

## LIFTINGS OF IRREDUCIBLE CHARACTERS OF FINITE REDUCTIVE GROUPS

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**Introduction.** Let  $G$  be a connected linear algebraic group defined over a finite field  $k = \mathbf{F}_q$  of characteristic  $p$  with Frobenius  $\sigma$ . For any set  $X$  on which  $\sigma$  acts,  $X_\sigma$  is the set of  $\sigma$ -fixed points. T. Shintani [8] constructed an intrinsic bijection of  $(G_\sigma)^\wedge$  onto  $(G_{\sigma^m})_\sigma^\wedge$  in the case of  $G = GL_n$ , where  $G^\wedge$  is the set of irreducible characters of  $G$ . In the case of  $G = U_n$ , an analogous result is obtained by N. Kawanaka [4]. Let us give the construction of the above mentioned bijection due to Shintani in a slightly modified manner. Let  $m$  be a fixed natural number, put  $G = G_{\sigma^m}$  and let  $A$  be a cyclic group of order  $m$  with generator  $\sigma'$ . We suppose that  $A$  acts on  $G$  by  $x^{\sigma'} = x^\sigma (x \in G)$ . In the following we write  $\sigma$  for  $\sigma'$ . Define the semidirect product  $AG$  by  $\sigma^{-1}x\sigma = x^\sigma (x \in G)$ . For any integer  $i$ , we construct a norm map  $N_i$  from the subset  $\sigma^i G$  of  $AG$  to the group  $G_{\sigma^i} (= G_{\sigma^{(m,i)}})$  which induces a bijection from the set of  $G$ -conjugacy classes of  $\sigma^i G$  onto the set of conjugacy classes of  $G_{\sigma^i}$ . Moreover this bijection is compatible with the  $\sigma$ -action. (See 3.2.) Denote the set of complex valued class functions on  $G$  by  $\mathcal{C}(G)$ . For any integer  $i$ , we define the  $i$ -restriction map of  $\mathcal{C}(AG)$  to  $\mathcal{C}(G_{\sigma^i})_\sigma$  as follows:

$$(i\text{-res } f) \circ N_i = f|_{\sigma^i G}, f \in \mathcal{C}(AG).$$

These  $i$ -restrictions define an isomorphism

$$(*) \quad \mathcal{C}(AG) \xrightarrow{\sim} \bigoplus_{i=0}^{m-1} \mathcal{C}(G_{\sigma^i})_\sigma.$$

Let  $\psi \in (G_\sigma)^\wedge$  and  $\chi \in (G^\wedge)_\sigma$ . The character  $\chi$  is called the lifting of  $\psi$  ('lift  $\psi$ ') if there exists an irreducible character  $\tilde{\chi}$  of  $AG$  such that 0-res  $\tilde{\chi} = \chi$  and 1-res  $\tilde{\chi} = \pm \psi$ . Shintani and Kawanaka have proved that the lifting map is a bijection from  $(G_\sigma)^\wedge$  onto  $(G^\wedge)_\sigma$  when  $G = GL_n$  or  $U_n$  respectively. (In section 9, we show that the defining domain of the lifting map is not necessarily the whole  $(G_\sigma)^\wedge$  for general reductive  $G$ .)

Let  $G$  be reductive and  $T$  be a maximal torus of  $G$  defined over  $k$ . For  $\theta \in (T_{\sigma^i})^\wedge$ , let  $R_{T,i}^\theta$  be the virtual character of  $G_{\sigma^i}$  corresponding to  $(T, \theta)$ . (See P. Deligne, G. Lusztig [1] and D. Kazhdan [5].) Let  $N^i$  be the norm map of

$T_\sigma^i$  onto  $T_\sigma$ . For  $\theta \in (T_\sigma)^\wedge$ , the class function on  $AG$  corresponding to  $(R_{T,i}^{\theta, N^i})_{0 \leq i \leq m-1}$  via the above isomorphism (\*) is denoted by  $AR_T^\theta$ . Our main theorem is:

*Assume that  $m$  is not divisible by  $p$  or a power of  $p$  and  $p, q$  are sufficiently large. Then  $AR_T^\theta$  is a virtual character of  $AG$ .*

This theorem implies that  $\text{lift}(\pm R_{T,1}^\theta) = \pm R_{T,m}^{\theta, N^m}$  for  $\theta \in (T_\sigma)^\wedge$  in general position.

This paper consists of 9 sections. Section 1 is a preliminary. In section 2, we modify the lifting theory of modular characters given by Kawanaka. In section 3, the notion of  $i$ -restriction is introduced, which is fundamental in our theory. In section 4, the lifting theory of exponential unipotent groups is studied. In section 5, we prove that any  $R_T^\theta$  can be lifted to some virtual character of  $G$ , when  $p, q$  are not too small. In section 6, it is shown that the lifting of regular character (resp. semisimple character) is regular (resp. semisimple) if it exists. In sections 7 and 8, the main theorem is proved.

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NOTATION. Let  $X$  be a set. If  $\sigma$  is a transformation of  $X$ ,  $X_\sigma$  denotes the set of  $\sigma$ -fixed points of  $X$ . If  $X$  is a finite set,  $|X|$  means the number of its elements. For complex valued functions  $f$  and  $g$  on  $X$ , define  $\langle f, g \rangle_X = |X|^{-1} \sum_{x \in X} f(x) \overline{g(x)}$ .

Let  $G$  be a finite group.  $\mathcal{C}(G)$  denote the set of class functions on  $G$ .  $\mathcal{R}(G)$  denotes the Grothendieck group of  $G$ . Since we are mainly concerned with complex representations, ‘representation’ means ‘complex representation’ unless otherwise stated.  $\mathcal{R}_+(G)$  is the set of proper characters.  $G^\wedge$  means the set of irreducible characters of  $G$ . Let  $H$  be a subgroup of  $G$ . For an element  $x$  of  $G$ ,  $Z_H(x)$  denotes  $\{y \in H \mid xy = yx\}$ . and  $x^H$  denotes the  $H$ -orbit of  $x$ . When a prime number  $p$  is fixed, an element  $x$  of  $G$  is called semisimple (resp. unipotent) if the order of  $x$  is prime to  $p$  (resp. a power of  $p$ ). An arbitrary element  $x$  of  $G$  can be represented as  $x = su = us$  where  $s$  is semisimple and  $u$  is unipotent. This decomposition is called the Jordan decomposition.

We denote by  $\mathbf{G}, \mathbf{H}, \dots$  a connected linear algebraic group defined over the finite field  $k = \mathbf{F}_q$  of characteristic  $p$ . The Lie algebras of  $\mathbf{G}, \mathbf{H}, \dots$  are denoted by the corresponding German letter  $\mathfrak{G}, \mathfrak{H}, \dots$ . We use the same letter  $\sigma$  for the Frobenius endomorphisms of  $\mathbf{G}, \mathfrak{G}, \dots$ . A natural number  $m$  is fixed throughout the paper. We put  $\zeta = \exp 2\pi\sqrt{-1}/m$ . For an algebraic group  $\mathbf{G}$  (resp. a Lie algebra  $\mathfrak{G}$ ),  $G$  (resp.  $\mathfrak{g}$ ) means  $\mathbf{G}_{\sigma^m}$  (resp.  $\mathfrak{G}_{\sigma^m}$ ). We denote the induced

character of  $\chi$  from  $H$  to  $G$  by  $\text{ind}_H^G \chi$  or  $\text{ind}(\chi|H \rightarrow G)$ .

## 1. Preliminaries

1.1. We consider  $\mathcal{R}(A) \subset \mathcal{R}(AG)$  via the projection  $AG \rightarrow A$ . In the following  $A$  (resp.  $A_i$ ) is a cyclic group with generator  $\sigma$  (resp.  $\sigma^i$ ), where the order of  $\sigma$  is  $m$ . Define a character  $\xi$  of  $A$  by

$$\xi(\sigma^i) = \zeta^i \quad (\zeta = \exp 2\pi\sqrt{-1}/m).$$

1.2. When  $\sigma$  acts on a set  $X$ , denote the cardinality of the orbit of  $x \in X$  by  $d(x, \sigma, X)$ . If there is no fear of confusion we omit  $\sigma$  or  $X$ .

Let  $R$  be an irreducible representation of a finite group  $G$  and  $\psi$  be its character. Let

$$T = R \oplus (R \circ \sigma) \oplus \cdots \oplus (R \circ \sigma^{d-1})$$

where  $d = d(\psi, \sigma, \mathcal{R}(G))$ . Fix a matrix  $L = L_\psi$  such that

$$R(x^{\sigma^d}) = L^{-1}R(x)L \quad \text{and} \quad L^{m/d} = 1.$$

Put

$$I = \begin{bmatrix} & & & L \\ & & & \\ & & & \\ 1 & & & \\ & \ddots & & \\ & & & 1 \end{bmatrix}.$$

Then

$$I^{-1}T(x)I = T(x^\sigma) \quad \text{and} \quad I^m = 1 \quad (x \in G).$$

Hence by putting  $T^\sim(\sigma^i x) = I^i T(x)$  ( $i=0, 1, \dots, m-1$ ) we obtain a representation  $T^\sim$  of  $AG$  whose restriction to  $G$  is  $T$ . It is easy to see the irreducibility of  $T^\sim$ . Denote the character of  $T$  (resp.  $T^\sim$ ) by  $\chi = \chi_\psi$  (resp.  $\chi^\sim = \chi_{\tilde{\psi}}$ ). Putting  $R^\sim(\sigma^{di} x) = L^i R(x)$ , we obtain a representation of  $A_d G$  which is an extension of  $R$ . Denote the character of  $R^\sim$  by  $\psi^\sim$ . Then by a direct computation we obtain the equality

$$(1.2.1) \quad \chi^\sim = \text{ind}(\psi^\sim | A_d G \rightarrow AG).$$

Since

$$\sum_{j=0}^{e-1} (\chi^\sim \otimes \xi^j)(1) (\chi^\sim \otimes \xi^j)(\sigma^i x) = 0 \quad (0 < i \leq m-1)$$

and

$$\sum_{j=0}^{e-1} (\chi^\sim \otimes \xi^j)(1) (\chi^\sim \otimes \xi^j)(x) = m \sum_{j=0}^{d-1} \psi^{\sigma^j}(1) \psi^{\sigma^j}(x),$$

where  $e = m/d$ , we obtain

$$\begin{aligned} & \sum_{\psi \in G^\wedge / \langle \sigma \rangle} \sum_{j=0}^{e-1} (\chi_{\tilde{\psi}} \otimes \xi^j) (1) (\chi_{\tilde{\psi}} \otimes \xi^j) (x) \\ &= m \sum_{\psi \in G^\wedge} \psi (1) \psi(x) = \begin{cases} |AG| & \text{if } x = 1 \\ 0 & \text{if } x \neq 1 \end{cases} \end{aligned}$$

Thus we obtain the irreducible decomposition of regular representation of  $AG$ .

**Lemma 1.3.** *All the irreducible characters of  $AG$  are obtained as  $\chi_{\tilde{\psi}} \otimes \xi^j$  with  $\psi \in G^\wedge / \langle \sigma \rangle$  and  $0 \leq j < m/d(\psi)$  without repetition. If  $d(\psi) \nmid i$ , then  $\chi_{\tilde{\psi}} \equiv 0$  on  $\sigma^i G$ .*

**Lemma 1.4.**

$$(1.4.1) \quad \langle \chi_{\tilde{\psi}}, \chi_{\tilde{\psi}} \rangle_{\sigma^i G} = d(\psi) \quad \text{if } d(\psi) \mid i.$$

If  $\chi_{\tilde{1}}, \chi_{\tilde{2}} \in (AG)^\wedge$  and  $\chi_{\tilde{1}}|_G \neq \chi_{\tilde{2}}|_G$ , then

$$(1.4.2) \quad \langle \chi_{\tilde{1}}, \chi_{\tilde{2}} \rangle_{\sigma^i G} = 0 \quad (0 \leq i \leq m-1).$$

Proof. These can be easily obtained by [8, Lemmas 1.1 and 1.2] or [4, Lemma 1.4], and by 1.2.1,

**Lemma 1.4.3.** *If  $\chi \in (A_d G)^\wedge$  and  $\chi(\sigma^i) \neq 0$ , then*

$$d(\chi|_G, \sigma) = d(\chi|_{\sigma^i G}, \sigma) = d(\chi, \sigma).$$

Proof. Put  $s = d(\chi|_G)$  and  $t = d(\chi|_{\sigma^i G})$ . Then  $\langle \chi^{\sigma^t}, \chi \rangle_{\sigma^i G} = \langle \chi, \chi \rangle_{\sigma^i G} \neq 0$ . Hence  $(\chi|_G)^{\sigma^t} = \chi|_G$ . Thus we get  $s \mid t$ . We get the equality  $\chi^{\sigma^s} = \chi \otimes \xi^j$  for some  $j$ , but  $\xi^j(\sigma^i) = 1$  since  $\chi(\sigma^i) \neq 0$ . Hence  $\xi^j \equiv 1$  on  $A_i$ . Hence  $\chi^{\sigma^s} = \chi$  and  $d(\chi) \mid s$ . Since  $t \mid d(\chi)$  and  $s \mid d(\chi)$ , we complete the proof.

**Lemma 1.5.** *Fix a divisor  $d$  of  $m$  and  $\chi \in \mathcal{R}(A_d G)$ . Suppose that integers  $a_i$  ( $1 \leq i \leq m$ ) satisfy the conditions:*

$$(1.5.1) \quad \text{if } (m, i) = (m, j), \quad a_i = a_j$$

$$(1.5.2) \quad \text{if } d \nmid i, \quad a_i = 0$$

$$(1.5.3) \quad \text{if } de \mid m, \quad e \mid \sum_{i \mid de} \mu(de/i) a_i,$$

where  $\mu$  is the usual Möbius function. Define a class function  $\psi$  on  $AG$  by  $\psi = a_i(\chi + \chi^{\sigma^e} + \cdots + \chi^{\sigma^{d-1}})$  on  $\sigma^i G$ . Then  $\psi \in \mathcal{R}(AG)$ .

