

### 32. Correspondence of Sets on the Boundaries of Riemann Surfaces

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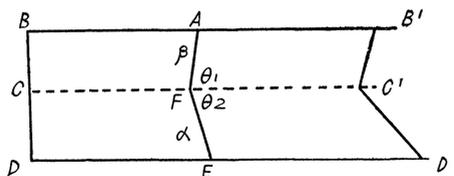
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Let  $D_z$  be a domain in the  $z$ -plane. Let  $f(z)=u(z)+iv(z): w=u+iv$  be a topological mapping of  $D_z$  into  $D_w$  in the  $w$ -plane. If  $\overline{\lim}_{|dz| \rightarrow 0} \frac{|dw|}{|dz|} < M$  in  $D_z$  and  $f(z)$  is a quasi-conformal mapping almost everywhere in  $D_z$  whose dilatation quotient  $< K$  in  $D_z$ , we say that  $f(z)$  is an almost quasi-conformal mapping and abbreviate it to A.Q.C. Let  $U(z)$  be a harmonic function in  $D_z$  such that the Dirichlet integral  $D(U(z))$  is finite and let  $f(z)=w$  be an A.Q.C. with dilatation quotient  $< K$ . Then

$$\frac{1}{K} D(U(z)) \leq D(U(f(w))) \leq K D(U(z)). \tag{1}$$

Example. Let  $D$  and  $D'$  be simply connected domains whose boundary consists of segments  $\overline{AB}, \overline{BD}, \overline{DE}, \overline{EF}, \overline{FA}$  and  $\overline{AB'}, \overline{B'C'}, \overline{C'D'}, \overline{D'E}, \overline{EF}, \overline{FA}$ , where  $A = \alpha e^{i(\theta_2 + \frac{\pi}{2})} + \beta e^{i\theta_1}$ ,  $B = -r + i\alpha \sin \theta_2 + i\beta \sin \theta_1$ ,  $C = -r + i\beta \sin \theta_2$ ,  $D = -r$ ,  $E = 0$ ,  $F = \alpha e^{i(\pi - \theta_2)}$ ,  $D' = r$ ,  $C + C' = 2F$ ,  $B + B' = 2A$ .



Put  $v(z)=y, \frac{u(z)+x}{2} = y \cot \theta_2$  in  $CDEF$

and  $v(z)=y, \frac{u(z)+x}{2} = (y-h) \cot \theta_1, h = \alpha \sin \theta_2$ , in  $CFAB$ .

Then  $|dw| = (1 + 2 \cot^2 \theta_i (\sin(\psi + 2\varphi)))^{\frac{1}{2}} |dz|$ , where

$$dx = dz \cos \varphi, \quad dy = dz \sin \varphi \quad \text{and} \quad \psi = \frac{\pi}{2} - \theta_i.$$

Then we see that the above mapping is quasi-conformal in the interior of  $CDEF$  and in the interior of  $CFAB$  and is an A.Q.C. in the closure of  $ABDEF$ .

Let  $R$  be a Riemann surface with positive boundary and  $\{R_n\}$  be its exhaustion with compact relative boundaries  $\{\partial R_n\}$  ( $n=0, 1, 2, \dots$ ).

Let  $B$  be the ideal boundary of  $R$ . Assume that a metric  $\delta$  is given on  $R+B$ , for instance, Martin's metric. Let  $F$  be a closed set in  $B$ . Put  $F_m = E \left[ z \in R+B : \delta(z, F) \leq \frac{1}{m} \right]$ . Then  $F = \bigcap_m F_m$ . Let  $U_{m,n,n+i}(z)$  be a harmonic function in  $R - ((R_{n+i} - R_n) \cap F_m) - R_0$  such that  $U_{m,n,n+i}(z) = 0$  on  $\partial R_0$ ,  $U_{m,n,n+i}(z) = 1$  on  $\partial((R_{n+i} - R_n) \cap F_m)$  and  $\frac{\partial U_{m,n,n+i}(z)}{\partial n} = 0$  on  $\partial R_{n+i} - F_m$ . Then  $U_{m,n,n+i}(z) \rightarrow U_{m,n}(z)$  in mean as  $i \rightarrow \infty$ ,  $U_{m,n}(z) \rightarrow U_m(z)$  in mean as  $n \rightarrow \infty$  and  $U_m(z) \rightarrow U(z)$  in mean as  $m \rightarrow \infty$ . We call  $D(U(z)) = \int_{\partial R_0} \frac{\partial U(z)}{\partial n} ds$  the capacity<sup>1)</sup> of  $F$  relative  $R - R_0$ . Then we see

