

A VANISHING THEOREM FOR THE MOD p MASSEY-PETERSON SPECTRAL SEQUENCE

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A vanishing theorem and periodicity theorem for the classical mod 2 Adams spectral sequence were originally proved by Adams [1]. The results were extended to the unstable range by Bousfield [2]. The purpose of this paper is to show the analogue of Bousfield's work for the mod p unstable Adams spectral sequence of Massey-Peterson type (called the mod p Massey-Peterson spectral sequence), where p is an odd prime. The results generalized those obtained by Liulevicius [5], [6] to the unstable range. As an immediate topological application we have the estimation of the upper bounds of the orders of elements in the p -primary component of the homotopy groups of, for example, an odd dimensional sphere, Stiefel manifold, or H -space.

1. The vanishing theorem. Let A denote the mod p Steenrod algebra. Let $A\mathcal{M}$ the category of unstable left A -modules and $\mathcal{M}A$ the category of unstable right A -modules. We may define $\text{Ext}_{A\mathcal{M}}^s$, $s \geq 0$, as the s th right derived functor of $\text{Hom}_{A\mathcal{M}}$, and similarly define $\text{Ext}_{\mathcal{M}A}^s$, since $A\mathcal{M}$ and $\mathcal{M}A$ are abelian categories with enough projectives. Note that, if $M \in A\mathcal{M}$ is of finite type, then

$$\text{Ext}_{A\mathcal{M}}(M, Z_p) = \text{Ext}_{\mathcal{M}A}(Z_p, M^*).$$

Recall the mod p Massey-Peterson spectral sequence (see, for example, [4]). Let X be a simply connected space with $\pi_*(X)$ of finite type. Suppose that $H^*(X; Z_p) \cong U(M)$, $M \in A\mathcal{M}$, where $U(M)$ is the free unstable A -algebra generated by M . Then there is a spectral sequence $\{E_r(X)\}$ with

$$d_r: E_r^{s,t}(X) \longrightarrow E_r^{s+r, t+r-1}(X),$$

such that

$$E_2^{s,t}(X) \cong \text{Ext}_{A\mathcal{M}}^{s,t}(M, Z_p),$$

and

$$E_\infty(X) \cong \text{Gr } \pi_*(X) / (\text{torsion prime to } p).$$

Let A be the bigraded differential algebra over Z_p introduced by Bousfield et al [3], which has multiplicative generators λ_i of

bidegree $(1, 2i(p - 1) - 1)$ for $i > 0$ and μ_i of bidegree $(1, 2i(p - 1))$ for $i \geq 0$.

For $N \in \mathcal{M}A$, let $V(N)^s$ be the subspace of $N \otimes A^s$ generated by all $x \otimes \nu_I$ with $\nu_I = \nu_{i_1} \cdots \nu_{i_s}$ allowable and $\deg x \geq 2i$ if $\nu_{i_1} = \lambda_{i_1}$ and $\deg x \geq 2i_1 + 1$ if $\nu_{i_1} = \mu_{i_1}$. Then $V(N)$ is the cochain complex with

$$\begin{aligned} \delta(x \otimes \nu_I) &= (-1)^{\deg x} \sum_{i>0} x \rho^i \otimes \lambda_i \nu_I \\ &+ \sum_{i \geq 0} x \beta \rho^i \otimes \mu_i \nu_I + (-1)^{\deg x} x \otimes \partial \nu_I . \end{aligned}$$

Here $x \otimes \nu_I$ is of bidegree (s, t) with $t = s + \deg x + \deg \nu_I$. Recall that for $N \in \mathcal{M}A$

$$\text{Ext}_{\mathcal{M}A}^{s,t}(Z_p, N) \cong H^s(V(N))_{t-s} .$$

Let $O(N)$ be the subcomplex of $V(N)$ generated by all $x \otimes \nu_I \in V(N)^s$ with $\nu_I = \nu_{i_1} \cdots \nu_{i_s}$ allowable and $\nu_{i_s} = \lambda_{i_s}$. Let $T(N)$ be the quotient complex of $V(N)$ such that

$$T(N)^s = \begin{cases} N \otimes \mu_0^s & \text{for } s = 0, 1 , \\ N \otimes \mu_0^s + \sum_{i>0} N_{2i}^s \otimes \lambda_i \mu_0^{s-1} & \text{for } s \geq 2 . \end{cases}$$

