

## EXAMPLES OF HEREDITARILY $l^1$ BANACH SPACES FAILING THE SCHUR PROPERTY

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A class of separable Banach sequence spaces is constructed. A member  $X$  of this class (i) is a hereditarily  $l^1$  dual space which fails the Schur property, and (ii) is of codimension one in its first Baire class. A consequence of (ii) is that  $X$  is not isomorphic to the square of any Banach space  $Y$ .

**Introduction.** In this paper we introduce and study a new class of Banach sequence spaces, the  $X_\alpha$  spaces. The definition of the norm in a particular  $X_\alpha$  space depends on the action of special sequences of intervals of integers on a vector  $x = (t_1, t_2, \dots)$  (as in the definition of the James space  $J$  [6]) in conjunction with a fixed sequence of weighting factors (as in the Lorentz sequence spaces [7].)

Let  $X$  denote a specific  $X_\alpha$  space, and let  $(e_i)$  denote the sequence of usual unit vectors in  $X$  (i.e.  $e_i(j) = \delta_{ij}$  for integers  $i$  and  $j$ ). Our main result is the following:

**THEOREM 1.** (1)  $X$  is hereditarily  $l^1$ .

(2) The sequence  $(e_i)$  is a normalized boundedly complete basis for  $X$ . Thus,  $X$  is a dual space.

(3) (i) The sequence  $(e_i)$  is a weak Cauchy sequence in  $X$  with no weak limit in  $X$ . In particular,  $X$  fails the Schur property. (ii) There is a subspace  $X_0$  of  $X$  which fails the Schur property, yet which is weakly sequentially complete.

(4) Let  $B_1(X)$  denote the first Baire class of  $X$  in its second dual, i.e.,

$$B_1(X) = \{x^{**} \in X^{**}: x^{**} \text{ is a weak* limit of a sequence } (x_n) \text{ in } X\}$$

Then  $\dim B_1(X)/X = 1$ .

Part (4) shows that the space  $X$  has properties analogous to those of the quasireflexive spaces of James. Since  $\dim B_1(X)/X$  is an isomorphism invariant, we have the following immediate consequences of the Theorem.

**COROLLARY 2.** (1) *For any  $n$  and any Banach space  $Y$ ,  $X$  is not isomorphic to  $Y^n$ . In particular,  $X$  is not isomorphic to its square.*

(2) *For any  $n > 1$ ,  $X^n$  does not imbed isomorphically in  $X$ .*

(3) *Let  $X = A \oplus B$ . Then exactly one of  $A$  or  $B$  is weakly sequentially complete and the other is of codimension one in its first Baire class.*

The properties of the  $X_\alpha$  spaces provide an interesting contrast to the work in the paper [5], where an example of a separable Banach space which has the Schur property yet fails the Radon-Nikodym property is given. The spaces presented here were designed (in part) so that the combinatorial considerations encountered in [5] could be avoided.

In addition to the James space and the Lorentz sequence spaces mentioned above, the  $X_\alpha$  spaces owe their origin to the space of Maurey and Rosenthal [8]. A class of examples (unpublished), similar to the  $X_\alpha$  spaces, was constructed independently by E. Odell.

The existence of hereditarily  $l^1$  Banach spaces failing the Schur property was shown first by Bourgain [3]. However, the analysis of the  $X_\alpha$  spaces is self contained and particularly straightforward. For example, the basic sequences which are equivalent to the usual basis of  $l^1$  are explicitly constructed, and there is no use of Rosenthal's characterization [9] of Banach spaces containing  $l^1$ .

Except as indicated below, our terminology and notation are standard. The reader is referred to the books of Day [4] and Lindenstrauss and Tzafriri [7] for standard reference material on Banach spaces.

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**Preliminaries.** In this section the definition of the  $X_\alpha$  spaces is given. First, by a block we mean an interval  $F$  (finite or infinite) of integers. For a block  $F$  and  $x = (t_1, t_2, \dots)$  a sequence of scalars such that  $\sum_j t_j$  converges, define  $\langle x, F \rangle = \sum_{j \in F} t_j$ .

