Tôhoku Math. Journ. 21(1969), 102-111.

GALOIS COHOMOLOGY OF FINITELY GENERATED MODULES

Dedicated to Professor T. Tannaka on his 60th birthday

TOYOFUMI TAKAHASHI

(Received August 20, 1968)

The purpose of this paper is to generalize Tate's theorem concerning Galois cohomology of finite modules. It will be shown here that a large part of the theorem holds also for finitely generated modules. In section 2 we shall consider, particularly, unramified cohomology of finitely generated modules over local fields. In the final section, we shall study the relation between local and global cohomology. I wish to express my thanks to Dr. K. Uchida for his useful suggestions.

1. Notation. Let R be a Dedekind ring with field of fractions k. Let Ω be the union of all finite extensions K of k in which the integral closure of R is unramified over R, and let \overline{R} denote the integral closure of R in Ω . Let G_R denote the Galois group of the extension Ω/k . For any discrete G_R -module A, we put

$$H^{r}(R, A) = H^{r}(G_{R}, A) \qquad (r \in \mathbb{Z})$$
$$\widehat{H}^{r}(R, A) = \begin{cases} H^{r}(G_{R}, A) & (r \ge 1) \\ \widehat{H}^{r}(G_{R}, A) & (r \le 0) \end{cases}$$

(cf. [5]). By M we shall always understand a finitely generated discrete G_R -module such that the order of the torsion part of M is invertible in R. Such a module M is said to be a Galois module over R. We put T =[the torsion part of M], F = M/T and $M' = \text{Hom}(M, \bar{R}^{\times})$ where \bar{R}^{\times} is the group of units of \bar{R} . For any locally compact abelian group H, we let H^* denote its Pontrjagin character group.

2. Local fields. Let k be a local field (i.e., a non-discrete locally compact field). Then we have isomorphisms

$$\widehat{H}^{r}(k,M)\cong \widehat{H}^{2-r}(k,M')^{*}$$

for all $r \in \mathbb{Z}$ (cf. [2; Chap. II, Théorème 6]). Suppose k is a non-archimedean local field with valuation ring v. Let M be a Galois module over v.

THEOREM 1. i) $H^r(\mathfrak{o}, M') = 0$ $(r \ge 2)$.

ii) The inflation map $H^{\mathfrak{d}}(\mathfrak{0}, M) \to H^{\mathfrak{d}}(k, M)$ and the canonical homomorphism $H^{\mathfrak{0}}(\mathfrak{0}, M') \to \widehat{H}^{\mathfrak{0}}(k, M')$ are injective. The subgroups $H^{\mathfrak{d}}(\mathfrak{0}, M)$ of $H^{\mathfrak{d}}(k, M)$ and $H^{\mathfrak{0}}(\mathfrak{0}, M')$ of $\widehat{H}^{\mathfrak{0}}(k, M')$ are the exact annihilators of each other.

iii) The inflation map $H^{1}(\mathfrak{0}, M) \to H^{1}(k, M)$ and the homomorphism $H^{1}(\mathfrak{0}, M') \to H^{1}(k, M')$ by the inflation map and the injection $\overline{\mathfrak{0}^{\times}} \to \overline{k^{\times}}$ are injective. The subgroups $H^{1}(\mathfrak{0}, M)$ of $H^{1}(k, M)$ and $H^{1}(\mathfrak{0}, M')$ of $H^{1}(k, M')$ are the exact annihilators of each other.

PROOF. i) Since $\operatorname{cd} G_{\mathfrak{d}} = 1$, we have $H^{r}(\mathfrak{o}, T') = 0$ for $r \ge 2$, and $H^{r}(\mathfrak{o}, M') = 0$ for $r \ge 3$. Since $\overline{\mathfrak{o}}^{\times}$ is cohomologically trivial, we have $H^{r}(\mathfrak{o}, F') = 0$ for $r \ge 1$. By the exact sequence

$$H^2(\mathfrak{o}, F') \rightarrow H^2(\mathfrak{o}, M') \rightarrow H^2(\mathfrak{o}, T')$$
,

we get $H^2(\mathfrak{o}, M') = 0$.

ii) Consider a commutative diagram:

$$egin{array}{ccc} H^2(k,M) & \longrightarrow & H^2(k,F) \ & \uparrow & \inf \ H^2(\mathfrak{o},M) & \longrightarrow & H^2(\mathfrak{o},F) \end{array} .$$

