STATIONARY SURFACES IN MINKOWSKI SPACES, I. A REPRESENTATION FORMULA

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Gu has derived a representation formula for stationary surfaces in the 3-dimensional Minkowski space using the Legendre transformation. By a different method, we generalize his results to any Minkowski spaces.

1. Introduction. Given a differentiable map $f: (M_1, g_1) \rightarrow (M_2, g_2)$ between two manifolds with nondegenerate (definite or indefinite) metrics, its energy is defined as

$$E(f) = \int_{M_1} |df|^2 \, d \operatorname{vol}_{g_1}.$$

A critical point of this energy functional is called a *harmonic map*. Let (M_2, g_2) be any Minkowski space, (M_1, g_1) an immersed submanifold of M_2 such that the induced metric g_1 is nondegenerate except possibly on a subset of codimension at least one. Let $f: M_1 \to M_2$ be the inclusion map. Then its energy is still well defined. If f is a critical point for the energy (i.e., the mean curvature vanishes), M_1 is called a stationary submanifold in the Minkowski space M_2 ; if M_1 has real dimension two, then we call it a stationary surface, since in general it is not extremal-neither minimal nor maximal. Although minimal surface theory in Euclidean spaces has been studied for hundreds of years, the analogous stationary surface theory in Minkowski spaces only has a very short history. Suppose p is a point on the surface S in a Minkowski space. We call p an *elliptic*, hyperbolic, *parabolic* point if the induced metric on S at p is definite, indefinite but nondegenerate, degenerate respectively. A powerful tool to study the stationary surface is to use isothermal coordinates, whose existence is well-known. At a hyperbolic point, if the metric is written as $ds^2 = f(x, y) dx dy$, then (x, y) are called *characteristic coordi*nates. This paper is inspired by Gu's work [1] and [2], of which many ideas are carried on here.

2. Representation formula. We denote by $\mathbb{R}^{m,n}$ the Minkowski space of signature (m, n), i.e., the vector space \mathbb{R}^{m+n} with the metric

$$ds^2 = \left(\sum_{i=1}^m - \sum_{i=m+1}^{m+n}\right) dx_i^2.$$

Let

$$K^{m,n} = \{x \in \mathbb{R}^{m,n} \mid \langle x, x \rangle = 0\},\$$
$$\mathbb{N}^{m,n} = \left\{ (\zeta_1, \dots, \zeta_{m+n}) \in \mathbb{C}^{m+n} \mid \left(\sum_{i=1}^m -\sum_{i=m+1}^{m+n}\right) \zeta_i^2 = 0 \right\}$$

be the real and complex null cones. We will see that stationary surfaces are equivalent to maps into null cones.

A. Elliptic stationary surfaces.

THEOREM 2.1. Suppose $X: S \to \mathbb{R}^{m,n}$ is an elliptic stationary surface, and $p \in S$. Then there exists a neighborhood U of p, and isothermal coordinates (x, y) on U, such that X can be written

(1)
$$X(\zeta) = X(0) + \operatorname{Re} \int_0^{\zeta} \Phi(\zeta) \, d\zeta \qquad (\zeta = x + iy),$$

where

(2)
$$\Phi: U \to \mathbb{N}^{m,n} \subset \mathbb{C}^{m+n}$$

is holomorphic, satisfying

(3)
$$\|\Phi\|_{m,n}^2 \neq 0$$
 everywhere.

Conversely, any holomorphic map (2) satisfying (3) gives rise to an elliptic stationary surface (1) in $\mathbb{R}^{m,n}$.

Proof. Suppose $X: S \to \mathbb{R}^{m,n}$ is an elliptic immersion. Then under the isothermal coordinates (x, y) on S, the map X is stationary iff

(4)
$$X_{xx} + X_{yy} = 0.$$

Let

$$\zeta = x + iy, \quad \overline{\zeta} = x - iy.$$

Then

$$\Phi = X_{\zeta} = \frac{1}{2}(X_x - X_y)$$

satisfies

$$\Phi_{\overline{\zeta}}=0$$

i.e., Φ is holomorphic Since (x, y) are isothermal, the image of Φ lies in $\mathbb{N}^{m,n}$. Since X is an immersion, (3) holds. Conversely, it is straightforward to derive (4) from (1), (2) and (3).