$$\text{Cap}(\sum F_i) \leq \sum \text{Cap}(F_i) \tag{2}$$

for closed sets  $F_i$  and that  $\text{Cap}(F) > 0$  or  $= 0$  does not depend on  $R_0$  so long as  $R_0$  is compact.

**Theorem 1.** *Let  $D$  be a domain in the  $z$ -plane. Let  $F_z$  be a closed set of positive logarithmic capacity on  $\partial D$ . Assume that at every point  $z \in F_z$ , there exists a sector  $S(z)$  with its vertex at  $z$ , with a positive radius and a positive aperture such that  $\text{int } S(z) \subset D$ . Then  $F_z$  is a set of positive capacity relative  $D - D_0$ , where  $D_0$  is a compact disc in  $D$ .*

Proof. Let  $E_{n,i}$  be the set of points  $z$  such that a sector  $S(z)$  with its vertex at  $z$  and  $S(z)$  satisfies the following conditions:

- 1)  $\text{int } S(z) \subset D$ .
- 2) radius of  $S(z) \geq \frac{1}{n}$ .
- 3)  $\frac{1}{n} \leq \text{aperture of } S(z) < \pi - \frac{1}{n}$ .
- 4)  $\frac{2\pi}{32n} - i \leq \text{argument of the half line of } S(z) < \frac{2\pi}{32n} (i+1)$ .

Then  $F_z = \sum_{n=1}^{\infty} \sum_i^n E_{n,i}$ . Then there exist numbers  $n_0$  and  $i_0$  such that  $E_{n_0,i_0}$  is of positive logarithmic capacity. And there exists a closed subset  $F' (\subset E_{n_0,i_0})$  of positive logarithmic capacity. Let  $F''$  be the set of points  $z$  such that the argument of the half line of  $S(z) = \frac{2\pi i_0}{32n_0}$ , radius of  $S(z) \geq \frac{1}{n_0}$  and its aperture  $\geq \frac{\pi}{32n}$ . Then by 4)  $F'' \supset E_{n_0,i_0}$ . Therefore we can suppose that at every point  $z$  of  $F'$ , there exists a sector  $S(z)$  with aperture  $2\theta \left( \frac{\pi}{2} > 2\theta > 0 \right)$ , radius  $= r$  its vertex at  $z$  and the argument of the half line is  $\frac{\pi}{2}$ . We divide the  $z$ -plane into an enumerably infinite number of rectangles such that

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1) Z. Kuramochi: Mass distributions on the ideal boundary of Riemann surfaces, II, Osaka Math. Jour., **8** (1956).

$$\frac{r}{16} i \cot \theta \leq x \leq \frac{r}{16} (i+1) \cot \theta, \quad \frac{r}{16} j \leq y \leq \frac{r}{16} (j+1)$$

$$i, j = 0, \pm 1, \pm 2, \dots$$

Then there exists at least a rectangle such that the subset  $F$  of  $F'$  contained in the rectangle is of positive logarithmic capacity. Without loss of generality, we can suppose that the rectangle  $R$  is  $E\left[z: 0 \leq x \leq \frac{r}{16}, 0 \leq y \leq \frac{r}{16} \cot \theta\right]$ . Let  $R'$  be a rectangle  $E\left[z: -\frac{r}{16} \leq x \leq \frac{2r}{16}, -\frac{r}{2} \cot \theta \leq y \leq \frac{r}{2} \cot \theta\right]$ . Let  $p$  be a point of  $F$ . Then  $\text{int } S(p) \subset D$ , whence there exists no point of  $F$  in  $R'$  which has the same projection as that of  $p$ . Hence  $y$ -coordinate  $y$  of  $p$  can be considered as a one-valued function  $y=f(x)$  of the projection  $x$  of  $p$ . It is clear

