

## ATOROIDAL, IRREDUCIBLE 3-MANIFOLDS AND 3-FOLD BRANCHED COVERINGS OF $S^3$

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**Suppose  $M$  is a closed orientable 3-manifold. Then H. Hilden et al. proved that  $M$  is a 3-fold branched covering of  $S^3$  branched over a fibered knot. In this paper we prove that, if  $M$  is irreducible and atoroidal, then  $M$  is either a 3-fold branched covering of  $S^3$  branched over a simple, fibered knot, or a 2-fold branched covering of a closed orientable 3-manifold whose Heegaard genus is at most one.**

Hilden [4], Hirsch [5] and Montesinos [11] proved independently that a closed, connected and orientable 3-manifold  $M$  is a 3-fold irregular branched covering of  $S^3$  branched over a knot  $K$ . Further, it is known that  $K$  may be chosen to be a fibered knot. We do not know a reference for this refinement, which we need for our main theorem, so we give in §1 a sketch of the proof, shown to us by Hilden. Our main result is:

**THEOREM.** *Let  $M$  be a closed, connected and orientable 3-manifold. Suppose  $M$  is atoroidal and irreducible. Then at least one of the following holds.*

(i)  *$M$  is a 3-fold (cyclic or irregular) branched covering of  $S^3$  branched over a simple, fibered knot.*

(ii) *There exist a closed, connected and orientable 3-manifold  $N$  whose Heegaard genus is at most one and a simple link  $L$  in  $N$  such that  $M$  is a 2-fold branched covering of  $N$  branched over  $L$ .*

Here  $M$  atoroidal means  $M$  contains no embedded incompressible torus. As is well known, classifying closed orientable 3-manifolds essentially reduces to the case of atoroidal irreducible 3-manifolds, by the Unique Prime Decomposition Theorem [9] and the Torus Decomposition Theorem [6], [7].

Recently Thurston announced that, if an atoroidal and irreducible 3-manifold  $M$  is a regular (in particular cyclic) branched covering of a closed, orientable 3-manifold, then  $M$  has a *geometric structure* (i.e.  $M$  admits a complete riemannian metric in which any two points have isometric neighborhoods). By this result and our Theorem, if  $M$  is a closed, orientable 3-manifold which is atoroidal and irreducible, then  $M$

has a geometric structure or is a 3-fold irregular branched covering of  $S^3$  branched over a simple, fibered knot.

By similar methods (see [15] for details) one can prove:

*Suppose that  $\Sigma$  is a homotopy 3-sphere, not necessarily irreducible. Then  $\Sigma$  is a 3-fold irregular branched covering of  $S^3$  branched over a simple, fibered knot  $K$ .*

If the branch set  $K$  is a torus knot, then  $\Sigma$  is a graph manifold. By Montesinos [10, p. 249, Lemma 1],  $\Sigma$  is homeomorphic to  $S^3$ . Hence any homotopy 3-sphere is homeomorphic to  $S^3$  or a 3-fold irregular branched covering of  $S^3$  branched over a fibered, hyperbolic knot.

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**1. Preliminaries.** In this paper we work in the piecewise linear category and every 3-manifold is orientable.

Let  $L$  be a link in  $S^3$  and  $\omega: \pi_1(S^3 - L) \rightarrow \Theta_3$  a transitive representation, where  $\Theta_3$  is the symmetric permutation group of 3-symbols. We say that  $\omega$  is *simple* if it represents each meridian by a transposition in  $\Theta_3$ . Then we denote by  $M(L, \omega)$  the 3-fold irregular branched covering of  $S^3$  (branched over  $L$ ) which is determined by  $\omega$ . We consider a regular projection of  $L$ . Let  $B$  be a 3-ball in  $S^3$  as shown in Figure 1(a). In Figure 1(a),  $\alpha$ ,  $\beta$  and  $\gamma$  are three different transpositions in  $\Theta_3$  such that  $\omega(x_\alpha) = \alpha$ ,  $\omega(x_\beta) = \beta$ ,  $\omega(x_\gamma) = \gamma$ , where  $x_\alpha$  (resp.  $x_\beta, x_\gamma$ ) is the Wirtinger generator associated to an overpass  $x'_\alpha$  (resp.  $x'_\beta, x'_\gamma$ ).

We change the pair  $(L, \omega)$  to  $(L', \omega')$  as shown in Figure 1(b). By Montesinos [11],  $M(L, \omega)$  is homeomorphic to  $M(L', \omega')$ . We say that  $(L', \omega')$  is obtained by doing a *double-Montesinos move on  $(L, \omega)$  in  $B$* . We note that the number of components of  $L$  is equal to that of  $L'$ .

