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Torsion sections of elliptic surfaces

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Abstract. Given a torsion section of a semistable elliptic surface, we prove equidistribution results for the components of singular fibers which are hit by the section, and for the root of unity (identifying the zero component with $\mathbf{C}$) which is hit by the section in case the section hits the zero component.

1. Introduction

In this article we discuss torsion sections of semistable elliptic surfaces defined over the complex field $\mathbf{C}$. Recall that a semistable elliptic surface is a fibration $\pi: X \to C$, where X is a smooth compact surface, C is a smooth curve, the general fiber of π is a smooth curve of genus one, and all the singular fibers of π are semistable, that is, all are of type I_m in Kodaira's notation (see [K]). In addition, we assume that the fibration π enjoys a section S_0; this section defines a zero for a group law in each fiber, making the general fiber an elliptic curve over $\mathbf{C}$.

The Mordell–Weil group of X, denoted by $\mathrm{MW}(X)$, is the set of all sections of π, which forms a group under fiber-wise addition; the section S_0 is the identity of $\mathrm{MW}(X)$. Note that any section $S \in \mathrm{MW}(X)$ meets one and only one component of each fiber.

Given any section $S \in \mathrm{MW}(X)$, one can ask the following two questions. First, which components of the singular fibers of X does S meet, and second, exactly where in these components does S meet them?

When S is a torsion section, the first question was addressed in [M]. To describe those results we require some notation. Recall that a singular semistable fiber of type I_m is a cycle of m $\mathbf{P}^1$'s. Suppose that our elliptic fibration $\pi: X \to C$ has s such singular fibers $F_1, \ldots, F_s$, with F_j of type I_{m_j}. Choose an "orientation" of each

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fiber F_j and write the m_j components of F_j as

$$C_0^{(j)}, C_1^{(j)}, ..., C_{m_j-1}^{(j)}$$

where the zero section S_0 meets only $C_0^{(j)}$ and where for each k, $C_k^{(j)}$ meets only $C_{k\pm1}^{(j)}$ mod m_j. If $m_j=1$, then $F_j=C_0^{(j)}$ is a nodal rational curve with self-intersection 0. If $m_j\geq 2$, then each $C_k^{(j)}$ is a smooth rational curve with self-intersection -2.

Given a section S of X, and orientations of all of the singular fibers F_j, inducing a labeling of the components as above, we define "component numbers" $k_j(S)$ to be the index of the component in the j^{th} fiber F_j which a given section S meets. That is,

$$S \text{ meets } C_{k_j(S)}^{(j)} \text{ in the fiber } F_j.$$

This assigns to each section S, an s-tuple $(k_1(S), ..., k_s(S))$. The component number k_j can be taken to be defined mod m_j once the orientation of F_j is chosen; if the orientation is unknown, then k_j is defined mod m_j only up to sign. Note that $k_j(S_0)=0$ for every j; indeed, after choosing orientations, the assignment of component numbers can be considered a group homomorphism from $\mathrm{MW}(X)$ to $\bigoplus_j \mathbf{Z}/m_j\mathbf{Z}$.

Now suppose that S is a torsion section of prime order p. In this case, since $p\cdot S=S_0$, we must have $pk_j(S)\equiv 0 \mod m_j$ for every j. If p does not divide m_j, then this forces $k_j(S)=0$. However if $m_j=pn_j$, then $k_j(S)$ can a priori be any one of the numbers in_j, for $i=0, ..., p-1$. This multiple i measures, in some sense, how far around the cycle S is from the zero-section S_0 in the j^{th} fiber.

Of course, changing the orientation in the fiber F_j will have the effect of changing this multiple i to $p-i$ (if $i\neq 0$; $i=0$ remains unchanged). We are therefore led to a definition of the following quantities. Let $M_i(S)$ denote the number of singular fibers where $k_j(S)=in_j$ or $k_j(S)=(p-i)n_j$ (weighted by the number m_j of components in the fiber F_j), and then divided by the total number $\sum_j m_j$ of components:

$$M_i(S) = \frac{\displaystyle\sum_{j \text{ with } k_j(S)=in_j \text{ or } (p-i)n_j} m_j}{\displaystyle\sum_j m_j}.$$

We may view M_i as a probability, since it is the fraction of the fibers where S meets "distance i" away from the zero section.

The main result of [M] is the following:

Theorem 1.1. *If S is a torsion section of odd prime order p, then $M_i(S)=2p/(p^2-1)$ if $i\neq 0$, and $M_0(S)=1/(p+1)$.*

Notice that, firstly, these fractions are independent of S, and secondly, that they are independent of i (for i non-zero). Thus we obtain an "equidistribution" property for these component numbers. The proof of the above theorem given in [M] used only basic facts about elliptic surfaces and some intersection theory.

In this paper we will address the second of the two questions raised above in a similar spirit. Again let S be a torsion section of order p, and consider those fibers where $k_j(S)=0$, i.e., where S and S_0 meet the same component. By the above result, this happens exactly $1/(p+1)$ of the time, where each fiber is weighted by the number m_j of components it has. Each identity component $C_0^{(j)}$ may be naturally identified as a group with $\mathbf{C}^*$, by sending the two points of intersection with the neighboring components to 0 and ∞, and the point where S_0 meets $C_0^{(j)}$ to 1. (This identification can be made in exactly two ways, corresponding to which node is sent to 0 and which to ∞.) Given such a coordinate choice on $C_0^{(j)}$, the section S of order p will hit $C_0^{(j)}$ at a point whose coordinate is a p^{th} root of unity.

