

# SINGULARLY FIBERED MANIFOLDS<sup>1</sup>

BY

MELVIN C. THORNTON<sup>2</sup>

## 1. Introduction

Singular fiberings were first introduced by Seifert [8] in the fibering of 3-manifolds by 1-spheres. Singularities arose by the twisting of neighboring fibers around a center fiber. Montgomery and Samelson [7], Conner and Dyer [2], and Mahowald [6] have treated singularities caused by pinching a fiber to a point. Hu [4] defined a singularity by the breakdown of the covering homotopy property. This paper concerns singularities which generalize those of Seifert. Our singular fibers are homeomorphic to the non-singular ones and have a neighborhood which is a topological, but not a fiber, product of the fiber and a cell. We define the notion of singularity and classify singularities for a torus fiber. We are concerned mainly with the fibering of an  $(n + 1)$ -manifold by  $(n - 1)$ -tori over a closed surface. In this case we extend some results of Brody [1].

## 2. $n$ -tori

An  $n$ -torus,  $T^n$ , is the topological product of  $n$  circles. Let

$$C^n = I_1 \times \cdots \times I_n$$

where  $I_i = [0, 2\pi]$  is on the  $i$ -th axis of  $E^n$ . Let  $\eta : C^n \rightarrow T^n$  be the identification of opposite faces of  $C^n$ .  $\eta(C^n)$  will be the standard representation of  $T^n$ . Homology classes of  $\eta$ -images of  $k$ -dimensional faces of  $C^n$  form a set of generators of  $H_k(T^n)$ . We denote the 1-dimensional generators obtained from the oriented  $I_i$  as  $S_1, S_2, \dots, S_n$ . Generators of  $H_k(T^n)$  are carried by the Cartesian product of  $k$  carriers of the  $S_i$ . Since  $H_*(T^n)$  is torsion-free,  $H^*(T^n)$  is the exterior algebra on  $n$  1-dimensional generators which we take as the duals  $S_i^*$  of  $S_i$ . Generators of  $H^k(T^n)$  will be cup products of  $k$  distinct  $S_i^*$ . Defining

$$(S_i^* S_j^* \cdots S_k^*)^* = S_i \times S_j \times \cdots \times S_k$$

gives a specified orientation for generators of  $H_k(T^n)$ . Poincaré duality

$$\lambda : H_p(T^n) \rightarrow H^{n-p}(T^n)$$

is given by

$$\lambda(S_i \times S_j \times \cdots \times S_k) = S_i^* S_j^* \cdots S_k^*$$

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where  $(S_i^* S_j^* \cdots S_k^*)(S_l^* S_m^* \cdots S_q^*) = S_1^* S_2^* \cdots S_n^*$ . The intersection product

$$H_p(T^n) \times H_q(T^n) \rightarrow H_{p+q-n}(T^n)$$

is thus easily computed.

$C^n$  induces a coordinate system of  $n$ -tuples of reals modulo  $2\pi$  on  $T^n$ . A homeomorphism  $h : T^n \rightarrow T^n$  is called *linear* if it is expressible as an  $n \times n$  unimodular integral matrix using these coordinates. We write  $h = |a_{ij}|$  and consider all matrices acting on the left. A *solid  $n$ -torus*,  $sT^{n+1}$ , is obtained from  $T^n$  by replacing the first circle by a disk. For polar coordinates  $(\rho, \alpha_1)$  on a disk, a homeomorphism  $h_s : sT \rightarrow sT$  is linear if  $h_s$  restricted to non-zero constant  $\rho$  is linear.

Linear  $h = |a_{ij}|$  induces  $h_1 : H_1(T^n) \rightarrow H_1(T^n)$  which, in terms of the  $S_i$  basis, is also given by  $|a_{ij}|$ . Thus

$$h^1 : H^1(T^n) \rightarrow H^1(T^n)$$

is given by  $|a_{ij}|^t$ . By computing the cup product and dualizing we see that

$$h_p : H_p(T^n) \rightarrow H_p(T^n)$$

is given by

$$h_p(S_i \times S_j \times \cdots \times S_k) = \sum D(ij \cdots k; rs \cdots t) S_r \times S_s \times \cdots \times S_t$$

where  $D(ij \cdots k; rs \cdots t)$  is the determinant of the  $p \times p$  submatrix of  $|a_{ij}|$  formed by the rows  $r, s, \dots, t$  and the columns  $i, j, \dots, k$ . The sum is to be taken over all  $p$ -dimensional generators. Then choosing a basis

$$A_i = (-1)^{i+1} S_1 \times \cdots \times \hat{S}_i \times \cdots \times S_n$$

for  $H_{n-1}(T^n)$ , we have  $h_{n-1} = (\det |a_{ij}|) |a_{ij}|^{t, -1}$  where  $t, -1$  indicates transpose inverse.

**LEMMA 2.1.** *A homeomorphism  $f : T \rightarrow T$  can be extended to a map*

$$f_s : sT \rightarrow sT$$

*iff  $f_{n-1}(\ker i_{n-1}) = \ker i_{n-1}$  where  $i : T \rightarrow sT$  is inclusion.*

*Proof.* Since  $f_* i_* = i_* f_*$  and  $f_*$  is an isomorphism, the condition is necessary. Conversely, suppose  $f_1 = |a_{ij}|$  and the condition is satisfied. Then since  $\ker i_{n-1}$  is generated by  $A_i$ ,  $i \geq 2$ , we have  $a_{i1} = 0$ ,  $i \geq 2$  and  $a_{11} = \pm 1$ . Define the homeomorphism  $g$  as  $g = |a_{ij}|$ . Then  $g_1 = f_1$  and hence  $f \simeq g$ ,  $f$  homotopic to  $g$ , (see for example, Hu [5, p. 198]). Now define  $f_s$  by deforming  $f$  to  $g$  on  $1 \geq \rho \geq \frac{1}{2}$  and by

$$f_s(\rho, \alpha_1, \alpha_2, \dots, \alpha_n) = (\rho, g(\alpha_1, \alpha_2, \dots, \alpha_n)) \quad \text{for } \frac{1}{2} \geq \rho > 0.$$

For  $\rho \leq \frac{1}{2}$ ,  $f_s(\rho, \alpha_1, \dots, \alpha_n)$  has  $i$ -th coordinate independent of  $\alpha_1$ , so  $f_s$  is uniquely defined and continuous at  $\rho = 0$ .

