Notre Dame Journal of Formal Logic Volume XVI, Number 4, October 1975 NDJFAM

## A NOTE ON UNIVERSALLY FREE DESCRIPTION THEORY

## A. P. RAO

1 In this note a universally free first order logic-(UFL) for short-in the sense of Meyer and Lambert [5], incorporating the rubrics required for a treatment of "descriptions" will be sketched. (UFL) is intended to satisfy the following conditions: (a) the self-identity of terms should be assertable in (UFL) without qualifications or restrictions; (b) i)  $(\forall_{x_i})\phi_i \rightarrow (\exists_{x_i})\phi_i$ , and ii)  $(\forall_{x_i})\phi_i \rightarrow (S_{x_i})\phi_i$ -where  $(S_{x_i})$  is the singular quantifier to be read as 'there is only one . . . such that'-should not be assertable in (UFL); (c) Quine's criterion of ontological commitment, as interpreted in Rao [11], should be applicable to theories incorporating (UFL).

2 Let (SFL) be the standard system of first order logic, as presented by, for instance, Mendelson [6]. To have (UFL) we shall (1) augment the primitive base of (SFL) by i) some specially introduced monadic predicate constants  $A^i$ , i = 1, 2, ..., as in Rao [9], such that  $A_J^i$ ,  $i \leq J$  is a wff of (UFL), and ii) the singular quantifier (S...), where the blank is to be filled by an individual variable, such that if  $\phi_i$  is a wff of (UFL) containing free occurrences of an individual variable  $x_i$ ,  $(S_{x_i})\phi_i$  is a wff of (UFL); and (2) delete from the primitive base of (SFL) the equality sign =. (This deletion is motivated by considerations shown in Rao [10].) To pick up the theorems of (UFL), we shall replace the meta-axioms, and rules of (SFL) by the following:

Ax1. If  $\phi_i$  is a tautology by two-valued truth tables then  $\vdash \phi_i$ . Ax2.  $\vdash \ulcorner(\forall_{x_i})(\phi_i \rightarrow \phi_J) \rightarrow ((\forall_{x_i})\phi_i \rightarrow (\forall_{x_i})\phi_J)\urcorner$ . Ax3.  $\vdash \ulcorner(\forall_{x_i})\phi_i \rightarrow \phi_i \urcorner provided x_i does not occur free in <math>\phi_i$ . Ax4.  $\vdash \ulcorner(\forall_{x_i})A_{x_i}^J provided J = i$ . Ax5.  $\vdash (\forall_{x_i})A_{x_i}^J provided J = i$ . Ax6.  $\vdash \ulcorner(A_{x_j}^i \rightarrow A_{x_i}^J) \rightarrow (\phi_i \rightarrow \phi_J) provided \phi_i and \phi_J are alike except that \phi_J contains <math>x_i$  wherever  $\phi_i$  contains  $x_J$ . Ax7.  $\vdash \ulcorner(\exists_{x_i})\phi_i \rightarrow ((\forall_{x_J})\phi_J \rightarrow (S_{x_i})\phi_i) \urcorner where \phi_i and \phi_J are alike except that \phi_i contains <math>x_i$  wherever  $\phi_J$  contains  $x_J$ , and  $i \leq J$ . Ax8.  $\vdash \ulcorner(S_{x_i})\phi_i \rightarrow ((\exists_{x_i})\phi_i \rightarrow ((\forall_{x_i})\phi_J \rightarrow (A_{x_J}^i \rightarrow A_{x_i}^J))) \urcorner provided \phi_i and \phi_J are$ 

as in Ax7.

Ax9. If  $\vdash \phi_i$  and  $\vdash \ulcorner \phi_i \rightarrow \phi_J \urcorner$  then  $\vdash \phi_J$ .

Received April 14, 1972

Here  $(\exists ...)$  is used as an abbreviation of  $\sim (\forall ...) \sim$ , and the quasi-quotes are used as in Quine [7], i.e., to refer to the universal closures of what occurs within the quotes.

