

## Relatively maximal function algebras generated by polynomials on compact sets in the complex plane

By JAN-ERIK BJÖRK

### Introduction

Wermer's maximality theorem states that if  $J$  is a Jordan curve in the complex plane the function algebra  $P(J)$  generated by polynomials on  $J$  is a maximal closed subalgebra of  $C(J)$ , the algebra of complex-valued continuous functions on  $J$ . Wermer's theorem can also be stated in the following form: If  $g \in C(J)$  is such that the polynomials and  $g$  generate a proper function algebra of  $C(J)$  then  $g$  has an analytic extension to the interior of  $J$ . In this paper we try to extend Wermer's maximality theorem in the following way: Let  $J$  be a Jordan curve in the complex plane. We denote by  $H(J)$  the compact set bounded by  $J$ . Let  $F$  be a closed subset of  $H(J)$  containing  $J$ . Suppose  $g \in C(F)$  is such that  $g$  and the polynomials generate a proper function algebra of  $C(F)$ . Now we wish to find out if  $g$  has an analytic extension from  $J$  into the interior of  $H(J)$ , i.e. if there exists a function  $G \in C(H(J))$  such that  $G = g$  on  $J$  and  $G$  is analytic in the interior of  $H(J)$ . Of course we need some conditions on  $F$  to obtain such results. We say that  $F$  satisfies (C) if the following holds:

1.  $R(F) = C(F)$ , where  $R(F)$  is the function algebra on  $F$  generated by rational functions with poles outside  $F$ .
2.  $H(J) - F$  is connected and  $(F - J) \cap J \neq J$ .

We show in Theorem 1 that if  $F$  satisfies (C) and  $g \in C(F)$  is such that  $g$  and the polynomials generate a proper function algebra of  $C(F)$  then there exists an analytic function  $G$  in  $H(J) - F$  such that  $\lim G(z) = g(x)$  as  $z \in H(J) - F$  tend to  $x \in J$ . In the final part of this paper we apply theorems 1 and 2 to solve an approximation problem on the unit interval. Let  $f \in C(I)$ , where  $I$  is the unit interval. Assume  $f(\frac{1}{4}) = f(\frac{3}{4})$  while  $f(x) \neq f(y)$  for all other pairs of distinct points  $x, y \in I$ . If  $g \in C(I)$  is such that  $g(\frac{1}{4}) \neq g(\frac{3}{4})$  we wish to find out if the function algebra on  $I$  generated by  $f, g$  and the constant functions is  $C(I)$ . This problem has been discussed in several papers, see for example [1, 2 and 4]. The best result is contained in [2] where it is shown that we get  $C(I)$  if  $f$  and  $g$  are continuously differentiable. A famous example in [3] indicates that some smoothness on  $f$  and  $g$  is necessary. The example consists of a Jordan arc  $K$  in  $C^3$  such that  $K$  is not polynomially convex. This Jordan arc is used to construct a proper function algebra of  $C(I)$ . Let us now put  $J = \{f(x) | x \in I\}$ . We see that  $J$  has one of the following three forms:

The case when  $J$  has the form (3) is easy, we get  $C(I)$  with no extra assumptions on  $f$  and  $g$ . Also case (2) can be easily reduced to case (1) so we only consider that case. To prove that we now get  $C(I)$  we need some conditions on  $J$ . Obviously  $J$  satisfies the condition (C) if  $R(J) = C(J)$ . We do not know if this alone is sufficient

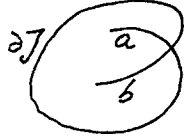


Fig. 1



Fig. 2



Fig. 3

to guarantee that we get  $C(I)$ . In order to prove that we get  $C(I)$  we shall need some smoothness of the two Jordan arcs  $a$  and  $b$  in Fig. 1. It is for example sufficient to have  $a$  and  $b$  continuously differentiable. Notice that we need no extra condition on  $g$ . We shall later introduce a condition on  $a$  and  $b$  which guarantees that we get  $C(I)$ . This condition is related to difficult problems on analytic extensions using reflection principles.<sup>1</sup>

Before we state the following results we make the following useful remark: Let  $F$  be a compact set satisfying (C). Now we can use a conformal map of  $H(J)$  onto the unit disc. Hence we may assume that  $J$  is the unit circle  $T$ . We point out that all extra conditions we make are invariant under this conformal map. So when we now say that a compact set satisfies (C) it is understood that the Jordan curve  $J$  is  $T$ .

