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# A TOPOLOGICAL INTERPRETATION OF t

This paper is dedicated to Prof. Rabbi Haim Judah

#### Abstract

Hurewicz found connections between some topological notions and the combinatorial cardinals  $\mathfrak b$  and  $\mathfrak d$ . Recław gave topological meaning to the definition of the cardinal  $\mathfrak p$ . We extend the picture with a topological interpretation of the cardinal  $\mathfrak t$ . We compare our notion to the one related to  $\mathfrak p$ , and to some other classical notions. This sheds new light on the famous open problem whether  $\mathfrak p=\mathfrak t$ .

## 1 Introduction

Cardinals associated with infinitary combinatorics play an important role in set theory. Some earlier works ([8], [13], [1], [12], and [9]) have pointed out a strong connection between these cardinals and classes of spaces having certain topological properties. In this paper, we continue this line of research in a way which enables us to give a topological meaning to an open problem from infinitary combinatorics.

### 1.1 Preliminaries

Let  $\omega = \{0, 1, 2, \dots\}$  and  $2 = \{0, 1\}$  be the usual discrete spaces.  $\omega^{\omega}$  and  $2^{\omega}$  are equipped with the product topology. Identify  $2^{\omega}$  with  $P(\omega)$  via characteristic functions.  $[\omega]^{\omega}$  is the set of infinite elements of  $P(\omega)$ , with  $O_n = \{a : n \in a\}$  and  $O_{\neg n} = \{a : n \notin a\} = O_n^c \ (n \in \omega)$  as a clopen subbase.

For  $a, b \subseteq \omega$ ,  $a \subseteq^* b$  if  $a \setminus b$  is finite.  $X \subseteq [\omega]^{\omega}$  is centered if every finite  $F \subseteq X$  has an infinite intersection.  $a \in [\omega]^{\omega}$  is an almost-intersection (a.i.)

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of X if it is infinite, and for all  $b \in X$ ,  $a \subseteq^* b$ .  $X \subseteq [\omega]^{\omega}$  is a *power* if it is centered, but has no a.i..  $\mathfrak{p}$  is the minimal size of a power.  $X \subseteq [\omega]^{\omega}$  is a *tower* if it is linearly ordered by  $\subseteq^*$ , and has no a.i..  $\mathfrak{t}$  is the minimal size of a tower.

 $\leq^*$  is the partial order defined on  $\omega^\omega$  by eventual dominance  $(f \leq^* g \text{ iff } \forall^\infty n \ (f(n) \leq g(n))$ ).  $\mathfrak b$  is the minimal size of an unbounded family, and  $\mathfrak d$  is the minimal size of a dominating family, with respect to  $\leq^*$ .

## The Main Problem

Let  $\mathfrak{c}$  denote the size of the continuum. The following holds.

**Theorem 1.1** ([4, Theorem 3.1.a]).  $\mathfrak{p} \leq \mathfrak{t} \leq \mathfrak{d} \leq \mathfrak{c}$ .

For each pair except  $\mathfrak p$  and  $\mathfrak t$ , it is well known that a strict inequality is consistent.

**Problem 1.2.** Is  $\mathfrak{p} < \mathfrak{t}$  consistent with ZFC ?

This is one of the major and oldest problems of infinitary combinatorics. Allusions for this problem can be found in Rothberger's works (see, e.g., [15, Lemma 7]). It is only known that  $\mathfrak{p} = \omega_1 \to \mathfrak{t} = \omega_1$  [4, Theorem 3.1.b], hence also  $\mathfrak{t} \leq \omega_2 \to \mathfrak{p} = \mathfrak{t}$ .

### 1.2 $\gamma$ -spaces

Throughout this paper, by *space* we mean a zero-dimensional, separable, metrizable topological space. As any such space is homeomorphic to a subset of the irrationals, our results can be thought of as dealing with sets of reals.

The definition of a  $\gamma$ -space is due to Gerlits and Nagy [6]. Let X be a space. A collection of open sets  $\mathcal{G}$  is an  $\omega$ -cover of X if for every finite  $F \subseteq X$  there is a  $G \in \mathcal{G}$  such that  $F \subseteq G$ .  $\langle G_n : n < \omega \rangle$  is a  $\gamma$ -sequence for X if  $\forall x \in X \forall^{\infty} n \ (x \in G_n)$ . An open cover  $\mathcal{G}$  of X is a  $\gamma$ -cover of X if it contains a  $\gamma$ -sequence for X. Clearly every  $\gamma$ -cover is an  $\omega$ -cover. X is a  $\gamma$ -space if every  $\omega$ -cover of X is a  $\gamma$ -cover of X. For convenience, we may assume that the  $\omega$ -covers  $\mathcal{G}$  of X are countable and clopen (replacing each element from  $\mathcal{G}$  by all finite unions of basic clopen sets contained in it), and that for all finite  $F \subseteq X$ , there are infinitely many  $G \in \mathcal{G}$  with  $F \subseteq G$ . (Using a partition of  $\omega$  into  $\omega$  many infinite sets, one can create a sequence consisting of  $\omega$  copies of the original cover  $\mathcal{G}$ . The resulted sequence has the required property.) And so we will, from now on.