Theorem 2.1 can be stated as the following multi-tuplets phenomena (as Gu noted in [1], pp. 176):

Let

$$\varphi \colon D \to \mathbb{N}^{k,0} \subset \mathbb{C}^k \qquad (D \subset \mathbb{C})$$

be a holomorphic map. Let

$$X: D \to \mathbb{R}^{m, n} \qquad (m+n=k)$$
$$X(\zeta) = \begin{cases} m \begin{cases} \operatorname{Re} \\ \vdots \\ \operatorname{Re} \\ n \begin{cases} \operatorname{Im} \\ \vdots \\ \operatorname{Im} \end{cases}} \int \varphi(\zeta) \, d\zeta. \end{cases}$$

Then as long as

$$\|\varphi\|_{m,n}^2\neq 0,$$

X is an elliptic immersion, and is stationary. Thus φ can be viewed as a mother who gives birth to a 2^k -tuplet of stationary babies.

EXAMPLE 2.1. If m = 3, n = 0, then Theorem 2.1 reduces to the Enneper-Weierstrass representation for minimal surfaces in \mathbb{R}^3 .

EXAMPLE 2.2. For m = 2, n = 1, Gu [1] developed an excellent geometric interpretation for stationary surfaces in 3-dimensional Minkowski space $\mathbb{R}^{2,1}$. His formula

$$X(x, y) = \operatorname{Re} \int f(\zeta)(\cos \zeta, \sin \zeta, 1) \, d\zeta \qquad (\zeta = x + iy)$$

can be obtained from Theorem 2.1 by the following fact:

A holomorphic map $\varphi: D \to \mathbb{N}^{2,1}$ $(D \subset \mathbb{C})$, with $\|\varphi\|_{2,1}^2 \neq 0$, can always be locally written as

$$\varphi(\zeta) = f(\zeta)(\cos\zeta\,,\,\sin\zeta\,,\,1)$$

except when $\varphi(D)$ lies in a complex line.

JIANGFAN LI

EXAMPLE 2.3. m = 3, n = 1. Up to an isometry of $\mathbb{R}^{3,1}$, every elliptic stationary surface in $\mathbb{R}^{3,1}$ can locally be expressed by

where $f(\zeta)$, $g(\zeta)$ and $h(\zeta)$ are arbitrary holomorphic functions, and $fg \neq 0$, $(\operatorname{Im} g)^2 + (\operatorname{Im} h)^2 \neq 0$.

Proof. Let X be an elliptic stationary surface in $\mathbb{R}^{3,1}$. By Theorem 2.1, locally there exists a holomorphic map

$$\varphi: D \to \mathbb{N}^{3,1} \qquad (D \subset \mathbb{C})$$

such that

 $\|\varphi\|_{3,1}^2 \neq 0$

and

$$X = \operatorname{Re} \int \varphi(\zeta) \, d\zeta \, .$$

Write $\varphi = (\varphi_1, \varphi_2, \varphi_3, \varphi_4)$; then

$$\begin{split} \varphi_1^2 + \varphi_2^2 + \varphi_3^2 - \varphi_4^2 &= 0, \\ |\varphi_1|^2 + |\varphi_2|^2 + |\varphi_3|^2 - |\varphi_4|^2 \neq 0. \end{split}$$

Without the loss of generality, we may assume

$$\varphi_1 \neq 0.$$

Then, by a rotation in the (x_1, x_2) -plane, we may assume

$$\varphi_1\neq 0\,,\quad \varphi_1^2+\varphi_2^2\neq 0\,.$$

Thus $\varphi_3 \neq \pm \varphi_4$, and

$$h = -\frac{1}{\varphi_1} \left(\varphi_2 + \sqrt{\varphi_1^2 + \varphi_2^2} \right),$$

$$g = -\sqrt{\frac{\varphi_4 - \varphi_3}{\varphi_4 + \varphi_3}},$$

$$f = \frac{\varphi_1}{4gh}$$

are holomorphic functions. Now it is easy to see that

$$\varphi_1 = 4fgh$$
, $\varphi_2 = 2fg(1-h^2)$, $\varphi_3 = f(1-g^2)(1+h^2)$,
 $\varphi_4 = f(1+g^2)(1+h^2)$.