Let us denote by $l_j(S)$ that integer in $[1,p-1]$ such that the point $S\cap C_0^{(j)}$ has coordinate $\exp(2\pi i l_j(S)/p)$. (Since torsion sections can never meet, $l_j(S)$ cannot equal 0.) Note that $l_j(S)$ is defined only when $k_j(S)=0$ and may be thought of as being defined modulo p; in addition, if we switch the roles of 0 and ∞, we see that $l_j(S)$ is replaced by $p-l_j(S)$. Thus, combinatorially, we are in a situation identical to the one for the component numbers k_j. We will call these numbers $l_j(S)$ *root of unity numbers* for the section S.

Now define numbers $R_i(S)$ to be the total number of fibers having $k_j(S)=0$ and having $l_j(S)=\pm i \mod p$ (weighted by the number of components m_j), and then divided by the total (weighted) number of fibers with $k_j(S)=0$:

$$R_i(S)=\frac{\displaystyle\sum_{j \text{ with } k_j(S)=0 \text{ and } l_j(S)=\pm i} m_j}{\displaystyle\sum_{j \text{ with } k_j(S)=0} m_j}.$$

The main theorem of this article is an equidistribution property for these fractions $R_i(S)$: namely, if p is odd, then $R_i(S)=2/(p-1)$, independent of S and i.

These results rely on computations on elliptic modular surfaces. We also give as a by-product the equidistribution for the component numbers $k_j(S)$, both by an explicit computation and via a relationship between the component numbers and the root of unity numbers. Finally we develop an Abel theorem for a singular semistable elliptic curve and use it to compute a Weil pairing on the singular semistable fibers

of an elliptic surface. Using this Weil pairing, we may also discover the duality between the component numbers and root of unity numbers.

2. Equidistribution for the roots of unity via the universal property

In this section we will give a proof of the following theorem.

Theorem 2.1. *Fix a prime number p, and let S be a section of a smooth semistable elliptic surface which is torsion of order p. Then*

$$R_1(S)=1 \text{ if } p=2, \quad \text{and} \quad R_i(S)=2/(p-1) \text{ if } p \text{ is odd.}$$

Proof. First note that if $p=2$, then the only possible value for l_j is one, so that certainly $R_1(S)=1$. Similarly, if $p=3$, the only values for l_j are 1 or 2, which are inverse mod 3; therefore $R_1(S)=1$ again. Thus we may assume that p is an odd prime at least five.

Second, note that it is immediate to check that if S is a section of $\pi: X \to C$, and if $F: D \to C$ is an onto map of smooth curves, then S induces a section S' on the pull-back surface $\pi': X' \to D$, which is also torsion of order p. Moreover, it is easy to see that $R_i(S')=R_i(S)$ for every i.

If $p \geq 5$, then we may consider the elliptic modular surface $Y_1(p)$ over the modular curve $X_1(p)$, which is defined using the congruence subgroup $\Gamma_1(p)$ of $\mathrm{SL}(2, \mathbf{Z})$ given by

$$\Gamma_1(p)=\left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \,\middle|\, a, d \equiv 1 \bmod p, c \equiv 0 \bmod p \right\}.$$

Note that $X_1(p)$ is a fine moduli space for elliptic curves with a torsion point of order p, and that $Y_1(p) \to X_1(p)$ is the universal family (see [Shm], [Shd] or [CP]). This elliptic modular surface also has a universal section T of order p, and every elliptic surface with a section S of order p may be obtained via pull-back from this modular surface in such a way that S is the pullback of T. By the above remark concerning the constancy of the fraction R_i under pull-back, it suffices to prove that $R_i(T)=2/(p-1)$, for each $i=1, \ldots, \frac{1}{2}(p-1)$; in other words, we need only verify the statement of the theorem for the universal section T of the modular surface $Y_1(p)$ over the modular curve $X_1(p)$.

Now the elliptic modular surface has exactly $p-1$ singular fibers, occuring over the cusps of the modular curve $X_1(p)$, of which half are of type I_1 and half of type I_p depending on the order of the cusp: the total "weight" of the singular fibers, which is equal to the Euler number of the modular surface, is $\sum_j m_j = \frac{1}{2}(p^2-1)$. For the I_1 fibers, the component numbers $k_j(T)$ must be 0, contributing

$\left[\frac{1}{2}(p-1)\right]/\left[\frac{1}{2}(p^2-1)\right]=1/(p+1)$ to the weighted fraction $M_0(T)$. However, by the results of [M] mentioned in the introduction, the total weighted fraction $M_0(T)$ is $1/(p+1)$, and therefore the component number must be non-zero for all the fibers of type I_p.

At this point, label the I_1 fibers as $F_1, ..., F_{(p-1)/2}$. Choose an "orientation" of each I_1 fiber, which in essence means give an isomorphism of its smooth part with $\mathbf{C}^*$, such that the zero section T_0 meets F_j in the point corresponding to the number 1.

Denote by $T_1=T$, $T_2=2T, ..., T_{p-1}=(p-1)T$ the non-zero multiples of the universal section T. Note that T_i and T_{p-i} meet each I_1 fiber F_j in inverse roots of unity; in other words, using the notation of the Introduction, $l_j(T_i)=-l_j(T_{p-i})$ mod p for every i and j. Construct a square matrix Z of size $\frac{1}{2}(p-1)$ whose ij^{th} entry is the pair of integers $\pm l_j(T_i)=\{l_j(T_i), l_j(T_{p-i})\}$.