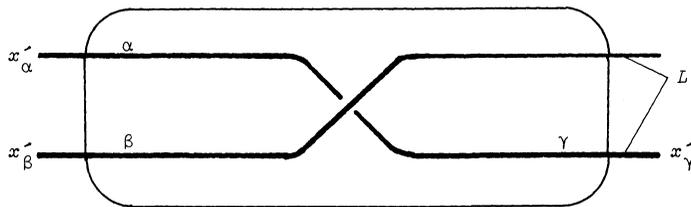


FIGURE 1(a)

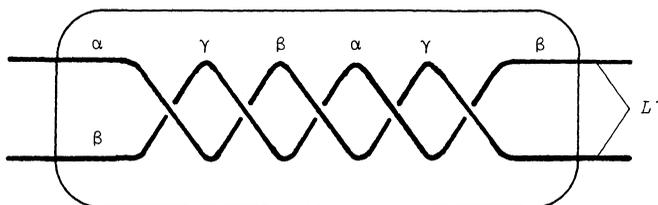


FIGURE 1(b)

Now we give a sketch of the proof of the following theorem of Hilden.

**THEOREM (Hilden).** *Every closed, connected 3-manifold  $M$  is a 3-fold irregular branched covering of  $S^3$  branched over a fibered knot.*

*Sketch of proof.* By Hilden [4], Hirsch [5] or Montesinos [11],  $M$  is a 3-fold irregular branched covering of  $S^3$  branched over a knot  $K$ . Let  $\omega$  be the representation associated to the branched covering. By Alexander's Theorem,  $K$  is represented by a closed braid (see [1, p. 42, Theorem 2.1]). By doing some double-Montesinos moves on  $(K, \omega)$ , we obtain a new pair  $(K', \omega')$  such that  $K'$  is represented by a closed positive braid (i.e. each crossing of the representation is positive). Figure 2 indicates the result. By Stallings [16, Theorem 2],  $K'$  is a fibered knot.  $\square$

Let  $F$  be a 2-manifold embedded in a 3-manifold  $M$ . Then a 2-disk  $D$  embedded in  $M$  is called a *compressing disk for  $F$  in  $M$*  if  $F \cap D = \partial D$  and  $\partial D$  is not contractible in  $F$ . If  $F$  has a compressing disk in  $M$ , then we say that  $F$  is *compressible in  $M$* , otherwise *incompressible in  $M$* .

Let  $X$  be a submanifold of a manifold  $Y$ . Then we denote by  $N(X, Y)$  a regular neighborhood of  $X$  in  $Y$ .

Let  $K$  be a knot in  $S^3$ . Then  $E(K) = S^3 - \text{int } N(K, S^3)$  is called the *exterior of  $K$  in  $S^3$* . We say that  $K$  is *simple* if  $E(K)$  contains no incompressible torus which is not isotopic to  $\partial E(K)$  in  $E(K)$ .

Let  $V$  be an unknotted solid torus in  $S^3$  and  $K$  a knot in  $S^3$  which is contained in  $V$  and such that  $\partial V$  is incompressible in  $V - K$  and  $K$  is not isotopic in  $V$  to a core  $c$  of  $V$ . Let  $f: V \rightarrow S^3$  be an embedding such that  $f(c)$  is knotted in  $S^3$  and  $f(l)$  is homologous to zero in  $S^3 - \text{int } f(V)$ , where  $l$  is a meridian of the solid torus  $S^3 - \text{int } V$ . We set  $T = f(\partial V)$ . Then  $T$  is an incompressible torus in  $E(f(K))$  which is not isotopic to  $\partial E(f(K))$ . We say that  $f(c)$  is the *companion of  $f(K)$  for  $T$* ,  $f(K)$  is the *satellite of  $f(c)$  for  $T$*  and  $K$  is the *preimage of  $f(K)$  for  $T$* . By Myers [12, Proposition 9.11], if  $f(K)$  is a fibered knot, then  $f(c)$  and  $K$  are also fibered knots and  $g(f(c)), g(K) < g(f(K))$ , where  $g(K)$  denotes the genus of  $K$ .

