CLOSE-TO-STARLIKE HOLOMORPHIC FUNCTIONS OF SEVERAL VARIABLES

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Let X be a finite dimensional complex normed linear space with unit ball $B = \{x \in X : ||x|| < 1\}$. In this paper the notion of a close-to-starlike holomorphic mapping from B into X is defined. The definition is a direct generalization of W. Kaplan's notion of one dimensional close-to-convex functions. It is shown that close-to-starlike mappings of B into X are univalent and these mappings are given an alternate characterization in terms of subordination chains.

1. Introduction. In 1952 [2] W. Kaplan defined the class of close-to-convex functions: $f(z) = z + \cdots$ analytic and

(1.1)
$$Re \{f'(z)/\phi'(z)\} > 0$$

in |z| < 1, for some univalent convex function $\phi(z) = az + \cdots (|z| < 1)$. Subsequent interest in this class stems from Kaplan's observation that (1.1) implies f(z) is univalent in |z| < 1. In this paper we present the natural generalization of close-to-convex vector valued functions in finite dimensional complex spaces. This is a continuation of recent work on vector valued holomorphic starlike and convex mappings [7], [8]. We use the notions of subordination chains of holomorphic maps in C^n and the generalized Loewner differential equation [5] to elucidate the geometry of the mappings.

2. Statement of main results. Let X be a finite dimensional complex normed linear space with dual X^* and $\mathscr{L}(X)$ the set of continuous linear operators from X into X. We let $\mathscr{H}(B)$ denote the set of functions f(x) that are holomorphic in the unit ball $B = \{x \in X: ||x|| < 1\}$ with values in X. The notation $f(x) = ax + \cdots, a \in C$, for $f \in \mathscr{H}(B)$ indicates that Df(0) = aI where I is the identity in $\mathscr{L}(X)$.

For $0 \neq x \in X$ we define

$$T(x) = \{x^* \in X^* \colon x^*(x) = ||x|| \text{ and } ||x^*|| = 1\}$$
,

and note that T(x) is nonempty by the Hahn-Banach theorem. We let \mathscr{M} denote the class of functions $h(x) = x + \cdots \in \mathscr{H}(B)$ such that $\operatorname{Re} x^*(h(x)) > 0$ for each $x \in B - \{0\}$ and $x^* \in T(x)$. A mapping g(x) = $x + \cdots \in \mathscr{H}(B)$ is called starlike if g is univalent in B and $tg(B) \subset$ g(B) for all $0 \leq t \leq 1$. DEFINITION 1. A mapping $f(x) = x + \cdots \in \mathscr{H}(B)$ is said to be *close-to-starlike* if there exist $h(x) \in \mathscr{M}$ and a starlike map $g \in \mathscr{H}(B)$ such that

(2.1)
$$Df(x)(h(x)) = g(x), x \in B$$
.

REMARK. By this definition, close-to-starlike maps in X are a generalization of Kaplan's close-to-convex functions in C. Indeed when X = C a function $h \in \mathcal{M}$ has the form h(z) = zP(z) where

$$P(0) = 1, Re P(z) > 0(|z| < 1)$$

(see [8, p. 576]) and (2.1) is equivalent to the condition (1.1) for the convex function $\phi(z) = \int_{0}^{z} g(x)/x \, dx$. By using the criteria for starlikeness and convexity of vector valued maps established in [7] one can easily construct examples showing that Alexander's theorem ($\phi(z)$ is convex if and only if $g(z) = z\phi'(z)$ is starlike) fails in spaces of dimension greater than one. Hence the name close-to-starlike seems most natural in our work.

A mapping $v(x) \in \mathscr{H}(B)$ is called a Schwarz function if $||v(x)|| \leq ||x||$ for all $x \in B$. A subordination chain ([5], [6]) is a function f(x, t) from $B \times [0, \infty)$ into X such that for each $t \geq 0$, $f_t(x) = f(x, t) = e^t x + \cdots$ is in $\mathscr{H}(B)$ and there exist Schwarz functions v(x, s, t) such that

(2.2)
$$f(x, s) = f(v(x, s, t), t), 0 \leq s \leq t, x \in B$$
,

for all $0 \le s \le t < \infty$. A univalent subordination chain is a subordination chain f(x, t) such that for each $t \ge 0$, $f_t(x)$ is univalent in B.

