

## Research Article

# Uniform Estimates for Damped Radon Transform on the Plane

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Uniform improving estimates of damped plane Radon transforms in Lebesgue and Lorentz spaces are studied under mild assumptions on the rotational curvature. The results generalize previously known estimates. Also, they extend sharp estimates known for convolution operators with affine arclength measures to the semitranslation-invariant case.

## 1. Introduction

Let  $\mathcal{D}$  be a domain in  $\mathbb{R}^2$  and let

$$\begin{aligned} \mathcal{D}^* &:= \{x_1 \in \mathbb{R} : (x_1, y) \in \mathcal{D} \text{ for some } y \in \mathbb{R}\}, \\ \mathcal{D}_* &:= \{y \in \mathbb{R} : (x_1, y) \in \mathcal{D} \text{ for some } x_1 \in \mathbb{R}\}. \end{aligned} \quad (1)$$

For  $x_1 \in \mathcal{D}^*$  and  $y \in \mathcal{D}_*$ , we write

$$\begin{aligned} \mathcal{D}_{x_1} &:= \{z \in \mathbb{R} : (x_1, z) \in \mathcal{D}\}, \\ \mathcal{D}^y &:= \{z \in \mathbb{R} : (z, y) \in \mathcal{D}\}. \end{aligned} \quad (2)$$

To avoid technical difficulties, we assume that, for  $x_1 \in \mathcal{D}^*$  and  $y \in \mathcal{D}_*$ ,  $\mathcal{D}_{x_1}$  and  $\mathcal{D}^y$  are finite intervals throughout the paper. For a  $C^2$  function  $\varphi$  and a measurable function  $\omega$  defined on  $\mathcal{D}$ , we consider the damped Radon transform  $\mathcal{R}_{\varphi, \omega}$  defined by

$$\mathcal{R}_{\varphi, \omega} f(x_1, x_2) := \int_{\mathcal{D}_{x_1}} f(y, x_2 + \varphi(x_1, y)) \omega(x_1, y) dy \quad (3)$$

for  $f \in C_0^\infty(\mathbb{R}^2)$ . Mapping properties of such operators in various function spaces have been studied by many authors [1–9]. Sharper estimates are available in translation-invariant cases where  $\varphi(x_1, y) = \phi(x_1 - y)$  with a  $C^2$  function  $\phi$  defined on an interval [10, 11] and it is widely known that the so-called affine arclength measure introduced by Drury [12] is better suited in obtaining degeneracy independent

results in many interesting cases. Analogous quantity in nontranslation-invariant situation is rotational curvature, which is given by  $\varphi''_{12}(x_1, y) = \partial^2 \varphi / \partial x_1 \partial y$  in this setting. In this paper, we are interested in uniform optimal improving properties in Lebesgue spaces and Lorentz spaces. The results will generalize known estimates for damped Radon transform and convolution operators with affine arclength measure on plane curves.

Before we state the results, we introduce certain conditions on functions defined on intervals. For an interval  $J_1$  in  $\mathbb{R}$ , a locally integrable function  $\Phi : J_1 \rightarrow \mathbb{R}^+$ , and a positive real number  $A$ , we let

$$\begin{aligned} \mathfrak{G}(\Phi, A) &:= \left\{ \omega : J_1 \rightarrow \mathbb{R}^+ \mid \sqrt{\omega(s_1)\omega(s_2)} \right. \\ &\leq \frac{A}{s_2 - s_1} \int_{s_1}^{s_2} \Phi(s) ds \end{aligned} \quad (4)$$

whenever  $s_1 < s_2$  and  $[s_1, s_2] \subset J_1$ ,

$$\mathcal{E}_1(A) := \{ \Phi : J \rightarrow \mathbb{R}^+ \mid \Phi \in \mathfrak{G}(\Phi, A) \}.$$

An interesting subclass of  $\mathcal{E}_1(2A)$  is the collection  $\mathcal{E}_2(A)$ , introduced in [13], of functions  $\Phi : J \rightarrow \mathbb{R}^+$  such that

- (1)  $\Phi$  is monotone,
- (2) whenever  $s_1 < s_2$  and  $[s_1, s_2] \subset J$ ,

$$\sqrt{\Phi(s_1)\Phi(s_2)} \leq A\Phi\left(\frac{s_1 + s_2}{2}\right). \quad (5)$$

In connection with the problems related to convolution operators with affine arclength measure on curves in the plane, the author of [10] proved the following.