<sup>&</sup>lt;sup>1</sup>Note that, unlike the customary definition, we do not demand that a tower is well-ordered. However, by [4, Theorem 3.7], this does not change the value of t.

Let  $\Gamma$  denote the collection of all  $\gamma$ -spaces. Reclaw has given an elegant characterization of  $\gamma$ -spaces.

**Theorem 1.3** (Recław [13, Theorem 3.2]). X is a  $\gamma$ -space iff no continuous  $image^2$  of X in  $[\omega]^{\omega}$  is a power.

This gives an alternative proof to the following. For a family  ${\mathcal F}$  of spaces, let

$$non(\mathcal{F}) = \min\{|X| : X \text{ is a space, } X \notin \mathcal{F}\}\$$

Corollary 1.4 (Galvin, Miller, Taylor [5, p. 146]).  $non(\Gamma) = \mathfrak{p}$ .

## 2 The Tower of $\tau$

Let X be a topological space. An open cover  $\mathcal G$  of X is  $T_1$  if for all distinct  $x,y\in X$  there is a  $G\in \mathcal G$  such that  $x\in G,y\not\in G$ . Therefore,  $\mathcal G$  is not  $T_1$  iff there are distinct  $x,y\in X$  such that for all  $G\in \mathcal G$ ,  $x\in G\to y\in G$ . Strengthening the "not  $T_1$ " property demanding that the above holds for all  $x,y\in X$  would trivialise  $\mathcal G$  to be  $\{X\}$ , or  $\{X,\emptyset\}$ . We therefore compensate by means of a "modulo finite" restriction.

For 
$$\mathcal{G} = \langle G_n : n < \omega \rangle$$
, we write  $x \stackrel{\mathcal{G}}{\leadsto} y$  for

$$\forall^{\infty} n (x \in G_n \to y \in G_n).$$

 $\mathcal{G}$  is a  $\tau$ -sequence for X if

- 1.  $\mathcal{G}$  is a *large cover*; i.e. every element of X is covered by infinitely many elements of  $\mathcal{G}$ , and
- 2. for all  $x, y \in X$ , either  $x \stackrel{\mathcal{G}}{\leadsto} y$ , or  $y \stackrel{\mathcal{G}}{\leadsto} x$ .

An open cover  $\mathcal{J}$  of X is a  $\tau$ -cover of X if it contains a  $\tau$ -sequence for X. It is easy to see that every  $\gamma$ -cover is a  $\tau$ -cover, and every  $\tau$ -cover is an  $\omega$ -cover.

X is a  $\tau$ -space if every clopen  $\tau$ -cover of X is a  $\gamma$ -cover of X. Equivalently, if every countable clopen  $\tau$ -cover of X is a  $\gamma$ -cover of X. Let  $\mathcal{T}$  denote the collection of all  $\tau$ -spaces.

## Corollary 2.1. $\Gamma \subseteq \mathcal{T}$ .

 $<sup>^{2}</sup>$ A continuous image of X is the image of a continuous function with domain X.

 $<sup>^3</sup>$ This requirement was added in order to avoid trivial cases.

We wish to transfer our covering notions into  $[\omega]^{\omega}$ , in order to obtain their combinatorial versions. In Recław's proof of Theorem 1.3, the following natural function  $h = h_{\mathcal{G}}$  is considered. Given a countable large cover  $\mathcal{G} = \{G_n : n \in \omega\}$  of X, define  $h : X \to [\omega]^{\omega}$  by  $h(x) = \{n : x \in G_n\}$ . Now, let us see what h does to our topological notions. Assume that  $\mathcal{G} = \langle G_n : n < \omega \rangle$  is an  $\omega$ -cover of X. Then for all finite  $F \subseteq X$ , F is a subset of infinitely many  $G_n$ 's. That is,  $n \in \cap h[F]$  for infinitely many n's. This means that h[X] is centered.

Next, assume that  $\mathcal{G}$  is a  $\gamma$ -sequence for X. Then  $\forall x \forall^{\infty} n \ (x \in G_n)$ . That is,  $\forall x \forall^{\infty} n \ (n \in h(x))$ , or  $\omega$  is an a.i. of h[X]. Therefore,  $\mathcal{G}$  is a  $\gamma$ -cover of X iff the associated h[X] has an a.i..

Finally, a large cover  $\mathcal{G}$  is a  $\tau$ -sequence for X iff for all  $x, y \in X$ , either  $x \stackrel{\mathcal{G}}{\leadsto} y$ , or  $y \stackrel{\mathcal{G}}{\leadsto} x$ . Now,  $a \stackrel{\mathcal{G}}{\leadsto} b$  iff  $\forall^{\infty} n \ (n \in h(a) \to n \in h(b))$  iff  $h(a) \subseteq^* h(b)$ . Therefore, h[X] is linearly ordered by  $\subseteq^*$ .

We have showed the following.

