$  in  $L^{\tilde{r}}(\mathbb{R}^N)$ . Therefore, we have

$$(5.34) \quad \int_{\mathbb{R}^N} (I_{\alpha} * (|\bar{u}|^{2_{\alpha,s}^*-2}\bar{u}\varphi))(|u_n|^{q_n} - |\bar{u}|^{2_{\alpha,s}^*}) \, dx \rightarrow 0.$$

It follows from (5.33) and (5.34) that

$$(5.35) \quad \int_{\mathbb{R}^N} (I_{\alpha} * |u_n|^{q_n})|u_n|^{q_n-2}u_n\varphi \, dx \rightarrow \int_{\mathbb{R}^N} (I_{\alpha} * |\bar{u}|^{2_{\alpha,s}^*})|\bar{u}|^{2_{\alpha,s}^*-2}\bar{u}\varphi \, dx.$$

Then, by Lemma 2.5, (5.24) and (5.35), we have

$$(5.36) \quad E'_{q_n}(u_n)\varphi + \lambda_n \int_{\mathbb{R}^N} u_n\varphi \, dx \rightarrow E'_{2_{\alpha,s}^*}(\bar{u})\varphi + \bar{\lambda} \int_{\mathbb{R}^N} \bar{u}\varphi \, dx = 0 \quad \text{as } n \rightarrow \infty,$$

which implies that  $\bar{u}$  is a weak solution of (1.1) with  $q = 2_{\alpha,s}^*$ . Then, it follows from Lemma 2.8 that  $P_{2_{\alpha,s}^*}(\bar{u}) = 0$ .

Finally, we shall prove that  $m_{2_{\alpha,s}^*}(c)$  is achieved. Set  $\int_{\mathbb{R}^N} |\bar{u}|^2 \, dx = \beta^2 \leq c^2$  and define

$$\tilde{u}(x) = \left( \frac{\beta}{c} \right)^{\frac{N}{2s}-1} \bar{u} \left( \left( \frac{\beta}{c} \right)^{\frac{1}{s}} x \right).$$

By a direct calculation, we have

$$(5.37) \quad \int_{\mathbb{R}^N} |\tilde{u}|^2 \, dx = c^2, \quad \|(-\Delta)^{\frac{s}{2}}\tilde{u}\|_2^2 = \|(-\Delta)^{\frac{s}{2}}\bar{u}\|_2^2,$$

$$(5.38) \quad \int_{\mathbb{R}^N} (I_{\alpha} * |\tilde{u}|^{2_{\alpha,s}^*})|\tilde{u}|^{2_{\alpha,s}^*} \, dx = \int_{\mathbb{R}^N} (I_{\alpha} * |\bar{u}|^{2_{\alpha,s}^*})|\bar{u}|^{2_{\alpha,s}^*} \, dx,$$

and

$$(5.39) \quad \begin{aligned} \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha} dx &= \left(\frac{\beta}{c}\right)^{-\frac{2(N+\alpha)}{N}} \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx \\ &\geq \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx. \end{aligned}$$

Thus,  $\tilde{u} \in S_r(c)$ . Moreover, by  $P_{2_{\alpha,s}^*}(\bar{u}) = 0$ , (5.37) and (5.38), we have

$$\begin{aligned} \|(-\Delta)^{\frac{s}{2}} \tilde{u}\|_2^2 &= \|(-\Delta)^{\frac{s}{2}} \bar{u}\|_2^2 = \frac{\mu}{2s} \left(N - \frac{N+\alpha}{q}\right) \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^q) |\bar{u}|^q dx \\ &= \frac{\mu}{2s} \left(N - \frac{N+\alpha}{q}\right) \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^q) |\tilde{u}|^q dx, \end{aligned}$$

which implies that  $\tilde{u} \in \mathcal{P}_{2_{\alpha,s}^*}^r(c)$ . According to Proposition 2.7 and (5.36), we have

$$\begin{aligned} &\frac{N-2s}{2} \|(-\Delta)^{\frac{s}{2}} \bar{u}\|_2^2 + \frac{\bar{\lambda}N}{2} \|\bar{u}\|_2^2 \\ &= \frac{\gamma N}{2} \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx + \frac{\mu(N+\alpha)}{2q} \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^q) |\bar{u}|^q dx, \end{aligned}$$

which shows that

$$(5.40) \quad \begin{aligned} &\frac{N-2s}{2} \|(-\Delta)^{\frac{s}{2}} \tilde{u}\|_2^2 + \frac{\bar{\lambda}N}{2} \left(\frac{\beta}{c}\right)^2 \|\tilde{u}\|_2^2 \\ &= \frac{\gamma N}{2} \left(\frac{\beta}{c}\right)^{\frac{2(N+\alpha)}{N}} \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha} dx + \frac{\mu(N+\alpha)}{2q} \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^q) |\tilde{u}|^q dx, \end{aligned}$$

where we have used (5.37), (5.38) and (5.39). Then, by (5.37)–(5.40) and the Fatou's lemma, we have