Now two torsion sections of an elliptic surface never meet, so the sections $\{T_i\}$ are all disjoint. In particular, if we fix an index j, in the j^{th} column of this matrix Z, we must have $p-1$ distinct integers; since the integers come from $[1, p-1]$, each integer in this range occurs exactly once in each column of Z.

Next fix an integer $i\in\left[1, \frac{1}{2}(p-1)\right]$. By the universality of the modular surface, there is an automorphism of the surface fixing the zero section and carrying T_i to $T=T_1$. Therefore, the entries along the i^{th} row must be the same as the entries along the first row, but permuted in some way (indeed, permuted as the automorphism permutes the I_1 fibers F_j).

As noticed above, each integer in $[1, p-1]$ appears exactly once in the first column of Z. Hence each integer appears in some row of Z. By the above remark, each integer must then appear in the first row, and indeed in every row. This is exactly the statement that $R_i(T)=2/(p-1)$ in this case. □

3. Equidistribution for the roots of unity via explicit computation

In this section we will re-prove Theorem 2.1 via an explicit computation. Fix an odd prime $p\geq 5$, and let $\Gamma=\Gamma_1(p)$ be the relevant modular group defined in the proof of the theorem. Let $\mathbf{H}$ denote the upper half-plane. Form the semi-direct product $\widetilde{\Gamma}=\Gamma \mathbf{o} \mathbf{Z}^2$ and recall that $\widetilde{\Gamma}$ acts on $\mathbf{H}\times\mathbf{C}$ by

$$\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}, (m,n)\right)\cdot(\tau, w)=\left(\frac{a\tau+b}{c\tau+d}, \frac{1}{c\tau+d}(w+m\tau+n)\right). \tag{3.1}$$

Denote the quotient $\mathbf{H}\times\mathbf{C}/\widetilde{\Gamma}$ by $Y_1^0(p)$, and the quotient $\mathbf{H}/\Gamma$ by $X_1^0(p)$. The natural map $\pi: Y_1^0(p)\to X_1^0(p)$ induced by sending (τ, w) to τ is a smooth elliptic fibration.

The curve $X_1^0(p)$ is not compact, but these quotients and the fibration π extend to the natural compactifications $\pi: Y_1(p) \to X_1(p)$. The compact curve $X_1(p)$ is obtained by adding $p-1$ points (called cusps) to the open curve $X_1^0(p)$, over which the elliptic fibration has singular curves. These cusps correspond to an equivalence class of rational points on the boundary of the upper half-plane and the vertical point $i\infty$ at infinity.

For this modular group $\Gamma_1(p)$, representatives for the cusps can be taken to be the rational numbers

$$\frac{r}{p}, \text{ with } 1 \leq r \leq \frac{1}{2}(p-1), \quad \text{and} \quad \frac{1}{s} \text{ with } 1 \leq s \leq \frac{1}{2}(p-1).$$

(See [CP].) The cusp at $i\infty$ is equivalent to the cusp $1/p$.

Over the cusps of the form r/p, we have singular fibers for π of type I_1; over the cusps of the form $1/s$, we have singular fibers of type I_p.

There are exactly p sections of the map π, which are induced by letting

$$w(\tau) = \frac{\alpha}{p}$$

for $0 \leq \alpha \leq p-1$. (See [Shd].) The zero-section T_0 for π is of course defined by $w(\tau)=0$, while the universal section T can be defined by $w(\tau)=1/p$.

A local coordinate for the modular curve $X_1(p)$ about the point corresponding to the cusp at $\tau=i\infty$ is $q=\exp(2\pi i\tau)$; the fibers of the modular surface itself may be locally represented near this point as $\mathbf{C}/(\mathbf{Z}+\mathbf{Z}\tau) \cong \mathbf{C}^*/q^{\mathbf{Z}}$. We want to determine a local coordinate about the point corresponding to the cusp at $\tau=r/p$. For this, choose $b, d \in \mathbf{Z}$ such that $rd-bp=1$, and let

$$\gamma = \begin{pmatrix} d & -b \\ -p & r \end{pmatrix},$$

which is then in $\mathrm{SL}(2, \mathbf{Z})$. This element γ sends r/p to $i\infty$ via the action of (3.1); for our purposes its effect is to induce an isomorphism

$$\mathbf{C}/(\mathbf{Z}+\mathbf{Z}\tau) = \mathbf{C}/(\mathbf{Z}(r-p\tau)+\mathbf{Z}(d\tau-b)) \cong \mathbf{C}^*/q^{\mathbf{Z}}$$

where this last map sends w to $\exp(2\pi i w/(r-p\tau))$; here $q=\exp(2\pi i(d\tau-b)/(r-p\tau))$ is the local coordinate near $\tau=r/p$.

Since $\alpha/p=\alpha(d\tau-b)+(\alpha d/p)(r-p\tau)$, we see that the section $w(\tau)=\alpha/p$ maps under the above isomorphism to $\exp(2\pi i(\alpha\gamma(\tau)+\alpha d/p))$. As τ approaches r/p, $\gamma(\tau)$ approaches $i\infty$ and this quantity approaches $\exp(2\pi i\alpha d/p)$. This is the root of unity which we have desired to compute.

Note that had we used $-\gamma$ instead of γ we would have gotten the inverse root of unity, and that d is determined only mod p.

Using the notation of the introduction, let us label the I_1 fibers of the modular surface as $F_1, ..., F_{(p-1)/2}$, with F_r corresponding to the cusp at $\tau = r/p$. We have shown that for the section $T_\alpha = \alpha T$,

$$l_r(T_\alpha) = \alpha r^{-1},$$

where the value of r^{-1} is taken modulo p.

