

# INVARIANTS AND FUNDAMENTAL FUNCTIONS

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## Introduction

Let  $E$  be a finite-dimensional vector space over  $\mathbf{R}$  and  $G$  a group of linear transformations of  $E$  leaving invariant a nondegenerate quadratic form  $B$ . The action of  $G$  on  $E$  extends to an action of  $G$  on the ring of polynomials on  $E$ . The fixed points, the  $G$ -invariants, form a subring. The  $G$ -harmonic polynomials are the common solutions of the differential equations formed by the  $G$ -invariants. Under some general assumptions on  $G$  it is shown in §1 that the ring of all polynomials on  $E$  is spanned by products  $ih$  where  $i$  is a  $G$ -invariant and  $h$  is  $G$ -harmonic, and that the  $G$ -harmonic polynomials are of two types:

1. Those which vanish identically on the algebraic variety  $N_G$  determined by the  $G$ -invariants;
2. The powers of the linear forms given by points in  $N_G$ .

The analogous situation for the exterior algebra is examined in §2.

Section 3 is devoted to a study of the functions on the real quadric  $B=1$  whose translates under the orthogonal group  $\mathbf{O}(B)$  span a finite-dimensional space. The main result of the paper (Theorem 3.2) states that (if  $\dim E > 2$ ) these functions can always be extended to polynomials on  $E$  and in fact to  $\mathbf{O}(B)$ -harmonic polynomials on  $E$  due to the results of §1.

The results of this paper along with some others have been announced in a short note [9].

## § 1. Decomposition of the symmetric algebra

Let  $E$  be a finite-dimensional vector space over a field  $K$ , let  $E^*$  denote the dual of  $E$  and  $S(E^*)$  the algebra of  $K$ -valued polynomial functions on  $E$ . The sym-

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metric algebra  $S(E)$  will be identified with  $S((E^*)^*)$  by means of the extension of the canonical isomorphism of  $E$  onto  $(E^*)^*$ .

Now suppose  $K$  is the field of real numbers  $\mathbf{R}$ , and let  $C^\infty(E)$  be the set of differentiable functions on  $E$ . Each  $X \in E$  gives rise (by parallel translation) to a vector field on  $E$  which we consider as a differential operator  $\partial(X)$  on  $E$ . Thus, if  $f \in C^\infty(E)$ ,  $\partial(X)f$  is the function  $Y \rightarrow \{(d/dt)(f(Y+tX))\}_{t=0}$  on  $E$ . The mapping  $X \rightarrow \partial(X)$  extends to an isomorphism of the symmetric algebra  $S(E)$  (respectively, the complex symmetric algebra  $S^c(E) = \mathbf{C} \otimes S(E)$ ) onto the algebra of all differential operators on  $E$  with constant real (resp. complex) coefficients.

Let  $H$  be a subgroup of the general linear group  $\mathbf{GL}(E)$ . Let  $I(E)$  denote the set of  $H$ -invariants in  $S(E)$  and let  $I_+(E)$  denote the set of  $H$ -invariants without constant term. The group  $H$  acts on  $E^*$  by

$$(h \cdot e^*)(e) = e^*(h^{-1} \cdot e), \quad h \in H, e \in E, e^* \in E^*,$$

and we have  $I_+(E^*) \subset I(E^*) \subset S(E^*)$ . An element  $p \in S^c(E^*)$  is called  $H$ -harmonic if  $\partial(J)p = 0$  for all  $J \in I_+(E)$ . Let  $H^c(E^*)$  denote the set of  $H$ -harmonic polynomial functions and put  $H(E^*) = S(E^*) \cap H^c(E^*)$ . Let  $I^c(E)$  and  $I^c(E^*)$ , respectively, denote the subspaces of  $S^c(E)$  and  $S^c(E^*)$  generated by  $I(E)$  and  $I(E^*)$ . Each polynomial function  $p \in S^c(E^*)$  extends uniquely to a polynomial function on the complexification  $E^c$ , also denoted by  $p$ . Let  $N_H$  denote the variety in  $E^c$  defined by

$$N_H = \{X \in E^c \mid j(X) = 0 \text{ for all } j \in I_+(E^*)\}.$$

Now suppose  $B_0$  is a nondegenerate symmetric bilinear form on  $E \times E$ ; let  $B$  denote the unique extension of  $B_0$  to a bilinear form on  $E^c \times E^c$ . If  $X \in E^c$ , let  $X^*$  denote the linear form  $Y \rightarrow B(X, Y)$  on  $E$ . The mapping  $X \rightarrow X^*$  ( $X \in E$ ) extends uniquely to an isomorphism  $\mu$  of  $S^c(E)$  onto  $S^c(E^*)$ . Under this isomorphism  $B_0$  gives rise to a bilinear form on  $E^* \times E^*$  which in a well-known fashion ([5]) extends to a bilinear form  $\langle, \rangle$  on  $S^c(E^*) \times S^c(E^*)$ . The formula for  $\langle, \rangle$  is

$$\langle p, q \rangle = [\partial(\mu^{-1}p)q](0), \quad p, q \in S^c(E^*),$$

where for any operator  $A: C^\infty(E) \rightarrow C^\infty(E)$ , and any function  $f \in C^\infty(E)$ ,  $[Af](X)$  denotes the value of the function  $Af$  at  $X$ . The bilinear form  $\langle, \rangle$  is still symmetric and nondegenerate. Moreover, if  $p, q, r \in S^c(E^*)$  and  $Q = \mu^{-1}(q)$ ,  $R = \mu^{-1}(r)$ , then

$$\langle p, qr \rangle = [\partial(QR)p](0) = [\partial(Q)\partial(R)p](0) = [\partial(R)\partial(Q)p](0) = \langle \partial(Q)p, r \rangle,$$

which shows that multiplication by  $\mu(Q)$  is the adjoint operator to the operator  $\partial(Q)$ .

Now suppose  $H$  leaves  $B_0$  invariant; then  $\langle, \rangle$  is also left invariant by  $H$  and

$$\mu(I^c(E)) = I^c(E^*). \tag{1}$$

Let  $P$  be a homogeneous element in  $S^c(E)$  of degree  $k$ . If  $n$  is an integer  $\geq k$  then the relation

$$\partial(P)((X^*)^n) = n(n-1) \dots (n-k+1) \mu(P)(X)(X^*)^{n-k}, \quad X \in E^c, \tag{2}$$

can be verified by a simple computation. In particular if  $X \in N_H$  then  $(X^*)^n$  is a harmonic polynomial function. Let  $H_1(E^*)$  denote the vector space over  $\mathbb{C}$  spanned by the functions  $(X^*)^n$ , ( $n = 0, 1, 2, \dots, X \in N_H$ ) and let  $H_2(E^*)$  denote the set of harmonic polynomial functions which vanish identically on  $N_H$ .

If  $A$  is a subspace of  $S^c(E^*)$  and  $k$  an integer  $\geq 0$ ,  $A_k$  shall denote the set of elements in  $A$  of degree  $k$ ;  $A$  is called *homogeneous* if  $A = \sum_{k \geq 0} A_k$ . The spaces  $I(E^*)$ ,  $H(E^*)$ ,  $H_1(E^*)$  and the ideal  $I_+(E^*)S(E^*)$  are clearly homogeneous.

LEMMA 1.1.  $H_2(E^*)$  is homogeneous.

*Proof.* Let  $A = I_+(E^*)S^c(E^*)$ . Then  $N_H$  is the variety of common zeros of elements of the ideal  $A$ . By Hilbert's Nullstellensatz (see e.g. [18], p. 164), the polynomials in  $S^c(E^*)$  which vanish identically on  $N_H$  constitute the radical  $\sqrt{A}$  of  $A$ , that is the set of elements in  $S^c(E^*)$  of which some power lies in  $A$ . Since  $A$  is homogeneous,  $\sqrt{A}$  is easily seen to be homogeneous so the lemma follows from  $H_2(E^*) = H^c(E^*) \cap \sqrt{A}$ .