Proof. Define a class function  $\psi'$  on  $A_d G$  by putting  $\psi' = a_i \chi$  on  $\sigma^{di} G$ . Then  $\psi = \text{ind}(\psi' | A_d G \rightarrow AG)$  by 1.5.2. Hence we may suppose that  $d=1$ . For a divisor  $e$  of  $m$ , put  $ec_e = \sum_{i \mid e} \mu(e/i) a_i$ . Then  $c_e$ 's are integers by 1.5.3, and  $a_i = a_{(m,i)} = \sum_{e \mid (m,i)} ec_e$ . Hence, on  $\sigma^i G$  we have

$$\sum_{e|m} c_e \operatorname{ind}_{A_e G}^{A G}(\chi|_{A_e G}) = \sum_{e|(m,i)} e c_e \chi = a_i \chi = \psi.$$

Therefore  $\psi = \sum_{e|m} c_e \operatorname{ind}_{A_e G}^{A G}(\chi|_{A_e G}) \in \mathcal{R}(AG)$ .

**DEFINITION 1.6.** We define a  $\mathbf{Z}$ -valued function  $\mu$  on a finite partially ordered set  $\mathcal{H}$  with the maximum element  $G$  as follows:

$$\mu(G) = 1$$

and

$$\sum_{H \in \mathcal{H}, H \geq H_0} \mu(H) = 0 \quad \text{for } H_0 \neq G.$$

This function  $\mu$  is called *the Möbius function of  $\mathcal{H}$* . Occasionally we write  $\mu(\cdot, \mathcal{H})$  for  $\mu(\cdot)$ .

**Lemma 1.7.** *Suppose that  $\sigma$  acts on  $\mathcal{H}$ . Extend  $\mu(\cdot, \mathcal{H}_{\sigma^i})$  to all over  $\mathcal{H}$  by equating 0 outside of  $\mathcal{H}_{\sigma^i}$ . Put  $a_i = \mu(H, \mathcal{H}_{\sigma^i})$  for a fixed  $H \in \mathcal{H}$ . Then the  $a_i$ 's satisfy the conditions 1.5.1 to 1.5.3 for  $d = d(H)$ .*

*Proof.* The conditions 1.5.1 and 1.5.2 are easily verified. We prove 1.5.3 by induction on  $|\mathcal{H}|$ . If  $|\mathcal{H}| = 1$ , there is nothing to prove. Assume  $|\mathcal{H}| > 1$ . Put  $\mathcal{H}_0 = \{H' \in \mathcal{H} \mid H' \geq H\}$ . If  $H$  is not the minimum element of  $\mathcal{H}$ ,  $|\mathcal{H}_0| < |\mathcal{H}|$ .  $\sigma^d$  acts on  $\mathcal{H}_0$  and  $\mu(H, \mathcal{H}_{0\sigma^{di}}) = a_{di}$ . If  $de$  divides  $m$ , then by induction hypothesis  $e$  divides the integer

$$\sum_{i|e} \mu(e/i) a_{di} = \sum_{i|de} \mu(de/i) a_i.$$

Hence we may suppose that  $\mathcal{H}$  has the minimum element  $H_0$  and that  $H = H_0$ . Note that  $d(H_0) = 1$  in this case. Fix a divisor  $e$  of  $m$ . By definition

$$(1.7.1) \quad \sum_{H \in \mathcal{H}} \sum_{i|e} \mu(e/i) \mu(H, \mathcal{H}_{\sigma^i}) = 0.$$

For  $H > H_0$

$$(1.7.2) \quad \begin{aligned} & \sum_{j=1}^{d(H)} \sum_{i|e} \mu(e/i) \mu(H^{\sigma^j}, \mathcal{H}_{\sigma^i}) \\ &= \sum_{i|e} \mu(e/i) \mu(H, \mathcal{H}_{\sigma^i}) \times d(H). \end{aligned}$$

If  $d(H) \nmid e$ , this equals 0. Suppose  $e = d(H)e'$ . 1.7.2 equals  $d(H) \sum_{i|d(H)e'} \mu(d(H)e'/i) a_i$ . Since  $d(H)e' = e$  divides  $m$ , this is divisible by  $d(H)e' = e$ . With 1.7.1, this implies 1.5.3.

**Corollary 1.8.** *Let  $\mathcal{H}$  be a family of subgroups of a group  $G$  with the order defined by inclusion. Suppose that  $\mathcal{H}$  is invariant under  $\sigma$ -action. Assume that for each  $H \in \mathcal{H}$  a character  $\chi_H \in \mathcal{R}(A_e H)$  with  $d = d(H)$  is given and satisfies  $(\chi_H)^\sigma = \chi_{H^\sigma}$ . Define a class function  $\psi$  on  $AG$  by*

$$\psi = \sum_{H \in \mathcal{H}, d(H)|i} \mu(H, \mathcal{H}_{\sigma^i}) \text{ ind } (\chi_H | A_d H \rightarrow A_d G) \text{ on } \sigma^i G \\ (0 \leq i \leq m-1)$$

Then  $\psi \in \mathcal{R}(AG)$ . If we define a class function  $\psi'$  on  $AG$  by

$$\psi' = \sum_{H \in \mathcal{H}, d(H)|i, H \neq G} \mu(H, \mathcal{H}_{\sigma^i}) \text{ ind } (\chi_H | A_d H \rightarrow A_d G) \text{ on } \sigma^i G \\ (0 \leq i \leq m-1)$$

we also have  $\psi' \in \mathcal{R}(AG)$ .

## 2. Liftings of modular characters of finite groups

2.1. Let  $\phi: \bar{k}^\times \rightarrow \mathbf{C}^\times (k = F_q)$  be an injective homomorphism. For  $R \in GL(n, \bar{k})$ , put  $\beta_\phi[R] = \sum_{i=1}^n \phi(r_i)$ , where  $r_i$ 's are the eigenvalues of  $R$ .

2.2. Let  $G$  be a finite group on which  $A = \langle \sigma \rangle$  acts,  $R$  a  $\bar{k}$ -representation of  $G$  and  $V$  its representation space. Define a representation  $R_i$  of  $G$  by

$$R_i(x) = R(x) \otimes R(x^\sigma) \otimes \cdots \otimes R(x^{\sigma^{d-1}}) \quad (x \in G),$$

where  $d = (m, i)$ . Define an automorphism  $I$  of  $V \otimes \cdots \otimes V$  ( $m$ -times) by

$$I(v_0 \otimes \cdots \otimes v_{m-1}) = v_{m-1} \otimes v_0 \otimes \cdots \otimes v_{m-2},$$

and a representation  $A_i R_i$  of  $A_i G$  by

$$A_i R_i(\sigma^{ij} x) = I^{dj} \cdot (R_i(x) \otimes R_i(x^{\sigma^i}) \otimes \cdots \otimes R_i(x^{\sigma^{i(e-1)}})) \\ (0 \leq j \leq e-1, x \in G),$$

where  $e = m/(m, i)$ . We write  $AR$  for  $A_1 R_1$ . Define an element  $J$  of the symmetric group  $S_m$  acting on  $\mathbf{Z}/(m)$  by

$$J = \left( \begin{array}{cccccccc} 0, & 1, & \dots, & d-1, & d, & d+1, & \dots, & 2d-1, & 2d, & 2d+1, & \dots \\ 0, & 1, & \dots, & d-1, & i, & i+1, & \dots, & i+d-1, & 2i, & 2i+1, & \dots \end{array} \right),$$

and put  $J(v_0 \otimes \cdots \otimes v_{m-1}) = v_{J(0)} \otimes \cdots \otimes v_{J(m-1)}$ . Then we have  $J^{-1} I J = I^d$  and

$$(2.2.1) \quad J^{-1} A R(\sigma^i x) J = A_i R_i(\sigma^i x).$$

**Theorem 2.3.** *If  $(m, p) = 1$ , we have*

$$(2.3.1) \quad \beta_\phi[AR(\sigma^i x)] = \beta_\phi[R_i((\sigma^i x)^{m/d})],$$

where  $d = (m, i)$ .

**Lemma 2.4.** *Let  $V = \bar{k}^n$  and  $A_0, \dots, A_{m-1} \in E = \text{End } V$ . Then, there exist polynomials  $f_d$  (depending on  $A_0, \dots, A_{m-1}$ ) such that*

$$(2.4.1) \quad \det(x - A_{m-1} \circ \cdots \circ A_0)^{-1} \det(x - I \circ (A_0 \otimes \cdots \otimes A_{m-1}))$$

$$= \prod_{d|m, d \geq 2} f_d(x^d).$$

Proof. Let  $\{e_1, \dots, e_n\}$  be a basis of  $V$  and  $D$  be the set of endomorphisms of  $V$  which are represented by diagonal matrices with respect to  $\{e_1, \dots, e_n\}$ . If  $A_0, \dots, A_{m-1} \in D$ , 2.4.1 is proved in [4, Proof of Th. 3.6]. Let us consider the following diagram.

$$(2.4.2) \quad \begin{array}{ccc} E^m & \xrightarrow{q} & \mathbf{P}^n \\ p \downarrow & & \downarrow \psi \\ \mathbf{P}^{nm} & \xrightarrow{\phi} & \mathbf{P}^{n^m} \end{array}$$

where

$$\begin{aligned} p(A_0, \dots, A_{m-1}) &= \det(x - I \circ (A_0 \otimes \dots \otimes A_{m-1})) \\ q(A_0, \dots, A_{m-1}) &= \det(x - A_{m-1} \circ \dots \circ A_0) \\ \phi(\prod_{j=1}^m (a_j x - \lambda_j)) &= \prod_{j=1}^m (a_j^m x - \lambda_j^m) \\ \psi(\prod_{i=1}^n (b_i x - \mu_i)) &= \prod_{1 \leq i, j \leq n} (b_{i_0} \dots b_{i_{m-1}} x - \mu_{i_0} \dots \mu_{i_{m-1}}). \end{aligned}$$

Here we identify  $a_0 + a_1 x + \dots + a_n x^n$  with  $(a_0, \dots, a_n) \in \mathbf{P}^n$ . Since

$$\begin{aligned} &(I \circ (A_0 \otimes \dots \otimes A_{m-1}))^m \\ &= (A_{m-1} \circ \dots \circ A_1 \circ A_0) \otimes (A_0 \circ A_{m-1} \circ \dots \circ A_1) \\ &\quad \dots \otimes (A_{m-2} \circ \dots \circ A_0 \circ A_{m-1}), \end{aligned}$$

2.4.2 is commutative. Put  $\psi(\mathbf{P}^n) = X$ . The morphisms  $\psi: \mathbf{P}^n \rightarrow X$  and  $\phi: \phi^{-1}(X) \rightarrow X$  are both quasi finite, hence finite. (See [EGA. IV Th. 8.11.1].) In the following we assume the knowledge of the materials in [6, Chapter 1]. Put  $p(E^m) = Y$  and  $p(D^m) = Y'$ . Then  $\phi(\bar{Y}') = \overline{\phi p(D^m)} = \overline{\psi q(D^m)} = \overline{\psi(A^n)}$ . Here  $A^n = \{(a_0, \dots, a_n) \in \mathbf{P}^n \mid a_n \neq 0\}$ . Hence  $\dim \bar{Y}' = n$ . On the other hand,  $\dim \phi^{-1}(X) = \dim X = n$ ,  $\bar{Y}' \subset \bar{Y} \subset \phi^{-1}(X)$ . Hence

$$(2.4.3) \quad \bar{Y}' = \bar{Y}.$$

Let us consider the following mappings.

$$\begin{array}{ccc} E^m & \xrightarrow{\Delta} & E^m \times E^m \xrightarrow{p \times q} \mathbf{P}^{nm} \times \mathbf{P}^n \xrightarrow{\pi} \mathbf{P}^{n^m} \\ x \mapsto (x, x) & & (x, y) \mapsto x. \end{array}$$

Put  $Z = (p \times q) \circ \Delta(E^m)$ . Then  $\pi(Z) = Y$ . Let  $Y_0$  (resp.  $Z_0$ ) be a subset of  $Y$  (resp.  $Z$ ) which is open and dense in  $\bar{Y}$  (resp.  $\bar{Z}$ ). Then each fibre of  $\pi: \pi^{-1}(Y_0) \cap Z_0 \rightarrow Y_0$  is 0-dimensional. Hence

$$\dim \bar{Y} = \dim \bar{Z}.$$

By the commutativity of 2.4.2, the following commutative diagram can be completed with some  $r$ .

$$\begin{array}{ccccc} E^m \times E^m & \xrightarrow{p \times q} & Y \times P^n & \xrightarrow{\phi \times \psi} & X \times X \\ \Delta \uparrow & & & & \Delta \uparrow \\ E^m & \xrightarrow{\quad r \quad} & & & X \end{array}$$

Then we have

$$\begin{aligned} \dim \overline{r(E^m)} &= \dim \overline{\Delta \circ r(E^m)} \\ &= \dim \overline{(\phi \times \psi) \circ (p \times q) \circ \Delta(E^m)} \\ &= \dim \overline{(\phi \times \psi)(Z)} \\ &= \dim \bar{Z} = \dim \bar{Y}. \end{aligned}$$

By the same reason, we get

$$\dim \overline{r(D^m)} = \dim \bar{Y}'.$$

Hence by 2.4.3, we get

$$(2.4.4) \quad \overline{r(D^m)} = \overline{r(E^m)}.$$

Further more  $\dim \overline{(p \times q) \circ \Delta(E^m)} = \dim \overline{(\phi \times \psi) \circ (p \times q) \circ \Delta(E^m)} = \dim \overline{\Delta \circ r(E^m)} = \dim \overline{r(E^m)}$ .