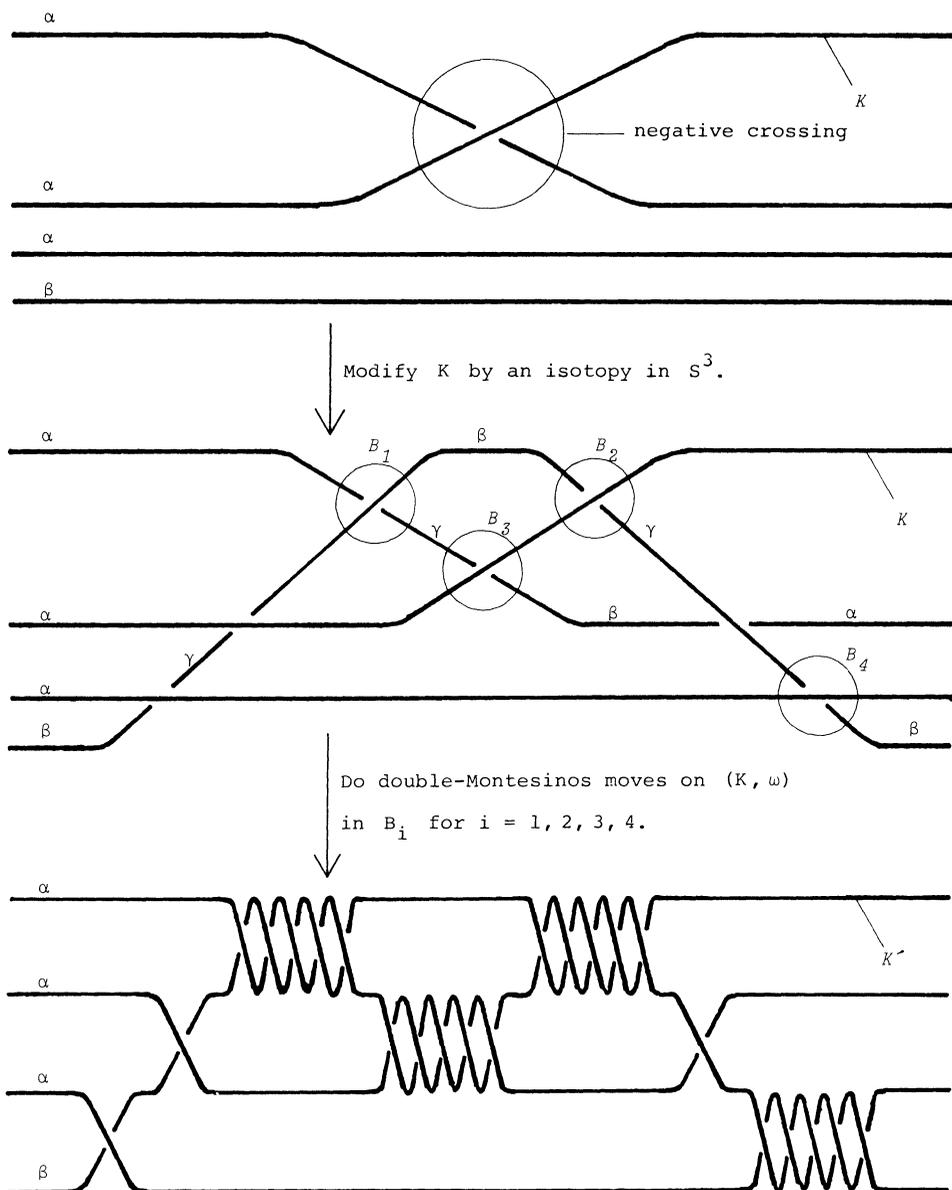


FIGURE 2

Let  $T$  be a torus in an atoroidal, irreducible 3-manifold  $M$  and  $D$  a compressing disk for  $T$  in  $M$ . Let  $f: D \times I \rightarrow M$  be an embedding such that  $f(D \times \{\frac{1}{2}\}) = D$  and  $f(D \times I) \cap T = f(\partial D \times I)$ , where  $I = [0, 1]$ . We say that  $S = (T - \text{int}(T \cap f(D \times I))) \cup f(D \times \{0\}) \cup f(D \times \{1\})$  is a 2-sphere obtained by doing *surgery on  $T$  along  $D$* . Obviously  $S \cap D = \emptyset$ .

Since  $M$  is irreducible,  $S$  bounds a 3-ball  $B$  in  $M$ . If  $B \cap D = \emptyset$ , then  $T$  bounds a solid torus  $B \cup f(D \times I)$  in  $M$  with a meridian disk  $D$ . If  $B \supset D$ , then  $T$  bounds a compact 3-manifold  $N = (B - f(D \times I))$  in  $M$  such that  $(N, \partial D)$  is homeomorphic to  $(E(K), m)$ , where  $K$  is a knot in  $S^3$  and  $m \subset \partial E(K)$  is a meridian of a solid torus  $N(K, S^3) = S^3 - \text{int } E(K)$ . Then we say that  $(N, \partial D)$  is a *knot space-meridian pair*. Let  $l$  be a simple loop in  $\partial N$  which meets  $\partial D$  transversely at a single point (hence  $l$  is not contractible in  $\partial N$ ) and is homologous to zero in  $N$ . Then we say that  $l$  is a *longitude* of  $(N, \partial D)$ .

Let  $A, B$  be two manifolds. Then we denote by  $A \cong B$  that  $A$  is homeomorphic to  $B$ .

We prove the following three lemmas.

**LEMMA 1.** *Let  $M$  be a connected, closed 3-manifold which is irreducible and atoroidal. Let  $p: M \rightarrow S^3$  be a 3-fold irregular branched covering branched over a knot  $K$ . If  $K$  is a composite knot, then  $M$  is a 2-fold branched covering of  $S^3$  branched over a prime factor  $K_0$  of  $K$ .*

**REMARK.** By Gordon and Litherland [3, Theorem 2],  $K_0$  is simple. By Myers [12, Proposition 9.11], if  $K$  is fibered, then  $K_0$  is also fibered.