### 3. Singular fibers

Let  $F$  and  $Y$  be topological manifolds and  $I$ ,  $D$ , and  $S$  be the closed unit interval, a closed cell, and a sphere. Given a homeomorphism

$$f : Y \rightarrow S^q \times F^r,$$

define  $[Y]_f$  to be the product fiber space with projection  $pf$  where

$$p : S \times F \rightarrow F$$

is the natural projection.  $[Y]_f$  has fiber  $S$  and base  $F$ . Consider  $I \times S^q \times F^r$  with  $0 \times S \times F$  viewed as  $[S \times F]_f$  for a given  $f : S \times F \rightarrow S \times F$ . Let  $\eta : I \times S \times F \rightarrow C[f]$  be the identification map which pinches the  $S$ -fibers of  $[S \times F]_f$  to points. Since  $C[f]$  is homeomorphic to  $\eta(1 \times f^{-1})(I \times S \times F)$ , one can easily verify the following where we consider  $0$  as the center of  $D$  and  $\eta(0 \times S \times F) = 0 \times F$ .

**LEMMA 3.1.**  *$C[f]$  is homeomorphic to  $D^{q+1} \times F^r$  and has an induced partitioning into sets homeomorphic to  $F$  such that the decomposition space is  $D^{q+1}$  and  $(D - 0) \times F$  is a product fiber space.*

We call  $C[f]$  a *singularly fibered core of type  $f$* . The *singular* or *center* fiber is  $0 \times F$ . All other fibers are *non-singular*. If  $f$  is the identity, then  $C[f]$  is the product fiber space and the center fiber will also be non-singular.

If we choose  $S^q = S^1$ ,  $F^r = T^{n-1}$  and a linear  $f : S \times T \rightarrow S \times T$  given by

$$f(\alpha_1, \alpha_2, \dots, \alpha_n) = (y\alpha_1 + d\alpha_2, -x\alpha_1 + c\alpha_2, \alpha_3, \dots, \alpha_n)$$

where  $c, d, x$ , and  $y$  are integers with  $cy + dx = 1$ ,  $c \neq 0$ , then the resulting  $C[f]$  is a *singularly fibered solid torus*,  $sT^{n+1}(d/c)$ . By 3.1 it is topologically a solid  $n$ -torus and is singularly fibered by  $n - 1$ -tori. For  $n = 2$ , the  $sT^3$  are the fibered solid tori of Seifert [8].

Geometrically  $sT^3(d/c)$  is the cylinder  $D^2 \times I$  with the upper face rotated  $2\pi d/c$  radians before being identified with the lower face. A similar geometric interpretation is possible for  $sT^{n+1}$ . Let  $v_2/u_2, \dots, v_n/u_n$  be pairs of relatively prime integers with  $u_i \neq 0$ . Consider  $D^2 \times I^{n-1}$  embedded in  $E^{n+1}$  with  $D^2$  the unit disk in the  $x_0, x_1$ -plane and  $I_i = [0, 2\pi]$  in the  $x_i$ -axis,  $i \geq 2$ . Now rotate  $D^2$  by  $2\pi v_i/u_i$  about the  $x_i$ -axis before identifying the faces defined by  $x_i = 0$  and  $x_i = 2\pi$ . Let  $c = \text{lcm}(u_2, \dots, u_n)$ . Then this process of rotation and identification forms a topological  $n - 1$  torus from  $0 \times I^{n-1}$  and a topological  $n - 1$  torus from exactly  $c$  of the  $x \times I^{n-1} \subset D^2 \times I^{n-1}$ . We denote this fibered solid torus by  $sT(v_i/u_i)$ . Note if  $c = 1$ , we have the product fiber space.

Choose coordinates  $0 \leq \rho \leq 1$ ,  $\alpha_i \pmod{2\pi}$ , on  $sT(v_i/u_i)$  so that  $(\rho, \alpha_1, \dots, \alpha_n)$  is on the singular fiber iff  $\rho = 0$ , and  $(\rho, \alpha_1, \dots, \alpha_n)$  and  $(\rho', \alpha'_1, \dots, \alpha'_n)$  are on the same non-singular fiber iff  $\rho = \rho'$  and  $c\alpha_1 - \sum v_i c\alpha_i/u_i$  is congruent modulo  $2\pi$  to  $c\alpha'_1 - \sum v_i c\alpha'_i/u_i$ . We call

such a system of coordinates *planar*. We assume all coordinates henceforth are planar. The subset  $T(\rho)$  of  $sT$  defined by  $\rho$  equals a non-zero constant is a topological  $n$ -torus fibered as the product  $S^1 \times T^{n-1}$ . Then there exists an identification map  $\eta$  from  $C^n \subset E^n$  to  $T(\rho)$  given by

$$\eta(x_1, x_2, \dots, x_n) = (\rho, \alpha_1, \dots, \alpha_n)$$

where  $\alpha_i = x_i \pmod{2\pi}$ . The  $\eta$ -preimage of a  $T^{n-1}$  fiber of  $T(\rho)$  is a finite number of parallel equally spaced hyperplane sections in  $C^n$ .

In the following,  $F$  denotes both a fiber and its corresponding homology class and  $\sim$  denotes "homologous to".

**PROPOSITION 3.2.** *Let  $F$  be a fiber on the boundary  $T^n$  of  $sT^{n+1}(v_i/u_i)$ . Then*

$$\pm F \sim cA_1 - v_2 c/u_2 A_2 - \dots - v_n c/u_n A_n$$

*on  $T^n$ .*

*Proof.* We can assume  $(1, 0, \dots, 0) \in F$ . Triangulate  $T^n$  via a triangulation of  $C^n$  which induces a triangulation on each planar section of  $F$  in  $C^n$ . Each of these sections separates  $C^n$  into two components. The chain sum of the closures of all simplices in the components which contain the point  $(1, 0, 2\pi, \dots, 2\pi)$  of  $C^n$  gives a chain on  $T^n$  with the desired boundary. Details of this construction and computation may be found in [9, pp. 45–50].