To define the norm, we consider special sequences of blocks and special sequences of nonnegative reals. Specifically, we call a sequence (finite or infinite)  $F_1, F_2, \dots, F_n, \dots$  (where each  $F_i$  is a finite block) *admissible* if

$$\max F_i < \min F_{i+1} \quad \text{for } i = 1, 2, 3, \dots$$

Let us now consider a sequence  $\alpha$  of nonnegative reals ( $\alpha_i$ ) (whose terms are used as weighting factors in the definition of the norm) which

satisfies the following properties:

- (1)  $\alpha_1 = 1$  and  $\alpha_{i+1} \leq \alpha_i$  for  $i = 1, 2, \dots$ .
- (2)  $\lim_{i \rightarrow \infty} \alpha_i = 0$ .
- (3)  $\sum_{i=1}^{\infty} \alpha_i = \infty$ .

For  $x = (t_1, t_2, t_3, \dots)$  a finitely nonzero sequence of scalars, define

$$\|x\| = \max \sum_{i=1}^n \alpha_i |\langle x, F_i \rangle|$$

where the max is taken over all  $n$ , and admissible sequences  $F_1, F_2, \dots, F_n$ . Let  $X$  ( $= X_{(\alpha_i)}$ ) be the completion of the finitely non zero sequences of scalars  $x = (t_1, t_2, \dots)$  in this norm. An  $X_\alpha$  space is a Banach space constructed in this fashion from some sequence  $\alpha$  satisfying (1)–(3) above.

**REMARK.** Property (3) of the sequence  $(\alpha_i)$  is introduced to insure a new class of spaces. Indeed, if we consider sequences  $(\alpha_i)$  which satisfy (1) and

(2') there is a  $\delta > 0$  such that  $\alpha_i > \delta$  for all  $i$ , then the spaces  $X$  we obtain are all isomorphic to  $l^1$ . If we require (1), (2) and

$$(3') \sum_{i=1}^{\infty} \alpha_i < \infty,$$

then the spaces  $X$  are all isomorphic to  $c_0$ .

*Proofs of the results.* For the remainder of the paper let us pick and fix a sequence  $(\alpha_i)$  satisfying (1)–(3) above, and let  $X = X_{(\alpha_i)}$ . This section contains the analysis of the stucture of the space  $X$ .

What we will show in the proof of Theorem 1 is that an  $l^1$  subspace of  $X$  is obtained by considring block basic subsequences  $(u_i)$  of  $(e_i)$  which have the property (roughly) that the number of sets  $m$  in an admissible sequence  $F_1, F_2, \dots, F_m$  needed to norm  $u_n$  goes to  $\infty$  as  $n \rightarrow \infty$ .

Before beginning our detailed analysis, we collect some basic facts about the space  $X$  into the following lemma:

**LEMMA 3.** (a) *The sequence  $(e_i)$  forms a monotone, subsymmetric basis for the space  $X$ . (Recall that a basic sequence is subsymmetric if it is equivalent to each of its subsequences.)* (b) *For each integer  $n$ ,*

$$\left\| \sum_{i=1}^n (e_{2i-1} - e_{2i}) \right\| = \sum_{i=1}^{2n} \alpha_i.$$

The proof of part (a) of the lemma follows immediately from the definition of the norm in  $X$ . Part (b) follows from the obvious selection of the admissible sequence  $F_i = \{i\}$  for  $i = 1, 2, \dots, 2n$ .

This next simple lemma provides the key to the analysis of the space  $X$ .

**LEMMA 4.** *Let the sequence  $(\alpha_i)$  be as above, let  $n_0 > 0$  be an integer and let  $\varepsilon > 0$ . Then there exists a  $\delta > 0$  such that, if  $b_1, b_2, \dots, b_n$  are  $\geq 0$ ,  $b_i < \delta$  for all  $i$ , and  $\sum_{i=1}^n \alpha_i b_i = 1$ , then  $\sum_{i=1}^n \alpha_{i+n_0} b_i \geq 1 - \varepsilon$ .*

*Proof.* The series of nonnegative reals  $\sum_{i=1}^{\infty} [\alpha_i - \alpha_{i+n_0}]$  converges, say to  $c$ . So, for any  $n$ ,  $\sum_{i=1}^n [\alpha_i - \alpha_{i+n_0}] \leq c$ . Thus,

$$\sum_{i=1}^n [\alpha_i - \alpha_{i+n_0}] b_i \leq [\max b_i] \cdot c < \varepsilon$$

if  $\max b_i$  is small enough.