**Theorem 1.** *Let  $K$  be a compact set of the unit disc  $D$  containing  $T$  and satisfying (C). Let  $g \in C(K)$  be such that  $g$  and the polynomials, generate a proper function algebra  $B$  of  $C(K)$ . If now  $m$  is a non zero measure on  $K$  annihilating  $B$  then*

$$G(z) = \int \frac{g(x) dm(x)}{x-z} \bigg/ \int \frac{dm(x)}{x-z}$$

is a bounded analytic function in  $D - K$  with

$$\sup \{ |G(z)| \mid z \in D - K \} \leq \sup \{ |g(x)| \mid x \in K \}.$$

Also  $\lim G(z) = g(e^{ia})$  exists uniformly as  $z \in D - K$  tend to  $e^{ia} \in T$ .

Before we prove Theorem 1 we wish to state Theorem 2. Let  $G(z)$  be as in Theorem 1, hence  $G(z)$  is an analytic function in  $D - K$ . If  $z_0 \in K$  is such that there exists a Jordan arc  $J \subset D$  with  $J \cap K = \{z_0\}$  and  $\lim G(z) = a$  exists as  $z \in J$  tend to  $z_0$ , then we say that  $G$  has the asymptotic value  $a$  at  $z_0$ . If  $z_0 \in K$  is such that  $\lim G(z) = a$  exists as  $z \in D - K$  tend to  $z_0$ , then we say that  $G$  has the unrestricted limit value  $a$  at  $z_0$ .

**Theorem 2.** *If  $G$  has an asymptotic value at some point  $z_0 \in K$  which is different from  $g(z_0)$  then there exists an open neighborhood  $V$  of  $z_0$  such that the restriction of  $B$  to  $K - V$  generates a proper function algebra of  $C(K - V)$ . There exists a smallest closed subset  $F$  of  $K$  such that the restriction of  $B$  to  $F$  generates a proper function algebra of  $C(F)$ . The function  $G$  is analytic in  $D - F$  and if  $G$  has an asymptotic value at some point  $z_0 \in F$  then  $G$  has an unrestricted limit value at  $z_0$  which equals  $g(z_0)$ .*

Since the proofs are not very short we shall first give some preliminary results. Let  $M_B$  be the maximal ideal space of  $B$ . As usual we identify  $K$  with a closed sub-

<sup>1</sup> In a forthcoming paper by H. S. Shapiro and Shields it is shown that we get  $C(I)$  without any extra conditions on  $f$  and  $g$ . We also remark here that Mergelyan's Theorem shows that  $R(J) = C(J)$  is always verified. In a forthcoming paper "Analyticity in the maximal ideal space of a function algebra" by the present author essential improvements have been obtained which indicate that  $f$  and  $g$  generate  $C(I)$  in the case where  $J$  is only assumed to be a curve with finitely many self-intersections.

