A PERSISTENT LOCAL MAXIMUM OF THE *p*TH POWER DEVIATION ON AN INTERVAL, *p*<1

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The deviation of the polynomial $p_0(x)\equiv c$ from the given function $f(x)\equiv |x|^{1/\alpha}sg\ x,\ p+\alpha>2,\ w(x)$ nonnegative, bounded, and integrable but not a null function, is defined as $\delta(c)\equiv\int_{-1}^1 w(x)|c-f(x)|^p dx$, whence $\delta''(0)<0$. Thus the error function c-f(x) has a strong oscillation in the interval [-1,1], yet $\delta(c)$ has a local maximum at c=0 provided $\delta'(0)=0$; this is true for every (allowable) choice of w(x). For suitably chosen w(x), the deviation $\delta(c)$ has a global maximum at c=0, $|c|\leq 1$.

Least p^{th} power approximating polynomials of degree n on an interval are known to require (n+1)-fold strong oscillation of the error function (if the latter is not identically zero) in the case p > 1, and to require either (n+1)-fold strong oscillation of the error function or its vanishing on a set of positive measure in the case p = 1; see Jackson [2, 3], Hoel [1], Walsh and Motzkin [5]. Conversely, if a polynomial with those characteristics is given, there exists a positive continuous weight function such that the polynomial is a least p^{th} power approximator [5]. The facts [1, 6] are quite different in the case 0 , and the object of the present note is to exhibit inthat case an approximating polynomial $p_0(x) \equiv c$ of degree zero where strong oscillation occurs yet so also does a local maximum of the deviation (as a function of c), for a large class of weight functions. In § 5 we show that global maxima exist, in § 6 we give some special but illuminating examples, and present this contrasting behavior for various values of p in §7 below.

1. Results. We proceed to prove

Theorem 1. Suppose $f(x) \equiv |x|^{1/\alpha} sgx$, $0 , <math>p + \alpha > 2$, $p_0(x) \equiv c$, $\eta > 0$, w(x) nonnegative bounded and integrable, but not a null function, and define the deviation as

(1)
$$\delta(c) \equiv \int_{-n^{\alpha}}^{\eta^{\alpha}} w(x) |c - f(x)|^{p} dx, \, \eta > 0.$$

Then we have for $-\eta < c < \eta$

$$\delta'(c) = p \int_{-\eta^{lpha}}^{\eta^{lpha}} w(x) |c - f(x)|^{p-1} sg [c - f(x)] dx$$
,

(3)
$$\delta''(0) = p(p-1) \int_{-\eta^{\alpha}}^{\eta^{\alpha}} w(x) |x|^{(p-2)/\alpha} dx.$$

THEOREM 2. With the hypothesis of Theorem 1, although the error function f(x) - c, $-\eta < c < \eta$ has a strong oscillation in the interval $[-\eta^{\alpha}, \eta^{\alpha}]$, the deviation $\delta(c)$ has a local MAXIMUM at c = 0 provided $\delta'(0) = 0$; this is true for every (allowable) choice of w(x).

2. First derivative of deviation. The detailed study of $\delta(c)$ and its derivatives involves improper integrals, which need to be treated with care. The transformation $z=x^{1/\alpha},\,x=z^\alpha,\,dx=\alpha z^{\alpha-1}dz$, gives (c>0)

$$egin{aligned} \hat{o}(c)/lpha &\equiv \int_0^{\eta} w(-z^lpha)(c+z)^p z^{lpha-1} dz \ &+ \int_0^c w(z^lpha)(c-z)^p z^{lpha-1} dz \ &+ \int_c^{\eta} w(z^lpha)(z-c)^p z^{lpha-1} dz \ , \end{aligned}$$

so by Leibnitz's rule and elementary inequalities, which the reader can supply by methods used below,

$$egin{align} \delta'(c)/(plpha) &= \int_0^\eta \! w (-z^lpha) (c+z)^{p-1} z^{lpha-1} dz \ &+ \int_0^c \! w (z^lpha) (c-z)^{p-1} z^{lpha-1} dz \ &- \int_c^\eta \! w (z^lpha) (z-c)^{p-1} z^{lpha-1} dz \ , \end{aligned}$$

from which (2) follows.

