

# When does $\text{secat}$ equal $\text{relcat}$ ?

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## Abstract

In [3] the authors introduced a *relative category* for a map that differ from the *sectional category* by just one. The relative category has specific properties (for instance a homotopy pushout does not increase it) which make it a convenient tool to study the sectional category. The question to know when  $\text{secat}$  equals  $\text{relcat}$  arises. We give here some sufficient conditions. Applications are given to the *topological complexity*, which is nothing but the sectional category of the diagonal.

In [3], we have introduced an approximation of James' *sectional category* of a map that we called *relative category*. For any continuous map  $\iota : A \rightarrow X$ , we have  $\text{secat}(\iota) \leq \text{relcat}(\iota) \leq \text{secat}(\iota) + 1$ . It is an important information to know whether  $\text{secat}(\iota) = \text{relcat}(\iota)$ . For instance, when the equality holds, if  $C$  is the homotopy cofibre of  $\iota$ , we have  $\text{cat}(C) \leq \text{secat}(\iota) \leq \text{cat}(X)$ , see Corollary 5. For the null map  $0_X : * \rightarrow X$ , the equality is trivial:  $\text{secat}(0_X) = \text{relcat}(0_X) = \text{cat}(X)$ . Here we establish the equality in three cases: the homotopy fibre of a map that has a homotopy section, see Proposition 8; the diagonal map of a connected CW H-space, see Theorem 11; and a  $(q - 1)$ -connected map  $\iota : A \rightarrow X$  where  $A$  is CW with  $\dim A < (\text{secat}(\iota) + 1)q - 1$ , see Theorem 14.

We work indifferently in the category of topological spaces  $\mathbf{Top}$  or in the category of well-pointed topological spaces  $\mathbf{Top}^w$  (*well-pointed* means that the inclusion of the base point is a closed cofibration) [8]. We will denote these categories ambiguously by  $\mathcal{T}$ . However for most applications (for instance when we speak of homotopy fibre or cofibre) we need the category to be pointed (the zero object will be denoted by  $*$ ). Every constructions are made 'up to homotopy'.

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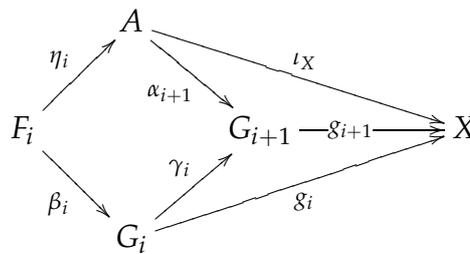
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We use the same notations as in [3]. The homotopy pullback of maps  $f: A \rightarrow B$  and  $g: C \rightarrow B$  is denoted by  $A \times_B C$ . If there are maps  $p: D \rightarrow A$  and  $q: D \rightarrow C$  such that  $f \circ p \simeq g \circ q$ , the ‘whisker’ map  $D \rightarrow A \times_B C$  induced by the homotopy pullback is denoted by  $(p, q)$ . The homotopy pushout of maps  $v: U \rightarrow V$  and  $w: U \rightarrow W$  is denoted by  $V \vee_U W$ . If there are maps  $y: V \rightarrow X$  and  $z: W \rightarrow X$  such that  $y \circ v \simeq z \circ w$ , the ‘whisker’ map  $V \vee_U W \rightarrow X$  induced by the homotopy pushout is denoted by  $(y, z)$ . If  $W \simeq *$ , then  $V \vee_U *$  is the homotopy cofibre of  $v$  and is denoted by  $V/U$ . Finally the join of  $f$  and  $g$  is the whisker map  $(f, g): A \vee_P C \rightarrow B$  where  $P \simeq A \times_B C$ ;  $A \vee_P C$  is denoted by  $A \bowtie_B C$ . For basic definitions and properties about homotopy pullbacks and pushouts, we refer to [6] or [2].