**COROLLARY 3.3.** *Let  $F$  be a non-singular and  $F_0$  the singular fiber of  $sT^{n+1}(v_i/u_i)$ . Then  $\pm F \sim cF_0$  in  $sT$*

*Proof.*  $F$  is homologous in  $sT$  to a fiber on the boundary. But  $A_i \sim 0$  in  $sT$  for  $i \geq 2$  and  $F_0 \sim A_1$  in  $sT$ , so the result follows from 3.2.

**COROLLARY 3.4.** *A fiber preserving homeomorphism of non-trivially fibered tori takes the singular fiber onto the singular fiber.*

*Proof.* Suppose  $h : sT \rightarrow sT'$ . Let  $F_0, F'_0$  be the singular fibers and suppose  $h(F_0) = F' \neq F'_0$  and  $h(F_1) = F'_0$ .  $F_1 \sim cF_0$  implies  $h(F_1) \sim ch(F_0)$  so  $h(F_1) \sim cc'F'_0$ . Thus  $F'_0 \sim cc'F'_0$  or  $cc' = 1$ . Therefore,  $c = c' = 1$  and both tori are trivially fibered.

Seifert shows, [8, pp. 150–154], that  $sT^3(v/u)$  and  $sT^3(v'/u')$  are fiber preserving homeomorphic iff  $|u| = |u'|$  and  $v$  and  $v'$  reduced mod  $|u|$  to the interval  $[-|u|/2, |u|/2]$  have the same absolute value. For  $n \geq 3$ , the corresponding result is as follows.

**THEOREM 3.5.** *For  $n \geq 3$ ,  $sT^{n+1}(v_i/u_i)$  is fiber preserving homeomorphic to  $sT^{n+1}(1/c)$  iff  $|c| = \text{lcm}(u_2, \dots, u_n)$ .*

*Proof.* If  $|c| = \text{lcm}(u_2, \dots, u_n)$  then a linear fiber preserving homeomorphism may be constructed taking  $sT^{n+1}(v_i/u_i)$  onto  $sT^{n+1}(1/c)$ . See [9, pp.

59-63]. Conversely suppose

$$h : sT(v_i/u_i) \rightarrow sT'(1/c')$$

is given. Let  $c = \text{lcm}(u_2, \dots, u_n)$ . Then  $F \sim cA_1 - \sum v_i c/u_i A_i$ , so

$$h(F) \sim ch(A_1) - \sum v_i c/u_i h(A_i).$$

Now  $h(A_1) \sim a_{11} A'_1 + \sum a_{ij} A'_j$  with  $a_{11} \neq 0$  since  $h(A_1)$  does not bound on  $sT'$ . For  $i \geq 2$ ,  $A_i \sim 0$  on  $sT$  hence  $h(A_i) \sim 0$  on  $sT'$ . Therefore,  $h(A_i) \sim \sum a_{ij} A'_j$  on  $T'$  for  $i, j \geq 2$ . The  $h(A_i)$  are a basis for  $H_{n-1}(T')$  so  $\det |a_{ij}| = \pm 1$  and  $a_{11} = \pm 1$ . By 3.4,  $h(F) \sim F' \sim \pm c'A'_1$  so

$$\pm c'A'_1 \sim c(a_{11} A'_1 + \sum a_{ij} A'_j) + \sum v_i c/u_i (\sum a_{ij} A'_j).$$

The  $A'_j$  are homologically independent, so we may equate coefficients to obtain  $\pm c' = ca_{11}$ . Thus  $c = |c'|$ .

We define  $sT(v_i/u_i)$  to be of *singularity type*  $c > 0$  iff it is fiber preserving homeomorphic to  $sT(1/c)$ . The fibering is singular in the sense that if  $c \neq 1$ ,  $sT(1/c)$  does not have the covering homotopy property. It is easy to show that a homotopy of a homeomorphism onto the singular fiber followed by the projection cannot be lifted.

#### 4. Singularly fibered manifolds

A *singularly fibered*  $(q + r + 1)$ -manifold consists of a topological  $(q + r + 1)$ -manifold  $M$ , a connected  $q + 1$ -manifold  $B$ , and a map  $p : M \rightarrow B$  such that  $p^{-1}(b)$  is homeomorphic to a space  $F^r$  for all  $b \in B$  and such that each  $b \in B$  has a closed  $(q + 1)$ -cell neighborhood  $\bar{V}$  with  $p^{-1}(\bar{V})$  fiber preserving homeomorphic to some  $C[f]$ . Suppose  $S^q = S^1$ ,  $F^r = T^{n-1}$  and  $f$  is linear. Then a singularly fibered  $M^{n+1}$  is partitioned into fibers  $F = T^{n-1}$  so that each  $F$  has a closed neighborhood fiber preserving homeomorphic to some  $sT^{n+1}(1/c)$ . We call such a neighborhood,  $N(F)$ , a *solid fiber neighborhood*. The projection of  $N(F)$  in  $B$  is a *base fiber neighborhood*. Here  $B$  is a closed surface.

Seifert's lemmas 1 through 4 and Theorem 1 can be easily shown to hold for fibered  $M^{n+1}$ . In particular, if  $N(F)$  and  $N'(F)$  are two solid fiber neighborhoods of  $F$ , then there is a fiber preserving isotopy of  $M$  taking  $N(F)$  onto  $N'(F)$ , leaving  $F$  pointwise fixed and which is the identity outside a fixed open set containing  $N(F) \cup N'(F)$ .