Lemma 4 provides us with a tool for calculating the norm of linear combinations of vectors in terms of the norms of the individual components. We apply this to obtain a criterion for a sequence of vectors to have a subsequence which is equivalent to the usual basis of  $l^1$ .

For  $x \in X$ , put  $s(x) = \max |\langle x, G \rangle|$  where the max is taken over all blocks  $G$ .

**LEMMA 5.** *Let  $(u_i)$  be a sequence of norm one vectors in  $X$  and  $(G_i)$  an admissible sequence of blocks such that  $\{j: u_i(j) \neq 0\} \subset G_i$ . For each  $i$ , put  $s_i = s(u_i)$ . If  $\lim_{i \rightarrow \infty} s_i = 0$ , then a subsequence  $(v_k)$  of  $(u_k)$  is equivalent to the usual basis of  $l^1$ .*

*Proof.* We select the sequence  $(v_k)$  by induction. Let  $v_1 = u_1$ . Pick  $n_1$  and admissible blocks  $F_1, F_2, \dots, F_{n_1}$  satisfying  $\max F_{n_1} = \max G_1$  and  $\sum_{i=1}^{n_1} \alpha_i |\langle v_1, F_i \rangle| = \|v_1\| = 1$ . Let  $\delta_1$  be any  $\delta$  guaranteed by Lemma 4 for the integer  $n_1$  and  $\varepsilon = 1/2$ . (To simplify notation in the remainder of the proof, let  $n_0 = 0$ .)

Assume now that we have selected for  $k = 1, \dots, p - 1$

(1) an integer  $m_k (> m_{k-1})$  so that  $v_k = u_{m_k}$ .

(2) an integer  $n_k$  ( $> n_{k-1}$ ), blocks  $F_{n_{k-1}+1}, \dots, F_{n_k}$  and  $\delta_k > 0$  such that

- (a)  $\max F_{n_k} = \max G_{m_k}$ .
- (b) The sequence  $F_1, F_2, \dots, F_{n_1}, \dots, F_{n_2}, \dots, F_{n_k}$  is admissible.
- (c)  $\sum_{i=1}^{n_k-n_{k-1}} \alpha_i |\langle v_k, F_i \rangle| = \|v_k\| = 1$ .
- (d)  $\delta_k$  is any  $\delta$  guaranteed by Lemma 4 for the integer  $n_{k-1}$  and  $\epsilon = 1/2$ .

Now let  $\delta_p > 0$  be any  $\delta$  guaranteed by Lemma 4 for the integer  $n_{p-1}$  and  $\epsilon = 1/2$ . Pick  $m_p$  ( $> m_{p-1}$ ) so that  $s_{m_p} < \delta_p$  and let  $v_p = u_{m_p}$ . Finally, pick blocks  $F_{n_{p-1}+1}, \dots, F_{n_p}$  such that (a), (b) and (c) above are satisfied for  $v_p$  and  $G_{m_p}$ . This completes the induction process.

Observe that  $|\langle v_k, F_{i+n_{k-1}} \rangle| < s_{n_k} < \delta_k$  for  $i = 1, \dots, n_k - n_{k-1}$ . By Lemma 4,

$$\sum_{i=1}^{n_k-n_{k-1}} \alpha_{i+n_{k-1}} |\langle v_k, F_{i+n_{k-1}} \rangle| > \frac{1}{2}.$$

This inequality can be rewritten as

$$\sum_{i=n_{k-1}+1}^{n_k} \alpha_i |\langle v_k, F_i \rangle| > \frac{1}{2}.$$

Now, let scalars  $t_1, t_2, \dots, t_k$  be given. Since the sequence  $F_1, \dots, F_{n_k}$  is admissible, it follows from the observation above that

$$\begin{aligned} \left\| \sum_{j=1}^n t_j v_j \right\| &\geq \sum_{j=1}^{n_n} \alpha_j \left| \left\langle \sum_{j=1}^k t_j v_j, F_i \right\rangle \right| \\ &= \sum_{j=1}^k |t_j| \sum_{i=n_{j-1}+1}^{n_j} \alpha_i |\langle v_j, F_i \rangle| > \frac{1}{2} \sum_{j=1}^k |t_j|. \end{aligned}$$

Thus, the sequence  $(v_k)$  is equivalent to the usual basis of  $l^1$ .