This gives an alternate proof of Theorem 2.1, since for any fixed α, these values are equidistributed among the nonzero classes mod p, up to sign.

4. Equidistribution for the component numbers via explicit computation

The main theorem of [M] concerning the equidistribution of the component numbers can also be proved by appealing to the universal property of the modular surface $\pi: Y_1(p) \to X_1(p)$. Using the notation of the introduction, one sees that the fractions $M_i(S)$ for a torsion section S of order p remain unchanged under base change. Thus it suffices to check that they have the correct values, namely those given by Theorem 1.1, for the universal section T of the modular surface. This we do in this section.

As noted in the previous section, the modular surface contains the fibers $F_1, ..., F_r, ..., F_{(p-1)/2}$ of type I_1 over the cusps represented by the rational numbers r/p, for $1 \leq r \leq \frac{1}{2}(p-1)$. For these fibers, since they have only one component, the component number $k_r(T)$ is zero, contributing $\left[\frac{1}{2}(p-1)\right] / \left[\frac{1}{2}(p^2-1)\right] = 1/(p+1)$ to the fraction $M_0(T)$.

The rest of the singular fibers of the modular surface will be denoted by $F_{(p+1)/2}, ..., F_{p-1}$ where F_{p-s} will be taken as the fiber over the cusp represented by the rational number $1/s$, for $1 \leq s \leq \frac{1}{2}(p-1)$.

The component number theorem then follows from the next computation.

Proposition 4.1. *With the above notation, if* $1 \leq s \leq \frac{1}{2}(p-1)$, *then* $k_{p-s}(T) = \pm s$ (*the indeterminacy of the sign being due to the choice of orientation of the singular fiber* F_{p-s}).

Proof. It is convenient to again transport the computation to $\tau = i\infty$ as was done in the previous section. Fix an s, with $1 \leq s \leq \frac{1}{2}(p-1)$, and let

$$\gamma = \begin{pmatrix} 1 & 0 \\ -s & 1 \end{pmatrix}.$$

As τ approaches $1/s$, $\gamma(\tau)=\tau/(1-s\tau)$ approaches $i\infty$.

This element γ induces an isomorphism

$$\mathbf{C}/(\mathbf{Z}+\mathbf{Z}\tau)=\mathbf{C}/(\mathbf{Z}(1-s\tau)+\mathbf{Z}\tau)\cong\mathbf{C}^*/q^{p\mathbf{Z}}$$

where this last map sends w to $\exp(2\pi iw/(1-s\tau))$; here $q=\exp(2\pi i\tau/p(1-s\tau))$ is the local coordinate near this cusp.

Since $1/p=(1/p)(1-s\tau)+(s/p)\tau$, we see that the universal section T, which is defined by $w(\tau)=1/p$, maps under the above isomorphism to $\exp(2\pi i(1+s\gamma(\tau))/p)=\zeta_p q^s$, where $\zeta_p=\exp(2\pi i/p)$.

Now using the toric description of the surface near this cusp, (see [AMRT, Chapter One, Section 4]), the exponent of q (modulo p) in this formula governs which component is hit by the section. In particular, the universal section hits component s in the fiber over the cusp represented by $1/s$. Note that using $-\gamma$ instead of γ would result in $-s$ instead of s. □

5. The canonical involution

The matrix

$$A=\begin{pmatrix}0 & -1\\ p & 0\end{pmatrix}$$

normalizes the congruence subgroup $\Gamma_1(p)$, and therefore induces an automorphism of the modular curve $X_1(p)$. Since A^2 is a constant multiple of the identity, this automorphism (which we will also call A) is an involution, called the *canonical* involution; in terms of the variable τ, A takes τ to $-1/p\tau$. The involution A also permutes the cusps, exactly switching the two sets of $\frac{1}{2}(p-1)$ cusps; indeed, the rational number r/p is taken by A to the number $-1/r$, which is equivalent to the number $1/r$ under the action of $\Gamma_1(p)$. Thus the I_1 cusp represented by r/p is switched via A with the I_p cusp represented by $1/r$.

Hence we observe that under this correspondence, the I_1 cusp having u as the root-of-unity number for the universal section T is paired with the I_p cusp having u^{-1} as the component number for T.

Note that for the I_1 fibers, all sections have component number k_j equal to zero, and all non-zero sections have root-of-unity number l_j in $G(p)=(\mathbf{Z}/p)^\times/\pm 1$. Moreover, for the I_p fibers, all non-zero sections have component number k_j also in this value group $G(p)$. For a cusp x of type I_1, denote the root-of-unity number of the universal section T in $G(p)$ by l_x; for a cusp x of type I_p, denote the component

number of the universal section T in $G(p)$ by k_x. With this notation the above statement can be re-phrased as follows.

(5.1) For every cusp x of type I_1, $l_x = k_{Ax}^{-1}$ in $G(p)$.

This is a manifestation of a certain duality between the two notions. This we will explore further in the next sections, via a version of the Weil pairing on singular fibers of elliptic surfaces. Before proceeding to this, we want to make some elementary remarks concerning the modular surface and this involution.

As above, denote the modular surface over $X_1(p)$ by $\pi: Y_1(p) \to X_1(p)$. Let $\pi': Y_1(p)' \to X_1(p)$ denote the pull-back of π via the involution A. This operation exactly switches the fibers over the cusps, so that the fiber of π' over an r/p cusp is now of type I_p, and the fiber of π' over a $1/s$ cusp is of type I_1. The universal section T of π pulls back to a section T' of π'. (We note that this surface is the modular surface for the group $\Gamma^1(p)$.)