$$\left| \frac{y_1 - y_2}{x_1 - x_2} \right| \leq \cot \theta \quad \text{for } p(z_1) \text{ and } p(z_2) \in F$$

and  $S(p): p \in F$  contains the rectangle  $E\left[z: -\frac{r}{16} \leq x \leq \frac{2r}{16}, \frac{r}{2} \cot \theta > y > \frac{r}{8} \cot \theta\right]$ . (3)

Let  $\Omega$  be the domain containing every  $S(z): z \in F$  and contained in  $R'$ . Then  $\Omega$  is bounded by segments which are boundaries of  $S(z): z \in F$  and the boundary of  $R'$  and  $F$ . By (3)  $\Omega$  is simply connected  $\subset D$  and its boundary is rectifiable. We show that  $F$  is of positive capacity relative  $\Omega$  which implies that  $F_z(\supset F)$  is of positive capacity relative  $D$ .

Case 1.  $F$  is of positive linear measure. In this case map  $\Omega$  conformally into  $|\xi| < 1$ . Then  $F$  is mapped onto a set of positive linear measure. Hence  $\lim_m \omega_m(z) > 0$ , where  $\Omega_0$  is a compact set in  $\Omega$  and  $\omega_m(z)$  is a harmonic function in  $\Omega - \Omega_0 - F_m$  such that  $\omega_m(z) = 0$  on  $\partial\Omega_0 + \partial\Omega - F_m$  and  $\omega_m(z) = 1$  on  $\partial F_m$  and  $F_m = E\left[z: \text{dist}(z, F) \leq \frac{1}{m}\right]$ , whence  $U(z) = \lim_m U_m(z) \geq \lim_m \omega_m(z) > 0$ , where  $U_m(z)$  is a harmonic function in  $\Omega - \Omega_0 - F_m$  such that  $U_m(z) = 0$  on  $\partial\Omega_0$ ,  $\frac{\partial U_m(z)}{\partial n} = 0$  on  $\partial\Omega - F_m$  and  $U_m(z) = 1$  on  $\partial F_m$ . Thus  $F$  is of positive capacity.

Case 2.  $F$  is of linear measure zero. Let  $F_x$  be the projection of  $F$ . Then the function  $y(x)$  ( $x \in F_x$ ) satisfies the Lipsitz's condition, whence  $F_x$  is also closed. Now the complementary set of  $F$  with respect to  $y=0$ ,  $0 \leq x \leq \frac{r}{16}$  is composed of an enumerably infinite number of open intervals  $I_i$  ( $a_i < x < b_i$ ). Let  $\Omega_i$  be the subdomain of  $\Omega$  lying between  $x=a_i$  and  $x=b_i$ . Let  $\Gamma$  be the boundary of  $\partial\Omega$  consisting of  $F$  and segments which are boundaries of  $S(z): z \in F$ . Then  $\Gamma$  can be

considered as a graph of  $y=g(x): -\frac{r}{16} < x < \frac{2r}{16}$  and it is clear that  $g(x)$  also satisfies the Lipsitz's condition