By the same reason, we get

$$\dim \overline{(p \times q) \circ \Delta(D^m)} = \dim \overline{r(D^m)}$$

Hence by 2.4.4,

$$\overline{(p \times q) \circ \Delta(E^m)} = \overline{(p \times q) \circ \Delta(D^m)}.$$

Take a subset  $U$  of  $(p \times q) \circ \Delta(D^m)$  which is open and dense in  $\overline{(p \times q) \circ \Delta(D^m)}$ , and put  $U' = ((p \times q) \circ \Delta)^{-1}(U)$ . For any element  $(A_0, \dots, A_{m-1})$  of  $U'$ , there exists an element  $(D_0, \dots, D_{m-1})$  of  $D^m$  such that

$$\begin{aligned} p(A_0, \dots, A_{m-1}) &= p(D_0, \dots, D_{m-1}) \\ q(A_0, \dots, A_{m-1}) &= q(D_0, \dots, D_{m-1}). \end{aligned}$$

Since 2.4.1 holds for  $(D_0, \dots, D_{m-1})$ , we get 2.4.1 for such an  $(A_0, \dots, A_{m-1})$ . Since  $U'$  is open and dense in  $E^m$ , 2.4.1 holds in general.

2.5. Proof of 2.3. By 2.2.1. we get

$$\beta_\phi[AR(\sigma^i x)] = \beta_\phi[A_i R_i(\sigma^i x)].$$



Hence it suffices to prove that

$$\beta_\phi[AR(\sigma x)] = \beta_\phi[R((\sigma x)^m)].$$

Put  $R(x^{\sigma^i}) = A_i$ . Then this can be rewritten as

$$(2.5.1) \quad \beta_\phi[I \circ (A_0 \otimes \cdots \otimes A_{m-1})] = \beta_\phi[A_{m-1} \circ \cdots \circ A_0].$$

By lemma 2.4 the left hand side of 2.5.1 is equal to  $\sum \phi(\alpha) + \beta_\phi[A_{m-1} \circ \cdots \circ A_0]$ , where  $\alpha$  runs over the roots of  $f_d(x^d)$ . If  $\alpha$  is a root of  $f_d(x^d)$ , then  $\eta\alpha$  is also a root of  $f_d(x^d)$  for any  $d$ 'th root of unity  $\eta$ . Since  $(d, p) = 1$ , the first summand is zero. Thus we obtain 2.5.1.

### 3. Preliminaries for lifting theory of finite algebraic groups

In the following,  $\mathbf{G}$  is a connected linear algebraic group defined over a finite field  $k = \mathbf{F}_q$  of characteristic  $p$  and  $\sigma$  is the Frobenius endomorphism. Let  $G$  be  $\mathbf{G}_{\sigma^m}$  and write  $\sigma$  for  $\sigma|_G$ .

3.1. We define the norm map  $N_i$  of the subset  $\sigma^i G$  of  $AG$  to the group  $\mathbf{G}$  as follows:

$$N_i(\sigma^i x) = \alpha(x)^{-1} (\sigma^i x)^{m/d} \alpha(x),$$

where  $\alpha(x)$  is an element of  $\mathbf{G}$  such that

$$\alpha(x)^{\sigma^d} \alpha(x)^{-1} = \sigma^{-it} (\sigma^i x)^t$$

and  $d, t$  are integers given as follows:

$$d = (m, i) \quad ti \equiv d \pmod{m}.$$

**Lemma 3.2.** (1) *The norm map  $N_i$  induces a bijection from the set of  $G$ -conjugacy classes of  $\sigma^i G$  onto the set of conjugacy classes of  $G_{\sigma^i}$ . This bijection is independent of the choice of  $\alpha$ .*

(2) *The norm map  $N_i$  is compatible with the  $\sigma$ -action. Here  $\sigma$  acts on  $\sigma^i G$  by  $(\sigma^i x)^\sigma = \sigma^i x^\sigma$ .*

$$(3) \quad |Z_G(\sigma^i x)| = |Z_{G_{\sigma^i}}(N_i(\sigma^i x))|.$$

*Proof.* Denote the free cyclic group generated by the symbol  $\sigma$  by  $\langle \sigma \rangle$ . This group  $\langle \sigma \rangle$  acts on  $\mathbf{G}$  by  $\sigma^{-1} x \sigma = x^\sigma$ . By this action we define the semidirect product  $\langle \sigma \rangle \mathbf{G}$ . Then

$$N_i(\sigma^i x) = \alpha(x)^{-1} (\sigma^{-mi/d} (\sigma^i x)^{m/d}) \alpha(x)$$

$$\alpha(x)^{\sigma^d} \alpha(x)^{-1} = \sigma^{-it} (\sigma^i x)^t.$$

For  $x \in G$ ,

$$\begin{aligned}
N_i(\sigma^i x)^{\sigma^d} &= \alpha(x)^{-\sigma^d} \sigma^{-d} (\sigma^{-mi/d} (\sigma^i x)^{m/d}) \sigma^d \alpha(x)^{\sigma^d} \\
&= \alpha(x)^{-\sigma^d} \sigma^{-it} (\sigma^{-mi/d} (\sigma^i x)^{m/d}) \sigma^{it} \alpha(x)^{\sigma^d} \\
&= \alpha(x)^{-1} (\sigma^i x)^{-t} (\sigma^{-mi/d} (\sigma^i x)^{m/d}) (\sigma^i x)^t \alpha(x) \\
&= N_i(\sigma^i x).
\end{aligned}$$

Therefore  $N_i(\sigma^i x) \in \mathbf{G}_{\sigma^d} = G_{\sigma^i}$ .

If  $\alpha(x)^{\sigma^d} \alpha(x)^{-1} = \beta(x)^{\sigma^d} \beta(x)^{-1}$ , then  $\alpha(x)^{-1} \beta(x) \in G_{\sigma^d}$ . Hence  $\alpha(x)^{-1} (\sigma^{-mi/d} (\sigma^i x)^{m/d}) \alpha(x)$  is conjugate to  $\beta(x)^{-1} (\sigma^{-mi/d} (\sigma^i x)^{m/d}) \beta(x)$  in  $G_{\sigma^d}$ .

For  $y \in G$ ,

$$\begin{aligned}
(3.2.1) \quad \alpha(y^{-\sigma^i} xy)^{\sigma^d} \alpha(y^{-\sigma^i} xy)^{-1} &= \sigma^{-it} (y^{-1} (\sigma^i x) y)^t \\
&= y^{-\sigma^{it}} \alpha(x)^{\sigma^d} \alpha(x)^{-1} y \\
&= y^{-\sigma^d} \alpha(x)^{\sigma^d} \alpha(x)^{-1} y.
\end{aligned}$$

Hence

$$\begin{aligned}
N_i(y^{-1} \sigma^i xy) &= \alpha(y^{-\sigma^i} xy)^{-1} \sigma^{-mi/d} (y^{-1} \sigma^i xy)^{m/d} \alpha(y^{-\sigma^i} xy) \\
&= \alpha(y^{-\sigma^i} xy)^{-1} y^{-1} (\sigma^{-mi/d} (\sigma^i x)^{m/d}) y \alpha(y^{-\sigma^i} xy),
\end{aligned}$$

which is conjugate to  $N_i(\sigma^i x)$  in  $G_{\sigma^d}$  by 3.2.1.

Hence we obtain a mapping from the set of  $G$ -conjugacy classes of  $\sigma^i G$  to the set of conjugacy classes of  $G_{\sigma^i}$  which does not depend on the choice of  $\alpha$ . If  $g \in Z_G(\sigma^i x)$ , then

$$g \in Z_G(\sigma^{-mi/d} (\sigma^i x)^{m/d}) \quad \text{and} \quad \alpha(x)^{-1} g \alpha(x) \in Z_G(N_i(\sigma^i x)).$$

Since

$$\begin{aligned}
(\alpha(x)^{-1} g \alpha(x))^{\sigma^d} &= \alpha(x)^{-\sigma^d} \sigma^{-d} g \sigma^d \alpha(x)^{\sigma^d} \\
&= \alpha(x)^{-\sigma^d} \sigma^{-it} g \sigma^{it} \alpha(x)^{\sigma^d} \\
&= \alpha(x)^{-1} (\sigma^i x)^{-t} g (\sigma^i x)^t \alpha(x) \\
&= \alpha(x)^{-1} g \alpha(x),
\end{aligned}$$

we have

$$\alpha(x)^{-1} g \alpha(x) \in Z_{G_{\sigma^i}}(N_i(\sigma^i x)).$$

Conversely, let  $g$  be an element of  $\mathbf{G}$  such that

$$\alpha(x)^{-1} g \alpha(x) \in Z_{G_{\sigma^i}}(N_i(\sigma^i x)).$$

Then

$$(3.2.2) \quad g \in Z_G(\sigma^{-mi/d} (\sigma^i x)^{m/d})$$

$$(3.2.3) \quad (\alpha(x)^{-1} g \alpha(x))^{\sigma^d} = \alpha(x)^{-1} g \alpha(x).$$

By 3.2.3

$$\begin{aligned}
g^{\sigma^d} &= \alpha(x)^{\sigma^d} \alpha(x)^{-1} g \alpha(x) \alpha(x)^{-\sigma^d} \\
&= \sigma^{-it} (\sigma^i x)^t g (\sigma^i x)^{-t} \sigma^{it} \\
g^{\sigma^{2d}} &= (\sigma^{-d} \sigma^{-it} (\sigma^i x)^t \sigma^d) g^{\sigma^d} (\sigma^{-d} (\sigma^i x)^{-t} \sigma^{it} \sigma^d) \\
&= (\sigma^{-it} \sigma^{-it} (\sigma^i x)^t \sigma^{it}) (\sigma^{-it} (\sigma^i x)^t g (\sigma^i x)^{-t} \sigma^{it}) \\
&\quad \times (\sigma^{-it} (\sigma^i x)^{-t} \sigma^{it} \sigma^{it}) \\
&= \sigma^{-2it} (\sigma^i x)^{2t} g (\sigma^i x)^{-2t} \sigma^{2it}.
\end{aligned}$$

Repeating this, we get

$$(3.2.4) \quad g^{\sigma^{jd}} = \sigma^{-jit} (\sigma^i x)^{jt} g (\sigma^i x)^{-jt} \sigma^{jit}.$$

Substituting  $m/d$  for  $j$  in 3.2.4, we get

$$\begin{aligned}
g^{\sigma^m} &= \sigma^{-mit/d} (\sigma^i x)^{mt/d} g (\sigma^i x)^{-mt/d} \sigma^{mit/d} \\
&= (\sigma^{-mi/d} (\sigma^i x)^{m/d})^t g ((\sigma^i x)^{-m/d} \sigma^{mi/d})^t \\
&= g.
\end{aligned}$$

Since  $ti/d \equiv 1 \pmod{m/d}$ , there exists an integer  $\mu$  such that  $ti/d + m\mu/d = 1$ . Substituting  $i/d$  for  $j$  in 3.2.4, we get

$$\begin{aligned}
g^{\sigma^i} &= \sigma^{-i^2t/d} (\sigma^i x)^{it/d} g (\sigma^i x)^{-it/d} \sigma^{i^2t/d} \\
&= \sigma^{-i^2t/d} (\sigma^i x)^{it/d} \sigma^{-mi\mu/d} (\sigma^i x)^{m\mu/d} g \\
&\quad (\sigma^i x)^{-m\mu/d} \sigma^{mi\mu/d} (\sigma^i x)^{-it/d} \sigma^{i^2t/d} \\
&= x g x^{-1}.
\end{aligned}$$

Hence  $g \in Z_G(\sigma^i x)$ . Thus we obtain

$$(3.2.5) \quad \alpha(x)^{-1} Z_G(\sigma^i x) \alpha(x) = Z_{G_{\sigma^i}}(N_i(\sigma^i x)).$$

This proves the part (3). The bijectivity of  $N_i$  can be proved as in [4]. Since  $\alpha(x^\sigma)^{\sigma^d} \alpha(x^\sigma)^{-1} = \sigma^{-it} (\sigma^i x^\sigma)^t$ , we get also the part (2).

**Corollary 3.3.** For any  $f, g \in \mathcal{C}(G_{\sigma^i})$ ,

$$\langle f, g \rangle_{G_{\sigma^i}} = \langle f \circ N_i, g \circ N_i \rangle_{\sigma^i G}.$$

**Corollary 3.4.**  $|(G_{\sigma^i})^\wedge / \langle \sigma \rangle| = |(G^\wedge)_{\sigma^i} / \langle \sigma \rangle|$ .

Proof. By 1.3 and 1.4, the right hand side is equal to  $\dim \{f|_{\sigma^i G}; f \in \mathcal{C}(AG)\}$ . Since the left hand side is equal to  $\dim \mathcal{C}(G_{\sigma^i})_\sigma$ , we obtain the equality from lemma 3.2 (1).

**DEFINITION 3.5.** We define a map

$$\mathcal{C}(AG) \xrightarrow{i\text{-res}} \mathcal{C}(G_{\sigma^i})_\sigma \longrightarrow 0$$

by

$$(i\text{-res } f) \circ N_i = f|_{\sigma^i G} \quad f \in \mathcal{C}(AG).$$

The map is called *the i-restriction*.

**REMARK 3.5.1.** The equality 2.3.1 can be rewritten as follows. Let  $R$  be a rational representation of  $G$ . If  $(m, p)=1$ , then

$$i\text{-res } \beta_\phi[AR] = \beta_\phi[R_i],$$

where we consider  $R$  as a representation of  $G$ .