**Theorem 1.** *Let  $J$  be an open interval in  $\mathbb{R}$ , and let  $\phi : J \rightarrow \mathbb{R}$  be a  $C^2$  function such that  $\phi'' \geq 0$ . Let  $\omega : J \rightarrow \mathbb{R}$  be a nonnegative measurable function. Suppose that there exists a positive constant  $A$  such that  $\omega \in \mathfrak{G}(\phi'', A)$ ; that is,*

$$\omega(s_1)^{1/2} \omega(s_2)^{1/2} \leq \frac{A}{s_2 - s_1} \int_{s_1}^{s_2} \phi''(v) dv \quad (6)$$

holds whenever  $s_1 < s_2$  and  $[s_1, s_2] \subset J$ . Let  $\mathcal{S}_\phi$  be the operator given by

$$\mathcal{S}_\phi f(x_1, x_2) = \int_J f(x_1 - s, x_2 - \phi(s)) \omega^{1/3}(s) ds \quad (7)$$

for  $f \in C_0^\infty(\mathbb{R}^2)$ . Then, there exists a constant  $C$  that depends only on  $A$  such that

$$\|\mathcal{S}_\phi f\|_{L^3(\mathbb{R}^2)} \leq C \|f\|_{L^{3/2}(\mathbb{R}^2)} \quad (8)$$

holds uniformly in  $f \in C_0^\infty(\mathbb{R}^2)$ .

Regarding the endpoint Lorentz space estimates, the following result due to Oberlin is available.

**Theorem 2** (Oberlin [11]). *Let  $\phi$  be a  $C^2$  function on an interval  $J$  such that  $\phi'' > 0$  on  $J$  and  $\phi'' \in \mathcal{E}_2(A)$ . Then,  $\mathcal{S}_\phi$  defined in (7) maps  $L^{3/2,3}(\mathbb{R}^2)$  boundedly to  $L^3(\mathbb{R}^2)$  with the operator norm depending only on  $A$ .*

In this paper, the author generalizes the aforementioned theorems to damped Radon transforms where the condition on the affine arclength measure is replaced by that on the rotational curvature. This paper is organized as follows: in Section 2, uniform estimate in Lebesgue spaces is studied, and in Section 3, endpoint Lorentz space estimate will be given based on an approach similar to Oberlin's approach [11, 14].

## 2. Uniform Estimates on the Plane

**Theorem 3.** *Let  $\varphi$  be a  $C^2$  function on  $\mathcal{D}$  such that  $\varphi''_{12} > 0$ , and let  $\omega$  be a nonnegative measurable function on  $\mathcal{D}$ . Suppose that there exists a positive constant  $A$  such that, for each  $x_1 \in \mathcal{D}^*$ ,  $\omega(x_1, \cdot) \in \mathfrak{G}(\varphi''_{12}(x_1, \cdot), A)$ ; that is,*

$$\omega(x_1, y_1)^{1/2} \omega(x_1, y_2)^{1/2} \leq \frac{A}{y_2 - y_1} \int_{y_1}^{y_2} \varphi''_{12}(x_1, z) dz \quad (9)$$

holds whenever  $y_1 < y_2$  and  $[y_1, y_2] \subset \mathcal{D}_{x_1}$ . Let  $\mathcal{R}_{\varphi, \omega}$  be the operator given by (3). Then, there exists a constant  $C$  that depends only on  $A$  such that

$$\|\mathcal{R}_{\varphi, \omega} f\|_{L^3(\mathbb{R}^2)} \leq C \|f\|_{L^{3/2}(\mathbb{R}^2)} \quad (10)$$

holds uniformly in  $f \in C_0^\infty(\mathbb{R}^2)$ .

