

# ON CONFORMAL MAPPING OF NEARLY CIRCULAR REGIONS

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**Introduction.** A Jordan curve  $C$  in the  $w$ -plane, starshaped with respect to  $w = 0$  and represented in polar coordinates by  $\rho(\theta)e^{i\theta}$ , will be said to satisfy an  $\varepsilon$ -condition ( $\varepsilon \geq 0$ ) if

$$(0.1) \quad \begin{aligned} & \text{(i) } \rho(\theta) \text{ is absolutely continuous in } \langle -\pi, +\pi \rangle \\ & \text{(ii) } \left| \frac{\rho'}{\rho}(\theta) \right| \leq \varepsilon \text{ for almost all } \theta \text{ in } \langle -\pi, +\pi \rangle. \end{aligned}$$

Sometimes the condition

$$(0.2) \quad 1 \leq \rho(\theta) \leq 1 + \varepsilon \text{ for all } \theta \text{ in } \langle -\pi, +\pi \rangle$$

will be added.

Let  $w = f(z)$  be the conformal mapping of  $|z| < 1$  to the interior of  $C$  such that  $f(0) = 0$ ,  $f'(0) > 0$ . Then one can ask: How "close" is  $f(z)$  to the identity mapping  $z$ ? This question has been studied by many authors, notably Marchenko [3] and, more recently, by Warschawski [9–14] and Specht [7]. For example, Marchenko stated:

**THEOREM A.** *If  $C$  satisfies an  $\varepsilon$ -condition and also (0.2), then*

$$(0.3) \quad |f(z) - z| \leq K \cdot \varepsilon \quad (|z| \leq 1)$$

for a universal constant  $K$ .

Furthermore, estimates for  $M_p[f(z) - z]$  and  $M_p[f'(z) - 1]$  have been given [9] where we write, for example,

$$\|f(z) - z\|_p \equiv M_p[f(z) - z] = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |f(re^{i\varphi}) - re^{i\varphi}|_{p, \partial\varphi}^{1/p} d\varphi \right\}^{1/p} \\ (p > 0; |z| = r < 1).$$

In this connection, the theorem of M. Riesz [6] on conjugate harmonic functions is of importance.

**THEOREM B.** *Let  $f(z) = u(z) + iv(z)$  be regular in  $|z| < 1$  and  $v(0) = 0$ , so that  $v(z)$  is a "normed conjugate" of  $u(z)$ . Then for every  $p > 1$*

$$(0.4) \quad M_p[v(z)] \leq A_p M_p[u(z)] \quad (|z| = r < 1),$$

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Received February 23, 1961. The preparation of this paper was supported in part by the Office of Naval Research.

where  $A_p$  is a constant that depends on  $p$  only; one can take  $A_2 = 1$ ,  $A_p \leq 2p$  ( $p \geq 2$ ) and  $A_{p'} = A_p$  for  $p^{-1} + p'^{-1} = 1$ . If the right-hand side of (0.4) is bounded in  $0 \leq r < 1$ , then  $f(re^{i\varphi})$  has radial boundary values of class  $L_p$  almost everywhere and (0.4) holds for  $r = 1$ .

In this paper we would like to make a few remarks about Marchenko's theorem and about estimates for  $M_p[f'(z) - 1]$ . First, we give a new proof of Theorem A which we hope is slightly simpler than Specht's [7] while giving only a slightly larger constant  $K$ . Next we ask whether we could replace the condition (0.1.ii) by the assumption of convexity of  $C$  and still get (0.3). A counter example is constructed in I.2. Then Specht's method of proof is used to give a localized version of Theorem A, in which the  $\varepsilon$ -condition is fulfilled only for a part of  $C$ .

In the second part of the paper we obtain new estimates for  $M_p[f'(z) - 1]$ . Their source is a sharp and best possible estimate for  $\int_0^{2\pi} [\theta'(\varphi)]^{\pm p} d\varphi$  where  $\theta(\varphi) = \arg f(e^{i\varphi})$ . It avoids the restriction  $\varepsilon < 1$  of Warschawski [9] and gives all values of  $p$  for which  $M_p[\theta'(\varphi)] < \infty$  or  $M_p[f'(z)]$  remains bounded for all  $r = |z| < 1$ .

## PART I

**I.1. New proof of Marchenko's theorem.** While Specht's approach to Theorem A depends on a suitable integral representation of  $\theta(\varphi) - \varphi$  ([7], p. 187), and Warschawski's on an estimate of  $M_2[f'(e^{i\varphi}) - 1]$  ([9], p. 566), our proof will depend on a sharp estimate of  $M_2[\theta'(\varphi) - 1]$ . We shall prove:

**THEOREM 1.** *If the Jordan curve  $C$  satisfies an  $\varepsilon$ -condition and also (0.2) for some  $\varepsilon \geq 0$ , then  $|f(z) - z| \leq K(\varepsilon) \cdot \varepsilon$  in  $|z| \leq 1$ , where  $K(\varepsilon) \leq 3.7$  for all  $\varepsilon \geq 0$  and  $\lim_{\varepsilon \rightarrow 0} K(\varepsilon) = \sqrt{1 + \pi^2/3} \sim 2.1$ .*

Specht's proof yields another function  $\bar{K}(\varepsilon)$  with  $\bar{K}(\varepsilon) \leq 3.3$  and  $\lim_{\varepsilon \rightarrow 0} \bar{K}(\varepsilon) = \sqrt{1 + (2 \log 2)^2} \sim 1.7$ . The best possible bounds are not known.

In order to prove the theorem, we need the following

**LEMMA.** *Let  $F(x)$  be absolutely continuous in  $\langle 0, 2\pi \rangle$ , periodic with  $2\pi$  and  $\int_0^{2\pi} F(x) dx = 0$ , and assume  $F'(x) \in L_2(0, 2\pi)$ . Then for all  $x$  in  $\langle 0, 2\pi \rangle$*

$$(1.1) \quad |F(x)| \leq \frac{\pi}{\sqrt{3}} \|F'(x)\|_2.$$

This lemma is also used in Friberg's thesis ([2], p. 14 ff). The constant  $\frac{\pi}{\sqrt{3}}$  cannot be improved as  $F(x) = \frac{x^2}{4} - \frac{\pi}{2}x + \frac{\pi^2}{6}$  ( $0 \leq x \leq 2\pi$ ) shows.

