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ON FREE ABELIAN EXTENSIONS

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Introduction. Let R be a commutative ring, and G a finite abelian group. In [2] (see also [5]) the set of isomorphism classes of Galois extensions of R with group G that have normal bases is described cohomologically by means of Harrison's complex of RG; to this end, Galois algebras are first classified and then Galois extensions with normal basis as a particular case. In this paper we use a different approach to classify Galois extensions which are free as R-modules; the restriction of this classification to extensions with normal basis yields the cohomological description of [2].

Free Abelian Extensions

Let R be a commutative ring, and G a finite abelian group. Recall that a faithful R-algebra A is said to be a Galois extension of R with respect to a representation of G by R-algebra automorphisms of A if the following equivalent conditions are satisfied:

1) $A^{G}=R$ and the map M_{A} from $A \otimes_{R} A$ to the ring of functions from G to A defined by $M_{A}(x \otimes y)(\sigma) = x\sigma(y)$ is an R-module isomorphism.

2) $A^{G}=R$, A is a finitely generated projective R-module and $L:AG \rightarrow \operatorname{End}_{R}(A)$ is an R-algebra isomorphism, where AG is the twisted group ring of G over A and L is defined by $L(a\sigma)(x)=a\sigma(x)$.

Let *E* denote the ring of functions from *G* to *R*; if we let *G* act on *E* by means of (σf) $(\eta)=f(\sigma^{-1}\eta)$ then *E* is Galois over *R* with group *G*; we have E= $\oplus Re_{\sigma}$ with $\sum e_{\sigma}=1$, $e_{\sigma}e_{\eta}=\delta_{\sigma,\eta}$, e_{σ} and $\sigma(e_{\eta})=e_{\sigma\eta}$. Clearly the condition 1) can be reformulated as follows:

3) $A^{G} = R$ and $M_{A}: A \otimes A \to E \otimes A$ defined by $M_{A}(x \otimes y) = \sum e_{\sigma} \otimes x\sigma(y)$ is an *R*-module isomorphism.

Note that for $M=M_E: E\otimes E \to E\otimes E$ we have $M(e_{\alpha}\otimes e_{\beta})=e_{\alpha\beta^{-1}}\otimes e_{\alpha}$. Since $EG\cong \operatorname{End}_R(E)$ we have $EG\otimes EG\cong \operatorname{End}_R(E\otimes E)$; thus considering $E\otimes E$ as a left module over $EG\otimes EG$, the *R*-module automorphisms of $E\otimes E$ are produced by left multiplications by units of $EG\otimes EG$.

Suppose the Galois extension A is free as an R-module. Then there exists an R-module isomorphism $j: A \to E$ and $M^{-1} \cdot 1 \otimes j \cdot M_A \cdot j^{-1} \otimes j^{-1}: E \otimes E \to E \otimes E$ is an isomorphism of R-modules. Therefore there exists a unique $u \in U(EG \otimes EG)$ such that $M^{-1} \cdot 1 \otimes j \cdot M_A \cdot j^{-1} \otimes j^{-1} = L(u)$, that is, there is a unique u such that the diagram

$$j \otimes j \xrightarrow{A \otimes A} \xrightarrow{M_A} E \otimes A \xrightarrow{1 \otimes j} E \otimes E$$

is commutative. In particular if A=E and j is the identity then u=1.

Let A, A' be Galois extensions, $j: A \to E, j': A' \to E R$ -module isomorphisms, and $f: A' \to A$ an isomorphism of Galois extensions. Since $jfj'^{-1}: E \to E$ is an Risomorphism, there exists $v \in U(EG)$ auch that $jfj'^{-1} = L(v)$. If $u, u' \in U(EG \otimes EG)$ are obtained from j and j' respectively, if follows from $1 \otimes f.M_{A'} = M_{A'}.f \otimes f$ that

(2) $L(u) \cdot L(v \otimes v) = M^{-1} \cdot 1 \otimes L(v) \cdot M \cdot L(u')$.