EXAMPLE 2.4. As in Example 2.3, up to a rigid motion, an elliptic stationary surface in $\mathbb{R}^{m,n}$ can be locally expressed by

$$\begin{split} X &= \operatorname{Re} \int \left(2^{N-1} \prod_{j=1}^{N} f_j \right), \\ & 2^{N-k} \left(\prod_{j=1}^{N-k+1} f_j \right) (1 - f_{N-k+2}^2) \\ & \times \prod_{j=N-k+3}^{N} (1 + f_j^2), \ (k = 2, \dots, m), \\ & 2^{N-k} \sqrt{-1} \left(\prod_{j=1}^{N-k+1} f_j \right) (1 - f_{N-k+2}^2) \\ & \times \prod_{j=N-k+3}^{N} (1 + f_j^2), \ (k = m+1, \dots, N), \\ & f_1 \prod_{j=2}^{N} (1 + f_j^2) \right) d\zeta \\ & (N = m + n - 1). \end{split}$$

where f_i , j = 1, ..., N, are holomorphic functions of ζ .

B. Hyperbolic stationary surfaces.

THEOREM 2.2. Suppose $X: S \to \mathbb{R}^{m,n}$ is a hyperbolic stationary surface, and $p \in S$. Then there exists a neighborhood U of p, and characteristic coordinates (x, y) on U, such that X can be written

(5)
$$X(x, y) = X(0) + \int_0^x Y(x) \, dx + \int_0^y Z(y) \, dy$$

where

(6)
$$Y, Z: (-\varepsilon, \varepsilon) \to K^{m, n}$$

are smooth (assume $(-\varepsilon, \varepsilon) \times (-\varepsilon, \varepsilon) \subset U$), satisfying

(7)
$$\langle Y(x), Z(y) \rangle \neq 0 \text{ for all } (x, y).$$

Conversely, any smooth maps (6) satisfying (7) give rise to a hyperbolic stationary surface (5) in $\mathbb{R}^{m,n}$.

Proof. Suppose $X: S \to \mathbb{R}^{m,n}$ is a hyperbolic immersion. Then under the characteristic coordinates (x, y) on S, the map X is stationary iff

Thus

$$Y = X_x$$
, $Z = X_y$

are one-variable functions, and

$$\begin{aligned} X(x, y) &= X(0, 0) + \int_0^x X_x(x, 0) \, dx + \int_0^y X_y(x, y) \, dy \\ &= X(0) + \int_0^x Y(x) \, dx + \int_0^y Z(y) \, dy \, . \end{aligned}$$

This proves formula (5). Since (x, y) are characteristic coordinates, the images of Y and Z lie in $K^{m,n}$. Since X is an immersion, (7) holds. Conversely, it is straightforward to derive (8) from (5), (6) and (7).

Theorems 2.1 and 2.2 can be unified into the following version:

In the elliptic case, let $\varphi: D \to \mathbb{N}^{m,n}$ $(D \subset \mathbb{C})$ be holomorphic and $\|\varphi\|_{m,n}^2 \neq 0$; in the hyperbolic case, let $\varphi(\zeta) = Y(x) - iZ(y): \mathbb{C} \to K + iK$ $(K = K^{m,n})$ be smooth and $\langle \varphi, \varphi \rangle_{m,n} = 2\langle Y, Z \rangle_{m,n} \neq 0$. In both cases, let

$$X = \operatorname{Re} \int_{\zeta_0}^{\zeta} \varphi(\zeta) \, d\zeta \qquad (\zeta = x + iy)$$

which does not depend on the route from ζ_0 to ζ . Then

$$X: D \to \mathbb{R}^{m, r}$$

is a stationary immersion. In elliptic case, (x, y) are the isothermal coordinates; in hyperbolic case, (x, y) are the characteristic coordinates. Moreover, every elliptic or hyperbolic stationary surface comes from this manner.

This statement shows that the stationary surface is heavily related to the null cone.