#### **Lemma 2.2.** Assume that $\mathcal{G}$ is a countable large cover of X.

- 1.  $\mathcal{G}$  is an  $\omega$ -cover of X iff  $h_{\mathcal{G}}[X]$  is centered.
- 2.  $\mathcal{G}$  is a  $\gamma$ -cover of X iff  $h_{\mathcal{G}}[X]$  has an almost-intersection.
- 3.  $\mathcal{G}$  is a  $\tau$ -sequence for X iff  $h_{\mathcal{G}}[X]$  is linearly ordered by  $\subseteq^*$ .

Note that if  $\mathcal{G}$  is a *clopen* cover, then  $h = h_{\mathcal{G}}$  is continuous, since for all n,  $h^{-1}[O_n] = G_n$ , and  $h^{-1}[O_{\neg n}] = G_n^c$ . Therefore, 2.2(1) and 2.2(2) yield Recław's Theorem 1.3, and 2.2(2) and 2.2(3) yield the following.

**Theorem 2.3.** X is a  $\tau$ -space iff no continuous image of X in  $[\omega]^{\omega}$  is a tower.

- PROOF. ( $\Leftarrow$ ) Assume that  $\mathcal{J}$  is a clopen  $\tau$ -cover of X and let  $\mathcal{G} \subseteq \mathcal{J}$  be a  $\tau$ -sequence for X. Then by Lemma 2.2(3),  $h_{\mathcal{G}}[X]$  is linearly ordered by  $\subseteq^*$ . As  $h_{\mathcal{G}}$  is continuous,  $h_{\mathcal{G}}[X]$  cannot be a tower, and hence has an a.i.. Applying Lemma 2.2(2), we get that  $\mathcal{G}$  is a  $\gamma$ -cover of X, and hence so is  $\mathcal{J}$ .
- ( $\Rightarrow$ ) Assume that X is a  $\tau$ -space, and  $f: X \to [\omega]^{\omega}$  is continuous. Assume that f[X] is linearly ordered by  $\subseteq^*$ . Then  $\langle O_n : n < \omega \rangle$  is a clopen  $\tau$ -sequence for f[X]. Therefore  $\mathcal{G} = \langle f^{-1}[O_n] : n \in \omega \rangle$  is a clopen  $\tau$ -sequence for X; hence a  $\gamma$ -cover of X. By 2.2(2)  $h_{\mathcal{G}}[X]$  has an a.i.; hence is not a tower. But  $h_{\mathcal{G}} = f$ , as for all  $x \in X$ ,

$$n \in h_{\mathcal{G}}(x) \iff x \in f^{-1}[O_n] \iff f(x) \in O_n \iff n \in f(x).$$

Therefore, f[X] is not a tower.

The reader might have noticed that in the last proof we have indirectly used the following lemma.

**Lemma 2.4.** Every continuous image of a  $\tau$ -space is a  $\tau$ -space.

Proof. A continuous preimage of a clopen  $\tau$ -cover is a clopen  $\tau$ -cover.  $\square$ 

We get a topological characterization of  $\mathfrak{t}$ .

Corollary 2.5.  $non(\mathcal{T}) = \mathfrak{t}$ .

For a family  $\mathcal{F}$  of spaces, let

$$\mathrm{add}(\mathcal{F})=\min\{|\mathcal{A}|:\mathcal{A}\subseteq\mathcal{F}\ \&\ \bigcup\mathcal{A}\not\in\mathcal{F}\}.$$

Theorem 2.6.  $add(\mathcal{T}) = \mathfrak{t}$ .

PROOF. We need the following lemma.

**Lemma 2.7.** Assume that  $X \subseteq [\omega]^{\omega}$  is linearly ordered by  $\subseteq^*$ , and for some  $\kappa < \mathfrak{t}$ ,  $X = \bigcup_{i < \kappa} X_i$  where each  $X_i$  has an a.i.. Then X has an a.i..

PROOF. If for each  $i < \kappa X_i$  has an a.i.  $x_i \in X$ , then an a.i. of  $\{x_i : i < \kappa\}$  is also an a.i. of X. Otherwise, there exists  $i < \kappa$  such that  $X_i$  has no a.i.  $x \in X$ . That is, for all  $x \in X$  there exists a  $y \in X_i$  such that  $x \not\subseteq^* y$ ; thus  $y \subseteq^* x$ . Therefore, an a.i. of  $X_i$  is also an a.i. of X.

Now we can use Theorem 2.3. Assume that  $X = \bigcup_{i < \kappa < \mathfrak{t}} X_i$ , where each  $X_i$  is a  $\tau$ -space. If  $f: X \to [\omega]^\omega$  is continuous and f[X] is linearly ordered by  $\subseteq^*$ , then each  $f[X_i]$  has an a.i. and therefore by the lemma, f[X] has an a.i.. Therefore, X is a  $\tau$ -space.

A similar result cannot be obtained for  $\gamma$ -spaces. CH implies that  $\gamma$ -spaces are not even closed under taking *finite* unoions. We will use an argument of Galvin and Miller [5, p. 151] to show this.

**Theorem 2.8** (Brendle [2, Theorem 4.1]).  $CH \rightarrow there is a \gamma$ -space of size  $\mathfrak{c}(=\omega_1)$  all of whose subsets are  $\gamma$ -spaces.