*Proof.* It suffices to estimate  $F(0)$ . For that we expand  $F(x)$  in its Fourier series  $F(x) = \sum_{n=1}^{\infty} (a_n \cos nx + b_n \sin nx)$  and get

$$|F(0)| = \left| \sum_1^{\infty} a_n \right| \leq \sum_1^{\infty} |a_n| \cdot n \frac{1}{n} \leq \left[ \sum_1^{\infty} n^2 a_n^2 \right]^{1/2} \left[ \sum_1^{\infty} n^{-2} \right]^{1/2}.$$

The first factor is at most  $[\sum_1^{\infty} n^2 (a_n^2 + b_n^2)]^{1/2} = \sqrt{2} \|F'(x)\|_2$ , by Parseval's equality, the second is  $\pi/\sqrt{6}$ .

*Proof of the theorem.* Putting  $f(e^{i\varphi}) = \rho(\theta) e^{i\theta}$ ,  $\theta = \theta(\varphi)$ , we first estimate  $|\theta(\varphi) - \varphi|$  if  $\varepsilon$  is assumed to be  $< 1$ . By the lemma, it is sufficient to estimate  $\|\theta'(\varphi) - 1\|_2$ . To do this, we note that  $\log(f(z)/z) = u(z) + iv(z)$  is regular in  $|z| < 1$ , continuous in  $|z| \leq 1$ , and  $v(0) = 0$  since  $f'(0) > 0$ , so that  $v$  is a normed conjugate of  $u$ :  $v = K[u]$ . On  $|z| = 1$  this gives

$$(1.2) \quad \theta(\varphi) - \varphi = K[\log \rho(\theta(\varphi))], \quad \theta(\varphi + h) - (\varphi + h) = K[\log \rho(\theta(\varphi + h))]$$

and hence

$$\frac{\theta(\varphi + h) - \theta(\varphi)}{h} - 1 = K \left[ \frac{\log \rho(\theta(\varphi + h)) - \log \rho(\theta(\varphi))}{h} \right].$$

By (0.1), for all  $\varphi$  and  $h > 0$

$$|\log \rho(\theta(\varphi + h)) - \log \rho(\theta(\varphi))| = \left| \int_{\theta(\varphi)}^{\theta(\varphi+h)} \frac{\rho'}{\rho}(t) dt \right| \leq \varepsilon |\theta(\varphi + h) - \theta(\varphi)|,$$

and therefore

$$(1.3) \quad \left\| \frac{\theta(\varphi + h) - \theta(\varphi)}{h} - 1 \right\|_2 = \|[\quad]\|_2 \leq \varepsilon \left\| \frac{\theta(\varphi + h) - \theta(\varphi)}{h} \right\|_2.$$

Now we claim that

$$(1.4) \quad \left\| \frac{\theta(\varphi + h) - \theta(\varphi)}{h} - 1 \right\|_2^2 = \left\| \frac{\theta(\varphi + h) - \theta(\varphi)}{h} \right\|_2^2 - 1.$$

To show this, we write the left-hand side as

$$\begin{aligned} \frac{1}{2\pi} \int_0^{2\pi} \left[ \frac{\theta(\varphi + h) - \theta(\varphi)}{h} - 1 \right]^2 d\varphi &= \frac{1}{2\pi} \int_0^{2\pi} \left[ \frac{\theta(\varphi + h) - \theta(\varphi)}{h} \right]^2 d\varphi + 1 \\ &\quad - 2 \frac{1}{2\pi h} \int_0^{2\pi} \{ [\theta(\varphi + h) - (\varphi + h)] - [\theta(\varphi) - \varphi] + h \} d\varphi, \end{aligned}$$

Since  $\theta(\varphi) - \varphi$  is periodic with  $2\pi$ , the last term is  $-2$ , and (1.4) follows. Together with (1.3) we get  $\|\theta(\varphi + h) - \theta(\varphi)\|_2 \leq \varepsilon^2/(1 - \varepsilon^2)$  for all  $h > 0$ . But since  $C$  is rectifiable,  $\theta(\varphi)$  is absolutely continuous [5] and hence  $\theta'(\varphi)$  exists almost everywhere, and Fatou's lemma yields now for  $h \rightarrow 0$

$$(1.5) \quad \|\theta'(\varphi) - 1\|_2 \leq \frac{\varepsilon}{\sqrt{1 - \varepsilon^2}}.$$

This, incidentally, is a best possible estimate; see Theorem 6.

Now we apply our lemma to  $F(\varphi) = \theta(\varphi) - \varphi$ , the condition  $\int_0^{2\pi} F(\varphi) d\varphi = 0$  following from (1.2), and we get for all  $\varphi$

$$(1.6) \quad |\theta(\varphi) - \varphi| \leq \frac{\pi}{\sqrt{3}} \frac{\varepsilon}{\sqrt{1 - \varepsilon^2}}.$$

From this we obtain an estimate of  $|f(z) - z|$ . An elementary consideration gives

$$(1.7) \quad |f(z) - z|^2 \leq \varepsilon^2 + (1 + \varepsilon)[\theta(\varphi) - \varphi]^2 \text{ on } |z| = 1;$$

note that  $1 \leq |f(e^{i\varphi})| \leq 1 + \varepsilon$ . Together with (1.6) we obtain

$$(1.8) \quad |f(z) - z| \leq \varepsilon \left\{ 1 + \frac{\pi^2}{3(1 - \varepsilon^2)} \right\}^{1/2}$$

for  $|z| = 1$  and hence, by the maximum principle, for  $|z| \leq 1$ ; this is valid whenever  $\varepsilon < 1$ . For all  $\varepsilon \leq 20/27$  the factor of  $\varepsilon$  is  $\leq 3.7$ ; for  $\varepsilon > 20/27$  we have

$$|f(z) - z| \leq 1 + \varepsilon + 1 = 2 + \varepsilon < \frac{54}{20} \varepsilon + \varepsilon = 3.7 \varepsilon.$$

This proves  $K(\varepsilon) \leq 3.7$  for all  $\varepsilon \geq 0$ , and (1.8) gives  $\lim_{\varepsilon \rightarrow 0} K(\varepsilon) = \sqrt{1 + \pi^2/3}$ .