EXAMPLE 2.5. Since every regular smooth map $F: R \to K^{2,1}$ can locally be written as

$$F(s) = s(\cos\lambda, \,\sin\lambda, \,1)$$

where $\lambda = \lambda(s)$ is a smooth function, a hyperbolic stationary surface in $\mathbb{R}^{2,1}$ can locally be expressed by

$$X = \int s(\cos\lambda, \sin\lambda, 1) \, ds + t(\cos\mu, \sin\mu, 1) \, dt$$

where $\lambda = \lambda(s)$ and $\mu = (t)$ are smooth functions, and $\cos(\lambda - \mu) \neq 1$. If

$$\frac{d\lambda}{ds}\neq 0\,,\quad \frac{d\mu}{dt}\neq 0\,,$$

then we can use (λ, μ) as local coordinates, and write the surface as

$$X = \int f(\lambda)(\cos\lambda,\,\sin\lambda,\,1)\,d\lambda + g(\mu)(\cos\mu,\,\sin\mu,\,1)\,d\mu\,.$$

This is Gu's formula ([1]).

EXAMPLE 2.6. Every hyperbolic stationary surface in $\mathbb{R}^{3,1}$ can locally be expressed by

$$X = \int s(\cos\alpha\cos\beta, \cos\alpha\sin\beta, \sin\alpha, 1) ds + t(\cos\lambda\cos\mu, \cos\lambda\sin\mu, \sin\lambda, 1) dt$$

where $\alpha(s)$, $\beta(s)$, $\lambda(t)$, $\mu(t)$ are smooth functions, satisfying

 $\sin \alpha \sin \lambda \neq 1$, and $\{\cos(\beta - \mu) \neq \pm 1 \text{ or } \cos(\alpha \mp \lambda) \neq 1\}$.

There is a similar formula for hyperbolic stationary surfaces in $\mathbb{R}^{m,n}$.

EXAMPLE 2.7. There is another representation formula for hyperbolic stationary surfaces. By the same method as in Example 2.3, one can prove that a hyperbolic stationary surface in $\mathbb{R}^{3,1}$, up to a rigid motion, can locally be written

where $f_j(\lambda)$, $g_j(\mu)$, j = 1, 2, 3, are smooth functions satisfying certain nondegenerate conditions. There is a similar expression for hyperbolic stationary surfaces in $\mathbb{R}^{m,n}$.

JIANGFAN LI

3. A construction of the stationary surface of mixed type.

LEMMA 3.1. Let $X: S \to \mathbb{R}^{m,n}$ be a stationary surface. Suppose γ is a differentiable curve in X, consisting of parabolic points only. Then γ is a null curve. In particular, the borderline in a mixed stationary surface is a null curve.

Proof. Suppose at some point $p \in \gamma$,

$$\langle \dot{\gamma}(p), \dot{\gamma}(p) \rangle \neq 0.$$

Then there exists a local frame $\{e_1, e_2\}$ in a neighborhood of p on the surface, such that

$$\langle e_1, e_2 \rangle = 0$$
 everywhere,
 e_1 is tangent to γ on γ .

Then by integration, we can find a set of local coordinates (u, v) in a neighborhood of p, such that, the metric can be written

$$ds^2 = E \, du^2 + G \, dv^2$$

and the curve γ is

$$v = 0.$$

We have the following information

$$E \neq 0$$
, $G = 0$ on γ , $F = \langle X_u, X_v \rangle = 0$.

Denote

(9)
$$G = v^n f \qquad (n \ge 1, f \ne 0),$$
$$g = EG - F^2 = EG.$$

Since X is stationary, $\Delta X = 0$ (away from the γ). Since F = 0,

$$0 = \Delta X = \frac{1}{E} X_{uu} + \frac{1}{G} X_{vv} + \frac{1}{2E} \left(\ln \frac{G}{E} \right)_{u} X_{u} + \frac{1}{2G} \left(\ln \frac{E}{G} \right)_{v} X_{v}$$

$$= \frac{1}{E} X_{uu} + \frac{1}{2E} \left(\ln \frac{f}{E} \right)_{u} X_{u} + v^{-n} \left(\frac{1}{f} X_{vv} + \frac{1}{2f} \left(\ln \frac{E}{f} \right)_{v} X_{v} \right)$$

$$- \frac{n}{2f} v^{-(n+1)} X_{v}.$$

Multiplying the above by v^{n+1} , letting $v \to 0$, we get a contradiction.