If  $C$  and  $D$  are subspaces of an associative algebra then  $CD$  shall denote the set of all finite sums  $\sum_i c_i d_i$  ( $c_i \in C, d_i \in D$ ).

THEOREM 1.2. Let  $U$  be a compact group of linear transformations of a vector space  $W_0$  over  $\mathbb{R}$ . Then

$$S(W_0^*) = I(W_0^*)H(W_0^*). \tag{3}$$

Let  $B_0$  be any strictly positive definite symmetric bilinear form on  $W_0 \times W_0$  invariant under  $U$  (such a  $B_0$  exists). Then  $H^c(W_0^*)$  is the orthogonal direct sum,

$$H^c(W_0^*) = H_1(W_0^*) + H_2(W_0^*). \tag{4}$$

*Proof.* Using an orthonormal basis of  $W_0$  it is not hard to verify that the bilinear form  $\langle, \rangle$  is now strictly positive definite on  $S(W_0^*) \times S(W_0^*)$ . On combining this fact with the remark above about the adjoint of  $\partial(Q)$  the orthogonal decomposition

$$S(W_0^*)_k = (I_+(W_0^*)S(W_0^*))_k + H(W_0^*)_k \tag{5}$$

is quickly established for each integer  $k \geq 0$ . Now (3) follows by iteration of (5). In order to prove (4) consider the orthogonal complement  $M$  of  $(H_1(W_0^*))_k$  in  $(H^c(W_0^*))_k$ . Let  $q \in (H^c(W_0^*))_k$ ,  $Q = \mu^{-1}(q)$ . Then  $q \in M \Leftrightarrow [\partial(Q)h](0) = 0$  for all  $h \in (H_1(W_0^*))_k \Leftrightarrow \partial(Q)((X^*)^k) = 0$  for all  $X \in N_U$ . In view of (2) this last condition amounts to  $q$  vanishing identically on  $N_U$ ; consequently  $M = (H_2(W_0^*))_k$ . This proves the formula (4) since all terms in it are homogeneous.

*Remark 1.* Theorem 1.2 was proved independently by B. Kostant who has also sharpened it substantially in the case when  $W_0$  is a compact Lie algebra and  $U$  is its adjoint group (see [11 a]).

*Remark 2.* In the case when  $U$  is the orthogonal group  $\mathbf{O}(n)$  acting on  $W_0 = \mathbf{R}^n$  then  $I(W_0^*)$  consists of all polynomials in  $x_1^2 + \dots + x_n^2$  and  $H(W_0^*)$  consists of all polynomials  $p(x_1, \dots, x_n)$  which satisfy Laplace's equation. In view of (5) a harmonic polynomial  $\neq 0$  is not divisible by  $x_1^2 + \dots + x_n^2$ . Since the ideal  $(x_1^2 + \dots + x_n^2)S^c(W_0^*)$  equals its own radical,  $H_2(W_0^*) = 0$  in this case. Theorem 1.2 therefore states that each polynomial  $p = p(x_1, \dots, x_n)$  can be decomposed  $p = \sum_k (x_1^2 + \dots + x_n^2)^k h_k$  where  $h_k$  is harmonic and that the complex polynomials  $(a_1 x_1 + \dots + a_n x_n)^k$  where  $a_1^2 + \dots + a_n^2 = 0$ ,  $k = 0, 1, \dots$  span the space of all harmonic polynomials. These facts are well known (see e.g. [2], p. 285 and [13]).

**THEOREM 1.3.** *Let  $V_0$  be a finite-dimensional vector space over  $\mathbf{R}$  and let  $G_0$  be a connected semisimple Lie subgroup of  $\mathbf{GL}(V_0)$  leaving invariant a nondegenerate symmetric bilinear form  $B_0$  on  $V_0 \times V_0$ . Then*

$$\begin{aligned} S(V_0^*) &= I(V_0^*)H(V_0^*), \\ H^c(V_0^*) &= H_1(V_0^*) + H_2(V_0^*), \quad (\text{direct sum}). \end{aligned}$$

We shall reduce this theorem to Theorem 1.2 by use of an arbitrary compact real form  $\mathfrak{u}$  of the complexification  $\mathfrak{g}$  of the Lie algebra  $\mathfrak{g}_0$  of  $G_0$ . Let  $V$  denote the complexification of  $V_0$  and let  $B$  denote the unique extension of  $B_0$  to a bilinear form on  $V \times V$ . The Lie algebra  $\mathfrak{gl}(V_0)$  of  $\mathbf{GL}(V_0)$  consists of all endomorphisms of  $V_0$  and  $\mathfrak{g}_0$  is a subalgebra of  $\mathfrak{gl}(V_0)$ . Consequently the complexification  $\mathfrak{g}$  is a subalgebra of the Lie algebra  $\mathfrak{gl}(V)$  of all endomorphisms of  $V$ . Let  $U$  and  $G$  denote the connected Lie subgroups of  $\mathbf{GL}(V)$  (considered as a real Lie group) which correspond to  $\mathfrak{u}$  and  $\mathfrak{g}$  respectively. The elements of  $G_0$  extend uniquely to endomorphisms of  $V$  whereby  $G_0$  becomes a Lie subgroup of  $G$  leaving  $B$  invariant. This implies that

$$B(T \cdot Z_1, Z_2) + B(Z_1, T \cdot Z_2) = 0, \quad Z_1, Z_2 \in V, T \in \mathfrak{g}_0. \quad (6)$$

However, since  $(T_1 + iT_2) \cdot Z = T_1 \cdot Z + iT_2 \cdot Z$  for  $T_1, T_2 \in \mathfrak{g}_0$ ,  $Z \in V$  it is clear that (6) holds for all  $T \in \mathfrak{g}$  so, by the connectedness of  $G$ ,  $B$  is left invariant by  $G$ .

LEMMA 1.4. *There exists a real form  $W_0$  of  $V$  on which  $B$  is strictly positive definite and which is left invariant by  $U$ .*

*Proof.* By the usual reduction of quadratic forms the space  $V_0$  is an orthogonal direct sum  $V_0 = V_0^- + V_0^+$  where  $V_0^-$  and  $V_0^+$  are vector subspaces on which  $-B_0$  and  $B_0$ , respectively, are strictly positive definite. Let  $J$  denote the linear transformation of  $V$  determined by

$$JZ = iZ \text{ for } Z \in V_0^-, \quad JZ = Z \text{ for } Z \in V_0^+.$$

Then the bilinear form

$$B'(Z_1, Z_2) = B(JZ_1, JZ_2) \quad (Z_1, Z_2 \in V),$$

is strictly positive definite on  $V_0$ . Let  $\mathbf{O}(B), \mathbf{O}(B') \subset \mathbf{GL}(V)$  denote the orthogonal groups of  $B$  and  $B'$  respectively and let  $\mathbf{O}(B'_0)$  denote the subgroup of  $\mathbf{O}(B')$  which leaves  $V_0$  invariant, i.e.  $\mathbf{O}(B'_0) = \mathbf{O}(B') \cap \mathbf{GL}(V_0)$ . Now