The relation

$$\delta'(0^+)/(plpha)=\int_0^\eta\!w(-z^lpha)z^{p+lpha-2}dz-\int_0^\eta\!w(z^lpha)z^{p+lpha-2}dz$$

can be similarly proved, and indeed follows from (4), so we have $\delta'(0^-) = \delta'(0^+) = \delta'(0)$.

3. Second derivative. We proceed to compute $\delta''(0)$ from (4), and denote by $J_k(c)$ the k^{th} integral in the second member of (4), c>0. We have

$$\frac{J_2(c)-J_2(0)}{c}=\frac{1}{c}\int_0^c w(z^{\alpha})(c-z)^{p-1}dz.$$

Here we make the substitution y = z/c, z = cy, dz = cdy. The second member of (5) becomes

$$c^{p+lpha-2}\!\!\int_0^1\!\!w(c^lpha y^lpha)(1-y)^{p-1}\!y^{lpha-1}\!dy$$
 ,

which approaches zero with c, whence

$$J_2'(0^+)=0$$
 .

We now consider for $c \downarrow 0$

$$\frac{J_1(c)-J_1(0)}{c}=\int_0^{\eta}w(-z^{\alpha})\frac{(z+c)^{p-1}-z^{p-1}}{c}z^{\alpha-1}dz.$$

The second factor in the integrand can be expressed $(0 < z \le \eta)$

$$rac{(z+c)^{p-1}-z^{p-1}}{c}=(p-1)(z+ heta c)^{p-2}$$
 ,

so the integral in (7) lies between the two integrals

$$(p-1)\!\!\int_0^\eta\!\!w(-z^lpha)(z+c)^{p-2}z^{lpha-1}dz$$
 , (8) $(p-1)\!\!\int_0^\eta\!\!w(-z^lpha)z^{lpha+p-3}dz$;

the first integrand in (8) increases monotonically as $c \downarrow 0$ and approaches the second integrand uniformly except in the neighborhood of the point z = 0. The second integral converges and

$$\int_0^{c_0} w(-z^{\alpha}) z^{\alpha+p-3} dz$$

can be made as small as desired merely by choosing c_0 sufficiently small, $0 < c_0 < \eta$. Thus the first integral in (8) also converges, and

$$\int_0^{c_0} w(-z^\alpha)(z+c)^{p-2}z^{\alpha-1}dz$$

is less than the corresponding integral with c=0. The first integral in (8) with the lower limit of integration replaced by c_0 approaches the second integral in (8) with the lower limit replaced by c_0 , so we have

$$J_1'(0^+) = (p-1) \int_0^{\eta} w(-z^{lpha}) z^{lpha+p-3} dz$$
 .

It remains to study $J_3(c)$ as $c \downarrow 0$:

(10)
$$\frac{J_3(c)-J_3(0)}{c} = \int_c^{\eta} w(z^{\alpha}) \frac{(z-c)^{p-1}-z^{p-1}}{c} z^{\alpha-1} dz \\ -\frac{1}{c} \int_0^c w(z^{\alpha}) z^{p+\alpha-2} dz .$$

The second term on the right can be compared to a constant multiple of

$$-rac{1}{c}\!\int_{\scriptscriptstyle 0}^{c}\!z^{\scriptscriptstyle p+lpha-2}\!dz=-rac{c^{\scriptscriptstyle p+lpha-2}}{p+lpha-1}$$
 ,

which approaches zero with c. The first integral in (10) can be treated somewhat like the integral in (7); we choose c_0 fixed but as yet undetermined, $0 < c < c_0 < \eta$, and notice that

$$(11) \qquad \qquad \int_{c_0}^{\eta} w(z^{\alpha}) \bigg[\frac{(z-c)^{p-1}-z^{p-1}}{c} + (p-1)z^{p-2} \bigg] z^{\alpha-1} dz$$

approaches zero with c, since by the law of the mean the integrand approaches zero uniformly in $[c_0, \eta]$. We isolate the integral

(12)
$$(p-1) \int_{a}^{c_0} w(z^{\alpha}) z^{p+\alpha-3} dz ,$$

which can be made as small as desired by suitable choice of c_0 , uniformly in c (in particular we may choose c = 0). It remains to treat

(13)
$$\int_{c}^{c_0} w(z^{\alpha}) \left[\frac{(z-c)^{p-1}-z^{p-1}}{c} + (p-1)z^{p-2} \right] z^{\alpha-1} dz .$$

The contribution of the last term in brackets to (13) is (12), so that term can be ignored. In continuing the study of (13) we suppress the factor $w(z^{\alpha})$, which is not important in proving that (13) can be made as small as desired by suitable choice of c_0 and then of c.

