

# The geometry of complete linear maps

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## Introduction

During the previous century an amazing amount of knowledge was accumulated about complete quadrics, collineations and correlations and a profound insight into their geometric and enumerative properties was acquired. A considerable effort has been made in our century to construct parameter spaces for these complete objects and to explain the results of the previous century in terms of the geometry and intersection theory of these parameter spaces. Severi [13], [14], van der Waerden [19] and Semple [10] studied such spaces for complete conics and Semple [11], [12] and Alguneid [1] extended the results to quadrics in dimension 3 and 4 respectively. Moreover, Semple [11] studied parameter spaces for complete collineations in dimension 2 and 3.

The fundamental ideas for the construction of parameter spaces in arbitrary dimension were suggested by Semple [11] and performed by Tyrrell [15]. Their work is important, not only because they show how to construct spaces whose points are complete quadrics, collineations or correlations, but also because it suggests several different approaches to the construction of such spaces. During the last decade several of these suggestions have been followed by various authors. De Concini and Procesi [2], Demazure [3], Kleiman and Thorup [7] and Vainsencher [17], [18] all have different approaches to the subject and Finat [4] and Uzava [16] give variations on the same methods. The first part of the following work represents an addition to this literature. We do, however, take a different point of view from the above authors. While these start out by constructing a space which is a more or less likely candidate for a parameter space and then (at best) prove that the points of the space can be interpreted as complete objects in the classical sense, we start at the opposite end by generalizing the classical concepts of complete collineations and correlations to families of complete linear maps. We then define maps between such objects and show that there exists a unique complete linear map which is an

attractor for such maps. This complete linear map is then a natural candidate for a parameter space in the functorial sense.

The definition of (families of) complete linear maps is one of the main contributions of the first part of the present work. A second main contribution is the construction of the characteristic maps associated to a complete linear map. These characteristic maps are the main tool in defining maps between complete objects. Our definition of families of complete linear maps is designed to extend the methods of Semple and Tyrrell from fields to arbitrary commutative rings (with unity). As a result we obtain a treatment that is based upon (multi-) linear algebra over commutative rings and which extends to arbitrary base schemes.

Two previous versions of the first part of this work has circulated during the last three years. In both we start with the definition of a category of complete linear maps and then solve the problem of constructing a final object in this category in an as coordinate free way as possible. This approach is in many ways more elegant and is sketched in the last section below. We have, however, chosen a more "concrete" presentation here because this is technically simpler.

As has long been realized, the methods that can be used to study complete collineations and correlations can also be used to study complete quadrics. The methods of this work confirms that observation.

For the history of complete quadrics, collineations and correlations of the previous century we refer to Zeuthens article [20]. An exposition and history of complete conics can be found in Kleiman [5] and Kleiman's article [6] contains many historical remarks and references to works on completed objects. In [8] we gave a sketch of the development of complete correlations and collineations and announced the results of the present work and in [9] we described the works mentioned above on completed objects in this century and gave some historical comments.

### § 1. Definitions, notations, examples

In this section we shall give the definition of complete linear maps over an arbitrary base scheme  $S$  and give their diagonal representation. Such a representation was obtained by J. A. Tyrrell [15] in the case of quadrics over a field and our definition of complete maps is motivated by the desire to have a similar representation over arbitrary rings.

Let  $E$  and  $F$  be vector bundles over a scheme  $S$ , of ranks  $r+1$  respectively  $s+1$  with  $r \leq s$ . Moreover, let  $T$  be an  $S$ -scheme and

$$\alpha: E_T \rightarrow F_T \otimes L$$

a  $T$ -linear map, where  $L$  is a line bundle on  $T$  and  $G_T$  denotes the pull-back to  $T$

of a bundle  $G$  on  $S$ . For each integer  $i=0, 1, \dots, r$  we denote by  $I(i, \alpha)$  the determinant ideal which is the image of the map

$$(1.1) \quad \bigwedge^{i+1} E_T \otimes \bigwedge^{i+1} (F_T \otimes L)^* \rightarrow \mathcal{O}_T$$

obtained from the  $(i+1)$ 'st exterior power of  $\alpha$ . Here, as in the following,  $G^*$  denotes the dual of a bundle  $G$ .

It is convenient to fix an open subset  $S_0$  over which  $E$  and  $F$  are free and to fix bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$  of  $E|_{S_0}$  respectively  $F|_{S_0}$ . We denote by  $E(i)$  and  $F(i)$  the subbundle of  $E|_{S_0}$  generated by  $e_0, e_1, \dots, e_i$  respectively the canonical quotient bundle of  $F|_{S_0}$  generated by  $f_0, f_1, \dots, f_i$ . Moreover, we write

$$e(i_0, i_1, \dots, i_j) = e_{i_0} \wedge e_{i_1} \wedge \dots \wedge e_{i_j}$$

and

$$f(i_0, i_1, \dots, i_j) = f_{i_0} \wedge f_{i_1} \wedge \dots \wedge f_{i_j}.$$

We shall choose as bases for  $\bigwedge^{k+1} E|_{S_0}$  and  $\bigwedge^{k+1} F|_{S_0}$  the elements  $e(i_0, i_1, \dots, i_k)$  respectively  $f(i_0, i_1, \dots, i_k)$  with  $0 \leq i_0 < i_1 < \dots < i_k \leq r$  and we shall consider these bases as ordered in the lexicographical ordering.

Throughout we shall consider the elements of  $E|_{S_0}$  and  $F|_{S_0}$  as row vectors and consequently write the image of a vector  $e$  by a map corresponding to a matrix  $A$  with respect to some base as  $eA$ .

**Lemma 1.** *Let  $t$  be an integer such that  $0 \leq t \leq r$  and assume that  $I(0, \alpha) = \mathcal{O}_T$  and the ideals in the sequence*

$$(1.2) \quad I(r-t, \alpha) \subseteq \dots \subseteq I(1, \alpha) \subseteq I(0, \alpha) = \mathcal{O}_T$$

are invertible.

(i) *Let  $V$  be an open subset of  $T$  which maps to  $S_0$  and is such that the maps*

$$(1.3) \quad \bigwedge^{i+1} E(i)_V \otimes \bigwedge^{i+1} F(i)_V^* \rightarrow I(i, \alpha) \otimes L^{\otimes(i+1)}$$

induced by the maps (1.1) are surjective for  $i=0, 1, \dots, r-t$ . Then choosing the trivialization

$$\tau: \mathcal{O}_V \cdot (e_0 \otimes f_0^*) \rightarrow L$$

of  $L$ , given by (1.3) for  $i=0$ , we have that the matrix  $M(\alpha)$  which represents

$$E_V \xrightarrow{\alpha_V} (F \otimes L)_V^* \xrightarrow{id \cdot \tau^*} F_V$$

with respect to the given bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$  can be written as a

product  $A \cdot D \cdot B$  of matrices of the following form

$$\begin{aligned}
 (M_A) \quad A(a_{i,j}) &= \begin{bmatrix} 1 & 0 & & \dots & & 0 \\ a_{1,0} & 1 & & & & \\ & & \ddots & & & \\ & & & 1 & & \\ \vdots & & & a_{r-t+1,r-t} & 1 & \\ & & & & & \ddots \\ a_{r,0} & & & a_{r,r-t} & 0 & \dots & 0 & 1 \end{bmatrix}, \\
 (M_B) \quad B(b_{i,j}) &= \begin{bmatrix} 1 & b_{0,1} & \dots & & & b_{0,s} \\ 0 & 1 & & & & \vdots \\ & & \ddots & & & \\ \vdots & & & 1 & b_{r-t,r-t+1} & \dots & b_{r-t,s} \\ & & & & 1 & 0 & \dots & 0 \\ & & & & & \ddots & & \vdots \\ 0 & & \dots & & & & 0 & 1 \end{bmatrix}, \\
 (M_D) \quad D(d_{i,j}) &= \begin{bmatrix} 1 & 0 & & \dots & & 0 \\ \vdots & d_1 & & & & \vdots \\ & & d_1 d_2 & & & \\ \vdots & & & \ddots & & \\ & & & & d_1 d_2 \dots d_{r-t} & 0 & \dots & 0 \\ & & & & & 0 & d'_{1,1} & \dots & d'_{1,s-r+t} \\ & & & & & \vdots & \vdots & & \vdots \\ 0 & \dots & & & 0 & d'_{t,1} & \dots & d'_{t,s-r+t} \end{bmatrix}
 \end{aligned}$$

with  $a_{i,j}$  and  $b_{i,j}$  in  $\Gamma(V, \mathcal{O}_V)$ , where  $d_i$ 's are non-zero divisors in  $\Gamma(V, \mathcal{O}_V)$  and  $d'_{i,j} = d_1 \cdot d_2 \dots d_{r-t} \cdot d_{i,j}$  with  $d_{i,j} \in \Gamma(V, \mathcal{O}_V)$ .

Moreover, written in the above form we have that  $I(r-t+1, \alpha)_V$  is generated by the determinants  $d_1^{-t} \cdot d_2^{-t-1} \dots d_{r-t} \cdot d'_{i,j}$  of the  $(r-t+2) \times (r-t+2)$ -submatrices of  $M(\alpha)$  containing the  $(r-t+1) \times (r-t+1)$ -submatrix in the upper left corner.

(ii) Let  $x$  be a point of  $T$  that maps to  $S_0$ . Then we can find a neighbourhood  $V$  of  $x$  in  $T$  which maps into  $S_0$  and such that, after possibly renumbering the elements of the bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$ , the maps (1.3) are all surjections.

(iii) If we have that  $I(r-t+1, \alpha) = 0$ , then  $(\ker \alpha)_V$  is the subbundle of rank  $t$  generated by  $e_{r-t+1}A^{-1}, e_{r-t+2}A^{-1}, \dots, e_r A^{-1}$  and  $(\text{im } \alpha)_V$  is contained in the bundle

$$(I(r-t, \alpha): \text{im}(\alpha))_V$$

of rank  $r-t+1$  generated by  $f_0 B, f_1 B, \dots, f_{r-t} B$ .

*Proof.* We shall prove assertions (i) and (ii) simultaneously for  $0 \leq t \leq r+1$ , starting with the trivial case  $t=r+1$ , and proceeding by descending induction on  $t$ . Assume that assertions (i) and (ii) holds for some  $t$  with  $t>0$ . Then by (ii) we can, after possibly renumbering the elements of the bases, obtain that the maps (1.3) are surjective for  $i=0, 1, \dots, r-t$  over some neighbourhood  $V$  of  $x$ . Denote by  $M(\alpha_V)$  the matrix representing  $\alpha$  restricted to  $V$  in the given bases and with the given trivialization of  $L$ . By the second part of (i) we have that  $I(r-t+1, \alpha)_V$  is generated by the determinants  $d_1^{r-t} d_2^{r-t-1} \dots d_{r-t} d'_{i,j}$  of the  $(r-t+2) \times (r-t+2)$ -submatrices of  $M(\alpha)$  containing the  $(r-t+1) \times (r-t+1)$ -submatrix in the upper left corner.

If  $I(r-t+1, \alpha)$  is invertible, then after possibly shrinking  $V$  to a neighbourhood  $V'$  of  $x$ , we have that it is generated by one of these determinants and after possibly renumbering  $e_{r-t+1}, e_{r-t+2}, \dots, e_r$  and  $f_{r-t+1}, f_{r-t+2}, \dots, f_s$  we may assume that the generator is the determinant  $d_1^{r-t} d_2^{r-t-1} \dots d_{r-t} d'_{1,1}$  of the  $(r-t+2) \times (r-t+2)$ -matrix in the upper left corner of  $M(\alpha)_{V'}$ , expressed with respect to the renumbered bases. We see that with respect to these bases the map (1.3) is then surjective over  $V'$  for  $i=0, 1, \dots, r-t+1$  and we have proved assertion (ii).