*Proof of Theorem 1 (1).* By standard perturbation arguments, we need only establish the result for norm one vectors  $(u_i)$  and blocks  $(G_i)$  with  $\max G_i < \min G_{i+1}$  such that  $\{j: u_i(j) \neq 0\} \subset G_i$ .

Let  $(s_i)$  be as in the statement of Lemma 5. If some subsequence of  $(s_i) \rightarrow 0$ , then we're done. If not, then there is a  $\delta > 0$  such that, for each  $i$ , there is a block  $F_i$  with  $F_i \subset G_i$  and  $|\langle u_i, F_i \rangle| > \delta$ .

Select a sequence of  $(u_i)$  (which we don't rename) so that  $\lim_{i \rightarrow \infty} \langle u_i, N \rangle$  exists. Put  $v_i = u_{2i-1} - u_{2i}$ . Then  $\|v_i\| \leq 2$  and  $\lim_{i \rightarrow \infty} \langle v_i, N \rangle = 0$ . By passing to a subsequence of  $(v_i)$  and again not

renaming, we may assume that

$$\sum_{j=1}^{\infty} |\langle v_j, N \rangle| \leq 1.$$

Thus, if  $F$  is any block, and  $m \leq n$ , it follows that

$$\left| \sum_{j=m}^n \langle v_j, F \rangle \right| \leq 5.$$

To see this, suppose that  $H_1, H_2, \dots$  is an admissible sequence of blocks, so that each  $v_i$  is supported in  $H_i$  (i.e.  $\{j: v_i(j) \neq 0\} \subset H_i$ ). Pick  $i_0$  and  $j_0$  so that  $\inf F \in H_{i_0}$  and  $\sup F \in H_{j_0}$ . Then (since  $|\langle x, F \rangle| \leq \|x\|$  for any block  $F$ ) it follows that

$$\begin{aligned} \left| \sum_{j=m}^n \langle v_j, F \rangle \right| &\leq |\langle v_{i_0}, F \rangle| + \sum_{j=i_0+1}^{j_0-1} |\langle v_j, F \rangle| + |\langle v_{j_0}, F \rangle| \\ &\leq \|v_{i_0}\| + 1 + \|v_{j_0}\| \leq 5. \end{aligned}$$

Finally, we show that for any subsequence  $(z_i)$  of  $(v_i)$ ,  $\|z_1 + \dots + z_n\| \rightarrow \infty$  as  $n \rightarrow \infty$ . For each  $i$  pick a block  $F_i \subset H_i$  such that  $|\langle z_i, F_i \rangle| > \delta$  and  $\langle z_j, F_i \rangle = 0$  if  $j \neq i$ . Clearly, the sequence  $F_1, F_2, \dots$  is admissible. So, if  $z^n = z_1 + \dots + z_n$ ,

$$\|z^n\| \geq \sum_{i=1}^n \alpha_i |\langle z^n, F_i \rangle| \geq \sum_{i=1}^n \alpha_i |\langle z_i, F_i \rangle| \geq \delta \sum_{i=1}^n \alpha_i.$$

Thus,  $\|z^n\| \rightarrow \infty$  as  $n \rightarrow \infty$ .

Now, observe that if  $F$  is any block,

$$\left| \left\langle \frac{z^n}{\|z^n\|}, F \right\rangle \right| = \frac{1}{\|z^n\|} |\langle z^n, F \rangle| \leq \frac{5}{\|z^n\|} \rightarrow 0$$

as  $n \rightarrow \infty$ .

At last we are ready to select a sequence  $(x_i)$  equivalent to the usual basis of  $l^1$ . Let  $n_1 = 1$ . Inductively pick  $n_{k+1}$  so that  $\|v_{n_k+1} + \dots + v_{n_{k+1}}\| \geq 5 \cdot 2^k$ .