$$\begin{aligned} m_{2_{\alpha,s}^*}(c) &\leq E_{2_{\alpha,s}^*}(\tilde{u}) \\ &= \frac{1}{2} \|(-\Delta)^{\frac{s}{2}} \tilde{u}\|_2^2 - \frac{\gamma N}{2(N+\alpha)} \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha} dx - \frac{\mu}{2q} \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^q) |\tilde{u}|^q dx \\ &= \frac{\gamma N}{2} \left[ \frac{1}{N-2s} \left(\frac{\beta}{c}\right)^{\frac{2(N+\alpha)}{N}} - \frac{1}{N+\alpha} \right] \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha} dx \\ &\quad + \frac{\mu(2s+\alpha)}{2q(N-2s)} \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^q) |\tilde{u}|^q dx - \frac{\bar{\lambda}N}{2(N-2s)} \left(\frac{\beta}{c}\right)^2 \|\tilde{u}\|_2^2 \\ &= \frac{\gamma N}{2} \left[ \frac{1}{N-2s} - \frac{1}{N+\alpha} \left(\frac{\beta}{c}\right)^{-\frac{2(N+\alpha)}{N}} \right] \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx \\ &\quad + \frac{\mu(2s+\alpha)}{2q(N-2s)} \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^q) |\bar{u}|^q dx - \frac{\bar{\lambda}N}{2(N-2s)} \beta^2 \end{aligned}$$

$$\begin{aligned}
&= \frac{\gamma N}{2} \left( \frac{1}{N-2s} - \frac{1}{N+\alpha} \right) \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx \\
&\quad + \frac{\mu(2s+\alpha)}{2q(N-2s)} \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^q) |\bar{u}|^q dx - \frac{\bar{\lambda}N}{2(N-2s)} \beta^2 \\
&\quad + \frac{\gamma N}{2(N+\alpha)} \left[ 1 - \left( \frac{\beta}{c} \right)^{-\frac{2(N+\alpha)}{N}} \right] \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx \\
&\leq \liminf_{n \rightarrow \infty} \left[ \frac{\gamma N}{2} \left( \frac{1}{N-2s} - \frac{1}{N+\alpha} \right) \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx \right. \\
&\quad \left. + \frac{\mu(2s+\alpha)}{2q_n(N-2s)} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{q_n}) |u_n|^{q_n} dx \right] - \frac{\bar{\lambda}N}{2(N-2s)} \beta^2 \\
&\quad + \frac{\gamma N}{2(N+\alpha)} \left[ 1 - \left( \frac{\beta}{c} \right)^{-\frac{2(N+\alpha)}{N}} \right] \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx.
\end{aligned}$$

Moreover, by the Pohozaev identity in (2.8), we know that

$$\begin{aligned}
&\frac{\gamma N}{2} \left( \frac{1}{N-2s} - \frac{1}{N+\alpha} \right) \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx \\
&\quad + \frac{\mu(2s+\alpha)}{2q_n(N-2s)} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{q_n}) |u_n|^{q_n} dx \\
&= \frac{\gamma N}{2(N-2s)} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx + \frac{\mu(N+\alpha)}{2q_n(N-2s)} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{q_n}) |u_n|^{q_n} dx \\
&\quad - \frac{\gamma N}{2(N+\alpha)} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx - \frac{\mu}{2q_n} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{q_n}) |u_n|^{q_n} dx \\
&= \frac{1}{2} \|(-\Delta)^{\frac{s}{2}} u_n\|_2^2 + \frac{\lambda_n \cdot N}{2(N-2s)} \|u_n\|_2^2 - \frac{\gamma N}{2(N+\alpha)} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{2\alpha}) |u_n|^{2\alpha} dx \\
&\quad - \frac{\mu}{2q_n} \int_{\mathbb{R}^N} (I_\alpha * |u_n|^{q_n}) |u_n|^{q_n} dx \\
&= E_{q_n}(u_n) + \frac{\lambda_n \cdot N}{2(N-2s)} \|u_n\|_2^2.
\end{aligned}$$

Therefore, from the above, we can conclude that

$$\begin{aligned}
m_{2_{\alpha,s}^*}(c) &\leq E_{2_{\alpha,s}^*}(\tilde{u}) \\
&\leq \liminf_{n \rightarrow \infty} E_{q_n}(u_n) + \frac{\bar{\lambda}N}{2(N-2s)} (c^2 - \beta^2) \\
&\quad + \frac{\gamma N}{2(N+\alpha)} \left[ 1 - \left( \frac{\beta}{c} \right)^{-\frac{2(N+\alpha)}{N}} \right] \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx \\
&\leq \limsup_{n \rightarrow \infty} m_{q_n}(c) \leq m_{2_{\alpha,s}^*}(c),
\end{aligned}$$

provided that

$$\frac{\bar{\lambda}N}{2(N-2s)}(c^2 - \beta^2) + \frac{\gamma N}{2(N+\alpha)} \left[ 1 - \left( \frac{\beta}{c} \right)^{-\frac{2(N+\alpha)}{N}} \right] \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx \leq 0.$$

