

Research Article

Parameter Estimation for Traffic Noise Models Using a Harmony Search Algorithm

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A technique has been developed for predicting road traffic noise for environmental assessment, taking into account traffic volume as well as road surface conditions. The ASJ model (ASJ Prediction Model for Road Traffic Noise, 1999), which is based on the sound power level of the noise emitted by the interaction between the road surface and tires, employs regression models for two road surface types: dense-graded asphalt (DGA) and permeable asphalt (PA). However, these models are not applicable to other types of road surfaces. Accordingly, this paper introduces a parameter estimation procedure for ASJ-based noise prediction models, utilizing a harmony search (HS) algorithm. Traffic noise measurement data for four different vehicle types were used in the algorithm to determine the regression parameters for several road surface types. The parameters of the traffic noise prediction models were evaluated using another measurement set, and good agreement was observed between the predicted and measured sound power levels.

1. Introduction

It is important to evaluate the primary impact of traffic noise for several different road surfaces in order to predict the interactive noise between road surfaces and vehicle tires. A number of noise prediction models [1–6] have been developed for environmental estimation of traffic noise levels in terms of vehicle and pavement types. For example, the vehicle types in the ASJ model [1, 2] are large vehicles, medium vehicles, light trucks, and cars, and the pavement types are dense-graded asphalt (DGA) and permeable asphalt (PA). Thus, the ASJ traffic noise prediction model is restricted to four vehicle types, varying vehicle speeds, and two pavement types.

In order to expand the applicability of the ASJ model, the present paper introduces a parameter estimation procedure based on a harmony search (HS) algorithm as follows: (a) the traffic noise for a targeted road surface is measured, (b) the parameters of the noise prediction model are estimated

via the HS algorithm, and (c) the resulting coefficients are evaluated using another set of measurements (consisting of vehicle speeds and vehicle types) for a different traffic volume on the targeted road surface. To validate the proposed traffic noise prediction technique, traffic noise measurement sets from three different surface types were used in this study: stone mastic asphalt surfaces (SMA), 30 mm transversely tined Portland cement concrete surfaces (30 mm trans.), and 18 mm longitudinally tined Portland cement concrete surfaces (18 mm long.), as shown in Figure 1. The measurement site is a 7.7 km long, 2-lane highway along the side of the south bound of Jungbu inland highway in South Korea. This measurement section includes both asphalt and Portland cement concrete pavements.

This paper is organized as follows. Section 2 describes the ASJ model and vehicle characterization. Section 3 explains the application of the HS algorithm to estimate the parameters of ASJ-based noise prediction models, and Section 4 presents the conclusions of this research.