For 
$$X \subseteq [0,1]$$
, let  $X + 1 = \{x + 1 : x \in X\}$ .

**Theorem 2.9** (Galvin, Miller [5, Theorem 5]). Assume that  $A \subseteq X \subseteq [0,1]$ , and  $(X \setminus A) \cup (A+1)$  is a  $\gamma$ -space. Then A is  $G_{\delta}$  and  $F_{\sigma}$  in X.

Now, consider a subspace A of Brendle's space X, such that A is neither  $G_{\delta}$  nor  $F_{\sigma}$ . Then the union of  $X \setminus A$  and A+1 (which are both  $\gamma$ -spaces) is not a  $\gamma$ -space.

The  $\gamma$ -property is very strict. Gerlits and Nagy [6, Corollary 6] proved that  $\gamma$ -spaces are C''. In particular, it is consistent that all  $\gamma$ -spaces are countable. However, large  $\tau$ -spaces do exist in ZFC. In fact, we have the following.

**Theorem 2.10** (Shelah).  $2^{\omega}$  is a  $\tau$ -space.

PROOF. Towards a contradiction, assume that  $f: 2^{\omega} \to [\omega]^{\omega}$  is continuous such that  $f[2^{\omega}]$  is a tower. Let

$$T = \{ s \in 2^{<\omega} : f[[s]] \text{ has no a.i.} \}.$$

T is a perfect tree. Assume that for some  $s \in T$ , there are no incomparable extensions  $s_0, s_1$  such that both  $f[\ [s_0]\ ]$  and  $f[\ [s_1]\ ]$  have no a.i.. Then for all  $\tilde{s}$  extending s, at least one of  $f[\ [\tilde{s}^{\hat{}}\langle 0\rangle]\ ]$  and  $f[\ [\tilde{s}^{\hat{}}\langle 1\rangle]\ ]$  has an a.i.. Let  $\sigma \in 2^{\omega}$  extend s such that for all  $n \geq |s|$ ,  $f[\ [(\sigma \upharpoonright n)\hat{\ }\langle 1 - \sigma(n)\rangle]\ ]$  has an a.i..  $f[\ [s]\ ] = \bigcup_{n < \omega} f[\ [(\sigma \upharpoonright n)\hat{\ }\langle 1 - \sigma(n)\rangle]\ ] \cup \{f(\sigma)\}$  is a union of  $\omega$  many sets having an a.i., contradicting Lemma 2.7.

We now show that  $f[2^{\omega}]$  cannot be linearly ordered by  $\subseteq^*$ . Define two branches  $\beta$  and  $\xi$  in T as follows. Start with incomparable  $b_0, c_0 \in T$ . Pick  $x_0 \in [b_0]$ . As  $f[[c_0]]$  has no a.i., we can find a  $y_0 \in [c_0]$  such that  $f(x_0) \nsubseteq^* f(y_0)$ . Choose an  $n_0 \in f(x_0) \setminus f(y_0)$ . Since f is continuous, we can find  $b_1$ , an initial segment of  $x_0$ , such that  $f[[b_1]] \subseteq O_{n_0}$ . Similarly, find  $c_1$ , an initial segment of  $y_0$ , such that  $f[[c_1]] \subseteq O_{n_0}$ .

Now we reverse the roles, and find  $x_1 \in [b_1]$ ,  $y_1 \in [c_1]$ ,  $n_1 > n_0$  such that  $n_1 \in f(y_1) \setminus f(x_1)$ . Then we take  $b_2$  and  $c_2$ , initial segments of  $y_1$  and  $x_1$  respectively, such that  $f[[b_2]] \subseteq O_{\neg n_1}$  and  $f[[c_2]] \subseteq O_{n_1}$ .

We continue by induction. Finally, let  $\beta = \bigcup_i b_i = \lim_i x_i$ , and  $\xi = \bigcup_i c_i = \lim_i y_i$ . Since f is continuous, the sets  $\{n_{2k} : k \in \omega\}$  and  $\{n_{2k+1} : k \in \omega\}$  witness that neither  $f(\beta) \subseteq^* f(\xi)$  nor  $f(\xi) \subseteq^* f(\beta)$ .

This theorem implies that the inclusion in Corollary 2.1 is proper. We will modify it to get a large class of  $\tau$ -spaces which are not  $\gamma$ -spaces.

**Theorem 2.11.**  $\omega^{\omega}$  is a  $\tau$ -space.

PROOF. Identify  $\omega^{\omega}$  with  $2^{\omega} \setminus F$  (where F are the eventually zero sequences), and work in  $2^{\omega} \setminus F$  instead of  $2^{\omega}$ .

1. In the proof that T is perfect, we need not care whether  $\sigma \in 2^{\omega} \setminus F$  or not.

2. When choosing the initial segment  $b_{i+1}$  of  $x_i$ , use the fact that  $x_i \notin F$  to make sure that  $b_{i+1}$  ends with a "1" (a similar treatment for  $c_{i+1}$ ). This will make  $\beta$  and  $\xi$  belong to  $2^{\omega} \setminus F$ .

Corollary 2.12. Every analytic set of reals is a  $\tau$ -space.