set of  $M_B$  and then  $K$  contains the Shilov boundary of  $B$ . If  $x \in M_B$  there exists a point  $\pi(x) \in D$  such that  $P(x) = P(\pi(x))$  for every polynomial  $P$ . We say that  $x$  lies above  $\pi(x)$  and that  $\pi(x)$  lies below  $x$ . If  $V$  is a subset of  $D$  we put  $\pi^{-1}(V) = \{x \in M_B \mid \pi(x) \in V\}$ . The set  $\pi^{-1}(V)$  is called the fiber of  $V$  in  $M_B$ . The correspondence between points  $z \in D$  and the fibers  $\pi^{-1}(z)$  is continuous in the following way: Let  $W$  be an open neighborhood of  $\pi^{-1}(z)$  in  $M_B$ , then there exists an open neighborhood  $V$  of  $z$  in  $D$  such that  $\pi^{-1}(V)$  is contained in  $W$ . Since  $R(K) = C(K)$  and  $D - K$  is connected we see that if  $z_0 \in D - K$  then the element  $P = z - z_0$  in  $B$  cannot be invertible. Hence there exists a point  $x \in M_B$  such that  $P(x) = z_0$  and it follows that  $x$  lies above  $z_0$ . We have now proved that the fibers  $\pi^{-1}(z)$  are not empty when  $z \in D - K$ . We shall later prove that the fiber  $\pi^{-1}(z)$  is reduced to a single point when  $z \in D - K$ . If  $z \in K$  the fiber  $\pi^{-1}(z)$  contains a trivial point, namely  $z$  itself. If  $z \in K$  and if  $\pi^{-1}(z)$  only consists of this trivial point we say that  $\pi^{-1}(z)$  is a trivial fiber. If  $z \in T$  it is easily seen that  $\pi^{-1}(z)$  is a trivial fiber. For suppose that  $x \in \pi^{-1}(z)$ . Now we can find a positive measure  $\nu$  on  $K$  such that  $g(x) = \int g d\nu$  for all  $g \in B$ . In particular  $P(z) = \int P d\nu$  for every polynomial. It follows that  $\nu$  is the unit point mass at  $z$  and hence  $g(x) = g(z)$  for all  $g \in B$  which proves that  $x = z$ . Assume now that we have proved that  $\pi^{-1}(z)$  consists of one point  $x(z)$  when  $z \in D - K$ . The function  $G(z) = g(x(z))$  is then well defined in  $D - K$ . We shall later prove that

$$G(z) = \int \frac{g(x) dm(x)}{x - z} \bigg/ \int \frac{dm(x)}{x - z}$$

if  $m$  is an arbitrary non zero measure on  $K$  annihilating  $B$ . It follows that  $G$  is analytic in  $D - K$ . Since  $K$  contains the Shilov boundary of  $B$  in  $M_B$  we have  $|g(x(z))| \leq \sup \{|g(x)| \mid x \in K\} = |g|_K$  when  $z \in D - K$ . If  $z \in D - K$  and  $\lim z = e^{ia} \in T$  we see that  $\lim x(z) = e^{ia}$  holds in  $M_B$  too, because  $\pi^{-1}(e^{ia})$  is a trivial fiber. Hence  $\lim G(z) = \lim g(x(z)) = g(e^{ia})$  as  $z \in D - K$  tend to  $e^{ia}$ . If  $z \in K - T$  the fiber  $\pi^{-1}(z)$  may be non trivial and then we get troubles. An important result which we shall prove is the following: If  $G$  has an asymptotic value at  $z_0 \in K - T$  which is different from  $g(z_0)$  then  $\pi^{-1}(z_0)$  contains exactly two points. Let  $x_1$  be the non trivial point in  $\pi^{-1}(z_0)$ . We shall later prove that  $\lim G(z) = g(x_1)$  as  $z \in D - K$  tend to  $z_0$ , hence  $G$  has an unrestricted limit value at  $z_0$ . We can use this to prove that the point  $z_0 \in M_B$  has an open neighborhood  $W$  in  $M_B$  such that  $\pi(W)$  is contained in  $K$ . Let then  $V$  be an open neighborhood of  $z_0$  in  $D$  such that  $\pi(W)$  is contained in  $K \cap V$ . We can use this fact to prove that the restriction of  $B$  to  $F = K - V$  generates a proper function algebra of  $C(F)$ . If  $G$  has an asymptotic value at  $z_0 \in K$  which equals  $g(z_0)$  we can prove that  $\pi^{-1}(z_0)$  is trivial. It follows that if  $z \in D - K$  tend to  $z_0$  in  $D$  then  $x(z)$  tend to  $z_0$  in  $M_B$ . Hence  $\lim G(z) = \lim g(x(z)) = g(z_0)$ , i.e.  $G$  has an unrestricted limit value at  $z_0$ . We shall freely use results about function algebras. We refer to [5] and [6] for a discussion about these. Here we state some results which are used in the following proofs. Let  $A$  be a function algebra with the maximal ideal space  $M_A$  and the Shilov boundary  $S_A$ . The set  $D_A = M_A - S_A$  is called the interior of  $M_A$ . The Local Maximum Principle is here used in the following form: Let  $W$  be a subset of  $D_A$  and let  $bW$  be the topological boundary of  $W$  in  $M_A$ , then  $|f(x)| \leq \sup \{|f(y)| \mid y \in bW\}$  for every  $x \in W$ . In particular there exists a positive measure  $m_x$  carried on  $bD_A$  for each point  $x \in D_A$  such that  $f(x) = \int f dm_x$  for all  $f \in A$ . It follows from this that if  $D_A$  is not empty then the restriction of  $A$  to  $bD_A$  generates a proper function algebra of  $C(bD_A)$ . A closed subset  $F$  of  $M_A$  is  $A$ -convex if for every point  $x \in M_A - F$  there exists  $f \in A$