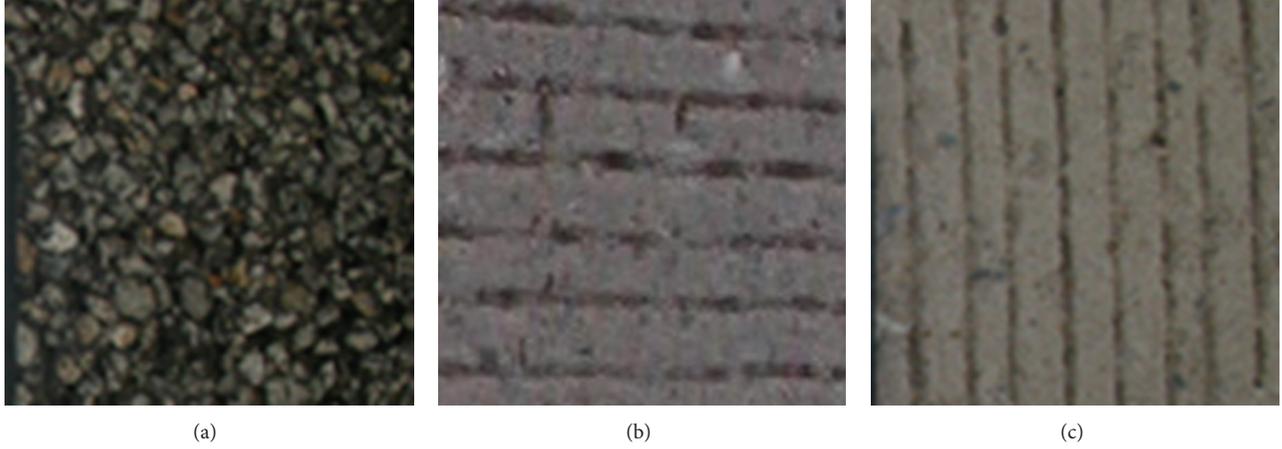


FIGURE 1: Pavement surface types: (a) SMA, (b) 30 mm trans., and (c) 18 mm long.

2. ASJ Model

The Acoustic Society of Japan (ASJ) published the ASJ model [1, 2] for calculating road traffic noise. The procedure involves calculating the noise level generated by traffic as well as the attenuation during noise propagation. The octave-band power spectrum for nominal midband frequencies (63 Hz to 8 kHz) can be generated according to the ISO 9613-2 standard [7]. The ASJ model classifies vehicles into four types listed in Table 1.

The A-weighted overall sound power levels (L_{WA}) of the noise emitted by interactions between vehicles and pavement are listed in Table 2 for a dense-graded asphalt (DGA) surface. In terms of nominal midband frequencies, the individual A-weighted sound power level for each octave band ($L_{WA,i}$) is calculated as follows:

$$L_{WA,i} = L_{WA} + \Delta L_i + \Delta L_{A,i} + \Delta L_{adj}, \quad (1)$$

where L_{WA} is the overall sound power level (dB) and ΔL_i is the relative level (dB) at the i th nominal mid-band frequency given by

$$\Delta L_i = -10 \log_{10} \left\{ 1 + \left(\frac{f_i}{2000} \right)^2 \right\} - 2.5 \log_{10} \left(\frac{f_i}{1000} \right). \quad (2)$$

$\Delta L_{A,i}$ is a factor of the standard A-weighting frequency given by the following equation:

$$\begin{aligned} \Delta L_{A,i} = & 2.0 + 20 \log_{10} \\ & \times \left[(12200^2 \cdot f_i^4) \right. \\ & \times \left((f_i^2 + 20.6^2)(f_i^2 + 12200^2) \right. \\ & \left. \left. \times \sqrt{(f_i^2 + 107.7^2)(f_i^2 + 737.9^2)} \right)^{-1} \right], \end{aligned} \quad (3)$$

where f_i is the nominal mid-band frequency. Finally, ΔL_{adj} is the correction factor defined by

$$\Delta L_{adj} = -10 \log_{10} \left\{ \sum_{i=1}^8 10^{0.1(\Delta L_i + \Delta L_{A,i})} \right\}. \quad (4)$$

2.1. Equivalent Sound Power Level for a Road. The A-weighted sound power level emitted by a specific type of vehicle moving along a road over a specified time period, L_{WAT} , can be calculated via the following equation:

$$L_{WAT} = L_{WA} + 10 \log_{10} \left(\frac{3.6\Delta l}{V} \cdot \frac{N}{3600} \right), \quad (5)$$

where L_{WA} is the basic sound power level (dB) emitted by the vehicle (listed in Table 2), Δl is the length of the road segment (in meters), V is the mean speed for the vehicle type (in km/h), and N is the hourly traffic flow for the vehicle type (in vehicles/h). The equivalent sound power level, $L_{eq(WA)}$, emitted by all vehicles moving along the road can then be calculated as follows:

$$L_{eq(WA)} = 10 \log_{10} \left\{ \sum_i 10^{L_{WAT,i}/10} \right\}, \quad (6)$$

where $L_{WAT,i}$ is the A-weighted sound power level for each vehicle type. Here, $L_{WAT,1}$, $L_{WAT,2}$, $L_{WAT,3}$, and $L_{WAT,4}$ are the A-weighted sound power levels for a large vehicle, a medium vehicle, a light truck, and a car, respectively, as listed in Table 2. Furthermore, the attenuation (e.g., geometrical divergence, atmospheric absorption, ground effect, and screening structures) was calculated based on ISO 9613-2 [7].