If  $\beta = c$ , then we can clearly obtain that  $m_{2_{\alpha,s}^*}(c)$  is achieved by  $\tilde{u}$  for all  $\gamma > 0$ . If  $\beta < c$ , then  $m_{2_{\alpha,s}^*}(c)$  is achieved by  $\tilde{u}$  when

$$(5.41) \quad \gamma \geq \frac{\bar{\lambda}(c^2 - \beta^2)(N + \alpha)}{(N - 2s) \left( \left( \frac{\beta}{c} \right)^{-\frac{2(N+\alpha)}{N}} - 1 \right) \int_{\mathbb{R}^N} (I_\alpha * |\bar{u}|^{2\alpha}) |\bar{u}|^{2\alpha} dx}.$$

Note that

$$\gamma > \left( \frac{\alpha}{\alpha + 2s} \right)^{\frac{\alpha}{N}} S_\alpha^{\frac{N+\alpha}{N}} \left( \frac{C_\alpha^{N-2s}}{S^{N+\alpha}} \right)^{\frac{\alpha}{N(2s+\alpha)}} \mu^{\frac{\alpha(N-2s)}{N(2s+\alpha)}},$$

then there exists  $\tilde{\mu} \geq \hat{\mu}$  such that (5.41) holds. Thus, for every  $\mu > \tilde{\mu}$ , the infimum  $m_{2_{\alpha,s}^*}(c)$  is achieved.  $\square$

*Proof of Theorem 1.6.* According to Lemma 5.11 we know that  $m_{2_{\alpha,s}^*}(c)$  is achieved by  $\tilde{u}$ , then there exist  $\tilde{\lambda}, \eta \in \mathbb{R}$  such that

$$\langle E'_{2_{\alpha,s}^*}(\tilde{u}), \varphi \rangle + \tilde{\lambda} \int_{\mathbb{R}^N} \tilde{u} \varphi dx = \eta \langle P'_{2_{\alpha,s}^*}(\tilde{u}), \varphi \rangle \quad \text{for every } \varphi \in H_r^s(\mathbb{R}^N).$$

It follows that  $\tilde{u}$  satisfies

$$(5.42) \quad \begin{aligned} & (-\Delta)^s \tilde{u} + \tilde{\lambda} \tilde{u} - \gamma (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha-2} \tilde{u} - \mu (I_\alpha * |\tilde{u}|^{2_{\alpha,s}^*}) |\tilde{u}|^{2_{\alpha,s}^*-2} \tilde{u} \\ & = \eta [2(-\Delta)^s \tilde{u} - 2\mu \cdot 2_{\alpha,s}^* (I_\alpha * |\tilde{u}|^{2_{\alpha,s}^*}) |\tilde{u}|^{2_{\alpha,s}^*-2} \tilde{u}], \end{aligned}$$

that is,

$$(1 - 2\eta)(-\Delta)^s \tilde{u} + \tilde{\lambda} \tilde{u} = \gamma (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha-2} \tilde{u} + \mu (1 - 2\eta \cdot 2_{\alpha,s}^*) (I_\alpha * |\tilde{u}|^{2_{\alpha,s}^*}) |\tilde{u}|^{2_{\alpha,s}^*-2} \tilde{u}.$$

Similar to Lemma 2.8,  $\tilde{u}$  satisfies the following identity

$$(1 - 2\eta) \| (-\Delta)^{\frac{s}{2}} \tilde{u} \|_2^2 = \mu (1 - 2\eta \cdot 2_{\alpha,s}^*) \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2_{\alpha,s}^*}) |\tilde{u}|^{2_{\alpha,s}^*} dx.$$

Together with  $\tilde{u} \in \mathcal{P}_{2_{\alpha,s}^*}^r(c)$ , we can infer that

$$2\mu\eta(2_{\alpha,s}^* - 1) = 0,$$

which implies that  $\eta = 0$ . Moreover, it follows from (5.42) and  $P_{2_{\alpha,s}^*}(\tilde{u}) = 0$  that

$$\begin{aligned} \tilde{\lambda} &= \frac{1}{c^2} \left[ -\| (-\Delta)^{\frac{s}{2}} \tilde{u} \|_2^2 + \gamma \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha} dx + \mu \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2_{\alpha,s}^*}) |\tilde{u}|^{2_{\alpha,s}^*} dx \right] \\ &= \frac{\gamma}{c^2} \int_{\mathbb{R}^N} (I_\alpha * |\tilde{u}|^{2\alpha}) |\tilde{u}|^{2\alpha} dx \leq \gamma S_\alpha^{-2\alpha} c^{\frac{2\alpha}{N}}. \end{aligned}$$

Thus,  $\tilde{u} \in H^s(\mathbb{R}^N)$  is a normalized ground state solution to the problem (1.1) and (1.2), and the corresponding Lagrange multiplier  $\tilde{\lambda}$  satisfies  $0 < \tilde{\lambda} \leq \gamma S_\alpha^{-2\alpha} c^{\frac{2\alpha}{N}}$ . Moreover, by Lemma 5.3(ii),  $\tilde{u}$  is positive and radially symmetric. We complete the proof.  $\square$

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