In the modified (13) we set y = z/c, z = cy, dz = cdy, and obtain

(14)
$$c^{p+\alpha-2} \int_{1}^{c_0/c} y^{\alpha-1} [(y-1)^{p-1} - y^{p-1}] dy ,$$

which for sufficiently small c equals $c^{p+\alpha-2}$ times the corresponding integral over the interval [2, 3] (which approaches zero with c) plus

$$egin{align*} c^{p+lpha-2} \int_{2}^{c_0/c} y^{p+lpha-2} [(1-1/y)^{p-1}-1] dy \ &= c^{p+lpha-2} \int_{2}^{c_0/c} y^{p+lpha-2} igg[-rac{p-1}{y} + rac{(p-1)(p-2)}{2y^2} - \cdots igg] dy \ &= c^{p+lpha-2} igg[-(p-1)rac{(c_0/c)^{p+lpha-2}-2^{p+lpha-2}}{p+lpha-2} \ &+ rac{(p-1)(p-2)}{2} rac{(c_0/c)^{p+lpha-3}-2^{p+lpha-3}}{p+lpha-3} - \cdots igg]. \end{split}$$

This last expression (which requires slight modification if $p + \alpha$ is an integer) can be written (K_0 is a numerical constant)

$$-rac{(p-1)c_0^{p+lpha-2}}{p+lpha-2}+rac{(p-1)(p-2)}{2(p+lpha-3)}cc_0^{p+lpha-3}-\cdots+c^{p+lpha-2}K_0$$
 ,

and can be made numerically as small as desired by choosing c_0 so that the first term is, say, less than a given $\varepsilon(>0)$, then choosing c so small that the entire expression is numerically less than ε .

Consequently (14), (13), (12), and (11) can each be made as small as desired by suitable choice of c_0 and then of c, so we have

(15)
$$J_3'(0^+) = -(p-1) \int_0^{\eta} w(z^{\alpha}) z^{p+\alpha-3} dz.$$

Combining (4), (6), (9), and (15) now yields

$$egin{align} \delta''(0^+) &= p(p-1)lpha \! \int_0^{\eta}\! w(-z^lpha)z^{lpha+p-3}dz \ &+ p(p-1)lpha \! \int_0^{\eta}\! w(z^lpha)z^{lpha+p-3}dz \ , \end{split}$$

which is equivalent to (3).

To be sure, we have computed merely $\delta''(0^+)$, but by the symmetry of f(x) and of the notation, the value of $\delta''(0^-)$ is the same, so $\delta''(0^-) = \delta''(0^+) = \delta''(0)$.

4. Proof of Theorem 2. It is clear that $\delta(c)$ can have neither a maximum nor a minimum at c=0 unless $\delta'(0)=0$. If $\delta'(0)=0$ it follows from (3) that $\delta'(c)<0$ for small positive c, and $\delta'(c)>0$ for small negative c. By the law of the mean we conclude that $\delta(c)$ can never have a minimum at c=0, and that whenever $\delta'(0)=0$, $\delta(c)$ has a strong local maximum at c=0, whatever may be the bounded integrable weight function $w(x)(\not\equiv 0)$. This conclusion is obtained despite the strong oscillation of the error function $f(x)-c\equiv f(x)$.

In particular the condition $\delta'(0) = 0$ is satisfied here whenever the weight function w(x) is an even function; $\delta(c)$ has a strong local maximum at c = 0.