Then we have a long exact sequence

$$\begin{aligned} \dots \longrightarrow H^{s-1}(T(N)) &\xrightarrow{\delta} H^s(O(N)) \xrightarrow{j^*} H^s(V(N)) \\ &\xrightarrow{q^*} H^s(T(N)) \longrightarrow \dots , \end{aligned}$$

which is induced from the natural isomorphism

$$H^*(O(N)) \cong H^*(\text{Ker } q) ,$$

where $j: O(N) \rightarrow V(N)$ and $q: V(N) \rightarrow T(N)$ are the natural maps. Remark that $H^*(T(N))$ consists of towers in the sense that

$$H^s(T(N)) \cong H^{s+1}(T(N)) ,$$

for $s \geq 2$, and thus $H^*(T(N))$ is easily determined.

DEFINITION. A function $\varphi_n(k)$, $n \geq 2$, $k \geq 0$, is defined as follows. If $n = 2, 3, 4$,

$$\varphi_n(k) = \begin{cases} [(k + 2)/2(p - 1)] & \text{for } k \geq 2(p - 1) - 1 \\ 0 & \text{for } k < 2(p - 1) - 1 \end{cases}$$

where $[x]$ is the integer part of x , and if $n \geq 5$,

$$\varphi(k) = \varphi_n(k) = i ,$$

where

$$\begin{aligned} 2i(p-1) \leq k < 2(i+1)(p-1)-1 & \quad \text{if } i \not\equiv -1, 0 \pmod p, \\ 2i(p-1) \leq k < 2(i+1)(p-1)-2 & \quad \text{if } i \equiv -1 \pmod p, \\ 2i(p-1)-2 \leq k < 2(i+1)(p-1)-1 & \quad \text{if } i \equiv 0 \pmod p. \end{aligned}$$

Now we state our main theorem.

THEOREM 1 (Vanishing). *Let $N \in \mathcal{M} A$ with $N_i = 0$ for $i < n$, where $n \geq 2$. Then*

$$\text{Ext}_{\mathcal{M} A}^{s, s+k+n}(Z_p, N) \cong H^s(V(N))_{k+n} \xrightarrow{q^*} H^s(T(N)),$$

is an isomorphism for $s > \varphi_n(k)$.

This will be proved in §4.

By virtue of our vanishing theorem the calculation of $H^*(V(N))$ is reduced to that of $H^*(O(N))$ in a large extent. Note that q^* is epimorphic when $U(M)$ is generated by a single element, where $M = N$.

As an immediate topological corollary we have.

COROLLARY 2. *Let X be a simply connected space with $\pi_*(X)$ of finite type. Suppose that $H^*(X; Z_p) \cong U(M)$, where M is an unstable A -module. If $M^i = 0$ for $i < n$, then the orders of elements in the p -primary component of $\pi_{k+n}(X)$ are at most $p^{\varphi_n(k)}$.*

This may be applied, for example, when X is an odd dimensional sphere, Stiefel manifold, or H -space.

REMARK. If $N_i = 0, i > m$, for some m , then $H^s(N)_t$ is zero for dimensional reason when t is large with respect to s . Hence in this case Corollary 2 is slightly improved.

2. Periodicity theorems. For a module $M \in A\mathcal{M}$ we define the β -cohomology by $H_\beta^k(M) = \text{Ker } \beta / \text{Im } \beta$.

DEFINITION. A module $M \in A\mathcal{M}$ is called β -trivial if

$$\rho^i: M^{2i} \longrightarrow H_\beta^{2ip}(M),$$

is an isomorphism for all i and $H_\beta^k(M) = 0$ for $k \not\equiv 0 \pmod{2p}$.

Remark that $M \in A\mathcal{M}$ is β -trivial if and only if $N = M^* \in \mathcal{M} A$ is towerless, i.e., $H^s(T(N)) = 0$ for $s > 0$.