$$U \subset G \subset \mathbf{O}(B) = \mathbf{JO}(B')J^{-1}.$$

On the other hand, the identity component of the group  $\mathbf{JO}(B'_0)J^{-1}$  is a maximal compact subgroup of the identity component of  $\mathbf{JO}(B')J^{-1}$ . By an elementary special case of Cartan's conjugacy theorem, (see e.g. [10] p. 218), this last group contains an element  $g$  such that  $g^{-1}Ug \subset \mathbf{JO}(B'_0)J^{-1}$ . Then the real form  $W_0 = gJV_0$  of  $V$  has the properties stated in the lemma. In fact,  $U \cdot W_0 \subset W_0$  is obvious and if  $X \in W_0$  then since  $J^{-1}g^{-1}J \in \mathbf{O}(B')$ , we have

$$B(X, X) = B'(J^{-1}X, J^{-1}X) = B'(J^{-1}g^{-1}X, J^{-1}g^{-1}X) \geq 0.$$

Now the bilinear form  $B$  is nondegenerate on  $V_0 \times V_0, W_0 \times W_0$  and  $V \times V$ . As remarked before this induces the isomorphisms

$$\mu_1: S^c(V_0) \rightarrow S^c(V_0^*), \quad \mu_2: S^c(W_0) \rightarrow S^c(W_0^*), \quad \mu: S(V) \rightarrow S(V^*),$$

all of which are onto. By restriction of a complex-valued function on  $V$  to  $V_0$  and to  $W_0$  respectively we get the isomorphisms

$$\lambda_1: S(V^*) \rightarrow S^c(V_0^*), \quad \lambda_2: S(V^*) \rightarrow S^c(W_0^*),$$

both of which are onto. Since  $S(V) = S((V^*)^*)$  we get by restricting complex-valued functions on  $V^*$  to  $V_0^*$  and to  $W_0^*$  respectively, the isomorphisms

$$\Lambda_1: S(V) \rightarrow S^c(V_0), \quad \Lambda_2: S(V) \rightarrow S^c(W_0).$$

Then we have the commutative diagram

$$\begin{array}{ccccc}
 S^c(V_0) & \xleftarrow{\Lambda_1} & S(V) & \xrightarrow{\Lambda_2} & S^c(W_0) \\
 \mu_1 \downarrow & & \mu \downarrow & & \mu_2 \downarrow \\
 S^c(V_0^*) & \xleftarrow{\lambda_1} & S(V^*) & \xrightarrow{\lambda_2} & S^c(W_0^*)
 \end{array}$$

Corresponding to the actions of  $G_0$  on  $V_0$ , of  $U$  on  $W_0$  and of  $G$  on  $V$  we consider the spaces of invariants  $I^c(V_0^*)$ ,  $I^c(V_0)$ ,  $I^c(W_0)$ ,  $I^c(W_0^*)$  and  $I(V)$ ,  $I(V^*)$ .

LEMMA 1.5. *Let  $\lambda = \lambda_2 \lambda_1^{-1}$ ,  $\Lambda = \Lambda_2 \Lambda_1^{-1}$ . Then*

$$\lambda(I^c(V_0^*)) = I^c(W_0^*), \quad \Lambda(I^c(V_0)) = I^c(W_0).$$

*Proof.* Since  $G_0 \subset G$  it is clear that  $\lambda_1(I(V^*)) \subset I^c(V_0^*)$ . On the other hand, let  $p \in I^c(V_0^*)$ . If  $Z \in \mathfrak{g}_0$  let  $d_Z$  denote the unique derivation of  $S^c(V_0^*)$  which satisfies  $(d_Z \cdot v^*)(X) = v^*(Z \cdot X)$  for  $v^* \in V_0^*$ ,  $X \in V_0$ . Then

$$d_Z \cdot p = 0. \tag{7}$$

Let  $(X_1, \dots, X_n)$  be a basis of  $V_0$ ,  $(x_1, \dots, x_n)$  the dual basis of  $V_0^*$ ,  $(z_1, \dots, z_n)$  the basis of  $V^*$  dual to  $(X_1, \dots, X_n)$  considered as a basis of  $V$ . Then (7) is an identity in  $(x_1, \dots, x_n)$  which remains valid after the substitution  $x_1 \rightarrow z_1, \dots, x_n \rightarrow z_n$ . This means that

$$\delta_Z \cdot (\lambda_1^{-1} p) = 0, \tag{8}$$

where  $\delta_Z$  is the derivation of  $S(V^*)$  which satisfies  $(\delta_Z \cdot v^*)(X) = v^*(Z \cdot X)$  for  $v^* \in V^*$ ,  $X \in V$ . However  $\delta_Z$  can be defined for all  $Z \in \mathfrak{g}$  by this last condition and (8) remains valid for all  $Z \in \mathfrak{g}$ . Since  $G$  is connected, this implies  $\lambda_1^{-1} p \in I(V^*)$ . Thus  $\lambda_1(I(V^*)) = I^c(V_0^*)$ ; similarly  $\lambda_2(I(V^*)) = I^c(W_0^*)$  and the first statement of the lemma follows. The second statement follows from the first, taking into account (1) and the diagram above.

LEMMA 1.6. *Let  $P \in S^c(V_0)$ ,  $q \in S^c(V_0^*)$ . Then*

$$\partial(\Lambda P)(\lambda q) = \lambda(\partial(P)q). \tag{9}$$

*Proof.* First suppose  $P = X \in V_0$ ,  $q = \mu_1(Y)$  ( $Y \in V_0$ ). In this case one verifies easily that both sides of (9) reduce to  $B(X, Y)$ . Next observe that the mappings  $q \rightarrow \partial(\Lambda X) \lambda q$  and  $q \rightarrow \lambda(\partial(X)q)$  are derivations of  $S^c(V_0^*)$  which coincide on  $V_0^*$ , hence on all of  $S^c(V_0^*)$ . Since the mappings  $P \rightarrow \partial(\Lambda P)$  and  $P \rightarrow \partial(P)$  are isomorphisms, (9) follows in general.

Combining the two last lemmas we get

LEMMA 1.7.  $\lambda(H^c(V_0^*)) = H^c(W_0^*)$ .

Now we apply the isomorphism  $\lambda^{-1}$  to the relation (3) in Theorem 1.2. Using Lemmas 1.5 and 1.7 we get the first formula in Theorem 1.3. Next we note that due to Lemma 1.5 the varieties  $N_U$  and  $N_{G_0}$  coincide. Consequently  $\lambda(H_i(V_0^*)) = H_i(W_0^*)$  ( $i=1, 2$ ) so Theorem 1.3 follows.

*Remark.* In the case when the ideals  $I_+(W_0^*)S^c(W_0^*)$  and  $I_+(V_0^*)S^c(V_0^*)$  are prime ideals they are equal to their own radicals. Hence it follows from (5) (and the analogous relation for  $V_0^*$ ) that  $H_2(W_0^*) = H_2(V_0^*) = \{0\}$ . In this case Theorems 1.2 and 1.3 are contained in the results of Maass [13], proved quite differently.

### § 2. Decomposition of the exterior algebra

Let  $E$  be a finite-dimensional vector space over  $\mathbf{R}$  as in § 1 and let  $\Lambda(E)$  and  $\Lambda(E^*)$ , respectively, denote the Grassmann algebras over  $E$  and its dual. Each  $X \in E$  induces an anti-derivation  $\delta(X)$  of  $\Lambda(E^*)$  given by

$$\delta(X)(x_1 \wedge \dots \wedge x_m) = \sum_{k=1}^m (-1)^{k-1} x_k(X) (x_1 \wedge \dots \wedge \hat{x}_k \wedge \dots \wedge x_m),$$

where  $\hat{x}_k$  indicates omission of  $x_k$ . The mapping  $X \rightarrow \delta(X)$  extends uniquely to a homomorphism of the tensor algebra  $T(E)$  over  $E$  into the algebra of all endomorphisms of  $\Lambda(E^*)$ . Since  $\delta(X \otimes X) = \delta(X)^2 = 0$  there is induced a homomorphism  $P \rightarrow \delta(P)$  of  $\Lambda(E)$  into the algebra of endomorphisms of  $\Lambda(E^*)$ . As will be noted below, this homomorphism is actually an isomorphism.