Since $H^2(\mathfrak{0}, M)$ is isomorphic to $H^2(\mathfrak{0}, F)$ and the inflation map $H^2(\mathfrak{0}, F)$ $\to H^2(k, F)$ is injective, the inflation map $H^2(\mathfrak{0}, M) \to H^2(k, M)$ is injective. For any finite extension K of k, let \widehat{K}^{\times} denote the compactification of K^{\times} , and we put $\overline{k}^{\times *} = \bigvee_K \widehat{K}^{\times}$, the union taken over all finite separable extensions K of k. The injectivity of the map $H^0(\mathfrak{0}, M') \to \widehat{H}^0(k, M')$ is an immediate consequence of the fact $\widehat{H}^0(k, M') = H^0(k, \operatorname{Hom}(M, \overline{k}^{\times *}))$. Let k_{nr} denote the maximal unramified extension of k. Now by the G-split exact sequence

$$0 \longrightarrow \bar{\mathfrak{o}}^{\times} \longrightarrow \widehat{k}_{nr}^{\times} \longrightarrow \widehat{Z} \longrightarrow 0$$

and $H^{0}(\mathfrak{o}, \operatorname{Hom}(M, \widehat{k}_{nr}^{\star})) = H^{0}(k, \operatorname{Hom}(M, \overline{k}^{\star^{\star}}))$, we get an exact sequence

$$0 \longrightarrow H^{0}(\mathfrak{o}, M') \longrightarrow H^{0}(k, \operatorname{Hom}(M, \overline{k}^{\times^{\wedge}})) \longrightarrow H^{0}(\mathfrak{o}, \operatorname{Hom}(M, \widehat{Z})) \longrightarrow 0$$

Hence we get an exact sequence

$$0 \longrightarrow H^{\mathsf{o}}(\mathfrak{o}, M') \longrightarrow \widehat{H}^{\mathsf{o}}(k, M') \longrightarrow H^{2}(\mathfrak{o}, M)^{*} \longrightarrow 0,$$

because \widehat{Z} is a "module dualisant" for the group $G_{\mathfrak{o}} \cong \widehat{Z}$ (cf. [2; Chap. I, Annexe]).

iii) Consider a commutative diagram with exact rows

$$0 = H^{2}(\mathfrak{o}, T)^{*} \longrightarrow H^{1}(\mathfrak{o}, F)^{*} \longrightarrow H^{1}(\mathfrak{o}, M)^{*} \longrightarrow H^{1}(\mathfrak{o}, T)^{*}$$

$$\downarrow^{i} \qquad \qquad \uparrow^{i} \qquad \qquad \qquad \to^{i} \qquad \qquad H^{i}(\mathfrak{o}, T') \longrightarrow H^{i}(\mathfrak{o}, F') \longrightarrow H^$$

where $H^{1}(k, F') \cong H^{1}(k, F)^{*} \cong H^{1}(\mathfrak{o}, F)^{*}$. The sequence $H^{1}(\mathfrak{o}, T') \to H^{1}(k, T') \to H^{1}(\mathfrak{o}, T)^{*}$ is exact by [3; Theorem 2.4]. Now it is easily verified that the sequence

$$0 \longrightarrow H^{1}(\mathfrak{o}, M') \longrightarrow H^{1}(k, M') \longrightarrow H^{1}(\mathfrak{o}, M)^{*} \longrightarrow 0$$

is exact and the theorem is proved.

3. Global fields. Let k be a finite extension of Q, or a function field in one variable over a finite field, let S be a non-empty set of primes of k, including the archimedean ones, and k_s denote the ring of elements in k which are integers at all primes not in S. For each prime v in S, let k_v denote the completion of k at v. Throughout this section, M will be a Galois module over k_s . Let $P^r(k_s, M)$ (resp. $P^r(k_s, M')$) be the restricted direct product of $H^r(k_v, M)$ (resp. $H^r(k_v, M')$ ($v \in S$) relative to the subgroups $H^r(\mathfrak{o}_v, M)$ (resp. $H^r(\mathfrak{o}_v, M)$). Since $H^1(\mathfrak{o}_v, M)$ and $H^1(\mathfrak{o}_v, M')$ are finite, $P^1(k_s, M)$ and $P^1(k_s, M')$ are locally compact. By Theorem 1, $P^r(k_s, M')$ is the direct sum for $r \geq 2$. Since scd $G_{k_v} = 2$ if v is non-archimedean, $P^r(k_s, M)$ and $P^r(k_s, M')$ are equal to $\prod_{v \text{ arch}} H^r(k_v, M)$ and $\prod_{v \text{ arch}} H^r(k_v, M') \to H^r(k_v, M')$ give canonical maps :