Let us now define *R*-algebra homomorphisms Δ_0 , Δ_1 , Δ_2 : $EG \rightarrow EG \otimes EG$ by means of $\Delta_0(x) = 1 \otimes x$, $\Delta_2(x) = x \otimes 1$ and $\Delta_1(\sum a_\sigma \sigma) = \sum a_\sigma \sigma \otimes \sigma$. Then $M^{-1} \cdot 1 \otimes L(v) \cdot M = L(\Delta_1(v))$ and (2) becomes

(3)
$$u \cdot \Delta_0(v) \Delta_2(v) = \Delta_1(v) u'$$
.

Note that if $u \in U(EG \otimes EG)$ is obtained from a Galois extension A by means of $j: A \to E$ and $v \in U(EG)$ then the element of $U(EG \otimes EG)$ obtained from $j'=L(v^{-1})j: A \to E$ is $u'=\Delta_1(v^{-1})\cdot u \cdot v \otimes v$.

Consider the following relation in $U(EG \otimes EG)$: if $u, u' \in U(EG \otimes EG)$ then $u \sim u'$ if there exists $v \in U(EG)$ such that $\Delta_i(v) \cdot u' = u \cdot v \otimes v$. It is easy to verify that this is an equivalence relation. Let C be the quotient set. (The previous remark shows that if $u \in U(EG \otimes EG)$ is obtained from a Galois extension, then every element in its equivalence class is obtained from the same extension.)

Let $E_G^r(R)$ be the set of Galois isomorphism classes [A] of Galois extensions A of R with group G that are free as R-modules. The above construction defines a map $\psi: E_G^r(R) \rightarrow C$ and we have:

Proposition 1. $\psi: E_G^r(R) \rightarrow C$ is injective.

Proof. Let [A], $[B] \in E'_G(R)$, $j: A \to E$, $j': B \to E$ and u, $u' \in U(EG \otimes EG)$ the units associated to A and B by means of j and j' respectively. Assume $u \sim u'$. As remarked before, we may suppose u=u'. We then have a commutative diagram

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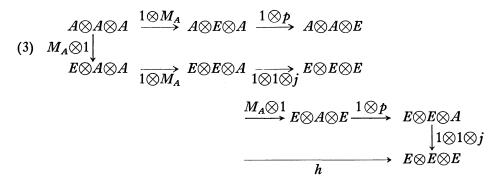
$$\begin{array}{ccc} & A \otimes A & \xrightarrow{M_A} E \otimes A & \underbrace{1 \otimes j} \\ j \otimes j' & \downarrow & \underbrace{L(u)} E \otimes E & \xrightarrow{M} \\ B \otimes B & \xrightarrow{M_B} E \otimes B & \underbrace{1 \otimes j'} \\ \end{array} \\ \end{array} E \otimes E \xrightarrow{M_B} E \otimes B & \underbrace{1 \otimes j'} E \otimes E \end{array}$$

If $f = j'^{-1} \cdot j$ then the commutativity of the diagram

$$f \otimes f \xrightarrow{A \otimes A} \xrightarrow{M_A} E \otimes A \xrightarrow{M_B} E \otimes B \xrightarrow{M_B} E \otimes B$$

shows that $f(x)\sigma f(y)=f(x\sigma(y)) \forall x, y \in A, \sigma \in G$. Thus f is an R-algebra isomorphism. In particular, f(1)=1 and $f(\sigma(y))=\sigma f(y)$; then $f: A \to B$ is an isomorphism of Galois extensions.

