# FREQUENCY OF COPRIMALITY OF THE VALUES OF A POLYNOMIAL AND A PRIME-INDEPENDENT MULTIPLICATIVE FUNCTION\*

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1. Introduction. Let H, J, and n denote positive integers, take P to be a polynomial with integer coefficients, and assume that M is a nonzero integer-valued multiplicative function such that

(1) 
$$M(p) = H, \qquad M(p^2) = J,$$

for every prime p. In 1976, E. J. Scourfield [4] obtained the estimate

(2) 
$$\#\{n \leq x: (M(n), n) = 1\} \sim c_M x,$$

as x tends to  $\infty$ . She obtained equally precise results for elements of the class of "polynomial-like" arithmetic functions—a class which includes  $\phi$  and  $\sigma$ . Five years later, in the Ph.D. dissertation of the author [6, §3.4], we obtained an estimate for the left side of (2) which is more precise, if a certain convergence condition is satisfied. For example, we showed that

(3) 
$$\#\{n \leq x : (d(n), n) = 1\} = c_d x + 0(\sqrt{x}(\log x)^3),$$

where d(n) is the number of positive integers dividing *n*, and  $c_d$  is a computable constant with  $0 < c_d < 1$ . In this paper, we derive the following estimate for  $\#\{n \leq x: (M(n), P(n)) = 1\}$ .

THEOREM 1.  $\#\{n \leq x: (P(n), M(n)) = 1\} = C_{M,P}x + 0(\sqrt{x}(\log x)^{2J} E(x, M)), where$ 

$$C_{M,P} = \frac{6}{\pi^2 H} \sum_{t=1}^{\infty} \frac{1}{tU} \prod_{\substack{p \mid tU}} (1 - p^{-2})^{-1} \sum_{\substack{b \text{mod } U \\ \mu(g,U) = (b,U,t) = 1 \\ \mu(g,U) \neq 0}} \prod_{\substack{p \mid t(b,U) \\ p \mid U/(b,U) \\ p \mid U/(b,U)}} (1 - p^{-1}),$$

where U = HM(t), and

$$E(x, M) = \sum_{\substack{t \leq x \\ t \text{ cube full}}} 2^{\omega(t)} |M(t)| t^{-1/2}.$$

If  $E(\infty, M)$  converges, then E(x, M) can be omitted from the error term. As a special case of this result, we show that

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$$\#\{n \leq x : (d(n), P(n)) = 1\} = C_{d,P}x + 0(\sqrt{x}(\log x)^6).$$

We also give improved results for the case when P(n) = n, which enable us to replace  $(\log x)^3$  by  $(\log x)^2$  in (3) (see Corollary 2, below, with k = 2).

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2. Preliminary results. Throughout this paper, we will let m, n, t denote positive integers, take p to represent a prime, and restrict x to be a positive real number. Thus, sums of the form  $\sum_{n \le x}$  will run over positive integers not exceeding x, and products of the shape  $\prod_{p|n}$  will extend over the prime divisors of n. Set d(n) equal to the number of positive integers dividing n, put  $\omega(n)$  for the number of distinct prime divisors of n, and let  $\mu$  denote the Möbius function.

**LEMMA** 1. Let h, k, and q be integers with k and q positive, and (h, k) = 1. Then we have

$$\#\{n \leq x \colon \mu(n) \neq 0, (n, q) = 1, n \equiv h(\text{mod } k)\} = C_{k,q} + 0(2^{\omega(q)}\sqrt{x}),$$

where the implied constant is absolute, and where

$$C_{k,q} = \frac{6}{\pi^2} \frac{1}{k} \prod_{\substack{p \mid q \\ b \nmid k}} (1 - p^{-1}) \cdot \prod_{\substack{p \mid q \\ b \mid k}} (1 - p^{-2})^{-1}.$$

PROOF. We observe that

since the sum on d is 1 if m and q are coprime, and 0 otherwise. When we interchange the two inner sums, we find that

(5) 
$$\sum_{\substack{m \leq x/2 \\ m/2 \equiv h \pmod{k}}} \sum_{\substack{d \mid m \\ d \mid q}} \mu(d) = \sum_{\substack{d \mid q \\ d \mid q}} \mu(d) \sum_{\substack{m \leq x/2 \\ m/2 \equiv h \pmod{k} \\ d \mid m}} 1$$
$$= \sum_{\substack{d \mid q \\ d \mid q}} \mu(d) \sum_{\substack{r \leq x/(2^2d) \\ r/2 d \equiv h \pmod{k}}} 1$$