### 1 Sectional and relative categories

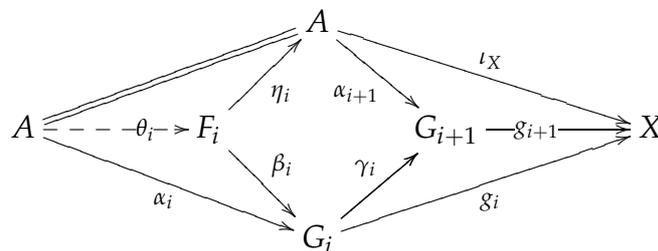
**Definition 1.** For any map  $\iota_X: A \rightarrow X$  of  $\mathcal{T}$ , the *Ganea construction* of  $\iota_X$  is the following sequence of homotopy commutative diagrams ( $i \geq 0$ ):



where the outside square is a homotopy pullback, the inside square is a homotopy pushout and the map  $g_{i+1} = (g_i, \iota_X): G_{i+1} \rightarrow X$  is the whisker map induced by this homotopy pushout. The iteration starts with  $g_0 = \iota_X: A \rightarrow X$ .

We denote  $G_i$  by  $G_i(\iota_X)$ , or by  $G_i(X, A)$ . If  $\mathcal{T}$  is pointed, we write  $G_i(X) = G_i(X, *)$ .

The sequence of homotopy commutative diagrams above extends to:



where  $\alpha_0 = \text{id}_A$ . Since  $g_i \circ \alpha_i \simeq \iota_X$ , the outside square commutes up to homotopy and the homotopy pullback  $F_i$  induces the whisker map  $\theta_i = (\alpha_i, \text{id}_A): A \rightarrow F_i$ . Notice also that  $\gamma_i \circ \alpha_i \simeq \alpha_{i+1}$ .

**Proposition 2.** For any map  $\iota_X: A \rightarrow X$  in  $\mathcal{T}$ , we have  $G_i(\iota_X) \simeq \bowtie_X^{i+1} A$ , i.e. the  $(i + 1)$ -fold join of  $A$  over  $X$ , and  $F_i(\iota_X) \simeq \bowtie_A^{i+1} F_0(\iota_X)$ .

*Proof.* By definition,  $G_i \simeq \mathbb{X}_X^{i+1} A$ . From the Join theorem, see [1], which asserts that, roughly speaking, the join of homotopy pullbacks is a homotopy pullback, we deduce that the following square is a homotopy pullback:

$$\begin{array}{ccc} \mathbb{X}_A^{i+1} F_0 & \xrightarrow{g_i(\eta_0)} & A \\ \downarrow & & \downarrow \iota_X \\ G_i & \xrightarrow{g_i(\iota_X)} & X \end{array}$$

This means that  $F_i \simeq \mathbb{X}_A^{i+1} F_0$ . ■

**Definition 3.** Let  $\iota_X: A \rightarrow X$  be a map of  $\mathcal{T}$ .

1) The *sectional category* of  $\iota_X$  is the least integer  $n$  such that the map  $g_n: G_n(\iota_X) \rightarrow X$  has a homotopy section, i.e. there exists a map  $\sigma: X \rightarrow G_n(\iota_X)$  such that  $g_n \circ \sigma \simeq \text{id}_X$ .

2) The *relative category* of  $\iota_X$  is the least integer  $n$  such that the map  $g_n: G_n(\iota_X) \rightarrow X$  has a homotopy section  $\sigma$  and  $\sigma \circ \iota_X \simeq \alpha_n$ .

We denote the sectional category by  $\text{secat}(\iota_X)$  or  $\text{secat}(X, A)$ , and the relative category by  $\text{relcat}(\iota_X)$  or  $\text{relcat}(X, A)$ . If  $\mathcal{T}$  is pointed with  $*$  as zero object, we write  $\text{cat}(X) = \text{secat}(X, *) = \text{relcat}(X, *)$ . The integer  $\text{cat}(X)$  is the ‘normalized’ version of the Lusternik-Schnirelmann category.

The following basic facts about  $\text{secat}$  and  $\text{relcat}$  are proved in [3]:

**Proposition 4.** Suppose we are given any homotopy commutative diagram in  $\mathcal{T}$ :

$$\begin{array}{ccc} B & \xrightarrow{\kappa_Y} & Y \\ \zeta \downarrow & & \downarrow f \\ A & \xrightarrow{\iota_X} & X \end{array}$$

1) If  $f$  has a homotopy section, then  $\text{secat}(\iota_X) \leq \text{secat}(\kappa_Y)$ .

2) If  $f$  has a homotopy section  $s$ ,  $\zeta$  has a homotopy section  $t$ , and  $s \circ \iota_X \simeq \kappa_Y \circ t$ , then  $\text{relcat}(\iota_X) \leq \text{relcat}(\kappa_Y)$ .