**3** In order to set up a semantic interpretation of (UFL), let i)  $\mathcal{L} = \langle \mathcal{D}, \mathcal{R}, \mathcal{B} \rangle$ be a structure, where  $\mathscr{D}$  is a possibly empty set of individuals,  $\mathscr{R}$  and  $\not{\!\!\!\!\!\!\!}$  are sets of relations and operations, respectively, defined over  $\mathcal{S}$ ; ii)  $\mu$  be a unary mapping function with individual variables, individual constants, function letters, and monadic predicate constants specially introduced into (UFL) as arguments, and values in  $\mathcal{L}$ ; iii)  $\nu$  be a binary function with wffs of (UFL) as its first arguments, with second arguments in  $\mathcal{L}$ , and values in  $V = \{T, F\}$ . Now, when  $\mathcal{S}$  is non-empty, the interpretations will be similar of those of (SFL) in Mendelson [6], except that there will be additional clauses in the inductive definition of  $\nu$ , one to the effect that  $\nu(S_{x_i})P_{1x_1}^i, \ldots,$  $x_i \mu(P_j^i) \mu(x_1) \dots \mu(x_i) = T$  if and only if i) there exists an *n*-tuple  $n_i$  of  $\Sigma$ such that  $x_i$  satisfies  $P_{Jx_1}^i \ldots x_i$ ; ii) for each J,  $n_J$  of  $\Sigma$  satisfies  $P_{Jx_1}^i \ldots x_i$ if and only if the *i*'th components of  $n_i$  and  $n_j$  are the same, and other clauses covering the wffs of (UFL) in which sentential connectives occur and are quantified by the singular quantifier; and iii)  $\nu \mu(A^i) \mu(x_J) = T$  if and only if  $\mu(x_i) = \mu(x_i)$ . Obviously  $\mu(A^i)$  is  $x_i$ .

When  $\mathcal{S}$  is empty, making use of the well-known result about first order theories, namely that if the sequence  $\phi_i$ ,  $\phi_{i+1}$ , ... of wffs is countable, then a conjunction with countable number of conjuncts  $\phi_i$  &  $\phi_{i+1}$  & ... is also a wff, and that if for each  $J = i, i + 1, \ldots, \phi_J$  can be mapped onto  $\mathcal{L}$ , then for each *n*-tuple  $n_i$  of  $\Sigma$ ,  $n_i$  satisfies  $\phi_J$  if and only if  $n_i$  satisfies  $\phi_i \& \phi_{i+1} \& \ldots$  We shall treat all wffs of (UFL) closed by the universal quantifier as conjunctions of zero conjuncts, and all wffs of (UFL) closed by singular quantifier as disjunctions of zero disjuncts  $\hat{a}$  la Hailperin [3]. This is possible as the set of wffs of (UFL) is a countable one. When  $\mathscr{S}$  is empty,  $\mu$  will be taken as an empty function, as in van Fraassen [1], and van Fraassen and Lambert [2], and  $\nu$  is taken as a unary function with arguments in the set of wffs of (UFL) and values in  $\{T, F\}$ . For each *i*, if  $\phi_i$  is a wff of (UFL) i)  $\nu \phi_i = T$  if  $\phi_i$  is  $(\forall_{x_i})\phi_j$ ; ii)  $\nu \phi_i = F$  if  $\phi_i$ is either  $(\exists_{x_i})\phi_J$  or  $(S_{x_i})\phi_J$ ; and iii)  $\nu\phi_i = \nu\phi'_i$  if  $\phi_i$  is  $\phi_J$  such that a term  $t_i$ occurs free in  $\phi_J$ , where  $\phi'_i$  is the result of deleting  $t_i$  and reducing by one the superscript of all those predicates having  $t_i$  as one of their arguments, such that  $\phi'_i$  is a wff of (UFL).

4 That (UFL) is an adequate logic of "descriptions" follows from the fact that

Th1. 
$$\vdash^{\ulcorner}(S_{x_i})\phi_i \leftrightarrow ((\exists_{x_i})\phi_i \rightarrow ((\forall_{x_j})\phi_j \rightarrow (A_{x_j}^i \rightarrow A_{x_i}^j)))^{\urcorner}$$
 where  $\phi_i$  and  $\phi_j$  are as in Ax8,

can be proved as a theorem in (UFL). Ax8 and its converse together, by virtue of the definitional identity of  $\phi_i \leftrightarrow \phi_J$  with  $(\phi_i \rightarrow \phi_J) \& (\phi_J \rightarrow \phi_i)$ , which holds for (UFL), yield Th1. The converse of Ax8 can be had from Ax8, the tautology