An alternate way of constructing this elliptic fibration π' is to take the original modular surface $\pi: Y_1(p) \to X_1(p)$ and divide it, fiber-by-fiber, by the subgroup generated by the universal torsion section T. Over the cusps represented by r/p, the original modular surface has I_1 fibers, which are rational nodal curves; in the quotient there is again a rational nodal curve, but the surface acquires a rational double point of type A_{p-1} at the node, and the minimal resolution of singularities produces a fiber of type I_p. Over the cusps represented by $1/p$, we have I_p fibers in the original surface; in the quotient the p components are all identified to a single I_1 component. For details concerning this quotient construction, the reader may consult [MP].

The section T' in this view comes not from the original section T, but from a p-section of the modular surface, consisting of a coset of the cyclic subgroup generated by T in the general fiber of π. (The universal section T and all of its multiples of course descends to the zero-section of π'.) The formula (5.1) implies that

$$k_x(T') = l_x(T)^{-1}$$

in the group $G(p)$.

6. The function group of a semistable elliptic curve

In the next section we will develop a version of the Weil pairing on a semistable singular fiber of an elliptic surface (that is, a fiber of type I_m). The essential ingredient in the definition of the Weil pairing on a smooth elliptic curve is Abel's

theorem; see for example [Sil, Chapter III, Section 8]. Therefore we require a version of Abel's theorem for a fiber of type I_m, and this in turn requires a notion of appropriate rational functions on the degenerate fiber. In this section we describe the set of functions which we will use; Abel's theorem is an immediate consequence of the definition.

For a nodal fiber F of type I_1, which is irreducible, we have the function field of rational functions on F, which may be identified with the field of rational functions on $\mathbf{P}^1$. The non-zero elements of this field form a multiplicative group, which has as a subgroup $\mathcal{K}$ the group of functions which are regular and nonzero at the node. This group $\mathcal{K}$ comes equipped with a divisor function to the group of divisors $\mathrm{Div}(F^{sm})$ supported on the smooth part F^{sm} of F. Since F^{sm} is a group, there is a natural map $\Phi: \mathrm{Div}(F^{sm}) \to F^{sm}$ which takes a formal sum of points of F^{sm} to the actual sum in the group. It is easy to check that Abel's theorem holds: a divisor $D \in \mathrm{Div}(F^{sm})$ is the divisor of a function $f \in \mathcal{K}$ if and only if $\deg(D)=0$ and $\Phi(D)=0$ in the group law of F^{sm}.

We will now extend this to fibers of type I_m with $m \geq 2$. With this assumption there is no field of functions to employ, since the fiber F is no longer irreducible. Thus we must find a multiplicative group of appropriate functions without the aid of an associated field of rational functions.

For this we rely on the existence of a set of coordinates on the components of F, which are adapted nicely to both the group law on F^{sm} and to the elliptic surface on which F lies.

Definition 6.1. Suppose that the singular fiber F (which is assumed to be of type I_m) has components $C_0, C_1, \ldots, C_{m-1}$, with the zero section S_0 meeting C_0 and C_j meeting only $C_{j\pm 1}$ for each j. Let u_j be an affine coordinate on C_j for each j. The set $\{u_j\}$ of coordinates will be called a *standard set of affine coordinates on* F if the following conditions hold:

(a) For each j, $u_j=0$ at $C_j \cap C_{j-1}$ and $u_j=\infty$ at $C_j \cap C_{j+1}$.

(b) The map $\alpha: \mathbf{C}^* \times \mathbf{Z}/m \to F^{sm}$ sending a pair (t,j) to the point $u_j=t$ in component C_j of F is an isomorphism of groups.

(c) For each j, if we set $v_j=1/u_j$ to be the affine coordinate on C_j near $u_j=\infty$, then v_j and u_{j+1} extend to coordinate functions V_j and U_{j+1} on the elliptic surface near the point $C_j \cap C_{j+1}$, such that $V_j=0$ defines C_{j+1} and $U_{j+1}=0$ defines C_j near this point.

Proposition 6.2. *Let F be a fiber of type I_m on a smooth elliptic surface X. Then a standard set of affine coordinates exist on F. Moreover, given the ordering of the components, there are exactly m such standard sets of affine coordinates on F.*

Proof. The existence of a standard set of affine coordinates on F is an immedi-

ate consequence of the local toric description of a smooth semistable elliptic surface near a singular fiber of type I_m given in [AMRT, Chapter One, Section 4]. Indeed, the standard set of affine coordinates is exactly the set of coordinates on the toric cover described there, which descend nicely to X.

Note that by (a) and (b), the coordinate u_0 on C_0 is determined: it must be 0 at $C_0 \cap C_{m-1}$, ∞ at $C_0 \cap C_1$, and 1 at $S_0 \cap C_0$. Similarly, each coordinate u_j is determined by the point $u_j = 1$, which must be one of the points of C_j of appropriate order. Thus there are exactly m possibilities for u_1. By (b), once u_1 is determined, so is u_j. It is easy to check that these m different possibilities all give standard sets (once it is known that one of them does). □

Note that if $\{u_0, \ldots, u_{m-1}\}$ is a standard set of affine coordinates on F, then any other standard set is of the form $\{u'_j = \zeta^j u_j\}$ for some m^{th} root of unity ζ.