3. Application of the Harmony Search Algorithm

3.1. Harmony Search Algorithm. This section describes the procedure for estimating the parameters of noise prediction models, using a harmony search (HS) algorithm that employs a heuristic algorithm based on an analogy with natural

TABLE 1: Vehicle types used in this study.

Type		Specification
Heavy vehicle	Large vehicle	(i) Trucks equipped with three or more axles, which are greater than 8 tons or above 5-ton cargo trailers (ii) Buses providing 30 or more passengers (iii) Large special purpose vehicles
	Medium vehicle	(i) Trucks equipped with two axles (ii) Buses providing less than 30 passengers
Light vehicle	Light truck	Light trucks equipped with 50 to 2000 cc engine
	Car	Car designed for the transportation of less than and equal to 10 passenger.

TABLE 2: A-weighted sound power levels (L_{WA}) in dB for a dense-graded asphalt (DGA) surface.

Vehicle types	Steady traffic flow (40 km/h to 140 km/h)
Large vehicle	$54.4 + 30\log_{10}V^*$
Medium vehicle	$51.5 + 30\log_{10}V$
Light truck	$47.6 + 30\log_{10}V$
Car	$46.4 + 30\log_{10}V$

* V is a velocity.

phenomena [8–12]. The detailed procedure for applying a harmony search consists of four steps as follows.

- (1) The algorithm parameters are specified. These include the harmony memory size (HMS), initialized as the number of solution vectors in the harmony memory (HM), the harmony memory consideration rate (HMCR, between 0 and 1), the pitch adjustment rate (PAR, between 0 and 1), and the maximum number of improvisations (or stopping criterion), which terminates the HS program. The optimization problem is specified as follows:

$$\text{Minimize } f(X) \text{ subject to } x_i \in X_i = 1, 2, \dots, N, \quad (7)$$

where $f(X)$ is the objective function, X is the set of decision variables x_i , N is the number of decision variables, and X_i is the possible range of values for the i th decision variable; that is, $X_{Li} \leq X_i \leq X_{Ui}$, where X_{Li} and X_{Ui} are the respective lower and upper bounds for the i th decision variable. To estimate the parameters of a noise prediction model, the following minimization function can be used:

$$\begin{aligned} &\text{Minimize } |L_{P\text{-eq(WA)}} - L_{M\text{-eq(WA)}}| \\ &\text{subject to } x_i \in X_i = 1, 2, \dots, N, \end{aligned} \quad (8)$$

where $L_{P\text{-eq(WA)}}$ is the predicted equivalent sound power level of (5), which can be calculated as follows:

$$\begin{aligned} &L_{P\text{-eq(WA)}} \\ &= 10 \log_{10} \left[10^{0.1\{L_{WAT,1} + L_{WAT,2} + L_{WAT,3} + L_{WAT,4}\}} \right], \end{aligned} \quad (9)$$

where $L_{WAT,1}$, $L_{WAT,2}$, $L_{WAT,3}$, and $L_{WAT,4}$ are the A-weighted sound power levels for a large vehicle, a medium vehicle, a light truck, and a car, respectively. $L_{M\text{-eq(WA)}}$ is the measured equivalent sound power level obtained from previous research [13, 14]. In this optimization problem, the A-weighted sound power levels can be defined as given in Table 3. Thus, the coefficients of x_1 , x_2 , x_3 , and x_4 must be determined via the HS algorithm. The slope is fixed in the ASJ models for both surface types (DGA and PA); therefore, the slope given in Table 3 is fixed at 30.