Now suppose  $B$  is any nondegenerate symmetric bilinear form on  $E \times E$ . The mapping  $X \rightarrow X^*$  ( $X^*(Y) = B(X, Y)$ ) extends to an isomorphism  $\mu$  of  $\Lambda(E)$  onto  $\Lambda(E^*)$ . We obtain a bilinear form  $\langle, \rangle$  on  $\Lambda(E^*) \times \Lambda(E^*)$  by the formula

$$\langle p, q \rangle = [\delta(\mu^{-1}(p))q](0). \tag{1}$$

If  $x_1, \dots, x_k, y_1, \dots, y_l \in E^*$  then

$$\langle x_1 \wedge \dots \wedge x_k, y_1 \wedge \dots \wedge y_l \rangle = 0 \quad \text{or} \quad (-1)^{\frac{1}{2}k(k-1)} \det(B(\mu^{-1}x_i, \mu^{-1}y_j)), \tag{2}$$

depending on whether  $k \neq l$  or  $k = l$ . It follows that  $\langle, \rangle$  is a symmetric nondegenerate bilinear form. Also if  $Q \in \Lambda(E)$ ,  $q = \mu(Q)$  then the operator  $p \rightarrow p \wedge q$  on  $\Lambda(E^*)$  is the adjoint of the operator  $\partial(Q)$ . It is also easy to see from (1) and (2) that the mapping

$P \rightarrow \delta(P)$  ( $P \in \Lambda(E)$ ) above is an isomorphism. Finally, if  $B$  is strictly positive definite the same holds for  $\langle, \rangle$ .

Now let  $G$  be a group of linear transformations of  $E$ . Then  $G$  acts on  $E^*$  as before and acts as a group of automorphisms of  $\Lambda(E)$  and  $\Lambda(E^*)$ . Let  $J(E)$  and  $J(E^*)$  denote the set of  $G$ -invariants in  $\Lambda(E)$  and  $\Lambda(E^*)$  respectively; let  $J_+(E)$  and  $J_+(E^*)$  denote the subspaces consisting of all invariants without constant term. An element  $p \in \Lambda(E^*)$  is called  $G$ -primitive if  $\delta(Q)p = 0$  for all  $Q \in J_+(E)$ . Let  $P(E^*)$  denote the set of all  $G$ -primitive elements.

**THEOREM 2.1.** *Let  $B$  be a nondegenerate, symmetric bilinear form on  $E \times E$  and let  $G$  be a Lie subgroup of  $\mathbf{GL}(E)$  leaving  $B$  invariant. Suppose that either (i)  $G$  is compact and  $B$  positive definite or (ii)  $G$  is connected and semisimple. Then*

$$\Lambda(E^*) = J(E^*)P(E^*). \quad (3)$$

The proof is quite analogous to that of Theorems 1.2 and 1.3. For the case (i) one first establishes the orthogonal decomposition

$$\Lambda(E^*) = \Lambda(E^*)J_+(E^*) + P(E^*) \quad (4)$$

in the same manner as (5) in §1. Then (3) follows by iteration of (4). The non-compact case (ii) can be reduced to the case (i) by using Lemma 1.4. We omit the details since they are essentially a duplication of the proof of Theorem 1.3.

*Example.* Let  $V$  be an  $n$ -dimensional Hilbert space over  $\mathbf{C}$ . Considering the set  $V$  as a  $2n$ -dimensional vector space  $E$  over  $\mathbf{R}$  the unitary group  $\mathbf{U}(n)$  becomes a subgroup  $G$  of the orthogonal group  $\mathbf{O}(2n)$ . Let  $Z_k = X_k + iY_k$  ( $1 \leq k \leq n$ ) be an orthonormal basis of  $V$ ,  $z_1, \dots, z_n$  the dual basis of  $V^*$ , and put  $x_k = \frac{1}{2}(z_k + \bar{z}_k)$ ,  $y_k = -\frac{1}{2}i(z_k - \bar{z}_k)$ , ( $1 \leq k \leq n$ ).

Let  $F$  denote the vector space over  $\mathbf{C}$  consisting of all  $\mathbf{R}$ -linear mappings of  $V$  into  $\mathbf{C}$ . The exterior algebra  $\Lambda(F)$  is the direct sum

$$\Lambda(F) = \sum_{0 \leq a, b} F_{a, b},$$

where  $F_{a, b}$  is the subspace of  $\Lambda(F)$  spanned by all multilinear forms of the type

$$(z_{\alpha_1} \wedge \dots \wedge z_{\alpha_a}) \wedge (\bar{z}_{\beta_1} \wedge \dots \wedge \bar{z}_{\beta_b}),$$

where  $1 \leq \alpha_1 < \alpha_2 < \dots < \alpha_a \leq n$ ,  $1 \leq \beta_1 < \beta_2 < \dots < \beta_b \leq n$ . The  $G$ -invariants  $J(E^*)$  are given by the space  $J$  of invariants of  $\mathbf{U}(n)$  acting on  $F$ . It is clear that  $J = \sum_{a, b} J_{a, b}$  where  $J_{a, b} = J \cap F_{a, b}$ . Now if  $\varrho_i \in \mathbf{R}$  ( $1 \leq i \leq n$ ) the mapping



$$(Z_1, \dots, Z_n) \rightarrow (e^{i\theta_1} Z_1, \dots, e^{i\theta_n} Z_n)$$

is unitary. As a consequence one finds that  $J_{a,b} = 0$  if  $a \neq b$  and that if  $f \in J_{a,a}$  then

$$f = \sum A_{\alpha_1 \dots \alpha_a} (z_{\alpha_1} \wedge \dots \wedge z_{\alpha_a}) \wedge (\bar{z}_{\alpha_1} \wedge \dots \wedge \bar{z}_{\alpha_a}).$$

Now, there always exists a unitary transformation of  $V$  mapping  $Z_{\alpha_i} \rightarrow Z_i$  ( $1 \leq i \leq a$ ). It follows that  $A_{1 \dots a} = A_{\alpha_1 \dots \alpha_a}$  so  $f$  is a constant multiple of  $(\sum_{\alpha=1}^n z_\alpha \wedge \bar{z}_\alpha)^a$ . Since  $z_\alpha \wedge \bar{z}_\alpha = -2i(x_\alpha \wedge y_\alpha)$  it is clear that  $J(E^*)$  is the algebra generated by  $u = \sum_{\alpha=1}^n x_\alpha \wedge y_\alpha$ . In view of Theorem 2.1 each  $q \in \Lambda(E^*)$  can be written

$$q = \sum_k u^k \wedge p_k, \tag{5}$$

where each  $p_k$  satisfies  $\delta(u)p_k = 0$ . This result is of course well known (Hodge), even for all Kähler manifolds (compare [17], Théorème 3, p. 26).

### § 3. Fundamental functions on quadrics

Let  $G$  be a topological group,  $H$  a closed subgroup, and  $G/H$  the set of left cosets  $gH$  with the usual topology. If  $f$  is a function on  $G/H$  and  $x \in G$  then  $f^x$  denotes the function on  $G/H$  given by  $f^x(gH) = f(xgH)$ .

*Definition.* A complex-valued continuous function  $f$  on  $G/H$  is called *fundamental* if the vector space  $V_f$  over  $\mathbb{C}$  spanned by the functions  $f^x$  ( $x \in G$ ) is finite-dimensional.