*Proof of Theorem 3.* Our proof is based on the method introduced by Drury and Guo [15], which was later refined by Oberlin [16] and the author of [10]. We have

$$\begin{aligned} & \|\mathcal{R}_{\varphi, \omega} f\|_3^3 \\ &= \int_{\mathbb{R}} \int_{\mathcal{D}^*} \prod_{j=1}^3 \left( \int_{\mathcal{D}_{x_1}} f(y_1, x_2 + \varphi(x_1, y_j)) \right. \\ & \quad \left. \times \omega^{1/3}(x_1, y_j) dy_j \right) dx_1 dx_2 \\ &= \iiint_{\mathcal{D}_*} [\mathcal{E}(f(y_1, \cdot), f(y_2, \cdot), f(y_3, \cdot))] \\ & \quad \times (y_1, y_2, y_3) dy_1 dy_2 dy_3, \end{aligned} \quad (11)$$

where for  $y_1, y_2, y_3 \in \mathcal{D}_*$  and suitable functions  $g_1, g_2, g_3$  defined on  $\mathbb{R}$ ,

$$\begin{aligned} & [\mathcal{E}(g_1, g_2, g_3)](y_1, y_2, y_3) \\ &:= \int_{\mathbb{R}} \int_{\mathcal{D}^{y_1, y_2, y_3}} \prod_{j=1}^3 [g_j(x_2 + \varphi(x_1, y_j)) \omega^{1/3}(x_1, y_j)] dx_1 dx_2 \end{aligned} \quad (12)$$

with  $\mathcal{D}^{y_1, y_2, y_3} := \bigcap_{j=1}^3 \mathcal{D}^{y_j}$ . As in the proof of Theorem 2.1 in [10], one can show that the estimate

$$\begin{aligned} & |[\mathcal{E}(g_1, g_2, g_3)](y_1, y_2, y_3)| \\ & \leq \frac{C \|g_1\|_{L^{3/2}(\mathbb{R})} \|g_2\|_{L^{3/2}(\mathbb{R})} \|g_3\|_{L^{3/2}(\mathbb{R})}}{|(y_1 - y_2)(y_1 - y_3)(y_2 - y_3)|^{1/3}} \end{aligned} \quad (13)$$

holds uniformly in  $g_1, g_2, g_3, y_1, y_2$ , and  $y_3$ . Combining this with Proposition 2.2 in the work by Christ [17] finishes the proof.  $\square$

*Remark 4.* The special case in which  $\omega = \varphi''_{12}$  provides a uniform estimate for the damped plane Radon transform. We write

$$\mathcal{R}_\varphi f(x_1, x_2) = \int_{\mathcal{D}_{x_1}} f(y, x_2 + \varphi(x_1, y)) \varphi''_{12}(x_1, x_2, y)^{1/3} dy \quad (14)$$

for  $f \in C_0^\infty(\mathbb{R}^2)$ .

**Corollary 5.** *Let  $\varphi : \mathcal{D} \rightarrow \mathbb{R}$  be a  $C^2$  function such that  $\varphi''_{12} > 0$ . Suppose that there exists a constant  $A$  such that, for each  $x_1 \in \mathcal{D}^*$ ,  $\varphi''_{12}(x_1, \cdot) \in \mathcal{E}_1(A)$ ; that is,*

$$\varphi''_{12}(x_1, y_1)^{1/2} \varphi''_{12}(x_1, y_2)^{1/2} \leq \frac{A}{y_2 - y_1} \int_{y_1}^{y_2} \varphi''_{12}(x_1, z) dz \quad (15)$$

holds whenever  $y_1 < y_2$  and  $[y_1, y_2] \subset \mathcal{D}_{x_1}$ . Let  $\mathcal{R}_\varphi$  be the operator given by (14). Then, there exists a constant  $C$  that depends only on  $A$  such that

$$\|\mathcal{R}_\varphi f\|_{L^3(\mathbb{R}^2)} \leq C \|f\|_{L^{3/2}(\mathbb{R}^2)} \quad (16)$$

holds uniformly in  $f \in C_0^\infty(\mathbb{R}^2)$ .

*Remark 6.* A duality argument shows the following.