and Ax9. Next, that (UFL) has within its framework the necessary apparatus for an adequate treatment of identity follows from the fact that the set  $\mathcal{S}$  of wffs of (SFL) containing, for each  $i \leq J$ , i)  $x_J = x_i$ , ii) the closures of (i) as subformulae can be put to one-to-one correspondence with the set  $\mathcal{S}'$  of wffs of (UFL) containing for each  $i \leq J$ , a)  $A_{x_J}^i$ , b)  $A_{x_i}^J \to A_{x_J}^i$ , and iii) the closures of (i) and (ii) as subformulae, such that for each *i*, if  $\phi_i \in \mathcal{S}$  then there is a wff  $\phi'_i$  of  $\mathcal{S}'$  satisfying the following conditions: i)  $\mu(\phi_i) = \mu(\phi'_i)$  and ii)  $\nu(\phi_i)\mu(\phi_i) = \nu(\phi'_i)\mu(\phi'_i) = \mathsf{T}$  or else  $\nu(\phi_i)\mu(\phi_i) = \nu(\phi'_i)\mu(\phi'_i) = \mathsf{F}$ .

5 A formula A is said to be *universally valid* if and only if it is valid in all domains, including the empty one. In order to show that (UFL) is universally free all that needs to be shown is that each theorem (UFL) is universally valid. The task of showing that the theorems of (UFL) are valid in non-empty domains can be dispensed with here, as the set of those theorems is a subset of the theorems of (SFL). When the domain is empty, by virtue of the interpretation suggested above, Ax1-Ax8 will be valid, and Ax9 is truth preserving. Hence, (UFL) is universally free.

It was shown in Quine [8] that the fragment (UFL1) of (UFL), based on Ax1-Ax4 and Ax9, is complete. (UFL), being a consistent extension of (UFL1), is complete. Further, following Quine, it can be shown that an extension (UFL2) of (UFL1), which is the result of augmenting (UFL1) by Ax5-Ax6, and Ax0

 $(\exists_{x_i})A^i_{x_i}$ 

is isomorphic with the unextended part of (SFL). Thus (UFL) is isomorphic with (SFL1), where (SFL1) is the result of extending (SFL) by adding to it Ax7-Ax8. Then, whether (UFL) is an adequate theory of "descriptions" hinges on the question whether Ax7-Ax8 capture the formal structure of descriptions. A positive answer can be given to this because of Th1.

6 (UFL) preserves the precise distinction between "terms" and "predicates" as does (SFL), unlike the systems of Russell and Whitehead [12]—as has been pointed out by Solon and Wertz [13]—Lambert [4], and van Fraassen and Lambert [2].

## REFERENCES

- [1] van Fraassen, B., "The completeness of free logic," Zeitschrift für Mathematische Logik und Grundlagen der Mathematik, vol. 12 (1967), pp. 219-233.
- [2] van Fraassen, B., and K. Lambert, "On free description theories," Zeitschrift für Mathematische Logik und Grundlagen der Mathematik, vol. 13 (1968), pp. 225-240.
- [3] Hailperin, T., "Quantification theory and empty individual-domains," The Journal of Symbolic Logic, vol. 18 (1953), pp. 197-200.
- [4] Lambert, K., "Notes on E!IV: A reduction in free quantification theory with identity and description," *Philosophical Studies*, vol. 15 (1964), pp. 85-88.

## A. P. RAO

- [5] Meyer, R. K., and K. Lambert, "Universally free logic and standard quantification theory," *The Journal of Symbolic Logic*, vol. 33 (1968), pp. 8-26.
- [6] Mendelson, E., Introduction to Mathematical Logic, Van Nostrand, Princeton, N.J. (1964).
- [7] Quine, W. V., *Mathematical Logic*, Harvard University Press, Cambridge, Massachusetts (1940).
- [8] Quine, W. V., "Quantification and the empty domain," The Journal of Symbolic Logic, vol. 19 (1954), pp. 177-179.
- [9] Rao, A. P., "A note on universally free first order quantification theory," *Logique et Analyse*, vol. 12 (1969), pp. 228-230.
- [10] Rao, A. P., "The concept of logic," Notre Dame Journal of Formal Logic, vol. XIV (1973), pp. 195-204.
- [11] Rao, A. P., *Quine's Criterion of Ontological Commitment*, Indian Institute of Advanced Study, Simla (1971).
- [12] Russell, B., and A. N. Whitehead, *Principia Mathematica*, Vol. I, University Press, Cambridge, England (1910).
- [13] Solon, T. P. M., and S. K. Wertz, "The descriptive operator revisited," (abstract), *The Journal of Symbolic Logic*, vol. 35 (1970), p. 357.

The University of Sydney Sydney, N.S.W., Australia