*Proof.* Since  $K$  is composite, there exists a 2-sphere  $S$  embedded in  $S^3$  which bounds two 3-balls  $B_1, B_2$  in  $S^3$  such that  $B_1 \cap B_2 = S$  and  $\alpha_i = B_i \cap K$  is a knotted arc in  $B_i$  for  $i = 1, 2$ . Since the representation associated to  $p$  is simple and  $S$  meets  $K$  transversely at two points,  $p^{-1}(S)$  consists of two 2-spheres  $S_1, S_2$  such that  $p|_{S_1}: S_1 \rightarrow S$  is a homeomorphism and  $p|_{S_2}: S_2 \rightarrow S$  is a 2-fold branched covering branched over  $K \cap S$ . Since  $M$  is irreducible, either  $p^{-1}(B_1)$  or  $p^{-1}(B_2)$  is disconnected. We may assume that  $p^{-1}(B_1)$  consists of two components  $N_1$  and  $N_2$  such that  $\partial N_i = S_i$  for  $i = 1, 2$ . Then  $p|_{N_2}: N_2 \rightarrow B_1$  is a 2-fold branched covering branched over  $\alpha_1$ . If  $N_2$  is a 3-ball, then  $\alpha_1$  is unknotted in  $B_1$  by the Branched Covering Theorem [13], a contradiction. Thus  $M - \text{int } N_2$  is a 3-ball. We may extend  $p|_{S_2}: S_2 \rightarrow S$  to a 2-fold branched covering  $q: \tilde{C} \rightarrow C$  branched over an unknotted arc  $\alpha$  in  $C$ , where  $\tilde{C}, C$  are 3-balls. Then  $p|_{N_2} \cup q: N_2 \cup_{S_2} \tilde{C} \rightarrow B_1 \cup_S C$  is a 2-fold branched covering branched over a knot  $K_0 = \alpha_1 \cup \alpha$  in  $B_1 \cup_S C \cong S^3$ . Obviously we have  $N_2 \cup_{S_2} \tilde{C} \cong M$ . By the above remark,  $K_0$  is simple. Hence, in particular,  $K_0$  is a prime factor of  $K$ . This completes the proof.  $\square$

LEMMA 2. Let  $T_1, T_2$  be tori and  $p: T_1 \rightarrow T_2$  a covering. Suppose that  $l$  is a simple loop in  $T_1$  which is not contractible in  $T_1$ . Then  $l$  is isotopic to a simple loop  $l_1$  in  $T_1$  such that  $p(l_1)$  is a simple loop in  $T_2$  and  $p|l_1: l_1 \rightarrow p(l_1)$  is a covering. (We say that  $l_1$  is in good position with respect to  $p$ .)

*Proof.* We suppose that every loop is oriented. Let  $\alpha, \beta$  be generators of  $\pi_1(T_2) \approx \mathbb{Z} \times \mathbb{Z}$ . Then we suppose that a map  $p|l: l \rightarrow T_2$  represents  $n(p\alpha + q\beta)$  in  $\pi_1(T_2)$ , where  $n, p, q \in \mathbb{Z}, n \neq 0$  and  $(p, q) = 1$ . Let  $l_2$  be a simple loop in  $T_2$  which represents  $p\alpha + q\beta$  in  $\pi_1(T_2)$ . Let  $\pi: S^1 \rightarrow l_2$  be an  $n$ -fold cyclic covering and  $i: l_2 \rightarrow T_2$  an inclusion. Since  $p|l$  is homotopic to  $i \circ \pi$ ,  $i \circ \pi$  has a lift  $\tilde{\pi}$  with respect to  $p$ . Then it is easy to show that  $l_1 = \tilde{\pi}(S^1)$  satisfies the conclusions of Lemma 2.  $\square$

LEMMA 3. Let  $M_0$  be a compact, connected 3-manifold whose boundary consists of  $n$  tori  $T_1, \dots, T_n$  ( $n \geq 1$ ), and let  $M_k$  ( $k = 1, \dots, n$ ) be a compact, connected 3-manifold such that  $\partial M_k$  is an incompressible torus in  $M_k$ . If  $M = M_0 \cup_{T_1=\partial M_1} M_1 \cdots \cup_{T_n=\partial M_n} M_n$  is atoroidal, then each  $T_k$  is compressible in  $M_0$ .

*Proof.* If  $n = 1$ , the proof is trivial. We suppose  $n > 1$ . Then it suffices to prove that  $T_1$  is compressible in  $M_0$ . We set  $P = M_0 \cup_{T_1=\partial M_1} M_1$  and  $Q = M - \text{int } M_1$ . Then  $M = P \cup_{T_2=\partial M_2} M_2 \cdots \cup_{T_n=\partial M_n} M_n$ . By induction on  $n$ , for  $k > 1$ ,  $T_k$  is compressible in  $P$ .

We suppose that  $T_1$  is incompressible in  $M_0$ . Since  $T_1 = \partial M_1$  is incompressible in  $M_1$ , it also is in  $P$ . Since  $T_k$  ( $k > 1$ ) is compressible in  $P$ ,  $(j \circ i_k)_*: \pi_1(T_k) \rightarrow \pi_1(P)$  is not injective, where  $i_k: T_k \subset M_0$  and  $j: M_0 \subset P$ . Since  $j_*: \pi_1(M_0) \rightarrow \pi_1(P) \approx \pi_1(M_0) *_{\pi_1(T_1)} \pi_1(M_1)$  is injective,  $(i_k)_*$  is not injective. Hence there exists a compressing disk  $D_k$  for  $T_k$  in  $M_0$ . By using an elementary innermost disk technique, we may assume  $D_k \cap D_l = \emptyset$  for  $2 \leq k < l \leq n$ . Let  $S_k$  ( $k = 2, \dots, n$ ) be a 2-sphere in  $M_0$  obtained by doing surgery on  $T_k$  along  $D_k$  such that  $S_k \cap S_l = \emptyset$  for  $k \neq l$ . Then  $S_k$  bounds a compact 3-manifold  $N_k$  in  $Q$  such that  $N_k \supset M_k \cup D_k$ . Since  $T_1$  is compressible in  $Q$ ,