**Lemma 3.6.** *Let  $H$  be a connected closed subgroup of  $G$  defined over  $k$ . Then the following diagrams are commutative:*

$$(3.6.1) \quad \begin{array}{ccc} \mathcal{C}(AH) & \xrightarrow{\text{ind}} & \mathcal{C}(AG) \\ i\text{-res} \downarrow & & \downarrow i\text{-res} \\ \mathcal{C}(H_{\sigma^i})_\sigma & \xrightarrow{\text{ind}} & \mathcal{C}(G_{\sigma^i})_\sigma \end{array}$$

$$(3.6.2) \quad \begin{array}{ccc} \mathcal{C}(AH) & \xleftarrow{\text{res}} & \mathcal{C}(AG) \\ i\text{-res} \downarrow & & \downarrow i\text{-res} \\ \mathcal{C}(H_{\sigma^i})_\sigma & \xleftarrow{\text{res}} & \mathcal{C}(G_{\sigma^i})_\sigma \end{array}$$

where *ind* and *res* means the usual induction map and restriction map respectively. Let  $H$  be normal, and  $\pi: G \rightarrow G/H$  the canonical homomorphism. Then the following diagrams are commutative:

$$(3.6.3) \quad \begin{array}{ccc} \mathcal{C}(A(G/H)) & \xrightarrow{\pi^*} & \mathcal{C}(AG) \\ i\text{-res} \downarrow & & \downarrow i\text{-res} \\ \mathcal{C}((G/H)_{\sigma^i})_\sigma & \xrightarrow{\pi^*} & \mathcal{C}(A(G_{\sigma^i})_\sigma), \end{array}$$

$$(3.6.4) \quad \begin{array}{ccc} \mathcal{C}(AG_1) \otimes \cdots \otimes \mathcal{C}(AG_n) & \longrightarrow & \mathcal{C}(A(G_1 \times \cdots \times G_n)) \\ \downarrow i\text{-res} \otimes \cdots \otimes i\text{-res} & & \downarrow i\text{-res} \\ \mathcal{C}(G_{1\sigma^i}) \otimes \cdots \otimes \mathcal{C}(G_{n\sigma^i})_\sigma & \longrightarrow & \mathcal{C}((G_1 \times \cdots \times G_n)_{\sigma^i}). \end{array}$$

Here the map  $\pi: AG \rightarrow A(G/H)$  is defined by  $\pi(\sigma^i x) = \sigma^i \pi(x)$  ( $i=0, 1, \dots, m-1$ ).

**Proof.** The commutativity of 3.6.2–3.6.4 are easy to verify. We shall prove only 3.6.1. Let  $x_r \in H$  ( $r=1, \dots, c$ ) be so chosen that

$$(\sigma^i x)^G \cap \sigma^i H = \cup_{r=1}^c (\sigma^i x_r)^H$$

is a disjoint union. Then by 3.2,

$$N_i(\sigma^i x)^{G_{\sigma^i}} \cap H_{\sigma^i} = \cup_{r=1}^c N_i(\sigma^i x_r)^{H_{\sigma^i}}$$

Hence for  $f \in \mathcal{C}(AH)$ ,

$$\begin{aligned} & \text{ind}(f|_{AH} \rightarrow AG)(\sigma^i x) \\ &= |AH|^{-1} \sum_{j=0}^{m-1} \sum_{y \in G} f((\sigma^j y)^{-1}(\sigma^i x)(\sigma^j y)) \\ &= m^{-1} |H|^{-1} \sum_{j=0}^{m-1} \sum_{y \in G} f(y^{-1}(\sigma^i x)y) \\ &= \sum_{r=1}^c |Z_G(\sigma^i x_r)| \cdot |Z_H(\sigma^i x_r)|^{-1} f(\sigma^i x_r) \\ &= \sum_{r=1}^c |Z_{G_{\sigma^i}}(N_i(\sigma^i x_r))| \cdot |Z_{H_{\sigma^i}}(N_i(\sigma^i x_r))|^{-1} \\ & \quad \cdot (i\text{-res } f)(N_i(\sigma^i x_r)) \\ &= \text{ind}(i\text{-res } f|_{H_{\sigma^i}} \rightarrow G_{\sigma^i})(N_i(\sigma^i x)). \end{aligned}$$

Here we considered  $f \equiv 0$  outside  $AH$ .

**Lemma 3.7.** *Let  $\psi \in (G_{\sigma^i})_{\sigma^i}^{\wedge}$  be given. Suppose that there exists a virtual character  $\mathcal{X}^{\sim}$  of  $AG$  such that  $i\text{-res } \mathcal{X}^{\sim} = \psi$ . Then there exists an irreducible character  $\mathcal{X}_{\tilde{\psi}}$  of  $AG$  such that  $i\text{-res } \mathcal{X}_{\tilde{\psi}} = \pm \psi$ .*

Proof. Let

$$\mathcal{X}^{\sim} = (c_0 \mathcal{X}_{\tilde{\psi}} + c_1 \xi \otimes \mathcal{X}_{\tilde{\psi}} + \cdots) + \cdots.$$

We may suppose that the right hand side does not contain any irreducible character which vanish identically on  $\sigma^i G$ . Since

$$(3.7.1) \quad i\text{-res } \mathcal{X}^{\sim} = (c_0 + c_1 \zeta^i + \cdots) i\text{-res } \mathcal{X}_{\tilde{\psi}} + \cdots$$

we get the inequality

$$(3.7.2) \quad |(c_0 + c_1 \zeta^i + \cdots)^{\tau}| \leq 1$$

for each  $\tau \in \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q})$ . (See 1.4.1 and 1.4.2.) If at least two terms appeared in 3.7.1, the strict inequality would hold in 3.7.2. Hence  $|N_{\mathbf{Q}(\zeta)/\mathbf{Q}}(c_0 + c_1 \zeta^i + \cdots)| < 1$  and  $c_0 + c_1 \zeta^i + \cdots = 0$ . Hence only one term appears in 3.7.1, and  $|c_0 + c_1 \zeta^i + \cdots| = 1$ . The following lemma shows that  $c_0 + c_1 \zeta^i + \cdots = \pm \zeta^{ij}$  ( $j \in \mathbf{Z}$ ). Thus  $\xi^{-j} \otimes \mathcal{X}_{\tilde{\psi}}$  satisfies our condition.

**Lemma 3.8.** *If  $c \in \mathbf{Z}[\zeta]$  has the absolute value one, then  $c$  is a root of unity.*

Proof. Put  $K = \mathbf{Q}(\zeta)$  and  $K_0 = \mathbf{Q}(\zeta + \zeta^{-1})$ . Denote the unit group of  $K$  (resp.  $K_0$ ) by  $E$  (resp.  $E_0$ ). Since  $c$  is a unit of  $K$  and the rank of  $E$  and  $E_0$  are the same, some power  $c^N$  of  $c$  is contained in  $E_0$ . Let  $\varepsilon_0, \dots, \varepsilon_r > 0$  be fundamental units of  $E_0$ . Let  $c^N = w \varepsilon_0^{\varepsilon_0} \cdots \varepsilon_r^{\varepsilon_r}$ , where  $w$  is a root of unity. Since  $|c|^N = \varepsilon_0^{\varepsilon_0} \cdots \varepsilon_r^{\varepsilon_r} = 1$ , we get  $c^N = w$ .

#### 4. Lifting theory of exponential unipotent groups

4.1. Let  $U$  be a nilpotent Lie algebra over  $\bar{k}$  defined over  $k$ . For  $x, y \in U$ , let

$$(4.1.1) \quad H(x, y) = x + y + a[x, y] + b[x, [x, y]] + c[y, [x, y]] + \cdots,$$

where  $a, b, c, \dots$  are elements of  $k$  which is independent of  $x$  and  $y$ . Suppose that  $U$  is a group under the multiplication rule  $x \cdot y = H(x, y)$  and denote this group by  $U$ . Such  $U$  is called an exponential unipotent group. Denote an element  $x \in \mathfrak{U}$  by  $\exp x$  when  $x$  is considered as an element of  $U$ . The inverse map of  $\exp: \mathfrak{U} \rightarrow U$  is denoted by  $\log$ . Occasionally  $\exp$  and  $\log$  are omitted.

4.2. Let  $\mathfrak{U}'$  be the dual space of  $\mathfrak{U}$ . Fix a  $\lambda \in \mathfrak{U}'$  and put  $B(x, y) = \lambda[x, y]$ . Then  $B$  is an alternating bilinear form on  $\mathfrak{U}$ . Let  $\mathfrak{G}^\lambda$  be a subalgebra of  $\mathfrak{U}$  such that

$$(4.2.1) \quad B(x, y) = 0 \quad \text{for } x, y \in \mathfrak{G}^\lambda,$$

$$(4.2.2) \quad \dim \mathfrak{G}^\lambda = \frac{1}{2} (\dim \mathfrak{U} + \dim \mathfrak{U}_B^\perp),$$

where  $\mathfrak{U}_B^\perp$  is the null space of  $B$ . Put  $H^\lambda = \exp \mathfrak{G}^\lambda$ .

4.3. Let  $\psi_0$  be an additive character of  $\bar{k}$  such that  $\psi_0|_{k_m}$  is  $\sigma$ -invariant and non-trivial. Then  $\psi_0(s) \neq 1$  for some  $s \in k_m^\times$ . Let  $\psi(x) = \psi_0(sx)$ . Since  $\psi(1) \neq 1$ , the restriction of  $\psi$  to an arbitrary subfield of  $k_m$  is non-trivial. Since  $\psi(s^{-1}x) = \psi(s^{-1}x^{\sigma^i})$  for  $x \in k_m$ ,

$$(4.3.1) \quad \psi(x^{\sigma^{-i}}) = \psi(s^{-1}s^{\sigma^i}x).$$

We define the  $\sigma$ -action on  $\mathfrak{U}'$  by

$$\lambda^\sigma(x) = (\lambda(x^{\sigma^{-1}}))^\sigma \quad \text{for } \lambda \in \mathfrak{U}'.$$

For  $\lambda \in \mathfrak{U}'$  we define a linear character  $\phi_\lambda$  of  $H^\lambda$  by  $\phi_\lambda = \psi_0 \circ \lambda \circ \log$ . (See 4.1.1 and 4.2.1.) Let  $\lambda \in \mathfrak{U}'_\sigma$  and choose  $H^\lambda$  to be  $\sigma$ -invariant. Since the restriction of  $\phi_\lambda$  to  $H^\lambda$  is  $\sigma$ -invariant, we can define a linear character  $A\phi_\lambda$  of  $AH$  by  $A\phi_\lambda(\sigma^i x) = \phi_\lambda(x)$ . Define  $Tr_i: k_m \rightarrow k_d$  ( $d = (m, i)$ ) by  $Tr_i x = \sum_{j=0}^{(m/d)-1} x^{\sigma^{ij}}$  ( $x \in k_m$ ,  $i = 0, 1, \dots, m-1$ ). If  $Tr_i s = 0$ , then  $s$  can be represented as  $s = t - t^{\sigma^d}$ ,  $d = (m, i)$  with some  $t \in k_m$ . Hence

$$\psi_0(s) = \psi_0(t - t^{\sigma^d}) = \psi_0(t - t) = 1.$$

This contradicts the choice of  $s$ . Hence we can define an element  $\lambda_i \in \mathfrak{U}'_i$  by  $\lambda = (Tr_i s)\lambda_i$ . Note that we can take  $\mathfrak{G}^{\lambda_i} = \mathfrak{G}^\lambda$ . For an element  $x \in \mathfrak{G}^\lambda$ , by 4.1.1 and 4.2.1,

$$\begin{aligned}
\psi \circ \lambda_i(N_i(\sigma^i x)) &= \psi \circ \lambda_i\left(\sum_{j=0}^{m/d-1} x^{\sigma^{-ij}}\right) \\
&= \psi\left(\sum_j \lambda_i(x)^{\sigma^{-ij}}\right) \\
&= \psi\left(\sum_j s^{-1} s^{\sigma^{ij}} \lambda_i(x)\right) \\
&= \psi(s^{-1} \lambda(x)).
\end{aligned}$$

On the other hand,

$$A\phi_\lambda(\sigma^i x) = \phi_\lambda(x) = \psi_0 \circ \lambda(x) = \psi(s^{-1} \lambda(x)).$$

Hence we obtain

$$(4.3.2) \quad i\text{-res } A\phi_\lambda = \phi_{\lambda,i}$$

where  $\phi_{\lambda,i}$  is a linear character of  $H_\sigma^{\lambda,i}$  defined by

$$\phi_{\lambda,i}(x) = \psi((Tr_i s)^{-1} \lambda(x)).$$

Let

$$\begin{aligned}
\chi_{\lambda,i} &= \text{ind}(\phi_{\lambda,i} | H_\sigma^{\lambda,i} \rightarrow U_{\sigma^i}) \\
A\chi_\lambda &= \text{ind}(A\phi_\lambda | AH^\lambda \rightarrow AU).
\end{aligned}$$

Then by 3.6 and 4.3.2,

$$(4.3.3) \quad i\text{-res } A\chi_\lambda = \chi_{\lambda,i}.$$

In general, if  $\lambda \in \mathcal{U}$  satisfies  $d = d(\lambda) | m$ , then we can define a character  $A_d \chi_\lambda$  of  $A_d U$  in the same manner. It is known (Kazhdan [5]) that every irreducible character of  $U$  can be obtained as  $\chi_{\lambda,0}$  with some  $\lambda \in \mathfrak{u}'/U$ . Let

$$A\chi_\lambda = \text{ind}(A_d \chi_\lambda | A_d U \rightarrow AU).$$

Then every irreducible character of  $AU$  can be obtained as  $A\chi_\lambda \otimes \xi^j$  with some  $\lambda \in \mathfrak{u}'/AU$  and  $0 \leq j < m/d(\lambda)$  without repetition. Thus by 3.6, we obtain

**Proposition 4.4.** *Suppose that  $U$  is an exponential unipotent group. Then for any  $\chi \in \mathcal{R}(G_{\sigma^i})$ , there exists a virtual character  $\chi^\sim$  such that  $i\text{-res } \chi^\sim = \chi$ .*

## 5. Existence of lifting of $R_\tau^0$

**Lemma 5.1.** *Let  $G$  be a finite group,  $Z$  a central subgroup of  $G$  and  $\theta \in Z^\wedge$ . Let  $p$  be a prime such that  $|G| = p^n l$ ,  $(p, l) = (p, |Z|) = 1$ . Let  $U$  be a  $p$ -Sylow subgroup of  $G$ . Suppose that a virtual character  $\chi \in \mathcal{R}(G)$  satisfies the following conditions:*

$$(5.1.1) \quad \chi(x) = 0 \quad \text{if } x_s \notin Z,$$

$$(5.1.2) \quad \chi(x) = \theta(x_s) \chi(x_u) \quad \text{if } x_s \in Z,$$

$$(5.1.3) \quad |Z| \cdot |Z_G(x)|^{-1} \chi(x) \in \mathcal{O}[p^{-1}],$$

where  $\mathcal{O}$  is the ring of algebraic integers.