Fundamental functions arise of course in a natural fashion in the theory of finite-dimensional representations of topological groups. First we remark that if  $\pi$  denotes the natural mapping of  $G$  onto  $G/H$  then  $f$  is fundamental on  $G/H$  if and only if  $f \circ \pi$  is fundamental on  $\dot{G}$  (viewed as  $G/\{e\}$ ). But the fundamental functions on  $G$  are just the linear combinations of matrix coefficients of finite-dimensional representations of  $G$  (see e.g. [11], Prop. 2.1, p. 497). Considering Kronecker products of representations, the fundamental functions on  $G$  (and also those on  $G/H$ , by the remark above) are seen to form an algebra.

Let  $G$  be a topological transformation group of a topological space  $E$ . A  $G$ -equivariant imbedding of  $E$  into a finite-dimensional vector space  $V$  is a one-to-one continuous mapping  $i$  of  $E$  into  $V$  and a representation  $\alpha$  of  $G$  on  $V$  such that  $\alpha(g)i(e) = i(g \cdot e)$  for all  $e \in E, g \in G$ . If  $V$  is provided with a strictly positive definite quadratic form which is left invariant by all  $\alpha(g)$  ( $g \in G$ ) then  $i$  is called an orthogonal  $G$ -equivariant imbedding.

It is known ([14], [16]) that if  $U$  is a compact Lie group and  $K$  a closed subgroup then  $U/K$  has an orthogonal  $U$ -equivariant imbedding.

LEMMA 3.1. *Let  $U$  be a compact Lie group and  $K$  a closed subgroup. Let  $i$  be any orthogonal  $U$ -equivariant imbedding of  $U/K$  into a vector space  $V$  over  $\mathbf{R}$ . Then the fundamental functions on  $U/K$  are precisely the functions  $p \circ i$  where  $p$  is a complex-valued polynomial function on  $V$ .*

*Proof.* Putting  $v_0 = i(K)$  we have

$$i(uK) = \alpha(u)v_0 \quad (u \in K).$$

Let  $F(U)$  denote the algebra of all fundamental functions on  $U$  and let  $S$  denote the subalgebra of  $F(U)$  generated by the constants and all functions on  $U$  of the form  $u \rightarrow \langle \alpha(u)v_0, v \rangle$  where  $v \in V$  and  $\langle \cdot, \cdot \rangle$  denotes the inner product on  $V$ . If  $\varphi$  is a continuous function on  $U$  and  $x \in U$  we define the left and right translate of  $\varphi$  by  $\varphi^{L(x)}(y) = \varphi(x^{-1}y)$ ,  $\varphi^{R(x)}(y) = \varphi(yx^{-1})$ ,  $y \in U$ . Let us verify that

$$K = \{x \in U \mid \varphi^{R(x)} = \varphi \text{ for all } \varphi \in S\}. \quad (1)$$

It is clear that  $K$  is contained in the right hand side of (1). On the other hand, if  $\varphi^{R(x)} = \varphi$  for all  $\varphi \in S$  we find in particular that  $\langle \alpha(x)v_0 - v_0, v \rangle = 0$  for all  $v \in V$ . Hence  $\alpha(x)v_0 = v_0$  and, since  $i$  is one-to-one,  $x \in K$ . Now  $S$  is a subalgebra of  $F(U)$  which contains the constants and is invariant under all left translations and the complex conjugation. From (1) and Lemma 5.3 in [11] p. 515 it follows that

$$S = \{\varphi \in F(U) \mid \varphi^{R(k)} = \varphi \text{ for all } k \in K\}. \quad (2)$$

Now let  $f$  be a fundamental function on  $U/K$ . Then  $\varphi = f \circ \pi \in F(U)$  and by (2),  $\varphi \in S$ . By the definition of  $S$  there exist finitely many vectors  $v_1, \dots, v_r \in V$  such that if we put

$$s_i(u) = \langle \alpha(u)v_0, v_i \rangle \quad (u \in U),$$

then

$$\varphi = \sum A_{n_1 \dots n_r} s_1^{n_1} \dots s_r^{n_r}, \quad A_{n_1 \dots n_r} \in \mathbf{C}. \quad (3)$$

Let  $l_i$  denote the linear function  $v \rightarrow \langle v, v_i \rangle$  on  $V$ . Then (3) implies that

$$f = \sum A_{n_1 \dots n_r} l_1^{n_1} \dots l_r^{n_r} \circ i,$$

proving the lemma.

*Remark.* Lemma 3.1 is closely related to Theorem 3 in [15], stated without proof.

Consider now the quadric  $C_{p,q} \subset \mathbf{R}^{p+q+1}$  given by the equation

$$Q(X) \equiv x_1^2 + \dots + x_p^2 - x_{p+1}^2 - \dots - x_{p+q+1}^2 = -1 \quad (p \geq 0, q \geq 0). \quad (4)$$

The orthogonal group  $\mathbf{O}(Q) = \mathbf{O}(p, q+1)$  acts transitively on  $C_{p,q}$ ; the subgroup leaving the point  $(0, \dots, 0, 1)$  on  $C_{p,q}$  fixed is isomorphic to  $\mathbf{O}(p, q)$  so we make the identification

$$C_{p,q} = \mathbf{O}(p, q+1)/\mathbf{O}(p, q). \quad (5)$$

It is clear that the restriction of a polynomial on  $\mathbf{R}^{p+q+1}$  to  $C_{p,q}$  is a fundamental function.

**THEOREM 3.2.** *Let  $f$  be a fundamental function on  $C_{p,q}$ . Assume  $(p, q) \neq (1, 0)$ . Then there exists a polynomial  $P = P(x_1, \dots, x_{p+q+1})$  on  $\mathbf{R}^{p+q+1}$  such that*

$$f = P \quad \text{on } C_{p,q}.$$

If  $p=0$  then this theorem is an immediate consequence of Lemma 3.1. The general case requires some preparation.

Let  $U$  be a topological group and  $K$  a closed subgroup. A representation  $\alpha$  of  $U$  on a Hilbert space  $\mathfrak{H}$  is said to be of *class 1* (with respect to  $K$ ) if it is irreducible and unitary and if there exists a vector  $e \neq 0$  in  $\mathfrak{H}$  which is left fixed by each  $\alpha(k)$ ,  $k \in K$ .

**LEMMA 3.3.** *The representations of the group  $\mathbf{SO}(n)$  of class 1 (with respect to  $\mathbf{SO}(n-1)$ ) are (up to equivalence) precisely the natural representations of  $\mathbf{SO}(n)$  on the eigenspaces of the Laplacian  $\Delta$  on the unit sphere  $\mathbf{S}^{n-1}$ .*

This lemma is essentially known ([1]), but we shall indicate a proof. Let  $\alpha$  be a representation of  $\mathbf{SO}(n)$  of class 1. If  $\varphi$  is the spherical function on  $\mathbf{S}^{n-1} = \mathbf{SO}(n)/\mathbf{SO}(n-1)$  corresponding to  $\alpha$ , i.e.,  $\varphi(u\mathbf{SO}(n-1)) = \langle e, \alpha(u)e \rangle$ , then  $\alpha$  is equivalent to the natural representation of  $\mathbf{SO}(n)$  on the space  $V_\varphi$  spanned by the translates  $\varphi^x$ , ( $x \in \mathbf{SO}(n)$ ). (See, for example, Theorem 4.8, Ch. X, in [10]). The elements of  $V_\varphi$  are all eigenfunctions of  $\Delta$  for the same eigenvalue. The space  $V_\varphi$  must exhaust the eigenspace of  $\Delta$  for this eigenvalue because otherwise there would exist two linearly independent eigenfunctions of  $\Delta$  invariant under  $\mathbf{SO}(n-1)$  corresponding to the same eigenvalue. This is impossible as one sees by expressing  $\Delta$  in geodesic polar coordinates. All eigenspaces of  $\Delta$  are obtained in this way.