We can now define the group of functions $\mathcal{K}$ which plays the role of rational functions on F. A bit of notation is useful. Suppose that $g(u)$ is a nonzero rational function of u. Firstly, define $n_0(g)$ to be the order of g at $u=0$, and $n_\infty(g)$ to be the order of g at $u=\infty$ (which is the order of $g(1/v)$ at $v=0$). These integers are of course independent of the choice of affine coordinate u. Moreover, we have that $g(u)/u^{n_0(g)}$ has a finite value at $u=0$, and $g(u)u^{n_\infty(g)}$ has a finite value at $u=\infty$. Secondly, define $c_0(g)$ to be the value of $g(u)/u^{n_0(g)}$ at $u=0$, and define $c_\infty(g)$ to be the value of $g(u)u^{n_\infty(g)}$ at $u=\infty$. These constants do depend on the choice of coordinate u; they are simply the lowest coefficient of the Laurent series for g expanded about $u=0$ and $u=\infty$.

If we write

$$g(u) = \alpha u^l \prod_{i=1}^{e} (u-\lambda_i) \Big/ \prod_{k=1}^{f} (u-\mu_k), \tag{6.3}$$

with α, λ_i and μ_k nonzero, and $l \in \mathbf{Z}$, then

$$n_0(g) = l \tag{6.4}$$

$$n_\infty(g) = f - e - l \tag{6.5}$$

$$c_0(g) = \alpha(-1)^{e+f} \prod_i \lambda_i \Big/ \prod_k \mu_k, \quad \text{and} \tag{6.6}$$

$$c_\infty(g) = \alpha. \tag{6.7}$$

Fix a standard set $\{u_j\}$ of affine coordinates on F. Define $\mathcal{K}$ to be the set of m-tuples of nonzero rational functions $(g_0(u_0), g_1(u_1), \ldots, g_{m-1}(u_{m-1}))$ satisfying the following conditions:

(a) For each j, $n_\infty(g_j) + n_0(g_{j+1}) = 0$.

(b) For each j, $c_\infty(g_j)=c_0(g_{j+1})$.
(c) $\sum_{j=0}^{m-1} n_0(g_j)=0$.

Note that condition (a) says that the functions $\{g_j\}$ have opposite orders at the nodes of F, and condition (b) says that with respect to the standard set of affine coordinates, they have the same leading coefficients in their Laurent series at these nodes. These are local conditions about each of the nodes. The final condition (c) is a global condition, saying that the orders sum to zero upon going around the cycle of components. We note that $\mathcal{K}$ is a multiplicative group (the operation being defined component-wise), and that it does not depend on the particular standard set of affine coordinates used.

These conditions are motivated by a notion of restriction of functions from the surface to the fiber. Suppose that G is a rational function on X. We may uniquely write its divisor, near the fiber F, as $\mathrm{div}(G)=H+V$, where V is the "vertical" part of the divisor consisting of linear combinations of components of F, and H is the "horizontal" part of the divisor consisting of linear combinations of multi-sections for the fibration map. If one restricts to the general fiber near F, one only sees the contributions from H. We want to make the following regularity assumption for the function G:

$\{*\}$ No curve appearing in the horizontal part H passes through any node of F.

Under this assumption, we see that the zeroes and poles of G, as we approach the singular fiber F, survive in the smooth part F^{sm} of F. Therefore we have a chance of obtaining a limiting version of Abel's theorem.

Take then such a rational function G, and let us define a "restriction" to the fiber F, which will be an element of the group $\mathcal{K}$. Fix a standard set of affine coordinates $\{u_j\}$ on F, and also assume that we have normalized the base curve so that $\pi=0$ along F. Write $V=\sum_j r_j C_j$ as the vertical part of $\mathrm{div}(G)$. For each j, consider the ratio G/π^{r_j}; this is a rational function on X which does not have a zero or pole identically along C_j (since π has a zero of order one along F). Restricting this function to C_j gives a nonzero rational function $g_j(u_j)$.

Lemma 6.8. *If G satisfies the regularity condition $\{*\}$, then the m-tuple $(g_0,\ldots,g_{m-1})$ lies in $\mathcal{K}$.*

Proof. Near the point $C_j\cap C_{j+1}$, we have local coordinates U_{j+1} and V_j on X as in the definition of a standard set of affine coordinates. The curve C_j is defined locally by $U_{j+1}=0$, and C_{j+1} by $V_j=0$. Moreover the fibration map π is locally of the form $\pi=U_{j+1}V_j$. Hence near $C_j\cap C_{j+1}$, we may write G as

$$G(U_{j+1},V_j)=U_{j+1}^{r_j}V_j^{r_{j+1}}L(U_{j+1},V_j)$$

where the condition $\{*\}$ implies that $L(0,0)\neq 0$. With this notation we have $g_j(v_j)=v_j^{r_{j+1}-r_j}L(0,v_j)$ and $g_{j+1}(u_{j+1})=u_{j+1}^{r_j-r_{j+1}}L(u_{j+1},0)$.

Thus we see that $n_\infty(g_j)=r_{j+1}-r_j$ and $n_0(g_{j+1})=r_j-r_{j+1}$, proving that condition (a) of the definition of $\mathcal{K}$ is satisfied.

We also have that $c_\infty(g_j)=c_0(g_{j+1})=L(0,0)$, giving us condition (b).

Finally, the sum $\sum_j n_0(g_j)$ telescopes to 0, showing that condition (c) holds. □

From this point of view, the definition of $\mathcal{K}$, though at first glance rather ad-hoc, is actually quite natural. Motivated by the above, we call $\mathcal{K}$ the *function group* of the singular fiber F.