$$f_r: H^r(k_s, M) \longrightarrow P^r(k_s, M),$$

$$f'_r: H^r(k_s, M') \longrightarrow P^r(k_s, M').$$

By Theorem 1, local duality yields an isomorphism

ĠALÔIS CÔHÔMOLÔĞŸ

$$(*) \qquad P^{1}(k_{s}, M) \cong P^{1}(k_{s}, M')^{*}$$

Hence by duality we obtain maps :

$$f_1^*: P^1(k_s, M') \longrightarrow H^1(k_s, M)^*,$$

$$f_1'^*: P^1(k_s, M) \longrightarrow H^1(k_s, M')^*.$$

Let Ω be the maximal extension of k unramified outside S, and let G be the Galois group of the extension Ω/k . Let J denote the projection to S of the idèle group of Ω , and we put $C = J/\overline{k_s^{\times}}$. Then C is a class formation for extensions of k unramified outside S. For simplicity, we put J(M) = Hom(M, J) and C(M) = Hom(M, C). Let l be a prime number such that $lk_s = k_s$.

LEMMA 1. $H^{r}(k_{s}, C(F))(l) = 0$ $(r \ge 3)$.

PROOF. By Nakayama-Tate's Theorem, we have a commutative diagram whose horizontal arrows are isomorphisms

$$\begin{array}{c} H^{r-2}(L/k,\operatorname{Hom}(F,\mathbf{Z})) \xrightarrow{\sim} H^{r}(L/k,\operatorname{Hom}(F,\mathbf{Z}) \otimes H^{0}(L_{\mathcal{S}},C)) \\ & \uparrow [L:K] \text{ inf} & \uparrow \text{ inf} \\ H^{r-2}(K/k,\operatorname{Hom}(F,\mathbf{Z})) \xrightarrow{\sim} H^{r}(K/k,\operatorname{Hom}(F,\mathbf{Z}) \otimes H^{0}(K_{\mathcal{S}},C)) \end{array}$$

for $r \ge 3$, where $L \supset K$ are sufficiently large Galois extensions of k unramified outside S. Since $l^{\infty} | [\Omega : k]$, we obtain $H^r(k_s, C(F))(l) = H^r(k_s, \text{Hom}(F, \mathbb{Z}) \otimes C)(l) = \lim_{K \to K} H^r(K/k, \text{Hom}(F, \mathbb{Z}) \otimes H^o(K_s, C))(l) = 0.$

LEMMA 2. i)
$$H^{1}(k_{s}, J(F)) = P^{1}(k_{s}, F').$$

ii) $H^{r}(k_{s}, J(F))(l) = P^{r}(k_{s}, F')(l) \quad (r \ge 2)$

PROOF. By Shapiro's Lemma, we have

$$H^{r}(k_{s}, J(F)) = \sum_{v \in S} H^{r}(G_{v}, \operatorname{Hom}(F, \Omega_{v}^{\times})) \quad (r \ge 1)$$

where G_v is the decomposition subgroup for a place lying above v and Ω_v is the extension of k_v corresponding to G_v . We remark $P^r(k_s, F')$ is the direct sum for $r \ge 1$. Of course, if v is archimedean, $G_v = G_{k_v}$.

i) Let v be a non-archimedean prime in S. Consider the inflation-restriction sequence:

105

T. TAKAHASHI

$$0 \longrightarrow H^{1}(G_{v}, \operatorname{Hom}(F, \Omega_{v}^{\times})) \longrightarrow H^{1}(k_{v}, F') \longrightarrow H^{1}(\Omega_{v}, F') .$$

Since G_{Ω_n} acts trivially on F, we get $H^1(\Omega_v, F) = 0$, hence

$$H^{1}(G_{v}, \operatorname{Hom}(F, \Omega_{v}^{\times})) = H^{1}(k_{v}, F').$$

ii) Let v be non-archimedean. Since $l^{\infty}|[\Omega_v:k_v]$ and G_{Ω_v} acts trivially on F, we get $H^{2}(\Omega_v, F')(l)=0$. Since scd $G_{k_v}=2$, we get $H^{r}(\Omega_v, F')=0$ for $r \geq 3$. Hence we obtain

$$H^{r}(G_{v}, \operatorname{Hom}(F, \Omega_{v}^{\times}))(l) = H^{r}(k_{v}, F')(l) \quad (r \ge 2)$$

by the inflation-restriction sequences.