$$\left| \frac{g(x_1) - g(x_2)}{x_1 - x_2} \right| < \cot \theta.$$

Let  $\Gamma_1, \Gamma_2$  and  $\Gamma_3$  be boundaries of  $\Omega$  lying on  $y = \frac{r}{2} \cot \theta, x = -\frac{r}{16}$  and  $x = \frac{2r}{26}$  respectively. Let  $w = f(z) = u(z) + iv(z): u(z) = x, v(z) = 2g(x) - y$  be the mapping. Then  $f(z)$  is continuous and univalent and  $\sup_{|az| \rightarrow 0} \left| \frac{dw}{dz} \right| < M_1(\theta) = (1 + 2 \cdots \cot \theta / 1 + \cot^2 \theta)^{\frac{1}{2}}$  and A.Q.C with dilatation quotient  $\leq M_2(\theta) = ((1 + 2 \cot \theta / \operatorname{cosec}^2 \theta) / (1 - 2 \cot \theta / \operatorname{cosec}^2 \theta))^{\frac{1}{2}}$  in  $\sum_i \operatorname{int} \Omega_i$ . On the other hand,  $\overline{\sum_i \partial \Omega_i}$  is a set of areal measure zero. Thus  $f(z)$  is an A.Q.C.  $f(\Omega)$  contains a rectangle:  $-\frac{r}{16} \leq x \leq \frac{2r}{16}, -\frac{r \cot}{4} \leq y < 0$  by  $r \cot \theta \left( 1 - 2 \left( \frac{1}{2} - \frac{1}{8} \right) \right) = -\frac{r}{4} \cot$ .

Assume that  $F$  is of capacity zero relative  $\Omega$ . Then  $D(U_n(z)) \rightarrow 0$ , where  $U_n(z)$  is a harmonic function in  $\Omega - F_n: F = E \left[ z : \operatorname{dist}(z, F) \leq \frac{1}{n} \right]$  such that  $U_n(z) = 0$  on  $\Gamma_1 + \Gamma_2 + \Gamma_3, U_n(z) = 1$  on  $\partial F_n$  and  $\frac{\partial U_n(z)}{\partial n} = 0$  on  $\Gamma - F_n$ . Now  $\partial F_n + f(\partial F_n)$  encloses  $F$ . Put  $\tilde{U}_n(z) = U_n(z)$  in  $\Omega - F_n, \tilde{U}_n(z) = U_n(f^{-1}(z))$  in  $f(\Omega - F_n)$ . Then  $\tilde{U}_n(z)$  is continuous in  $(\Omega - F_n + f(\Omega - F_n)), \tilde{U}_n(z) = 0$  on  $\Gamma_1 + \Gamma_2 + \Gamma_3 + f(\Gamma_1 + \Gamma_2 + \Gamma_3)$  and  $\tilde{U}_n(z) = 1$  on  $\partial F_n + f(\partial F_n)$ . Then by (1)  $D(\tilde{U}_n(z)) \leq D(U_n(z))(1 + M_2(\theta))$ . Let  $U_n^*(z)$  be a harmonic function in  $(\Omega - F_n) + f(\Omega - F_n)$  such that  $U_n^*(z) = 0$  on  $\Gamma_1 + \Gamma_2 + \Gamma_3 + f(\Gamma_1 + \Gamma_2 + \Gamma_3)$  and  $U_n^*(z) = 1$  on  $\partial F_n + f(\partial F_n)$ . Then by the Dirichlet principle

$$D(U_n^*(z)) \leq D(\tilde{U}_n(z)) \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

On the other hand,  $\partial F_n + f(\partial F_n)$  encloses  $F$  in a domain  $\Omega + f(\Omega)$  and the distance between  $(\Gamma_1 + \Gamma_2 + \Gamma_3 + f(\Gamma_1 + \Gamma_2 + \Gamma_3))$  and  $F$  is positive. This contradicts that  $F$  is of positive logarithmic capacity. Hence  $F$  is of positive capacity relative  $\Omega$ . This implies that  $F_z(\supset F)$  is of positive capacity relative  $D$ .

Let  $G$  be a non-compact domain in a Riemann surface  $R$  whose relative boundary  $\hat{G}$  consists of at most an enumerably infinite number of compact or non-compact analytic curves clustering nowhere in  $R$ . We can construct another Riemann surface  $\hat{G}$  by the process of symmetrization. We proved the following

**Theorem.**<sup>2)</sup> *Let  $R$  be a Riemann surface with null-boundary.*

2) Z. Kuramochi: On covering surfaces, Osaka Math. Jour., 6 (1954).

Let  $G$  be a non-compact domain. Then  $G + \hat{G}$  is a Riemann surface with null-boundary.