We have a divisor map $\operatorname{div}\colon \mathcal{K}\to \operatorname{Div}(F^{sm})$, sending an m-tuple $(g_0,\dots,g_{m-1})$ to the formal sum of the zeroes and poles of each g_j, throwing away any part of the divisor at the nodes. This map is a group homomorphism. Recall that we also have a natural summation map Φ from $\operatorname{Div}(F^{sm})$ to F^{sm}. Abel's theorem for F can be stated as follows.

Theorem 6.9. *A divisor $D\in\operatorname{Div}(F^{sm})$ is the divisor of an element of $\mathcal{K}$ if and only if $\deg(D)=0$ and $\Phi(D)=0$.*

Proof. Let $(\underline{g})\in\mathcal{K}$, and let $D=\operatorname{div}(\underline{g})$. For each j, write

$$g_j(u_j)=\alpha_j u_j^{l_j}\prod_{i=1}^{e_j}(u_j-\lambda_i^{(j)})\Big/\prod_{k=1}^{f_j}(u_j-\mu_k^{(j)}).$$

From the definition of $\mathcal{K}$, we must have

$$\begin{aligned} l_j-l_{j+1}&=f_j-e_j,\\ \alpha_j&=\alpha_{j+1}(-1)^{e_{j+1}+f_{j+1}}\prod_i\lambda_i^{(j)}\Big/\prod_k\mu_k^{(j)}, \quad\text{and}\\ \sum_j l_j&=0. \end{aligned}$$

Summing the first set of equations over j, we see that

$$\deg(D)=\sum_j(e_j-f_j)=0.$$

Multiplying the second set of equations over j, and applying the above, we have that

$$\prod_j\frac{\prod_i\lambda_i^{(j)}}{\prod_k\mu_k^{(j)}}=1$$

which shows that the $\mathbf{C}^*$ part of the group element $\Phi(D)$ is trivial. Finally, to show that the $\mathbf{Z}/m$ part of $\Phi(D)$ is trivial, we must show that $\sum_j j(e_j - f_j) = 0 \mod m$. Writing $e_j - f_j$ as $l_{j+1} - l_j$ using the first equation, we see that this sum telescopes to

$$\sum_j j(e_j - f_j) = ml_0 - \sum_j l_j$$

which is 0 mod m by the third equation. This completes the proof of the necessity of the conditions on D.

We leave the sufficiency, which is equally elementary, to the reader. □

We note that the only elements $(\underline{g})$ of $\mathcal{K}$ which have $\operatorname{div}(\underline{g}) = 0$ are the constant elements, where $g_j = c$ for every j with c being a fixed nonzero complex number. We thus have an exact sequence

$$0 \to \mathbf{C}^* \to \mathcal{K} \xrightarrow{\text{div}} \operatorname{Div}_0(F^{sm}) \xrightarrow{\Phi} F^{sm} \to 0$$

where $\operatorname{Div}_0(F^{sm})$ is the group of divisors of degree 0 on F^{sm}.

Example 6.10. Suppose F is a fiber of type I_{mk}, with a standard set of affine coordinates $\{u_j\}$. Fix a primitive m^{th} root of unity ζ, and an integer $\alpha \in [0, m-1]$, and let p be the point of $C_{\alpha k}$ with coordinate $u_{\alpha k} = \zeta$. We note that p is a point of order m in the group law of F^{sm}, so that $D = mp - m\underline{0}$ is a divisor on F^{sm} with $\deg(D) = 0$ and $\Phi(D) = \underline{0}$ (where $\underline{0}$ is the origin of the group law, i.e., the point in C_0 with coordinate $u_0 = 1$).

By Abel's theorem, there is an element $(\underline{g}) \in \mathcal{K}$ such that $\operatorname{div}(\underline{g}) = D$. If $\alpha = 0$, this element $(\underline{g})$ is

$$g_0 = \frac{(u_0 - \zeta)^m}{(u_0 - 1)^m}, \quad g_j = 1 \text{ for } j \neq 0.$$

If $1 \leq \alpha \leq m-1$, we have

$$\begin{aligned}
g_0 &= \frac{u_0^\alpha}{(u_0 - 1)^m}, \\
g_j &= u_j^{\alpha - m} && \text{for } j = 1, \ldots, \alpha k - 1, \\
g_{\alpha k} &= (-1)^m u_{\alpha k}^{\alpha - m} (u_{\alpha k} - \zeta)^m, && \text{and} \\
g_j &= (-1)^m u_j^\alpha && \text{for } j = \alpha k + 1, \ldots, mk - 1.
\end{aligned}$$

7. The limit Weil pairing on a degenerate elliptic curve

The Weil pairing on a smooth elliptic curve E is defined as follows (see [Sil, Chapter III, Section 8]). Let S and T be two points of order m on E. Choose a rational function g on E with $\mathrm{div}(g)=[m]^*(T)-[m]^*(\underline{0})$, where $[m]$ denotes multiplication by m. Then the Weil pairing e_m on the m-torsion points of E is defined by

$$e_m(S,T)=g(X\oplus S)/g(X)$$

for any $X\in E$ where both $g(X\oplus S)$ and $g(X)$ are defined and nonzero. (We use $\oplus$ as the group law in E to avoid confusion.) The existence of the rational function g relies solely on Abel's theorem for E, as does the fact that e_m has values in the group of m^{th} roots of unity.