THEOREM 1. If $f(x) = x + \cdots \in \mathscr{H}(B)$ is locally biholomorphic in B and close-to-starlike relative to the starlike function $g(x) = x + \cdots$ then

$$(2.3) F(x, t) = f(x) + (e^t - 1)g(x), 0 \le t, x \in B$$

is a univalent subordination chain. Hence f(x) is univalent in B.

We shall give the proof of Theorem 1 in §3 below. The subordination chain characterization (2.3) yields the linear accessibility of the images of the balls $B_r = \{x \in X: ||x|| < r\}$ (0 < r < 1) (compare [1] and [3]).

COROLLARY 1. If f and g satisfy the hypotheses of Theorem 1 then for each r, 0 < r < 1, the complement (in X) of $f(B_r)$ is the union of nonintersecting rays. *Proof.* We assume that Theorem 1 holds and therefore the rays

$$L(t: x, r) = \{f(x) + tg(x): t \ge 0 \ x \text{ fixed}, ||x|| = r\}$$

are clearly disjoint and fill up the complement of $f(B_r)$.

THEOREM 2. Suppose $f(x) = x + \cdots$ is holomorphic in B and that $g(x) = x + \cdots \in \mathscr{H}(B)$ is starlike. If

(2.3)
$$F(x, t) = f(x) + (e^t - 1)g(x), \ 0 \leq t, \ x \in B$$

is a univalent subordination chain then f is close-to-starlike relative to g.

We shall prove this theorem in §4 below. By the results in [8] a mapping $f(x) = x + \cdots \in \mathscr{H}(B)$ is starlike univalent if and only if it is close-to-starlike relative to itself, i.e., (2.1) holds with g = f. Thus from Theorems 1 and 2 we have immediately the

COROLLARY 2. Let $f(x) = x + \cdots$ be locally biholomorphic in B. Then f is univalent and starlike in B if and only if $F(x, t) = e^t f(x)$ is a univalent subordination chain.

This extends to higher dimensional spaces Pommerenke's one dimensional result in Folgerung 2 of [6].

3. Proof of Theorem 1. We shall give the proof in a sequence of three lemmas. We use the notation $f_r(x) = f(rx)/r$, $g_r(x) = g(rx)/r$ and $F_r(x, t) = f_r(x) + (e^t - 1)g_r(x)$ for $0 \le r \le 1$, $t \ge 0$. Let $R = \{r: 0 \le r \le 1 \text{ and } F_{\rho}(x, t) \text{ is a univalent subordination chain for } \rho < r\}$. Then $0 \in R$ and clearly R is closed. We wish to show that R is open so R = [0, 1].

LEMMA 3.1. If $r \in R$ then $F_r(x, t)$ is a univalent subordination chain.

Proof. Since $f_0(x) = Df(0)(x) = x = g_0(x)$ we have $F_0(x, t) = x + (e^t - 1)x = e^t x$ which is clearly a univalent subordination chain. Now if $0 < r \in R$, $\rho \leq \lambda < r$ then for $s \leq t$ and $||x|| < \rho/\lambda$ we have

$$egin{aligned} F_\lambda(x,\,s,\,t),\,t) &= F_\lambda(x,\,s) = f(\lambda x)/\lambda + (e^s-1)g(\lambda x)/\lambda \ &= (
ho/\lambda)[(1/
ho)f(
ho(\lambda x/
ho)) + (1/
ho)(e^s-1)g(
ho(\lambda x/
ho))] \ &= (
ho/\lambda)F_
ho(\lambda x/
ho,\,s) = (
ho/\lambda)F_
ho(v_
ho(\lambda x/
ho,\,s,\,t),\,t) \ &= F_\lambda((
ho/\lambda)v_
ho(\lambda x/
ho,\,s,\,t),\,t) \;. \end{aligned}$$

Hence $(\rho/\lambda)v_{\rho}((\lambda/\rho)x, s, t)$ is independent of ρ when $\rho \leq \lambda$ and ||x|| < 1