such that  $f(x) > \sup \{|f(y)| : y \in F\}$ . If  $F$  is an  $A$ -convex subset of  $M_A$  the function algebra  $A_F$  on  $F$  generated by restricting  $A$  to  $F$  has  $F$  as its maximal ideal space.

*Proof of Theorem 1.* Let  $m$  be a non zero measure on  $K$  annihilating  $B$ . Let us put  $W(z) = \int g(x) dm(x)/x-z$  and  $R(z) = \int dm(x)/x-z$ . Obviously  $W$  and  $R$  are analytic functions in  $D-K$ . Because  $m$  annihilates  $B$  we get  $\int \bar{z}g(x)dm(x)/1-\bar{z}x=0$  for  $z \in D-K$  and hence  $W(z) = \int (1-|z|^2)g(x)dm(x)/(x-z)(1-\bar{z}x)$  when  $z \in D-K$ . Let us put  $K_1 = (\overline{K-T})$ . By assumption there exists a closed arc  $L = \{e^{it} | a \leq t \leq b\}$  such that  $K_1 \cap L$  is empty. Hence there exists  $r_0 < 1$  such that if  $r \geq r_0$  and  $a \leq t \leq b$  then  $re^{it} \notin K_1$ . From now on we always assume that  $r \geq r_0$ . We also put  $K-T = S$ .

**Lemma 1.**  $\lim_{\overline{r}} \int_a^b |W(re^{it})| dt < \infty$  as  $r$  tends to 1.

*Proof.* We have  $\int_a^b |W(re^{it})| dt \leq \int_a^b dt \int_S |g(x)| |dm(x)| (1-r^2)/|x-re^{it}| |1-re^{-it}x| + \int_T |g(x)| |dm(x)| \int_a^b (1-r^2)/|x-re^{it}|^2 dt = A(r) + B(r)$ . Obviously  $\lim A(r) = 0$  as  $r$  tends to 1 because  $K_1 \cap L$  is empty, also  $B(r) \leq 2\pi \int_T |g(x)| |dm(x)|$  holds.

Using Lemma 1 we can now choose two different rays  $\{re^{ic}\}$  and  $\{re^{id}\}$  where  $a < c < d < b$  such that  $\lim W(re^{ic})$ ,  $\lim W(re^{id})$ ,  $\lim R(re^{ic})$  and  $\lim R(re^{id})$  all exist finitely as  $r$  tends to 1. We shall need the following elementary result:

**Lemma 2.** Let  $J$  be a Jordan curve in  $D$  such that  $J \cap T = \{e^{it} | c \leq t \leq d\} = J_1$ . Also  $J$  approaches  $T$  along the two rays  $\{re^{ic}\}$  and  $\{re^{id}\}$ , i.e.  $J$  contains the two sets  $\{re^{ic} | r_1 \leq r < 1\}$  and  $\{re^{id} | r_1 \leq r < 1\}$  for some  $r_1 < 1$ . Let  $z$  be a point in the interior of  $J$ . Let  $v$  be the unique positive measure on  $J$  such that  $P(z) = \int Pdv$  for every polynomial  $P$ . Then  $dv(e^{ix}) = h(e^{ix})dx$  when  $c < x < d$ . Here  $dx$  is the Haar measure on  $T$  and  $h$  is bounded on  $(c, d)$ .