3) If the square is a homotopy pullback, then

$$\text{secat}(\kappa_Y) \leq \text{secat}(\iota_X) \text{ and } \text{relcat}(\kappa_Y) \leq \text{relcat}(\iota_X).$$

4) If the square is a homotopy pushout, then  $\text{relcat}(\iota_X) \leq \text{relcat}(\kappa_Y)$ .

5) If  $f$  and  $\zeta$  have homotopy inverses, then

$$\text{secat}(\iota_X) = \text{secat}(\kappa_Y) \text{ and } \text{relcat}(\iota_X) = \text{relcat}(\kappa_Y).$$

Two particular cases (of statements 1 and 4) are worth to be remarked: For any map  $\iota_X: A \rightarrow X$ , we have  $\text{secat}(\iota_X) \leq \text{cat}(X)$  and  $\text{cat}(X/A) \leq \text{relcat}(\iota_X)$ .

The following immediate consequence inlights the importance of knowing when sectional and relative categories coincide:

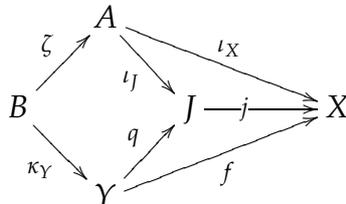
**Corollary 5.** For any map  $\iota_X: A \rightarrow X$  with homotopy cofibre  $X/A$ , if  $\text{secat} \iota_X = \text{relcat} \iota_X$ , then

$$\text{cat}(X/A) \leq \text{secat}(\iota_X) \leq \text{cat}(X).$$

Recall that in general  $\text{cat}(X/A) \leq \text{cat}(X) + 1$ . It is important to note that if the sectional and relative categories of a map are equal, the category of its homotopy cofibre cannot be greater than the category of its target.

The following other consequence of Proposition 4 will be useful:

**Proposition 6.** *If  $\iota_X: A \rightarrow X$  and  $f: Y \rightarrow X$  are maps of  $\mathcal{T}$ , consider the following join construction:*



where the outside square is a homotopy pullback, the inside square is a homotopy pushout, and the map  $j = (f, \iota_X): J \rightarrow X$  is the whisker map induced by the homotopy pushout. We have

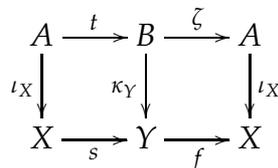
$$\text{relcat}(\iota_J) \leq \text{relcat}(\kappa_Y) \leq \text{relcat}(\iota_X).$$

Moreover, if  $f$  has a homotopy section, then

$$\text{relcat}(\iota_J) = \text{relcat}(\kappa_Y) = \text{relcat}(\iota_X).$$

*Proof.* The inequalities are direct applications of Proposition 4, statements 3 and 4.

If  $s$  is a homotopy section of  $f$ , the Prism lemma (see [2] for instance) gives the two homotopy pullbacks:



and  $\zeta \circ t \simeq \text{id}_A$ . We have  $j \circ q \circ s \simeq f \circ s \simeq \text{id}_X$ , so  $q \circ s$  is a homotopy section of  $j$ . Also we have  $q \circ s \circ \iota_X \simeq q \circ \kappa_Y \circ t \simeq \iota_J \circ \zeta \circ t \simeq \iota_J$ , and we obtain  $\text{relcat}(\iota_X) \leq \text{relcat}(\iota_J)$  by Proposition 4, statement 2. ■

An interesting particular case of Proposition 6 is this one:

**Corollary 7.** *Let  $i: F \rightarrow E$  be the homotopy fibre of  $f: E \rightarrow B$  and  $E/F$  be the homotopy cofibre of  $i$ . Then:*

$$\text{cat}(E/F) \leq \text{relcat}(i) \leq \text{cat}(B).$$

## 2 Comparing sectional and relative categories

We obtain a first sufficient condition for the equality of sectional and relative categories of a map:

**Proposition 8.** *Let  $i: F \rightarrow E$  be the homotopy fibre of  $f: E \rightarrow B$ . If  $f$  has a homotopy section then  $\text{cat}(E/F) = \text{relcat}(i) = \text{cat}(B) = \text{secat}(i)$ .*

*Proof.* The first two equalities are direct applications of Proposition 6. Proposition 4, statements 1 and 3, imply the third equality. ■

**Example 9.** The map  $\text{in}_1 = (\text{id}_A, 0): A \rightarrow A \times B$  is the (homotopy) fibre of  $\text{pr}_2: A \times B \rightarrow B$ , thus  $\text{cat}((A \times B)/A) = \text{secat}(\text{in}_1) = \text{relcat}(\text{in}_1) = \text{cat}(B)$ .