Let  $N(F)$  be a solid fiber neighborhood of  $F$  in  $M$ .  $M'' = M - \text{int } N(F)$  is a manifold with an  $n$ -torus boundary  $T''$ . We say  $F$  has been *excised* from  $M$  to obtain  $M''$ . Given any singularly fibered  $sT$  with boundary  $T$  and a fiber preserving homeomorphism  $f : T \rightarrow T''$ , let  $M_1$  be  $M''$  with  $sT$  adjoined by  $f$ . We say  $M''$  has been *completed* to  $M_1$ . Hereafter a double prime shall always refer to a manifold with boundary.

PROPOSITION 4.1. *The result of excising  $F$  from  $M$  is independent of the  $N(F)$  used.*

*Proof.* Let  $M_i'' = M - \text{int } N_i(F)$ ,  $i = 1, 2$ .  $N_i(F) \cap N_2(F)$  contains a  $N_3(F)$ . Then there is a fiber preserving isotopy taking  $N_i(F)$  onto  $N_3(F)$ .

PROPOSITION 4.2.  *$M''$  obtained from  $M$  by excising a non-singular fiber is independent of the fiber excised.*

*Proof.* Given non-singular  $F_1$  and  $F_2$  over  $b_1$  and  $b_2$ , let  $\tau$  be an arc in  $B$  from  $b_1$  to  $b_2$  which does not contain singular points; i.e. points  $b$  where  $p^{-1}(b)$  is a singular fiber. Let  $\sigma$  be a closed disk in  $B$  containing  $\tau$  in its interior and without singular points. Then  $p^{-1}(\sigma)$  is a solid fiber neighborhood of both  $F_1$  and  $F_2$ .

Let  $F''$  be a fiber on the boundary  $T''$  of  $M''$ . A subgroup  $G \subset H_{n-1}(T'')$  is allowable if  $G$  is of rank  $n - 1$ , the homology class of  $F''$ ,  $[F'']$ , is not in  $G$  and there exists an  $(n - 1)$ -torus  $P'' \subset T''$  such that  $[P'']$  and  $G$  generate  $H_{n-1}(T'')$ .

LEMMA 4.3. *If  $G$  is allowable, then there is exactly one type of singularly fibered  $sT^{n+1}$  such that there exists a fiber preserving homeomorphism  $h : T \rightarrow T''$  with  $h_*(\ker i_*) = G$  where  $i : T \rightarrow sT$  is inclusion of the boundary.*

*Proof.* Let  $F''$ ,  $P''$  also denote the homology classes of  $F''$  and  $P''$ , etc. Suppose  $P'', Q_2'', \dots, Q_n''$  is a basis for  $H_{n-1}(T'')$  where  $Q_2'', \dots, Q_n''$  is a basis for  $G$  and suppose  $F'' \sim b_{11} P'' + \sum b_{i1} Q_i''$ . Since  $F'' \notin G$ ,  $b_{11} \neq 0$ . Note that  $|b_{11}|$  is independent of the  $Q_i''$  basis and the choice of  $P''$ , so  $|b_{11}|$  is uniquely determined by  $G$  and the homology class of a fiber on  $T''$ . Let  $D_2'', \dots, D_n''$  be a collection of  $(n - 1)$ -tori on  $T''$  such that  $F'', D_2'', \dots, D_n''$  are a basis for  $H_{n-1}(T'')$ . Suppose  $D_j'' \sim b_{1j} P'' + \sum b_{ij} Q_i''$  for  $j = 2, \dots, n$ . Then  $|b_{ij}|$  is an  $n \times n$  unimodular matrix.

Consider  $sT^{n+1}$  fibered by  $v_i/u_i = -b_{i1}/b_{11}$  for  $i = 2, \dots, n$ . Then on the boundary  $T$ ,

$$F \sim b_{11} A_1 + b_{21} A_2 + \dots + b_{n1} A_n.$$

Choose  $(n - 1)$ -tori  $D_j$  on  $T$  for  $j = 2, \dots, n$  such that

$$D_j \sim b_{1j} A_1 + b_{2j} A_2 + \dots + b_{nj} A_n.$$

A choice of planar coordinates on  $T''$  defines  $A_i''$ . Suppose

$$P'' \sim a_{11} A_1'' + \dots + a_{n1} A_n'' \quad \text{and} \quad Q_j'' \sim a_{1j} A_1'' + \dots + a_{nj} A_n''.$$

Then  $|a_{ij}|$  is unimodular and we define  $h = |a_{ij}| : T \rightarrow T''$ . Computation shows  $h(F) = F''$ ,  $h(D_i) = D_i''$ ,  $h(A_1) = P''$  and  $h(A_j) = Q_j''$  for  $i, j \geq 2$ . Thus  $h_*(\ker i_*) = G$ .

Suppose  $sT'$  is fibered by  $v_i/u_i = -c_{i1}/c_{11}$  has a fiber preserving homeo-

morphism  $h' : T' \rightarrow T''$  with  $h'_*(\ker i'_*) = G$ . Then

$$F' \sim c_{11} A'_1 + \cdots + c_{n1} A'_n$$

and

$$h'(F') \sim F'' \sim c_{11} h'(A'_1) + c_{21} h'(A'_2) + \cdots + c_{n1} h'(A'_n).$$

Choosing  $P'' = h'(A'_1)$  and  $Q''_i = h'(A'_i)$ ,  $i \geq 2$ , we have

$$F'' \sim c_{11} P'' + \sum c_{i1} Q''_i.$$

Hence  $|b_{11}| = |c_{11}|$  so  $sT'$  is fiber preserving homeomorphic to the constructed  $T$ .

The converse of 4.3 is not true. There exists an infinite number of distinct allowable groups  $G_r$ ,  $r \geq 3$ , which determine  $sT(1/c)$ . For example, if  $F'', D''_2, \dots, D''_n$  generate  $H_{n-1}(T'')$ , then  $G_r$  generated by  $-F'' + cD''_2$ ,  $rD''_2 + D''_3, D''_4, \dots, D''_n$  is allowable and determines  $sT(1/c)$ . Note that  $G_r = G_s$  iff  $r = s$ .