**Lemma 3.** With  $J$  and  $v$  as in Lemma 2 we have  $\lim C(r) = \lim \int_c^d |R(re^{it})g(e^{it}) - W(re^{it})| dv(e^{it}) = 0$  as  $r$  tends to 1.

*Proof.* We have

$$C(r) \leq \int_c^d |dv(e^{it})| \int_{S_1} |g(re^{it}) - g(x)| (1-r^2) |dm(x)| / |x-re^{it}| |1-xre^{-it}| + \int_T |dm(x)| \int_c^d |g(re^{it}) - g(x)| (1-r^2) h(e^{it}) / |x-re^{it}|^2 dt = A(r) + B(r).$$

As in Lemma 1 we see that  $A(r)$  tends to zero as  $r$  tends to 1 and  $B(r)$  tends to zero because  $h(e^{it})$  is bounded on  $(c, d)$  and  $g$  is a continuous function.

Let  $M_B$  be the maximal ideal space of  $B$ . Let  $z \in D-K$  and choose  $x(z) \in \pi^{-1}(z)$  in  $M_B$ . Now we have:

**Lemma 4.**  $R(z)g(x(z)) = W(z)$ .

*Proof.* Choose a Jordan curve  $J$  as in Lemma 2 which contains  $z$  in its interior. This is possible since  $D-K$  is connected. If  $r < 1$  is sufficiently close to 1 the functions  $W_r(z) = W(rz)$  and  $R_r(z) = R(rz)$  are analytic in a neighborhood of the closed set  $H(J)$  bounded by  $J$ . Hence Runge's theorem shows that we can approximate  $W_r$  and  $R_r$  uniformly by polynomials on  $H(J)$ . Let us put  $\mathcal{J} = \pi^{-1}(H(J))$ . If  $x \in \mathcal{J}$  then  $\pi(x) \in H(J)$ . We define  $\hat{W}_r(x) = W_r(\pi(x))$  and  $\hat{R}_r(x) = R_r(\pi(x))$  on  $\mathcal{J}$ . If  $\{P_n\}$  are

polynomials such that  $\lim |P_n - W_r|_{H(J)} = 0$  then we see that  $\lim |P_n - \hat{W}_r|_J = 0$ . Hence we can approximate  $\hat{W}_r$  and  $\hat{R}_r$  uniformly on  $J$  by functions from  $B$ . Assume now that the lemma is false. Then we can find  $d > 0$  such that  $\lim |R(rz)g(x(z)) - W(rz)| \geq d$ . Let  $M \geq \sup \{|W_r|_{J-T} + |R_r|_{J-T} | r_0 \leq r < 1\}$ . We can find  $M$  here because  $\lim W(re^{i\theta}), \dots$  exist finitely. Choose now a polynomial  $Q$  such that  $Q(z) = 1$  while  $|Q|_{J-T} < d/2(|g|_K + 1)M$ . Let us consider  $\pi^{-1}(J)$ . Obviously  $\pi^{-1}(J)$  contains the topological boundary of  $J$  in  $M_B$ . Because  $J - \pi^{-1}(J)$  lies off the Shilov boundary the Local Maximum Principle shows that  $|f(x(z))| \leq \sup \{|f(x)| | x \in \pi^{-1}(J)\}$  for all  $f \in B$ . Hence we can also find a positive measure  $\lambda$  on  $\pi^{-1}(J)$  such that  $f(x(z)) = \int f d\lambda$  for all  $f \in B$ . In particular  $P(z) = \int P d\lambda$  for every polynomial  $P$  and since  $\pi^{-1}(z)$  is trivial when  $z \in T$  it follows that the restriction of  $\lambda$  to  $\pi^{-1}(J) \cap T$  is identical to the measure  $v$  considered in Lemma 2. It follows from Lemma 3 that