Specht ([7], p. 188) obtains  $|\theta(\varphi) - \varphi| \leq \varepsilon(2 \log 2 + \varepsilon)$ . Combining this for  $\varepsilon \leq 0.9$  with  $|f(z) - z| \leq \varepsilon + |\theta(\varphi) - \varphi|$  ( $|z| = 1$ ) and taking  $|f(z) - z| \leq 2 + \varepsilon$  for  $\varepsilon > 0.9$ , one obtains  $\bar{K}(\varepsilon) \leq 3.3$  for all  $\varepsilon > 0$ ; for  $\varepsilon \rightarrow 0$  use (1.7).

**I.2. Convex regions.** Our next problem is to decide whether Marchenko's theorem remains valid if the condition  $|\rho'/\rho| \leq \varepsilon$  is replaced by the convexity of  $C$ . To study a suitable counter example, it will be convenient to use the following localization theorem.

<sup>1</sup> This also follows directly from  $\|\theta'\|_2 \leq (1 - \varepsilon^2)^{-1/2}$  ([8], p. 26) and  $\|\theta' - 1\|_2^2 = \|\theta'\|_2^2 - 1$ , but we wanted to give an independent proof of (1.5).

<sup>2</sup> The application of Warschawski's inequality ([8], p. 18) would have given a slightly larger bound for  $K$  in Theorem 1,

**THEOREM 2.** Let  $C: \rho(\theta)e^{i\theta}$  be a Jordan curve, starshaped with respect to  $w = 0$  and contained in  $1 \leq |w| \leq 1 + \varepsilon$ , and let  $w = f(z)$  with  $f(0) = 0$ ,  $f'(0) > 0$  map  $|z| < 1$  conformally to the interior of  $C$ ; put  $\theta(\varphi) = \arg f(e^{i\varphi})$ .

Then to every  $\delta$ ,  $0 < \delta < \pi$ , corresponds a constant  $D = D(\delta)$  such that

$$(1.9) \quad \left| [\theta(\varphi) - \varphi] - \frac{1}{2\pi} \int_{\varphi-\delta}^{\varphi+\delta} \log \rho(\theta(t)) \operatorname{ctg} \frac{\varphi-t}{2} dt \right| \leq D \cdot \varepsilon$$

for all  $\varphi$ , the integral being a Cauchy principal value.

*Proof.* Since  $\theta(\varphi) - \varphi$  is a normed conjugate of  $\log \rho(\theta(\varphi))$  (see (1.2)), we have

$$\theta(\varphi) - \varphi = \frac{1}{2\pi} \int_{\varphi-\pi}^{\varphi+\pi} \log \rho(\theta(t)) \operatorname{ctg} \frac{\varphi-t}{2} dt = \frac{1}{2\pi} \int_{\varphi-\delta}^{\varphi+\delta} + \frac{1}{2\pi} \left[ \int_{\varphi-\pi}^{\varphi-\delta} + \int_{\varphi+\delta}^{\varphi+\pi} \right].$$

In the last term  $|\operatorname{ctg}[(\varphi-t)/2]|$  is bounded by  $\operatorname{ctg}[\delta/2]$  while  $0 \leq \log \rho(\theta(t)) \leq \varepsilon$ . This proves (1.9) with  $D(\delta) = \operatorname{ctg}[\delta/2]$ .

Furthermore, we shall use another theorem of Marchenko ([3], p. 289) which, in the generalization by Warschawski ([10], p. 343), reads as follows. Let  $R$  be a simply connected region containing  $w = 0$  whose boundary is contained in  $1 \leq |w| \leq 1 + \varepsilon$ . Let  $\lambda$  be such that any two points in  $R$  with distance  $< \varepsilon$  may be connected in  $R$  by an arc of diameter  $< \lambda$ . If  $f(z)$  is the normalized mapping of  $|z| < 1$  to  $R$ , then

$$(1.10) \quad |f(z) - z| \leq M\varepsilon |\log \varepsilon| + M_1\lambda$$

for two absolute constants  $M$  and  $M_1$ . Ferrand ([1], p. 133) states without proof that one can take  $M = 1/\pi$  as the best possible constant; note that in her paper the boundary is assumed to be in  $1 - \varepsilon \leq |w| \leq 1 + \varepsilon$ . Obviously  $\lambda \leq 3\varepsilon$  if  $R$  is starshaped with respect to  $w = 0$ .

Now we shall study the following family of conformal maps. Let the Jordan curve  $C = C(\varepsilon)$  ( $0 < \varepsilon < 1/2$ ) be defined as follows:

$$|w| = 1 \quad \text{if } -\pi \leq \arg w \leq 0,$$

$$|w| = 1 + \varepsilon \quad \text{if } 0 < \theta_2 \leq \arg w \leq \frac{\pi}{2} + \kappa, \text{ where } 0 < \kappa < \frac{\pi}{2} \text{ and}$$

$$\sin \kappa = 1/(1 + \varepsilon),$$

and where these two circular arcs are connected by straight line segments. The angle  $\theta_2$  will also depend on  $\varepsilon$  and is subject to

$$(1.11) \quad \theta_2 \rightarrow 0 \text{ and } \frac{\theta_2}{\varepsilon |\log \varepsilon|} \rightarrow +\infty \quad (\varepsilon \rightarrow 0) .$$

Let  $w = f(z)$  map  $|z| < 1$  to the interior of  $C$  with  $f(0) = 0$ ,  $f'(0) > 0$  and let

$$f(e^{i\varphi_1}) = 1 = e^{i\theta_1}, \quad f(e^{i\varphi_2}) = (1 + \varepsilon)e^{i\theta_2} .$$