Let  $x_1 = v_1/\|v_1\|$  and, for  $k > 1$ , let

$$x_{k+1} = \frac{v_{n_k+1} + \dots + v_{n_{k+1}}}{\|v_{n_k+1} + \dots + v_{n_{k+1}}\|}$$

Then  $\|x_k\| = 1$ , and the sequence  $(x_k)$  satisfies the hypotheses of Lemma 5 for some admissible sequence  $G_1, G_2, \dots$ , so a subsequence of  $(x_k)$  is equivalent to the usual basis of  $l^1$ .

*Proof of Theorem 1 (2).* Suppose that  $(t_j)$  is a sequence of scalars such that, for each integer  $n$ ,  $\|\sum_{j=1}^n t_j e_j\| \leq 1$ , yet  $\sum_{j=1}^\infty t_j e_j$  does not converge.

Without loss of generality, we may assume that

- (i)  $\sup \|\sum_{j=1}^n t_j e_j\| = 1$ .
- (ii) There exists an  $\varepsilon > 0$ , such that if  $m$  is any integer, there is a  $k > m$  with  $\|\sum_{j=m}^k t_j e_j\| > \varepsilon$ .

We claim that for every  $\delta > 0$ , there is an integer  $n$  such that, if  $F$  is a block with  $\min F > n$ , then  $|\langle \sum_{j=1}^\infty t_j e_j, F \rangle| < \delta$ . Let us assume for the moment that the claim has been established and finish the proof of (2).

Using property (i), we first find an integer  $p_0$  such that, if  $x = \sum_{j=1}^{p_0} t_j e_j$ , then  $\|x\| > 1 - \varepsilon/4$ . Now pick an admissible sequence  $F_1, F_2, \dots, F_{n_0}$  such that

$$\|x\| = \sum_{i=1}^{n_0} \alpha_i |\langle x, F_i \rangle|.$$

Let  $\delta > 0$  be any  $\delta$  guaranteed by Lemma 4 for  $\varepsilon = 1/2$  and the integer  $n_0$ . Using the claim, pick  $p_1 > p_0$  so that if  $F$  is any block with  $\min F \geq p_1$ , then  $|\langle \sum_{j=1}^\infty t_j e_j, F \rangle| < \delta$ .

Let  $y = \sum_{j=p_1}^k t_j e_j$  be chosen so that  $\|y\| > \varepsilon$ , as guaranteed by (ii). Pick blocks  $G_1, G_2, \dots, G_s$  such that  $\min G_1 \geq p_1$  and

$$\|y\| = \sum_{i=1}^s \alpha_i |\langle y, G_i \rangle|.$$

Observe that  $|\langle x, G_i \rangle| < \delta$  for all  $i = 1, \dots, s$ . Thus, by the choice of  $\delta$ ,

$$\sum_{i=1}^s \alpha_{i+n_0} |\langle x, G_i \rangle| \geq \frac{\varepsilon}{2}.$$

Then the sequence  $F_1, F_2, \dots, F_{n_0}, G_1, \dots, G_s$  is admissible, and

$$\begin{aligned} \left\| \sum_{i=1}^k t_i e_i \right\| &\geq \sum_{i=1}^{n_0} \alpha_i |\langle x, F_i \rangle| + \sum_{i=n_0+1}^{n_0+s+1} \alpha_i |\langle y, G_{i-n_0} \rangle| \\ &\geq 1 - \varepsilon/4 + \sum_{i=1}^s \alpha_{i+n_0} |\langle x, G_i \rangle| \geq 1 - \varepsilon/4 + \frac{\varepsilon}{2} > 1. \end{aligned}$$

which is a contradiction. Thus, the basis  $(e_i)$  is boundedly complete.