PROOF. Every analytic set of reals is a continuous image of  $\omega^{\omega}$ .

#### Remark 2.13.

- 1. One cannot prove in ZFC that all projective sets of reals are  $\tau$ -spaces. Since the reals have a projective well-ordering in the constructible universe L, a straightforward inductive construction will yield a projective tower.
- 2. Due to a theorem of Suslin (see, e.g., [11, Corollary 2C.3]), every uncountable analytic set contains a perfect set, and hence is not a  $\gamma$ -space. (It is not even strongly null.)

As in the case of  $\gamma$ -spaces [5, p. 147], the property of being a  $\tau$ -space need not be hereditary for subspaces of the same size.

We will work in  $P(\omega)$ .

**Theorem 2.14.**  $\mathfrak{t} = \mathfrak{c} \to there is a space <math>X \subseteq [\omega]^{\omega}$  s.t.

- 1.  $|X| = \mathfrak{c}$ ,
- 2.  $X \cup [\omega]^{<\omega}$  is a  $\tau$ -space, and
- 3. X is not a  $\tau$ -space.

PROOF. First, note that (1) follows from (2) and (3), using Corollary 2.5.

We will use a modification of the Galvin-Miller construction (see [5, Theorem 1]). For  $y \in [\omega]^{\omega}$ , define  $y^* = \{x : x \subseteq^* y\}$ . We need the following lemma.

**Lemma 2.15** (Galvin, Miller [5, Lemma 1.2]). Assume that  $\mathcal{G}$  is an open  $\omega$ -cover of  $[\omega]^{<\omega}$ . Then for all  $x \in [\omega]^{\omega}$  there exists a  $y \in [x]^{\omega}$  such that  $\mathcal{G}$   $\gamma$ -covers  $y^*$ .

Let  $\langle \mathcal{G}_i : i < \mathfrak{c} \rangle$  enumerate all countable families of clopen sets in  $P(\omega)$ , and let  $\langle y_i : i < \mathfrak{c} \rangle$  enumerate all elements  $y \in [\omega]^{\omega}$  such that both y and  $\omega \setminus y$  are infinite.

Construct, by induction,  $\langle x_i : i < \mathfrak{c} \rangle \subseteq [\omega]^{\omega}$  such that  $i < j \to x_j \subseteq^* x_i$ . For a limit i, use  $i < \mathfrak{t}$  to get  $x_i$ . For successor i = k + 1,  $x_i$  is constructed as follows.

Case 1  $\mathcal{G}_k$  is a  $\tau$ -cover of  $B_k = \{x_j : j \leq k\} \cup [\omega]^{<\omega}$ . By Theorem 2.5, as  $|B_k| < \mathfrak{t}$ ,  $\mathcal{G}_k$  is a  $\gamma$ -cover of  $B_k$ . In particular,  $\mathcal{G}_k$   $\gamma$ -covers  $[\omega]^{<\omega}$ . By the lemma, there exists an  $x_{k+1} \in [x_k]^{\omega}$  such that  $\mathcal{G}_k$   $\gamma$ -covers  $x_{k+1}^*$ .

Case 2  $\mathcal{G}_k$  is not a  $\tau$ -cover of  $\{x_j : j < k\} \cup [\omega]^{<\omega}$ . Since this case is not interesting, we may take  $x_{k+1} = x_k$ .

After  $x_i$  is chosen (either for limit or successor i), modify it as follows. If  $x_i \subseteq^* y_i$ , leave it as is. Otherwise, replace it by  $x_i \setminus y_i$ . This does the construction.

Define  $X = \{x_i : i < \mathfrak{c}\}$ . Then  $X \cup [\omega]^{<\omega}$  is a  $\tau$ -space. By the construction, if  $\mathcal{G}_k$  is a  $\tau$ -cover of  $X \cup [\omega]^{<\omega}$ , then it  $\gamma$ -covers  $\{x_j : j \leq k\} \cup x_{k+1}^*$ . But

$$X \cup [\omega]^{<\omega} \subseteq \{x_j : j \le k\} \cup x_{k+1}^*$$
.

This does (2).

(3) X is a tower. Let  $a \in [\omega]^{\omega}$ . We will show that a is not an a.i. of X. Take  $a_0 \subseteq a$  such that both  $a_0$  and  $\omega \setminus a_0$  are infinite. Now, some  $x_i$  satisfies either  $x_i \subseteq^* a_0$ , or  $x_i \subseteq^* \omega \setminus a_0$ . Therefore,  $a \not\subseteq^* x_i$ . By Lemma 2.4 (considering the identity function on  $[\omega]^{\omega}$ ), X is not a  $\tau$ -space.

Corollary 2.16.  $\mathfrak{t} = \mathfrak{c} \to \tau$ -spaces are not closed under Borel images.

PROOF. Let X be given by the theorem. Consider any function  $f: X \cup [\omega]^{<\omega} \to [\omega]^{\omega}$  such that  $f \upharpoonright X$  is the identity function, and  $f[[\omega]^{<\omega}] \subseteq X$ . As  $[\omega]^{<\omega}$  is countable, f is Borel.  $X \cup [\omega]^{<\omega}$  is a  $\tau$ -space, but X, its Borel image, is not a  $\tau$ -space.