By (1.10) we have for all  $\varphi$  and  $\varepsilon$

$$(1.12) \quad |\theta(\varphi) - \varphi| \leq M\varepsilon |\log \varepsilon| + O(\varepsilon) ,$$

in particular  $\varphi_1 \rightarrow 0$ ,  $\varphi_2 \rightarrow 0$  ( $\varepsilon \rightarrow 0$ ). We therefore get from Theorem 2

$$\begin{aligned} \theta(\varphi_1) - \varphi_1 &= \frac{1}{2\pi} \int_{-\pi/2}^{+\pi/2} \log \rho(\theta(t)) \operatorname{ctg} \frac{\varphi_1 - t}{2} dt + O(\varepsilon) , \\ |\theta(\varphi_1) - \varphi_1| &= \frac{1}{2\pi} \int_{\varphi_1}^{+\pi/2} \log \rho(\theta(t)) \operatorname{ctg} \frac{t - \varphi_1}{2} dt + O(\varepsilon) > \frac{1}{2\pi} \int_{\varphi_2}^{+\pi/2} + O(\varepsilon) ; \end{aligned}$$

note that  $\rho(\theta(t)) = 1$  for  $t$  in  $(-\pi/2, \varphi_1)$ . The last integral is equal to

$$\log(1 + \varepsilon) \int_{\varphi_2}^{+\pi/2} \operatorname{ctg} \frac{t - \varphi_1}{2} dt = 2 |\log(\varphi_2 - \varphi_1)| \varepsilon + O(\varepsilon) .$$

Here we have by (1.11) and (1.12)

$$\varphi_2 - \varphi_1 = \theta_2 - \theta_1 + O(\varepsilon |\log \varepsilon|) = (\theta_2 - \theta_1)(1 + o(1)) = \theta_2(1 + o(1)) ,$$

so that altogether we obtain

$$(1.13) \quad |\theta(\varphi_1) - \varphi_1| > \frac{|\log \theta_2|}{\pi} \varepsilon + O(\varepsilon) \quad (\varepsilon \rightarrow 0) .$$

Before we specialize (1.13), we remark that for the regions considered here

$$(1.14) \quad |f(z) - z| = |\theta(\varphi) - \varphi| + O(\varepsilon) \quad (z = e^{i\varphi}) .$$

We have namely on  $|z| = 1$

$$2 \sin \frac{\theta(\varphi) - \varphi}{2} \leq |f(z) - z| \leq 2 \sin \frac{\theta(\varphi) - \varphi}{2} + (|f(z)| - 1) .$$

By (1.12),  $|\theta(\varphi) - \varphi| = O(\varepsilon |\log \varepsilon|)$  and (1.14) follows.

We now make two special choices of  $\theta_2 = \theta_2(\varepsilon)$ , always subject to (1.11). For our first choice  $\theta_2(\varepsilon) = \varepsilon |\log \varepsilon|^2$  we obtain from (1.13) and (1.14)

$$|f(z) - z| \geq \frac{\varepsilon |\log \varepsilon|}{\pi} (1 + o(1)) \quad (z = e^{i\varphi_1}; \varepsilon \rightarrow 0).$$

Thus we proved that the best constant  $M$  in (1.10) must satisfy  $M \geq 1/\pi$ , in agreement with Ferrand.

Next we choose  $\theta_2$  such that  $1 = (1 + \varepsilon) \cos \theta_2$ , which makes  $C(\varepsilon)$  convex. If we insert  $\theta_2 = \sqrt{2\varepsilon} + O(\varepsilon)$  in (1.13), we obtain

$$|f(z) - z| \geq \frac{\varepsilon |\log \varepsilon|}{2\pi} (1 + o(1)) \quad (z = e^{i\varphi_1}; \varepsilon \rightarrow 0).$$

**THEOREM 3.** *If  $C(\varepsilon)$  is the family of convex curves defined by  $\cos \theta_2(\varepsilon) = [1/1 + \varepsilon]$ , we have*

$$\max_{|z|=1} |f(z) - z| \geq \frac{\varepsilon |\log \varepsilon|}{2\pi} (1 + o(1)) \quad (\varepsilon \rightarrow 0).$$

*In particular, Theorem A does not hold if the condition  $|\rho'(\theta)/\rho(\theta)| \leq \varepsilon$  is replaced by the convexity of  $C$ .*

**I.3. Localization of the theorem of Marchenko.** In I.1 we have seen that Theorem A can be proved with a quite satisfactory constant  $K$  by a “global” method, a method involving means rather than the function itself. Nevertheless, Specht’s proof of Theorem A, directly aiming at  $|\theta(\varphi) - \varphi|$ , has besides giving a slightly better constant the advantage of being useful to obtain a localization of Theorem A, where  $|\rho'/\rho| \leq \varepsilon$  is known only for a part of  $C$ .

We begin with the following localization of Specht’s representation theorem ([7], p. 187).

**THEOREM 4.** *Let  $C : \rho(\theta)e^{i\theta}$  be a Jordan curve, starshaped with respect to  $w = 0$  which satisfies:*

- (i)  $1 \leq \rho(\theta) \leq 1 + \varepsilon$  for all  $\theta$  and some  $\varepsilon \geq 0$ ;
- (ii)  $\rho(\theta)$  has bounded difference quotients for  $\theta$  in  $\langle a, b \rangle$ .

*Then to every  $\delta > 0$  corresponds an  $\varepsilon_0 = \varepsilon_0(\delta) > 0$  and a constant  $N(\delta)$  such that for  $\varepsilon < \varepsilon_0$  we have*

$$(1.15) \quad \left| [\theta(\varphi) - \varphi] - \frac{1}{\pi} \int_a^b \log \left| \sin \frac{t(\theta) - \varphi}{2} \right| \frac{\rho'(\theta)}{\rho(\theta)} d\theta \right| \leq N(\delta) \cdot \varepsilon,$$

*for all  $\varphi$  in  $\langle a + \delta, b - \delta \rangle$  for which  $\theta'(\varphi)$  and  $\rho'(\theta(\varphi))$  exist and  $\theta'(\varphi) \neq 0$ , i.e. for almost all  $\varphi$  in  $\langle a + \delta, b - \delta \rangle$ .*

Here  $t = t(\theta)$  is the inverse function of  $\theta(t)$ , and the integral exists as a Lebesgue integral.