Moreover, when the assumptions of (i) of the Lemma holds we see that all the coordinates of  $M(\alpha)$ , that are both in the last  $t$  rows and the last  $s-r+t$  columns, are divisible by the  $(r-t+2, r-t+2)$ -coordinate  $d_1 d_2 \dots d_{r-t+1}$  where we write  $d_{r-t+1} = d_{1,1}$ . Hence, subtracting a multiple of row  $(r-t+2)$  of  $M(\alpha)$  from the last  $(t-1)$ -rows we obtain zeroes in column  $(r-t+2)$  except in the  $(r-t+2)$ 'nd coordinate. These subtractions correspond to the multiplication of  $D$  to the left by a matrix of the form  $M_A$  with non-zero coordinates only in the  $(r-t+2)$ 'nd column. Similarly, we can multiply  $D$  to the right by a matrix of the form  $M_B$  with non-zero coordinates only in the  $(r-t+2)$ 'nd column and obtain zeroes in row  $(r-t+2)$  except in the  $(r-t+2)$ 'nd coordinate. Hence, we have the first assertion of part (i) of the Lemma.

To obtain the second assertion we notice that all we have done is to add multiples of row and column  $(r-t+2)$  to the last  $t-1$  rows respectively columns. Hence, the determinants of the  $(r-t+3) \times (r-t+3)$ -matrices containing the  $(r-t+2) \times (r-t+2)$ -matrix in the upper left corner are the same before and after the subtractions, and clearly, after the subtraction, these determinants generate  $I(r-t+2, \alpha)_V$ .

Assertion (iii) follows from assertion (i). Indeed, with respect to the bases  $e_0 \cdot A^{-1}, e_1 \cdot A^{-1}, \dots, e_r \cdot A^{-1}$  and  $f_0 \cdot B, f_1 \cdot B, \dots, f_s \cdot B$  the map  $\alpha_V$  is represented by the matrix  $D$ . Hence,  $(\ker \alpha)_V$  is generated by  $e_{r-t+1} \cdot A^{-1}, e_{r-t+2} \cdot A^{-1}, \dots, e_r \cdot A^{-1}$  and  $(\text{im } \alpha)_V$  is contained in the direct summand

$$\{f \in F_V \mid d_1^{r-t} d_2^{r-t-1} \dots d_{r-t} f \in (\text{im } \alpha)_V\} = (I(r-t, \alpha) : (\text{im } \alpha)_V)$$

generated by the elements  $f_0 \cdot B, f_1 \cdot B, \dots, f_{r-t} \cdot B$ .

We are now ready for the main definition of this work.

*Definition.* Let  $t$  be an integer such that  $0 \leq t \leq r$  and let  $\varrho = (r_1, r_2, \dots, r_k)$  be a  $k$ -tuple of integers satisfying the inequalities

$$r = r_1 > r_2 > \dots > r_k \cong t.$$

We shall, as a convention, put  $r_{k+1} = t - 1$ .

A  $t$ -completed  $T$ -linear map  $\alpha_\varrho$  between  $E$  and  $F$ , consists of  $T$ -linear maps

$$\alpha_j: E_j \rightarrow F_j \otimes L_j \quad \text{for } j = 1, 2, \dots, k,$$

where the  $L_j$  are line bundles on  $T$ , such that the following three conditions hold:

(i) For  $j = 1, 2, \dots, k$  the ideals in the sequence

$$I(r_j - r_{j+1} - 1, \alpha_j) \subseteq \dots \subseteq I(1, \alpha_j) \subseteq I(0, \alpha_j) = \mathcal{O}_T$$

are invertible.

(ii) For  $j = 1, 2, \dots, k - 1$  we have that

$$I(r_j - r_{j+1}, \alpha_j) = 0.$$

(iii) We have that  $E_1 = E_T$  and  $F_1 = F_T$  and that

$$E_{j+1} = \ker \alpha_j$$

and

$$F_{j+1} \otimes L_j = F_j \otimes L_j / (I(r_j - r_{j+1} - 1, \alpha_j): \text{im } \alpha_j) \quad \text{for } j = 1, 2, \dots, k - 1.$$

A 0-completed map we call a *complete T-linear map*.

From Lemma 1(ii) it follows that  $E_j$  and  $F_j$  are bundles of ranks  $r_j + 1$  respectively  $s - r + r_j + 1$ . We shall call  $\varrho$  the rank of  $\alpha_\varrho$ .

From the definition it follows that  $E_j$  is a subbundle of  $E$  and that there is a natural map  $F \rightarrow F_j$  making  $F_j$  a quotient of  $F$ .

We shall say that two  $t$ -completed  $T$ -linear maps of rank  $\varrho$  given by

$$\alpha_j: E_j \rightarrow F_j \otimes L_j$$

and

$$\alpha'_j: E'_j \rightarrow F'_j \otimes L'_j \quad \text{for } j = 1, 2, \dots, k$$

are (projectively) *equivalent* if there are isomorphisms

$$\gamma_j: E_j \rightarrow E'_j$$

$$\pi_j: F_j \rightarrow F'_j$$

$$\delta_j: L_j \rightarrow L'_j$$

such that the diagrams

$$\begin{array}{ccc} E_j & \xrightarrow{a_j} & F_j \otimes L_j \\ \pi_j \downarrow & & \downarrow \pi_j \otimes \delta_j \\ E'_j & \xrightarrow{a'_j} & F'_j \otimes L'_j \end{array}$$

commute for  $j=1, 2, \dots, k$ .

We note that from the above definition it follows that  $E_j$  and  $E'_j$  are equal as subbundles of  $E$  and that  $F_j$  and  $F'_j$  are *equivalent quotients* of  $F$ , that is, the diagrams

$$\begin{array}{ccc} F & \rightarrow & F_j \\ \parallel & & \downarrow \pi_j \\ F & \rightarrow & F'_j \end{array}$$

where the horizontal maps are the natural quotient maps, commute for  $j=1, 2, \dots, k$ .

**Example 2.** (i) *The diagonal form*

Denote by  $E'(j)$  and  $F'(j)$  the free  $S_0$ -bundles generated by  $e_{r-j}, e_{r-j+1}, \dots, e_r$  respectively  $f_{r-j}, f_{r-j+1}, \dots, f_s$ .

Let  $\varrho=(r_1, r_2, \dots, r_k)$  be a  $k$ -tuple of integers such that  $r=r_1 > r_2 > \dots > r_k > t$  and let  $T$  be an  $S_0$ -scheme. Moreover, we let

$$\delta_j: E'(r_j)_T \rightarrow F'(r_j)_T$$

be the map given with respect to the bases  $e_{r-r_j}, e_{r-r_j+1}, \dots, e_r$  and  $f_{r-r_j}, f_{r-r_j+1}, \dots, f_s$  by the  $(r_j+1) \times (r_j+1)$ -matrix

$$(M_{j,D}) \quad D_j(d_i) = \begin{bmatrix} 1 & 0 & \dots & & & 0 \\ 0 & d_{r-r_j+1} & & & & \vdots \\ & & d_{r-r_j+1}d_{r-r_j+2} & & & \\ \vdots & & & \ddots & & 0 \\ & & & & d_{r-r_j+1}d_{r-r_j+2} \dots d_{r-r_j+1-1} & \\ 0 & \dots & & & & 0 \\ & & & & & \ddots \\ & & & & & 0 \end{bmatrix}$$

for  $j=1, 2, \dots, k-1$  and for  $j=k$  by the  $(r_k+1) \times (r_k+1)$ -matrix

$$(M_{k,D}) \quad D_k(d_{i,j}) = \begin{bmatrix} 1 & 0 & \dots & & & 0 \\ 0 & d_{r-r_k+1} & & & & \vdots \\ \vdots & & d_{r-r_k+1}d_{r-r_k+2} & & & \\ & & & \ddots & & 0 \\ & & & & d_{r-r_k+1}d_{r-r_k+2} \dots d_{r-t} & \\ & & & & & 0 \\ & & & & & \vdots \\ 0 & \dots & & & & 0 \end{bmatrix} \begin{bmatrix} d'_{1,1} \dots d'_{1,s-r+t} \\ \vdots \\ d'_{t,1} \dots d'_{t,s-r+t} \end{bmatrix}$$

Here  $d_1, d_2, \dots, d_{r-t}$  are non-zero divisors in  $\Gamma(T, \mathcal{O}_T)$  and

$$d'_{i,k} = d_{r-r_k+1} d_{r-r_k+2} \dots d_{r-i} d_{i,k}$$

with  $d_{i,j} \in \Gamma(T, \mathcal{O}_T)$ .

The maps  $\delta_j$  clearly give a  $t$ -completed  $T$ -linear map  $\delta_\varrho$  of rank  $\varrho$  that we say is in *diagonal form* with respect to the given bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$ .

(ii) *The diagonal representation*

Let  $A$  and  $B$  be matrices of the form  $M_A$  and  $M_B$  of Lemma 1(i). With the notation and assumptions of Example 2(i) we let  $E_j = E'(r_j)_T \cdot A^{-1}$  and  $F_j = F'(r_j)_T \cdot B$ . The maps

$$\alpha_j: E_j \rightarrow F_j \quad \text{for } j = 1, 2, \dots, k$$

that are given with respect to the bases  $e_{r-r_j} \cdot A^{-1}, e_{r-r_j+1} \cdot A^{-1}, \dots, e_r \cdot A^{-1}$  and  $f_{r-r_j} \cdot B, f_{r-r_j+1} \cdot B, \dots, f_s \cdot B$  by matrix  $D_j(D_{i,j})$  above clearly give a  $t$ -completed  $T$ -linear map  $\alpha_\varrho$  of rank  $\varrho$ . We say that the matrices  $A, B$  and  $D_j$  give a *diagonal representation* of  $\alpha_\varrho$  with respect to the given bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$ .

(iii) *The "generic" diagonal representation*

A particular case of the diagonal representation is sufficiently important to deserve its own terminology.

Assume that  $S_0$  is affine and equal to  $\text{Spec } A$ . Let

$$\begin{aligned} x_{i,j} & \text{ for } 0 \leq j \leq r-t, \quad 1 \leq i \leq r \quad \text{and} \quad j < i, \\ y_{i,j} & \text{ for } 0 \leq i \leq r-t, \quad 1 \leq j \leq s \quad \text{and} \quad i < j, \\ z_i & \text{ for } i \in \{1, 2, \dots, r-t\} \setminus \{r-r_2, r-r_3, \dots, r-r_k\} \\ z_{i,j} & \text{ for } 1 \leq i \leq t \quad \text{and} \quad 1 \leq j \leq s-r+t \end{aligned}$$

be  $(r+1)(s+1) - k - 1$  independent variables over  $A$ . We denote by  $B_i(\varrho) = A[x, y, z]$  the polynomial ring over  $A$  in these variables and let  $CL_i^0(\varrho) = \text{Spec } B_i(\varrho)$ . The  $t$ -completed  $CL_i^0(\varrho)$ -linear map of rank  $\varrho$  which has the diagonal representation given by  $A(x_{i,j}), B(y_{i,j})$  and  $D_j(z_{i,l})$  we denote by  $v_i^0(\varrho)$  and we let

$$v_j^0: E_j \rightarrow F_j \quad \text{for } j = 1, 2, \dots, k$$

be the individual maps that define  $v_i^0(\varrho)$ .

(iv) *Restriction to open sets*

Let  $\alpha_\varrho$  be a  $t$ -completed  $T$ -linear map of rank  $\varrho$  given by maps

$$\alpha_j: E_j \rightarrow F_j \otimes L_j.$$



Then for each open subset  $V$  of  $T$  the restricted maps

$$(\alpha_j)_V: (E_j)_V \rightarrow (F_j \otimes L_j)_V$$

give a  $t$ -completed  $V$ -linear map that we denote by  $(\alpha_q)_V$ .

### 2. The characteristic maps

Our next task is to define maps between completed linear maps. The main ingredient in our definition is the characteristic maps associated to a completed map. In the particular case that the completed map is of rank  $(r)$  the characteristic maps consist simply of multiples of the adjugates of the map  $\alpha_1$  defining the completed map. These adjugates, when  $\alpha_1$  has coefficients in a field, played a central role in the presentation of Tyrrell [15]. It is crucial for our presentation that we are able to construct the characteristic maps over an arbitrary base and for completed maps of any rank.