Let \mathcal{G} denote the category of graded Z_p -modules. Let $L_s F$ denote the s th left derived functor of a functor $F: A\mathcal{M} \rightarrow \mathcal{G}$.

THEOREM 3 (Periodicity). *Let $F: A\mathcal{M} \rightarrow \mathcal{G}$ be a functor such that $F(M) = M/\beta M + \rho^1 M$. If $M \in A\mathcal{M}$ is β -trivial, then there is a natural map*

$$P: L_s F(M)^t \longrightarrow L_{s+p} F(M)^{t+2p(p-1)+p},$$

such that P is an isomorphism for $s \geq 2$ and a monomorphism for $s = 1$.

This will be proved in §3.

Additionally, we give here such a kind of periodicity theorems.

THEOREM 4. *Let $G_i(M) = M/\rho^i M$ for $M \in A\mathcal{M}$, where $0 < i < p$. Then there is a natural map*

$$Q: L_s G_i(M)^t \longrightarrow L_{s+2} G_i(M)^{t+2p(p-1)},$$

such that Q is an isomorphism for $s \geq 2$ and a monomorphism for $s = 1$.

THEOREM 5. *Let $G_i(M) = M/\beta M + \rho^i M$ for $M \in A\mathcal{M}$, where $0 < i < p$. If $M \in A\mathcal{M}$ is β -trivial, then there is a natural map*

$$Q: L_s G_i(M)^t \longrightarrow L_{s+2} G_i(M)^{t+2p(p-1)},$$

such that Q is an isomorphism for $s \geq 2$ and a monomorphism for $s = 1$.

THEOREM 6. *Let $G(M) = M/\rho^1 + \beta\rho^1 M$ for $M \in A\mathcal{M}$. If $M \in A\mathcal{M}$ is β -trivial, then there is a natural map*

$$R: L_s G(M)^t \longrightarrow L_{s+2} G(M)^{t+4p-2},$$

such that R is an isomorphism for $s \geq 2$ and a monomorphism for $s = 1$.

3. Proofs of periodicity theorems. Suppose given a circular sequence of functors from $A\mathcal{M}$ to \mathcal{G} and natural transformations,

$$(\#) \quad \begin{array}{ccccccc}
 A_{k-2} & \xrightarrow{R_{k-2}} & A_{k-3} & \longrightarrow & \cdots & \longrightarrow & A_1 \xrightarrow{R_1} A_0 = A_k \\
 & & & & & & \nearrow R_0 = R_k \\
 & & & & & & A_{k-1} \\
 & & & & & & \nwarrow R_{k-1} \\
 & & & & & & A_{k-2}
 \end{array}$$

satisfying $R_i R_{i+1} = 0$ for $i = 0, \dots, k-1$. Define functors $\text{Ker } R_i, \text{Im } R_i, \text{Coker } R_i, H_i = \text{Ker } R_i / \text{Im } R_{i+1}$ in a usual way.

DEFINITION. A module $M \in A\mathcal{M}$ is called trivial for the diagram (#) if

$$L_s A_i(M) = L_s H_i(M) = 0,$$

for all $s > 0$ and $i = 0, \dots, k - 1$.

LEMMA. If $M \in \mathcal{A}$ is trivial for the diagram (#), then there is a natural map

$$P: L_s \text{Coker } R_0(M)^t \longrightarrow L_{s+k} \text{Coker } R_0(M)^{t+h},$$

such that P is an isomorphism for $s \geq 2$ and a monomorphism for $s = 1$. Here $h = \sum_{i=0}^{k-1} h_i$, $h_i = \text{deg } R_i$.

Proof. Let $h(a) = \sum_{i=a}^{k-1} h_i$. Since M is trivial for (#), we have the following natural isomorphism

$$\begin{aligned} L_{s+k} \text{Coker } R_0(M)^{t+h} &\cong L_{s+k-1} \text{Im } R_0(M)^{t+h} \\ &\cong L_{s+k-2} \text{Ker } R_0(M)^{t+h(1)} \cong \dots \\ &\cong L_s \text{Ker } R_{k-2}(M)^{t+h(k-1)} \cong L_s \text{Im } R_{k-1}(M)^{t+h(k-1)}. \end{aligned}$$

On the other hand the natural map

$$L_s \text{Coker } R_0(M)^t \longrightarrow L_s \text{Im } R_{k-1}(M)^{t+h(k-1)},$$

is an isomorphism for $s \geq 2$ and a monomorphism for $s = 1$.