Then there exists a virtual character  $\psi \in \mathcal{R}(U)$  such that

$$\chi = \text{ind}(\theta \otimes \psi | Z \times U \rightarrow G).$$

Proof. For an integer  $n$ , define a class function  $n^*$  on  $G$  by

$$(5.1.4) \quad n^*(x) = \begin{cases} n & \text{if } x_s \in Z \\ 0 & \text{if } x_s \notin Z \end{cases}$$

Then lemma 5.1.7 below shows that  $l^* \in \mathcal{O} \otimes \text{ind}_{Z \times U}^G \mathcal{R}(Z \times U)$ . By 5.1.1, we obtain

$$(5.1.5) \quad l\chi \in \text{ind}_{Z \times U}^G \mathcal{R}(Z \times U).$$

Let  $\{u_1, \dots, u_n\}$  be a complete set of representatives of unipotent classes of  $G$ , and, for each  $i$ ,  $\{v_{ij} (j=1, \dots, c_i)\}$  be a complete set of representatives of  $U$ -conjugacy classes of  $u_i^G \cap U$ . Define a class function  $\phi$  on  $U$  by

$$\phi(v_{ij}) = |Z_U(v_{ij})| \times |Z| \cdot |Z_G(u_i)|^{-1} \chi(u_i)$$

and

$$\phi(v_{ij}) = 0 \quad \text{for } j \neq 1.$$

Then  $\chi = \text{ind}_{Z \times U}^G(\theta \otimes \phi)$ . Since  $\phi$  is an  $\mathcal{O}[p^{-1}]$ -valued class function on a  $p$ -group  $U$ ,  $p^N \phi \in \mathcal{O} \otimes \mathcal{R}(U)$  for a large integer  $N$ . Hence

$$(5.1.6) \quad p^N \chi \in (\mathcal{O} \otimes \text{ind}_{Z \times U}^G \mathcal{R}(Z \times U)) \cap \mathcal{R}(G) = \text{ind}_{Z \times U}^G \mathcal{R}(Z \times U).$$

By 5.1.2, 5.1.5 and 5.1.6, there exists  $\psi \in \mathcal{R}(U)$  such that

$$\chi = \text{ind}_{Z \times U}^G \theta \otimes \psi.$$

**Lemma 5.1.7.** *Under the same assumptions as in 5.1, we get  $l^* \in \mathcal{O} \otimes \text{ind}_{Z \times U}^G \mathcal{R}(Z \times U)$ .*

Proof. For a cyclic subgroup  $A$  of  $G$ , put

$$\theta_A(x) = \begin{cases} |A| & \text{if } \langle x \rangle = A \\ 0 & \text{if } \langle x \rangle \neq A. \end{cases}$$

Then

$$(\text{ind}_A^G \theta_A)(x) = \sum_{\substack{y \in G_i \\ \langle y^{-1}xy \rangle = A}} 1$$

and

$$\sum_{A \subset Z \times G_{\text{unipo}}} \text{ind}_A^G \theta_A = g^*,$$

where  $G_{\text{unipo}}$  is the set of unipotent elements of  $G$ . (See [7, proof of Proposition



27].) Hence for every  $\mathbf{Z}$ -valued class function  $f, fg^* \in \mathcal{O} \otimes \text{ind}_{\mathbf{Z} \times U}^{\mathcal{G}} \mathcal{R}(\mathbf{Z} \times U)$ . (See [7, proof of lemma 6].) For each element  $x \in \mathbf{Z}$ , there exists a  $\mathbf{Z}$ -valued function  $\psi_x \in \mathcal{O} \otimes \text{ind}_{\langle x \rangle \times U}^{\mathcal{G}} \mathcal{R}(\langle x \rangle \times U)$  such that

$$\psi_x(x) \not\equiv 0 \pmod{\mathfrak{p}}$$

and

$$\psi_x(y) \equiv 0 \pmod{\mathfrak{p}}$$

if  $x \neq y \in \mathbf{Z}$ . (See [7, lemma 8].) Put  $\psi = \sum_{x \in \mathbf{Z}} \psi_x$ . Then  $\psi$  is  $\mathbf{Z}$ -valued,  $\psi \in \mathcal{O} \otimes \text{ind}_{\mathbf{Z} \times U}^{\mathcal{G}} \mathcal{R}(\mathbf{Z} \times U)$  and  $\psi(x) \not\equiv 0 \pmod{\mathfrak{p}}$  for  $x \in \mathbf{Z} \times G_{\text{unipo}}$ . Hence, for some integer  $N$ ,  $l^*(\psi^N - 1)$  can be written as  $fg^*$  with some  $\mathbf{Z}$ -valued class function  $f$  and  $l^*(\psi^N - 1^*) \in \mathcal{O} \otimes \text{ind}_{\mathbf{Z} \times U}^{\mathcal{G}} \mathcal{R}(\mathbf{Z} \times U)$ . Since  $l^*\psi^N = l\psi^N \in \mathcal{O} \otimes \text{ind}_{\mathbf{Z} \times U}^{\mathcal{G}} \mathcal{R}(\mathbf{Z} \times U)$ , we obtain  $l^* \in \mathcal{O} \otimes \text{ind}_{\mathbf{Z} \times U}^{\mathcal{G}} \mathcal{R}(\mathbf{Z} \times U)$ .

**DEFINITION 5.2** ([5]). Let  $\mathbf{T}$  be a maximal torus defined over  $k$ . A reductive subgroup  $\mathbf{H}$  of  $\mathbf{G}$  defined over  $k$  is called a distinguished subgroup if it can be represented as  $\mathbf{H} = Z_{\mathbf{G}}^{\circ}(\mathbf{T}_0)$  with some subgroup  $\mathbf{T}_0$  of  $\mathbf{T}$ . Denote the set of distinguished subgroups by  $\mathcal{H} = \mathcal{H}_{\mathbf{T}}$ . We define a partial order in  $\mathcal{H}_{\sigma^i}$  by the inclusion and the Möbius function  $\mu_i$  on it, where we put  $\mathcal{H} = \mathcal{H}_{\sigma^m}$ . (See 1.6.) For  $\theta \in (T_{\sigma^i})^{\wedge}$ , let

$$R_{\mathbf{T},i}^{\theta} = R_{\mathbf{T},G_{\sigma^i}}^{\theta},$$

where  $R_{\mathbf{T},G_{\sigma^i}}^{\theta}$  is the virtual character of  $G_{\sigma^i}$  corresponding to  $(\mathbf{T}, \theta)$  constructed by Deligne and Lusztig [1]. Let

$$\begin{aligned} K_{\mathbf{T},i}^{\theta} &= K_{\mathbf{T},G_{\sigma^i}}^{\theta} \\ &= \sum_{\mathbf{H} \in \mathcal{H}_{\sigma^i}} \mu_i(\mathbf{H}) \text{ind} (R_{\mathbf{T},\mathbf{H}_{\sigma^i}}^{\theta} |_{H_{\sigma^i}} \rightarrow G_{\sigma^i}). \end{aligned}$$

Let  $N^i: T_{\sigma^i} \rightarrow T_{\sigma}$  be the norm map. For  $\theta \in (T_{\sigma})^{\wedge}$ , we define a class functions  $AR_{\mathbf{T}}^{\theta}$  and  $AK_{\mathbf{T}}^{\theta}$  on  $AG$  by

$$\begin{aligned} i\text{-res } AR_{\mathbf{T}}^{\theta} &= R_{\mathbf{T},i}^{\theta \circ N^i} \\ i\text{-res } AK_{\mathbf{T}}^{\theta} &= K_{\mathbf{T},i}^{\theta \circ N^i} \end{aligned}$$

**Lemma 5.3** ([5; Propositions 4 and 5]). *Let  $\mathbf{Z}$  be the center of  $\mathbf{G}$ . If the Jordan decomposition of  $x \in G_{\sigma^i}$  is  $x = x_s x_u$  where  $x_s$  (resp.  $x_u$ ) is semisimple (resp. unipotent), then*

$$(5.3.1) \quad K_{\mathbf{T},i}^{\theta}(x) = 0 \quad \text{if } x_s \notin Z_{\sigma^i}$$

$$(5.3.2) \quad K_{\mathbf{T},i}^{\theta}(x) = \theta(x_s) K_{\mathbf{T},i}^{\theta}(x_u) \quad \text{if } x_s \in Z_{\sigma^i}$$

Moreover there exist constants  $p(l)$  and  $q(l)$  which depend only on the semisimple rank  $l$  of  $\mathbf{G}$  such that if  $p > p(l)$  and  $q > q(l)$ , then

$$(5.3.3) \quad |Z_{\sigma'}| \cdot |Z_{G_{\sigma'}}(x)|^{-1} K_{T,i}^{\theta}(x) \in \mathcal{O}[p^{-1}].$$

By 5.1 and 5.3, we get

**Corollary 5.4.** *Let  $Z$  be the center of  $G$ . If  $p > p(l)$  and  $q > q(l)$ , then there exists a character  $\psi \in \mathcal{R}(U_{\sigma'})$  such that*

$$K_{T,i}^{\theta} = \text{ind}(\theta \otimes \psi | Z_{\sigma'} \times U_{\sigma'} \rightarrow G_{\sigma'}).$$

**Theorem 5.5.** *Let  $T$  be a maximal torus defined over  $k$  and  $\theta \in (T_{\sigma'}^i)_{\sigma'}^{\wedge}$ . If  $p > p(l)$  and  $q > q(l)$ , then there exist virtual characters  $A\rho, A\rho' \in \mathcal{R}(AG)$  such that*

$$\begin{aligned} i\text{-res } A\rho &= R_{T,i}^{\theta}, \\ i\text{-res } A\rho' &= K_{T,i}^{\theta}. \end{aligned}$$

*If  $\langle R_{T,i}^{\theta}, K_{T,i}^{\theta} \rangle = 1$ , then we can choose  $A\rho$  so that  $\langle A\rho, A\rho \rangle_{AG} = 1$ .*

*Proof.* We prove by induction on  $\dim DG$ , where  $DG$  is the derived group of  $G$ . If  $\dim DG = 0$ , the statement is clear. Let  $\dim DG > 0$ . Since the statement about  $R_{T,i}^{\theta}$  follows from that about  $K_{T,i}^{\theta}$  by an induction argument and by 3.7, it suffices to prove the statement about  $K_{T,i}^{\theta}$ . By imbedding the group  $G$  into a group with a connected center and the same derived group as  $G$ , we may suppose that the center of  $G$  is connected. Hence we must prove the existence of a character  $A\rho \in \mathcal{R}(A(Z \times U))$  such that  $i\text{-res } A\rho = \theta \otimes \psi$ . (See 3.6 and 5.4.) Such an  $A\rho$  exists by 4.4.

## 6. Liftings of regular and semisimple characters

6.1. Let  $G$  be a reductive group with a connected center  $Z$ . Let  $B$  and  $T$  be a Borel subgroup and a maximal torus both defined over  $k$ . Let  $I$  be the set of  $\sigma$ -orbits of the simple roots with respect to  $T \subset B$ . In the following we use the notations of [1; Chapter 10]. Let  $\chi$  be a linear character of  $U$  in general position. Then

$$(6.1.1) \quad \Gamma_G = \text{ind}_U^G \chi$$

is independent of the choice of  $\chi$ . Put

$$(6.1.2) \quad \Delta_G = \sum_{J \in I} (-1)^{|J|} \text{ind}_{P(J)}^G \Gamma_{L(J)},$$

where  $L(J)$  is the Levi subgroup of a parabolic subgroup  $P(J)$ . An irreducible component of  $\Gamma_G$  (resp.  $\Delta_G$ ) is called a regular character (resp. a semisimple character). Then the followings are known. (See [1], [3], [10].) For an arbitrary irreducible character  $\rho$  of  $G$ ,

$$(6.1.3) \quad \langle \Gamma_G, \rho \rangle = 0 \quad \text{or} \quad 1$$

$$(6.1.4) \quad \langle \Delta_G, \rho \rangle = 0 \quad \text{or} \quad \pm 1.$$

Let  $x$  be a geometric conjugacy class of  $G$ . Put

$$\rho_x = \sum_{\substack{(T, \theta) \bmod G \\ [\theta] = x}} (-1)^{r(G) - r(T)} \langle R_T^\theta, R_T^\theta \rangle^{-1} R_T^\theta$$

and

$$\rho'_x = (-1)^{r(G) - \delta_x} \sum_{\substack{(T, \theta) \bmod G \\ [\theta] = x}} \langle R_T^\theta, R_T^\theta \rangle^{-1} R_T^\theta.$$

Then  $\rho_x$  and  $\rho'_x$  are irreducible characters of  $G$  and one has

$$(6.1.5) \quad \Gamma_G = \sum_x \rho_x$$

and

$$(6.1.6) \quad \Delta_G = \sum_x (-1)^{r(G) - \delta_x} \rho'_x,$$

where  $r(G)$  is the split rank of  $G$ . Note that an irreducible character is regular and semisimple if and only if it is equal to some irreducible  $\pm R_T^\theta$ . Let  $l$  be the semisimple rank of  $G$ , then

$$(6.1.7) \quad \langle \Gamma_G, \Gamma_G \rangle = \langle \Delta_G, \Delta_G \rangle = |Z| q^l.$$

Denote  $\Gamma_{G_{\sigma^i}}$  (resp.  $\Delta_{G_{\sigma^i}}$ ,  $St_{G_{\sigma^i}}$ ) by  $\Gamma_i$  (resp.  $\Delta_i$ ,  $St_i$ ).

**Lemma 6.2.** (1) Define a class function  $A\Gamma = A\Gamma_G$  on  $AG$  by  $i$ -res  $A\Gamma = \Gamma_i$ . Then  $A\Gamma \in \mathcal{R}_+(AG)$ .

(2) Define a class function  $A\Delta = A\Delta_G$  on  $AG$  by  $i$ -res  $A\Delta = \Delta_i$ . Then  $A\Delta \in \mathcal{R}(AG)$ .

(3) Define a class function  $ASt = ASt_G$  on  $AG$  by  $i$ -res  $ASt = St_i$ . Then  $ASt \in (AG)^\wedge$ .