Each eigenfunction of  $\Delta$  on  $\mathbf{S}^{n-1}$  is a fundamental function on  $\mathbf{O}(n)/\mathbf{O}(n-1)$ , hence the restriction of a polynomial which by Theorem 1.2 can be assumed harmonic. On the other hand, let  $P \neq 0$  be a homogeneous harmonic polynomial on  $\mathbf{R}^n$  of degree  $m$ . (By Remark 2 following Theorem 1.2 these exist for each integer  $m \geq 0$ .) Using the expression of the Laplacian  $\tilde{\Delta}$  on  $\mathbf{R}^n$  in polar coordinates,

$$\tilde{\Delta} = \frac{\partial^2}{\partial r^2} + \frac{n-1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \Delta,$$

one finds that the restriction  $\bar{P}$  of  $P$  to  $S^{n-1}$  satisfies

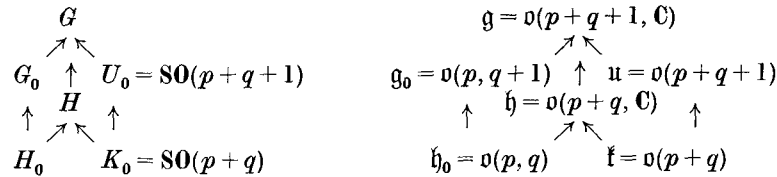
$$\Delta \bar{P} = -m(m+n-2) \bar{P}.$$

This shows, as is well known, that the eigenvalues of  $\Delta$  are  $-m(m+n-2)$ , where  $m$  is a non-negative integer.

LEMMA 3.4. *Let  $(U, \pi)$  denote the universal covering group of  $\mathbf{SO}(n)$  ( $n \geq 3$ ) and let  $K$  denote the identity component of  $\pi^{-1}(\mathbf{SO}(n-1))$ . Let  $\alpha$  be a representation of  $U$  of class 1 (with respect to  $K$ ). Then there exists a representation  $\alpha_0$  of  $\mathbf{SO}(n)$  such that  $\alpha_0 = \alpha \circ \pi$ .*

*Proof.* The mapping  $\psi: uK \rightarrow \pi(u)\mathbf{SO}(n-1)$  is a covering map of  $U/K$  onto  $\mathbf{SO}(n)/\mathbf{SO}(n-1) = S^{n-1}$  which is already simply connected. Hence  $\psi$  is one-to-one so  $K = \pi^{-1}(\mathbf{SO}(n-1))$ . Let  $e \neq 0$  be a common fixed vector for all  $\alpha(k)$ ,  $k \in K$ . Then in particular  $\alpha(z)e = e$  for all  $z$  in the kernel of  $\pi$ . By Schur's lemma  $\alpha(z)$  is a scalar multiple of the identity  $I$ ; hence  $\alpha(z) = I$  for all  $z$  in the kernel of  $\pi$  and the lemma follows.

Now we need more notation. Let  $\mathfrak{o}(r, s)$  denote the Lie algebra of the orthogonal group  $\mathbf{O}(r, s)$ , put  $\mathfrak{o}(r) = \mathfrak{o}(r, 0) = \mathfrak{o}(0, r)$  and let  $\mathfrak{o}(n, \mathbf{C})$  denote the Lie algebra of the complex orthogonal group  $\mathbf{O}(n, \mathbf{C})$ . Consider now the following diagram of Lie groups and their Lie algebras:



In the diagram on the right the arrows denote imbeddings. The imbedding of  $\mathfrak{o}(p, q)$  into  $\mathfrak{o}(p, q+1)$  is the one which corresponds to the inclusion (5) and the imbeddings of  $\mathfrak{o}(p+q)$  in  $\mathfrak{o}(p+q+1)$  and of  $\mathfrak{o}(p+q, \mathbf{C})$  in  $\mathfrak{o}(p+q+1, \mathbf{C})$  are to be understood similarly. In the diagram on the left are Lie groups corresponding to the Lie algebras on the right; here the arrows mean inclusions among the identity components.  $G_0$  and  $H_0$  respectively stand for the groups  $\mathbf{O}(p, q+1)$  and  $\mathbf{O}(p, q)$  in (5). Let  $G, U_0, H, K_0$  denote the analytic subgroups of  $\mathbf{GL}(p+q+1, \mathbf{C})$  corresponding to the subalgebras  $\mathfrak{g}, \mathfrak{u}, \mathfrak{h}, \mathfrak{k}$  in the right hand diagram.

For the proof of Theorem 3.2 we have to consider four cases:

I  $p=0$ ; II  $q=0$ ; III  $p=1, q=1$ ; IV  $p, q$  arbitrary.

Case I is contained in Lemma 3.1,  $G_0$  being compact. The proof in Case II will be based on the compactness of  $H_0$ . In Case III we shall use the fact that the identity component of  $\mathbf{O}(1, 2)$  is a well-imbedded linear Lie group in the sense of [4], p. 327. Finally, Case IV is reduced to the three previous cases by a suitable method of descent.

The case  $p=0$  being settled, suppose  $q=0$ . Consider the representation  $\rho$  of  $G_0$  on  $V_f$  given by  $\rho(x^{-1})F = F^x$  ( $F \in V_f$ ). This representation is completely reducible because  $G_0$  is semisimple (since  $q=0, p > 1$ ) and has finitely many components ([3], Théorème 3 b, p. 85). We may therefore assume  $\rho$  irreducible. Since  $G_0$  is transitive on  $C_{p,0}$  we can suppose  $f(0, \dots, 0, 1) \neq 0$ . Moreover, since the subgroup  $H_0$  is now compact we may, by replacing  $f$  with the average  $\int_{H_0} f^h dh$  assume that  $f^h = f$  for each  $h \in H_0$ . Now there is induced a representation  $d\rho$  of  $\mathfrak{g}_0$  onto  $V_f$  by

$$[d\rho(X)F](m) = \left\{ \frac{d}{dt} (F(\exp(-tX) \cdot m)) \right\}_{t=0} \tag{6}$$

for  $F \in V_f, X \in \mathfrak{g}_0, m \in C_{p,0}$ . Next  $d\rho$  extends to a representation  $d\rho^c$  of the complex Lie algebra  $\mathfrak{g}$  on  $V_f$  and finally  $d\rho^c$  extends to a representation (also denoted  $d\rho^c$ ) on  $V_f$  of the universal enveloping algebra  $U(\mathfrak{g})$  of  $\mathfrak{g}$ .

Let  $\Gamma$  denote the Casimir element in  $U(\mathfrak{g})$ . Since  $\Gamma$  lies in the center of  $U(\mathfrak{g})$  and since  $\rho$  is irreducible it follows by Schur's lemma that  $d\rho^c(\Gamma) = \gamma I$  where  $\gamma \in \mathbb{C}$ . Consider now the representation  $\tilde{\rho}$  of  $G_0$  on the space of  $C^\infty$ -functions on  $G_0/H_0$  given by  $\tilde{\rho}(x^{-1})F = F^x$ . Although infinite-dimensional this representation extends (as by (6)) to a representation  $d\tilde{\rho}^c$  of  $U(\mathfrak{g})$  and thereby  $d\tilde{\rho}^c(\Gamma)$  is a second order differential operator on  $G_0/H_0$ , annihilating the constants and invariant under the action of  $G_0$ . It follows without difficulty that  $d\tilde{\rho}^c(\Gamma)$  is the Laplace-Beltrami operator corresponding to the invariant Riemannian structure on  $G_0/H_0$  which is induced by the Killing form of  $\mathfrak{g}_0$ . According to [8] this Riemannian structure is  $2(p-1)$  times the Riemannian structure of  $C_{p,0}$  induced by the quadratic form  $x_1^2 + \dots + x_p^2 - x_{p+1}^2$  on  $\mathbf{R}^{p+1}$ . The corresponding Laplace-Beltrami operators are proportional by the reciprocal proportionality factor. Now, since  $f$  is necessarily differentiable,  $\rho$  is the restriction of  $\tilde{\rho}$  to  $V_f$ . Putting together these facts we conclude that each function in  $V_f$  is an eigenfunction of the Laplacian  $\Delta'$  on  $C_{p,0}$  with eigenvalue  $2(p-1)\gamma$ .