Let  $G$  be allowable and  $h : T \rightarrow T''$  be a linear fiber preserving homeomorphism with  $h_*(\ker i_*) = G$ . The completion of  $M''$  along  $G$ ,  $M(G)$  is  $M'' \cup sT$  with  $h(x)$  identified with  $x$  for  $x \in T$ , the boundary of  $sT$ .

**PROPOSITION 4.4.** *Given planar coordinates on  $T''$ ,  $M(G)$  is uniquely determined by  $G$  and  $M''$ .*

*Proof.* Let  $g : T' \rightarrow T''$  be another linear homeomorphism with  $g_*(\ker i_*) = G$  which gives  $M'(G)$ . Then  $(h^{-1}g)_* : \ker i_* \rightarrow \ker i_*$  so  $h^{-1}g$  can be extended to a linear homeomorphism  $f_s : sT' \rightarrow sT$ . Then  $f : M'(G) \rightarrow M(G)$  defined by  $f|_{M''} = \text{identity}$  and  $f|_{sT'} = f_s$  is a fiber preserving homeomorphism.

A simple closed curve  $\omega \subset T^n$  is *linear* with respect to coordinates  $\alpha_1, \alpha_2, \dots, \alpha_n$  if  $\omega$  is the image of a line in  $E^n$  under the map  $x_i \rightarrow x_i \pmod{2\pi}$ . Two linear curves are *parallel* if they are images of parallel lines in  $E^n$ . Let the boundary  $T''$  of  $M''$  be fibered by linear simple closed curves parallel to a given  $\omega$  and let  $\eta$  be the natural map defined on  $M''$  which identifies these  $S^1$ -fibers to points. Then  $\eta(M'') = M(\omega)$  is the completion of  $M''$  along  $\omega$ .

**THEOREM 4.5.** *For each fixed planar coordinate system on  $T''$  there exists a bijective correspondence between linear  $\omega$  with intersection product of the homology class of  $\omega$  and the homology class of a fiber  $F'' \subset T''$  not zero, i.e.,  $[\omega] \cdot [F''] \neq 0$ , and allowable subgroups  $G$ . If  $\omega$  and  $G$  correspond, then  $M(\omega)$  is fiber preserving homeomorphic with  $M(G)$  where  $G$  determines the completion by adding  $sT(1/c)$  with  $c = [\omega] \cdot [F'']$ .*

*Proof.* Suppose  $\omega \simeq a_{11} S''_1 + \cdots + a_{n1} S''_n$  and choose  $|a_{ij}|$  to be integral unimodular. Let  $|b_{ij}| = |a_{ij}|^{t, -1}$  and choose  $n-1$ -tori  $Q''_j$  on  $T''$  so that

$$Q''_j = b_{1j} A''_1 + \cdots + b_{nj} A''_n, \quad j \geq 2.$$

Then  $Q_2'', \dots, Q_n''$  generate a subgroup  $G$ .  $G$  is independent of the choice of  $|a_{ij}|$  as long as the first column is  $a_{11}, a_{21}, \dots, a_{n1}$ . The assumption  $[\omega] \cdot [F''] \neq 0$  insures  $[F''] \notin G$ . Hence  $G$  is a uniquely determined allowable subgroup.

Conversely, given  $G$  generated by  $Q_j'' \sim b_{1j} A_1'' + \dots + b_{nj} A_n''$  for  $j \geq 2$ , choose

$$P'' \sim b_{11} A_1'' + \dots + b_{n1} A_n''$$

so that  $P''$  and  $G$  generate  $H_{n-1}(T'')$ . Then define  $|a_{ij}| = |b_{ij}|^{t, -1}$  and  $\omega \simeq a_{11} S_1'' + \dots + a_{n1} S_n''$ .  $F''$  not in  $G$  insures  $[\omega] \cdot [F''] \neq 0$ . Computation shows  $\omega$  is independent of the basis chosen for  $G$ .

To define fiber preserving homeomorphism of  $M(\omega)$  onto  $M(G)$  we first extend the planar coordinates on  $T''$  to be a neighborhood  $[1, 3] \times T'' \subset M''$ . Suppose  $|b_{ij}|$  expresses  $P'', Q_j''$  in terms of the  $A_i''$ . Define  $f'' : M'' \rightarrow M'' + sT$  by

$$f'' | M'' - [1, 3] \times T'' = \text{identity},$$

$f'' : [2, 3] \times T'' \rightarrow [1, 3] \times T''$  given by

$$(\rho, \alpha_1, \alpha_2, \dots, \alpha_n) \rightarrow (2\rho - 3, \alpha_1, \dots, \alpha_n)$$

and  $f'' : [1, 2] \times T'' \rightarrow sT$  given by

$$(\rho, \alpha_1, \dots, \alpha_n) \rightarrow (\rho - 1, |b|^{t, -1}(\alpha_1, \dots, \alpha_n)^t).$$

Let  $h : T \rightarrow T''$  given by  $h = |b_{ij}|^{t, -1} = |a_{ij}|$  be used to adjoin  $sT$  along  $G$  to  $M''$ . Then  $f''$  induces a fiber preserving homeomorphism

$$f : M(\omega) \rightarrow M(G).$$

Suppose  $F \sim c_1 A_1 + \dots + c_n A_n$  on  $T$ . Since  $h^{-1}(\omega) \simeq \pm S_1 \subset T$ , we have

$$c = [\omega] \cdot [F] = [h^{-1}(\omega)] \cdot [F] = [\pm S_1] \cdot [c_1 A_1 + \dots + c_n A_n] = \pm c_1.$$

Thus  $sT$  is of type  $c$ .