*Construction of the characteristic maps 3.* Let  $\alpha_q$  be a  $t$ -completed  $T$ -linear map of rank  $q=(r_1, r_2, \dots, r_k)$  given by maps

$$\alpha_j: E_j \rightarrow F_j \otimes L_j \quad \text{for } j = 1, 2, \dots, k.$$

Put

$$I_j(\alpha_q) = I(r-r_2-1, \alpha) \cdot I(r_2-r_3-1, \alpha_2) \dots I(r_{j-1}-r_j-1, \alpha_{j-1})$$

and

$$L_j(\alpha_q) = L^{r-r_2} \otimes L_2^{r_2-r_3} \otimes \dots \otimes L_j^{r_{j-1}-r_j} \quad \text{for } j = 1, 2, \dots, k-1.$$

We shall construct canonical surjections, called the *characteristic* maps of  $\alpha_q$ ,

$$\alpha_q(r-i): \bigwedge^{r-i+1} E_T \otimes \bigwedge^{r-i+1} F_T^* \rightarrow L(r-i+t) \quad \text{for } i = t, t+1, \dots, r,$$

where

$$L(r-i+1) = I_j(\alpha) \otimes I(r_j-i, \alpha_j) \otimes L_j(\alpha_q) \otimes L_j^{j-i+1},$$

when  $j$  is determined by the inequalities  $r_{j+1} < i \leq r_j$ .

The construction takes place in four steps. We first recall that whenever we have an exact sequence

$$0 \rightarrow P \rightarrow Q \rightarrow R \rightarrow 0$$

of bundles on  $T$  with  $P$  and  $Q$  of rank  $p+1$  and  $q+1$  respectively, then for each integer  $i$  such that  $0 \leq i \leq p+1$  there is a canonical surjection

$$\bigwedge^{q-i+1} Q \rightarrow \bigwedge^{q-p} R \otimes \bigwedge^{p-i+1} P.$$

Similarly for each integer  $i$  such that  $0 \leq i \leq q-p$  there is a canonical injection

$$\bigwedge^{p+1} P \otimes \bigwedge^{q-p-i} R \rightarrow \bigwedge^{q-i+1} Q.$$

*Step 1.* Denote by  $G_j$  the cokernel of the inclusion  $E_{j+1} \subset E_j$ . Then if  $0 \leq i \leq r_{j+1}+1$  we obtain a canonical surjection

$$(2.1) \quad \bigwedge^{r_j-i+1} E_j \rightarrow \bigwedge^{r_j-r_{j+1}} G_j \otimes \bigwedge^{r_{j+1}-i+1} E_{j+1}.$$

Similarly, if we denote by  $H_j$  the kernel of the map  $F_j \otimes L_j \rightarrow F_{j+1} \otimes L_j$  and if  $0 \leq i \leq r_{j+1}+1$ , we obtain a canonical injection

$$(2.2) \quad \bigwedge^{r_j-r_{j+1}} H_j \otimes \bigwedge^{r_{j+1}-i+1} (F_{j+1} \otimes L_j) \rightarrow \bigwedge^{r_j-i+1} (F_j \otimes L_j).$$

*Step 2.* The map

$$\alpha_j: E_j \rightarrow F_j \otimes L_j$$

factors through a unique map  $G_j \rightarrow H_j$ . Consequently, the resulting map

$$\bigwedge^{r_j-r_{j+1}} E_j \rightarrow I(r_j-r_{j+1}-1, \alpha_j) \otimes \bigwedge^{r_j-r_{j+1}} (F_j \otimes L_j)$$

factors through a canonical isomorphism

$$(2.3) \quad \bigwedge^{r_j-r_{j+1}} G_j \rightarrow I(r_j-r_{j+1}-1, \alpha_j) \otimes \bigwedge^{r_j-r_{j+1}} H_j.$$

*Step 3.* Given a positive integer  $l \leq k$ . Let

$$G(l) = \bigwedge^{r_1-r_2} G_1 \otimes \bigwedge^{r_2-r_3} G_2 \otimes \dots \otimes \bigwedge^{r_{l-1}-r_l} G_{l-1}$$

and

$$H(l) = \bigwedge^{r_1-r_2} H_1 \otimes \bigwedge^{r_2-r_3} H_2 \otimes \dots \otimes \bigwedge^{r_{l-1}-r_l} H_{l-1}.$$

Then, taking the composites of the maps (2.1) and (2.2) for  $j=1, 2, \dots, l-1 < k$  and tensoring by the appropriate bundles, we obtain maps

$$(2.4) \quad \bigwedge^{r-i+1} E_T \rightarrow G(l) \otimes \bigwedge^{r_i-i+1} E_i$$

respectively

$$(2.5) \quad H(l) \otimes \bigwedge^{r_i-i+1} F_i \rightarrow \bigwedge^{r-i+1} F_T \otimes L_l(\alpha_\varrho).$$

Moreover, the tensor product of the maps (2.3) gives a map

$$(2.6) \quad \alpha_1(l): G(l) \rightarrow I_l(\alpha_\varrho) \otimes H(l).$$

*Step 4.* The map

$$(2.7) \quad \alpha_2(r_i-i): \bigwedge^{r_i-i+1} E_i \rightarrow I(r_i-i, \alpha_i) \otimes \bigwedge^{r_i-i+1} (F_i \otimes L_i)$$

obtained from the  $(r_i - i + 1)$ 'st exterior power of  $\alpha_i$  when  $r_{i+1} < i \leq r_i$  gives, together with the maps (2.4), (2.5) and (2.6), a map

$$\alpha_0(r-i): \bigwedge^{r-i+1} E_T \rightarrow \bigwedge^{r-i+1} F_T \otimes L(r-i+1)$$

which makes the diagram

$$(2.8) \quad \begin{array}{ccc} \bigwedge^{r-i+1} E_T & \xrightarrow{\alpha_0(r-i)} & \bigwedge^{r-i+1} F_T \otimes L(r-i+1) \\ \downarrow & & \parallel \\ & & I_1(\alpha_0) \otimes I(r_i-i, \alpha_i) \otimes \bigwedge^{r-i+1} F_T \otimes L_i(\alpha_0) \otimes L_i^{r-i+1} \\ & & \uparrow \\ G(l) \otimes \bigwedge^{r_i-i+1} E_i & \xrightarrow{\alpha_i(l) \otimes \alpha_0(r_i-i)} & I_1(\alpha_0) \otimes H(l) \otimes I(r_i-i, \alpha_i) \otimes \bigwedge^{r_i-i+1} F_i \otimes L_i^{r-i+1} \end{array}$$

commutative.

The map  $\alpha_0(r-i)$  of Construction 3 is the map associated to  $\alpha_0(r-i)$  when  $r_{i+1} < i \leq r_i$ . We see that, since the left and right vertical maps are surjective respectively (split) injective, the image of  $\alpha_0(r-i)$  is the subbundle  $I_1(\alpha_0) \otimes H(l) \otimes I(r_i-i, \alpha_i) \otimes \bigwedge^{r_i-i+1} F_i \oplus L_i^{r-i+1}$ . In particular, the associated map  $\alpha_0(r-i)$  is surjective.

*Example 4.* (i) The diagonal form. Let  $\delta_0$  be the  $t$ -completed  $T$ -linear map given in Example 2(i). We see from (2.6) and (2.7) of the construction that for  $r_{j+1} < i \leq r_j$  the map

$$\bigwedge^{r-i+1} E|S_0 \rightarrow \bigwedge^{r-i+1} F^*|S^0$$

corresponding to  $\sigma(r-i)$  is given, with respect to the given well ordered bases  $e(i_0, i_1, \dots, i_{r-i})$  and  $f(i_0, i_1, \dots, i_{r-i})$ , by the matrix

$$(2.9) \quad \left[ \bigwedge_0^{r_j-i+1} D_j \right] = \begin{bmatrix} 1 & 0 & & & & & & & 0 \\ 0 & d_{r-i+1} & & & & & & & \vdots \\ \vdots & & d_{r-i+1}d_{r-i+2} & & & & & & \vdots \\ & & & \ddots & & & & & \\ & & & & d_{r-i+1}d_{r-i+2} \dots d_{r-r_j+1-1} & & & & \\ & & & & & \ddots & & & \\ & & & & & & 0 \dots 0 & & \\ & & & & & & \vdots & \vdots & \\ 0 & & & & & & & & 0 \dots 0 \end{bmatrix}$$

where  $\bigwedge_0^{r_j-i+1} D_j$  is the  $(r_j - i + 1)$ 'st exterior power of the matrix  $D_j$  of Example 2(i) divided by the common factor  $d_{r-r_j+1}^{r_j-i} d_{r-r_j+2}^{r_j-i-1} \dots d_{r-i}$  and  $\left[ \bigwedge_0^{r_j-i+1} D_j \right]$  is the  $\binom{r+1}{i} \times \binom{s+1}{s-r+i}$ -matrix with  $\bigwedge_0^{r_j-i+1} D_j$  in the upper left corner and zeroes elsewhere.

(ii) *The diagonal representation*

Let  $\alpha_q$  be the  $t$ -completed  $T$ -linear map having the diagonal representation of Example 2 (ii). With the notation of Example 4 (i), it is clear from Construction 3, that we have

$$(2.10) \quad \alpha_q(r-i) = \left( \bigwedge^{r-i+1} A \right) \cdot \sigma(r-i) \left( \bigwedge^{r-i+1} B \right)$$

for  $i = t, t+1, \dots, r$ . Hence, for  $r_{j+1} < i \leq r_j$  the map

$$\bigwedge^{r-i+1} E|S_0 \rightarrow \bigwedge^{r-i+1} F|S_0$$

corresponding to  $\alpha_q(r-i)$  is given, with respect to the well ordered bases  $e(i_0, i_1, \dots, i_{r-i})$  and  $f(i_0, i_1, \dots, i_{r-i})$ , by the matrix  $\bigwedge^{r-i+1} A \cdot \left[ \bigwedge_0^{r_j-i+1} D_j \right] \cdot \bigwedge^{r-i+1} B$ . A short calculation shows that the latter matrix takes the form

$$(2.11) \quad \begin{bmatrix} 1 & & b_{r-i, r-i+1} & & b_{r-i, r-i+2} \dots b_{r-i, s} & \times & \times & \times \\ a_{r-i+1, r-i}, d_{r-i+1} + a_{r-i+1, r-i} b_{r-i, r-i+1} & \times & & & \times & & & \times \\ a_{r-i+2, r-i} & & \times & & & & & \\ \vdots & & & & & & & \\ a_{r, r-i} & & \times & & & & & \\ \times & & & & & & & \\ \times & & & & & & & \\ \times & & \times & & \times & \times & & \times \end{bmatrix}$$

where the crosses indicate elements in  $\Gamma(T, \mathcal{O}_T)$ .

(iii) *The "generic" diagonal representation*

We keep the notation and assumptions of Example 2 (ii) and (iii) and Example 4 (ii). Let

$$f: T \rightarrow CL_t^0$$

be the map given on coordinate rings by the  $A$ -algebra homomorphism

$$\varphi: B_t^0(q) \rightarrow \Gamma(T, \mathcal{O}_T)$$

defined by  $\varphi(x_{i,j}) = a_{i,j}$ ,  $\varphi(y_{i,j}) = b_{i,j}$  and  $\varphi(z_{i,j}) = d_{i,j}$  for all the indices  $i$  and  $j$  appearing in the definition of Example 2 (iii) and

$$\begin{aligned} \varphi(z_i) &= d_i \quad \text{for } i \in \{1, 2, \dots, r-t\} \setminus \{r-r_2, r-r_3, \dots, r-r_t\} \\ \varphi(z_i) &= 0 \quad \text{for } i \in \{r-r_2, r-r_3, \dots, r-r_t\}. \end{aligned}$$

Then we have that

$$(2.12) \quad f^* v_{(r)}^0(r-i) = \alpha_q(r-i) \quad \text{for } i = t, t+1, \dots, r$$

and  $f$  is the unique map  $T \rightarrow CL_t^0$  that satisfies (2.12). Indeed, from the form (2.10)

for  $v_{(r)}^0(r-i)$  and  $\alpha_q(r-i)$  we see that to prove (2.12) it suffices to show that

$$f^*[\bigwedge_0^{r-i+1} D] = [\bigwedge^{r_j-i+1} D_0] \quad \text{for } i = t, t+1, \dots, r \quad \text{and } r_{j+1} < i \leq r_j.$$

However, the latter equations are immediate consequences of the following obvious observation:

If  $r_{j+1} < i \leq r_{j+1}$  then the  $\binom{r_j+1}{r_j-i+1} \times \binom{r_j+1}{r_j-i+1}$ -matrix in the upper left corner of  $\bigwedge_0^{r-i+1} D(z_{i,j})$  is the matrix  $\bigwedge^{r_j-i+1} D_j(z_{i,j})$  and all the other coordinates are divisible by  $z_{r-r_j}$ .