## 3 Comparing $\tau$ -spaces to Other Classical Classes

## **Hurewicz** and Menger

We give Hurewicz' topological interpretations of  $\mathfrak{b}$  and  $\mathfrak{d}$ .

X has the Hurewicz property if for every sequence of open covers  $\mathcal{G}_n$ , there is a sequence of finite  $\tilde{\mathcal{G}}_n \subseteq \mathcal{G}_n$  such that the sets  $\cup \tilde{\mathcal{G}}_n$  form a  $\gamma$ -cover of X. X has the Menger property if for every sequence of open covers  $\mathcal{G}_n$ , there is a sequence of finite  $\tilde{\mathcal{G}}_n \subseteq \mathcal{G}_n$  such that the sets  $\cup \tilde{\mathcal{G}}_n$  cover X. Let  $\mathcal{H}$  and MEN denote the classes of spaces having the Hurewicz and Menger properties, respectively. Clearly  $\mathcal{H} \subseteq MEN$ .

**Theorem 3.1** (Hurewicz  $[8, \S 5]$ ). Let X be a space.

1. X has the Hurewicz property iff every continuous image of X in  $\omega^{\omega}$  is bounded. In particular,  $non(\mathcal{H}) = \mathfrak{b}$ .

2. X has the Menger property iff every continuous image of X in  $\omega^{\omega}$  is not dominating. In particular,  $non(MEN) = \mathfrak{d}$ .

We get that none of these two notions is provably comparable to  $\mathcal{T}$ .

## Corollary 3.2.

- 1.  $\mathcal{T} \not\subseteq MEN$ , and
- 2.  $\mathfrak{t} < \mathfrak{b} \to \mathcal{H} \not\subset \mathcal{T}$

PROOF. (1) By Theorem 2.11,  $\omega^{\omega} \in \mathcal{T}$ , and by Theorem 3.1(2),  $\omega^{\omega} \notin MEN$ . (2) follows from Corollary 2.5 and Theorem 3.1(1).

Indeed,  $\tau$ -spaces could be pretty far from having the Menger property. According to a theorem of Hurewicz [7, Theorem 20], an analytic set of reals having the Menger property must be  $F_{\sigma}$ . Corollary 2.12 could be contrasted with this. However, these classes need not be orthogonal. Gerlits and Nagy [6, p. 155] proved that, given a sequence of  $\omega$ -covers  $\mathcal{G}_n$  of a  $\gamma$ -space X, there exists a sequence  $G_n \in \mathcal{G}_n$  such that  $\{G_n : n \in \omega\}$   $\gamma$ -covers X. We therefore have the next assertion.

## Corollary 3.3. $\Gamma \subseteq \mathcal{H} \cap \mathcal{T}$ .

#### $\lambda$ -spaces

X is a  $\lambda$ -space if every countable subset of X is  $G_{\delta}$ . Let  $\Lambda$  denote the collection of  $\lambda$ -spaces.  $\lambda$ -spaces are perfectly meager (see [10, Theorem 5.2]). Therefore, by Remark 2.13(2), no uncountable analytic set is a  $\lambda$ -space. This again could be contrasted with Corollary 2.12.

On the other hand, we have the following.

**Theorem 3.4.** There is a  $\lambda$ -space of size  $\mathfrak{t}$  which is not a  $\tau$ -space.

Our theorem follows from the following two lemmas.

**Lemma 3.5** ([16, Theorem 1]).  $non(\Lambda) = \mathfrak{b}$ .

**Lemma 3.6.** Every tower of size  $\mathfrak{b}$  is a  $\lambda$ -space.

PROOF. We use the standard argument (see [4, Theorem 9.1]). Before getting started, note that for all  $y \in [\omega]^{\omega}$ ,  $y^* = \bigcup_{s \in [\omega]^{<\omega}} \{x : x \subseteq y \cup s\}$  is  $F_{\sigma}$ .

Assume that  $X = \{x_i : i < \mathfrak{b}\}$  is a tower with  $i < j \to x_j \subseteq^* x_i$ . For  $\alpha < \mathfrak{b}$ , set  $X_{\alpha} = \{x_i : i < \alpha\}$ . Then each  $X_{\alpha}$  is  $G_{\delta}$  in X. (Its complement in X is  $F_{\sigma}$ .) Assume that  $F \subseteq X$  is countable. As  $\mathfrak{b}$  is regular, there exists

 $\alpha < \mathfrak{b}$  such that  $F \subseteq X_{\alpha}$ . As  $|X_{\alpha}| < \mathfrak{b}$ ,  $X_{\alpha}$  is a  $\lambda$ -space. Hence, F is  $G_{\delta}$  in  $X_{\alpha}$ ; i.e., there is a  $G_{\delta}$  set  $A \subseteq X$  such that  $F = X_{\alpha} \cap A$ . As  $X_{\alpha}$  is also  $G_{\delta}$ , F is  $G_{\delta}$  in X.