For any  $X$  in  $\mathcal{T}$ , and  $m \geq 2$ , recall from [7], that the *higher topological complexity*  $\text{TC}_m(X)$  is defined as  $\text{TC}_m(X) = \text{secat}(\Delta_m)$ , i.e. it is the sectional category of the diagonal  $\Delta_m: X \rightarrow X^m$ . Farber’s topological complexity  $\text{TC}(X) = \text{TC}_2(X)$ . (Originally, there was a shift by one; we use here the ‘normalized’ definition.)

**Proposition 10.** For any  $X$  in  $\mathcal{T}$ , and  $m \geq 2$ , we have

$$\text{cat}(X^{m-1}) \leq \text{TC}_m(X) \leq \text{cat}(X^m).$$

*Proof.* Follows from Proposition 4, see [3]. ■

**Theorem 11.** Let  $X$  be a connected, CW H-space. For any  $m \geq 2$ , we have

$$\text{cat}(X^m/X) = \text{TC}_m(X) = \text{secat}(\Delta_m) = \text{relcat}(\Delta_m) = \text{cat}(X^{m-1}).$$

*Proof.* It is shown in [5] that for a connected CW H-space  $X$ , there is a homotopy pullback:

$$\begin{array}{ccc} X & \xrightarrow{\Delta_m} & X^m \\ \downarrow & & \downarrow f_{m-1} \\ * & \longrightarrow & X^{m-1} \end{array}$$

and  $f_{m-1}$  has an obvious homotopy section. Thus we obtain the desired equalities by Proposition 8. ■

Our own contribution here is the equality  $\text{secat}(\Delta_m) = \text{relcat}(\Delta_m)$ . The equality  $\text{secat}(\Delta_m) = \text{cat}(X^{m-1})$  is shown in [5] and the equality  $\text{cat}(X^m/X) = \text{secat}(\Delta_m)$  is shown in [4]; both these relations are linked to the fact that  $\text{secat}(\Delta_m) = \text{relcat}(\Delta_m)$ .

We proved the next result indirectly in [3]. We give here a direct proof for convenience.

**Proposition 12.** For any map  $\iota_X: A \rightarrow X$  of  $\mathcal{T}$ , we have:

$$\text{secat}(\iota_X) \leq \text{relcat}(\iota_X) \leq \text{secat}(\iota_X) + 1.$$

*Proof.* Let  $\text{secat}(\iota_X) \leq n$ . Consider any homotopy section  $\sigma: X \rightarrow G_n$  of  $g_n: G_n \rightarrow X$  and let  $\sigma^+ = \gamma_n \circ \sigma$ . Following the proof of Proposition 6, we have that  $\sigma^+$  is a homotopy section of  $g_{n+1}$  and  $\sigma^+ \circ \iota_X \simeq \alpha_{n+1}$ . We have obtained that  $\text{relcat}(\iota_X) \leq n + 1$ . ■

Let be given any map  $\iota_X: A \rightarrow X$  with  $\text{secat}(\iota_X) \leq n$  and any homotopy section  $\sigma: X \rightarrow G_n$  of  $g_n: G_n \rightarrow X$ . Consider the following homotopy pullbacks:

$$\begin{array}{ccccc}
 P & \xrightarrow{\pi} & A & & \\
 \pi' \downarrow & & \theta_n \downarrow & \searrow & \\
 A & \xrightarrow{\bar{\sigma}} & F_n & \xrightarrow{\eta_n} & A \\
 \iota_X \downarrow & & \beta_n \downarrow & & \downarrow \iota_X \\
 X & \xrightarrow{\sigma} & G_n & \xrightarrow{g_n} & X
 \end{array}$$

By the Prism lemma, we know that the homotopy pullback of  $\sigma$  and  $\beta_n$  is indeed  $A$ , and that  $\eta_n \circ \bar{\sigma} \simeq \text{id}_A$ . Also notice that  $\pi \simeq \pi'$  since  $\pi \simeq \eta_n \circ \theta_n \circ \pi \simeq \eta_n \circ \bar{\sigma} \circ \pi' \simeq \pi'$ .