$$\begin{aligned} j'_* \circ i'_*: \pi_1(T_1) &\rightarrow \pi_1(Q - \text{int}(N_2 \cup \cdots \cup N_k)) \rightarrow \pi_1(Q) \\ &\approx \pi_1(Q - \text{int}(N_2 \cup \cdots \cup N_k)) * \pi_1(N_2) * \cdots * \pi_1(N_k) \end{aligned}$$

is not injective, where  $i': T_1 \subset Q - \text{int}(N_2 \cup \cdots \cup N_k)$  and  $j': Q - \text{int}(N_2 \cup \cdots \cup N_k) \subset Q$ . Since  $j'_*$  is injective,  $i'_*$  is not injective. Hence  $T_1$  is compressible in  $Q - \text{int}(N_2 \cup \cdots \cup N_k) \subset M_0$ , a contradiction. Thus  $T_1$  must be compressible in  $M_0$ . This completes the proof.  $\square$

**2. Proof of Theorem.** Let  $M$  be a closed, connected 3-manifold which is atoroidal and irreducible, and let  $p: M \rightarrow S^3$  be a 3-fold irregular branched covering branched over a fibered knot  $K$ .

We suppose  $K$  is not simple, that is,  $\text{int } E(K)$  contains an incompressible torus  $T$  which is not isotopic to  $\partial E(K)$ . Then  $p^{-1}(T)$  consists of one, two, or three tori in  $M$ .

Let  $X$  be a compact orientable 2-manifold which is properly embedded in a compact 3-manifold  $Y$ . We denote by  $Y_X$  the compact 3-manifold obtained by splitting  $Y$  along  $X$ .

We use a weighted graph to study the configuration of  $p^{-1}(T)$  in  $M$ .

To each component of  $M_{p^{-1}(T)}$ , we associate a vertex  $v$  with weight  $i$  and denote the component by  $M(v)$ . The weight  $i$  indicates that  $p|_{M(v)}: M(v) \rightarrow p(M(v))$  is an  $i$ -fold branched or unbranched covering. Let  $V$  be a solid torus in  $S^3$  bounded by  $T$ . Obviously  $V$  contains  $K$ . We color a vertex  $v$  black if  $p(M(v)) = V$ , otherwise white.

To each component of  $p^{-1}(T)$ , we associate an edge  $e$  with weight  $i$  and direction, and denote the component by  $T(e)$ . The weight  $i$  indicates that  $p|_{T(e)}: T(e) \rightarrow T$  is an  $i$ -fold covering. We say that  $v$  is a vertex of  $e$  if  $\partial M(v)$  contains  $T(e)$ . An edge  $e$  is directed,  $v_1 \xrightarrow{e} v_2$ , means  $T(e)$  is compressible in the component of  $M_{T(e)}$  which contains  $M(v_2)$  (we note that  $M$  is atoroidal). An edge may have two directions. The two ends of an edge have opposite colors.

Thus we obtain the weighted graph  $\Gamma$  associated to  $(M, p^{-1}(T))$ .

The *valency* of a vertex  $v$  is the number of all edges of  $\Gamma$  with  $v$  as a common vertex.

**LEMMA 4.** *The graph  $\Gamma$  associated to  $(M, p^{-1}(T))$  satisfies the following properties.*

(i) *Let  $v_0$  be a white vertex of  $\Gamma$  with valency 1 and  $e_0$  the unique edge with  $v_0$  as a vertex. Then  $e_0$  is directed only away from  $v_0$ .*

(ii) *Let  $v_1$  be a black vertex of  $\Gamma$  with weight 1 (hence the valency of  $v_1$  is 1) and  $e_1$  the unique edge with  $v_1$  as a vertex. Then  $e_1$  is directed only toward  $v_1$ .*

(iii) *The total sum of the weights of all edges with  $v$  as a common vertex is equal to the weight of  $v$ .*

(iv)  $\Gamma$  is a tree.

(v) *The number of all black vertices of  $\Gamma$  is at most two. The number of white vertices is at most three.*

It follows that  $\Gamma$  is one of the five graphs  $\Gamma_i$  in Figure 3. (Lemma 4 does not determine the directing of the edge  $e$  in  $\Gamma_2$  nor of  $e_1$  in  $\Gamma_4$ .)