**Corollary 7.** Let  $\varphi : \mathcal{D} \rightarrow \mathbb{R}$  be a  $C^2$  function such that  $\varphi''_{12} > 0$ . Suppose that there exists a constant  $A$  such that, for each  $y_1 \in \mathcal{D}_*$ ,  $\varphi''_{12}(\cdot, y_1) \in \mathcal{E}_1(A)$ ; that is,

$$\varphi''_{12}(x_1, y_1)^{1/2} \varphi''_{12}(x_2, y_1)^{1/2} \leq \frac{A}{x_2 - x_1} \int_{x_1}^{x_2} \varphi''_{12}(z, y_1) dz \quad (17)$$

holds whenever  $x_1 < x_2$  and  $[x_1, x_2] \subset \mathcal{D}^{y_1}$ . Let  $\mathcal{R}_\varphi$  be the operator given by (14). Then, there exists a constant  $C$  that depends only on  $A$  such that

$$\|\mathcal{R}_\varphi f\|_{L^3(\mathbb{R}^2)} \leq C \|f\|_{L^{3/2}(\mathbb{R}^2)} \quad (18)$$

holds uniformly in  $f \in C_0^\infty(\mathbb{R}^2)$ .

### 3. Endpoint Lorentz Estimates

Under somewhat stronger condition, estimates in Section 2 can be improved. Namely, we have the following.

**Theorem 8.** Let  $\varphi : \mathcal{D} \rightarrow \mathbb{R}$  be a  $C^2$  function such that  $\varphi''_{12} > 0$ . Suppose that there exists a constant  $A$  such that, for each  $x_1 \in \mathcal{D}^*$ ,  $\varphi''_{12}(x_1, \cdot) \in \mathcal{E}_2(A)$ ; that is,

$$\varphi''_{12}(x_1, y_1)^{1/2} \varphi''_{12}(x_1, y_2)^{1/2} \leq A \varphi''_{12}\left(x_1, \frac{(y_1 + y_2)}{2}\right) \quad (19)$$

holds whenever  $y_1 < y_2$  and  $[y_1, y_2] \subset \mathcal{D}_{x_1}$ . Let  $\mathcal{R}_\varphi$  be the operator given by (14). Then, there exists a constant  $C$  that depends only on  $A$  such that

$$\|\mathcal{R}_\varphi f\|_{L^{3,3/2}(\mathbb{R}^2)} \leq C \|f\|_{L^{3/2}(\mathbb{R}^2)} \quad (20)$$

holds uniformly in  $f \in C_0^\infty(\mathbb{R}^2)$ .

*Proof of Theorem 8.* To ease our notation, we let  $\omega := \varphi''_{12}$ . For a measurable subset  $E$  of either  $\mathbb{R}$  or  $\mathbb{R}^2$ , we denote the Lebesgue measure and the characteristic function of  $E$  by  $|E|$  and  $\mathbb{1}_E$ , respectively.

By a well-known interpolation argument as in [2, 18], it suffices to establish the estimate

$$\begin{aligned} I(E_1, E_2, E_3) &:= \iint_{\mathbb{R}^2} \prod_{j=1}^3 \left[ \mathcal{R} \mathbb{1}_{E_j}(x_1, x_2) \right] dx_1 dx_2 \\ &\leq C |E_1| |E_2|^{1/2} |E_3|^{1/2} \end{aligned} \quad (21)$$

for all measurable subsets  $E_1, E_2$ , and  $E_3$  of  $\mathbb{R}^2$ . We have

$$\begin{aligned} &I(E_1, E_2, E_3) \\ &= \iint_{\mathbb{R}^2} \prod_{j=1}^3 \left[ \int_{\mathcal{D}_{x_1}} \mathbb{1}_{E_j}(y_j, x_2 + \varphi(x_1, y_j)) \right. \\ &\quad \left. \times \omega(x_1, y_j)^{1/3} dy_j \right] dx_1 dx_2 \\ &= \int_{\mathcal{D}_*} \int_{\mathbb{R}} [\mathcal{A}(x_2, y_1; E_2, E_3)] \mathbb{1}_{E_1}(y_1, x_2) dx_2 dy_1, \end{aligned} \quad (22)$$