On the other hand, the Lie algebra  $\mathfrak{u}$  of  $\mathbf{SO}(p+1)$  is a compact real form of  $\mathfrak{g}$ .

By restriction  $\rho$  induces a representation of this Lie algebra on  $V_f$ . This representation extends to a representation (also denoted  $\rho$ ) on  $V_f$  of the universal covering group  $U$  of  $\mathbf{SO}(p+1)$ . This representation is of class 1 with respect to the connected Lie subgroup of  $U$  with Lie algebra  $\mathfrak{u} \cap \mathfrak{g}_0$ , the function  $f$  being the fixed vector. By Lemma 3.4  $\rho$  induces a representation of  $\mathbf{SO}(p+1)$  of class 1 (with respect to  $\mathbf{SO}(p)$ ), which then can be described by Lemma 3.3. Consider now the representation  $\rho^*$  of  $\mathbf{SO}(p+1)$  on  $C^\infty(S^p)$  given by  $\rho^*(x^{-1})F = F^x$ . Under this representation  $(d\rho^*)^c(\Gamma) = -(2(p-1))^{-1}\Delta$ ; the minus sign is due to the fact that the negative Killing form of  $\mathfrak{u}$  induces a positive definite Riemannian structure on  $\mathbf{SO}(p+1)/\mathbf{SO}(p)$ . Now it follows that  $-2(p-1)\gamma$  is an eigenvalue of the Laplacian  $\Delta$  on  $S^p$ , so  $-2(p-1)\gamma = -m(m+p-1)$ , where  $m$  is a non-negative integer.

Now let  $P$  be a homogeneous polynomial of degree  $m$  on  $\mathbf{R}^{p+1}$  satisfying

$$\frac{\partial^2 P}{\partial x_1^2} + \dots + \frac{\partial^2 P}{\partial x_{p+1}^2} = 0.$$

We can select  $P$  such that  $P(0, \dots, 0, 1) \neq 0$  and by integrating over the isotropy group of  $(0, \dots, 0, 1)$ , such that

$$P(x_1, \dots, x_{p+1}) \equiv P((x_1^2 + \dots + x_p^2)^{\frac{1}{2}}, 0, \dots, 0, x_{p+1}).$$

If we substitute  $x_{p+1} \rightarrow ix_{p+1}$  in  $P(x_1, \dots, x_{p+1})$  we obtain a homogeneous polynomial  $Q(x_1, \dots, x_{p+1})$  of degree  $m$  satisfying

$$\Delta^* Q \equiv \frac{\partial^2 Q}{\partial x_1^2} + \frac{\partial^2 Q}{\partial x_2^2} + \dots + \frac{\partial^2 Q}{\partial x_p^2} - \frac{\partial^2 Q}{\partial x_{p+1}^2} = 0,$$

$$Q(x_1, \dots, x_{p+1}) \equiv Q((x_1^2 + \dots + x_p^2)^{\frac{1}{2}}, 0, \dots, 0, x_{p+1}), \quad Q(0, \dots, 0, 1) \neq 0.$$

Now the operator  $\Delta^*$  can be expressed in terms of the coordinates on  $C_{p,0}$  and the "distance"  $r = (-x_1^2 - \dots - x_p^2 + x_{p+1}^2)^{\frac{1}{2}}$ . One finds (compare Lemma 21, p. 278, in [7]) that in these coordinates

$$\Delta^* = -\frac{\partial^2}{\partial r^2} - \frac{p}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \Delta',$$

where  $\Delta'$  is the Laplacian on  $C_{p,0}$ . Now  $Q = r^m \bar{Q}$  where  $\bar{Q}$  is the restriction of  $Q$  to  $C_{p,0}$  so we obtain for  $r=1$

$$\Delta' \bar{Q} = m(m+p-1) \bar{Q}.$$

Thus the functions  $f$  and  $\bar{Q}$  have the same eigenvalue. Both are invariant under the isotropy group of  $(0, \dots, 0, 1)$  and neither vanishes at that point. According to Cor. 3.3, Ch. X i [10],  $f$  and  $\bar{Q}$  are proportional so the proof is finished in the case  $q=0$ .

Now we come to Case III:  $p=q=1$ . We shall use the diagram following the proof of Lemma 3.4. Again let  $f$  be a fundamental function on  $C_{1,1}$  and let  $V_f$  denote the vector space over  $\mathbb{C}$  spanned by all translates  $f^x$ ,  $x \in G_0$ . Consider the representation  $\rho$  of  $G_0$  on  $V_f$  defined by  $\rho(x^{-1})F = F^x$ . For the same reason as in Case II we may assume  $\rho$  irreducible and  $f(0, 0, 1) \neq 0$ . As before consider the representations  $d\rho, d\rho^c$ . Since the identity component of  $G_0$  is a well-imbedded linear Lie group there exists a representation  $\rho^c$  of  $G$  on  $V_f$  whose differential is the previous  $d\rho^c$  ([4], p. 329). Let  $\alpha$  denote the restriction of  $\rho^c$  to  $U_0$ .

LEMMA 3.5.  $\alpha$  is of class 1 (with respect to  $K_0$ ).

*Proof.* Let  $X \in \mathfrak{h}_0$  and put  $p_0 = (0, 0, 1)$ . Then for each  $F \in V_f$

$$[d\rho(X)F](p_0) = \left\{ \frac{d}{dt} (F(\exp(-tX) \cdot p_0)) \right\}_{t=0} = 0,$$

and by induction  $[(d\rho(X))^m F](p_0) = 0 \quad (m \geq 1)$ . (7)

Since  $d\rho^c(iX) = i d\rho^c(X)$ , (7) implies

$$[(d\alpha(X))^m F](p_0) = 0 \quad (X \in \mathfrak{k}, F \in V_f). \tag{8}$$

Now, since  $K_0 = \mathbf{SO}(2)$  is abelian,  $V_f$  is a direct sum of one-dimensional subspaces,  $V_f = \sum_{i=1}^r V_i$ , each of which is invariant under  $\alpha(K_0)$ . Let  $d\alpha(X)_i$  denote the restriction of  $d\alpha(X)$  to  $V_i$ , and let  $\chi_i$  denote the homomorphism of  $K_0$  into  $\mathbb{C}$  determined by  $\chi_i(\exp X) = \exp(d\alpha(X)_i)$ . Then by (8)  $\chi_i(\exp X) F_i(p_0) = F_i(p_0)$ ,  $F_i \in V_i$ , so if  $k \in K_0$ ,  $f = \sum F_i$ ,

$$[\alpha(k)f](p_0) = \sum_{i=1}^r \chi_i(k) F_i(p_0) = \sum_{i=1}^r F_i(p_0) = f(p_0).$$

Thus the vector  $f^* = \int_{K_0} (\alpha(k)f) dk$

in  $V_f$  is  $\neq 0$  and invariant under  $K_0$ . This proves the lemma.