The uniqueness of  $f$  follows immediately from the form (2.11) of the matrices representing  $v_{(r)}^0(r-i)$  and  $\alpha_q(r-i)$  and from the above observation. Indeed, it follows from (2.12) that  $f^*$  must send the coordinates of  $v_{(r)}^0(r-i)$  written in the form (2.11) to the corresponding coordinates of  $\alpha_q(r-i)$  written in the same form. Hence  $f^*$  sends the  $x_{i,j}$  to  $a_{i,j}$  and the  $y_{i,j}$  to  $b_{i,j}$  for all choices of indexes. Moreover, it sends  $z_j + x_{j,j-1}y_{j-1,j}$  to  $d_j + a_{j,j-1}b_{j-1,j}$  and hence  $z_j$  to  $d_j$  for  $j \in \{1, 2, \dots, r-t\} \setminus \{r-r_2, r-r_3, \dots, r-r_k\}$ . Finally, it follows from the above observations that  $f^*$  sends  $z_j$  to zero for  $i \in \{r-r_2, r-r_3, \dots, r-r_k\}$ .

The above map  $f$  in the case when  $T$  is the space  $CL_t^0(\varrho)$  gives a *unique* map

$$i_q: CL_t^0(\varrho) \rightarrow CL_t^0(r)$$

such that

$$i_q^* v_{(r)}^0(r-i) = v_q(r-i) \quad \text{for } i = t, t+1, \dots, r$$

and we see that  $i_q$  is the map that identifies  $CL_t^0(\varrho)$  with the affine subbundle of codimension  $k-1$  of  $CL_t^0$  over  $\text{Spec } A$  which is defined by the equations

$$z_i = 0 \quad \text{for } i \in \{r-r_2, r-r_3, \dots, r-r_k\}.$$

Moreover, we see that  $f$  factors via  $i_q$  and a *unique* map

$$g: T \rightarrow CL_t^0(\varrho)$$

such that

$$g^* v_q(r-i) = \alpha_q(r-i) \quad \text{for } i = t, t+1, \dots, r.$$

We have that

$$g^* v_j^0 = \alpha_j \quad \text{for } j = 1, 2, \dots, k.$$

Finally, we see that, since  $\varphi(z_i)$  is a non-zero element of  $\Gamma(T, \mathcal{O}_T)$  for

$$i \in \{1, 2, \dots, r-t\} \setminus \{r-r_2, r-r_3, \dots, r-r_k\},$$

the map  $f$  factors via  $CL_t^0(\sigma)$  with  $\sigma = (r=s_1, s_2, \dots, s_t)$  if and only if

$$\{s_1, s_2, \dots, s_t\} \subseteq \{r_1, r_2, \dots, r_k\}.$$

(iv) *Restriction to an open subset*

Let  $V$  be an open subset of  $T$  and  $(\alpha_\varrho)_V$  the restriction of a  $t$ -completed  $T$ -linear map  $\alpha_\varrho$  as in Example 2(iv). Then it follows from Construction 3 that we have

$$\alpha_\varrho(r-i)_V = (\alpha_\varrho)_V(r-i)$$

for  $i=t, t+1, \dots, r$ .

(v) *Equivalent maps*

Let  $\alpha_\varrho$  and  $\alpha'_\varrho$  be two  $t$ -completed  $T$ -linear maps of rank  $\varrho$  that are equivalent. Then it follows from Construction 3 that their characteristic maps  $\alpha_\varrho(r-i)$  and  $\alpha'_\varrho(r-i)$  are equivalent for  $i=t, t+1, \dots, r$  as surjective maps (that is they have the same kernels).

The converse assertion of that in Example 4 (v) also holds and explains the term characteristic maps. However, the proof of the converse is considerably more difficult and will follow from our next result.

**Proposition 5.** *Given a sequence  $\varrho=(r, r_2, \dots, r_k)$  of integers such that  $r > r_2 > \dots > r_k \cong t$  and an integer  $l$  such that  $1 \cong l \cong k$ .*

*For  $i=r_{l+1}+1, r_{l+1}+2, \dots, r$  we let*

$$\pi(r-i): \left( \bigwedge^{r-i+1} E \otimes \bigwedge^{r-i+1} F^* \right)_T \rightarrow M(r-i+1)$$

*be a surjection onto an invertible bundle  $M(r-i+1)$  over an  $S$ -scheme  $T$ .*

*Moreover, let  $\{U_\gamma\}_{\gamma \in I}$  be a covering of  $T$  by open subsets and let  $\{\alpha_{\gamma, \varrho}\}_{\gamma \in I}$  be a collection of  $t$ -completed  $U_\gamma$ -linear maps of rank  $\varrho$  between  $E|U_\gamma$  and  $F|U_\gamma$ .*

*Assume that for all  $\gamma$ , the restriction of  $\pi(r-i)$  to  $U_\gamma$  is equivalent to the characteristic map  $\alpha_{\gamma, \varrho}(r-i)$  of  $\alpha_{\gamma, \varrho}$  for  $i=r_{l+1}+1, r_{l+1}+2, \dots, r$ .*

*Then there is an  $(r_{l+1}+1)$ -completed  $T$ -linear map  $\alpha_{\varrho(l)}$  of rank  $\varrho(l)=(r, r_2, \dots, r_l)$  which, when restricted to  $U_\gamma$  in the sense of Example 4 (iv), is equivalent to  $\alpha_{\gamma, \varrho(l)}$  and which satisfies the following property:*

(\*) *The characteristic map  $\alpha_{\varrho(l)}(r \pm i)$  of  $\alpha_{\varrho(l)}$  is equivalent to the surjection  $\pi(r-i)$  for  $i=r_{l+1}+1, r_{l+1}+2, \dots, r$ .*

*Moreover, if  $\alpha'_{\varrho(l)}$  is another  $(r_{l+1}+1)$ -completed  $T$ -linear map that satisfies property (\*), then  $\alpha'_{\varrho(l)}$  and  $\alpha_{\varrho(l)}$  are equivalent as completed linear maps.*

*Proof.* We shall prove the Proposition by induction on  $l$  starting by the case  $l=1$ . For  $l=1$  we have that  $\pi(0)$  defines a map

$$E \rightarrow F \otimes M(1)$$

which, by the assumptions of the Proposition, becomes equivalent to  $\alpha_{\gamma, 1}$  when restricted to  $U_\gamma$ . Since the properties (i), (ii) and (iii) of the Definition of completed

maps hold for  $\alpha_i$  restricted to  $U_\gamma$  for all  $\gamma$ , we have that  $\alpha_1$  is an  $(r_2+1)$ -completed  $T$ -linear map. It follows from Example 4 (iii) and (iv) that the characteristic map  $\alpha_{q(1)}(r-i)$  restricted to  $U_\gamma$  is equivalent to  $\alpha_\gamma(r-i)$  for  $i=r_2+1, r_2+2, \dots, r$ . The same is true for  $\pi(r-i)$ . Hence the kernels of  $\alpha_{q(1)}(r-i)$  and  $\pi(r-i)$  are equal and thus these maps are equivalent.

Moreover, let

$$\alpha'_1: E' \rightarrow F' \otimes L'$$

be another map whose characteristic maps  $\alpha'_{q(1)}(r-i)$  are equivalent to  $\pi(r-i)$  for  $i=r_2+1, r_2+2, \dots, r$ . Then  $\alpha'(0)$  and  $\alpha(0)$  are equivalent and their associated maps  $\alpha'_1$  respectively  $\alpha_1$  are then equivalent as completed maps.

Assume that the Proposition holds for  $l-1 \cong 1$ . Then we have bundles  $E_j, F_j$  and  $L_j$  and maps

$$\alpha_j: E_j \rightarrow F_j \otimes L_j$$

for  $j=1, 2, \dots, l-1$  that define an  $(r_l+1)$ -completed  $T$ -linear map which satisfies property (\*) of the Proposition for  $i=r_l+1, r_l+2, \dots, r$  and whose restriction to  $U_\gamma$  is equivalent to the completed map defined by  $\alpha_{\gamma,1}, \alpha_{\gamma,2}, \dots, \alpha_{\gamma,l-1}$ . Moreover, if  $\alpha'_q$  is a completed map as in the last part of the Proposition we have that the completed maps given by  $\alpha_1, \alpha_2, \dots, \alpha_{l-1}$  and  $\alpha'_1, \alpha'_2, \dots, \alpha'_{l-1}$  are equivalent.

We now define the subbundle  $E_l$  of  $E$  and the quotient bundle  $F_l$  of  $F$  by

$$E_l = \ker \alpha_{l-1}$$

and

$$F_l \otimes L_{l-1} = F_{l-1} \otimes L_{l-1} / (I(r_{l-1} - r_l + 1, \alpha_{l-1}): \text{im } \alpha_{l-1}).$$

Then  $E_l$  and  $F_l$  satisfy property (iii) of the Definition of completed maps.

In Construction 3 we have that the bundles  $G(l), H(l), L_l(\alpha_q)$  and  $I_l(\alpha_q)$  are all determined by  $\alpha_1, \alpha_2, \dots, \alpha_{l-1}$  and the same is true for the map  $\alpha_1(l)$ . Moreover, the equivalence between the completed maps given by  $\alpha_1, \alpha_2, \dots, \alpha_{l-1}$  and  $\alpha'_1, \alpha'_2, \dots, \alpha'_{l-1}$  gives canonical isomorphism from the above bundles to the corresponding bundles  $G'(l), H'(l), L'_l(\alpha'_q)$  and  $I_l(\alpha'_q)$  constructed from  $\alpha'_1, \alpha'_2, \dots, \alpha'_{l-1}$  and a commutative diagram

$$(2.13) \quad \begin{array}{ccc} G(l) & \xrightarrow{\alpha_1(l)} & I_l(\alpha'_q) \otimes H(l) \\ \downarrow \varphi & & \downarrow \psi \otimes \nu \\ G'(l) & \xrightarrow{\alpha'_1(l)} & I_l(\alpha'_q) \otimes H'(l) \end{array}$$

where the maps  $\varphi, \psi$  and  $\nu$  are the natural isomorphisms mentioned above.

From these induction assumptions, the above definition of  $E_l$  and  $F_l$  and property (iii) in the definition of  $\alpha'_q$  it immediately follows that there are isomorphisms  $\gamma_l: E_l \rightarrow E'_l$  and  $\pi_l: F_l \rightarrow F'_l$  identifying  $E_l$  and  $E'_l$  as subbundles of  $E$  and making  $F_l$  and  $F'_l$  equivalent as quotient bundles of  $F$ .