We shall use the following circular sequence due to Toda [9] (see, also, Oka [8]) to prove the periodicity theorems.

$$(3.1) \quad \begin{array}{ccccccc} M & \xrightarrow{R_{p-2}} & M & \longrightarrow & \dots & \longrightarrow & M & \xrightarrow{R_1} & M \\ & \searrow R' & & & & & & \swarrow R & \\ & & & & & & M/\beta M + M/\beta M & & \end{array}$$

where $R_i = (i + 1)\beta\rho^i - i\rho^i\beta$, $R = (\rho^i\beta, \rho^i)$ and $R' = \rho^i\beta - \beta\rho^i\beta$.

$$(3.2) \quad M \begin{array}{c} \xrightarrow{\rho^i} \\ \xleftarrow{\rho^{p-i}} \end{array} M \quad \text{for } 0 < i < p,$$

$$(3.3) \quad M/R_i M \begin{array}{c} \xrightarrow{\rho^i} \\ \xleftarrow{\rho^{p-i}} \end{array} M/\beta M \quad \text{for } 0 < i < p,$$

$$(3.4) \quad M/\rho^1 M \begin{array}{c} \xrightarrow{\rho^1} \\ \xleftarrow{\rho^1} \end{array} M/\rho^1 M.$$

Here $M \in \mathcal{A}$ and the maps are induced from the left actions.

Proof of Theorem 3. We shall use the diagram (3.1). For convenience, we put $R_0 = R_p = R$, $R_{p-1} = R'$. Let $H_i(M)$ denote the cohomology $\text{Ker } R_i/\text{Im } R_{i+1}$. If M is a free unstable A -module, then:

$$(i) \quad \begin{array}{ll} \rho^s: (M/\beta M)^{2s} \cong H_0^{2sp}(M) & \text{if } s \equiv -1 \pmod{p}, \\ \rho^s: M \cong H_0^{2sp}(M) & \text{if } s \not\equiv -1 \pmod{p}, \\ \rho^s: (\beta M)^{2s+1} \cong H_0^{2sp+1}(M) & \text{if } s \not\equiv -1 \pmod{p}, \end{array}$$

- (ii) for $i = 1, \dots, p - 2$,
- $$\rho^s: (M/\beta M)^{2s} \cong H_i^{2sp}(M),$$
- $$\rho^s + \beta\rho^s: (\beta M)^{2s+2} + (M/\beta M)^{2s+1} \cong H_i^{2sp+2}(M),$$
- $$\rho^s: (M/\beta M)^{2s+2} \cong H_i^{2sp+3}(M)$$
- (iii) $\rho^s + 0: (M/\beta M)^{2s} + 0 \cong H_{p-1}^{2sp}(M)$,
- $$0 + \rho^s: 0 + (M/\beta M)^{2s+j} \cong H_{p-1}^{2sp+j+1}(M)$$
- for $j = 0, 1$ if $s \equiv 0 \pmod{p}$,
- $$\rho^s + \rho^s: (\beta M)^{2s+j+1} + (M/\beta M)^{2s+j} \cong H_{p-1}^{2sp+j+1}(M)$$
- for $j = 0, 1$ if $s \not\equiv 0 \pmod{p}$,
- $$0 + \rho^s: 0 + (M/\beta M)^{2s+2} \cong H_{p-1}^{2sp+3}(M).$$

(iv) otherwise $H_i^k(M) = 0$.

This unstable version of Toda's exactness theorem is shown by long but straightforward computations. Now Theorem 3 is proved by applying lemma.

By using the diagrams (3.2), (3.3) and (3.4), Theorems 4, 5 and 6 follow in a similar way, and thus we only state the following facts.

Let $M \in \mathcal{A}_{\mathcal{M}}$ be a free unstable module. Fix i such that $0 < i < p$.