 ρ/λ $(v_{\rho}(x, s, t), v_{\lambda}(x, s, t)$ are the univalent Schwarz functions postulated by the fact that $F_{\rho}(x, t)$ and $F_{\lambda}(x, t)$ are univalent subordination chains). Hence we may define $v_r(x, s, t) = (\rho/r)v_{\rho}((r/\rho)x, s, t)$ where ||x|| < 1 and ρ satisfies $||x|| < \rho/r < 1$. Then v_r is well defined in B, it is a univalent Schwarz function and

$$egin{aligned} &F_r(x,\,s)=(
ho/r)F_
ho(rx/
ho,\,s)=(
ho/r)F_
ho(v_
ho(rx/
ho,\,s,\,t),\,t)\ &=F_r((
ho/r)v_
ho(rx/
ho,\,s,\,t),\,t)=F_r(v_r(x,\,s,\,t),\,t) \end{aligned}$$

when $||x|| < \rho/r < 1$, $0 \le s \le t$. Therefore $F_r(x, t)$ is a univalent subordination chain.

LEMMA 3.2. If $r \in R$, r < 1 then there exists $\varepsilon_0 > 0$ such that $F_{r+\varepsilon}(x, t)$ is a univalent function of $x \in B$ for each $t \ge 0$ and $0 \le \varepsilon < \varepsilon_0$.

Proof. Since $F_r(x, t)$ is a univalent subordination chain, $f_r(x)$ is univalent in the closed ball \overline{B} (for otherwise, there exist $\rho < r, x, y, t, x \neq y, ||x|| = ||y|| < \rho/r, t > 0$ such that $v_r(x, 0, t) = v_r(y, 0, t)$). Let G(x, y) be the $n \times n$ determinant whose kth column is

$$A_k = egin{cases} (x_k - y_k)^{-1} [f_r(y_1, \ \cdots, \ y_{k-1}, \ x_k, \ \cdots, \ x_n) - f(y_1, \ \cdots, \ y_k, \ x_{k+1}, \ \cdots, \ x_n)], \ x_k
eq y_k \ rac{\partial}{\partial x_k} f_r(y_1, \ \cdots, \ y_{k-1}, \ x_k, \ \cdots, \ x_n), \ \ ext{if} \ \ x_k = y_k \end{cases}$$

and define $H(x, y) = |G(x, y)| + ||f_r(x) - f_r(y)||$ where $x, y \in B_{1+\varepsilon}, 1 + \varepsilon < 1/r$. If x = y we have $H(x, x) = |\det Df_r(x)| > 0$ since f_r is biholomorphic. If $x \neq y$ and $f_r(x) \neq f_r(y)$ then H(x, y) > 0. If $x \neq y$ and $f_r(x) = f_r(y)$ then $\sum_{k=1}^{n} (x_k - y_k)A_k = f_r(x) - f_r(y) = 0$ and H(x, y) = 0 since the columns of G(x, y) are dependent. Thus H(x, y) = 0 if and only if $f_r(x) = f_r(y)$ and $x \neq y$. We conclude that H(x, y) has a positive minimum on $\overline{B} \times \overline{B}$ and in fact H(x, y) > 0 if $(x, y) \in B_{1+\varepsilon} \times B_{1+\varepsilon}$ when $0 \leq \varepsilon < \varepsilon'$ for some $\varepsilon' > 0$. This implies that $f_{r+\varepsilon}$ is univalent in B for $0 \leq \varepsilon < \varepsilon''$ for some $\varepsilon'' > 0$.

For small $\varepsilon > 0$, $e^{-t}F_{r+\varepsilon}(x, t)$ converges to $g_{r+\varepsilon}(x)$ uniformly in B as $t \to \infty$. Hence $F_{r+\varepsilon}(x, t)$ is univalent and starlike for $t > t_0$ for some $t_0 > 0$.

Now assume the lemma is false. Then there exist sequences $\{\varepsilon_k\}$, $\{t_k\}$ of positive numbers and points $\{x_k\}$, $\{y_k\}$ in \overline{B} such that $\varepsilon_k \to 0$, $x_k \neq y_k$, $||x_k|| = ||y_k|| = 1$, $t_k < t_0$ and $F_{r+\varepsilon_k}(x_k, t_k) = F_{r+\varepsilon_k}(y_k, t_k)$. (We may assume $||x_k|| = ||y_k|| = 1$ since by the reasoning of Ono in [4] univalence on the boundary of B implies univalence in the interior.) By choosing subsequences we may find limit points s, u, v, $0 < s \leq t_0$, ||u|| = ||v|| = 1 such that $F_r(u, s) = F_r(v, s)$. Since $F_r(x, t)$ is a uni-