Now, h and k are coprime, so that the congruence condition on the last sum has one solution r modulo k if  $(\ell^2 d, k) = 1$ , and no solutions otherwise. Hence (4) and (5) imply that

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$$= \frac{x}{k} (\prod_{\substack{p \mid q \\ p \nmid k}} (1 - p^{-1})) \sum_{\substack{\ell \leq \sqrt{x} \\ (\ell, qk) = 1}} \frac{\mu(\ell)}{\ell^2} + 0 (\sum_{\ell \leq \sqrt{x}} \sum_{d \mid q} |\mu(d)|).$$

We can conclude from the definitions of  $\omega$  and  $\mu$  that the remainder term in (6) is  $0(\sqrt{x} 2^{\omega(q)})$ . Finally, we note that

$$\sum_{\substack{\ell \leq \sqrt{x} \\ (\ell, qk) = 1}} \frac{\mu(\ell)}{\ell^2} = \sum_{\substack{\ell=1 \\ (\ell, qk) = 1}}^{\infty} \frac{\mu(\ell)}{\ell^2} + 0(\sum_{\ell > \sqrt{x}} \ell^{-2})$$
$$= \frac{6}{\pi^2} \prod_{p \mid qk} (1 - p^{-2})^{-1} + 0(x^{-1/2}),$$

to complete the proof. We remark that our Lemma 1 is a generalization of Theorem 1 of [1].

DEFINITION. For each positive integer k, we define the multiplicative functions  $d_k(n)$  by

$$(\sum_{n=1}^{\infty} n^{-s})^k = \sum_{n=1}^{\infty} d_k(n) n^{-s}.$$

Thus [3, Theorem 299, p. 255], we have

(7) 
$$d_k(p^a) = \frac{k(k+1)\cdots(k+a-1)}{a!}$$

for every p and each nonnegative integer a.

**LEMMA 2.** (i) For fixed k,  $d_k(n) = n^{o(1)}$ . (ii) The multiplicative function  $2^{\omega(n)}/\sqrt{n}$  is bounded.

**PROOF.** The lemma follows immediately from Theorem 3.6 on p. 260 of [3]. For i), we apply it to the multiplicative function  $d_k(n)n^{-\varepsilon}$ , where  $\varepsilon > 0$  is fixed but arbitrary, and to obtain ii), we apply it to  $2^{\omega(n)}/\sqrt{n}$ .

For convenience, we put

$$\mathcal{J} = \{n: \text{ if } p|n \text{ then } p^2|n\} = \{\text{squarefull numbers}\},\$$
  
 $\mathcal{C} = \{n: \text{ if } p|n \text{ then } p^3|n\} = \{\text{cubefull numbers}\}.$ 

LEMMA 3. In the notation of §1, we have

$$\sum_{\substack{n \leq x \\ n \in \mathcal{F}}} 2^{\omega(n)} |M(n)|^{-1/2} n^{-1/2} \ll (\log x)^{2J} E(x, M).$$

Designate the expression on the left by T(x, M).

**PROOF.** We can write any squarefull number n uniquely as

$$n = m^2 \ell : \ell \in \mathscr{C}, \qquad \mu(m) \neq 0, \ (m, \ell) = 1.$$

Partitioning the set of positive integers n according to the value of  $\ell$  yields

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(8) 
$$T(x, M) = \sum_{\substack{\ell \leq x \\ \ell \in \mathscr{C}}} 2^{\omega(\ell)} |M(\ell)|^{\ell-1/2} \sum_{\substack{m \leq \sqrt{x/\ell} \\ \mu(m) \neq 0 \\ (m, \ell) = 1}} 2^{\omega(n)} |M(m^2)| m^{-1}$$

since the functions M(n) and  $2^{\omega(m)}$  are multiplicative. Now, (1) implies that  $M(m^2) = J^{\omega(m)}$  in (8). Therefore, the inner sum in (8) is

(9) 
$$U(x, M) = \sum_{\substack{m \leq \sqrt{x/\ell} \\ \mu(m) \neq 0 \\ (m, \ell) = 1}} (2J)^{\omega(m)} m^{-1}$$