As an inverse of the above theorem we have by Theorem 1 the following

**Theorem 2.** Let  $R$  be a Riemann surface of finite genus with positive boundary. Let  $B'$  be a closed subset of  $B$  such that  $B'$  is of positive capacity relative  $R$ . If there exists a sector  $S(z) \subset G$  with its vertex at  $z \in B'$  at every point of  $B'$ , then  $G + \hat{G}$  is a Riemann surface with positive boundary.

Let  $w = f(z) : z \in R$  be an analytic function in a Riemann surface  $R$  and suppose that the covering surface of  $f(z)$  is spread over the  $w$ -sphere  $K$ . Let  $a$  be a point of  $K$  and  $K_\rho$  be a spherical disc of radius  $\rho$  with  $a$  as its centre. Let  $n(a)$  be the number of zero of  $f(z) - a$  in  $R$ .

If 
$$\limsup_{\rho \rightarrow 0} \sup_{w \in K_\rho} n(w) < \infty,$$

then  $a$  is called a boundedly covered point.

Let  $F$  be a closed set on the ideal boundary on  $R$ . We call  $H(f(z)) = \bigcap_n \overline{f(z)}_{z \in F_n}$  the cluster set of  $f(z)$  at  $F$ , where  $F_n = E \left[ z \in R : \text{dist}(z, F) \leq \frac{1}{n} \right]$ . Then

**Theorem 3.** Let  $F$  be a closed set of positive capacity relative  $R$  and  $w = f(z)$  be a non-constant analytic function. If every point of  $H(f(z))$  is boundedly covered, then  $H(f(z))$  is a set of positive logarithmic capacity.

Since at every point  $a$  of  $H(f(z))$ , there exists a circle  $C(a)$  with radius  $\frac{1}{n}$  such that  $\sup_{w \in C(a)} n(w) \leq m(n, a)$  and since  $H(f(z))$  is closed,  $H(f(z))$  is contained in the interior of sum of a finite number of circles  $C(a_i)$ . Hence  $n(w) \leq m$  in  $G = \sum_i \text{int } C(a_i)$  and

$$\text{dist}(H(f(z)), \partial G) \geq \delta > 0. \quad (4)$$

$G$  may consist of a finite number of components. Without loss of generality, we can suppose that  $G$  does not cover a disc in the  $w$ -sphere. Then  $f^{-1}(G)$  does not fall in a compact set  $D_0$ . On the other hand,  $z = f^{-1}(w) : w \in \partial G$  does not tend to  $F$ . If it were not so,  $H(f(z)) \cap \partial G \neq \emptyset$ . This contradicts (4). Let  $G_n = E \left[ w : \text{dist}(w, H(f(z))) \leq \frac{1}{n} \right]$ . Then  $f^{-1}(G_n)$  covers a neighbourhood of  $F$  and  $\text{dist}(F, f^{-1}(\partial G_n)) \geq \delta_n > 0$ , as above and  $f^{-1}(\partial G_n)$  separates  $F$  from  $D_0$ . Assume that  $H(f(z))$  is of logarithmic capacity zero. Then  $D(U_n(w)) \rightarrow 0$  as  $n \rightarrow \infty$ , where  $U_n(w)$  is a harmonic function in  $G - G_n$  such that  $U_n(w) = 0$  on  $\partial G$  and  $U_n(w) = 1$  on  $\partial G_n$ . Consider a continuous function  $\tilde{U}_n(z)$  in  $R - D_0$  such that

$\tilde{U}_n(z)=0$  in  $R-D_0-f(G)$  and  $\tilde{U}_n(z)=U_n(f^{-1}(w))$  in  $f^{-1}(G-G_n)$ . Then  $D(\tilde{U}_n(z)) \leq m(D(U_n(z)))$ . Let  $V_n(z)$  be a harmonic function in  $R-D_0-F_{\delta_n}$  such that  $V_n(z)=0$  on  $\partial D_0$ ,  $V_n(z)=1$  on  $\partial F_{\delta_n}$  and  $V_n(z)$  has the minimal Dirichlet integral, where  $F_{\delta_n} = E[z \in R : \text{dist}(z, F) \leq \delta_n]$  and  $F_{\delta_n} \subset f^{-1}(G_n)$ . Then by the Dirichlet principle  $D(\tilde{U}_n(z)) \geq D(V_n(z))$ . Let  $n \rightarrow \infty$ . Then  $D(V(z)) \rightarrow 0$ . This means that  $F$  is a set of capacity zero relative  $R$ . This is a contradiction. Hence we have the theorem.