## 5. Manifold classes and fundamental groups

Let  $\tau$  be a closed path in  $B$  based at  $b_0$  which contains no singular points. Since  $M$  minus all singular fibers has the covering homotopy property,  $F_0 = p^{-1}(b_0)$  can be isotopically deformed onto itself with projection image  $\tau$  in  $B$ . This we call a *translation* of  $F_0$  over  $\tau$ . Any two translations of  $F_0$  over  $\tau$  give isotopic homeomorphisms of  $F_0$ . Hence the induced isomorphism  $\chi(\tau) : H_1(F_0) \rightarrow H_1(F_0)$  is well defined.  $\chi(\tau)$  depends only on the homotopy class of  $\tau$  and has the property  $\chi(\tau'\tau) = \chi(\tau')\chi(\tau)$  for the path  $\tau$  followed by  $\tau'$ . For fixed coordinates on  $F_0$ ,  $\chi : \Pi_1(B, b_0) \rightarrow \text{Gl}(n-1, Z)$  is a homomorphism. Change of coordinates on  $F_0$  or basepoint in  $B$  alters  $\chi$  by an inner automorphism. Hence  $M$  determines a unique inner automorphism class of  $\chi : \Pi_1(B) \rightarrow \text{Gl}(n-1, Z)$ . The *characteristic* of  $M$  is defined to be this class of equivalent integral representations of  $\Pi_1(B)$  of degree  $n-1$ .



We consider two manifolds to be in the same *class* if they have the same base and characteristic. The class,  $\{M\}$ , of  $M$  is independent of any singular fibers. Manifolds may be constructed with a pre-given base and characteristic. The construction follows the method of Seifert [8, p. 173–174] and is detailed in [9, p. 113–124]. Note that excision and completion does not alter the class of a manifold.

To classify singularly fibered  $(n + 1)$ -manifolds we first classify the manifold classes and then seek the manifolds within each class. First we make the *conjecture* (n): Two homeomorphisms  $T^n \rightarrow T^n$  are isotopic iff they are homotopic. Conjecture (1) is clearly true. Conjecture (2) is a special case of a theorem of R. Baer that homotopic homeomorphisms of any closed surface are isotopic. Since two homeomorphisms on  $T^n$  are homotopic iff the induced maps on  $H_1(T^n)$  are the same, conjecture (n) would imply the space of homeomorphisms of  $T^n$  with a fixed coordinate system has exactly one linear homeomorphism in each path-component. Conjecture (n) would also imply the map  $f_s$  of Lemma 2.1 can be a homeomorphism.

**THEOREM 5.1.** *Suppose conjecture  $(n - 1)$  is true. Then there is a bijective correspondence between classes  $\{M\}$  of closed singularly fibered  $(n + 1)$ -manifolds and fibered  $(n + 1)$ -manifolds  $M_0''$  with an  $n$ -torus boundary  $T^n$  and without singular fibers. The corresponding  $M_0''$  is the class space of  $\{M\}$ . The base  $B_0''$  of  $M_0''$  is the base  $B$  of  $M$  with an open disk removed. The characteristic of  $M_0''$  is the same as the characteristic of  $M$ . Any member of  $\{M\}$  may be obtained from  $M_0''$  by excision and completion.*

*Proof.* Given  $M_0''$  we may complete it to  $M_0$  and obtain a unique class  $\{M_0\}$ . Conversely, let  $M$  be any member of  $\{M\}$ . By excising all singular fibers and completing with non-singular fibers we obtain a manifold  $M_0$  of  $\{M\}$ . Excise one non-singular fiber to obtain  $M_0''$  which has the proper base and characteristic. To show  $M_0''$  is well defined, it suffices to show that if  $M_1$  in  $\{M\}$  contains no singular fibers and we excise a non-singular fiber to obtain  $M_1''$ , then  $M_1''$  is fiber preserving homeomorphic to  $M_0''$ . Construction of this homeomorphism follows the method of Seifert [8, p. 171–173]. Both  $M_1''$  and  $M_0''$  are first viewed as  $P'' \times T^{n-1}$ , where  $P''$  is a polygon which becomes  $B_0'' = B_1''$  by identifications on its edges, and corresponding identifications are made on fibers over the edges of  $P''$ . Conjecture  $(n - 1)$  is then used to isotopically deform the identifications giving  $M_1''$  to those giving  $M_0''$ . Details may be found in [9, p. 131–135].

**COROLLARY 5.2.** *Compact  $(n + 1)$ -manifolds, fibered in the usual sense by  $(n - 1)$ -tori, with  $n$ -torus boundaries, are in a bijective correspondence with closed surfaces  $B$  and equivalence classes of integral representations of  $\Pi_1(B, b_0)$  of degree  $n - 1$ .*

**COROLLARY 5.3.**  *$(S^2 - \text{int } D^2) \times T^{n-1}$  is the class space of the unique class of singularly fibered manifolds with base  $S^2$ .*

**COROLLARY 5.4.** *Manifolds of a single class are either all orientable or all non-orientable.*

There are only two classes of orientable 4-manifolds with base a sphere with one crosscap. If  $\gamma$  is a generator of  $\Pi_1(B, b_0)$ , then  $\gamma^2 \simeq 0$  and  $\chi(\gamma)^2 = C^2 = I$ . Since the manifolds are orientable,  $\det C = -1$ . Then the two conjugate classes of  $\chi$  are represented by

$$C = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad C = \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}.$$

In cases other than this one and the case  $B = S^2$ , there are an infinite number of non-conjugate  $\chi$  and hence an infinite number of distinct manifold classes.

Suppose  $M \in \{M_0\}$  has  $r \geq 0$  singular fibers  $\{F_j\}$  of types  $\{c_j\}$ . To obtain  $M$  from the class space  $M_0''$ , we first excise  $r$  non-singular fibers to obtain  $M''$  with  $r + 1$   $n$ -tori boundary components  $T_j''$ . For a proper choice of linear  $\omega_j$  on each  $T_j''$ , the identification of the  $S^1$  parallel to the  $\omega_j$  on all  $T_j''$  will produce  $M$ . A necessary condition on the  $\omega_j$  is  $[\omega_j] \cdot [F_j''] = c_j$  where  $F_j''$  is a fiber on  $T_j''$ . To obtain other necessary conditions on the  $\omega_j$ , we compute the fundamental group of  $M$ .