valent subordination chain we must have u = v. Hence

$$0 = \frac{F_{r+\varepsilon_k}(x_k, t_k) - F_{r+\varepsilon_k}(y_k, t_k)}{||x_k - y_k||} = DF_r(u, s) \Big(\frac{x_k - y_k}{||x_k - y_k||}\Big) \\ + (DF_{r+\varepsilon_k}(y_k, t_k) - DF_r(y_k, t_k)) \Big(\frac{x_k - y_k}{||x_k - y_k||}\Big) \\ + (DF_r(y_k, t_k) - DF_r(u, s)) \Big(\frac{x_k - y_k}{||x_k - y_k||}\Big) + o(x_k - y_k)$$

and by using appropriate subsequences we conclude that $DF_r(u, s)$ is singular. This is a contradiction since $DF_r(u, s) = DF_r(v_r(x, s, t), t)Dv_r(x, s, t)$ is the composition of two nonsingular maps in $\mathcal{L}(X)$, and the lemma is established.

LEMMA 3.3. Let ε_0 be as determined in Lemma 3.2. Then for $0 \leq \varepsilon < \varepsilon_0 F_{r+\varepsilon}$ (x, t) is a univalent subordination chain.

Proof. We must show that for $0 \neq x \in B$ and $x^* \in T(x)$ we have

$$Re \; x^*([DF_{r+\varepsilon}(x, t)]^{-1}(g(x))) \ge 0$$
 ,

for then $\partial ||v_{r+\epsilon}(x, s, t)||/\partial t \leq 0, s \leq t$. It will follow that $v_{r+\epsilon}(x, s, t) = F_{r+\epsilon}^{-1}(F_{r+\epsilon}(x, s), t)$ is a univalent Schwarz function.

Let $x^* \in T(x)$, $0 \neq x \in B$ and suppose

$$Re\,x^*\{[DF_{r+arepsilon}(x,\,t)]^{-1}\!(g(x))\}< 0$$

for some t. Then since the reverse inequality holds for t = 0 and sufficiently large t, there exist s, t, u, v, $0 < s < t < \infty$, $u, v \in X$, $Re x^*(u) = Re x^*(v) = 0$ such that

(3.1)
$$e^{s}g(x) = Df(x)(u) + (e^{s} - 1)Dg(x)(u)$$

$$(3.2) e^t g(x) = Df(x)(v) + (e^t - 1)Dg(x)(v) + (e^t - 1)Dg(x)$$

 Let

$$L = \{y \in X: Re \ x^*(y) = 0\}$$

and

$$L_1 = L \cap (Df(x))^{-1}(Dg(x)(L)) = L \cap (Dg(x))^{-1}(Df(x)(L))$$

and view L and L_1 as linear spaces over the *real* numbers. If $L = L_1$, then g(x) is in the space Df(x)(L) = Dg(x)(L) which is impossible since $Re x^* \{ [Df(x)]^{-1}(g(x)) \} > 0$ by (2.1). Thus L and L_1 have real dimension 2n - 1 and 2n - 2 respectively where n is the complex dimension of X.

We wish to show that u = v and s = t. Let $y_0 \in L - L_1$ and observe that we may write u and v uniquely in the form $u = ay_0 +$ $u_1, v = by_0 + v_1$ where a and b are real, $u_1, v_1 \in L_1$. Then (3.1) and (3.2) yield that

(3.3)
$$g(x) = a[e^{-s}Df(x)(y_0) + (1 - e^{-s})Dg(x)(y_0)] + w_1 \\ = b[e^{-t}Df(x)(y_0) + (1 - e^{-s})Dg(x)(y_0)] + w_2$$

where $w_1, w_2 \in Dg(x)(L_1) = Df(x)(L_1)$. We shall show that g(x) has a unique representation of the form $\alpha Df(x)(y_0) + \beta Dg(y_0) + w$ where $w \in Dg(x)(L_1)$ and α, β are real. To this end, we assume that

 $\alpha Df(x)(y_0) + \beta Dg(x)(y_0) \in Dg(x)(L_1)$

for some real α , β . Then $Df(x)(\alpha y_0) = Dg(x)(w_3 - \beta y_0)$ for some $w_3 \in L_1$ and consequently $\alpha y_0 \in L_1$. This implies that $\alpha = 0$ and then $\beta y_0 = w_3 \in L_1$ and $\beta = 0$. Thus from (3.3) we conclude $ae^{-s} = be^{-t}$, $a(1 - e^{-s}) = b(1 - e^{-t})$ and therefore a = b and s = t. This contradicts our assumption that s < t and completes the proof of the lemma.