With some set theoretic assumptions, we can have an example of size  $\mathfrak{b}$ . In fact, our  $\mathfrak{b}$ -example will have some additional properties related to our study.  $X \subseteq \mathbb{R}$  is a  $\lambda'$ -space if for all countable  $F \subseteq \mathbb{R}$ ,  $X \cup F$  is a  $\lambda$ -space. For  $D \subseteq \mathbb{R}$ , X is  $\kappa$ -concentrated on D if for all open  $U \supseteq D$ ,  $|X \setminus U| < \kappa$ .

Considering the proof that an  $(\omega_1, \omega_1)$ -gap is a  $\lambda'$ -space (see [10, p. 215]), one might wonder whether our proof can be strengthened to make every  $\mathfrak{b}$ -tower X a  $\lambda'$ -space. In fact, following the steps of the proof carefully one gets that for all countable  $F \subseteq [\omega]^{\omega}$ ,  $X \cup F$  is a  $\lambda$ -space. The problem is with  $[\omega]^{<\omega}$ : If X, when viewed as a subset of  $\omega^{\omega}$ , is unbounded, then  $[\omega]^{<\omega}$  is not  $G_{\delta}$  in  $X \cup [\omega]^{<\omega}$  [4, Lemma 9.3].

**Theorem 3.7.** Assume that there exists a tower of size  $\mathfrak{b}$ . Then there is a space X of size  $\mathfrak{b}$  such that

- 1. X is a  $\lambda$ -space,
- 2. X is  $\mathfrak{b}$ -concentrated on a countable set,
- 3. X is not a  $\lambda'$ -space.
- 4. X does not have the Hurewicz property, and
- 5. X is not a  $\tau$ -space.

PROOF. We work in  $P(\omega)$ . Identify  $[\omega]^{\omega}$  with  $\omega^{\uparrow \omega}$ , the strictly increasing elements of  $\omega^{\omega}$ . Let  $X = \{x_i : i < \mathfrak{b}\} \subseteq \omega^{\uparrow \omega}$  be such that the following holds.

(\*) It is unbounded,  $\leq^*$ -increasing, and has size  $\mathfrak{b}$ .

The existence of such a set follows from [4, Theorem 3.3]. Let  $A = \{a_i : i < \mathfrak{b}\}$  be a tower, and define  $Y = \{y_i : i < \mathfrak{b}\}$  as follows. For each  $i < \mathfrak{b}$ , let  $h \in \omega^{\omega}$  bound  $\{y_k : k < i\} \cup \{x_i\}$ , and take a  $y_i \subseteq^* a_i$  such that  $h \leq^* y_i$ ,  $y_i(n) = \min\{k \in a_i : y_i(n-1), h(n) < k\}$ . Y, like X, has the property (\*). Rothberger [14, Theorem 4] has proved that (\*) implies (1) and (3) (see also [4, Lemma 9.3]). By an observation of Miller [10, Theorem 5.7], (\*) implies that Y is  $\mathfrak{b}$ -concentrated on  $[\omega]^{<\omega}$ . By Theorem 3.1(1), (4) is also satisfied.

(5) Y is a tower: Any a.i. of Y would also be an a.i. of A.  $\Box$ 

Our theorem has a cute corollary.

Corollary 3.8.  $\mathfrak{t} = \mathfrak{b} \ \lor \mathfrak{b} < \mathfrak{d} \rightarrow \textit{there exists an } X \textit{ as in Theorem 3.7.}$ 

This follows from the following observation.

**Lemma 3.9** ([17, Theorem 1]).  $\mathfrak{b} < \mathfrak{d} \to there is a tower of size \mathfrak{b}$ .

For completeness, we give a proof of this lemma.

PROOF. Let  $X \subseteq \omega^{\uparrow \omega}$  have property  $(\clubsuit)$ , and let  $h \in \omega^{\omega}$  witness that X is not dominating. For each  $x \in X$  define  $a_x \in [\omega]^{\omega}$  by  $a_x = \{n : x(n) < h(n)\}$ . Then  $\{a_x : x \in X\}$  is linearly ordered by  $\subseteq^*$ . Assume that it has an a.i. a. Then h' defined by  $h'(n) = h(\min\{k \in a : n \leq k\})$  bounds X. A contradiction.  $\square$ 

Despite the large difference between  $\tau$  and  $\lambda$  spaces, these classes need not be orthogonal. Their intersection could contain a space of size  $\mathfrak{c}$ : By [5, Theorem 2], a  $G_{\delta}$   $\gamma$ -subspace of a space is also an  $F_{\sigma}$  subspace of that space. Every co-countable subspace of Brendle's space (see Theorem 2.8) is  $G_{\delta}$  and therefore  $F_{\sigma}$ . Therefore, every countable subspace of Brendle's space is  $G_{\delta}$ .

Corollary 3.10.  $CH \to there is a \gamma(in particular, \tau)$ -space of size  $\mathfrak c$  which is also a  $\lambda$ -space.

# 4 The Selection Principle $S_1$

Unlike  $\gamma$ -spaces,  $\tau$ -spaces do not fit into the framework defined in [9]. We recall the basic definitions.