5. Global maxima. Theorems 1 and 2 illustrate the existence of local maxima of $\delta(c)$ at c=0 but do not show the possibility of a global maximum. We shall prove

THEOREM 3. For every $p, 0 , and for every <math>\alpha$ with $p + \alpha > 2$, there exists an even function w(x) positive at every point of [-1, 1], integrable and bounded there, such that the deviation

(16)
$$\delta(c) \equiv \int_{-1}^{1} w(x) |c - f(x)|^{p} dx, f(x) \equiv |x|^{1/\alpha} sg x,$$

has a proper global maximum $\delta(0)$ in the interval [-1,1]

As a preliminary remark, we note the inequality (0

$$(17) |1 - X|^p + |1 + X|^p < 2|X|^p, \text{ for } |X| \ge 1.$$

This inequality expresses the fact that for the concave curve $y = x^p$, $x \ge 0$, the chord joining the points whose abscissas are |X| + 1 and |X| - 1 passes below the point of the curve whose abscissa is |X|. Since the strong inequality is valid for |X| = 1, it is also valid for all X such that $|X| \ge x_1$, where x_1 is suitably chosen, $0 < x_1 < 1$.

If $c \neq 0$ we can now write for $|X| \geq |c|x_1$

$$(18) \qquad |c-X|^p + |c+X|^p = |c|^p \left[\left| 1 - \frac{X}{c} \right|^p + \left| 1 + \frac{X}{c} \right|^p \right]$$

$$< 2|c|^p \left| \frac{X}{c} \right|^p = 2|X|^p.$$

The validity of (18) is assured if we have

$$x_1 \leq |X| \leq 1, 0 < |c| \leq 1,$$

for these inequalities imply $|X| \ge x_1 \ge |c|x_1$; if c = 0, but for no other value of $c, |c| \le 1$, the inequality (18) between the extreme members becomes an equality.

We choose now the weight function $w_1(x)$ as any nonnegative, integrable, bounded, even, nonnull function on the intervals $x_1^{\alpha} \leq |x| \leq 1$, and zero elsewhere on $-1 \leq x \leq 1$. For the function f(x) in (16) the corresponding deviation $\delta_1(c)$ is

$$\delta_1(c) \equiv \int_{x_1^{lpha}}^1 w_1(x) [|c-x^{1/lpha}|^p + |c+x^{1/lpha}|^p] dx$$
 ,

whence

$$(20) \qquad \delta_{\scriptscriptstyle 1}(c) \, - \, \delta_{\scriptscriptstyle 1}(0) \equiv \int_{x_{\scriptscriptstyle 1}^a}^{1} \!\! w_{\scriptscriptstyle 1}(x) [\mid c \, - \, x^{\scriptscriptstyle 1/lpha} \mid^p \, + \mid c \, + \, x^{\scriptscriptstyle 1/lpha} \mid^p \, - \, 2 x^{\scriptstyle p/lpha}] dx \; .$$

We identify the first member of (18) minus the last member with the bracket in (20), where $X = x^{1/\alpha}$, and note that for $x_1^{\alpha} \leq x \leq 1$ the bracket is negative for $0 < |c| \leq 1$. Thus $\delta_1(c)$ has a global maximum at c = 0. However, the weight function $w_1(x)$ is not positive at every point of $-1 \leq x \leq 1$.

We continue to envisage f(x) as in (16), but now with the weight function $w_2(x) \equiv 1$ in $-1 \leq x \leq 1$, $p + \alpha > 2$, and with the deviation denoted by $\delta_2(c)$. It is shown in [4] under these conditions that $\delta_2(c)$ has at c = 0 a local maximum, and $\delta_2(c) - \delta_2(0) \sim A|c|^{p+\alpha}$ as $|c| \to 0$, A > 0. On the other hand, for $x \geq x_1^{\alpha}$ and for $c \downarrow 0$, by the binomial

theorem we find uniformly in $x_1^{\alpha} \leq x \leq 1$

$$(x^{1/\alpha}-c)^p+(x^{1/\alpha}+c)^p-2x^{p/\alpha}\sim p(p-1)c^2x^{(p-2)/\alpha},$$

whence $\delta_1(c) - \delta_1(0) \sim Bc^2$, B < 0.