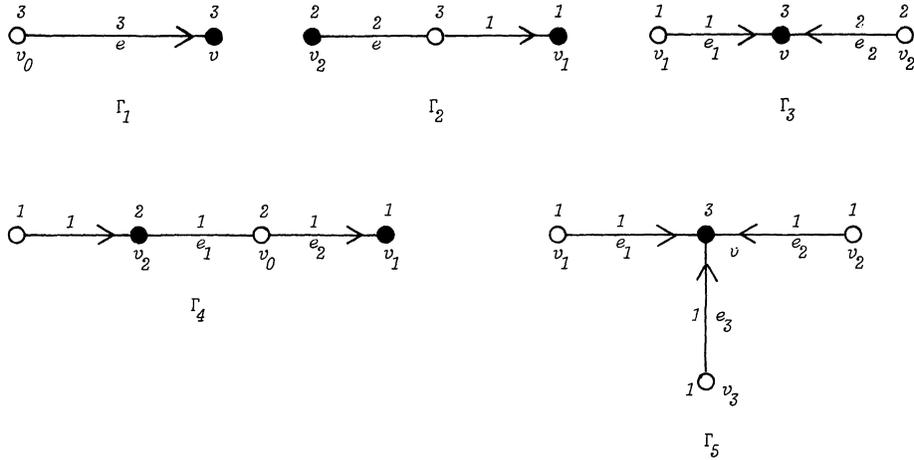


FIGURE 3

*Proof of Lemma 4.* (i) If  $T(e)$  is compressible in  $M(v_0)$ , then  $T$  is compressible in  $S^3 - \text{int } V$ , a contradiction.

(ii) Since  $p|M(v_1): M(v_1) \rightarrow V$  is a homeomorphism,  $M(v_1)$  is a solid torus. Hence  $T(e_1) = \partial M(v_1)$  is compressible in  $M(v_1)$ .

(iii) If  $p|M(v): M(v) \rightarrow V$  (or  $S^3 - \text{int } V$ ) is  $i$ -fold, then  $p|\partial M(v): \partial M(v) \rightarrow T$  is also  $i$ -fold. This gives (iii).

(iv) Let  $e$  be an edge of  $\Gamma$ . Since  $T(e)$  bounds a compact 3-manifold  $N$  in  $M$  such that  $\partial N = T(e)$  (see §1),  $T(e)$  separates  $M$  into two components. Therefore  $\Gamma$  is a tree.

(v) If  $\Gamma$  has three black vertices  $v_1, v_2, v_3$ , then every  $p|M(v_i): M(v_i) \rightarrow V$  is 1-fold. Hence  $p|M(v_i)$  is a homeomorphism. This contradicts that the branch set  $K$  of  $p$  is contained in  $V$ .  $\square$

*Proof of Theorem.* By Lemma 1 we may assume the branch set  $K$  is a prime, fibered knot. We prove the theorem by induction on  $g(K)$ . Let  $\Gamma$  be the graph associated to  $(M, p^{-1}(T))$ . By Lemma 2, we may assume that every non-contractible simple loop in  $p^{-1}(T)$  is in good position with respect to  $p|p^{-1}(T): p^{-1}(T) \rightarrow T$ .

Case 1.  $\Gamma = \Gamma_1$ .

Let  $D$  be a compressing disk for  $T(e)$  in  $M(v)$ . We set  $\partial D = \mu$ . Then  $m = p(\mu)$  is a meridian of  $V$ . It is easy to show that  $p^{-1}(m)$  is either connected (i.e.  $p^{-1}(m) = \mu$ ) or has three components  $\mu_1 (= \mu), \mu_2, \mu_3$ . If

the latter case holds, we may extend  $p|T(e): T(e) \rightarrow T$  to a 3-fold unbranched covering  $q: V_1 \rightarrow V$ , where  $V_1$  is a solid torus with meridians  $\mu_1, \mu_2, \mu_3$ . Then  $q \cup p|M(v_0): V_1 \cup_{T(e)} M(v_0) \rightarrow S^3$  is a 3-fold unbranched covering. This contradicts that  $S^3$  has no non-trivial covering. Hence we have  $p^{-1}(m) = \mu$ . Then we may extend  $p|T(e): T(e) \rightarrow T$  to a 3-fold cyclic branched covering  $r: V_2 \rightarrow V$  branched over a core  $c$  of  $V$ , where  $V_2$  is a solid torus with a meridian  $\mu$ . Then  $r \cup p|M(v_0): V_2 \cup_{T(e)} M(v_0) \rightarrow S^3$  is a 3-fold cyclic branched covering branched over  $c$ . Since  $c$  in  $S^3$  is the companion of  $K$  for  $T$ ,  $c$  is fibered and  $g(c) < g(K)$ . If  $(M(v_0), \mu)$  is a knot space-meridian pair, then  $V_2 \cup_{T(e)} M(v_0) \cong S^3$ . By the Branched Covering Theorem,  $c$  (hence  $V$ ) is unknotted in  $S^3$ . Therefore  $T = \partial V$  is compressible in a solid torus  $S^3 - \text{int } V$ , a contradiction. Hence  $M(v)$  is a solid torus with a meridian  $\mu$ . Therefore we have  $V_2 \cup_{T(e)} M(v_0) \cong M$ . By [3, Theorem 2],  $c$  is simple. Thus  $r \cup p|M(v_0)$  satisfies the conclusion of (i).

*Case 2.*  $\Gamma = \Gamma_2$  and  $\partial M(v_2)$  is compressible in  $M(v_2)$ .