If $H(M) = \text{Ker}(\rho^i: M \rightarrow M)/\text{Im}(\rho^{p-i}: M \rightarrow M)$, then:

- (i) $\rho^s: M^{2s+j} \cong H^{2sp+j}(M)$ for $j = 0, 1$,
- $$\beta\rho^s + \rho^s: M^{2s+j-1} + M^{2s+j} \cong H^{2sp+j}(M)$$
- for
- $j = 2, \dots, 2i - 1$
- ,
- $$\beta\rho^s: M^{2s+j-1} \cong H^{2sp+j}(M)$$
- for
- $j = 2i, 2i + 1$
- .

(ii) otherwise $H^k(M) = 0$.

If $H(M) = \text{Ker}(\rho^{p-i}: M/\beta M \rightarrow M/R_i M)/\text{Im}(\rho^i: M/R_i M \rightarrow M/\beta M)$, then:

- (i) $\rho^s: (M/\beta M)^{2s+j} \cong H^{2sp+j}(M)$
for $j = 0, 1, \dots, i - 1$ and $s \equiv 0, 1, \dots, i - 1 \pmod{p}$,
- (ii) otherwise $H^k(M) = 0$.

Next put $H(M) = \text{Ker}(\rho^i: M/R_i M \rightarrow M/\beta M)/\text{Im}(\rho^{p-i}: M/\beta M \rightarrow M/R_i M)$, then:

(i) if $s \equiv 0 \pmod{p}$,

$$\rho^s: (M/\beta M)^{2s+j} \cong H^{2sp+j}(M)$$
 for $j = 0, 1$,
$$\beta\rho^s + \rho^s: (M/\beta M)^{2s+j-1} + (M/\beta M)^{2s+j} \cong H^{2sp+j}(M)$$
 for $j = 2, \dots, 2i - 1$,
$$\beta\rho^s: (M/\beta M)^{2s+j-1} \cong H^{2sp+j}(M)$$
 for $j = 2i, 2i + 1$,

(ii) if $s \equiv 1, \dots, p - i - 1 \pmod{p}$,

$$\begin{aligned} \rho^s: (M/\beta M)^{2s+j} &\cong H^{2sp+j}(M) && \text{for } j = 0, 1, \\ \beta\rho^s + \rho^s: M^{2s+j-1} + (M/\beta M)^{2s+j} &\cong H^{2p+i}(M) && \text{for } j = 2, \dots, 2i - 1, \\ \beta\rho^s: M^{2s+j-1} &\cong H^{2sp+j}(M) && \text{for } j = 2i, 2i + 1, \end{aligned}$$

(iii) if $s \equiv p - i \pmod p$,

$$\beta\rho^s: M^{2s+j-1} \cong H^{2sp+j}(M) \quad \text{for } j = 2, \dots, 2i - 1,$$

(iv) otherwise $H^k(M) = 0$.

Finally, if $H(M) = \text{Ker}(\beta\rho^1: M/\rho^1 M \rightarrow M/\rho^1 M) / \text{Im}(\beta\rho^1: M/\rho^1 M \rightarrow M/\rho^1 M)$, then:

$$\begin{aligned} \text{(i)} \quad \rho^{ps}: M^{2s+j} &\cong H^{2sp^2+j}(M) && \text{for } j = 0, 1, \\ \beta\rho^{ps} + \rho^{ps}: (M/\beta M)^{2s+1} + M^{2s+2} &\cong H^{2sp^2+2}(M), \end{aligned}$$

(ii) otherwise $H^k(M) = 0$.

4. Proof of the vanishing theorem. Let $F(n)$ denote a free unstable A -module on one generator ι_n . We define an unstable A -module $N(n)$ to be the quotient of $F(n)$ by the relation $\beta\iota_n = 0$. Next define $M(n)$ to be the subcomplex of $N(n)$ by omitting the ι_n from $N(n)$ if n odd and omitting the $\iota_n, (\iota_n)^p, \dots, (\iota_n)^{p^t}, \dots$ from $N(n)$ if n even. Note that $M(n)$ is β -trivial.