(4) Denote the  $k_{(m, i)}$ -split rank of  $G$  by  $r(G, i)$  and put  $\varepsilon_T(i) = (-1)^{r(G, i) - r(T, i)}$ . Define a class function  $A\varepsilon_T$  on  $AG$  by  $i$ -res  $A\varepsilon_T = \varepsilon_T(i)$ . Then  $A\varepsilon_T \in \mathcal{R}(AG)$ .

*Proof.* (1) Choose the character  $\chi$  in 6.1.1 to be  $\sigma$ -invariant and extend  $\chi$  to a linear character  $A\chi$  of  $AU$  by  $A\chi(\sigma^i x) = \chi(x)$ . It suffices to prove that the linear character  $i$ -res  $A\chi$  of  $U_{\sigma^i}$  is in general position. This can be proved by 3.6.3 and 3.6.4.

(2) We prove (2) by using lemma 1.5. Fix a subset  $J \subset I$  and put  $d = \min\{j > 0 \mid J^{\sigma^j} = J\}$ . Let

$$a_i = \begin{cases} (-1)^{|J \setminus \langle \sigma^i \rangle|} & \text{if } d \mid i \\ 0 & \text{if } d \nmid i. \end{cases}$$

If  $de \mid m$ , then it is easy to verify that  $e \mid \sum_{i \mid de} \mu(de/i) a_i$ . Hence  $A\Delta \in \mathcal{R}(AG)$ .

(3) The proof is similar to (2).

(4) If the Frobenius endomorphism of  $T$  is given by  $q\tau w$ , then  $\varepsilon_T(i) = \det w^{(m, i)}$ .

Here we assume that the Frobenius endomorphism of a maximally split torus is given by  $q\tau$ . (See [1; 1.1].) Hence  $A\varepsilon_\tau \in \mathcal{R}(AG)$ .

**Lemma 6.3.** *Let  $G$  be a reductive group with a connected center. Suppose that an irreducible character  $\rho_i \in (\mathbf{G}_{\sigma^i})_\sigma^\wedge$  is regular and represented as  $i$ -res  $A\rho = \varepsilon\rho_i$  with  $\varepsilon = \pm 1$  and some  $A\rho \in (AG)^\wedge$ . Then by modifying  $A\rho$ , if needed, we can suppose that  $\varepsilon = 1$  and*

$$\langle j\text{-res } A\rho, \Gamma_j \rangle = 1 \quad 0 \leq j \leq m-1.$$

In particular  $A\rho|_G = 0\text{-res } A\rho$  is regular. Moreover

$$(6.3.1) \quad \begin{aligned} & |\{ \text{irreducible components of } \Gamma \} / \langle \sigma \rangle| \\ &= \langle A\Gamma, A\Gamma \rangle. \end{aligned}$$

Proof. Let

$$A\Gamma = (c_0 A\rho + c_1 \xi \otimes A\rho + \cdots + c_{m-1} \xi^{m-1} \otimes A\rho) + \cdots.$$

Then  $c_i$  are non-negative and

$$\Gamma = (c_0 + c_1 + \cdots + c_{m-1})\rho + \cdots,$$

where  $A\rho|_G = \rho$ . Hence there is at most one non-zero  $c_i$  and, if exists, such a  $c_i$  equals one. Put  $A\rho' = \xi^{-i} \otimes A\rho$  and  $c_j' = c_{j+i}$ . Here we identify  $\{0, \dots, m-1\}$  with  $\mathbf{Z}/(m)$  naturally. Then  $\zeta^{il} \langle i\text{-res } A\rho, \Gamma_l \rangle = c_0'$ . Hence if we take such  $A\rho'$  instead of  $A\rho$ , we have  $\varepsilon = 1$ ,  $c_0 = 1$  and  $c_1 = \cdots = c_{m-1} = 0$ . Since

$$\sum_{j=0}^{m-1} \zeta^{lj} \langle j\text{-res } A\rho, \Gamma_j \rangle = m \langle \xi^l \otimes A\rho, A\Gamma \rangle_{AG} = mc_l,$$

we obtain

$$\langle j\text{-res } A\rho, \Gamma_j \rangle = 1 \quad 0 \leq j \leq m-1.$$

Since, for each irreducible component  $\mathcal{X}$  of  $\Gamma$ ,

$$\mathcal{X} + \mathcal{X}^\sigma + \cdots + \mathcal{X}^{\sigma^{d-1}} \quad (d = d(\mathcal{X}))$$

is the restriction of some irreducible component  $A\mathcal{X}$  of  $A\Gamma$  and the converse is also true, 6.3.1 holds.

**Lemma 6.4.** *Let  $G$  be a reductive group with a connected center. Suppose that an irreducible character  $\rho_i \in (\mathbf{G}_{\sigma^i})_\sigma^\wedge$  is semisimple and represented as  $i$ -res  $A\rho = \varepsilon\rho_i$  with  $\varepsilon = \pm 1$  and some  $A\rho \in (AG)^\wedge$ . Then  $A\rho|_G = \rho$  is semisimple.*

Proof. Let

$$\Delta A = (c_0 A\rho | c_1 \xi \otimes A\rho | \cdots | c_{m-1} \xi^{m-1} \otimes A\rho) | \cdots.$$

If we can prove that there exists at most one non-zero  $c_j$ , then we can prove the semisimplicity of  $\rho$  by the same argument as in 6.3. Since, for each irreducible component  $\mathcal{X}$  of  $\Delta$ ,  $\mathcal{X} + \mathcal{X}^\sigma + \cdots + \mathcal{X}^{\sigma^{d-1}}$  ( $d=d(\mathcal{X})$ ) is the restriction of some irreducible component  $A\mathcal{X}$  of  $A\Delta$ , we obtain

$$(6.4.1) \quad \langle A\Delta, A\Delta \rangle \geq |\{\text{irreducible components of } \Delta\} / \langle \sigma \rangle|.$$

Let

$$A\Delta = (d_0 A\mathcal{X} + d_1 \xi \otimes A\mathcal{X} + \cdots) + \cdots.$$

Then

$$\begin{aligned} & \text{the left hand side of 6.4.1} \\ & \geq \sum_{\langle \mathcal{X}, \Delta \rangle \neq 0} (d_0^2 + d_1^2 + \cdots) \geq \text{the right hand side of 6.4.1.} \end{aligned}$$

Since

$$\text{the left hand side of 6.4.1} = m^{-1} \sum_{i=0}^{m-1} \langle \Delta_i, \Delta_i \rangle_{G_{\sigma^i}}$$

and

$$\begin{aligned} & \text{the right hand side of 6.4.1} \\ & = |\{\text{irreducible components of } \Gamma\} / \langle \sigma \rangle| \text{ (by 6.1.5 and 6.1.6)} \\ & = \langle A\Gamma, A\Gamma \rangle_{AG} \\ & = m^{-1} \sum_{i=0}^{m-1} \langle \Gamma_i, \Gamma_i \rangle_{G_{\sigma^i}}, \end{aligned}$$

these two terms are equal by 6.1.7. Hence for each irreducible component  $\mathcal{X}$  of  $\Delta$ , we have  $d_0^2 + d_1^2 + \cdots = 1$ . Hence there exists at most one non-zero  $c_j$ .

6.5. If  $\langle R_T^\theta, R_T^\theta \rangle = 1$ , a virtual character of the form  $R_T^\theta$  is called a regular semisimple character. Denote the set of regular semisimple characters of  $G$  by  $RS(G)$ . Further, put  $RS_+(G) = \{RS(G) \cup (-RS(G))\} \cap G^\wedge$ .

**Lemma 6.6.** *If  $R_T^\theta \in RS(G)_\sigma$ , then there exists a  $\sigma$ -invariant pair  $(T_1, \theta_1)$  such that  $R_T^\theta = R_{T_1}^{\theta_1}$ .*

*Proof.* By Deligne and Lusztig [1, Chapter 5], a conjugacy class of  $(T, \theta)$  corresponds to some regular semisimple conjugacy class of the dual group  $\mathbf{G}^*$ . Since a  $\sigma$ -invariant regular semisimple class contains a  $\sigma$ -invariant element, the lemma is clear.

**Lemma 6.7.** *Let  $\mathbf{G}$  be a reductive group. If  $p > p(l)$  and  $q > q(l)$ , for each  $\rho_i \in RS_+(G_{\sigma^i})_\sigma$ , there exists an  $A\rho \in (AG)^\wedge$  such that  $i\text{-res } A\rho = \rho_i$  and  $A\rho|_G = \rho \in RS_+(G)_\sigma$ .*

*Proof.* By the same reason as in the proof of 5.5, we may suppose that the center of  $\mathbf{G}$  is connected. By 6.6 and 5.5, there exist an irreducible character  $A\rho$  of  $AG$  and  $\varepsilon = \pm 1$  such that  $i\text{-res } A\rho = \varepsilon\rho_i$ . Since  $\rho_i$  is regular, we may

suppose that  $\varepsilon=1$ . By 6.3 and 6.4,  $A\rho|_G$  is regular and semisimple. Hence  $A\rho|_G \in RS_+(G)$ .

6.8. Denote the mapping  $RS_+(G_{\sigma^i})_{\sigma} \ni \rho_i \mapsto \rho \in RS_+(G)_{\sigma}$  by  $i$ -lift $_+$ . Denote the mapping  $RS(G_{\sigma^i})_{\sigma} \rightarrow RS(G)_{\sigma}$  induced by  $i$ -lift $_+$  by  $i$ -lift.

**Lemma 6.9.** *If  $R_{T,1}^{\theta} \in RS(G_{\sigma})$ , then  $R_{T,m}^{\theta \circ N^m} \in RS(G)_{\sigma}$ , where  $N^m: T \rightarrow T_{\sigma}$  is the norm map. Denote the mapping  $RS(G_{\sigma}) \ni R_{T,1}^{\theta} \mapsto R_{T,m}^{\theta \circ N^m} \in RS(G)_{\sigma}$  by  $*$ -lift. This induces the mapping  $RS_+(G_{\sigma}) \rightarrow RS_+(G)_{\sigma}$ , which is denoted by  $*$ -lift $_+$ . Then  $*$ -lift is well defined and bijective.*

The proof is clear from [1; 5.21.5].

**Corollary 6.10** *The mapping*

$$i\text{-lift}_+: RS_+(G_{\sigma^i})_{\sigma} \rightarrow RS_+(G)_{\sigma}$$

*is bijective.*

Proof. By 1.4,  $i$ -lift $_+$  is injective. By 6.9

$$|RS_+(G_{\sigma^i})_{\sigma}| = |RS_+(G_{\sigma})| = |RS_+(G)_{\sigma}|.$$

Hence  $i$ -lift $_+$  is bijective.

**Lemma 6.11.** *Let  $G$  be a reductive group and  $p > p(l)$ ,  $q > q(l)$ . For each  $\rho_i \in RS_+(G_{\sigma^i})_{\sigma}$ , there exists an  $A\rho \in (AG)^{\wedge}$  such that*

$$i\text{-res } A\rho = \rho_i$$

and

$$j\text{-res } A\rho \in RS_+(G_{\sigma^j})_{\sigma} \quad 0 \leq j \leq m-1.$$

Proof. Fix an integer  $j$ . Let  $i$ -lift $_+ \rho_i = \rho$  and  $j$ -lift $_+ \rho_j = \rho$  (See 6.10.). Then, by 6.3 and 6.7, there exist  $A\rho, A\rho' \in (AG)^{\wedge}$  such that

$$\begin{aligned} i\text{-res } A\rho &= \rho_i & A\rho|_G &= \rho, \\ \langle l\text{-res } A\rho, \Gamma_l \rangle &= 1 & 0 \leq l \leq m-1, \\ j\text{-res } A\rho' &= \rho_j & A\rho'|_G &= \rho, \end{aligned}$$

and

$$\langle l\text{-res } A\rho', \Gamma_l \rangle = 1 \quad 0 \leq l \leq m-1.$$

Then  $A\rho' = \xi^t \otimes A\rho$  for some  $t$ . Since

$$\langle l\text{-res } A\rho', \Gamma_l \rangle = \xi^{tl} \langle l\text{-res } A\rho, \Gamma_l \rangle,$$

$\xi^t = 1$ . Hence  $A\rho' = A\rho$ . This proves the lemma.

## 7. Main theorem (The case: $(m, p)=1$ )

7.1. Let  $G$  be a reductive group defined over  $k$  and  $l$  be its semisimple rank. Let  $T$  be a maximal torus defined over  $k$ , let  $W$  be the Weyl group with respect to  $T$  and suppose that the Frobenius endomorphism of  $T$  is given by  $\sigma=q\tau w_T$  with some  $w_T \in W$  (See the proof of 6.2 (4).). Let  $X=X(T)$  be the lattice of characters of  $T$ . Then  $X$  is a  $W$ -module.

**Theorem 7.2.** *There exist constants  $p(l)$  and  $q_1$ , where  $p(l)$  is the same constant as in 5.3, and  $q_1$  depends only on  $(W, \sigma)$ -module  $X$  and  $m$ , such that if  $p > p(l)$ , and  $q > q_1$  and  $(m, p)=1$ , then  $AR_T^\theta$  is a virtual character of  $AG$  for any  $\theta \in (T_\sigma)^\wedge$ .*

**Corollary 7.2.1.** *Under the same condition as in 7.2, the map 1-lift coincides with  $*$ -lift.*

In the remaining of this section, we prove theorem 7.2, and  $q_i, c_i (i=1, 2, \dots)$  are some positive constants depending only on  $(W, \sigma)$ -module  $X$  and  $m$ . The set of  $n \times n$ -matrices is denoted by  $M_n(\mathbf{Z})$ .