Since  $(2J)^{\omega(m)}m^{-1}$  is multiplicative, and the sum is over squarefree *m* only, we have

(10) 
$$U(x, m) \leq \prod_{p \leq \sqrt{x}} \left(1 + \frac{2J}{p}\right) \leq \prod_{p \leq \sqrt{x}} \left(1 + \frac{1}{p}\right)^{2J}.$$

Now, the estimate  $\prod_{p \le z} (1 + 1/p) \ll \log z$  as  $z \to \infty$  implies that the right side of (9) is  $0(\log x)^{2j}$ . Combining this result with (8) gives

$$T(x, M) \ll (\log x)^{2J} \sum_{\substack{\ell \le x \\ \ell' \in \mathscr{C}}} 2^{\omega(\ell)} |M(\ell)| \ell'^{-1/2} = (\log x)^{2J} E(x, M).$$
  
Lemma 4.  $\sum_{\substack{\ell \le x \\ \ell \in \mathscr{C}}} 2^{\omega(\ell M(\ell))} t^{-1/2} \ll (\log x)^2 E(x, M).$ 

**PROOF.** By analogy with the derivation of (7), we have

$$\sum_{\substack{t \leq x \\ t \in \mathscr{C}}} 2^{\omega(tN(t))} t^{-1/2} = \sum_{\substack{\ell \leq x \\ \ell \in \mathscr{C}}} \frac{1}{\sqrt{\ell}} \sum_{\substack{m \leq \sqrt{x/\ell} \\ \mu(m) \neq 0 \\ m \neq 0}} 2^{\omega(m^2\ell M(m^2)M(\ell))} m^{-1}.$$

Since  $\omega(mn) \leq \omega(m) + \omega(n)$  for all integers *m* and *n*, and since  $M(m^2) = J^{\omega(m)}$  for all squarefree *m* by (1), we can conclude that

(11) 
$$\sum_{\substack{t \leq x \\ t \in \mathcal{J}}} 2^{\omega(tM(t))} t^{-1/2} \leq \sum_{\substack{\ell \leq x \\ \ell \in \mathcal{G}}} \frac{2^{\omega(\ellM(\ell))}}{\sqrt{\ell}} \sum_{\substack{m \leq \sqrt{x/\ell} \\ \mu(m) \neq 0 \\ (m,\ell) = 1}} 2^{\omega(m)} 2^{\omega(J)} m^{-1}.$$

But from the estimate  $O((\log x)^{2J})$  of the quantity in (9) with that J replaced by 1, we can deduce that the inner sum is  $O((\log x)^2)$ . The lemma immediately follows.

Lemma 5. (i) 
$$\sum_{\substack{l \le x \\ l \in \mathscr{I}}} 1 \ll \sqrt{x}$$
.  
(ii)  $\sum_{\substack{l \le x \\ l \in \mathscr{I}}} 1 \ll x^{1/3}$ .

**PROOF.** This lemma is immediate from results of Erdös and Szekeres [2]. However, for completeness, we present a proof. For part i), we observe that any squarefull number can be uniquely written as  $t = m^2 k^3$ , with  $\mu(k) \neq 0$ . Hence,

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$$\sum_{\substack{t \le x \\ t \in \mathscr{J}}} 1 = \sum_{\substack{k \le x^{1/3} \\ \mu(k) \neq 0}} \sum_{m \le x^{1/2} k^{-3/2}} 1 \le \sum_{k \le x^{1/3}} x^{1/2} k^{-3/2} \ll x^{1/2}.$$

Similarly, for part ii), we notice that any cubefull number can be written as  $t = m^3 n^4 k^5$ , where  $\mu(n) \neq 0$ ,  $\mu(k) \neq 0$ , and (n, k) = 1. Therefore,

$$\sum_{\substack{t \le x \\ t \in \mathscr{C}}} 1 \leq \sum_{n \le x^{1/4}} \sum_{k \le x^{1/5}} \sum_{n^{-4/5}} \sum_{m \le x^{1/3} n^{-4/3} k^{-5/3}} 1 \leq \sum_{n \le x^{1/4}} \sum_{k \le x^{1/5} n^{-4/5}} x^{1/3} n^{-4/3} k^{-5/3} \ll x^{1/3}.$$