Cut the base  $B''$  on  $M''$  into a polygon  $P''$  in such a way that the boundaries  $J_j = p(T_j'')$  lie in the interior of  $P''$ . Let  $b$  be the vertex of the polygon and let the edges be  $A_i, B_i, i = 1, \dots, h$  if  $B$  is a sphere with  $h$  handles or  $C_i, i = 1, \dots, q$  if  $B$  is a sphere with  $q$  crosscaps. Let  $\tau_j, j = 0, 1, \dots, r$  be paths from  $b$  to a point of  $J_j$ . Fix a coordinate system on  $T^{n-1} = S_2 \times \dots \times S_n$  and consider these coordinates on each  $x \times T^{n-1}$  of  $P'' \times T^{n-1}$ . A choice of coordinates on each  $J_j$  gives planar coordinates on each  $T_j'' = J_j \times T^{n-1}$ . Then  $M''$  is obtained from  $P'' \times T^{n-1}$  by identifications

$$A_i \times T^{n-1} \equiv A_i^{-1} \times T^{n-1} \quad \text{and} \quad B_i \times T^{n-1} \equiv B_i^{-1} \times T^{n-1}, \quad i = 1, \dots, h$$

or

$$C_i \times T^{n-1} \equiv C_i' \times T^{n-1}, \quad i = 1, \dots, q.$$

On each  $T_j''$  there is a linear simple closed curve  $\omega_j$  with the property that if  $T_j''$  is fibered by one-spheres parallel to  $\omega_j$ , then shrinking these to points is equivalent to replacing the original excised  $F_j$ . Note that it is necessary to choose  $\omega_j$  to correspond not only to the singularity type of  $F_j$ , but also to the way in which  $F_j$  is embedded in  $M$ . Suppose the  $\omega_j$  are specified by

$$\omega_j \simeq w_{1j} J_j + w_{2j} S_2 + \dots + w_{nj} S_n.$$

To compute  $\Pi_1(M)$  we first find  $\Pi_1(P'' \times T^{n-1})$  and then  $\Pi_1(M'')$ . Let  $J_j$  also denote the path  $\tau_j^{-1} J_j \tau_j$  in  $P''$ . The product space  $P'' \times T^{n-1}$  has  $\Pi_1(P'' \times T^{n-1})$  generated by  $J_0, J_1, \dots, J_r, S_2, \dots, S_n$  with commutativity relations  $S_k J_j = J_j S_k$  and  $S_k S_l = S_l S_k$ . The identification forming

$M''$  from  $P'' \times T^{n-1}$  introduces new generators and relations. The new generators are  $A_i, B_i, i = 1, \dots, h$  or  $C_i, i = 1, \dots, q$ .

$$B_h^{-1} A_h^{-1} B_h A_h \cdots B_1^{-1} A_1^{-1} B_1 A_1 = J_r \cdots J_0 \quad \text{or} \quad C_q^2 \cdots C_1^2 = J_r \cdots J_0$$

holds on  $B''$  hence also in  $M''$ . Translation in  $M''$  of the fiber  $F$  over  $b$  along the path  $A_i$  induces an isomorphism  $\chi(A_i) : H_1(F) \rightarrow H_1(F)$  which we express in terms of the basis  $S_2, \dots, S_n$  as  $\chi(A_i) = |a_{ij}|$ . Let  $\chi(A_i)S_k$  denote  $a_{2k}S_2 + \cdots + a_{nk}S_n$  written multiplicatively. Then in translating  $F$  over  $A_i, S_k \subset F$  is taken into  $\chi(A_i)S_k$ . Thus in  $M''$  there is the relation

$$S_k = A_i^{-1}(\chi(A_i)S_k)A_i \quad \text{or} \quad A_i S_k A_i^{-1} = \chi(A_i)S_k.$$

Likewise we have the corresponding relations for the  $B_i$  (or  $C_i$ ). Closing  $M''$  to  $M$  creates no new generators, but each  $\omega_j$  on  $T_j''$  gives the relation  $J_j^w S_2^s \cdots S_n^t = I$  where  $w = w_{1j}$  and the exponent of  $S_k$  is  $w_{kj}$ . Thus  $\Pi_1(M)$  can be given as follows.

**THEOREM 5.5.** *Let  $M$  be a singular fibered manifold with base a sphere with  $h$  handles (or  $q$  crosscaps) obtained from the class space with  $r$  fibers excised by shrinking*

$$\omega_j \simeq w_{1j} J_j + w_{2j} S_2 + \cdots + w_{nj} S_n$$

on  $T_j''$  for  $j = 0, 1, \dots, r$ . Then  $\Pi_1(M)$  is given by

*Generators:*

$$\begin{array}{ll} A_i, B_i, & i = 1, \dots, h \\ (\text{or } C_i, & i = 1, \dots, q) \\ J_j, & j = 0, \dots, r \\ S_k, & k = 2, \dots, n \end{array}$$

*Relations:*

$$B_h^{-1} A_h^{-1} B_h A_h \cdots B_1^{-1} A_1^{-1} B_1 A_1 = J_r \cdots J_0$$

$$(\text{or } C_q^2 \cdots C_1^2 = J_r \cdots J_0)$$

$$S_k J_j = J_j S_k, \quad S_k S_l = S_l S_k$$

$$A_i S_k A_i^{-1} = \chi(A_i)S_k, \quad B_i S_k B_i^{-1} = \chi(B_i)S_k$$

$$(\text{or } C_i S_k C_i^{-1} = \chi(C_i)S_k)$$

$$J_j^w S_2^s \cdots S_n^t = I \quad \text{for all } i, j, k, l$$

where  $w = w_{1j}$  and the exponent of  $S_k$  is  $w_{kj}$ .

**COROLLARY 5.6.**  $\Pi_1(B)$  is a quotient of  $\Pi_1(M)$ .

**COROLLARY 5.7.** If  $\Pi_1(M)$  is finite,  $B$  is the sphere or the projective plane.

## 6. Classification in special cases

By making additional assumptions on  $B$  and  $\chi$ , we may determine the homeomorphism and fiber homeomorphism classes in certain cases.