$$\lim \int_{\pi^{-1}(J) \cap T} |Q| |\hat{R}_r g - \hat{W}_r| d\lambda = 0$$

as  $r$  tends to 1. We also have

$$\int_{\pi^{-1}(J) - T} |Q| |\hat{R}_r g - \hat{W}_r| d\lambda < d/2.$$

Now we obtain a contradiction since

$$|Q(\hat{R}_r g - \hat{W}_r)(x(z))| \geq d.$$

Lemma 4 shows that if  $z \in D - K$  is such that  $R(z) \neq 0$  then  $g(x(z)) = W(z)/R(z)$  for all  $x(z) \in \pi^{-1}(z)$ . It follows that  $\pi^{-1}(z)$  consists of one point denoted by  $x(z)$ . Since  $g(x(z))$  is bounded when  $z \in D - K$  it follows that the meromorphic function  $G(z) = W(z)/R(z)$  is analytic in  $D - K$ . Now it is also easy to prove that even if  $z \in D - K$  is such that  $R(z) = 0$  then  $\pi^{-1}(z)$  consists of one point  $x(z)$  and  $g(x(z)) = G(z)$ . Theorem 1 is proved.

Before we prove Theorem 2 we need the following lemma.

**Lemma 5.** *Let  $F$  be a compact subset of  $(D - J) \cup \{0\}$  where  $J$  is a Jordan arc in  $D$  having 0 and 1 as endpoints. If now  $v$  is a positive measure on  $F$  such that  $P(0) = \int P dv$  for every polynomial, then  $v$  is the unit point mass at 0.*

*Proof of Theorem 2.* Suppose that  $G$  has an asymptotic value at some point  $z_0 \in K$ , then we shall prove that  $G$  has an unrestricted limit value at  $z_0$ . We may assume that  $z_0 \in K - T$  since if  $z_0 \in T$  we have already proved that  $\lim G(z) = \lim g(x(z)) = g(z_0)$  as  $z \in D - K$  tends to  $z_0$ . By assumption there exists a Jordan arc  $J$  such that  $J \cap K = \{z_0\}$  and  $\lim G(z)$  exists as  $z \in J - \{z_0\}$  tends to  $z_0$ . Let us first assume that the asymptotic value is different from  $g(z_0)$ . Because  $g(x(z)) = G(z)$  when  $z \in D - K$  we see that  $\lim x(z) = x_1$  exists in  $M_B$  as  $z \in J - \{z_0\}$  tends to  $z_0$  in  $D$ . Let  $z_0$  be the trivial point in  $\pi^{-1}(z_0)$ . Now  $x_1 \neq z_0$  because  $g(x_1)$  is assumed to be different from  $g(z_0)$  here. Suppose now that  $x_2 \in \pi^{-1}(z_0)$  is such that  $x_2 \neq x_1$  and  $z_0$ . Let us put  $F = (Z - J) \cup \{z_0\}$  if  $Z$  is a closed disc around  $z_0$  in  $D$  such that  $J$  intersects the boundary of  $Z$ . Now we choose  $Z$  so small that  $|g(x_2) - g(x(z))| \geq d > 0$  when  $z \in (Z \cap J) - \{z_0\}$ . Now we choose a closed neighborhood  $W$  of  $x_2$  in  $M_B$  such that  $W$  lies off the Shilov boundary and  $W$  is contained in  $\pi^{-1}(F)$ . Let  $bW$  be the topological boundary of  $W$  in  $M_B$ . It follows that  $f(x_2) = \int f dv$  for all  $f \in B$ , where  $v$  is a positive measure on  $bW$ . In particular  $P(z_0) = \int P dv$  for every polynomial. Because  $bW$  is contained in  $\pi^{-1}(F)$  Lemma 5