where

$$\begin{aligned} &\mathcal{A}(x_2, y_1; E_2, E_3) \\ &:= \int_{\mathcal{D}^{y_1}} \prod_{j=2}^3 [\overline{\mathcal{B}}(x_1, x_2, y_1; E_j)] \omega(x_1, y_1)^{1/3} dx_1, \\ &\overline{\mathcal{B}}(x_1, x_2, y_1; E) \\ &:= \int_{\mathcal{D}_{x_1}} \mathbb{1}_E(y, x_2 + \varphi(x_1, y) - \varphi(x_1, y_1)) \omega(x_1, y)^{1/3} dy. \end{aligned} \quad (23)$$

By Schwarz inequality, it suffices to get an estimate

$$\int_{\mathcal{D}^{y_1}} [\overline{\mathcal{B}}(x_1, x_2, y_1; E)]^2 \omega(x_1, y_1)^{1/3} dx_1 \leq C |E| \quad (24)$$

uniformly in  $x_2, y_1$ , and  $E$ . By translation invariance of  $\overline{\mathcal{B}}(x_1, x_2, y_1; E)$  in  $x_2$  variable, it is enough to establish

$$\int_{\mathcal{D}^{y_1}} [\mathcal{B}_1(x_1, y_1; E)]^2 \omega(x_1, y_1)^{1/3} dx_1 \leq C |E|, \quad (25)$$

$$\int_{\mathcal{D}^{y_1}} [\mathcal{B}_2(x_1, y_1; E)]^2 \omega(x_1, y_1)^{1/3} dx_1 \leq C |E| \quad (26)$$

uniformly in  $y_1$  and  $E$ , where

$$\begin{aligned} &\mathcal{B}_1(x_1, y_1; E) \\ &:= \int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_E(y, \varphi(x_1, y) - \varphi(x_1, y_1)) \omega(x_1, y)^{1/3} dy, \\ &\mathcal{B}_2(x_1, y_1; E) \\ &:= \int_{\mathcal{D}_{x_1} \cap (-\infty, y_1)} \mathbb{1}_E(y, \varphi(x_1, y) - \varphi(x_1, y_1)) \omega(x_1, y)^{1/3} dy. \end{aligned} \quad (27)$$

Notice that the map  $\Gamma : (x_1, y) \mapsto (y, \varphi(x_1, y) - \varphi(x_1, y_1))$  is one-to-one and has the absolute value of Jacobian determinant  $J(x_1, y) := |\varphi'_1(x_1, y) - \varphi'_1(x_1, y_1)|$  for a given  $y_1 \in \mathcal{D}_*$ .

**3.1. Estimate for  $\mathcal{B}_1$ .** We follow an approach by Oberlin [14]. Letting

$$F := F(x_1, y_1; E) := \{y \in \mathcal{D}_{x_1} \cap [y_1, \infty) : \Gamma(x_1, y) \in E\}, \quad (28)$$

we have

$$\begin{aligned} &\int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_F(y) (\varphi'_1(x_1, y) - \varphi'_1(x_1, y_1)) dy \\ &= \int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_F(y) \int_{y_1}^y \omega(x_1, z) dz dy \\ &= \int_{[y_1, \infty)} |F_z| \omega(x_1, z) dz. \end{aligned} \quad (29)$$

Here, for  $z > y_1$ , we denoted by  $F_z$  the set  $F \cap [z, \infty)$ . On the other hand, applying Hölder’s inequality as in [14], we get

$$\begin{aligned} & \int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_F(z) \omega(x_1, z)^{1/3} dz \\ & \leq 2^{2/3} |F|^{1/3} \left( \int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_F(y) J(x_1, y) dy \right)^{1/3}. \end{aligned} \tag{30}$$

Combined with the monotonicity of  $\omega(x_1, \cdot)$ , we obtain

$$\begin{aligned} & \omega(x_1, y_1)^{1/3} [\mathcal{B}_1(x_1, y_1; E)]^2 \\ & = \omega(x_1, y_1)^{1/3} \left( \int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_F(z) \omega(x_1, z)^{1/3} dz \right)^2 \\ & \leq |F|^{-1} \left( \int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_F(z) \omega(x_1, z)^{1/3} dz \right)^3 \\ & \leq 4 \int_{\mathcal{D}_{x_1} \cap [y_1, \infty)} \mathbb{1}_F(y) J(x_1, y) dy. \end{aligned} \tag{31}$$

An integration in  $x_1$  provides (25).