We now define the weight function $w(x) \equiv w_1(x) + \varepsilon w_2(x)$, where $\varepsilon(>0)$ is to be determined, and denote the corresponding deviation by $\delta(c) = \delta_1(c) + \varepsilon \delta_2(c)$. For $c \downarrow 0$ there follows $\delta(c) - \delta(0) \sim Bc^2 + \varepsilon A|c|^{p+\alpha}$, so for sufficiently small ε we have $\delta(c) - \delta(0) < 0$ throughout some deleted neighborhood $0 < |c| \le \beta, \beta > 0$; it will be noted that a change to a smaller ε allows β to be increased if desired. Choose ε also less than

$$\min\left[\frac{-\left[\delta_1(c)-\delta_1(0)\right]}{\delta_2(c)-\delta_2(0)}, c \text{ on } E_0\right],$$

where E_0 is the subset of $\beta \leq |c| \leq 1$ on which $\delta_2(c) - \delta_2(0) > 0$, provided E_0 is not empty; such a (positive) minimum exists by the continuity of $\delta_1(c)$ and $\delta_2(c)$ in $|c| \leq 1$. Thus for c on E_0

$$\varepsilon < \frac{-\left[\delta_1(c) - \delta_1(0)\right]}{\delta_2(c) - \delta_2(0)}$$
,

$$\delta_1(c) - \delta_1(0) + \varepsilon[\delta_2(c) - \delta_2(0)] < 0, \delta(c) - \delta(0) < 0$$
.

However, on the complement of E_0 with respect to $\beta \le |c| \le 1$, we have $\delta_2(c) - \delta_2(0) \le 0$, $\delta(c) - \delta(0) < 0$, so Theorem 3 is established.

It may be noted that $w_1(x)$ can be chosen continuous in [-1, 1], in which case w(x) is continuous there. We also note that Theorem 3 remains valid if $p + \alpha = 2$.

6. Finite sets versus intervals, $0 . We add several remarks relative to hypotheses analogous to, but different from, the hypothesis of Theorem 1, still with <math>0 . If we modify the hypothesis of Theorem 1 by choosing <math>f(x) \equiv \lambda x$, $\lambda > 0$, and $w(x) \equiv 1$, we have

$$\delta(c) \equiv \int_{-\eta}^{\eta} |c - \lambda x|^p dx \equiv \frac{1}{\lambda} \int_{-\lambda \eta}^{\lambda \eta} |c - x'|^p dx'$$
,

so to study the behavior of $\delta'(c)$ it is no essential loss of generality to choose $\lambda = 1$. There follow the equations $(0 < c < \eta)$

$$\delta(c) \equiv \int_{_0}^{\eta} (c+x)^p dx + \int_{_0}^{c} (c-x)^p dx + \int_{_c}^{\eta} (x-c)^p dx \; , \ (p+1)\delta(c) \equiv (c+\eta)^{p+1} + (\eta-c)^{p+1} \; , \ \delta'(c) \equiv (c+\eta)^p - (\eta-c)^p \; ,$$

which approaches zero with c,

$$\delta''(c)/p \equiv (c + \eta)^{p-1} + (\eta - c)^{p-1};$$

we have $\delta(0^+)=\delta(0^-)=0$, $\delta''(0^+)=\delta''(0^-)=\delta''(0)>0$, so $\delta(c)$ has a strong minimum at c=0, in great contrast to the situation of Theorems 1 and 2. Indeed, it can be shown [4] that $\delta(c)$ has a minimum at c=0 for approximation on $[-\eta,\eta]$ to $\lambda |x|^{1/\alpha}$ for every $\alpha \leq 1, \lambda > 0$.

It is illuminating to compare Theorems 1 and 2 with least p^{th} power approximation $(0 to <math>f(x) \equiv x$ not on an interval but on the finite set $S: \{-1, 1\}$ by a polynomial $p_0(x) \equiv c$ of degree 0, $-1 \leq c \leq 1$, with weights w_1 and w_2 . The deviation is

$$\delta(c) \equiv w_2(1-c)^p + w_1(c+1)^p$$
,

which has a maximum for c=0 if $\delta'(c)=0$, as in Theorems 1 and 2; the graph of $\delta(c)$ is concave downward in $-1 \le c \le 1$.

Likewise for least p^{th} power approximation $(0 to the discontinuous function <math>f(x) \equiv sg\ x$ on the interval $-1 \le x \le 1$ by a polynomial $p_0(x) \equiv c$ of degree zero, $-1 \le c \le 1$, the deviation is

$$\delta(c) \equiv \int_{-1}^{0} (c+1)^{p} dx + \int_{0}^{1} (1-c)^{p} dx \equiv (1-c)^{p} + (c+1)^{p}$$

as before; $\delta(c)$ has again a maximum for c=0 and its graph is concave downward in $-1 \le c \le 1$. The minimum of $\delta(c)$ occurs for $c=\pm 1$.