- (2) The HM matrix is initially filled with randomly generated solution vectors up to the HMS, together with the corresponding function values of the random vectors $f(X)$:

$$\text{HM} = \begin{bmatrix} x_1^1 & x_2^1 & x_3^1 & x_4^1 & f(X^1) \\ x_1^2 & x_2^2 & x_3^2 & x_4^2 & f(X^2) \\ \vdots & \vdots & \dots & \vdots & \vdots \\ x_1^{\text{HMS}} & x_2^{\text{HMS}} & x_3^{\text{HMS}} & x_4^{\text{HMS}} & f(X^{\text{HMS}}) \end{bmatrix}. \quad (10)$$

- (3) A column vector of the newly generated harmony, $X' = (x'_1 x'_2 x'_3 x'_4)$, is improvised utilizing the following three mechanisms: (a) random selection, (b) memory consideration, and (c) pitch adjustment. In the random selection, the value of each decision variable, x'_i , in the column vector is randomly chosen within the range of values with a probability of (1-HMCR). HMCR (which is between 0 and 1) is the rate at which a single value is chosen from the historical values stored in the HM. The value of each decision variable selected by memory consideration is examined in terms of pitch adjustment. This operation uses the PAR parameter (which is the rate of the necessary pitch adjustment according to the neighboring pitches) with a probability of HMCR \times

TABLE 3: A-weighted sound power levels (L_{WA}) in dB for the different surface types.

Vehicle types	Steady traffic flow (40 km/h to 140 km/h)
Large vehicle	$x_1 + 30\log_{10} V^*$
Medium vehicle	$x_2 + 30\log_{10} V$
Light truck	$x_3 + 30\log_{10} V$
Car	$x_4 + 30\log_{10} V$

* V is a velocity.

TABLE 4: Vehicle velocities and hourly traffic flows.

Vehicle types	Average velocities	Hourly traffic flows
Large vehicle	90 km/h	48 vehicles/h
Medium vehicle	95 km/h	40 vehicles/h
Light truck	97 km/h	96 vehicles/h
Car	101 km/h	208 vehicles/h

PAR. Pitch adjustment is applied to each variable as follows:

$$x'_i \leftarrow \begin{cases} x'_i + rand \times bw, \\ \quad \text{with a probability of } HMCR \times PAR \times 0.5 \\ x'_i - rand \times bw, \\ \quad \text{with a probability of } HMCR \times PAR \times 0.5 \\ x'_i, \text{ with a probability of } HMCR \times (1 - PAR). \end{cases} \quad (11)$$

If the newly generated column vector is better than the worst harmony in the HM, based on evaluation of the objective function, the newly generated column vector is included in the HM, and the existing worst harmony is excluded from the HM.

- (4) If the stopping criterion (or maximum number of improvisations) is satisfied, the computation is terminated. Otherwise, Steps 3 and 4 are repeated.

3.2. Application to Parameter Estimation for Noise Prediction Models. In order to estimate the parameters of noise prediction models based on the objective function of (8), noise measurements for three different road surfaces were obtained from previous research, which was conducted on a test track [13, 14]. The vehicle velocities and hourly traffic flows are listed in Table 4.

To apply the HS algorithm to parameter estimation for the noise prediction models, the four coefficients were determined for each road surface type (stone mastic asphalt (SMA) surface, 30 mm transversely tined Portland cement concrete surface (30 mm trans.), and 18-mm longitudinally tined Portland cement concrete surface (18 mm long.)), as shown in Figure 2 and Table 5, based on the training data from Table 4. In this way, the coefficients of the noise prediction models, which are dependent on the road surface type, can be updated via the HS algorithm. As a result, noise prediction models can be provided for various surface types by using the HS algorithm to update the ASJ model equations.

Another set of testing data (given in Table 6) was used to evaluate whether or not the updated noise prediction models