**Theorem 4.** *Let  $D_z$  be a simply connected domain in the  $z$ -plane. Let  $E_z$  be a closed set of positive logarithmic capacity. Suppose at every point  $z$  of  $E_z$ , there exists a sector  $S(z)$  such that  $\text{int } S(z) \subset D$ . Map  $D$  conformally onto  $|w| < 1$ . Then the image  $E_w$  of  $E_z$  is also of positive logarithmic capacity.*

Let  $w=f(z)$  be the mapping function. Let  $\Omega(\subset D)$  be a simply connected domain in the proof of Theorem 1. Put  $E = \partial\Omega \cap E_z$ . Then  $E$  is closed and is of positive capacity relative  $\Omega$ . Then  $\Omega$  is mapped onto a domain  $f(\Omega)$  in the circle  $|w| < 1$ . Let  $l(p)(\subset \Omega) : p \in E$  be a path tending to  $p$ . Then  $f(l(p))$  tends to a point  $q$  on  $|w|=1$  by Riesz's theorem. Let  $E'_w$  be the set of points  $q$  such that there exists a curve  $(\Omega \supset) l(p) : p \in E$  and  $\lim_{\substack{z \rightarrow p \\ z \in l(p)}} f(z) = q$ . Then  $E'_w$  is closed. In fact,  $F_w = \overline{f(\partial\Omega)} \cap \Gamma : \Gamma = [|w|=1]$  is closed. Clearly  $E'_w \subset F_w$ . Let  $q$  be a point of  $F_w$ . Then there exists a sequence  $\{w_i\} : w_i = f(z_i), w_i \in f(\Omega)$  and  $\lim_i w_i = q$ . Consider  $f^{-1}(w_i) \subset \Omega$ . Then  $f^{-1}(w_i)$  has limit points only on  $E$ . Choose a subsequence  $f^{-1}(w'_i)$  of  $\{f^{-1}(w_i)\}$  such that  $f^{-1}(w_i) \rightarrow z_0 \in E$ . Since every point of  $\partial\Omega$  is accessible, connect  $f^{-1}(w'_i), f^{-1}(w'_i) \dots$  by a curve  $l \subset \Omega$ . Then  $f(z)$  has limit  $q$  as  $z$  tends to  $z_0$  along  $l$ . Hence  $F_w \subset E'_w$ . Next we show  $\bigcap_{n>0} \overline{f(z)} = E'_w$ , where  $E_n = E[z : \text{dist}(z, E) \leq \frac{1}{n}]$ . It is clear  $E'_w \subset H(f(z))$ . Let  $w_0 \in H(f(z))$ . Then there exists a sequence  $\{z_i\}$  such that  $\{z_i\}$  tends to  $E$  and  $f(z_i) \rightarrow w_0$ . Since  $E$  is closed, we can find a point  $z_0 \in E$  and a subsequence  $\{z'_i\}$  of  $\{z_i\}$  such that  $\lim_{i \rightarrow \infty} z'_i = z_0$ . Connect  $z'_i$  by a curve  $l$  in  $\Omega$  such that  $l$  tends to  $z_0$ , for every point of  $\partial\Omega$  is accessible in  $\Omega$ . Then  $f(z) \rightarrow w_0$  as  $z \rightarrow z$  along  $l$ . Hence  $w_0 \in E'_w$  and  $E'_w = H(f(z))$ . Now  $E(\subset E_z)$  is a set of positive capacity relative  $\Omega$  by Theorem 1, since  $E$  is a set of positive logarithmic capacity. Hence  $E'_w$  is of positive logarithmic capacity by Theorem 3. Hence the image  $E_w(\supset E'_w)$  of  $E_z$  is of positive logarithmic capacity.