**Proposition 13.** *Let be given any map  $\iota_X: A \rightarrow X$  with  $\text{secat}(\iota_X) \leq n$  and any homotopy section  $\sigma: X \rightarrow G_n(\iota_X)$  of  $g_n: G_n(\iota_X) \rightarrow X$ . With the same definitions and notations as above, the following conditions are equivalent:*

- (i)  $\sigma \circ \iota_X \simeq \alpha_n$ .
- (ii)  $\pi$  has a homotopy section.
- (iii)  $\pi$  is a homotopy epimorphism.
- (iv)  $\theta_n \simeq \bar{\sigma}$ .

*Proof.* We have the following sequence of implications:

- (i)  $\implies$  (ii): Since  $\sigma \circ \iota_X \simeq \alpha_n \simeq \beta_n \circ \theta_n \circ \text{id}_A$ , we have a whisker map  $(\iota_X, \text{id}_A): A \rightarrow P$  induced by the homotopy pullback  $P$  which is a homotopy section of  $\pi$ .
- (ii)  $\implies$  (iii): Obvious.
- (iii)  $\implies$  (iv): We have  $\theta_n \circ \pi \simeq \bar{\sigma} \circ \pi$  since  $\pi \simeq \pi'$ . Thus  $\theta_n \simeq \bar{\sigma}$  since  $\pi$  is a homotopy epimorphism.
- (iv)  $\implies$  (i): We have  $\sigma \circ \iota_X \simeq \beta_n \circ \bar{\sigma} \simeq \beta_n \circ \theta_n \simeq \alpha_n$ . ■

**Theorem 14.** *Let be given a CW-complex  $A$  and a  $(q - 1)$ -connected map  $\iota_X: A \rightarrow X$ . If  $\dim A < (\text{secat} \iota_X + 1)q - 1$  then  $\text{secat} \iota_X = \text{relcat} \iota_X$ .*

*Proof.* Recall that  $g_i$  is the  $(i + 1)$ -fold join of  $\iota_X$ . Thus by [6], Theorem 47, we obtain that, for each  $i \geq 0$ ,  $g_i: G_i \rightarrow X$  is  $(i + 1)q - 1$ -connected. As  $g_i$  and  $\eta_i$  have the same homotopy fibre, the Five lemma implies that  $\eta_i: F_i \rightarrow A$  is  $(i + 1)q - 1$ -connected, too. By [9], Theorem IV.7.16, this means that for every CW-complex  $K$  with  $\dim K < (i + 1)q - 1$ ,  $\eta_i$  induces a one-to-one correspondence  $[K, F_i] \rightarrow [K, A]$ . Since  $\theta_n$  and  $\bar{\sigma}$  are both homotopy sections of  $\eta_n$ , we obtain  $\theta_n \simeq \bar{\sigma}$ , and Proposition 13 implies the desired result. ■

**Example 15.** Let  $\iota: S^r \rightarrow S^m$  with  $r \geq m$ . If  $r < 2m - 1$ , then  $\text{relcat}(\iota) = \text{secat}(\iota)$ ; this is 1 except for the identity for which it is 0. In particular this means that  $\alpha_1: S^r \rightarrow S^r \rtimes_{S^m} S^r$  factorizes through  $\iota$  up to homotopy.

**Example 16.** Let  $h$  be any of the Hopf maps  $S^3 \rightarrow S^2$ ,  $S^7 \rightarrow S^4$  and  $S^{15} \rightarrow S^8$ . Since they have a target of category 1 and a homotopy cofibre of category 2, we have  $\text{secat } h = 1$  while  $\text{relcat } h = 2$ . This is a counterexample which illustrates that the inequality in the hypothesis of Theorem 14 is sharp, because in the three cases we have exactly  $\dim A = (\text{secat } h + 1)q - 1$ .

In [3], we have introduced the *complexity of a map*  $\iota_X: A \rightarrow X$ ; we write  $\text{TC}(\iota) = \text{secat}(\text{id}_A, \iota_X)$  where  $(\text{id}_A, \iota_X): A \rightarrow A \times X$  is the whisker map induced by the homotopy pullback. In particular the complexity of the null map  $* \rightarrow X$  is  $\text{cat}(X)$  (see Example 9) and the complexity of  $\text{id}_X$  is  $\text{secat}(\Delta) = \text{TC}(X)$ . We will also write  $\text{relTC}(\iota_X) = \text{relcat}(\text{id}_A, \iota_X)$ .