THEOREM 2. Let l be a prime number such that $lk_s = k_s$. Then

$$f'_r: H^r(k_s, M')(l) \cong \prod_{v \text{ arch}} H^r(k_v, M')(l) \quad (r \ge 3).$$

PROOF. a) Consider an exact sequence:

$$H^{r-1}(k_s, C(F)) \longrightarrow H^r(k_s, F') \longrightarrow H^r(k_s, J(F)) \longrightarrow H^r(k_s, C(F)) \,.$$

By Lemmas 1 and 2, we get the theorem in case M=F and $r \ge 4$.

b) Consider a commutative exact diagram :

$$\begin{array}{cccc} H^{r-1}(T')(l) \longrightarrow H^{r}(F')(l) \longrightarrow H^{r}(M')(l) \longrightarrow H^{r}(T')(l) \longrightarrow H^{r+1}(F')(l) \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ P^{r-1}(T')(l) \longrightarrow P^{r}(F')(l) \longrightarrow P^{r}(M')(l) \longrightarrow P^{r}(T')(l) \longrightarrow P^{r+1}(F')(l) \end{array}$$

for $r \ge 4$, where $H^{i}() = H^{i}(k_{s},)$ and $P^{i}() = P^{i}(k_{s},)$. By a) and [3; Theorem 3.1 (c)], each vertical map except the middle is isomorphic. Hence by Five Lemma the middle is also isomorphic.

c) Finally we must prove the theorem for r = 3. We can find an open subgroup U of G such that its invariant field K is totally imaginary and $M^{v} = M$. We have an exact sequence:

$$0 \longrightarrow M \longrightarrow Q \longrightarrow A \longrightarrow 0$$

where Q is the induced module $\mathfrak{M}_{G}^{v}(M)$ (cf. [2; Chap. I, n^o 2.5]) and A=Q/M. Consider a commutative exact diagram:

106

Q.E.D.

GALOIS COHOMOLOGY

$$\begin{array}{cccc} H^{3}(Q')(l) \longrightarrow H^{3}(M')(l) \longrightarrow H^{4}(A')(l) \longrightarrow H^{4}(Q')(l) \\ & & \downarrow & & \downarrow \\ P^{3}(Q')(l) \longrightarrow P^{3}(M')(l) \longrightarrow P^{4}(A')(l) \longrightarrow P^{4}(Q')(l) \,. \end{array}$$

By Shapiro's Lemma, we have $H^r(k_s, Q') = H^r(K_s, M')$ and $P^r(k_s, Q') = P^r(K_s, M')$. Since K is totally imaginary, $P^r(K_s, M') = 0$ for $r \ge 3$. On the other hand, we have $H^r(K_s, F')(l) = 0$ for $r \ge 3$, because G_{K_s} (=U) acts trivially on F and $H^r(K_s, \overline{K}_s^{\times})(l) = 0$ for $r \ge 3$. Hence we have $H^r(K_s, M')(l) = H^r(K_s, T')(l)$ for $r \ge 3$. Since $\operatorname{cd}_l G_{K_s} = 2$, $H^r(K_s, T')(l) = 0$ for $r \ge 3$. Thus we get $H^3(k_s, M')(l) \cong P^3(k_s, M')(l)$ by the above diagram. Q.E.D.