LEMMA 3.6. The vector  $f^* \in V_f$  is invariant under  $\rho(h)$  for each  $h$  in the identity component of  $H_0$ .

In fact,  $\alpha(k)f^* = f^*$  ( $k \in K_0$ ) so  $d\alpha(X)f^* = 0$  ( $X \in \mathfrak{k}$ ); hence  $d\rho^c(X)f^* = 0$  for all  $X$  in the complexification  $\mathfrak{h}$  of  $\mathfrak{k}$ . The lemma now follows.

Since  $\rho$  is irreducible we have  $V_f = V_{f^*}$ . Thus it suffices to prove Theorem 3.2 for the function  $f^*$ . By a procedure similar to that in Case II it is found that

$$\Delta' f^* = m(m+1) f^*, \tag{9}$$

where  $\Delta'$  is the Laplace-Beltrami operator on  $C_{1,1}$  corresponding to the pseudo-Riemannian structure on  $C_{1,1}$  induced by  $x_1^2 - x_2^2 - x_3^2$ , and  $m$  is a non-negative integer.

On the other hand, let  $P$  be a homogeneous polynomial of degree  $m$  on  $\mathbf{R}^3$  satisfying

$$\frac{\partial^2 P}{\partial x_1^2} + \frac{\partial^2 P}{\partial x_2^2} + \frac{\partial^2 P}{\partial x_3^2} = 0 \quad (P(0, 0, 1) \neq 0);$$

$$P(x_1, x_2, x_3) = \sum_k A_k (x_1^2 + x_2^2)^k x_3^{m-2k} \quad (A_k \in \mathbf{C}).$$

If we substitute  $x_2 \rightarrow ix_2$ ,  $x_3 \rightarrow ix_3$  in  $h(x_1, x_2, x_3)$  we obtain a homogeneous polynomial  $Q(x_1, x_2, x_3)$  of degree  $m$  satisfying

$$\frac{\partial^2 Q}{\partial x_1^2} - \frac{\partial^2 Q}{\partial x_2^2} - \frac{\partial^2 Q}{\partial x_3^2} = 0 \quad (Q(0, 0, 1) \neq 0);$$

$$Q(x_1, x_2, x_3) = \sum_k B_k (x_1^2 - x_2^2)^k x_3^{m-2k} \quad (B_k \in \mathbf{C}).$$

As in Case II it follows that the restriction  $\bar{Q}$  of  $Q$  to  $C_{1,1}$  satisfies the equation (9). Also  $\bar{Q}^h = \bar{Q}$  for each  $h \in H_0$ .

LEMMA 3.7. *The functions  $f^*$  and  $\bar{Q}$  are proportional.*

*Proof.* In the Lorentzian manifold  $C_{1,1}$  we consider the retrograde cone  $D$  with vertex  $(0, 0, 1)$  ([7], p. 287). In geodesic polarcoordinates on  $D$  let  $(\Delta')_r$  denote the restriction of  $\Delta'$  to functions depending on the radiusvector  $r$  alone. Then by Lemma 25 in [7]

$$(\Delta')_r = \frac{d^2}{dr^2} + 2 \coth r \frac{d}{dr} \quad (r > 0).$$

Since

$$\frac{d^2 g}{dr^2} + 2 \coth r \frac{dg}{dr} = \frac{1}{\sinh r} \left( \frac{d^2}{dr^2} - 1 \right) (g(r) \sinh r),$$

it follows that the solutions of (9) in  $D$  which depend on  $r$  alone are given by

$$g(r) \sinh r = A \sinh(\lambda r) + B \cosh(\lambda r), \quad \lambda^2 = m(m+1) + 1, \quad \lambda > 0$$

where  $A, B \in \mathbf{C}$ . Now both functions  $f^*$  and  $\bar{Q}$  satisfy this equation in  $D$  but since they are bounded in a neighborhood of  $(0, 0, 1)$  it is clear that  $B=0$  so  $f^*$  and  $\bar{Q}$  are proportional on  $D$ . But these functions are analytic on the connected manifold  $C_{1,1}$ , so, being proportional on the open subset  $D$ , are proportional everywhere. This proves Theorem 3.2 in Case III.



Finally, we consider Case IV and assume  $p \geq 1, q \geq 1$ . Let  $f$  be a fundamental function on  $C_{p,q}$ . Again we consider the representation  $\rho$  of  $G_0$  on  $V_f$  given by  $\rho(x^{-1})F = F^x$ , and assume as we may that  $\rho$  is irreducible and that  $f(0, \dots, 0, 1) \neq 0$ . Since the subgroup  $H^* = \mathbf{O}(p) \times \mathbf{O}(q)$  of  $H_0$  is compact we can also assume that  $\rho(h)f = f$  for all  $h \in H^*$ . It follows that on  $C_{p,q}$

$$f(x_1, \dots, x_{p+q+1}) = f((x_1^2 + \dots + x_p^2)^{\frac{1}{2}}, 0, \dots, 0, (x_{p+1}^2 + \dots + x_{p+q}^2)^{\frac{1}{2}}, x_{p+q+1}). \quad (10)$$

On the quadric  $y_1^2 - y_2^2 - y_3^2 = -1$  we consider now the function

$$f^*(y_1, y_2, y_3) = f(y_1, 0, \dots, 0, y_2, y_3).$$

This function  $f^*$  is well defined since  $(y_1, 0, \dots, 0, y_2, y_3) \in C_{p,q}$  and is a fundamental function on the quadric  $C_{1,1}$ . As shown above there exists a polynomial  $P^*(y_1, y_2, y_3)$  such that

$$f^*(y_1, y_2, y_3) = P^*(y_1, y_2, y_3) \quad \text{for } y_1^2 - y_2^2 - y_3^2 = -1.$$

By (10)  $f^*$  is even in the first two variables so  $P^*$  can be assumed to contain  $y_1$  and  $y_2$  in even powers alone. Combining the equations above we find that

$$f(x_1, \dots, x_{p+q+1}) = P^*((x_1^2 + \dots + x_p^2)^{\frac{1}{2}}, (x_{p+1}^2 + \dots + x_{p+q}^2)^{\frac{1}{2}}, x_{p+q+1})$$

on  $C_{p,q}$ . Due to the assumptions made on  $P^*$  the right-hand side of this equation is a polynomial on  $\mathbf{R}^{p+q+1}$ . This disposes of Case IV so Theorem 3.2 is now completely proved.

*Remarks.* Some special cases of Theorem 3.2 have been proved before. The case  $p=0$  (for which  $\mathbf{O}(p, q+1)$  is compact) was already proved by Hecke [6] (for  $q=2$ ) and Cartan [1]. If  $p=2, q=0$  then  $C_{p,q}$  is the 2-dimensional Lobatchefsky space of constant negative curvature. In this case Theorem 3.2 was proved by Loewner [12] using special features of the Poincaré upper half plane.

The assumption that  $(p, q) \neq (1, 0)$  is essential for the validity of Theorem 3.2. In fact, consider the function  $f$  on the quadric  $x_1^2 - x_2^2 = -1$  defined by

$$f(x_1, x_2) = \sinh^{-1}(x_1).$$

The group  $\mathbf{O}(1, 1)$  is generated by the transformations

$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \rightarrow \begin{pmatrix} \cosh t & \sinh t \\ \sinh t & \cosh t \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}, \quad \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \rightarrow \begin{pmatrix} \pm x_1 \\ -x_2 \end{pmatrix}.$$

It is easy to prove that  $\dim_C(V_f) = 2$ . Thus  $f$  is fundamental but is certainly not the restriction of a polynomial on  $\mathbf{R}^2$ .

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