**3. The proof of Theorem 1.** For ease and clarity of exposition, place  $F(x) = F(x, M, P) = \#\{n \le x : (M(n), P(n)) = 1\};$   $Q(x, t) = \{n \le x/t: \mu(n) \ne 0, (n, t) = 1\};$   $A(t) = \{b \mod HM(t): (HM(t), P(bt)) = 1\};$  and  $B(t) = \{b \mod HM(t): (b, HM(t), t) = 1, \mu(b, HM(t)) \ne 0\}.$ 

Any positive integer *n* can be factored uniquely as

$$n = mt: \mu(m) \neq 0, t \in \mathcal{J}, (m, t) = 1.$$

If we partition the  $n \leq x$  according to the value of t, we find that

(12) 
$$F(x) = \sum_{\substack{t \leq x \\ t \in \mathcal{J}}} \sum_{\substack{m \in Q(x, t) \\ (M(mt), P(mt)) = 1}} 1.$$

The definition of Q(x, t), the multiplicativity of M, and (1) imply that  $M(mt) = H^{\omega(m)}M(t)$ , for every m contributing to the inner sum. Since  $\omega(m) = 0$  if and only if m = 1, we can conclude that

$$F(x) = \sum_{\substack{t \le x \\ t \in \mathscr{J}}} \{ \sum_{\substack{m \in Q(x,t) \\ (HM(t), P(mt)) = 1}} + 0(1) \}.$$

By the first part of Lemma 5, we have

$$F(x) = \sum_{\substack{t \leq x \\ t \in \mathscr{J}}} \sum_{\substack{m \in Q(x,t) \\ (HM(t), P(mt)) = 1}} 1 + 0(\sqrt{x}).$$

Subdivide the set of *m* contributing to the inner sum, according to their remainder modulo HM(t), i.e.,

(13) 
$$F(x) = \sum_{\substack{t \leq x \\ t \in \mathscr{J}}} \sigma(x, t) + 0(\sqrt{x}),$$

where

(14) 
$$\sigma(x, t) = \sum_{\substack{b \in A(t) \ m \equiv b(\text{mod}HM(t))}} \sum_{\substack{m \in Q(x, t) \ m \equiv b(\text{mod}HM(t))}} 1.$$

By the definition of Q(x, t), any *m* contributing to the inner sum in (14) must satisfy the conditions  $(b, HM(t))|m, (m, t) = 1, \mu(m) \neq 0$ . Consequently, it follows from the definitions of A(t) and B(t) that

$$\sigma(x, t) = \sum_{\substack{b \subseteq A(t) \cap B(t) \\ m \equiv b \pmod{M(t)}}} \sum_{\substack{m \subseteq Q(x, t) \\ m \equiv b \pmod{M(t)}}} 1$$

Next, we make the substitution  $\ell = m/(b, HM(t))$  in the inner sum

$$\sigma(x, t) = \sum_{\substack{b \in A(t) \cap B(t) \\ \neq \equiv b/(b, HM(t))}} \sum_{\substack{c \in Q(x, (b, HM(t))t) \\ \neq \equiv b/(b, HM(t)) \pmod{MM(t)/(b, HM(t)))}}.$$

We now apply Lemma 1 to the inner sum, with k = HM(t)/(b, HM(t))and with q = (b, HM(t))t. When we combine the result with (13), we obtain

(15)  
$$F(x) = \frac{6x}{\pi^2 H} \sum_{\substack{t \le x \\ t \in \mathscr{I}}} \frac{1}{tM(t)} \sum_{b \in A(t) \cap B(t)} \prod_{p \mid HtM(t)} (1 - p^{-2})^{-1} \prod_{\substack{p \mid (b, HM(t)) \\ p \mid HM(t) / (b, HM(t))}} (1 - p^{-1}) + 0(\sqrt{x} \sum_{\substack{t \le x \\ t \in \mathscr{I}}} \frac{2^{\omega(t)}}{\sqrt{t}} \sum_{b \mod HM(t)} \frac{2^{\omega((b, HM(t)))}}{\sqrt{(b, HM(t))}}.$$