3.2. Estimate for  $\mathcal{B}_2$ . For fixed  $x_1$  and  $y_1$ , we let

$$\rho = \frac{1}{2C(1)} \int_{\mathcal{D}_{x_1} \cap (-\infty, y_1)} \mathbb{1}_F(y) \omega(x_1, y)^{1/3} dy, \tag{32}$$

where  $C(1)$  is the constant that appears in Lemma 2.2 in [11], which implies

$$\begin{aligned} & \int_{\omega(x_1, y_1)^{1/3} |y - y_1| \geq \rho} \mathbb{1}_F(y) \omega(x_1, y)^{1/3} dy \\ & \geq \frac{1}{2} \int_{\mathcal{D}_{x_1} \cap (-\infty, y_1)} \mathbb{1}_F(y) \omega(x_1, y)^{1/3} dy. \end{aligned} \tag{33}$$

Since  $\omega(x_1, \cdot)$  is nondecreasing, we see

$$\begin{aligned} \text{LHS of (26)} & \leq 2 \int_{\mathcal{D}^*} \int_{\mathcal{D}_{x_1} \cap (-\infty, y_1)} |y_1 - y| \omega(x_1, y_1)^{2/3} \\ & \quad \times \omega(x_1, y)^{1/3} \mathbb{1}_F(y) dy dx_1 \\ & \leq 2c(A) \int_{\mathcal{D}^*} \int_{\mathcal{D}_{x_1} \cap (-\infty, y_1)} J(x_1, y) \mathbb{1}_F(y) dy dx_1 \\ & = 2c(A) \int_{\mathcal{D}^*} \int_{\mathcal{D}_{x_1} \cap (-\infty, y_1)} \mathbb{1}_E(x_1, y) dy dx_1 \\ & = 2c(A) |E_1|. \end{aligned} \tag{34}$$

Note that the second inequality follows from a simple modification of Lemma 2.1 in [11]. This finishes the proof.  $\square$

Remark 9. A duality argument shows the following.

**Corollary 10.** Let  $\varphi : \mathcal{D} \rightarrow \mathbb{R}$  be a  $C^2$  function such that  $\varphi''_{12} > 0$ . Suppose that there exists a constant  $A$  such that, for each  $y \in \mathcal{D}_*$ ,  $\varphi''_{12}(\cdot, y) \in \mathcal{E}_2(A)$ ; that is,

$$\varphi''_{12}(x_1, y)^{1/2} \varphi''_{12}(x_2, y)^{1/2} \leq A \varphi''_{12} \left( \frac{x_1 + x_2}{2}, y_1 \right) \tag{35}$$

holds whenever  $x_1 < x_2$  and  $[x_1, x_2] \subset \mathcal{D}^y$ . Let  $\mathcal{R}_\varphi$  be the operator given by (14). Then, there exists a constant  $C$  that depends only on  $A$  such that

$$\|\mathcal{R}_\varphi f\|_{L^3(\mathbb{R}^2)} \leq C \|f\|_{L^{3/2,3}(\mathbb{R}^2)} \tag{36}$$

holds uniformly in  $f \in C_0^\infty(\mathbb{R}^2)$ .

Remark 11. As is well known, if  $\mathcal{R}_\varphi$  maps boundedly from  $L^{p,u}(\mathbb{R}^2)$  to  $L^{q,v}(\mathbb{R}^2)$ , then  $(1/p, 1/q)$  belongs to the convex hull of  $\{(0, 0), (1, 1), (2/3, 1/3)\}$ , and uniform estimates are possible only if  $(1/p, 1/q) = (2/3, 1/3)$ . In the latter case,  $3/2 \leq v \leq u \leq 3$  is necessary, implying the sharpness of the results. We refer interested readers to [2, 19].

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