For any analytic surface, once we know a piece of it, we know all of it. Now from a regular analytic null curve $\gamma(\theta)$ in $\mathbb{R}^{m,n}$, we construct an analytic stationary surface of mixed type as follows:

The hyperbolic part is

(10)
$$X(\theta, \sigma) = \frac{1}{2}(\gamma(\theta + \sigma) + \gamma(\theta - \sigma))$$

The elliptic part is

(11)
$$Y(\theta, \sigma) = \frac{1}{2}(\gamma(\theta + i\sigma) + \gamma(\theta - i\sigma)).$$

If an analytic curve γ satisfies

(12)
$$\langle \dot{\gamma}(s), \dot{\gamma}(t) \rangle = 0$$
 for all s, t

then up to an isometry of $\mathbb{R}^{m,n}$, γ can be written as

$$\gamma(t) = \sum_{j=1}^{\min(m, n)} f_j(t)(0, \dots, 0, \stackrel{j}{1}, 0, \dots, 0, \stackrel{m+n-j}{1}, 0, \dots)$$

where f_j , for all j, are analytic functions. The "generated surface" $X \cup \gamma \cup Y$ either degenerates to γ , or becomes a surface with the induced metric form identically vanishing. Therefore we must exclude this case. In $\mathbb{R}^{n,1}$ or $\mathbb{R}^{1,n}$, a curve satisfying (12) is a null straight line.

LEMMA 3.2. Let γ be an analytic null curve in $\mathbb{R}^{m,n}$, satisfying

(13)
$$\langle \dot{\gamma}(s), \dot{\gamma}(t) \rangle \neq 0$$
 for all $0 < s - t < \varepsilon$

for some fixed $\varepsilon > 0$. Then in a neighborhood of γ , the point set $X \cup \gamma \cup Y$, obtained from (10) and (11), is an analytic stationary surface in $\mathbb{R}^{m,n}$ of mixed type.

Proof. For small $\sigma > 0$, from (10) and (13), we have

$$\langle X_{\theta}, X_{\sigma} \rangle = \frac{1}{2} \langle \dot{\gamma}(\theta + \sigma), \dot{\gamma}(\theta - \sigma) \rangle \neq 0.$$

But

$$\langle X_{\theta}, X_{\theta} \rangle = \langle X_{\sigma}, X_{\sigma} \rangle = 0.$$

Therefore X is an analytic surface. For the same reason, Y is an analytic surface, too. It is easy to see that

$$\lim_{\sigma\to 0} X(\theta\,,\,\sigma) = \lim_{\sigma\to 0} Y(\theta\,,\,\sigma) = \gamma(\theta)\,.$$

Therefore $X \cup \gamma \cup Y$ is first a continuous surface in a neighborhood of γ . After applying a rotation in the ambient space \mathbb{R}^{m+n} , the hyperbolic part X can be locally written as a graph

(14)
$$z = f(x, y)$$
 $(z = (z_1, \dots, z_{m+n-2}))$

JIANGFAN LI

where f is a vector-valued analytic function. It falls into an identity if we plug in

 $x = x_1(\theta, \sigma), \quad y = x_2(\theta, \sigma), \quad z = (x_3(\theta, \sigma), \dots, x_{m+n}(\theta, \sigma))$ by (10). Since everything is analytic, it also holds for

$$\begin{aligned} x &= x_1(\theta, i\sigma), \qquad y &= x_2(\theta, i\sigma), \\ z &= (x_3(\theta, i\sigma), \dots, x_{m+n}(\theta, i\sigma)), \end{aligned}$$

i.e., the elliptic part Y can also be expressed as the same graph (14). Thus we verified that $X \cup y \cup Y$ is an analytic surface. Finally, the induced metric is

 $ds^{2} = \begin{cases} \frac{1}{2} \langle \dot{\gamma}(\theta + i\sigma), \dot{\gamma}(\theta - i\sigma) \rangle (d\theta^{2} + d\sigma^{2}) & \text{(on elliptic part),} \\ \frac{1}{2} \langle \dot{\gamma}(\theta + \sigma), \dot{\gamma}(\theta - \sigma) \rangle (d\theta^{2} - d\sigma^{2}) & \text{(on hyperbolic part).} \end{cases}$

Therefore

$$\Delta X=0\,,\quad \Delta Y=0\,.$$

Thus $X \cup \gamma \cup Y$ is stationary in $\mathbb{R}^{m,n}$.