It remains to prove the claim. If the claim were false, we could find blocks  $G_1, G_2, \dots$  such that  $\max G_i < \min G_{i+1}$  for all  $i$  and

$$\left| \left\langle \sum_{j=1}^\infty t_j e_j, G_i \right\rangle \right| > \delta$$

for each  $i$ . But then, if  $m > \max G_{i(m)}$ , and  $x^m = \sum_{j=1}^m t_j e_j$ ,

$$\|x^m\| > \sum_{i=1}^{i(m)} \alpha_i |\langle x^m, G_i \rangle| > \delta \sum_{i=1}^{i(m)} \alpha_i.$$

Since we can choose  $i(m)$  so that  $i(m) \rightarrow \infty$  as  $m \rightarrow \infty$ , it follows that  $\|x^m\| \rightarrow \infty$  as  $m \rightarrow \infty$ , a contradiction. This establishes the claim and finishes the proof of part (2).

The following result is crucial to the proof of parts 3 (ii) and 4 of the Theorem:

**LEMMA 6.** *Let  $(u_i)$  be a bounded sequence in  $X$  and  $(G_i)$  an admissible sequence of blocks such that*

- (i)  $\{j: u_i(j) \neq 0\} \subset G_i$ .
- (ii)  $\langle u_i, N \rangle = 0$  for each  $i$ .
- (iii)  $(u_i)$  is a weak Cauchy sequence in  $X$ .

*Then  $(u_i) \rightarrow 0$  weakly in  $X$ .*

*Proof.* First observe that  $(u_i)$  is an unconditional basic sequence in  $X$ . This follows easily from the fact that, for any scalars  $(t_i)$ , and any  $j$ ,  $\|\sum_{i \neq j} t_i u_i\| \leq \|\sum_i t_i u_i\|$ . See [7] (Proposition 1.c.6, page 18).

Now, assume that  $(u_i)$  does not converge weakly to 0. Then, there exists an  $f \in X^*$ ,  $\|f\| = 1$ , and a  $\delta > 0$  such that (passing to a subsequence of  $(u_i)$  and not renaming)  $f(u_i) > \delta$  for all  $i$ . On the other hand, since  $(u_i)$  is unconditional and not equivalent to the usual basis of  $l^1$ , there are an  $N$  and non-negative scalars  $t_1, \dots, t_N$  such that

$$\sum_{i=1}^N t_i = 1 \quad \text{and} \quad \left\| \sum_{i=1}^N t_i v_i \right\| < \frac{\delta}{2}.$$

Thus,

$$\frac{\delta}{2} > f \left( \sum_{i=1}^N t_i v_i \right) > \sum_{i=1}^N t_i f(v_i) > \delta,$$

which contradicts the assumption that  $(u_i)$  does not converge weakly to 0. This completes the proof of Lemma 6.

*Proof of Theorem 1 (3-i).* If the sequence  $(e_i)$  were not weak Cauchy, we could find  $n_1 < m_1 < n_2 < m_2 < \dots$ , a  $\delta > 0$ , and an  $f \in X^*$  with  $\|f\| = 1$  and  $f(e_{n_i} - e_{m_i}) > \delta$  for all  $i$ . Thus,

$$\left\| \frac{1}{N} \sum_{i=1}^N (e_{n_i} - e_{m_i}) \right\| > \delta \quad \text{for all } N.$$

But since the basis  $(e_i)$  of  $X$  is subsymmetric, it follows from Lemma 3 that

$$\left\| \frac{1}{N} \sum_{i=1}^N (e_{n_i} - e_{m_i}) \right\| = \frac{1}{N} \sum_{i=1}^{2N} \alpha_i \rightarrow 0 \quad \text{as } N \rightarrow \infty.$$

Thus, the sequence  $(e_i)$  is weak Cauchy.

Suppose that this sequence has a weak limit  $x \in X$ . If  $x = (t_j)$ , then

$$t_j = \langle x, \{j\} \rangle = \lim_{i \rightarrow \infty} \langle e_i, \{j\} \rangle = 0,$$

so  $x = 0$ . On the other hand,

$$\langle x, N \rangle = \lim_{i \rightarrow \infty} \langle e_i, N \rangle = 1,$$

which is a contradiction.