shows that the support of  $v$  is contained in  $\pi^{-1}(z_0)$ . It follows that  $x_2$  cannot be a peak point of the function algebra  $B(z_0)$  on  $\pi^{-1}(z_0)$  generated by the restriction of  $B$  to  $\pi^{-1}(z_0)$ . Hence the Shilov boundary of  $B(z_0)$  only contains  $x_1$  and  $z_0$ . It follows that  $\pi^{-1}(z_0)$  only consists of  $x_1$  and  $z_0$ . We now investigate the neighborhoods of  $x_1$  in  $M_B$ . Let  $W$  be a closed  $B$ -convex neighborhood of  $x_1$  such that  $W$  lies off the Shilov boundary and  $z_0 \notin W$ . Suppose now that there exist  $y_n \in D - K$  such that  $y_n$  tend to  $z_0$  in  $D$  while  $W \cap \pi^{-1}(y_n)$  are empty. For every  $n$  we consider the function  $f_n = (z - y_n)$  on  $W$ . We see that  $f_n \in B_W$ , where  $B_W$  is the function algebra on  $W$  generated by restricting  $B$  to  $W$ . Because  $W$  is  $B$ -convex we know that  $W$  is the maximal ideal space of  $B_W$ . Now  $f_n$  is different from zero on  $W$  and hence there exists  $g_n \in B$  such that  $\lim_n |g_n f_n - 1|_W = 0$ . Let  $bW$  be the topological boundary of  $W$  in  $M_B$ . Let  $S = \pi^{-1}(bW)$ , obviously  $S$  is a closed subset of  $D$  and since  $\pi^{-1}(z_0)$  only consists of  $x_1$  and  $z_0$  we see that  $z_0 \notin S$ . Because  $y_n$  tends to  $z_0$  in  $D$  we may assume that  $|f_n(x)| \geq \inf \{|z - y_n| \mid z \in S\} \geq d > 0$  when  $x \in bW$ . We may also assume that  $|g_n f_n - 1|_W < 1$  for all  $n$ . It follows that  $|g_n|_{bW} < 2/d$  and hence also  $|g_n|_W < 2/d$  for every  $n$ . Now we get a contradiction since  $\lim_n f_n(x_1)g_n(x_1) = 0$  follows while  $\lim_n |f_n(x_1)g_n(x_1) - 1| = 0$  also holds. This shows that there exists a neighborhood  $V$  of  $z_0$  in  $D$  such that  $\pi^{-1}(V - K)$  is contained in  $W$ . Since  $\pi^{-1}(z)$  contains only one point when  $z \in D - K$  it follows that the trivial point  $z_0$  of  $\pi^{-1}(z_0)$  has a neighborhood  $U$  in  $M_B$  such that  $\pi(U)$  is contained in  $K$ . If now  $y_n \in D - K$  tend to  $z_0$  in  $D$  it follows that  $x(y_n) \in \pi^{-1}(y_n)$  must converge to  $x_1$  in  $M_B$ . Hence  $\lim G(y_n) = \lim g(x(y_n)) = g(x_1)$  which proves that  $G$  has an unrestricted limit value at  $z_0$ . We must finally consider the case when  $G$  has an asymptotic value at  $z_0$  which equals  $g(z_0)$ . This case is simpler than the previous and we can prove that  $\pi^{-1}(z_0)$  is trivial. It follows as above that  $G$  has an unrestricted limit value at  $z_0$  in this case too. Now we complete the proof of Theorem 2. Let  $S_B$  be the Shilov boundary of  $B$ . Let us put  $W_1 = \{x \in K - T \mid G \text{ has an analytic extension to a neighborhood of } x \text{ and } G(x) \neq g(x)\}$ . Clearly  $W_1$  is a relatively open subset of  $K$ . If  $z_0 \in W_1$  we can choose a neighborhood  $U$  of  $z_0$  in  $D$  such that  $U \cap K$  is contained in  $W_1$ . Now the previous results show that  $\pi(U \cap K) = U \cap K$  and since  $R(K) = C(K)$  it follows easily that  $U \cap K$  lies in the interior of  $S_B$ . Then the local maximum principle implies that the restriction of  $B$  to the set  $K_1 = K - W_1$  generates a proper function algebra  $B_1$  of  $C(K_1)$ . From now on we work with  $B_1$  instead of  $B$ . We can define  $G$  with respect to  $B_1$  and clearly  $G$  is the same function as that defined with respect to  $B$ , i.e. we can represent  $G$  with a non zero measure on  $K_1$  which annihilates  $B_1$ . If we now define  $W_1 = W_1(B_1)$  with respect to  $B_1$ , i.e. we put  $W_1(B_1) = \{x \in K_1 - T \mid G \text{ has an analytic extension to a neighborhood of } x \text{ and } G(x) \neq g(x)\}$ , then  $W_1(B_1)$  is empty. So now we assume that  $B$  and  $K$  are such that  $W_1$  is empty. Let us now put  $W_2 = \{x \in K - T \mid G \text{ has an analytic extension to a neighborhood of } x\}$ . Clearly  $x \in W_2$  implies that  $G(x) = g(x)$  (since  $W_1$  is empty) and it follows easily that  $W_2 \cap S_B$  is empty. It follows that the restriction of  $B$  to the set  $K_2 = K - W_2$  generates a proper function algebra of  $C(K_2)$ . Since we can represent  $G$  with any non-zero measure on  $K$  annihilating  $B$  we see that  $K_2$  is the smallest subset of  $K$  such that the restriction of  $B$  to  $K_2$  generates a proper function algebra of  $C(K_2)$ .