The proof of Theorem 1 is now complete for we have shown that R is a nonempty subset of [0, 1] that is both open and closed. Hence R = [0, 1] and $F(x, t) = F_1(x, t)$ is a univalent subordination chain by Lemma 3.1.

4. Proof of Theorem 2. By hypothesis there are univalent Schwarz functions v(x, s, t) such that $F(x, s) = F(v(x, s, t), t)(0 \le s \le t)$ for the chain F(x, t) defined in (2.3). It is clear from the form of (2.3) that the derivative

(4.1)
$$\frac{\partial F}{\partial t}(x, t) = \lim_{s \to t} \frac{F(x, s) - F(x, t)}{s - t}$$

exists and the convergence is uniform on compact subsets of B.

We fix t > 0, let s < t and write

$$F(x, s) - F(x, t) = F(x, s) - F(v(x, s, t), t)$$

= $DF(x, t)(v(x, s, t) - x) + o(v - x)$

where o(v - x)/||v - x|| tends to zero uniformly for x in a compact subset of B as v(x, s, t) - x tends to zero. Thus

(4.2)
$$\frac{F(x, s) - F(x, t)}{s - t} = DF(x, t) \left(\frac{x - v(x, s, t)}{t - s} \right) + \frac{o(v - x)}{s - t}$$

and since DF(x, t) is nonsingular we can argue (as in [8] Lemma 2) that (x - v(x, s, t))/(t - s) is bounded and tends to a limit, and that o(v(x, s, t) - x)/(s - t) tends to zero as s tends to t (the univalence of the chain insures that v(x, s, t) tends to x as $s \to t$). Since t - s > 0 and

$$Re \ x^*(x - v(x, s, t)) = ||x|| - Re \ x^*(v(x, s, t))$$

$$\geq ||x|| - ||v(x, s, t)|| \geq 0$$

for each $x^* \in T(x)$ it follows that the function

(4.3)
$$h(x, t) = \lim_{s \to t} \frac{x - v(x, s, t)}{t - s}, t > 0,$$

is in the class \mathcal{M} .

From (4.1) – (4.3) we conclude that F(x, t) satisfies the generalized Loewner differential equation [5]

$$(4.4) \qquad \qquad \partial F(x, t)/\partial t = DF(x, t)(h(x, t)), \ x \in B,$$

for each t > 0. For the specific subordination chain (2.3) it is clear that we may let t tend to zero in (4.4) to obtain

$$g(x) = Df(x)(h(x, 0))$$
,

and $h(x, 0) \in \mathcal{M}$ since the properties of \mathcal{M} are preserved by local uniform convergence. This completes the proof of Theorem 2.

5. EXAMPLES. (1) Let $f(z) = z + \cdots$ be close to the starlike function $g(z) = z + \cdots$ where f and g are complex valued analytic functions of z in the open unit disk, |z| < 1. Let X be a complex finite dimensional inner product space with inner product \langle , \rangle and let $x_0 \in X, ||x_0|| = 1$. Define the vector valued holomorphic maps

$$F(x) = rac{f(\langle x, x_0
angle)}{\langle x, x_0
angle} x, \ G(x) = rac{g(\langle x, x_0
angle)}{\langle x, x_0
angle} x$$

for x in B, the unit ball in X. Then

$$DG(x) = \frac{-\langle \cdot, x_0 \rangle}{\langle x, x_0 \rangle^2} g(\langle x, x_0 \rangle) x + \frac{\langle \cdot, x_0 \rangle}{\langle x, x_0 \rangle} g'(\langle x, x_0 \rangle) x + \frac{g(\langle x, x_0 \rangle)}{\langle x, x_0 \rangle} I$$