In the previous section, we developed an Abel theorem for a singular fiber F of type I_k on a smooth elliptic surface, by replacing the notion of the field of rational functions with the limit function group $\mathcal{K}$. This allows us to define in the same way a limit Weil pairing on the m-torsion points of F, which we also denote by e_m. In this section we compute it.

Since the e_m pairing is isotropic and skew-symmetric, it suffices to compute $e_m(S,T)$ for generators S and T of the group of m-torsion points on F.

Fix an integer m; in order that F have m^2 m-torsion points, F must be of type I_{mk} for some k. Since the Weil pairing is formally invariant under base change, we may assume $k=1$ and F is of type I_m. Also fix a standard set of affine coordinates $\{u_j\}$ on F. Let $\zeta=\exp(2\pi i/m)$ be a primitive m^{th} root of unity. Let T be the m-torsion point of F which is in component C_0 having coordinate $u_0=\zeta$. Let S be the m-torsion point of F which is in component C_1 having coordinate $u_1=1$. These points S and T generate the group of m-torsion points of F.

Let $\nu=\exp(2\pi i/m^2)$ so that $\nu^m=\zeta$. Consider the point $T'\in F$ in component C_0 having coordinate $u_0=\nu$; note that $mT'=T$, and $[m]^*(T)=\sum_R(T'\oplus R)$ where the sum is taken over all m-torsion points of F. Similarly, $[m]^*(\underline{0})=\sum_R(R)$.

The element $(\underline{g})\in\mathcal{K}$ such that $\mathrm{div}(\underline{g})=[m]^*(T)-[m]^*(\underline{0})$ is defined by

$$g_j(u_j)=\zeta^{-j}\frac{u_j^m-\zeta}{u_j^m-1}.$$

Now choose an $X\in C_0$ with coordinate $u_0=x$. The point $X\oplus S$ is then the point in C_1 with coordinate x. Thus

$$\underline{g}(X\oplus S)/\underline{g}(X)=g_1(x)/g_0(x)=\zeta^{-1}\frac{x^m-\zeta}{x^m-1}\Big/\frac{x^m-\zeta}{x^m-1}=\zeta^{-1}.$$

This shows that $e_m(S,T)=\zeta^{-1}$.

Let $M(t,s)=tT\oplus sS$ be the general point of order m in F; note that $M(t,s)$ is in component C_s and has coordinate $u_s=\zeta^t$. Extending the calculation above using the bilinearity and skew-symmetry we obtain the following.

Proposition 7.1. *With the above notation, the limit Weil pairing on F takes the form*

$$e_m(M(t_1,s_1),M(t_2,s_2))=\zeta^{t_1s_2-t_2s_1}.$$

Note that this limit Weil pairing is computed using Abel's theorem for the limit function group $\mathcal{K}$; since $\mathcal{K}$ is independent of the choice of the standard set of affine coordinates used, as are $\deg(D)$ and $\Phi(D)$, we see that Abel's Theorem and the limit Weil pairing are both well-defined, independent of the choices made. (The formula of the above Proposition also depends on the choice of the sections S and T; if we choose different sections, the formula may change, but the limit Weil pairing does not.)

Moreover the limit Weil pairing is indeed the limit of the usual Weil pairing on the nearby smooth fibers of the elliptic surface. This follows from the nature of the element $(\underline{g})\in\mathcal{K}$ used in the computation above: each g_j has degree 0 on the component where it is defined, and hence is the usual restriction of a rational function G on the elliptic surface. This function G can be chosen so that, when restricted to the nearby smooth fibers, it is the function used to define the Weil pairing there. Therefore the limit Weil pairing is the limit of the usual Weil pairing.

8. The root-of-unity and component number relationship via the Weil pairing

Finally, we want to point out that the duality between the root-of-unity results and the component number results, which were mentioned in Section 4 as being related to the canonical involution, can be expressed also in terms of the Weil pairing. We will compute this Weil pairing on the singular fibers using the limit Weil pairing developed in the previous section.

First let W be a torsion section of order m of an elliptic surface passing through the zero component C_0 of an I_m fiber. Let $\zeta=\exp(2\pi i/m)$ be a primitive m^{th} root of unity. Assume that the I_m fiber is given a standard set of affine coordinates, such that the point $W\cap C_0$ has coordinate $u_0=\zeta^a$, with $(a,m)=1$. In the notation of the last section, we have $W=aT$. Let W^* be the set of (local) sections Z such that $e_m(W,Z)=\zeta$. By the computation given in Proposition 7.1, we see that such a local section Z is one which passes through component b, where $ab\equiv 1 \mod m$.

Specializing to the case where m is an odd prime p, and using the root-of-unity numbers l and the component numbers k, we see the following:

(8.1) If $k_j(W)=0$ and $l_j(W)=a$, then W^* is the set of local sections Z with $k_j(Z)=a^{-1}$.

Finally let us return to the modular surface $\pi: Y_1(p)\to X_1(p)$ and the quotient $\pi': Y_1'(p)\to X_1(p)$, as described in Section 5. In the above, set $W=T$, the universal section, and fix a cusp x of type I_1 on $X_1(p)$. Assume that $l_x(T)=a$ for this cusp, that is, $T\cap C_0$ is the point with coordinate ζ^a. The section T' on the quotient is induced by the multisection of π which, by (5.1), has $k_x=a^{-1}$. Since the Weil pairing is invariant under isogeny, we see by (8.1) that T' is exactly the image, locally, of the set W^* of sections pairing with T to give value ζ. Alternatively, we may write

$$T' = \text{ image of } e_m(T,-)^{-1}(\zeta).$$

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