**Lemma 7.3.** *If  $f(x), g(x) \in M_n(\mathbf{Z})[x]$  and  $g(x)$  is monic, then one and only one of the followings holds.*

$$(1) \quad [f(q)\mathbf{Z}^n : f(q)\mathbf{Z}^n \cap g(q)\mathbf{Z}^n] > c_0 q \quad \text{for } q > q_0,$$

where  $c_0$  and  $q_0$  are some positive constants depending only on  $f$  and  $g$ .

$$(2) \quad f(x) = g(x)r(x) \quad \text{for some } r(x) \in M_n(\mathbf{Z})[x].$$

Proof.

$$\begin{aligned} & [f(q)\mathbf{Z}^n : f(q)\mathbf{Z}^n \cap g(q)\mathbf{Z}^n] \\ &= [f(q)\mathbf{Z}^n + g(q)\mathbf{Z}^n : g(q)\mathbf{Z}^n]. \end{aligned}$$

Choose  $r(x) \in M_n(\mathbf{Z})[x]$  and put  $s(x) = f(x) + g(x)r(x)$  so that  $s(x) = 0$  or  $d = \deg s < \deg g$ . Suppose that  $s(x) \neq 0$ . Then

$$\begin{aligned} & [f(q)\mathbf{Z}^n : f(q)\mathbf{Z}^n \cap g(q)\mathbf{Z}^n] \\ &= [s(q)\mathbf{Z}^n : s(q)\mathbf{Z}^n \cap g(q)\mathbf{Z}^n] \\ &= [q^{-d}s(q)\mathbf{Z}^n : q^{-d}s(q)\mathbf{Z}^n \cap q^{-d}g(q)\mathbf{Z}^n]. \end{aligned}$$

Thus we obtain (1).

7.4. To prove 7.2, it suffices to prove that  $AK_T^\theta \in \mathcal{R}(AG)$  by 1.8. Note that  $AK_T^\theta$  depends only on  $\theta|_{Z_\sigma}$ . For a divisor  $d$  of  $m$ ,  $(w_0, \dots, w_{d-1}) \in \mathbf{W}^d - \Delta$  ( $\Delta$  is the diagonal set) and  $w \in \mathbf{W}$ , denote by  $Y_d(w, w_0, \dots, w_{d-1})$  the set of  $\mu$ 's in  $X$  which satisfy the following condition:

$$(7.4.1) \quad \sum_{i=0}^{d-1} (q\tau w)^i w, \mu \in (\sum_{i=0}^{d-1} (q\tau w)^i) X.$$

For  $(w_1, w_2) \in \mathbf{W}^2 - \Delta$ ,  $w \in \mathbf{W}$ , denote by  $Y_0(w, w_1, w_2)$  the set of  $\mu$ 's in  $X$  which satisfy the following condition:

$$(7.4.2) \quad (w_1 - w_2)\mu \in (q\tau w - 1)X.$$

Put  $S = \bigcup Y_d \cup \bigcup Y_0$ . We claim that

$$(7.4.3) \quad \mu + \sum_{w \in \mathbf{W}_\sigma} (1-w)X \not\subset S$$

for  $\mu \in X$ , if  $q > q_2$  for some  $q_2$ . Put  $X_0 = \sum_{w \in \mathbf{W}_\sigma} (1-w)X$ . For every  $Y$ ,

$$(7.4.4) \quad [\mu + X_0: Y \cap (\mu + X_0)] > c_1 q \quad \text{for } q > q_3,$$

for some  $q_3$  or  $\mu + X_0 \subset Y$  for some  $Y$ . Assume that  $\mu + X_0$  is contained in  $S$ . Note that in 7.4.4, constants  $c_1$  and  $q_3$  can be chosen independently of  $\mu$ . Since  $\mu + X_0$  is contained in  $S$ , if  $q > q_4$ , for some  $q_4$ , 7.4.4 can not hold. Hence, if  $q > q_5$ , for some  $q_5$ , there exists an  $r(x) \in \text{End}(X)[x]$  such that one of the followings holds:

$$(7.4.5) \quad \sum_{i=0}^{d-1} (x\tau w)^i w, (1-w) = \sum_{i=0}^{d-1} (x\tau w)^i r(x)$$

$$(7.4.6) \quad (w_1 - w_2)(1-w) = (x\tau w - 1)r(x).$$

Comparing the degree in  $x$ , one sees that 7.4.6 can not hold and that  $r(x)$  in 7.4.5 is a constant. Put  $r(x) = a$ . Then for each  $i$ ,  $w, (1-w) = a$ . This contradicts  $(w_0, \dots, w_{d-1}) \in \Delta$ . Hence our claim 7.4.3 is proved. Hence to prove 7.2, it suffices to prove  $AK_T^{\phi, \mu} \in \mathcal{R}(AG)$  for  $\mu \in X - S$ . Here  $\phi$  is chosen as in 2.1.

In the following we fix a  $\mu \in X$ , put  $\theta = \phi \circ \mu$  and assume that  $p > p(l)$  and  $q > q_2$ .

7.5. For  $\lambda \in X$ , we define a rational representation  $R(\lambda)$  of  $\mathbf{G}$  by  $R(\lambda)|_T = \sum_{\lambda' \in \lambda'} \lambda'$ , where  $\lambda'$  runs all over the class of  $\lambda \bmod \mathbf{W}$ .

**Lemma 7.6.** *If  $\lambda \in X - S$ , then*

$$\langle R_{T, i}^{\theta, N^i}, \beta_\phi[R(\lambda)_i] \rangle_{G_\sigma^i} = 0 \text{ or } 1.$$

*This inner product equals 1, iff  $\mu \equiv w\lambda \bmod (q\tau w_T - 1)X$  for some  $w \in \mathbf{W}$ .*

$$\begin{aligned} \text{Proof.} \quad & \langle R_{T, i}^{\theta, N^i}, \beta_\phi[R(\lambda)_i] \rangle_{G_\sigma^i} \\ &= \langle \phi \circ \mu \circ N^i, \beta_\phi[R(\lambda)_i] |_{T_\sigma^i} \rangle_{T_\sigma^i} \\ &= \langle \phi \circ \sum_{j=0}^{d-1} (q\tau w_T)^j \mu, \sum_{(w_0, \dots, w_{d-1})} \phi \circ \sum_{j=0}^{d-1} (q\tau w_T)^j w_j \lambda \rangle_{T_\sigma^i}. \end{aligned}$$

If  $\sum_{j=0}^{d-1} (q\tau w_T)^j w, \lambda \bmod ((q\tau w_T)^d - 1)X$  is  $q\tau w_T$ -invariant,  $\sum_{j=0}^{d-1} (q\tau w_T)^j w_j \lambda \in (\sum_{j=0}^{d-1} (q\tau w_T)^j)X$ . By 7.4.1,  $w_0 = \dots = w_{d-1}$ . Hence the above inner product equals



$$\begin{aligned}
& \langle \phi \circ \sum_{j=0}^{d-1} (q\tau w_T)^j \mu, \sum_w \phi \circ (\sum_{j=0}^{d-1} (q\tau w_T)^j w\lambda) \rangle_{T\sigma^i} \\
&= \langle \phi \circ \mu \circ N^i, \sum_w \phi \circ w\lambda \circ N^i \rangle_{T\sigma^i} \\
&= \langle \phi \circ \mu, \sum_w \phi \circ w \rangle_{T\sigma}.
\end{aligned}$$

If  $w_1\lambda = w_2\lambda$  on  $T_\sigma$ ,  $(w_1 - w_2)\lambda \in (q\tau w_T - 1)X$ . By 7.4.2,  $w_1 = w_2$ . Thus we obtain the lemma.

**Lemma 7.7.** *Assume that  $(m, p) = 1$  and  $\mu \in X - S$ . Let  $\rho_i = R_{T,i}^{\theta_i N^i}$ ,  $\rho_0 = \rho = i$ -lift  $\rho$ , and define  $\rho_j \in RS(G_{\sigma_j})_\sigma$  by  $\rho = j$ -lift  $\rho_j$ , for  $0 \leq j \leq m-1$ . Define a class function  $A\rho$  on  $AG$  by  $j$ -res  $A\rho = \rho_j$ , ( $0 \leq j \leq m-1$ ). Then  $A\rho \in \mathcal{R}(AG)$ .*

*Proof.* Let  $\varepsilon_j \rho_j \in RS_\pm(G_{\sigma_j})_\sigma$  with  $\varepsilon_j = \pm 1$ . Then there exists an irreducible character  $A\rho'$  such that  $j$ -res  $A\rho' = \varepsilon_j \rho_j$ . (See 6.11.) Let

$$\beta_\phi[AR(\mu)] = (c_0 A\rho' + c_1 \xi \otimes A\rho' + \cdots + c_{m-1} \xi^{m-1} \otimes A\rho') + \cdots$$

and

$$a_j = \langle \beta_\phi[AR(\mu)], A\rho' \rangle_{\sigma_j G}.$$

Then

$$\sum_{j=0}^{m-1} a_j \zeta^{jl} = mc_l.$$

But by 7.6,

$$\begin{aligned}
a_j &= \langle j\text{-res } \beta_\phi[AR(\mu)], j\text{-res } A\rho' \rangle_{G\sigma_j} \\
&= \langle \beta_\phi[R(\mu)_j], \varepsilon_j \rho_j \rangle \\
&= 0 \text{ or } \varepsilon_j.
\end{aligned}$$

Hence, unless  $a_j \zeta^{lj}$  ( $0 \leq j \leq m-1$ ) are equal to each other

$$|mc_l| = |\sum a_j \zeta^{lj}| < m, \quad c_l = 0.$$

Since  $a_j \neq 0$ , there exists an  $l$  such that  $c_l \neq 0$ . Then  $\zeta^l = \varepsilon = \pm 1$ . Since  $a_j \zeta^{lj} = a_j \varepsilon^j = \varepsilon_j \varepsilon^j$  ( $0 \leq j \leq m-1$ ) are equal to each other,  $\varepsilon_j = \varepsilon_0 \cdot \varepsilon^j$ . Hence  $A\rho \in \mathcal{R}(AG)$ .

**Lemma 7.8.** *Assume that  $(m, p) = 1$  and  $\mu, \lambda \in X - S$ . Then we have the equality*

$$\begin{aligned}
& \langle R_{T,i}^{\theta_i N^i}, \beta_\phi[R(\lambda)_i] \rangle_{G\sigma^i} \\
&= \langle i\text{-lift } R_{T,i}^{\theta_i N^i}, \beta_\phi[R(\lambda)] \rangle_G = 0 \text{ or } 1.
\end{aligned}$$

*Proof.* Let  $\rho_i = R_{T,i}^{\theta_i N^i}$  and define  $A\rho$  as in 7.7. Let

$$\beta_\phi[AR(\lambda)] = (c_0 A\rho + c_1 \xi \otimes A\rho + \cdots + c_{m-1} \xi^{m-1} \otimes A\rho) + \cdots$$

and

$$a_j = \langle \beta_\phi[AR(\lambda)], A\rho \rangle_{\sigma_j G}.$$

Then

$$\sum_{j=0}^{m-1} a_j \zeta^{lj} = mc_l.$$

But  $a_j = \langle \beta_\phi[R(\lambda)_j], \rho_j \rangle = 0$  or  $1$ . Hence  $c_1 = \dots = c_{m-1} = 0$  and  $a_0 = \dots = a_{m-1}$ .

7.9. Proof of 7.2. Assume  $(m, p) = 1$  and  $\mu \in X - S$ . Then, by 7.6 and 7.8, for an arbitrary  $\lambda \in X - S$ ,

$$\begin{aligned} & \langle R_{T,m}^{\theta \circ N^m}, \beta_\phi[R(\lambda)] \rangle_G \\ &= \langle R_{T,i}^{\theta \circ N^i}, \beta_\phi[R(\lambda)_i] \rangle_{G\sigma^i} \\ &= \langle i\text{-lift } R_{T,i}^{\theta \circ N^i}, \beta_\phi[R(\lambda)] \rangle_G \\ &= 0 \text{ or } 1. \end{aligned}$$

By this and 7.6, there exists a  $w \in \mathbf{W}$  such that

$$(7.9.1) \quad i\text{-lift } R_{T,i}^{\theta \circ N^i} = R_{T,m}^{w\theta \circ N^m}.$$

Hence, it suffices to prove that the element  $w$  of  $\mathbf{W}$  commutes with  $\tau w_T$ . (See 7.7)

If we take  $\mu + (q\tau w_T - 1)\lambda$  instead of  $\mu$ ,  $R_{T,i}^{\theta \circ N^i}$  does not change. Hence  $R_{T,m}^{w\theta \circ N^m}$  does not change also. Hence for an arbitrary  $\lambda \in X$ , there exists an element  $w(\lambda) \in \mathbf{W}$  such that

$$\begin{aligned} (q\tau w_T - 1)^{-1}((q\tau w_T)^m - 1)w\mu &\equiv w(\lambda) (q\tau w_T - 1)^{-1}((q\tau w_T)^m - 1) \\ &\quad \times w(\mu + (q\tau w_T - 1)\lambda) \pmod{((q\tau w_T)^m - 1)X}. \end{aligned}$$

Then, dividing by  $(q\tau w_T)^m - 1$ , we obtain

$$(q\tau w_T - 1)^{-1}w\mu \equiv w(\lambda) (q\tau w_T - 1)^{-1}w(\mu + (q\tau w_T - 1)\lambda) \pmod{X}.$$

If we put  $\tau w' = w^{-1}(\tau w_T)w$ ,

$$(7.9.2) \quad (q\tau w' - 1)^{-1}\mu \equiv (w^{-1}w(\lambda)w) (q\tau w' - 1)^{-1}(\mu + (q\tau w_T - 1)\lambda) \pmod{X}.$$

Put  $X_z = \{\lambda \in X \mid w^{-1}w(\lambda)w = z\}$  for  $z \in \mathbf{W}$ , then

$$(7.9.3) \quad \bigcup_{z \in \mathbf{W}} X_z = X.$$

If  $\lambda_1, \lambda_2 \in X_z$ , then, by 7.9.2,

$$(q\tau w' - 1)^{-1}(q\tau w_T - 1)(\lambda_1 - \lambda_2) \equiv 0 \pmod{X}.$$

Hence, if we put  $S' = \{\lambda \in X \mid (q\tau w_T - 1)\lambda \in (q\tau w' - 1)X\}$ , and if  $\lambda \in X_z$ , then  $\lambda + S' \supset X_z$ . Hence

$$(7.9.4) \quad [X : S'] \leq |\mathbf{W}|.$$

But

$$(7.9.5) \quad [X: S'] = [(q\tau w_T - 1)X: (q\tau w_T - 1)X \cap (q\tau w' - 1)X].$$

Hence, if  $q > q_1$ , for some  $q_1$ ,  $w_T = w'$  by 7.9.4 and 7.9.5. Hence  $w$  commutes with  $\tau w_T$ . Thus we complete the proof of 7.2.