We define the invertible sheaf  $L_i$  by the equality

$$(2.14) \quad M(r-r_i+1) = I_i(\alpha_\varrho) \otimes L_i(\alpha_\varrho) \otimes L_i$$

and obtain a diagram

$$(2.15) \quad \begin{array}{ccc} \bigwedge^{r-r_i+1} E_T & \xrightarrow{\pi_0(r-r_i)} & \bigwedge^{r-r_i+1} F_T \otimes M(r-r_i+1) \\ \downarrow & & \parallel \\ \bigwedge^{r-r_i+1} E_T & & \bigwedge^{r-r_i+1} F_T \otimes L_i(\alpha_\varrho) \otimes I_i(\alpha_\varrho) \otimes L_i \\ \downarrow & & \uparrow \\ G(l) \otimes E_l & & F_l \otimes L_l \otimes I_l(\alpha_\varrho) \otimes H_l \end{array}$$

where the horizontal map is obtained from  $\pi(r-r_i)$  and the left and right vertical maps are those obtained from the maps (2.4) respectively (2.5) of the construction. Since  $\pi(r-i)$  restricted to  $U_\gamma$  is equivalent to  $\alpha_\gamma(r-i)$  by the assumption of the Proposition and since the diagram (2.15) restricted to  $U_\gamma$  is "equivalent" to the top part of diagram (2.8) of Construction 3 for  $\alpha_{\gamma,\varrho}$ , we have that there is a map

$$\varepsilon_\gamma: (G(l) \otimes E_l)|_{U_\gamma} \rightarrow (F_l \otimes L_l \otimes I_l(\alpha_\varrho) \otimes H_l)|_{U_\gamma}$$

such that when (2.14) is restricted to  $U_\gamma$  and completed by  $\varepsilon_\gamma$  it is commutative. Moreover, since the left and right horizontal maps of diagram (2.15) are surjective respectively injective we have that the maps  $\varepsilon_\gamma$  are unique and glue together into a map

$$\varepsilon: G(l) \otimes E_l \rightarrow F_l \otimes L_l \otimes I_l(\alpha_\varrho) \otimes H_l$$

such that when diagram (2.15) is completed by this map it becomes commutative. The map  $\varepsilon$  together with the isomorphism  $\alpha_1(l)$  define uniquely a map

$$\alpha_l: E_l \rightarrow F_l \otimes L_l$$

such that

$$\varepsilon = \alpha_1(l) \otimes \alpha_l.$$

Moreover, we have that there is a commutative diagram

$$\begin{array}{ccc} E_l|_{U_\gamma} & \xrightarrow{\alpha_l|_{U_\gamma}} & (F_l \otimes L_l)|_{U_l} \\ \downarrow & & \downarrow \\ E_{\gamma,l} & \xrightarrow{\alpha_{\gamma,l}} & (F_{\gamma,l} \otimes L_{\gamma,l})|_{U_\gamma} \end{array}$$

such that the properties (i) and (ii) of the Definition of completed maps hold for  $\alpha_l$ . Hence  $\alpha_1, \alpha_2, \dots, \alpha_l$  define an  $(r_{l+1}+1)$ -completed  $T$ -linear map which, when restricted to  $U_\gamma$ , is equivalent to the completed map defined by the maps  $\alpha_{\gamma,1}, \alpha_{\gamma,2}, \dots, \alpha_{\gamma,l}$ .

From the latter property we obtain from Example 4 (iii) and (iv) that the restriction of the associated characteristic map  $\alpha_{\varrho(l)}(r-i)$  to  $U_\gamma$  is equivalent to  $\alpha_\gamma(r-i)$



and hence to  $\pi(r-i)|U_\gamma$  for  $i=r_{l+1}+1, r_{l+1}+2, \dots, r$ . Consequently, the maps  $\alpha_{q(l)}(r-i)$  and  $\pi(r-i)$  have the same kernel over  $U_\gamma$  and hence over  $T$  and thus property (\*) of the Proposition holds.

Finally, it remains to see that the completed map defined by  $\alpha_1, \alpha_2, \dots, \alpha_l$  is equivalent to that defined by  $\alpha'_1, \alpha'_2, \dots, \alpha'_l$ . To this end we first remark that by Construction 3 we have that

$$L'(r-r_l+1) = I_l(\alpha'_q) \otimes L'_l(\alpha'_q) \otimes L'_l$$

and that, by assumption, we have that  $L'(r-r_l+1)$  and  $M(r-r_l+1)$  are isomorphic. Together with the isomorphism mentioned above between  $I_l(\alpha_q)$  and  $I_l(\alpha'_q)$  and between  $L_l(\alpha_q)$  and  $L'_l(\alpha'_q)$ , we obtain from the definition (2.14) of  $L_l$  an isomorphism  $\delta_l: L_l \rightarrow L'_l$ .

We have now defined all the maps in the diagram

$$(2.16) \quad \begin{array}{ccc} E_l & \xrightarrow{\alpha_l} & F_l \otimes L_l \\ \gamma_l \downarrow & & \downarrow \pi_l \otimes \delta_l \\ E'_l & \xrightarrow{\alpha'_l} & F'_l \otimes L'_l \end{array}$$

and it only remains to prove that this diagram commutes. However, from the commutativity of the diagram (2.15) completed with  $\varepsilon$  and from the commutativity of the diagram

$$\begin{array}{ccc} \bigwedge^{r-r_l+1} E_T & \xrightarrow{\alpha'_q(r-r_l)} & \bigwedge^{r-r_l+1} F_T \otimes L(r-r_l+1) \\ \downarrow & & \uparrow \\ G'(l) \otimes E'_l & \xrightarrow{\alpha_1(l) \otimes \alpha'_l} & I_l(\alpha_q) \otimes H'(l) \otimes F'_l \otimes L'_l \end{array}$$

obtained from diagram (2.8) of Construction 3 for  $\alpha'_q$ , it follows from the way all the above maps were defined that there is a commutative diagram

$$(2.17) \quad \begin{array}{ccc} G(l) \otimes E_l & \xrightarrow{\varepsilon} & I_l(\alpha_q) \otimes H(l) \otimes F_l \otimes L_l \\ \varphi \otimes \gamma_l \downarrow & & \downarrow \psi \otimes \nu \otimes \pi_l \otimes \delta_l \\ G'(l) \otimes E'_l & \xrightarrow{\alpha_1(l) \otimes \alpha'_l} & I_l(\alpha'_q) \otimes H'(l) \otimes F'_l \otimes L'_l \end{array}$$

where  $\varphi, \psi$  and  $\nu$  are the isomorphisms of diagram (2.13). The commutativity of (2.16) follows from the commutativity of diagrams (2.13) and (2.17) together with the equality  $\varepsilon = \alpha_1(l) \otimes \alpha_l$ .

**Corollary 6.** *Given two  $t$ -completed  $T$ -linear maps  $\alpha_q$  and  $\alpha'_q$  such that the characteristic maps  $\alpha_q(r-i)$  and  $\alpha'_q(r-i)$  are equivalent as surjective maps for  $i=t, t+1, \dots, r$ . Then  $\alpha_q$  and  $\alpha'_q$  are equivalent as  $t$ -completed  $T$ -linear maps.*

*Proof.* Let  $\{U_\gamma\}_{\gamma \in I}$  consist of the single element  $T$  and let  $\alpha_{\gamma, q} = \alpha_q$ . Then  $\alpha'_q$  satisfies the assumption of the second part of the Proposition and is consequently equivalent to  $\alpha_q$ .

**Lemma 7.** *Let  $T$  be an  $S$ -scheme and  $\alpha_q$  a  $t$ -completed  $T$ -linear map of rank  $q = (r_1, r_2, \dots, r_k)$  given by the maps*

$$\alpha_j: E_j \rightarrow F_j \otimes L_j.$$

(i) *Let  $V$  be an open subset of  $T$  which maps to  $S_0$  and is such that the maps  $\alpha_q(r-i)$  restricted to*

$$(2.18) \quad \left( \bigwedge^{r-i+1} E(r-i) \otimes \bigwedge^{r-i+1} F(r-i)^* \right)_V$$

*are surjective for  $i = t, t+1, \dots, r$ . We denote by*

$$\mu_{r-i+1}: \mathcal{O}_V \rightarrow L(r-i+1)$$

*the resulting trivialization of  $L(r-i+1)$  given by the basis  $e(0, 1, \dots, r-i) \otimes f(0, 1, \dots, r-i)^*$  of the bundle (2.18).*

*Then from the maps  $\mu_{r-i+1}$  we obtain trivializations*

$$\tau_i: \mathcal{O}_V \rightarrow L^2$$

*such that the maps*

$$\beta_j: (E_j)_V \rightarrow (F_j \otimes L_j)_V \xrightarrow{id \otimes \tau_i^{-1}} (F_j)_V$$

*define a  $t$ -completed  $T$ -linear map of rank  $q$  which has a diagonal representation*

$$A(a_{i,j}) \cdot D_j(d_{i,j}) \cdot B(b_{i,j})$$

*by matrices of the form  $M_A, M_B$  and  $M_{j,D}$  of Lemma 1 (i) and Example 2 (ii) with respect to the bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$  as in Example 2 (ii).*

(ii) *Let  $x$  be a point of  $T$  that maps to  $S_0$ . Then we can find a neighbourhood  $V$  of  $x$  in  $T$  which maps to  $S_0$  and such that, after possibly renumbering the elements of the bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$ , the maps  $\alpha_q(r-i)_V$  restricted to the modules (2.18) are surjections for  $i = t, t+1, \dots, r$ .*

*Proof.* We shall prove the Proposition by induction on  $k$  starting with  $k=0$ .

Assume that we have, under the assumptions of (i), chosen trivializations  $\tau_j: \mathcal{O}_V \rightarrow L_j$  of  $L_j$  for  $j=1, 2, \dots, l-1 < k$  and found matrices of the form  $A'$  and  $B'$  of the form  $M_A$  and  $M_B$  of Lemma 1 (i) with  $t=r_l-1$  such that  $E_j = E'(r_j)A^{-1}$  and  $F_j = F'(r_j)B$  for  $j=1, 2, \dots, l$  and such that  $\alpha_j$  with respect to the bases  $e_{r-r_j}A^{-1}, e_{r-r_j+1}A^{-1}, \dots, e_rA^{-1}$  and  $f_{r-r_j}B, f_{r-r_j+1}B, \dots, f_sB$  is represented by a matrix  $D_j$  as in Example 2 (i). We have trivializations

$$\mu_{r-r_l}: \mathcal{O}_V \rightarrow L(r-r_l) = I_l(\alpha_q) \otimes L_l(\alpha_q)$$

and

$$\mu_{r-r_i+1}: \mathcal{O}_V \rightarrow L(r-r_i+1) = L(r-r_i) \otimes L_1.$$

Hence we obtain a trivialization

$$\tau_i: \mathcal{O}_V \rightarrow L_i.$$

From Construction 3, diagram (2.8) it follows that with the above trivializations the map

$$\bigwedge^{r-i+1} E_V \otimes \bigwedge^{r-i+1} F_V \rightarrow L(r-i+1) \xrightarrow{\mu_{r-r_i}^{-1} \otimes id} I(r_i-i, \alpha_i) \otimes L_i^{r-i+1}$$

factors via the map

$$(2.19) \quad \bigwedge^{r_i-i+1} (E_i)_V \otimes \bigwedge^{r_i-i+1} (F_i)_V \rightarrow I(r_i-i, \alpha_i) \otimes L_i^{r_i-i+1}$$

obtained from  $\alpha_i$ . Moreover, for  $i=r_{l+1}+1, r_{l+1}+2, \dots, r_l$ , the condition that  $\alpha(r-i+1)$  restricted to the module (2.18) is surjective is the same as the condition that the map (2.19) restricted to

$$(2.20) \quad \mathcal{O}_V(e(r-r_i, r-r_i+1, \dots, r-i) \otimes f(r-r_i, r-r_i+1, \dots, r-i)^*)$$

is surjective. We can now use Lemma 1 (i) with  $\tau = \tau_i$  to the map  $\alpha_i$  to obtain a diagonal representation of this map with respect to the bases  $e_{r-r_i}, e_{r-r_i+1}, \dots, e_r$  and  $f_{r-r_i}, f_{r-r_i+1}, \dots, f_s$ . However, we consider the  $(r_i+1) \times (r_i+1)$  and  $(s-r+r_i+1) \times (s-r+r_i+1)$ -matrices  $A$  respectively  $B$  of Lemma 1 as  $(r+1) \times (r+1)$  and  $(s+1) \times (s+1)$ -matrices  $A''$  respectively  $B''$  with  $A$  respectively  $B$  in the lower right corner, 1's on the diagonal and the remaining coordinates zero.

It is then clear that the matrices  $A' \cdot A''$  and  $B'' \cdot B'$  give a diagonal representation of the map  $\alpha_i$  and part (i) of the Lemma follow by induction.

For part (ii) we can assume that we have found a neighbourhood  $V$  such that the  $\alpha(r-i)_V$  restricted to (2.18) are surjections for  $i=r_l+1, r_l+2, \dots, r_{l-1}$ . Then as in part (i) we can find matrices  $A'$  and  $B'$  that give a diagonal representation for  $\alpha_1, \alpha_2, \dots, \alpha_{l-1}$ . We can now use Lemma 1(ii) to  $\alpha_i$  so that, after possibly shrinking  $V$  and renumbering the elements of the bases  $e_{r-r_i}, e_{r-r_i+1}, \dots, e_r$  and  $f_{r-r_i}, f_{r-r_i+1}, \dots, f_s$ , we have that for  $i=r_{l+1}+1, r_{l+1}+2, \dots, r_l$  the map (2.19) restricted to the line bundle (2.20) is surjective. Consequently, as we saw in the proof of part (i) of the Lemma the same is true for  $\alpha_q(r-i+1)$  restricted to the line bundle (2.18). Hence, part (ii) follows by induction.