Since  $\pi_p(1 - p^{-2})^{-1}$  converges, and  $1 - p^{-1} \leq 1$ , both products over primes occuring in (15) are bounded, Hence, the main term in (15) is

$$\frac{6x}{\pi^2 H} \sum_{\substack{t=1\\t \in \mathscr{S}}} \frac{1}{tM(t)} \sum_{b \in A(t) \cap B(t)} \prod \prod' + 0 \left( x \sum_{\substack{t > x\\t \in \mathscr{S}}} \frac{1}{t|M(t)|} \sum_{b \mod HM(t)} 1 \right),$$

where the symbols  $\prod \prod'$  stand for the same products over primes that occurred in (15). Now, partial summation of the first part of Lemma 5 yields

(16) 
$$\sum_{\substack{t \le x \\ t \in \mathscr{I}}} \frac{1}{t} \ll \frac{1}{\sqrt{x}}$$

Accordingly, the main term in (15) equals

$$\frac{6x}{\pi^2 H} \sum_{\substack{t=1\\t \in \mathscr{J}}}^{\infty} \frac{1}{tM(t)} \sum_{b \in A(t) \cap B(t)} \prod \prod' + 0(\sqrt{x}).$$

Furthermore, it follows from the second part of Lemma 2 that the error term in (15) is

(17) 
$$0\left(\sqrt{x}\sum_{\substack{t\leq x\\t\in \mathscr{J}}}\frac{2^{\omega(t)}|M(t)|}{\sqrt{t}}\right).$$

By Lemma 3, this remainder is  $0(\sqrt{x}(\log x)^{2J}E(x, M))$ . Combining this fact with our estimate of the main term in (15) yields the theorem.

## 4. The result for P(n) = n.

**THEOREM 2.** Under the hypotheses of Theorem 1, we have

$$#\{n \leq x: (n, M(n)) = 1\} = \mathscr{C}_M x + 0(\sqrt{x}(\log x)^2 \mathscr{C}(x, M)),$$

where

$$\mathscr{E}(x, M) = \sum_{\substack{t \leq x \\ t \in \mathscr{J}}} 2^{\omega(tM(t))} t^{-1/2}.$$

If  $\mathscr{E}(\infty, M)$  converges, then  $\mathscr{E}(x, M)$  can be omitted from the error term.

**REMARK.** From the fact that  $\omega(mn) \leq \omega(m) + \omega(n)$ , for all *m*, *n*, and the inequality  $2^{\omega(n)} \leq n$ , we deduce that

(18) 
$$\mathscr{E}(x, M) \leq \sum_{\substack{t \leq x \\ t \in \mathscr{C}}} 2^{\omega(t)} 2^{\omega(M(t))} t^{-1/2} \leq \sum_{\substack{t \leq x \\ t \in \mathscr{C}}} 2^{\omega(t)} |M(t)| t^{-1/2} = E(x, M).$$

Consequently, the estimate of Theorem 2 is at least as sharp as, and in general sharper than, the approximation given in Theorem 1.

PROOF. Set 
$$F(x) = \#\{n \le x : (M(n), n) = 1\}$$
. By (12),  

$$F(x) = \sum_{\substack{l \le x \\ l \in \mathcal{J}}} \sum_{\substack{m \le x/l \\ l \mid (m) \neq 0, (m, l) = 1 \\ (HM(t), mt) = 1}} 1 + 0(\sqrt{x}).$$

Consequently,

$$F(x) = \sum_{\substack{t \le x \\ t \subseteq \mathcal{I} \\ (t, HM(t)) = 1}} \sum_{\substack{m \le x/t \\ \mu(m) \neq 0 \\ (m, HtM(t)) = 1}} 1 + 0(\sqrt{x}).$$

Applying Lemma 1 with q = Ht|M(t)| and k = 1 to the inner sum yields

(19) 
$$F(x) = \frac{6x}{\pi^2} \sum_{\substack{t \le x \\ t \in \mathcal{J} \\ (t, HM(t)) = 1}} t^{-1} \prod_{\substack{p \mid HtM(t)}} (1 + p^{-1})^{-1} + 0 \left(\sqrt{x} \sum_{\substack{t \le x \\ t \in \mathcal{J}}} \frac{2^{\omega(tM(t))}}{\sqrt{t}}\right).$$