Combining these two lemmas, we get

THEOREM 3.3. In a Minkowski space $\mathbb{R}^{m,n}$, every analytic null curve γ satisfying (13) generates an analytic mixed stationary surface through (10) and (11). Conversely, every analytic mixed stationary surface is generated by such a null curve.

EXAMPLE 3.1. In $\mathbb{R}^{n,1}$ or $\mathbb{R}^{1,n}$, every non-straight analytic null curve generates an analytic mixed stationary surface. Let $(x(\theta), y(\theta))$ be a regular analytic plane curve (not a straight line). Set

$$\gamma(\theta) = \left(x(\theta), y(\theta), \int \sqrt{\dot{x}(\theta)^2 + \dot{y}(\theta)^2} \, d\theta\right)$$

Then γ is a non-straight analytic null curve in $\mathbb{R}^{2,1}$, from which one can construct a mixed stationary surface in $\mathbb{R}^{2,1}$ by (10) and (11). This generalizes Gu's construction in [2], where an extra condition that the plane curve has positive curvature is required.

EXAMPLE 3.2. $\gamma(\theta) = (\cos \theta, \sin \theta, \operatorname{ch} \theta, \operatorname{sh} \theta)$ in $\mathbb{R}^{3,1}$ generates the mixed stationary surface

$$\begin{cases} \tan^{-1} \frac{x_2}{x_1} = \tan^{-1} \frac{x_4}{x_3}, \\ \begin{cases} \cosh^{-1} \sqrt{x_1^2 + x_2^2} = \cos^{-1} \sqrt{x_3^2 - x_4^2} & \text{(elliptic part),} \\ \text{or} \\ \cos^{-1} \sqrt{x_1^2 + x_2^2} = \cosh^{-1} \sqrt{x_3^2 - x_4^2} & \text{(hyperbolic part).} \end{cases}$$

362

EXAMPLE 3.3. $\gamma(\theta) = (\frac{1}{a}\cos(a\theta), \frac{1}{a}\sin(a\theta), \cos\theta, \sin\theta)$ in $\mathbb{R}^{2,2}$ generates the mixed stationary surface

$$\begin{cases} \tan^{-1} \frac{x_2}{x_1} = a \tan^{-1} \frac{x_4}{x_3}, \\ \begin{cases} \operatorname{ch}^{-1}(a \sqrt{x_1^2 + x_2^2}) = a \operatorname{ch}^{-1} \sqrt{x_3^2 + x_4^2} & \text{(elliptic part),} \\ \operatorname{or} \\ \cos^{-1}(a \sqrt{x_1^2 + x_2^2}) = a \cos^{-1} \sqrt{x_3^2 + x_4^2} & \text{(hyperbolic part).} \end{cases}$$

It is elliptic on $x_3^2 + x_4^2 > 1$, hyperbolic on $x_3^2 + x_4^2 < 1$. In particular, if a = 2, this is the mixed stationary surface

$$\begin{cases} x_2(x_3^2 - x_4^2) = 2x_1x_3x_4, \\ \sqrt{x_1^2 + x_2^2} = x_3^2 + x_4^2 - \frac{1}{2} \end{cases}$$

If a = 3, this is the mixed stationary surface

$$\begin{cases} x_2 x_3 (x_3^2 - 3x_4^2) = x_1 x_4 (3x_3^2 - x_4^2), \\ 3\sqrt{x_1^2 + x_2^2} = \sqrt{x_3^2 + x_4^2} (4(x_3^2 + x_4^2) - 3). \end{cases}$$

EXAMPLE 3.4. $\gamma(\theta) = (\cos \theta, \sin \theta, \frac{1}{2} \cos 2\theta, \frac{1}{2} \sin 2\theta, \sqrt{2}\theta)$ in $\mathbb{R}^{4,1}$ generates the mixed stationary surface

$$x_{3} = \frac{1}{2}(x_{1}^{2} - x_{2}^{2})\left(2 - \frac{1}{x_{1}^{2} + x_{2}^{2}}\right),$$

$$x_{4} = x_{1}x_{2}\left(2 - \frac{1}{x_{1}^{2} + x_{2}^{2}}\right),$$

$$x_{5} = \sqrt{2}\arctan\frac{x_{2}}{x_{1}}.$$

It is elliptic on $x_1^2 + x_2^2 > 1$, hyperbolic on $x_1^2 + x_2^2 < 1$.

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