REMARK 1. The proof of Tate's Theorem [3; Theorem 3.1 (c)] which has been used in the above proof has been unpublished. It can be proved as follows: In the exact sequence $0 \to T^* \to J(T) \to C(T)$, the universal norms of J(T) are mapped isomorphically onto the universal norms of C(T). Hence we get an exact sequence: $\hat{H}^{-1}(k_s, T') \to \hat{H}^{-1}(k_s, J(T)) \to \hat{H}^{-1}(k_s, C(T)) \to \hat{H}^0(k_s, T')$ $\to \hat{H}^0(k_s, J(T))$ (cf. [5]). Since T^* has no universal norms, $\hat{H}^{-1}(k_s, T') = 0$. It is easily shown that $\hat{H}^0(k_s, T') \to \hat{H}^0(k_s, J(T))$ is injective, and $\hat{H}^{-1}(k_s, J(T))$ $= \prod_{v \text{ arch}} \hat{H}^{-1}(k_v, T')$. Hence we get $\hat{H}^3(k_s, T) \cong \hat{H}^{-1}(k_s, C(T))^* \cong \hat{H}^{-1}(k_s, J(T))^*$

 $\cong \prod_{v \text{ arch}} \widehat{H}^{-1}(k_v, T')^* \cong \prod_{v \text{ arch}} H^3(k_v, T). \text{ Let } K, Q \text{ and } A \text{ be as in the proof of Theorem 2 respectively, and } M=T. \text{ Then } Q \text{ and } A \text{ are also finite. Consider a commutative exact diagram:}$

for $r \ge 4$. By induction we get the theorem.

LEMMA 3. Suppose that there exists an open subgroup of G which has strict cohomological dimension 2 for l. Then

$$f_r: H^r(k_s, M)(l) \cong P^r(k_s, M)(l) \quad (r \ge 3).$$

PROOF. By Theorem 2 we obtain $H^r(k_s, N)(l) = P^r(k_s, N)(l)$ $(r \ge 3)$ for any module N of torsion. Using the exact sequence: $0 \to F \otimes \mathbf{Z}_l \to F \otimes \mathbf{Q}_l$ $\to F \otimes \mathbf{Q}_l/\mathbf{Z}_l \to 0$ and the above isomorphism, we get $H^r(k_s, F)(l) \cong P^r(k_s, F)(l)$ for $r \ge 4$. Now the lemma can be proved similarly as Theorem 2 and Remark 1.

THEOREM 3. i) If k is a number field, then we have

$$f_r: H^r(k, M) \cong \prod_{v \text{ arch}} H^r(k_v, M) \quad (r \ge 3).$$

ii) If k is a function field, then we have

$$H^r(k_s, M) = 0 \qquad (r \ge 3).$$

PROOF. i) It is well known that $\operatorname{scd}_{l} G_{k} = 2$ if k is totally imaginary (in case l = 2). ii) If k is a function field, C has no universal norm. Hence $\operatorname{scd} G_{k_{S}} = 2$.

REMARK 2. In general case, Tate [3] has asserted that the group G_{k_s} has strict cohomological dimension 2 for l such that $lk_s = k_s$, except if l = 2 and k is not totally imaginary (the proof still remains unpublished).

THEOREM 4. Im f_1 and Im f'_1 are the exact annihilators of each other in our duality (*). That is, the sequence

$$H^{1}(k_{s}, M) \xrightarrow{f_{1}} P^{1}(k_{s}, M) \xrightarrow{f_{1}^{\prime *}} H^{1}(k_{s}, M^{\prime})^{*}$$

is exact.

PROOF. a) In case M = T, the theorem was obtained by Tate [3; Theorem 3.1 (b)]. We give here an outline of the proof:

For finite S, one can prove the equality

(1)
$$\frac{[H^{0}(k_{s},T)][H^{2}(k_{s},T)]}{[H^{1}(k_{s},T)]} = \prod_{v \text{ arch}} \frac{[H^{0}(k_{s},T)]}{|[T]|_{v}}$$

by using Theorem 2 (cf. [4]). We have two exact sequences:

$$0 \longrightarrow H^{0}(k_{s}, T) \longrightarrow \prod_{v \in S} \widehat{H}^{0}(k_{v}, T) \longrightarrow H^{2}(k_{s}, T')^{*} \longrightarrow H^{1}(k_{s}, T) \longrightarrow P^{1}(k_{s}, T),$$
$$0 \longleftarrow H^{0}(k_{s}, T')^{*} \longleftarrow P^{2}(k_{s}, T) \longleftarrow H^{2}(k_{s}, T) \longleftarrow H^{1}(k_{s}, T')^{*} \longleftarrow P^{1}(k_{s}, T)$$