In sum, for approximation on a finite set S, 0 , strong oscillation of the function <math>f(x) - c may lead to a local maximum of $\hat{\sigma}(c)$ when $\hat{\sigma}'(c) = 0$, as in the example above; but the function

$$\delta(c) \equiv \sum w_k |c - f(x_k)|^p, w_k > 0$$

is continuous and piecewise concave downward, so its local and global minima must occur in values of c equal to some $f(x_k)$; such a minimum involves weak oscillation and is independent of strong oscillation. On the other hand, for approximation on an interval E, strong oscillation of f(x) - c with $\delta'(c) = 0$ may lead to a local maximum of $\delta(c)$ as in Theorems 1 and 2, and [4] weak oscillation as with $f(x) \equiv |x|^{1/\alpha}$, $\alpha \le 1$, on $-1 \le x \le 1$ may lead to a global minimum; it is no accident that the cases $\alpha > 1$ and $\alpha < 1$ are respectively characterized by vertical and horizontal tangents of f(x) at x = 0, corresponding with $\delta'(0) = 0$ to maxima and minima of S(c).

7. Summary of results, arbitrary p. We summarize some of the known results on approximation for various values of p, on a

real finite point set S or on a closed interval E, for comparison with each other and with Theorems 1 and 2. In each case we approximate by a polynomial $p_n(x)$ of degree n, either to a continuous function f(x) on E, or to a function on a finite set $S:\{x_k\}$ consisting of more than n points. We compare oscillation of the error $f(x) - p_n(x)$ on the one hand to the existence of maxima and minima of the deviation

$$\delta[p_n(x)] = \int_E w(x) |f(x) - p_n(x)|^p dx$$
 or $\delta[p_n(x)] = \sum_k w_k |f(x_k) - p_n(x_n)|^p$,

where w(x) is nonnegative and not a null function, and we assume $\delta[p_n(x)]$ to be different from zero for all $p_n(x)$.

For p > 1, $\delta[p_n(x)]$ is never a local maximum; every local minimum is also a strong global minimum, and the error $f(x) - p_n(x)$ has at least n+1 strong oscillations. Conversely, if the error has n+1 strong oscillations, then there exists a w(x) (continuous for approximation on E) such that $\delta[p_n(x)]$ is a strong global minimum.

For p=1, $\delta[p_n(x)]$ has never a strong local maximum; every local minimum (which can be a weak minimum for approximation on S) is also a global minimum. For approximation on E and every minimum of δ , the error has either at least n+1 strong oscillations or vanishes identically on a subset of E of positive measure; conversely, if the error $f(x) - p_n(x)$ has either n+1 strong oscillations or vanishes on a subset of E of positive measure, $\delta[p_n(x)]$ has a local minimum for suitable continuous weight. For approximation on S, the error has at least n+1 weak oscillations on S if the error has a local minimum; conversely, if the error has at least n+1 weak oscillations, the deviation has a local minimum for suitable weights.

For 0 and approximation on <math>S, if $\delta[p_n(x)]$ is minimum, then $p_n(x)$ coincides with f(x) in at least n+1 points of S; conversely, if $p_n(x)$ coincides with f(x) in at least n+1 points of f(x), $\delta[p_n(x)]$ is a minimum for suitable weights. For 0 and approximation on <math>E, coincidence of $p_n(x)$ with f(x) in n+1 points of E is neither necessary nor sufficient that $\delta[p_n(x)]$ be a minimum, and even strong oscillation is neither necessary nor sufficient. Indeed, with strong oscillation and n=0 it may occur (Theorem 2) that $\delta[p_n(x)]$ has a strong maximum.

It is clear that the deviation $\delta[p_n(x)]$ varies both with changes in $p_n(x)$ and the weight, and the deviation may also have a maximum or minimum which varies with those changes. In particular, Theorem 2 indicates stability of a maximum of $\delta(c)$ with respect to changes in w(x) that preserve the relation $\delta'(0) = 0$. The writers plan to discuss stability in more detail on another occasion.

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