**Theorem 8.** *Assume that  $S_0$  is affine and equal to  $\text{Spec } A$ . Let  $T$  be an  $S_0$ -scheme and  $\alpha_q$  a  $t$ -completed  $T$ -linear map of rank  $q=(r_1, r_2, \dots, r_k)$  given by the maps*

$$\alpha_j: E_j \rightarrow F_j \otimes L_j \quad \text{for } j = 1, 2, \dots, k.$$

Assume that the maps  $\alpha_q(r-i)$  restricted to

$$\bigwedge^{r-i+1} E(r-i) \otimes \bigwedge^{r-i+1} F(r-i)^*$$

are surjective for  $i=t, t+1, \dots, r$ . Then there is a unique morphism

$$f: T \rightarrow CL_t^0(r)$$

such that

$$f^* v_{(r)}^0(r-i) = \alpha_q(r-i) \quad \text{for } i = t, t+1, \dots, r.$$

The morphism  $f$  satisfies the following two properties:

(i) If factors, via  $i_q$  of Example 4 (iii), through a map

$$g: T \rightarrow CL_t^0(\sigma)$$

with  $\sigma = (r=s_1, s_2, \dots, s_l)$  if and only if

$$\{s_1, s_2, \dots, s_l\} \subseteq \{r_1, r_2, \dots, r_k\}.$$

(ii) With  $g$  as in (i) we have that

$$g^* v_j^0 = \alpha_j \quad \text{for } j \in \{i | r_i \in \{s_1, s_2, \dots, s_l\}\}.$$

*Proof.* It follows from part (i) of Lemma 7 that after trivializing the bundles  $L(r-i+1)$  appropriately we can find a diagonal representation of  $\alpha_q$  of the form given in Example 2 (ii). As we saw in Example 4 (iii) there is then a unique map

$$f: T \rightarrow CL_t^0$$

satisfying all the assertions of the Theorem.

If

$$h: T \rightarrow CL_t^0$$

is another map such that

$$h^* v_{(r)}^0(r-i) = \alpha_q(r-i) \quad \text{for } i = t, t+1, \dots, r$$

then it coincides with  $f$  with respect to the above trivialization and hence  $h=f$ .

### 3. The parameter space for completed linear maps

The schemes  $CL_t^0(\sigma)$  of Theorem 9 can be considered as the parameter spaces of complete linear maps that can be trivialized in a particular way with respect to the bases  $e_0, e_1, \dots, e_r$  and  $f_0, f_1, \dots, f_s$ . In this section we shall glue together these parameter spaces for different rearrangements of the bases and obtain a parameter space for all complete linear maps. The main tool in the glueing process

is the characteristic maps

$$\alpha_q(r-i): \left( \bigwedge^{r-i+1} E \otimes \bigwedge^{r-i+1} F^* \right)_T \rightarrow L(r-i+1) \quad \text{for } i = t, t+1, \dots, r$$

that are associated to a  $t$ -completed  $T$ -linear map  $\alpha_q$ . These maps define maps

$$h_i: T \rightarrow P \left( \bigwedge^{r-i+1} E \otimes \bigwedge^{r-i+1} F^* \right) = P(r-i+1) \quad \text{for } i = t, t+1, \dots, r$$

such that  $\alpha_q(r-i)$  is equivalent to the pull back by  $h_i$  of the universal quotient

$$\pi_{r-i}: \left( \bigwedge^{r-i+1} E \otimes \bigwedge^{r-i+1} F^* \right)_{P(r-i+1)} \rightarrow L_{P(r-i+1)}$$

on  $P(r-i+1)$ . Consequently we obtain a map

$$h: T \rightarrow P = \prod_{i=t}^r P(i)$$

and it is the latter map we shall use to define the parameter space of completed linear maps as a subscheme of  $P$ .

It follows from Exercise 2 (v) that two equivalent completed maps  $\alpha_q$  and  $\beta_q$  give rise to the same map  $h$ . Conversely, if two completed maps  $\alpha_q$  and  $\beta_q$  give rise to the same map  $h$  then for  $i=t, t+1, \dots, r$  the maps  $\alpha_q(r-i)$  and  $\beta_q(r-i)$  are equivalent as surjections. Hence it follows from Corollary 6 that  $\alpha_q$  and  $\beta_q$  are equivalent as completed maps.

We collect the main results of this article in the following Theorem.

**Theorem 9.** *Let  $q=(r_1, r_2, \dots, r_k)$  be a  $k$ -tuple of integers satisfying the inequalities*

$$r = r_1 > r_2 > \dots > r_k \cong t \cong 0.$$

*Then there exists an  $S$ -scheme  $CL_t(q)$  and a  $t$ -completed  $CL_t(q)$ -linear map  $v_q$  given by maps*

$$v_j: E_j(q) \rightarrow F_j(q) \otimes L_j(q) \quad \text{for } j = 1, 2, \dots, k,$$

*which satisfies the following properties:*

(i) *Over an affine open subset  $S_0 = \text{Spec } A$  of  $S$  the scheme  $CL_t(q)$  can be covered by open affine subsets of the form  $CL_t^0(q)$  of Example 2 (iii) and Theorem 8.*

*In particular  $CL_t(q)$  is an affine bundle over  $S$  of relative dimension  $(r+1)(s+1)-k$ .*

(ii) *Let  $\alpha_q$  be a  $t$ -linear  $T$ -complete map of rank  $q$  given by the maps*

$$\alpha_j: E_j \rightarrow E_j \otimes L_j \quad \text{for } j = 1, 2, \dots, k.$$

*Then there exists a unique map*

$$f(\alpha_q): T \rightarrow CL_t(r)$$

*such that  $\alpha_q(r-i)$  and  $f(\alpha_q)^* v_q(r-i)$  are equivalent as surjections for  $i=t, t+1, \dots, r$ .*

(iii) The map

$$f(v_\varrho): CL_t(\varrho) \rightarrow CL_t(r)$$

defined in part (ii), is a closed immersion which in the affine covering described in part (i) coincides with the map  $i_\varrho$  of Example 4 (iii).

In particular we have that  $f(v_\varrho)$  is a complete intersection defined by the ideal

$$\prod_{i=2}^k I(r-r_i, v_\varrho) \cdot I(r-r_i-1, v_\varrho)^{-2} \cdot I(r-r_i-2),$$

where we let  $I(-1, v_\varrho)$  be the structure sheaf.

(iv) Let  $\sigma=(r=s_1, s_2, \dots, s_t)$ . Then  $f(\alpha_\varrho)$  factors via  $f(v_\sigma)$  if and only if

$$(3.1) \quad \{s_1, s_2, \dots, s_t\} \subseteq \{r_1, r_2, \dots, r_k\}.$$

(v) If (3.1) holds and

$$g(\alpha_\varrho): T \rightarrow CL_t(\delta)$$

is the factorization of (iv), then for  $j \in \{i \mid s_i \in \{r_1, r_2, \dots, r_k\}\}$  we have that there are isomorphisms  $\gamma_j, \pi_j$  and  $\delta_j$  making the diagram

$$\begin{array}{ccc} g(\alpha_\varrho)^* E_j(\delta) & \xrightarrow{g(\alpha_\varrho)^* v_j} & g(\alpha_\varrho)^* F_j(\varrho) \otimes g(\alpha_\varrho)^* L_j(\delta) \\ \gamma_j \downarrow & & \downarrow \pi_j \otimes \delta_j \\ E_j & \xrightarrow{\alpha_j} & F_j \otimes L_j \end{array}$$

commutative.

*Proof.* We assume first that  $S$  is the affine scheme  $S_0 = \text{Spec } A$ .

Let  $\pi, \tau$  be permutations of  $(0, 1, \dots, r)$  respectively  $(0, 1, \dots, s)$ . We denote by  $CL_t^{\pi, \tau}(\varrho)$  the space that has the “generic” diagonal presentation of Example 2 (iii) with respect to the rearrangement  $\pi$  of  $e_0, e_1, \dots, e_r$  and  $\tau$  of  $f_0, f_1, \dots, f_r$ . In particular  $CL_t^{id, id}(\varrho) = CL_t^0(\varrho)$ .

Let  $\alpha_\varrho$  be a  $t$ -complete  $T$ -linear map and assume that  $T$  is an  $S_0$ -scheme. We denote by  $T_i(\pi, \tau)$  the open subset of  $T$  where the map  $\alpha_\varrho(r-i)$  restricted to the subspace of  $(\bigwedge^{r-i+1} E \otimes \bigwedge^{r-i+1} F^*)_T$  generated by

$$(3.2) \quad (e(\pi(0), \pi(1), \dots, \pi(r-i))) \otimes f(\tau(0), \tau(1), \dots, \tau(r-i)))^*$$

is surjective. Then  $T_i(\pi, \tau)$  is mapped by  $h_i$  into the subset  $U_i(\pi, \tau)$  of  $P(r-i+1)$  where the universal quotient map  $\pi_{r-i}$  restricted to (3.2) is surjective.

We introduce the following notation

$$T(\pi, \tau) = \bigcap_{i=t}^r T_i(\pi, \tau)$$

and

$$U(\pi, \tau) = \prod_{i=1}^r U_i(\pi, \tau).$$

Then  $U(\pi, \tau)$  is an open subset of  $P$  and the maps  $h_i$  for  $i=t, t+1, \dots, r$  gives a map

$$h(\pi, \tau): T(\pi, \tau) \rightarrow U(\pi, \tau) \subseteq P.$$

In particular we obtain a map

$$j(\pi, \tau): CL_t^{(\pi, \tau)}(\sigma) \rightarrow U(\pi, \tau)$$

for each  $\sigma=(r=s_1, s_2, \dots, s_t)$ . If we assume that (3.1) holds we see that since the two latter maps are defined by the quotients  $\alpha_q(r-i)$  and  $v_\sigma(r-i)$  respectively, we have that

$$h(\pi, \tau) = g(\pi, \tau) \cdot j(\pi, \tau)$$

where

$$g(\pi, \tau): T(\pi, \tau) \rightarrow CL_t^{(\pi, \tau)}(\sigma)$$

is the map  $g$  of Theorem 9 defined with respect to the arrangement  $\pi$  and  $\tau$  of the bases  $e_0, e_1, \dots, e_r$  respectively  $f_0, f_1, \dots, f_s$ .

From the expressions (2.11) for the maps  $v_\sigma(r-i)$  and the fact that  $v_\sigma(r-i)$  is the pull-back of  $\pi_{r-i}$  by  $j(\pi, \tau)$  followed by the projection of  $U(\pi, \tau)$  onto the  $i'$ th factor  $P(r-i+1)$  it follows that  $j(\pi, \tau)$  is a closed immersion.

Let  $\pi_1$  and  $\tau_1$  be two other permutations of  $\{0, 1, \dots, r\}$  respectively  $\{0, 1, \dots, s\}$ . From the way in which the maps  $h(\pi, \tau), j(\pi, \tau)$  and  $g(\pi, \tau)$  above were defined and the uniqueness of the maps into  $CL_t^{(\pi, \tau)}(\sigma)$  asserted by Theorem 8 it follows that the following diagram is commutative:

$$\begin{array}{ccccc}
 T(\pi, \tau) & \xrightarrow{g(\pi, \tau)} & CL_t^{(\pi, \tau)}(\sigma) & \xrightarrow{j(\pi, \tau)} & U(\pi, \tau) \\
 & & \cup \parallel & & \\
 \cup \parallel & & g(\pi, \tau)|_{T'} & \rightarrow & C & \xrightarrow{j(\pi, \tau)|_C} & \cup \parallel & \\
 T(\eta, \tau) \cap T(\pi_1, \tau_1) & \searrow & h \uparrow \downarrow h' & & & \rightarrow & U(\pi, \tau) \cap U(\pi_1, \tau_1) & \\
 \cap \parallel & & g(\pi_1, \tau_1)|_{T'} & \rightarrow & C' & \xrightarrow{j(\pi_1, \tau_1)|_{C'}} & \cap \parallel & \\
 & & \cap \parallel & & & & & \\
 T(\pi_1, \tau_1) & \xrightarrow{g(\pi_1, \tau_1)} & CL_t^{(\pi_1, \tau_1)}(\sigma) & \xrightarrow{j(\pi_1, \tau_1)} & U(\pi_1, \tau_1),
 \end{array}$$

where  $C$  and  $C'$  are the open subspaces of  $CL_t^{(\pi, \tau)}(\sigma)$  respectively  $CL_t^{(\pi_1, \tau_1)}(\sigma)$  where the characteristic maps restricted to the spaces generated by the vector  $e(\pi(0), \pi(1), \dots, \pi(r-i)) \otimes f(\pi(0), \pi(1), \dots, \pi(r-i))^*$  respectively

$$e(\pi_1(0), \pi_1(1), \dots, \pi_1(r-i)) \otimes f(\tau_1(0), \tau_1(1), \dots, \tau_1(r-i))^*,$$

are surjective for  $i=t, t+1, \dots, r$ , and where  $h$  and  $h'$  are the unique (inverse) maps whose existence are asserted by Theorem 8.