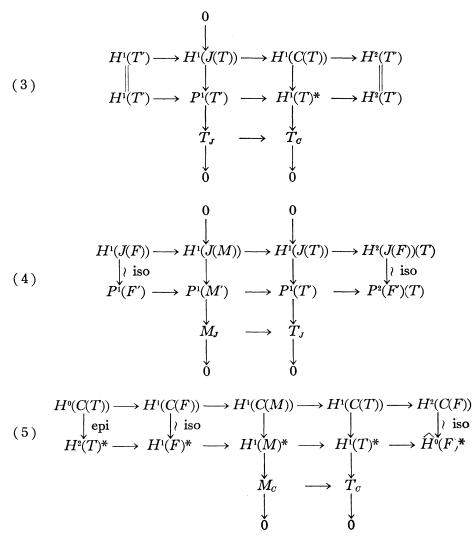
(cf. [5]) and a null sequence:

108

By the equality (1) we conclude the sequence (2) is exact. The passage to infinite S is not difficult.

b) We have an exact sequence $H^1(k_s, F') \to H^1(k_s, J(F)) \to H^1(k_s, C(F))$, and two isomorphisms $H^1(k_s, J(F)) \cong P^1(k_s, F')$ (Lemma 2) and $H^1(k_s, C(F))$ $\cong H^1(k_s, F)^*$ (cf. [5]). Hence the theorem is proved in case M = F.

c) Let M_J (resp. M_C) denote the cokernel of $H^1(k_S, J(M)) \to P^1(k_S, M')$ (resp. $H^1(k_S, C(M)) \to H^1(k_S, M)^*$). For any module A of torsion, we put $A(T) = \sum_{p \mid [T]} A(p)$. We get following three commutative exact diagrams:



where $H^r() = H^r(k_s,)$ and $P^r() = P^r(k_s,)$. In the diagram (3), $H^1(C(T)) \rightarrow H^1(T)^*$ is necessarily injective, hence $H^1(C(M)) \rightarrow H^1(M)^*$ is also injective by the diagram (5). Since all rows of the above diagrams are exact, we get exact sequences :

 $0 \longrightarrow T_J \longrightarrow T_c, \quad 0 \longrightarrow M_J \longrightarrow T_J \quad \text{and} \quad 0 \longrightarrow M_c \longrightarrow T_c.$

A commutative diagram

$$\begin{array}{ccc} P^{\mathrm{l}}(T') & \stackrel{f_1^*}{\longrightarrow} & H^{\mathrm{l}}(T)^* \\ \uparrow & \uparrow \\ P^{\mathrm{l}}(M') & \stackrel{f_1^*}{\longrightarrow} & H^{\mathrm{l}}(M)^* \end{array}$$

induces a commutative diagram

$$\begin{array}{ccc} T_J & \longrightarrow & T_C \\ \uparrow & & \uparrow \\ M_J & \longrightarrow & M_C \, . \end{array}$$

Hence $M_J \rightarrow M_C$ is injective. Finally consider a commutative diagram :

where all sequences are exact except the middle row. Hence the middle row is also exact. Q.E.D.

REMARK 3. Combining Theorem 4 with [5; Theorem 2], we have an exact sequence

$$H^{1}(k_{s}, M) \longrightarrow P^{1}(k_{s}, M) \longrightarrow H^{1}(k_{s}, M')^{*} \longrightarrow H^{2}(k_{s}, M) \longrightarrow P^{2}(k_{s}, M) .$$

110

GALOIS COHOMOLOGY

ADDED IN PROOF: Recently, the author has given the proof of the Tate's assertion in Remark 2. See Proc. Japan Acad., 44(1968), 771-775.

References

- [1] A. BRUMER, Galois groups of extensions of algebraic number fields with given ramification, Michigan Math. Journ., 13(1966), 33-60.
- [2] J.-P. SERRE, Cohomologie galoisienne, Springer Lecture Series No. 5 (1963).
- [3] J. TATE, Duality theorems in Galois cohomology over number fields, Proc. Internat. Congress Math. 1962, Stockholm, 288-295.
- [4] J. TATE, On the conjectures of Birch and Swinnerton-Dyer and a geometric analog, Sém. Bourabki, exp. 306 (1965/66).
- [5] K. UCHIDA, On Tate's duality theorems in Galois cohomology, Tôhoku Math Journ., 21(1969), 92-101.

Matematical Institute Tôhoku University Sendai, Japan