We shall now discuss how Theorem 1 can be applied to the approximation problem on the unit interval.

*Definition.* A Jordan arc  $J$  in the complex plane satisfies the reflection principle if the following holds: If  $z_0 \in J$  there exists an open disc  $Z$  around  $z_0$  such that if  $G$  is

any bounded analytic function in  $Z - J$  with the property that  $G$  has an unrestricted limit value at a point  $z \in J \cap Z$  when  $G$  has an asymptotic value at  $z$ , then it follows that  $G$  has an analytic continuation to  $Z$ .

*Definition.* A Jordan arc is almost smooth if  $J$  satisfies the reflection principle and if  $R(J) = C(J)$ , i.e. the rational functions with poles outside  $J$  generate  $C(J)$ .

We remark here that every smooth Jordan arc is almost smooth. We do not know if the condition  $R(J) = C(J)$  implies that  $J$  is almost smooth. Let us now consider a function  $f \in C(I)$  such that  $J = \{f(x) \mid x \in I\}$  is of the form in Fig. 1. Let us assume that the two Jordan arcs  $a$  and  $b$  in (1) are almost smooth. Now we can prove that if  $g \in C(I)$  is such that  $g(\frac{1}{4}) \neq g(\frac{3}{4})$  then the function algebra generated by  $f, g$  and the constant functions is  $C(I)$ . We may assume that  $f(\frac{1}{4}) = f(\frac{3}{4}) = 0$  while  $g(\frac{1}{4}) = 1$  and  $g(\frac{3}{4}) = -1$ . Let us put  $f_1 = f, f_2 = g^2$  and  $f_3 = fg$ . On  $J$  we define  $\hat{f}_j(z) = f_j(x)$  where  $x \in I$  is such that  $f(x) = z$ . Obviously  $\hat{f}_j$  are well defined on  $J$ . Suppose that the function algebra on  $J$  generated by  $\hat{f}_1, \hat{f}_2, \hat{f}_3$  and the constant functions is different from  $C(J)$ . Now our previous results show that  $\hat{f}_2$  and  $\hat{f}_3$  have analytic extensions from  $\partial J$  into the interior of  $J$ . Here  $\partial J$  is the outer boundary of  $J$  (see Fig. 1). Call these extensions  $H_2$  and  $H_3$ . On  $\partial J$  we have the relation  $z^2 H_2 = H_3$  and it follows that  $H_3/z$  is a bounded analytic function in the interior of  $J$ . Now we can approach 0 along  $\partial J$  in two different ways. We get  $\lim H_3/z = g(\frac{1}{4})$  from one way and  $\lim H_3/z = g(\frac{3}{4})$  from the other way. Now Montel's theorem (see [7], p. 170) gives a contradiction. It follows that  $\hat{f}_1, \hat{f}_2, \hat{f}_3$  and the constant functions generate  $C(J)$  and then it is clear that  $f, g$  and the constant functions generate  $C(I)$  too.

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