Let  $D$  be a compressing disk for  $T(e)$  in  $M(v_2)$ . We set  $\mu = \partial D$ . Then  $m = p(\mu)$  is a meridian of  $V$ . If  $p^{-1}(m) \cap T(e)$  consists of two components  $\mu, \mu'$ , we may extend  $p|T(e): T(e) \rightarrow T$  to a 2-fold unbranched covering  $q: V_1 \rightarrow V$ , where  $V_1$  is a solid torus with meridians  $\mu, \mu'$ . Then

$$q \cup (p|(M - \text{int } M(v_2))): V_1 \cup_{T(e)} (M - \text{int } M(v_2)) \rightarrow S^3$$

is a 3-fold unbranched covering, a contradiction. Therefore we have  $p^{-1}(m) \cap T(e) = \mu$ . Since  $p|M(v_2): M(v_2) \rightarrow V$  is a 2-fold (cyclic) branched covering, by the Equivariant Dehn's Lemma [8, Theorem 5], we may assume  $g \cdot D = D$  for all  $g \in G$ , where  $G (\cong \mathbb{Z}_2)$  is the group of the branched covering. By the argument of Gordon and Litherland [3],  $p(D)$  is a meridian disk of  $V$  and  $p(D) \cap K$  is a single point. By Schubert [14, §14, Satz 1],  $K$  is a composite knot. This contradicts our assumption. Thus Case 2 cannot occur.

*Case 3.*  $\Gamma = \Gamma_2$  and  $\partial M(v_2)$  is incompressible in  $M(v_2)$ .

We set  $M_0 = M - \text{int } M(v_2)$ . Let  $D$  be a compressing disk for  $T(e)$  in  $M_0$ . By a remark in §1, either  $M_0$  is a solid torus with a meridian disk  $D$ , or  $(M(v_2), \partial D)$  is a knot space-meridian pair. We set  $\partial D = \mu$ .

(3.1) We suppose  $M_0$  is a solid torus. If  $p|\mu: \mu \rightarrow p(\mu)$  is a 2-fold covering (resp. a homeomorphism), then we may extend  $p|T(e): T(e) \rightarrow T$  to  $q: M_0 \rightarrow V_1$  which is a 2-fold branched covering branched over a core  $c$  of  $V_1$  (resp. a 2-fold unbranched covering), where  $V_1$  is a solid torus with a

meridian  $p(\mu)$ . Then

$$p|M(v_2) \cup q: M = M(v_2) \cup_{T(e)} M_0 \rightarrow V \cup_T V_1$$

is a 2-fold branched covering branched over a link  $K \cup c$  (resp. a knot  $K$ ). We set  $N = V \cup_T V_1$ . Thus  $p|M(v_2) \cup q$  satisfies the conclusions of (ii).

(3.2) We suppose that  $(M(v_2), \mu)$  is a knot space-meridian pair. By the argument of (3.1), we may extend  $p|T(e): T(e) \rightarrow T$  to a 2-fold branched or unbranched covering  $r: V_2 \rightarrow V_3$ , where  $V_2, V_3$  are solid tori with meridians  $\mu, p(\mu)$  respectively. Then

$$p|M(v_2) \cup r: M(v_2) \cup_{T(e)} V_2 \rightarrow V \cup_T V_3$$

is a 2-fold branched covering. Since  $(M(v_2), \mu)$  is a knot space-meridian pair,  $M(v_2) \cup_{T(e)} V_2$  is homeomorphic to  $S^3$ . Hence we have  $\pi_1(V \cup_T V_3) = 1$ , so  $V \cup_T V_3$  is homeomorphic to  $S^3$ . By Fox [2, pp. 165–166], the branch set of  $p|M(v_2) \cup r$  is connected. Therefore  $r: V_2 \rightarrow V_3$  must be an unbranched covering, so  $p|\mu: \mu \rightarrow p(\mu)$  is a homeomorphism. Let  $\lambda$  be a longitude of  $(M(v_2), \mu)$ . Since  $l = p(\lambda)$  is homologous to zero in  $V$ ,  $l$  is a meridian of  $V$ . Since  $V \cup_T V_3 \cong S^3$ , we may assume  $l \cap p(\mu)$  consists of a single point. Since  $p|\mu: \mu \rightarrow p(\mu)$  is a homeomorphism,  $p^{-1}(l) \cap \mu$  consists of a single point. Hence  $p^{-1}(l) \cap T(e)$  is connected, i.e.  $p^{-1}(l) \cap T(e) = \lambda$ . Therefore we may extend  $p|T(e): T(e) \rightarrow T$  to a 2-fold branched covering  $s: V_4 \rightarrow V$  branched over a core  $c$  of  $V$ , where  $V_4$  is a solid torus with a meridian  $\lambda$ . Then  $s \cup p|M_0: V_4 \cup_{T(e)} M_0 \rightarrow S^3$  is a 3-fold irregular branched covering branched over  $c$ . Since  $c$  in  $S^3$  is the companion of  $K$  for  $T$ ,  $c$  is a fibered knot and  $g(c) < g(K)$ . We set  $N = N(D, M_0)$ . Since  $\lambda \cap \mu$  consists of a single point,  $B_1 = V_4 \cup_{T(e) \cap N} N$  is a 3-ball in  $V_4 \cup_{T(e)} M_0$ . Since  $(M(v_2), \mu)$  is a knot space-meridian pair,  $B_2 = M(v_2) \cup_{T(e) \cap N} N$  is a 3-ball in  $M$ . Since

$$V_4 \cup_{T(e)} M_0 - \text{int } B_1 \cong \overline{(M_0 - N)} \cong M - \text{int } B_2,$$

we have  $V_4 \cup_{T(e)} M_0 \cong M$ . Hence the result follows by induction.