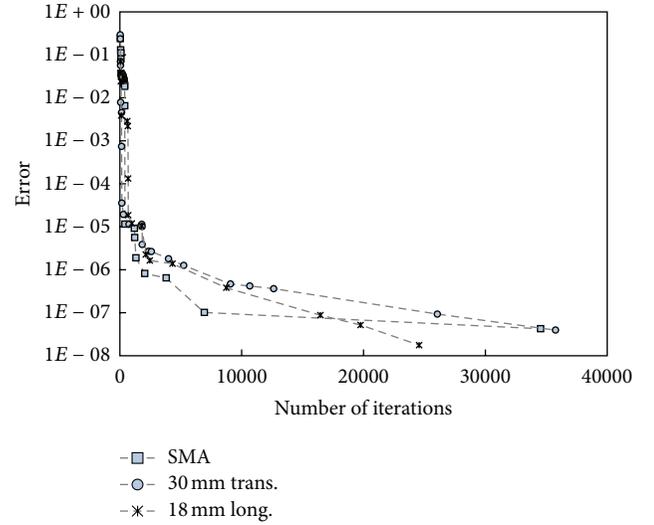


FIGURE 2: Minimizing the error function of (8) through the HS algorithm.

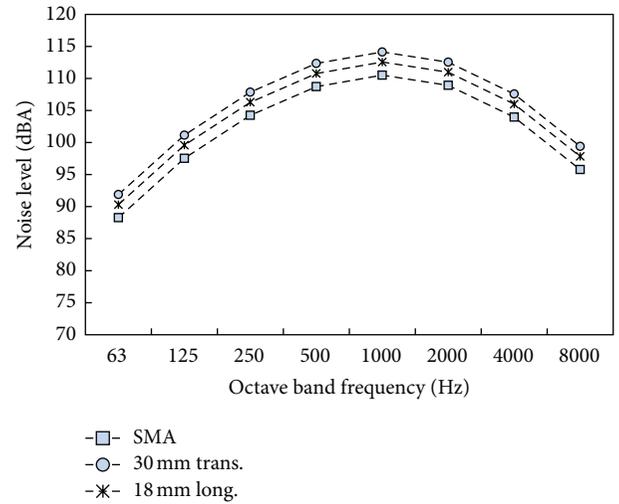


FIGURE 3: Octave band frequency versus noise level.

(with the four coefficients estimated by the HS algorithm) provided results consistent with measured noise levels. The predictions and measurements are compared in Table 7; good agreement was noted for all three surface types. Using the original ASJ model, the prediction value is resulted in a same noise level regardless of surface types and in bad agreement when compared with the measured noise levels.

Finally, the A-weighted sound power levels for the individual octave bands were estimated for the SMA, 30 mm trans., and 18 mm long. surface types, utilizing the parameters estimated via the HS algorithm and (1). For example, Figure 3 shows the A-weighted sound power levels for the octave bands for the three different surface types in the case of the large vehicle speeding at 80 km/h.

TABLE 5: Determination of the model coefficients via the HS algorithm.

Surface types	Large vehicle (x_1 in Table 3)	Medium vehicle (x_2 in Table 3)	Light truck (x_3 in Table 3)	Car (x_4 in Table 3)
SMA	58.050	53.693	48.456	45.787
30 mm trans.	61.669	58.473	51.246	46.020
18 mm long.	60.097	54.428	50.095	45.360

TABLE 6: Vehicle velocities and hourly traffic flows used as evaluation data.

Vehicle types	Average velocities	Hourly traffic flows
Large vehicle	85 km/h	35 vehicles/h
Medium vehicle	90 km/h	58 vehicles/h
Light truck	95 km/h	87 vehicles/h
Car	103 km/h	198 vehicles/h

TABLE 7: Comparison of predicted and measured traffic noise levels.

Surface types	Predicted levels	Measured levels	ASJ model prediction
SMA	96.1 dB	96.2 dB	94.4 dB
30 mm trans.	99.5 dB	99.7 dB	94.4 dB
18 mm long.	97.3 dB	97.6 dB	94.4 dB

4. Conclusions

In this study, it was shown that the optimization problem related to updating the noise prediction models for several surface types could be solved using an HS algorithm process. The process involves (a) obtaining measurements for different road surfaces, (b) estimating the coefficients of the noise prediction models using this measurement set as training data, and (c) evaluating the estimated coefficients using another measurement set as testing data. When this procedure was utilized, an evaluation of the parameters of the traffic noise prediction model yielded good agreement between predicted and measured sound power levels.

Conflict of Interests

The authors of the paper do not have a direct financial relationship with the commercial identities mentioned in this paper.

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