*Proof.* For our fixed  $\delta > 0$ , choose  $\varepsilon_0(\delta)$  such that  $\alpha = \theta^{-1}(a)$  and  $\beta = \theta^{-1}(b)$  satisfy  $|\alpha - a| < \delta/2$ ,  $|\beta - b| < \delta/2$ ; this is asserted by (1.10) or (1.12) as soon as  $\varepsilon < \varepsilon_0$ . Then we can write

$$\begin{aligned}\theta(\varphi) - \varphi &= \frac{1}{2\pi} \int_{\varphi-\pi}^{\varphi+\pi} [\log \rho(\theta(t)) - \log \rho(\theta(\varphi))] \operatorname{ctg} \frac{\varphi-t}{2} dt \\ &= \frac{1}{2\pi} \int_{\alpha}^{\beta} |\operatorname{ctg} \frac{\varphi-t}{2}| dt + O(\varepsilon); \end{aligned}$$

compare Theorem 2. Now one applies partial integration to the integral as in Specht's proof, and (1.15) follows.

Now we can prove the following localization of Theorem A.

**THEOREM 5.** *Let  $C : \rho(\theta)e^{i\theta}$  be a rectifiable Jordan curve, starshaped with respect to  $w = 0$  which satisfies*

- (i)  $1 \leq \rho(\theta) \leq 1 + \varepsilon$  for all  $\theta$  and some  $\varepsilon \geq 0$ ,
  - (ii)  $|\rho(\theta + \tau) - \rho(\theta)| \leq \rho(\theta) |\tau| \varepsilon$  for all  $\theta$  in  $\langle a, b \rangle$  and all real  $\tau$ .
- Then to every  $\delta > 0$  corresponds a constant  $K_1(\delta)$  such that*

$$(1.16) \quad |f(z) - z| \leq K_1(\delta) \cdot \varepsilon \text{ for } z = e^{i\varphi}, \varphi \text{ in } \langle a + \delta, b - \delta \rangle.$$

*Proof.* It suffices to prove this for small  $\varepsilon$ . Condition (ii) implies that we can estimate the integral term in (1.15) by

$$\begin{aligned}(1.17) \quad \varepsilon \left| \frac{1}{\pi} \int_a^b \log \left| \sin \frac{t(\theta) - \varphi}{2} \right| d\theta \right| &\leq -\varepsilon \frac{1}{\pi} \int_{\varphi-\pi}^{\varphi+\pi} \log \left| \sin \frac{t-\varphi}{2} \right| \theta'(t) dt \\ &\leq \varepsilon(2 \log 2 + \varepsilon)\end{aligned}$$

(see [7], p. 188). Hence  $|\theta(\varphi) - \varphi| \leq K_2(\delta) \cdot \varepsilon$  for almost all  $\varphi$  in  $\langle a + \delta, b - \delta \rangle$ . By continuity, this holds for all  $\varphi$  in  $\langle a + \delta, b - \delta \rangle$ , and (1.16) follows.

**REMARK.** By a simple approximation argument it is seen that the rectifiability of  $C$ , needed for the last inequality in (1.17), is not necessary for the validity of Theorem 5.

## PART II

**II. 1. Sharp estimates for the means of  $\theta'(\varphi)$ .** Our aim is now to give an estimate for  $M_p[f'(z) - 1]$  if  $C$  satisfies an  $\varepsilon$ -condition. As a first step we prove the following

**THEOREM 6.** *Let  $C : \rho(\theta)e^{i\theta}$  be a Jordan curve, starshaped with respect to  $w = 0$ , which satisfies an  $\varepsilon$ -condition for some  $\varepsilon \geq 0$ , and*



let  $w = f(z)$  with  $f(0) = 0$  map  $|z| < 1$  conformally to the interior of  $C$ . Then  $\theta(\varphi) = \arg f(e^{i\varphi})$  satisfies

$$(2.1) \quad \int_0^{2\pi} [\theta'(\varphi)]^{\pm p} d\varphi < \infty \text{ if } 0 \leq p < \frac{\pi}{2 \operatorname{arctg} \varepsilon}.$$

More precisely, we have

$$(2.2) \quad \frac{1}{2\pi} \int_0^{2\pi} [\theta'(\varphi)]^p d\varphi \leq \frac{(\cos \operatorname{arctg} \varepsilon)^p}{\cos(p \operatorname{arctg} \varepsilon)} \text{ if } 1 \leq p < \frac{\pi}{2 \operatorname{arctg} \varepsilon} \\ \leq 1 \quad \text{if } 0 \leq p \leq 1$$

and

$$(2.3) \quad \frac{1}{2\pi} \int_0^{2\pi} |\theta'(\varphi)|^{-p} d\varphi \leq \frac{1}{(\cos \operatorname{arctg} \varepsilon)^p \cos(p \operatorname{arctg} \varepsilon)} \\ \text{if } 0 \leq p < \frac{\pi}{2 \operatorname{arctg} \varepsilon}.$$

Moreover, the bounds in (2.2) and (2.3), as well as the upper bound for  $p$  in (2.1), are best possible.