Case 4.  $\Gamma = \Gamma_3$ .

By Lemma 3,  $T(e_2)$  is compressible in  $M(v)$ . Let  $D_2$  be a compressing disk for  $T(e_2)$  in  $M(v)$ . We set  $\mu_2 = \partial D_2$  and  $m_2 = p(\mu_2)$ . Since  $p(D_2) \subset V$ ,  $m_2$  is a meridian  $m$  of  $V$ . If  $p^{-1}(m) \cap T(e_2)$  consists of two components  $\mu_2, \mu'_2$ , then we may extend  $p|T(e_2): T(e_2) \rightarrow T$  to a 2-fold unbranched covering  $q: V_1 \rightarrow V$ , where  $V_1$  is a solid torus with meridians  $\mu_2, \mu'_2$ . Then

$$q \cup p|M(v_2): V_1 \cup_{T(e_2)} M(v_2) \rightarrow S^3$$

is a 2-fold unbranched covering, a contradiction. Hence we have  $p^{-1}(m) \cap T(e_2) = \mu_2$ . Then we may extend  $p|T(e_2): T(e_2) \rightarrow T$  to a 2-fold branched covering  $r: V_2 \rightarrow V$  branched over a core  $c$  of  $V$ , where  $V_2$  is a solid torus with a meridian  $\mu_2$ . Then

$$r \cup p|M(v_2): V_2 \cup_{T(e_2)} M(v_2) \rightarrow S^3$$

is a 2-fold branched covering branched over  $c$ . If  $(M(v_2), \mu_2)$  is a knot space-meridian pair, then  $V_2 \cup_{T(e_2)} M(v_2) \cong S^3$ . This gives a contradiction as in Case 1. Hence  $M_1$  is a solid torus with a meridian  $\mu_2$ . Therefore we have  $V_2 \cup_{T(e_2)} M(v_2) \cong M$ . Thus  $r \cup p|M(v_2)$  satisfies the conclusion of (ii).

Case 5.  $\Gamma = \Gamma_4$ .

We may extend a homeomorphism  $p|T(e_1): T(e_1) \rightarrow T$  to a homeomorphism  $q: V_1 \rightarrow V$ , where  $V_1$  is a solid torus bounded by  $T(e_1)$ . Then

$$q \cup p|(M(v_0) \cup_{T(e_2)} M(v_1)): V_1 \cup_{T(e_1)} (M(v_0) \cup_{T(e_2)} M(v_1)) \rightarrow S^3$$

is an unbranched 2-fold covering, a contradiction. Thus Case 5 cannot occur.

Case 6.  $\Gamma = \Gamma_{5,}$

Let  $D_i$  be a compressing disk for  $T(e_i)$  in  $M - \text{int } M(v_i)$  for  $i = 1, 2, 3$ . By Lemma 3 we may assume  $D_i \subset M(v)$  and  $D_i \cap D_j = \emptyset$  for  $i \neq j$ . We set  $\mu_i = \partial D_i$ . Since  $p(D_i) \subset V$ ,  $m_i = p(\mu_i)$  is a meridian of  $V$ . We may assume  $m_1 = m_2 = m_3 (= m)$ . Since  $p|M(v_i): M(v_i) \rightarrow S^3 - \text{int } V$  is a homeomorphism,  $(M(v_i), \mu_i)$  is a knot space-meridian pair. Let  $\lambda_i$  be a longitude of  $(M(v_i), \mu_i)$ . We may assume  $l = p(\lambda_1) = p(\lambda_2) = p(\lambda_3)$ . Then  $l$  is a longitude of  $(S^3 - \text{int } V, m)$ . We may extend a homeomorphism  $p|T(e_i): T(e_i) \rightarrow T$  to a homeomorphism  $q_i: V_i \rightarrow \bar{V}$ , where  $V_i$  (resp.  $\bar{V}$ ) is a solid torus with a meridian  $\lambda_i$  (resp.  $l$ ). Then

$$p|M(v) \cup \left( \bigcup_{i=1}^3 q_i \right): M(v) \cup_{T(e_1)} V_1 \cup_{T(e_2)} V_2 \cup_{T(e_3)} V_3 \rightarrow V \cup_T \bar{V}$$

is a 3-fold irregular branched covering over  $K$  in  $V \cup_T \bar{V} (\cong S^3)$ . As in Case 3 we have

$$M(v) \cup_{T(e_1)} V_1 \cup_{T(e_2)} V_2 \cup_{T(e_3)} V_3 \cong M.$$

Obviously  $K$  in  $V \cup_T \bar{V}$  is the preimage of  $K$  (in  $V \cup_T (S^3 - \text{int } V)$ ) for  $T$ . Hence the result follows by induction. This completes the proof.  $\square$

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