where $I \in \mathscr{L}(X)$ is the identity. A similar formula holds for DF(x). Setting $H(x) = g(\langle x, x_0 \rangle) x/(\langle x, x_0 \rangle g'(\langle x, x_0 \rangle))$ we see that $H \in \mathscr{M}$ and DG(x)(H(x)) = G(x) so G is starlike [7]. Similarly if $K(x) = g(\langle x, x_0 \rangle) x/(\langle x, x_0 \rangle f'(\langle x, x_0 \rangle))$ then $K \in \mathscr{M}$ and DF(x)(K(x)) = G(x) so F is close-to-starlike. Note that F and G both reduce to the identity map on the subspace orthogonal to x_0 . An interesting choice of f and g is $f(z) = (1/2) \log [(1 + z)/(1 - z)], g(z) = z/(1 + z)^2$. Then $f + (e^t - 1)g$ maps the unit disk onto the entire plane slit along two parallel rays when $0 < t < \infty$. Also $F(x) + (e^t - 1)G(x)$ has similar behavior on the one dimensional slice $\{\alpha x_0: \alpha \in C, |\alpha| < 1\}$.

(2) Let $X = C^2$ with the usual inner product and Euclidean norm

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$$\langle x,\,y
angle = \sum\limits_{j=1}^2 x_j ar y_j,\, ||\,x\,|| = \langle x,\,x
angle^{1/2}$$
 ,

where $x = (x_1, x_2)$ and $y = (y_1, y_2)$ are in C^2 . We define the functions

(5.1)
$$f(x) = (2^{-1}[(1-x_1)^{-2}-1], x_2 + ax_1x_2),$$

(5.2)
$$g(x) = (x_1/(1-x_1)^2, x_2[1+2bx_1+bx_1^2]),$$

(5.3)
$$h(x) = (x_1(1-x_1), x_2[1+4ax_1/(2a-1)])$$

where ||x|| < 1, b = a(2a + 1)/(2a - 1) and a is a complex number with small modulus. We claim that if |a| is sufficiently small then: (I) h(x) belongs to the class \mathcal{M} , (II) $g(x) = x + \cdots \in \mathcal{H}(B)$ is starlike, (III) $f(x) = x + \cdots \in \mathcal{H}(B)$ is close-to-g(x), and (IV) f is not starlike.

(I) Clearly (5.3) is holomorphic in B and has the required normalization $h(x) = x + \cdots$. Furthermore, if |a| is sufficiently small then

$$(5.4) \quad Re < h\langle x \rangle, \, x \rangle = |x_1|^2 \, Re \, (1-x_1) + |x_2|^2 \, Re \, \Big(1 + \frac{4ax_1}{2a-1} \Big) > 0 \, ,$$

for all $x \in B$ and $h \in \mathcal{M}$ [8, p. 577].

(II) The holomorphy and normalization of (5.2) are clear. We must show that $(Dg(x))^{-1}(g(x))$ belongs to \mathcal{M} if |a| is small. Elementary computations with (5.2) yield that

$$(Dg(x))^{-1}(g(x)) = \left(x_1\left(\frac{1-x_1}{1+x_1}\right), x_2\left[1-\frac{2bx_1(1-x_1)}{1+2bx_1+bx_1^2}\right]\right)$$

and therefore $Re \langle (Dg(x))^{-1}(g(x)), x \rangle \geq 0$ for all $x \in B$ and small |a|.

(III) It is easy to verify that (5.1), (5.2), and (5.3) satisfy the equation Df(x)(h(x)) = g(x) and hence that f is close-to-g.

(IV) We must show that $(Df(x))^{-1}(f(x))$ does not belong to \mathcal{M} . This follows when |a| is small since

$$(Df(x))^{-1}(f(x)) = \left(\frac{x_1(2-x_1)(1-x_1)}{2}, x_2\left[1-\frac{ax_1(2-x_1)(1-x_1)}{1+ax_1}\right]\right),$$

and $Re(2 - x_i)(1 - x_i) < 0$ at some points in the unit disk $|x_i| < 1$.

Finally we mention that the functions (5.1), (5.2), and (5.3) provide an example similar to the preceding one when we consider $X = C^2$ with the sup norm, $||x||_{\infty} = \max(|x_1|, |x_2|)$. In this setting the condition (5.4) for membership in \mathscr{M} is replaced by the condition $Re(h_j(x)/x_j) > 0$ when $||x||_{\infty} = |x_j| > 0$ [8].

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