A space X has property  $S_1(x,y)$  (x,y) range over  $\{\omega,\gamma,\tau,\ldots\}$  if, given a sequence of x-covers  $\mathcal{G}_n$ , one can select from each  $\mathcal{G}_n$  an element  $G_n$  such that  $\{G_n : n \in \omega\}$  is a y-cover. As mentioned in section 3, Gerlits and Nagy proved that the  $\gamma$ -property is equivalent to the  $S_1(\omega,\gamma)$  property. Using this notation, we have the following.

**Remark 4.1.**  $S_1(\omega, \gamma) \subseteq S_1(\tau, \gamma) \subseteq S_1(\gamma, \gamma)$ .

PROOF. As noted in §3, every  $\gamma$ -cover is a  $\tau$ -cover, and every  $\tau$ -cover is an  $\omega$ -cover.

Obviously,  $S_1(\tau, \gamma) \subseteq \mathcal{T}$ . By [9, Theorem 2.3],  $2^{\omega}$  does not belong to the class  $S_1(\gamma, \gamma)$ , and therefore not to the class  $S_1(\tau, \gamma)$ , either.

Corollary 4.2.  $S_1(\tau, \gamma) \neq \mathcal{T}$ .

We now study the  $S_1(\tau, \gamma)$  property. Let us begin with saying that the  $\tau$ -covering notion fits nicely into the framework of [9]. (In fact, it suggests many interesting notions, but we will stick to  $S_1(\tau, \gamma)$  in this paper.) For example, it can be added to [9, Theorem 3.1]. In particular, we have the following.

**Theorem 4.3.**  $S_1(\tau, \gamma)$  is closed under taking closed subsets and continuous images.

There are more properties, which follow from Remark 4.1 We quote some of them.

#### Theorem 4.4.

- 1. ([9, Corollary 5.6]) Every element of  $S_1(\tau, \gamma)$  is perfectly meager (i.e., has meager intersection with every perfect set).
- 2. ([9, Theorem 5.7]) If  $X \in S_1(\tau, \gamma)$ , then for every  $G_{\delta}$  set G containing X, there exists an  $F_{\sigma}$  set F such that  $X \subseteq F \subseteq G$ .

**Remark 4.5.** If we omit the metrizability assumption on the spaces, then  $S_1(\tau, \gamma)$  is not closed under cartesian products, nor under finite unions: Todor-čević [18] showed that there exist nonmetrizable  $X, Y \in S_1(\omega, \gamma)$  such that  $X \cup Y \notin S_1(\gamma, \omega)$ . (In fact, he showed that they do not even have the Menger property.)

**Theorem 4.6** (Daniels [3, Lemma 9]).  $S_1(\omega, \gamma)$  is closed under taking finite powers.

**Question 4.7.** Is  $S_1(\tau, \gamma)$  closed under taking finite powers?

One can see that if  $\mathcal{G}$  is a  $\tau$ -cover of X, then  $\{G^n : G \in \mathcal{G}\}$  is a  $\tau$ -cover of  $X^n$ . But this is not enough for answering this question.

**Theorem 4.8.**  $non(S_1(\tau, \gamma)) = \mathfrak{t}.$ 

PROOF. Assume that  $|X| < \mathfrak{t}$  and let  $\mathcal{G}_n$  be  $\tau$ -covers of X. We wish to conclude that the  $\mathcal{G}_n$ 's are  $\gamma$ -covers of X. Corollary 2.5 is not enough for our purposes, since the  $\tau$ -covers need not be clopen. However, Theorem 2.2 gives the desired result. Now, by [9, Theorem 4.7],  $\operatorname{non}(S_1(\gamma, \gamma)) = \mathfrak{b}$ . As  $|X| < \mathfrak{b}$ ,  $X \in S_1(\gamma, \gamma)$ . Therefore, one can extract a  $\gamma$ -cover of X from the  $\mathcal{G}_n$ 's. This proves  $\mathfrak{t} \leq \operatorname{non}(S_1(\tau, \gamma))$ .

The other direction follows from the fact that  $S_1(\tau, \gamma) \subseteq \mathcal{T}$ , together with Corollary 2.5.

In particular, it is consistent that  $S_1(\tau, \gamma) \neq S_1(\gamma, \gamma)$ .

Corollary 4.9.  $\mathfrak{t} < \mathfrak{b} \to S_1(\tau, \gamma) \neq S_1(\gamma, \gamma)$ .

We therefore have the following.

Question 4.10. Does  $S_1(\omega, \gamma) = S_1(\tau, \gamma)$ ?

As the consistency of  $\mathfrak{p} < \mathfrak{t}$  would imply a negative answer, this question seems to be closely related to the main problem whether  $\mathfrak{p} = \mathfrak{t}$ .

## Remark 4.11.

- 1. Due to Theorem 4.4(1), the (in fact, any) Luzin set used in [9] to distinguish  $S_1(\omega,\omega)$  from  $S_1(\omega,\gamma)$  will also distinguish it from  $S_1(\tau,\gamma)$ .
- 2. By Theorem 4.6, a negative answer to Question 4.7 would imply a negative answer to Question 4.10.
- 3. Showing  $S_1(\tau, \gamma) \not\subseteq S_1(\omega, \omega)$  is consistent would also yield a negative answer.

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