It follows from (16) that the main term in (19) is

$$\begin{split} & \frac{6x}{\pi^2} \sum_{\substack{t=1\\t \in \mathcal{J}\\t \in \mathcal{J}\\(t, HM(t))=1}}^{\infty} \frac{1}{t} \prod_{\substack{p \mid HtM(t)}} (1 + p^{-1})^{-1} + 0 \Big( x \sum_{\substack{t > x\\t \in \mathcal{J}}} \frac{1}{t} \Big) \\ &= \frac{6x}{\pi^2} \sum_{\substack{t=1\\t \in \mathcal{J}\\t \in \mathcal{J}\\(t, HM(t))=1}}^{\infty} \frac{1}{t} \prod_{\substack{p \mid HtM(t)}} (1 + p^{-1})^{-1} + 0(\sqrt{x}). \end{split}$$

Finally, if we apply Lemma 4, we can deduce that this error is  $0(\mathscr{E}(x, M))$ , and the theorem follows.

## 5. Corollaries and remarks.

COROLLARY 1. For every integer k > 1 and every polynomial P with integer coefficients, there is a positive constant c(k, P) < 1 such that

$$\#\{n \leq x : (d_k(n), P(n)) = 1\} = c(k, Px + 0(\sqrt{x}(\log x)^{k(k+1)}).$$

COROLLARY 2. For every integer k > 1, there is a positive constant c(k) < 1 for which

$$\#\{n \le x : (d_k(n), n) = 1\} = c(k)x + 0(\sqrt{x}(\log x)^2).$$

**PROOF OF COROLLARIES:** According to (7), we can apply Theorems 1 and 2 with  $M = d_k$ , H = k, and J = 1/2k(k + 1). Therefore, it suffices to prove that

$$E(\infty, d_k) = \sum_{t \in \mathscr{C}} 2^{\omega(t)} t^{-1/2} d_k(t), \, \mathscr{E}(\infty, d_k) = \sum_{t \in \mathscr{C}} 2^{\omega(td_k(t))} t^{-1/2}$$

both converge. The inequality  $2^{\omega(n)} \leq d(n)$  implies that

(20) 
$$E(\infty, d_k) \leq \sum_{t \in \mathscr{C}} d_2(t) d_k(t) t^{-1/2}$$

Combining (20) with (18) and the first part of Lemma 2 yields

$$0 \leq \mathscr{E}(\infty, d_k) \leq E(\infty, d_k) \ll \sum_{t \in \mathscr{C}} t^{-4}.$$

The convergence of  $E(\infty, d_k)$  and  $\mathscr{E}(\infty, d_k)$  can now be readily obtained from the second part of Lemma 5.

**REMARKS.** If we merely estimate the error term in (15) by the expression in (17), and record the remainder in (19) as it stands, we obtain a result which is valid for all nonzero integer-valued multiplicative functions Msuch that M(p) = H is constant for all primes p, with no restriction on the values of  $M(p^2)$ . These forms of the errors are, in general, less informative than the versions we presented; indeed, we claim that

$$\sum_{\substack{t \le x \\ t \in \mathcal{J}}} \frac{2^{\omega(t)} |M(t)|}{\sqrt{t}} \gg (\log x)^2,$$
$$\sum_{\substack{t \le x \\ t \in \mathcal{J}}} \frac{2^{\omega(tM(t))}}{\sqrt{t}} \gg (\log x)^2.$$

To verify these inequalities, replace M(t) by 1 in both sums, sum by parts, and apply the estimate for

$$\sum_{\substack{t \leq x \\ t \in \mathcal{J}}} 2^{\omega(t)}$$

given in [5].

In a personal communication, R. Sita Rama Chandra Rao has shown that the error term in Theorem 2 can be replaced by  $0_S(\sqrt{x} \log x \varepsilon_S(x, M))$ , where 0 < s < 1/2,

$$\varepsilon_s(x, M) = \sum_{\substack{t \leq x \\ t \in \mathscr{C}}} \sigma^*_{-s} (tM(t)) t^{-1/2},$$

 $\sigma_s^*(n)$  denotes the sum of the *s<sup>th</sup>* powers of the squarefree divisors of *n*, and the implied constant depends at most on *s*. He has applied this result to  $M(n) = d_k(n)$ , with any *s* strictly between 0 and 1/2, and replaced the error term in Corollary 2 by  $0(x^{1/2} \log x)$ .

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