First we suppose that n is odd. Then by the long exact sequence induced from a short exact sequence

$$0 \longrightarrow M(n) \longrightarrow N(n) \longrightarrow Z_p \longrightarrow 0,$$

we have an isomorphism

$$E_2^{s,t+n}(S^n) = \text{Ext}_{A\text{-}\mathcal{A}}^{s,t+n}(Z_p, Z_p) \cong \text{Ext}_{A\text{-}\mathcal{A}}^{s-1,t+n}(M(n), Z_p),$$

for $t \neq s$. Let $C(n)$ be a minimal resolution of $M(n)$. By virtue of Theorem 3 we can prove the vanishing theorem for Z_p by analysing $C(n)$. Namely, $\text{Ext}_{A\text{-}\mathcal{A}}^{s-1,t+n}(M(n), Z_p)(t \neq s)$ vanishes for $s > \varphi_n(t - s)$. Furthermore we can observe the periodicity phenomenon in a range near the vanishing line. In fact, by Theorems 3, 4 and 5 we have two periodicity operators P and Q of bidegree $(p, 2p(p - 1) + p)$ and $(2, 2p(p - 1))$, respectively.

For lower dimensional sphere we shall give periodic families. Let $1 < m \leq p + 1$. In $E_2^{s,t+2m-1}(S^{2m-1})$ there appear nontrivial elements when $(s, t - s)$ is as follows:

- (i) $(1, q - 1)$
 $(1, pq - 1) \quad \text{for } m = p + 1,$
- (ii) $(s, sq - 1), (s, (m + s - 2)q - 2)$
for $s = 2, \dots, p - m + 1$ and $m \neq p, p + 1,$

$$\begin{aligned}
& (s, sq - 1), (s, pq - 2), (s, pq - 1) \\
& \quad \text{for } s = p - m + 2 \text{ and } m \neq p + 1, \\
& (s, sq - 1), (s, pq - 2), (s, pq - 1), (s, (m + s - 2)q - 2) \\
& \quad \text{for } s = p - m + 3, \dots, p - 1 \text{ and } p \neq 3, \\
& (p, pq - 2), (p, pq - 1), (p, (p + m - 2)q - 2), \\
& (p + 1, (p + 1)q - 1), (p + 1, (p + m - 1)q - 2),
\end{aligned}$$

where $q = 2(p - 1)$. Applying the periodicity operators P and Q repeatedly, we can determine the behavior of all $E_2(S^{2m-1})$ near the vanishing line. (Possibly other elements appear in a range apart from the vanishing line when we apply the iteration of the operator Q .)

We next suppose that n is even. Let $L(n; t) (0 < t \leq \infty)$ be an unstable A -module with elements $\sigma_n, (\sigma_n)^p, \dots, (\sigma_n)^{p^t}$ where $\deg \sigma_n = n$. By the long exact sequence induced from short exact sequences

$$\begin{aligned}
0 & \longrightarrow M(n) + L(p^{t+1}; \infty) \longrightarrow N(n) \longrightarrow L(n; t) \longrightarrow 0, \\
0 & \longrightarrow M(p^{t+1}n) \longrightarrow N(p^{t+1}n) \longrightarrow L(p^{t+1}n; \infty) \longrightarrow 0,
\end{aligned}$$

we have an isomorphism

$$\begin{aligned}
& \text{Ext}_{A/\mathcal{A}}^{s, t+n}(L(n; t), Z_p) \\
& \cong \text{Ext}_{A/\mathcal{A}}^{s-1, t+n}(M(n), Z_p) + \text{Ext}_{A/\mathcal{A}}^{s-2, t+n}(M(p^{t+1}n), Z_p),
\end{aligned}$$

for $t \neq s, s + (p^{t+1} - 1)n - 1$. Thus in a similar way we have the required results for $L(n; t)$.

Now we have shown that

$$q^*: H^s(V(N))_{k+n} \longrightarrow H^s(T(N))_{k+n},$$

is an isomorphism for $s > \varphi_n(k)$, when $N^* = H^*(S^n; Z_p) = Z_p$ (n odd) and $N^* = L(n; t)$ (n even). The general case follows inductively using the five lemma.

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