**Proposition 17.** For any map  $\iota_X: A \rightarrow X$  in  $\mathcal{T}$ , we have:

$$\text{cat}(X) \leq \text{TC}(\iota_X) \leq \text{TC}(X) \leq \text{cat}(X \times X).$$

*Proof.* Follows from Proposition 4, see [3]. ■

Applying Theorem 14 to topological complexity, we obtain:

**Corollary 18.** Let be given any map  $\iota_X: A \rightarrow X$  between CW-complexes,  $A$  connected and  $X$   $(q - 1)$ -connected. If  $\dim A < (\text{TC}(\iota_X) + 1)q - 1$ , then

$$\text{cat}((A \times X)/A) \leq \text{relTC}(\iota_X) = \text{TC}(\iota_X) \leq \text{cat}(A \times X)$$

where  $(A \times X)/A$  is the homotopy cofibre of  $(\text{id}_A, \iota_X)$ .

*Proof.* With the hypothesis,  $(\text{id}_A, \iota_X)$  is  $(q - 1)$ -connected, and we may apply Theorem 14 to obtain the equality. This implies the inequalities by Corollary 5. ■

The first inequality is proved in [4] for the particular case  $\iota_X = \text{id}_X$ .

**Example 19.** Consider the Hopf fibration  $S^7 \rightarrow S^4$  and factor by the action of  $S^1$  on  $S^7$  to get  $\iota: \mathbb{C}P^3 \rightarrow S^4$ . We have shown in [3] that  $\text{TC}(\iota) = 2$ . We have  $\dim \mathbb{C}P^3 = 6 < 3.4 - 1 = (\text{TC}(\iota) + 1) \cdot q - 1$ , so  $\text{relTC}(\iota) = \text{TC}(\iota) = 2$ .

**Example 20.** More generally assume  $A$  is a connected CW-complex and consider any map  $\iota: A \rightarrow S^m$ . We have  $\text{TC}(\iota) \geq \text{cat}(S^m) = 1$  and  $S^m$  is  $(m - 1)$ -connected. Thus if  $\dim A < 2m - 1$ , we have  $\text{relTC}(\iota) = \text{TC}(\iota)$ .

For the particular case  $\iota = \text{id}_{S^m}$ ,  $\dim S^m < 2m - 1$  for any  $m \geq 2$ , so we have  $\text{relTC}(S^m) = \text{TC}(S^m)$  for any  $m \geq 2$ .

### 3 Open problems

Let be given a map  $\iota_X: A \rightarrow X$ . Consider the map  $\alpha_i: A \rightarrow G_i(\iota_X)$  of the Ganea construction 1. In [3], we showed that  $\text{relcat}(\alpha_i) = \text{secat}(\alpha_i) = i$  for  $i \leq \text{secat}(\iota_X)$  and  $\text{relcat}(\alpha_i) = \text{relcat}(\iota_X)$  for  $i \geq \text{relcat}(\iota_X)$ . We have no evidence that  $\text{relcat}(\alpha_i) = \text{secat}(\alpha_i)$  for any  $i$  but we think it would be true:

**Conjecture 21.** For any map  $\iota_X: A \rightarrow X$ , any  $i \geq 0$ , we have

$$\text{secat}(\alpha_i) = \text{relcat}(\alpha_i) = \min\{i, \text{relcat}(\iota_X)\}.$$

Another more tricky conjecture is:

**Conjecture 22.** For any map  $\iota_X: A \rightarrow X$ , if  $\iota_X$  has a homotopy retraction, then we have  $\text{secat}(\iota_X) = \text{relcat}(\iota_X)$ .

A positive answer to this question would imply that  $\text{TC}(X) = \text{relTC}(X)$  for any  $X$  and even  $\text{TC}(\iota) = \text{relTC}(\iota)$  for any map  $\iota_X: A \rightarrow X$ , since  $(\text{id}_A, \iota_X): A \rightarrow A \times X$  has an obvious (homotopy) retraction  $\text{pr}_1: A \times X \rightarrow A$ .

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