*Proof of Theorem 1. (3-ii).* For each integer  $i$ , let  $x_i = e_{2i} - e_{2i-1}$ , and let  $X_0$  be the closed subspace of  $X$  generated by the sequence  $(x_i)$ . Since  $(x_i)$  is an unconditional basic sequence (see the proof of Lemma 6) and since  $X_0$  contains no isomorph of  $c_0$ , it follows from [4] (Theorem 2, page 74) that  $X_0$  is weakly sequentially complete. On the other hand,  $\|x_i\| > 1$  for all  $i$  and, as was shown in the proof of part (3-i),  $x_i \rightarrow 0$  weakly. Thus,  $X_0$  fails the Schur property.

**REMARK.** Since the space  $X$  contains no isomorph of  $c_0$  and fails to be weakly sequentially complete, it follows from a result of Bessaga and Pelczynski [2] that  $X$  does not imbed isomorphically in a space with an unconditional basis. (See also [4], page 74.) H. Rosenthal has observed that, in fact,  $X$  does not have local unconditional structure.

*Proof of Theorem 1 (4).* Let  $\theta_0 \in X^{**}$  be the weak\* limit of the sequence  $(e_i)$  in  $X$ . We will show that if  $(v_i)$  is a weak Cauchy sequence in  $X$ , then  $v_i \rightarrow x + \alpha \cdot \theta_0$ , where  $x \in X$  and  $\alpha = \lim_{i \rightarrow \infty} \langle v_i, N \rangle$ .

For each  $i$ , let  $f_i \in X^*$  be defined by  $f_i(e_j) = \delta_{ij}$ . First, observe that if  $u_i \rightarrow x^{**}$  weak\*, then  $x^{**} = x + \theta$ , where  $x \in X$  and  $\theta(f_i) = 0$  for each  $i$ . (This follows from the fact that  $X$  is a dual space and the usual duality arguments.) Let  $w_i = v_i - x$ . Then  $w_i \rightarrow \theta$  weak\*. From this it follows that  $f_j(w_i) \rightarrow \theta(f_j) = 0$  as  $i \rightarrow \infty$ . By standard perturbation arguments, we can assume that a subsequence of the  $(w_i)$  (which we don't

rename) satisfies the following:

There is an admissible sequence  $(G_i)$  of blocks with  $\max G_i + 1 < \min G_{i+1}$  and  $\{j: w_i(j) \neq 0\} \subset G_i$ .

Let  $m_i = \max G_i + 1$ , and  $u_i = w_i - \langle w_i, N \rangle \cdot e_{m_i}$ . By Lemma 6,  $u_i \rightarrow 0$  weakly in  $X$ . On the other hand,

$$u_i = w_i - \langle w_i, N \rangle \cdot e_{m_i} \rightarrow \theta - \alpha \cdot \theta_0$$

weak\* in  $X^{**}$ , where  $\alpha = \lim_{i \rightarrow \infty} \langle w_i, N \rangle$ . Thus,  $\theta = \alpha \cdot \theta_0$ . This shows that  $x^{**} = x + \alpha \cdot \theta_0$  and completes the proof of part 4 and of Theorem 1.

**Final remarks.** There are a number of possible future directions that one might take in studying further the structure of the  $X_\alpha$  spaces. We briefly list some of them:

- (1) Determine the isomorphism types of the spaces  $X_\alpha$  in terms of the sequence  $\alpha = (\alpha_i)$ .
- (2) If  $X$  is isomorphic to  $A \oplus B$ , must one of  $A$  or  $B$  be isomorphic to  $X$ ? (Corollary 2 shows that the usual decomposition techniques do not apply to the space  $X$ .)
- (3) Since each  $X$  is a dual space,  $X = Y^*$  for some Banach space  $Y$ . What is the subspace structure of  $Y$ ? In particular, is  $Y$  hereditarily  $c_0$ ?
- (4) Is  $X$  hereditarily complementably  $l^1$ ?

*Added in proof.* A. Andrew (Rocky Mountain J., to appear) has shown that  $X_\alpha$  and  $X_\beta$  are isomorphic if and only if they are equal as sets, answering question (1). He also has shown that if  $X$  is isomorphic to  $A \oplus B$ , then one of  $A$  or  $B$  contains a complemented isomorph of  $X$ . The second named author (in preparation) has shown that the answer to question (4) is yes, and that, if  $Y^* = X$ , there are many subspaces of  $Y$  isomorphic to  $c_0$ .

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