REMARKS. a. It easily follows from F. Riesz's theorem ([5], p. 95), that not only  $\theta = \theta(\varphi)$  but also its inverse  $\varphi = \varphi(\theta)$  is an absolutely continuous and monotonically increasing function, whenever  $C$  satisfies an  $\varepsilon$ -condition for some  $\varepsilon \geq 0$ . The substitution  $\varphi = \varphi(\theta)$  in (2.1) is therefore permissible<sup>3</sup> and gives

$$\frac{1}{2\pi} \int_0^{2\pi} [\theta'(\varphi)]^{\pm p} d\varphi = \frac{1}{2\pi} \int_0^{2\pi} [\varphi'(\theta)]^{\pm p} d\theta \text{ if } 0 \leq p < \frac{\pi}{2 \operatorname{arctg} \varepsilon},$$

so that (2.2) and (2.3) contain also estimates for the means of  $\varphi'(\theta)$ . In particular, since  $\pi/(2 \operatorname{arctg} \varepsilon) > 1$ , (2.3) is always applicable for  $p = 1$ , and we obtain that  $\varphi'(\theta) \in L_2$  whenever  $C$  satisfies an  $\varepsilon$ -condition for some  $\varepsilon \geq 0$ . (For  $\theta'(\varphi) \in L_2$  we need  $\varepsilon < 1$ .)

b. For  $p = 2$  the bounds in (2.2) and (2.3) become  $(1 - \varepsilon^2)^{-1}$  (see [8], p. 26) and  $(1 + \varepsilon^2)/(1 - \varepsilon^2)$ .

*Proof.* We begin with three preliminary remarks. First, we have  $|\rho'(\theta)/\rho(\theta)| \leq \varepsilon$  for all  $\theta$ , for which  $\rho'(\theta)$  exists. For by (0.1)

$$|\log \rho(\theta + h) - \log \rho(\theta)| = \left| \int_{\theta}^{\theta+h} \frac{\rho'(t)}{\rho(t)} dt \right| \leq \varepsilon |h|,$$

for all  $\theta$  and  $h \neq 0$ ; this implies our proposition.

<sup>3</sup> See C. Caratheodory, Vorlesungen über reelle Funktionen, Leipzig und Berlin, 1927, pages 563 and 556.

Next, since  $C$  is rectifiable, we know by F. Riesz's theorem ([5], p. 95; see also [16], p. 157 ff.) that

(i)  $f(e^{i\varphi})$  is absolutely continuous, so that  $[df(e^{i\varphi})/de^{i\varphi}]$  exists almost everywhere and is integrable; furthermore

$$(ii) \quad f'(z) \in H_1, \text{ i.e. } \int_0^{2\pi} |f'(re^{i\varphi})| d\varphi \leq A < \infty \text{ for all } r < 1.$$

We claim that

$$(2.4) \quad f'(re^{i\varphi}) \rightarrow \frac{df(e^{i\varphi})}{de^{i\varphi}} \text{ as } r \rightarrow 1, \text{ for almost all } \varphi.$$

To prove this, let  $f'(re^{i\varphi}) \rightarrow h(e^{i\varphi})$  ( $r \rightarrow 1$ , almost all  $\varphi$ ), so that by (ii)  $h(e^{i\varphi})$  is integrable and  $\int_0^{2\pi} |f'(re^{i\varphi}) - h(e^{i\varphi})| d\varphi \rightarrow 0$  ( $r \rightarrow 1$ ). Therefore, for any fixed  $\varphi_0$ ,

$$[f(re^{i\varphi_0}) - f(r)] - r \int_0^{\varphi_0} h(e^{i\varphi}) ie^{i\varphi} d\varphi = r \int_0^{\varphi_0} [f'(re^{i\varphi}) - h(e^{i\varphi})] ie^{i\varphi} d\varphi \rightarrow 0 \quad (r \rightarrow 1)$$

that is

$$f(e^{i\varphi_0}) = f(1) + \int_0^{\varphi_0} h(e^{i\varphi}) ie^{i\varphi} d\varphi.$$

Differentiation yields  $[df(e^{i\varphi})/de^{i\varphi}] = h(e^{i\varphi})$  almost everywhere, which is (2.4). From now on we shall put  $[df(e^{i\varphi})/de^{i\varphi}] = f'(e^{i\varphi})$  whenever this exists.

Finally, since  $f' \in H_1$  and  $f' \not\equiv 0$ , one knows (see, e.g., [4], p. 56) that  $f'(e^{i\varphi})$  vanishes only on a null set.

To start the proof of theorem, let  $M$  be the set of all  $\varphi$  in  $\langle 0, 2\pi \rangle$  for which (i)  $f'(e^{i\varphi})$  exists and is  $\neq 0$  and (ii)  $\lim_{r \rightarrow 1} f'(re^{i\varphi}) = f'(e^{i\varphi})$ ; by our above remarks,  $M$  is of measure  $2\pi$ .

We consider now the function  $g(z) = zf'(z)/f(z)$ , regular and  $\neq 0$  in  $|z| < 1$ ,  $g(0) = 1$ , and put

$$F(z) = \log g(z) = \log |g(z)| + i \arg g(z) = u(z) + iv(z),$$

which is regular in  $|z| < 1$  and vanishes at  $z = 0$ . We study  $u(z)$ ,  $v(z)$  for  $|z| \rightarrow 1$ .

(a) Since

$$(2.5) \quad \frac{zf'(z)}{f(z)} = \left\{ 1 - i \frac{\rho'(\theta(\varphi))}{\rho(\theta(\varphi))} \right\} \theta'(\varphi) \quad (z = e^{i\varphi}, \varphi \in M)$$

we have  $\theta'(\varphi) \neq 0$  ( $\varphi \in M$ ) and furthermore

$$|g(re^{i\varphi})| \rightarrow \theta'(\varphi) \left| 1 + \frac{\rho'}{\rho} i \right| = \frac{\theta'(\varphi)}{\cos \beta(\theta(\varphi))} \quad (r \rightarrow 1, \varphi \in M)$$

where  $\beta(\theta)$  denotes the angle between  $\arg w = \theta$  and the normal to  $C$  at  $(\rho(\theta), \theta)$ . Hence

$$u(re^{i\varphi}) \rightarrow \log \frac{\theta'(\varphi)}{\cos \beta(\theta(\varphi))} = u(e^{i\varphi}) \quad (r \rightarrow 1, \varphi \in M).$$

(b) On the other hand we have for  $v(z)$

$$\begin{aligned} v(re^{i\varphi}) &= \arg g(re^{i\varphi}) \rightarrow \arg f'(e^{i\varphi}) + \varphi - \arg f(e^{i\varphi}) \\ &= \beta(\theta(\varphi)) = v(e^{i\varphi}) \quad (r \rightarrow 1, \varphi \in M). \end{aligned}$$

In particular,  $\beta(\theta)$  exists for  $\theta = \theta(\varphi)$ ,  $\varphi \in M$ , and hence by our first remark  $|\beta(\theta(\varphi))| \leq \arctg \varepsilon (\varphi \in M)$ .