It follows that the spaces  $CL_t^{(\pi, \tau)}(\sigma)$  for all different rearrangements  $\pi$  and  $\tau$  glue together to a closed subscheme  $CL_t(\sigma)$  of the open subset  $U = \cup U(\pi, \tau)$  of

$P$ , where the union is over all rearrangements  $\pi$  and  $\tau$ . Hence we have proved the existence of the scheme  $CL_t(\sigma)$  that satisfies assertion (i) of the Theorem.

It also follows that the maps  $g(\pi, \tau)$  glue together to a map

$$g(\alpha_\sigma): \bigcup_{\pi, \tau} T(\pi, \tau) \rightarrow CL_t(\sigma)$$

and from the way maps into the projective space  $P = \prod_{i=t}^r P(i)$  are defined it follows that this map is the unique map such that there are isomorphisms

$$\sigma(r-i+1): g(\alpha_\sigma)^*(L_{P(r-i+1)}|_{CL_t(\sigma)}) \rightarrow L(r-i+1) \quad \text{for } i = t, t+1, \dots, r$$

such that the diagrams

$$\begin{array}{ccc} & g(\alpha_\sigma)^*(\pi_{r-i+1}|_{CL_t(\sigma)}) & \\ & \nearrow & \\ \left( \bigwedge^{r-i+1} E \otimes \bigwedge^{r-i+1} F^* \right) \cup_{T(\pi, \tau)} & & g(\alpha_\sigma)^*(L_{P(r-i+1)}|_{CL_t(\sigma)}) \\ & \searrow_{\alpha_\sigma(r-i)} & \downarrow \sigma(r-i+1) \\ & & L(r-i+1) \end{array}$$

are commutative. However, it follows from Lemma 7 (ii) that  $\bigcup T(\pi, \tau) = T$ . Consequently,  $g(\alpha_\sigma)$  is defined on  $T$  and for  $\sigma = (r)$  we get a map

$$f(\alpha_\sigma): T \rightarrow CL_t(r),$$

such that  $\alpha_\sigma(r-i)$  is equivalent to  $f(\alpha_\sigma)^* L_{P(r-i+1)}$ .

We see that, to prove assertion (iii) it remains to prove the existence of a universal map  $v_1$  on  $CL_t(r)$  such that  $L_{P(r-i+1)}|_{CL_t(\sigma)}$  is equivalent to  $v_{(r)}(r-i+1)$  for  $i = t, t+1, \dots, r$ .

We therefore turn to the existence of the maps  $v_j$  asserted in the Theorem.

On each local piece  $CL_t^{(\pi, \tau)}(\sigma)$  there are completed maps  $v_\sigma^{(\pi, \tau)}$  represented by

$$v_j^{(\pi, \tau)}: E_j^{(\pi, \tau)} \rightarrow F_j^{(\pi, \tau)}$$

as in Example 2 (ii) and by the way the embedding of  $CL_t^{(\pi, \tau)}(\sigma)$  in  $U(\pi, \tau)$  was defined we have that the characteristic map of  $v_\sigma^{(\pi, \tau)}$  is equal to the universal quotient  $\pi_{r-i}$  on  $P(r-i+1)$  pulled back to  $CL_t^{(\pi, \tau)}(\sigma)$ . It follows from the first part of Proposition 5 that there is a  $t$ -complete  $CL_t(\sigma)$  linear map  $v_\sigma$  of rank  $\sigma$  whose restriction to  $CL_t^{(\pi, \tau)}(\sigma)$  is equivalent to the map  $v_\sigma^{(\pi, \tau)}$  and whose characteristic map  $v_\sigma(r-i)$  is equivalent to the pull-back of  $\pi_{r-i}$  to  $CL_t(\sigma)$ . Consequently, we have proved the existence of the map  $v_\sigma$  of the Theorem and we see that when  $\sigma = (r)$  we have also finished the proof of assertion (ii). Moreover, since we have that the pull-back of  $\pi_{r-i}$  to  $CL_t(\sigma)$  and  $CL_t(r)$  are equivalent to the characteristic maps  $v_\sigma(r-i)$  respectively  $v_{(r)}(r-i)$ , we see that the map  $f(v_\sigma): CL_t(\sigma) \rightarrow CL_t(r)$ , defined by  $v_\sigma$ , is the inclusion  $CL_t(\sigma) \subseteq CL_t(r) \subseteq U$  defined by glueing together the maps  $j(\pi, \tau)$  above. Hence we have proved the first assertion of part (iii). The second



assertion reduces to the easy verification made in Lemma 1 (i) that on the piece  $CL_t^0(r)$  the ideal of part (iii) is generated by  $z_i$  for  $i \in \{r-r_2, r-r_3, \dots, r-r_k\}$ .

Part (iv) follows immediately from the first part of Theorem 9 and the way in which we constructed  $f(\alpha_\sigma)$  and  $f(v_\sigma)$  from the maps  $g(\pi, \tau)$ .

From part (iv) it follows that, in order to prove part (v), we may assume that  $\sigma = \tau$ . As we have seen above, we then have that  $g(\pi, \tau)^* v_\sigma$  and  $\alpha_\sigma | T(\pi, \tau)$  are equivalent completed maps. Moreover, we saw above that  $g(\alpha_\sigma)^* v_\sigma(r-i)$  and  $\alpha_\sigma(r-i)$  are equivalent surjections for  $i = t, t+1, \dots, r$ . Hence it follows from Proposition 5 that  $g(\alpha_\sigma)^* v_\sigma$  and  $\alpha_\sigma$  are equivalent and we have proved part (v) of the Theorem.

Finally we notice that we have only proved our result over an affine subset  $S_0 = \text{Spec } A$  of  $S$ . However, it is clear that all objects considered glue together over  $S$  exactly like those connected to  $P$  do and consequently that all results hold over any base  $S$ .

The parameter spaces that we are really interested in are the schemes  $CL_0(r)$ . We have throughout retained the additional complication of considering the  $t$ -completed maps because it makes it easy to display the connection between our approach and that of Vainsencher [17], [18]. This connection is explained by the following result from which we also, as an additional benefit, obtain that the schemes  $CL_0(r)$  are proper over  $S$ . The properness of  $CL_0(r)$  can however also be proved directly, with slightly less work, using the valuative criterion.

**Proposition 10.** *Assume that  $t > 0$ . With the notation of Example 2 (iii) and Theorem 10 the following two assertions hold:*

(i) *Let  $V$  be the open subset of  $CL_{t-1}(r)$  which maps to the open subset  $S_0 = \text{Spec } A$  of  $S$  and where the restriction of  $\alpha_\sigma(r-i)$  to*

$$\bigwedge^{r-i+1} E(r-i) \otimes \bigwedge^{r-i+1} F(r-i)^*$$

*is surjective for  $i = t, t+1, \dots, r$ . Then the canonical map*

$$f(v_{t-1,(r)}): V \rightarrow CL_t^0(r)$$

*makes  $V$  the monoidal transformation of  $CL_t^0(r)$  with center on the subscheme defined by the ideal*

$$I(r-t+1, v_{(r)}^0) \cdot I(r-t, v_{(r)}^0)^{-2} \cdot I(r-t-1, v_{(r)}^0) = (z_{i,j}) = (z_{i,j})_{1 \leq i, j \leq t}$$

*The exceptional locus is the subscheme  $CL_{t-1}((r, t)) \cap V$  of  $V$  and the scheme  $CL_{t-1}((r, r_2)) \cap V$  is the strict transform of  $CL_t((r, r_2))$  for  $r_2 = t+1, t+2, \dots, r-1$ .*

(ii) *We have that  $CL_{t-1}(r)$  is the monoidal transformation of  $CL_t(r)$  with center*



to themselves except the following

$$\begin{aligned} \varphi(z'_{11}) &= z'_{r-t+1} \\ \varphi(z'_{i,1}) &= x_{r-t+i,r-t+1}z'_{r-t+1} \quad \text{for } i = 2, 3, \dots, t \\ \varphi(z'_{1,j}) &= y_{r-t+1,r-t+j}z'_{r-t+1} \quad \text{for } j = 2, 3, \dots, s-r+t \\ \varphi(z'_{i,j}) &= (z'_{i-1,j-1} + x_{r-t+i,r-t+1}y_{r-t+1,r-t+j})z'_{r-t+j} \quad \text{for } i = 2, 3, \dots, t \\ &\quad \text{and } j = 2, 3, \dots, s-r+t. \end{aligned}$$

We see that

$$\begin{aligned} \varphi(z_{i,1}/z_{11}) &= \varphi(z'_{i,1}/z'_{11}) = x_{r-t+i,r-t+1} \quad \text{for } i = 2, 3, \dots, t \\ \varphi(z_{1,j}/z_{11}) &= \varphi(z'_{1,j}/z'_{11}) = y_{r-t+1,r-t+j} \quad \text{for } j = 2, 3, \dots, s-r+t \\ \varphi(z_{i,j}/z_{11}) &= \varphi(z'_{i,j}/z'_{11}) = z_{i-1,j-1} + x_{r-t+i,r-t+1}y_{r-t+1,r-t+j} \quad \text{for } i = 2, 3, \dots, t \\ &\quad \text{and } j = 2, 3, \dots, s-r+t. \end{aligned}$$

Consequently we have that

$$B_{t-1}(r) = B_t(r)[z_{i,j}/z_{1,1}] \quad \text{where } i = 1, 2, \dots, t \quad \text{and } j = 1, 2, \dots, s-r+t.$$

Reordering the elements of the bases  $e_{r-t+1}, e_{r-t+2}, \dots, e_r$  and  $f_{r-t+1}, f_{r-t+2}, \dots, f_s$  we see that the coordinate ring of  $V(k, l)$  is

$$B_t(r)[z_{i,j}/z_{k+1,i+1}].$$

Hence we see that the  $V(k, l)$  have exactly the coordinate rings of the local pieces of the monoidal transformation of  $CL_t^0(r)$  with center on the ideal  $(z_{i,j})$ , and it is clear that they also fit together on the intersections as the monoidal transformation does. We have proved the first part of assertion (i) of the Proposition.

For the second part of assertion (i) we have only to notice that the exceptional locus in  $B_t(r)[z_{i,j}/z_{1,1}]$  is defined by the element  $\varphi(z_{1,1}) = \varphi(z'_{1,1}/z_1 z_2 \dots z_{r-t}) = z'_{r-t+1}/z_1 z_2 \dots z_{r-t} = z_{r-t+1}$ . Hence, by Example 4 (iii) it is equal to  $CL_{t-1}^0(r, t)$ . Moreover, we have that  $CL_{t-1}^0(r, r_2)$  and  $CL_t^0(r, r_2)$  are both irreducible codimension one subschemes of  $CL_{t-1}^0$  respectively  $CL_t^0$  defined by the equation  $z_{r-r_2}$ . Hence we have proved the last part of assertion (i).

Part (ii) of the Proposition follows immediately from part (i).