**THEOREM 6.1.** *Let  $M''$  with boundary  $T''$  and without singular fibers have an orientable base and trivial characteristic. Suppose*

$$\omega \simeq J_0 + a_2 S_2 + \cdots + a_n S_n \quad \text{and} \quad \omega' \simeq J_0 + a'_2 S_2 + \cdots + a'_n S_n$$

*on  $T''$ . Then  $M(\omega)$  is fiber preserving homeomorphic to  $M(\omega')$  with the identity induced on the base iff*

$$\gcd(a_2, \dots, a_n) = \gcd(a'_2, \dots, a'_n).$$

*Proof.*  $M(\omega)$  homeomorphic to  $M(\omega')$  implies the first homology groups are isomorphic. Computation of these groups using Theorem 5.5 with the commutativity relations added shows that  $\gcd(a_2, \dots, a_n) = \gcd(a'_2, \dots, a'_n)$  is a necessary condition. Conversely, suppose the gcd's are equal to  $d$ . Let  $|a_{ij}|$  and  $|a'_{ij}|$  be  $n \times n$  unimodular integral matrices with

$$|a_{ij}|(a_1, \dots, a_n)^t = (0, 0, \dots, 0, d) = |a'_{ij}|(a'_1, \dots, a'_n)^t.$$

Then  $|b_{ij}| = |a'_{ij}|^{-1} |a_{ij}|$  is such that

$$|b_{ij}|(a_1, \dots, a_n)^t = (a'_1, \dots, a'_n)^t.$$

Thus  $h: M'' \rightarrow M''$  defined by  $h(b \times t) = b \times |b_{ij}| t$  for  $b \in B$  and  $t \in T^{n-1}$  is a fiber preserving homeomorphism with  $h(\omega) = \omega'$ . Hence  $h$  induces a fiber preserving homeomorphism  $M(\omega) \rightarrow M(\omega')$ .

Consider all fibered  $M_0^4$  without singular fibers, with trivial characteristic and orientable base. Then each  $M_0^4$  arises from  $M_0''^4 = B'' \times T^2$  by shrinking

$$\omega \simeq J_0 + a_2 S_2 + a_3 S_3$$

on the boundary. Let  $d = \gcd(a_2, a_3)$  and suppose  $B$  is a sphere with  $h \geq 0$  handles.

**COROLLARY 6.2.** *The integers  $d$  and  $h$  are a complete system of topological invariants for the spaces  $M_0^4$ . If conjecture  $(n-1)$  is true, then  $d$  and  $h$  are a complete system of topological invariants for the spaces  $M_0^{n+1}$  with orientable base, trivial characteristic and no singular fibers.*

Note that for  $n = 2$ , this corollary gives Brody's result [1, p. 164] for Seifert's manifolds  $(O, o; h | p)$ . A similar result, allowing one singular fiber, is given by the following.

**THEOREM 6.3.** *Let  $M''$  have base a sphere with two open disks removed and no singular fibers. Suppose*

$$\begin{aligned} \omega_0 &\simeq J_0 + \sum a_i S_i, & \omega_1 &\simeq cJ_1 + \sum b_i S_i, \\ \omega'_0 &\simeq J_0 + \sum a'_i S_i, & \text{and } \omega'_1 &\cong cJ_1 + \sum b'_i S_i, \end{aligned}$$

$|c| \geq 2$  give two fiberings of the two boundary components of  $M''$ . Then  $M(\omega_0, \omega_1)$  is fiber preserving homeomorphic to  $M(\omega'_0, \omega'_1)$  iff the matrices

$$A = \begin{pmatrix} 1 & a_2 & \cdots & a_n \\ -c & b_2 & \cdots & b_n \end{pmatrix} \quad \text{and} \quad A' = \begin{pmatrix} 1 & a'_2 & \cdots & a'_n \\ -c & b'_2 & \cdots & b'_n \end{pmatrix}$$

are equivalent, i.e., there exist unimodular matrices  $X$  and  $Y$  so that  $XAY = A'$ .

*Proof.* Using Theorem 5.5 we see that  $H_1(M(\omega_0, \omega_1))$  can be given by generators  $A_i, B_i, J_0$ , and  $S_j$  with  $i = 1, \dots, h, j = 2, \dots, n$ , and the relations  $J_0 + \sum a_j S_j = 0, -cJ_0 + \sum b_j S_j = 0$ . Fox [3, Theorem 3.6] shows the torsion coefficients of  $H_1(M(\omega_0, \omega_1))$  are the invariant factors of the matrix  $A$ . Hence the two matrices must have the same invariant factors and thus are equivalent. To prove the converse, first note that  $A$  and  $A'$  are equivalent iff there exists an  $n \times n$  unimodular matrix  $Z$  with integer entries and first column  $(1, 0, \dots, 0)^t$  such that  $AZ = A'$ . See [9, p. 154–157] for the construction of  $Z$ . The base  $B''$  is an annulus which we coordinatize by  $(\rho, \alpha_1)$ ,  $1 \leq \rho \leq 2, 0 \leq \alpha_1 < 2\pi$ , with  $(1, \alpha_1) \in J_0, (2, \alpha_1) \in J_1$ . Then we define  $h: M'' \rightarrow M''$  by

$$h(\rho, \alpha_1, \dots, \alpha_n) = (\rho, Z^t(\alpha_1 \cdots \alpha_n)^t), \quad \text{for } (\alpha_2, \dots, \alpha_n) \in T^{n-1}.$$

Fibers are preserved since  $Z^t$  has first row  $(1, 0, \dots, 0)$ . Computation shows  $h(\omega_0) = \omega'_0$  and  $h(\omega_1) = \omega'_1$ . Thus  $h$  induces a fiber preserving homeomorphism  $M(\omega_0, \omega_1) \rightarrow M(\omega'_0, \omega'_1)$ .

This theorem is an extension to higher dimensions of Brody's theorem [1, Theorem 3.1] for the case  $h = 0$ .

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UNIVERSITY OF WISCONSIN  
MADISON, WISCONSIN