(c) This implies that  $|v(re^{i\varphi})| \leq \arctg \varepsilon$  for  $r < 1$ . For  $v(re^{i\varphi})$  is harmonic in  $r < 1$  and clearly represents the angle between  $\arg w = \theta$  and the normal to the level curve corresponding to  $|z| = r$ , which is again starshaped. Thus  $|v(re^{i\varphi})| < \pi/2$ , and  $v(re^{i\varphi})$  can therefore be represented by its Poisson integral in  $r < 1$ . Since the boundary values are  $\leq \arctg \varepsilon$ , also  $|v(re^{i\varphi})| \leq \arctg \varepsilon (r < 1)$ .

For the main part of the proof, we apply a method of Zygmund ([15], p. 286). Let  $p > 0$  and consider

$$(2.6) \quad 1 = e^{\pm pF(0)} = \frac{1}{2\pi i} \int_{|z|=r<1} \frac{e^{\pm pF(z)}}{z} dz = \frac{1}{2\pi} \int_{|z|=r<1} e^{\pm pu(z)} \cos[pv(z)] d\varphi.$$

By (c) and our assumption on  $p$ , we have  $|pv(z)| \leq p \arctg \varepsilon < \pi/2$ , so that the integrand in the last integral is positive for all  $r < 1$  and  $\varphi$ . Recalling (a) and (b), an application of Fatou's lemma yields

$$\frac{1}{2\pi} \int_M e^{\pm pu(e^{i\varphi})} \cos[pv(e^{i\varphi})] d\varphi \leq 1$$

that is

$$(2.7) \quad \frac{1}{2\pi} \int_M [\theta'(\varphi)]^{\pm p} \frac{\cos[p\beta(\theta(\varphi))]}{[\cos \beta(\theta(\varphi))]^{\pm p}} d\varphi \leq 1.$$

Now we note that  $|\beta(\theta(\varphi))| \leq \arctg \varepsilon (\varphi \in M)$ , and the fact that

$$\frac{\cos px}{(\cos x)^p} \text{ is monotonically } \begin{array}{l} \text{decreasing in } 0 \leq x < \pi/2p \text{ if } p > 1 \\ \text{increasing in } 0 \leq x < \pi/2 \text{ if } 0 < p < 1. \end{array}$$

This proves our estimates (2.2) and (2.3)

We now show that our bounds are best possible. More precisely: *For every  $\varepsilon \geq 0$  and for every  $p$  with  $0 \leq p < \pi/(2 \arctg \varepsilon)$ , there exists a curve  $C$  such that Theorem 6 holds with equality in (2.2) and (2.3), respectively.*

For  $\varepsilon = 0$ , and for  $\varepsilon > 0$ ,  $0 \leq p \leq 1$  in (2.2), we simply let  $C$  be

$|w| = 1$ ,  $\theta'(\varphi) \equiv 1$ . For  $\varepsilon > 0$  and the other two cases in (2.2) and (2.3) we consider the curve  $C: \rho(\theta) = e^{\varepsilon|\theta|}$  ( $|\theta| \leq \pi$ ), which is composed of two pieces of logarithmic spirals that meet in  $w = 1$  and  $w = -e^{\varepsilon\pi}$ . Let  $f(z)$  be such that  $f(1) = 1$  and  $f(-1) = -e^{\varepsilon\pi}$ .

We claim that for this mapping we have equality in (2.7) whenever  $0 \leq p < \pi/(2 \operatorname{arctg} \varepsilon)$ . Since  $\operatorname{tg} \beta(\theta(\varphi)) = \pm \varepsilon$  for all  $\varphi \neq 0, \pi$ , this would immediately give equality in (2.2) and (2.3).

To prove equality in (2.7), we study the behaviour of  $f'(z)$  in  $|z| < 1$ . The curve  $C$  is composed of two analytic arcs meeting at angles  $\alpha_1\pi$  and  $\alpha_2\pi$  with  $\alpha_1 = 1 + [2/\pi] \operatorname{arctg} \varepsilon$  and  $\alpha_2 = 1 - [2/\pi] \operatorname{arctg} \varepsilon$ . By a theorem of Warschawski ([13], p. 835), we have therefore

$$(2.8) \quad \begin{aligned} f'(z)(z-1)^{-(2/\pi) \operatorname{arctg} \varepsilon} &\rightarrow C_1 \neq 0 (z \rightarrow 1) \\ \text{and } f'(z)(z+1)^{+(2/\pi) \operatorname{arctg} \varepsilon} &\rightarrow C_2 \neq 0 (z \rightarrow -1), \end{aligned}$$

for unrestricted approach within  $|z| < 1$ . Thus,

$$f'(z)(z+1)^{(2/\pi) \operatorname{arctg} \varepsilon} \text{ and } [f'(z)]^{-1}(z-1)^{(2/\pi) \operatorname{arctg} \varepsilon}$$

are continuous in  $|z| \leq 1$ , and we have for  $re^{i\varphi}$ ,  $0 \leq r < 1$ ,  $0 < |\varphi| < \pi$ ,

$$|f'(re^{i\varphi})| \leq \frac{\text{const}}{(\pi - |\varphi|)^{(2/\pi) \operatorname{arctg} \varepsilon}} \text{ and } |f'(re^{i\varphi})|^{-1} \leq \frac{\text{const}}{|\varphi|^{(2/\pi) \operatorname{arctg} \varepsilon}}.$$

Therefore, if  $2p \operatorname{arctg} \varepsilon < \pi$ ,  $\exp \{\pm pu(re^{i\varphi})\} = |g(re^{i\varphi})|^{\pm p}$  is bounded by an integrable function, uniformly for all  $r$  in  $0 \leq r < 1$ , so that Lebesgue's convergence theorem can be applied to (2.6) for  $r \rightarrow 1$ , giving equality in (2.7).