The purpose of the next result is to give the connection between our approach and that of Tyrrell [15]. He maps the open subset  $V$  of  $CL_r(r) = \mathbf{P}(\text{Hom}(E, F^*)) = \mathbf{P}(E \otimes F^*)$ , consisting of maps  $\alpha: E \rightarrow F$  of maximal rank, into the product

$$P = \prod_{i=0}^r \mathbf{P}(\bigwedge^{i+1} E \otimes \bigwedge^{i+1} F^*)$$

by the map

$$(\alpha, \bigwedge^2 \alpha, \dots, \bigwedge^{r+1} \alpha).$$

Then he defines  $CL_0(r)$  as the closure of the image by this map, and finds a characterization of the points of  $CL_0(r)$  in terms of his characteristic maps.

**Proposition 11.** *The morphism*

$$CL_t(r) \rightarrow \prod_{i=0}^t \mathbf{P}(\wedge^{i+1} E \otimes \wedge^{i+1} F^*)$$

given by the characteristic map

$$(v_t(0), v_t(1), \dots, v_t(r-t))$$

of  $v_t$  is a closed immersion.

*Proof.* Over the open subset  $CL_t^0(r)$  of  $CL_t(r)$  the map  $v_t$  has the diagonal form  $A(x_{i,j}) \cdot D(z_{i,j}) \cdot B(y_{i,j})$  described in Example 2 (ii) and (iii). The corresponding matrices representing the maps  $v_t^0(r-i)$  take the form (2.11) of Example 4(ii) of § 1 with  $j=1$  and the variables as coefficients. From the form of the latter matrices for  $i=t, t+1, \dots, r$  we see that the characteristic map of  $v_t^0$  make  $CL_t^0$  a closed subscheme of the open affine subset of  $\prod_{i=0}^t \mathbf{P}(\wedge^{i+1} E \otimes \wedge^{i+1} F^*)$  consisting of matrices with a 1 in the upper left corner. Hence, the morphism of the Proposition is an embedding. However, from Proposition 10 it follows that  $CL_t$  is proper over  $S$ . Consequently, the immersion is closed.

#### 4. The category of completed linear maps

The following section contains a different point of view from that of the previous section. We shall consider 0-completed maps that we call simply *complete* and we denote by  $CL(\varrho)$  and  $CL$  the spaces  $CL_0(\varrho)$  respectively  $CL_0(r)$ .

We shall now define a category that we shall call the *category of complete maps*. The *objects* of this category are  $S$ -schemes  $T$  with an equivalence class of complete  $T$ -linear maps. By definition each member of such an equivalence class has the same rank which we call the *rank of the object*.

Let  $\alpha=(T, [\alpha_\varrho])$  and  $\beta=(U, [\beta_\sigma])$  be objects of the category of ranks  $\varrho=(r, r_2, \dots, r_k)$  respectively  $\sigma=(r=s, s_2, \dots, s_l)$ , where  $[\alpha_\varrho]$  and  $[\beta_\sigma]$  denotes the classes containing the complete maps  $\alpha_\varrho$  respectively  $\beta_\sigma$ . By a *morphism* from  $\alpha$  to  $\beta$  we mean a morphism

$$f: T \rightarrow U$$

such that the maps  $f^*\beta_\sigma(r-i)$  and  $\alpha_\varrho(r-i)$  are equivalent as quotients maps from

$$\left( \wedge^{r-i+1} E \otimes \wedge^{r-i+1} F^* \right)_T$$

for  $i=0, 1, \dots, r$ . It follows from Example 4 (v) that the definition of a morphism is independent of the choice of representants from the classes  $[\alpha_\rho]$  and  $[\beta_\sigma]$ . Moreover, it follows from Theorem 9 (iv) that if such a morphism exists, then we must have that

$$(4.1) \quad \{s, s_2, \dots, s_t\} \subseteq \{r, r_1, \dots, r_k\}.$$

We shall write  $\rho \leq \sigma$ , and say that  $\rho$  is at most equal to  $\sigma$  if the inequality (4.1) holds. With the above terminology the main contents of Theorem 9 is that, in the full subcategory of the category of complete maps whose objects are those of rank at most  $\sigma$ , there is a final object  $(CL(\sigma), [v_\sigma])$ . By a *final object* of a category we mean an object into which there is a *unique* map from each object in the category.

A more elegant approach to this subject might be to start with the definition of the category of complete maps and then pose and solve the problem of finding a final object in an as coordinate free way as possible. This approach was taken in two previous versions of this article. We have, however, chosen the approach of this version because it is more concrete and hopefully more understandable.

### 5. An auxiliary geometric construction

We shall in this section prove a result that illustrates the geometry of the space of complete linear maps and that provides the crucial inductive step in our forthcoming treatment of the enumerative theory of such maps.

Let  $p$  be an integer such that  $0 < p \leq r$ . We shall in the following denote by  $X$  and  $Y$  the grassmannians  $G_p(E^*)$  respectively  $G_p(F)$  and by  $Z$  the product  $X \times Y$ . The universal sequences on  $X$  and  $Y$  we shall denote by

$$0 \rightarrow Q^* \rightarrow E_X^* \rightarrow G^* \rightarrow 0$$

respectively

$$0 \rightarrow R \rightarrow F_Y \rightarrow H \rightarrow 0$$

Moreover, we let  $CL = CL(E, F)$  and denote by  $C(p)$  the degeneration subscheme  $CL(r, r-p)$  of  $CL$ . On  $C(p)$  there is a canonical inclusion

$$(5.1) \quad E_2 \rightarrow E_{C(p)}$$

and a canonical surjection

$$(5.2) \quad F_{C(p)} \rightarrow F_2.$$

These define a natural map

$$t: C(p) \rightarrow G_p(E^*) \times G_p(F)$$

such that the canonical maps  $G \rightarrow E_X$  and  $F_Y \rightarrow H$  pull back to (5.1) respectively (5.2). The restriction of the universal map

$$v: E_{CL} \rightarrow F_{CL} \otimes L$$

on  $CL$  restricts to a map

$$(5.3) \quad E_{C(p)} \rightarrow G_1 \rightarrow H_1 \otimes L \rightarrow F_{C(p)} \otimes L$$

on  $C(p)$ , where  $G_1 = E_{C(p)}|E_2$  and  $H_1 = \ker(F_{C(p)} \otimes L \rightarrow F_2 \otimes L)$ . Hence we obtain a map

$$g: C(p) \rightarrow CL(Q_Z, R_Z)$$

into the complete linear maps between  $Q_Z$  and  $R_Z$ . We shall denote by  $CL(p)$  the space  $CL(Q_Z, R_Z)$  and by  $h$  the structure map

$$h: CL(p) \rightarrow Z.$$

The characteristic map  $v(p=1)$  on  $CL$  defines a map

$$c: CL \rightarrow P$$

where  $P = P(\bigwedge^p E \otimes \bigwedge^p F^*)$  and there is a Segre map

$$s(p): Z \rightarrow P,$$

defined by the natural quotient map

$$(\bigwedge^p E \otimes \bigwedge^p F^*)_Z \rightarrow (\bigwedge^p Q \otimes \bigwedge^p R^*)_Z.$$

Together with the inclusion  $j: C(p) \rightarrow CL$  we obtain a diagram

$$\begin{array}{ccc} CL & \xrightarrow{c} & P \\ \uparrow j & & \uparrow s(p) \\ C(p) & \xrightarrow{v} & Z \\ & \searrow g & \uparrow h \\ & & CL(p) \end{array}$$

**Proposition 12.**

- (i) *The above diagram is commutative.*
- (ii) *Let  $L_p(i+1)$  for  $i=0, 1, \dots, p-1$  be the universal quotients by the characteristic maps*

$$(\bigwedge^{i+1} Q \otimes \bigwedge^{i+1} R^*)_{CL(p)} \rightarrow L_p(i+1)$$

on  $CL(p)$ . Then

$$g^* L_p(i+1) = L(i+1)|C(p) \text{ for } i = 0, 1, \dots, p-1.$$

(iii) Let  $D = CL(G_{CL(p)}, H_{CL(p)} \otimes L_p(p)^{-1})$  be the complete linear maps between  $G_{CL(p)}$  and  $H_{CL(p)} \otimes L_p(p)^{-1}$  over  $CL(p)$ . Then there is a canonical isomorphism

$$C(p) \rightarrow D$$

such that, under this isomorphism, the morphism  $g$  corresponds to the structure map  $g'$  of  $D$  over  $CL(p)$ .

(iv) Under the isomorphism in (iii) the universal quotient  $M$  on  $D$  by the first characteristic map

$$(G \otimes H^* \otimes L_p(p))_D \rightarrow M$$

is pulled back to  $L(p+1)|C(p)$ .

*Proof.* (i) The map  $cj$  is defined by the restriction of the characteristic map

$$v(p-1): (\bigwedge^p E \otimes \bigwedge^p F^*)_{CL} \rightarrow L(p)$$

to  $C(p)$ , that is by the characteristic map on  $C(p)$ . On the other hand the map  $s(p)t$  is defined by the natural map

$$(5.4) \quad (\bigwedge^p E \otimes \bigwedge^p F^*)_{C(p)} \rightarrow \bigwedge^p G_1 \otimes \bigwedge^p (H_1^* \otimes L).$$

By the Construction of the characteristic map on  $C(p)$  these two maps are the same. Hence the top square of the diagram commutes. However, the bottom triangle is obviously commutative so we have proved part (i).

(ii) From the factorization (5.3) it follows that, for  $i=0, 1, \dots, p-1$  we have a commutative diagram

$$\begin{array}{ccc} (\bigwedge^{i+1} E \otimes \bigwedge^{i+1} F^*)_{C(p)} & \longrightarrow & L(i+1)|C(p) \\ \downarrow & \nearrow & \\ \bigwedge^{i+1} G_1 \otimes \bigwedge^{i+1} (H_1^* \otimes L) & & \end{array}$$

where the slanted map is obtained by exterior product from the map.

$$(5.5) \quad G_1 \rightarrow H_1$$

in the same way as  $v(i)$  is obtained from  $v$ . However, by the definition of  $g$  the map (5.5) is the pull-back of the universal map

$$\varepsilon: Q_{CL(p)} \rightarrow R_{CL(p)} \otimes L_p(1)$$

on  $CL(p)$ . We therefore have proved assertion (ii).

(iii) The universal map

$$v_2: E_2 \rightarrow F_2 \otimes L_2$$

on  $C(p)$ , defines a unique canonical map

$$C(p) \rightarrow D$$

of  $CL(p)$  schemes such that the universal map

$$\alpha: G_D \rightarrow (H \otimes L_p(p)^{-1})_D \otimes M$$

pulls back to  $v_2$ . Conversely, on  $D$ , the pull back by  $g'$  of the map

$$E_D \rightarrow Q_D \xrightarrow{s_D} (R \otimes L_p(1))_D \rightarrow (F \otimes L_p(1))_D$$

together with the map  $\alpha$ , define a unique canonical map

$$D \rightarrow C(p)$$

such that the above two maps are pull-backs of the canonical maps  $v$  and  $v_2$  on  $C(p)$ . By the uniqueness the maps are inverses of each other.

(iv) The pull-back of  $\alpha$  by the isomorphism in (iii) is  $v_2$ . Hence  $L_p(p)^{-1} \otimes M$  pulls back to  $L_2$ . However, by Construction 3 on  $C(p)$ , the characteristic map  $v(p)'$  on  $C(p)$  is given by maps

$$\bigwedge^{p+1} E_{C(p)} \otimes \bigwedge^{p+1} F_{C(p)}^* \rightarrow \bigwedge^p G_1 \otimes (\bigwedge^p H_1^* \otimes L_1) \otimes E_2 \otimes F_2^* \rightarrow \bigwedge^p G_1 \otimes \bigwedge^p (H_1^* \otimes L) \otimes L_2.$$

Here the right hand side is  $L(p+1)|C(p)$  and as we saw in the proof of part (i) we have that

$$\bigwedge^p G_1 \otimes \bigwedge^p (H_1^* \otimes L) \cong L(p)|C(p).$$

Consequently, we have that  $L_2 \cong (L(p+1) \otimes L(p))^{-1}$ . We have proved that  $M$  pulls back to

$$L_p(p)_D \otimes L_2 = L_p(p)_D \otimes (L(p)|C(p)) \otimes L(p+1)|C(p).$$

However, by part (ii) and (iii) above  $L_p(p)_D = (g')^* L_p(p)$  pulls back to  $g^* L_p(p) = L(p)|C(p)$  and we have proved part (iv).

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