### 8. Main theorem (The case: $m = a$ power of $p$ )

8.1. Let  $G$  be reductive and  $T$  (resp.  $U$ ) be a maximal torus (resp. a maximal unipotent subgroup) of  $G$  defined over  $k$ . Let  $l$  be the semisimple rank of  $G$  and  $p(l)$ ,  $q(l)$  the same constants as in 5.3. If  $p > p(l)$ ,  $U$  is an exponential unipotent group. Let  $Q_{T,i}$  be the Green function of  $G_{\sigma^i}$  corresponding to  $T$  ([1], [5]). Define a class function  $AQ_T$  on  $AU$  by  $i$ -res  $AQ_T = Q_{T,i}$ .

**Theorem 8.2.** *If  $p > p(l)$ ,  $AQ_T \in \mathcal{R}(AU)$ .*

Proof. Since  $U$  is an exponential unipotent group, all the irreducible characters of  $AU$  are known from 4.3. By 3.3 and 4.3.2, it suffices to prove

$$(8.2.1) \quad m^{-1} \sum_{i=0}^{m-1} \langle Q_{T,i}, \phi_{\lambda,i} \rangle \zeta^{ij} \in \mathbf{Z}$$

for  $0 \leq j < m$  and  $\lambda \in \mathcal{U}'$ . Take an element  $t \in \mathfrak{G}_{\sigma}$  such that  $Z_G(t) = T$ . Put  $X^{\lambda} = \{y \in t^G \mid B(\cdot, y) \equiv \lambda \text{ on } H^{\lambda}\}$ . Note that  $|X^{\lambda}| = |X^{a\lambda}|$  if  $a \in k_m^{\times}$ . To prove

8.2.1, it suffices to prove

$$(8.2.2) \quad m^{-1} \sum_{i=0}^{m-1} |X_{\sigma^i}^{\lambda}| \cdot |U_{\sigma^i}|^{-1} \zeta^{ij} \in \mathbf{Z}.$$

The proof of 8.2.2 can be reduced to the following lemma as in [5].

**Lemma 8.3.** *Let  $Z$  be an algebraic variety defined over a finite field  $k$  and  $Z^{\sim}$  be the variety over  $\bar{k}$  corresponding to  $Z$ . Suppose that  $Z^{\sim}$  can be represented as a finite disjoint union  $Z^{\sim} = \bigcup_{j \geq 1} Z_j^{\sim}$  and each  $Z_j^{\sim}$  is open in  $\bigcup_{j \geq 1} Z_j^{\sim}$ . Moreover suppose that there exist a variety  $Y_j^{\sim}$  and morphism  $f_j: Z_j^{\sim} \rightarrow Y_j^{\sim}$  for each  $j$  such that each fibre is empty or isomorphic to a fixed affine space  $A^n$ . Let  $K = k_m$  and  $\zeta$  be an  $m$ -th root of unity. Then*

$$m^{-1} \sum_{i=0}^{m-1} |Z_{\sigma^i}| \cdot |K_{\sigma^i}|^{-n} \zeta^i \in \mathbf{Z}.$$

(Note that  $K_{\sigma^i} = K_{\sigma^{(m,i)}} = k_{(m-i)}$ .)

Proof. Denote the eigenvalues of Frobenius  $\sigma$  on  $H_c^{\text{even}}(Z, \bar{Q}_i)$  (resp.  $H_c^{\text{odd}}(Z, \bar{Q}_i)$ ) by  $|k|^n \alpha_j$  (resp.  $|k|^n \beta_j$ ). Then  $\alpha_j$ 's and  $\beta_j$ 's are algebraic integers. (See [5].) Put

$$\chi(i) = \sum \alpha_j^{(m,j)} - \sum \beta_j^{(m,i)}.$$

By Lefschetz fixed point theorem, it suffices to prove that  $\chi$  is a character of  $Z/(m)$ . This follows from the following lemma.

**Lemma 8.3.1.** *Let  $\alpha, \beta, \dots$  be algebraic integers and  $m(\alpha), m(\beta), \dots$  be rational integers. Put*

$$\begin{aligned}\psi(i) &= m(\alpha)\alpha^i + m(\beta)\beta^i + \dots \\ \chi(i) &= \psi((m, i)).\end{aligned}$$

If  $\psi(i) \in \mathbf{Z}$  for  $i=1, 2, \dots$ , then  $\chi$  is a character of  $\mathbf{Z}/(m)$ .

*Proof.* Since  $\psi(i)^\tau = \psi(i)$  for  $\tau \in \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q})$ , we get  $m(\alpha) = m(\alpha^\tau)$ . Hence we may suppose that  $\alpha, \beta, \dots$  are conjugate over  $\mathbf{Q}$  and  $m(\alpha) = m(\beta) = \dots = 1$ . In general  $f_i(x, y, \dots), (x, y, \dots \in \mathcal{O})$ , means the  $i$ -th fundamental symmetric polynomial of  $\{x^\tau, y^\tau, \dots \mid \tau \in \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q})\}$ , and

$$s_i(x, y, \dots) = \sum (x')^i + \sum (y')^i + \dots,$$

where  $x', y', \dots$  run all over the conjugacy classes of  $x, y, \dots$  over  $\mathbf{Q}$  respectively. If there exist non-negative integers  $c_i, d_i$  such that

$$\prod(1-x^i)^{c_i} \prod(1+x^i)^{d_i} = 1 + f_1(\alpha)x + \dots + f_{r-1}(\alpha)x^{r-1} + a_r x^r + \dots,$$

then

$$\begin{aligned}(1 \pm x^r)^{\pm(f_r(\alpha) - a_r)} \prod(1-x^i)^{c_i} \prod(1+x^i)^{d_i} \\ = 1 + f_1(\alpha)x + \dots + f_{r-1}(\alpha)x^{r-1} + f_r(\alpha)x^r + \dots.\end{aligned}$$

Hence there exist roots of unity  $\zeta_1, \zeta_2, \dots$  such that  $f_i(\alpha) = f_i(\zeta_1, \zeta_2, \dots)$  for  $i \leq m$ . Then

$$\begin{aligned}\psi(i) &= s_i(\alpha) = s_i(\zeta_1, \zeta_2, \dots) \\ &= s_i(\zeta_1) + s_i(\zeta_2) + \dots \quad \text{for } i \leq m.\end{aligned}$$

Hence it suffices to prove that

$$\chi(i) = \sum_{j=0}^{r-1} \zeta^{(m,i)j}$$

gives a character of  $\mathbf{Z}/(m)$  if  $\zeta$  is an  $r$ -th root of unity. If  $r \mid m$ , then  $\chi$  is the pullback of the regular character of  $\mathbf{Z}/(r)$  by the projection  $\mathbf{Z}/(m) \rightarrow \mathbf{Z}/(r)$ . If  $r \nmid m$ , then  $\chi = 0$ .

**Theorem 8.4.** *If  $p > p(l), q > q(l)$  and  $m$  is a power of  $p$ , then  $AR_T^\theta$  and  $AK_T^\theta$  are virtual characters of  $AG$ .*

*Proof.* By 1.8, it suffices to prove that  $AK_T^\theta \in \mathcal{R}(AG)$ . We may suppose that the center of  $\mathbf{G}$  is connected. By the Brauer's characterization of characters, it suffices to prove that  $AK_T^\theta|_{G_s \times G_u}$  is a character. Here  $G_s$  (resp.  $G_u$ ) is a subgroup of  $AG$  which consists of  $p'$ -elements (resp.  $p$ -elements). If  $s \in G_s$  and  $\sigma'u \in G_u$ , then by some  $\alpha \in \mathbf{G}$

$$N_i(s \cdot \sigma^i u) = (\alpha^{-1} s^{m/d} \alpha) \cdot \alpha^{-1} (\sigma^i u)^{m/d} \alpha$$

with  $d=(m, i)$ . If  $s \in Z$ , then two elements  $\alpha^{-1} (\sigma^i u)^{m/d} \alpha$  and  $N_i(\sigma^i u)$  are conjugate in  $G_{\sigma^i}$ . Since  $m$  is a power of  $p$ ,  $\alpha^{-1} s^{m/d} \alpha$  belongs to  $Z$  if and only if  $s \in Z$ . Hence  $AK_T^0|_{G_s \times G_u}$  is supported by  $(G_s \cap Z) \times G_u$ . Hence

$$AK_T^0|_{G_s \times G_u} = \text{ind}(|G_s|^{-1} \cdot |G_s \cap Z| \cdot AK_T^0; (Z \cap G_s) \times G_u \rightarrow G_s \times G_u).$$

If  $s \in G_s \cap Z$  and  $\sigma^i u \in G_u$ , then

$$(8.4.1) \quad G_s \supset Z_G(\sigma^i u) \simeq Z_{G_{\sigma^i}}(N_i(\sigma^i u)).$$

Since  $\sigma^i u \cdot s = s \cdot \sigma^i u = \sigma^i u \cdot s^{\sigma^i}$ ,

$$(8.4.2) \quad G_s \cap Z = G_s \cap Z_{\sigma^i}.$$

Moreover

$$\begin{aligned} AK_T^0(s \cdot \sigma^i u) &= K_{T,i}^{\theta \circ N^i}(s^{m/d} N_i(\sigma^i u)) \\ &= \theta(N^i(s^{m/d})) \cdot K_{T,i}^1(N_i(\sigma^i u)) \\ &= \theta(N^m(s)) \cdot AK_T^1(\sigma^i u), \end{aligned}$$

Hence

$$AK_T^0|_{(G_s \cap Z) \times G_u} = (\theta \circ N^m|_{G_s \cap Z}) \otimes (AK_T^1|_{G_u}).$$

Hence it suffices to prove

$$(8.4.3) \quad |G_s|^{-1} \cdot |G_s \cap Z| \cdot AK_T^1|_{G_u} \in \mathcal{R}(G_u).$$

By the same argument as in [5], it suffices to prove that 8.4.3 is  $\mathbf{Z}$ -valued. If  $\sigma^i u \in G_u$ , we have

$$\begin{aligned} &|G_s|^{-1} \cdot |G_s \cap Z| \cdot AK_T^1(\sigma^i u) \\ &= |G_s|^{-1} \cdot |G_s \cap Z_{\sigma^i}| \cdot K_{T,i}^1(N_i(\sigma^i u)) \quad \text{by 5.3.2} \\ &= |G_s Z_{\sigma^i}|^{-1} \cdot |Z_{\sigma^i}| K_{T,i}^1(N_i(\sigma^i u)). \end{aligned}$$

By this and 8.4.1, 8.4.3 is  $\mathbf{Z}$ -valued. Thus we complete the proof.

**Corollary 8.4.4.** *Under the same condition as in 8.4, the map 1-lift coincides with \*-lift.*

## 9. A counter example

Let  $G = Sp_4$ ,  $(x_{ij})^\sigma = (x_{ij}^q)$ ,  $m=2$  and  $p, q$  be sufficiently large. Let us prove that the liftings of the irreducible characters  $\theta_9, \theta_{10}, \theta_{11}, \theta_{12}$  of  $G_\sigma = Sp_4(q)$  do not exist. Here we follow the notations of [9]. (We denote by  $\theta'_i (i=9, \dots)$  the irreducible character of  $G = Sp_4(q^2)$  'corresponding' to  $\theta_i \in (G_\sigma)^\wedge (i=9, \dots)$ .) Let  $\rho_1$  be one of the irreducible characters  $\theta_i (i=9, \dots)$ . Assume that the lifting of

$\rho_1$  exists and denote this by  $\rho_0$ . Then there exists an irreducible character  $\rho$  of  $AG$  such that  $i$ -res  $\rho = \rho_i$  ( $i=0, 1$ ). Since

$$\begin{aligned} \chi_1(0) &= \theta_0 - \theta_9 + \theta_{10} && + \theta_{13} \\ \chi_2(0) &= \theta_0 && + \theta_{11} - \theta_{12} - \theta_{13} \\ \chi_3(0, 0) &= \theta_0 + 2\theta_9 && + \theta_{11} + \theta_{12} + \theta_{13} \\ \chi_4(0, 0) &= \theta_0 && - 2\theta_{10} - \theta_{11} - \theta_{12} + \theta_{13} \\ \chi_5(0, 0) &= \theta_0 && - \theta_{11} + \theta_{12} - \theta_{13}, \end{aligned}$$

and  $\langle AR_{T,1}^\theta, \rho \rangle_{AG} = 2^{-1}(\langle R_{T,0}^{\theta, N^0}, \rho_0 \rangle_G + \langle R_{T,1}^\theta, \rho_1 \rangle_{G_\sigma})$  is an integer, we have lift  $\theta_9 = \theta'_9$  or  $\theta'_{10}$ , lift  $\theta_{10} = \theta'_9$  or  $\theta'_{10}$ , lift  $\theta_{11} = \theta'_{11}$  or  $\theta'_{12}$  and lift  $\theta_{12} = \theta'_{11}$  or  $\theta'_{12}$ . Since  $\rho$  is  $\mathbf{Z}$ -valued, by [7, proposition 3] we get

$$\rho(\sigma u) \equiv \rho((\sigma u)^2) \pmod{2}.$$

Let  $c$  (resp.  $d$ ) be a representative of the conjugacy class  $A_{31}$  (resp.  $A_{32}$ ) of  $G_\sigma$ . Then by the above congruence relation, we get

$$\begin{aligned} \rho_1(c) &\equiv \rho_0(c) \pmod{2} \\ \rho_1(d) &\equiv \rho_0(d) \pmod{2}. \end{aligned}$$

Since  $c$  is conjugate to  $d$  in  $G$ , we get

$$\rho_1(c) \equiv \rho_1(d) \pmod{2}.$$

This contradicts the known values of  $\theta_i$ . The fact that the liftings of  $\theta_9$  and  $\theta_{10}$  do not exist was first pointed by G. Lusztig.

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