Finally, also the bound on  $p$  is best possible. For this we simply note that by (2.5) and (2.8)

$$\begin{aligned} |\theta'(\varphi)|^{-1} |\varphi|^{+(2/\pi) \operatorname{arctg} \varepsilon} &\geq D_1 > 0 \text{ near } \varphi = 0 \\ \text{and } \theta'(\varphi) \cdot (\pi - |\varphi|)^{+(2/\pi) \operatorname{arctg} \varepsilon} &\geq D_2 > 0 \end{aligned}$$

near  $\varphi = \pi$ , so that for  $p = \pi/(2 \operatorname{arctg} \varepsilon)$  the functions  $[\theta'(\varphi)]^p$  and  $[\theta'(\varphi)]^{-p}$  are not integrable.

**COROLLARY.** *Under the assumptions of Theorem 6, we have for  $0 \leq r < 1$*

$$(2.9) \quad \frac{1}{2\pi} \int_0^{2\pi} |f'(re^{i\varphi})|^{\pm p} d\varphi \leq \frac{\max [\rho(\theta)]^{\pm p}}{\cos(p \operatorname{arctg} \varepsilon)} \text{ if } 0 \leq p < \frac{\pi}{2 \operatorname{arctg} \varepsilon}^4.$$

*For  $p = \pi/(2 \operatorname{arctg} \varepsilon)$ , the left-hand side need not be uniformly bounded in  $0 \leq r < 1$ .*

<sup>4</sup> See also a similar estimate for smooth curves ([11], p. 254).

For the proof we note that by (2.6)

$$1 = \frac{1}{2\pi} \int_{|z|=r<1} |g(z)|^{\pm p} \cos [p v(z)] d\varphi.$$

Recalling  $|p v(z)| \leq p \operatorname{arctg} \varepsilon$  and that  $|z/f(z)|^{\pm p}$  assumes its minimum for  $|z| = 1$ , we arrive at (2.9).

**II.2. An estimate for  $M_p[f'(z) - 1]$ .** Theorem 6 enables us to derive an estimate for the mean of  $f'(z) - 1$ , which is small for small  $\varepsilon$ .

**THEOREM 7.** *Let  $C: \rho(\theta)e^{i\theta}$  be a Jordan curve, starshaped with respect to  $w = 0$ , which satisfies an  $\varepsilon$ -condition and which lies in the ring  $1 \leq |w| \leq 1 + \varepsilon$  for some  $\varepsilon \geq 0$ . Let  $w = f(z)$  with  $f(0) = 0$ ,  $f'(0) > 0$  map  $|z| < 1$  conformally to the interior of  $C$ . Then we have for all  $r$  with  $0 \leq r < 1$*

$$(2.10) \quad M_p[f'(re^{i\varphi}) - 1] \leq \left\{ (1 + \varepsilon) \frac{\cos \operatorname{arctg} \varepsilon}{[\cos (p \operatorname{arctg} \varepsilon)]^{1/p}} + e^\varepsilon \right\} (1 + A_p) \cdot \varepsilon$$

$$\text{if } 1 < p < \frac{\pi}{2 \operatorname{arctg} \varepsilon},$$

where  $A_p$  denotes the constant in Riesz's Theorem B. The upper bound for  $p$  is best possible<sup>5</sup>.

This improves a theorem of Warschawski ([9], p. 566) with respect to the restrictions on  $\varepsilon$  and  $p$ .

*Proof.* We first estimate  $M_p[\{zf'(z)/f(z)\} - 1]$  (see [9], p. 565) and write by (2.5)

$$\frac{zf'(z)}{f(z)} - 1 = (\theta'(\varphi) - 1) - i \frac{\rho'(\theta)}{\rho(\theta)} \theta'(\varphi) \quad (z = e^{i\varphi}).$$

Since the left-hand side vanishes at  $z = 0$ , Riesz's theorem gives

$$M_p[\theta'(\varphi) - 1] \leq A_p M_p \left[ \frac{\rho'(\theta(\varphi))}{\rho(\theta(\varphi))} \theta'(\varphi) \right] \leq A_p M_p[\theta'(\varphi)] \cdot \varepsilon.$$

With (2.2) and Minkowski's inequality we obtain

$$(2.11) \quad M_p \left[ \frac{e^{i\varphi} f'(e^{i\varphi})}{f(e^{i\varphi})} - 1 \right] \leq (1 + A_p) M_p[\theta'(\varphi)] \cdot \varepsilon$$

$$\leq (1 + A_p) \frac{\cos \operatorname{arctg} \varepsilon}{[\cos (p \operatorname{arctg} \varepsilon)]^{1/p}} \cdot \varepsilon.$$

<sup>5</sup> For  $0 < p \leq 1$  an estimate can be obtained by an application of Hölder's inequality.

Next, we use the estimate

$$M_p[f'(z) - 1] \leq (1 + \varepsilon)M_p\left[\frac{zf'(z)}{f(z)} - 1\right] + M_p\left[\frac{f(z)}{z} - 1\right] \quad (|z| = r < 1),$$

where the last term is  $\leq (1 + A_p)e^{\varepsilon} \varepsilon$ ; see [9], p. 564-566. Combining this with (2.11) and using the monotonicity of  $M_p[\{zf'(z)/f(z)\} - 1]$  with respect to  $r$ , we arrive at (2.10).

For  $p = \pi/(2 \arctg \varepsilon)$ ,  $M_p[f'(re^{i\varphi}) - 1]$  need not be uniformly bounded in  $0 \leq r < 1$ . To see this, one has to modify our example in II.1 slightly in an obvious way so that it satisfies also  $1 \leq \rho(\theta) \leq 1 + \varepsilon$ ; note that only the angle  $\pi\alpha_2$  is of importance.

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