Let us now determine the image of ψ . Let A be a free Galois extension of R with group G and $j: A \rightarrow E$ an R-module isomorphism. Then the diagram



is commutative, where $p: X \otimes Y \to Y \otimes X$ is defined by $p(x \otimes y) = y \otimes x$ and $h: E \otimes E \otimes E \to E \otimes E \otimes E$ is defined by $h(e_{\sigma} \otimes e_{\eta} \otimes z) = e_{\sigma} \otimes e_{\sigma^{-1}\eta} \otimes z$. Let u be an element of $U(EG \otimes EG)$ making diagram (1) commutative. Then $M_A = 1 \otimes j^{-1} \cdot M \cdot L(u) \cdot j \otimes j$ and it follows from $h \cdot 1 \otimes 1 \otimes j \cdot 1 \otimes M_A \cdot M_A \otimes 1 = 1 \otimes 1 \otimes j \cdot 1 \otimes p \cdot M_A \otimes 1 \cdot 1 \otimes p \cdot 1 \otimes M_A$ that

(4) $[M^{-1} \otimes 1 \cdot 1 \otimes L(u) \cdot M \otimes 1] \cdot L(u \otimes 1)$ = $[M^{-1} \otimes 1 \cdot 1 \otimes M^{-1} \cdot h^{-1} \cdot 1 \otimes p \cdot M \otimes 1 \cdot L(u \otimes 1) \cdot 1 \otimes p \cdot 1 \otimes M] \cdot L(1 \otimes u)$

Consider now Δ_i : $EG \otimes EG \rightarrow EG \otimes EG \otimes EG$ dened by $\Delta_0(x) = 1 \otimes x$, $\Delta_3(x) = x \otimes 1$, $\Delta_1(x \otimes y) = \Delta_1(x) \otimes y$, $\Delta_2(x \otimes y) = x \otimes \Delta_1(y)$. Then the Δ_i 's are *R*-algebra homomorphisms and we can verify that

$$L(\Delta_1(u)) = M^{-1} \otimes 1 \cdot 1 \otimes L(u) \cdot M \otimes 1,$$

 $L(\Delta_2(u)) = M^{-1} \otimes 1 \cdot 1 \otimes M^{-1} \cdot h^{-1} \cdot 1 \otimes p \cdot M 1 \cdot L(u \otimes 1) \cdot 1 \otimes p \cdot 1 \otimes M.$

Thus the relation (4) is equivalent to

(5)
$$\Delta_1(u) \Delta_3(u) = \Delta_2(u) \Delta_0(u)$$
.

On the other side, if $1_A \in A$ is the identity element of A, we have $M_A(x \otimes 1_A) = \sum e_x \otimes x = 1 \otimes x$ and therefore L(u) $(j(x) \otimes j(1_A)) = M^{-1}(1 \otimes j(x)) = j(x) \otimes 1$ for

every $x \in A$. Then $u(x \otimes j(1_A) = x \otimes 1$ for every $x \in E$; therefore $1 \otimes j(1_A) = u^{-1}$ (1 \otimes 1) and if $m: E \otimes E \to E$ is the product map, it follows that $j(1_A) = m(u^{-1}(1 \otimes 1))$. Thus

(6)
$$u(x \otimes m(u^{-1}(1 \otimes 1))) = x \otimes 1$$
 for every $x \in E$.

Suppose now $u \in U(EG \otimes EG)$ verifies relations (5) and (6). We shall construct a Galois extension A with $[A] \in E_G^r(R)$ such that $\psi([A])$ is the class of u. Consider ML(u): $E \otimes E \to E \otimes E$; if $t \in E \otimes E$ we have $ML(u)(t) = \sum_{\sigma} e_{\sigma} \otimes t_{\sigma}$ with

 $t_{\sigma} \in E$ uniquely determined. Given x, $y \in E$, we set

$$x * y = (x \otimes y)_1$$

(that is, x * y is the coefficient of $e_1 \otimes 1$ in ML(u) $(x \otimes y)$). Since ML(u) is additive, it follows that $(x, y) \rightarrow x * y$ is a distributive product.

Let $1_A = m(u^{-1}(1 \otimes 1)) \in E$. Then $ML(u) (x \otimes 1_A) = M(x \otimes 1) = 1 \otimes x = \sum e_\sigma \otimes x$, that is

(7)
$$x * 1' = x$$
 for every $x \in E$.

For every $\sigma \in G$, let $\sigma: E \to E$ be defined by $\tilde{\sigma}(x) = (1' \otimes x)_{\sigma}$. Then ML(u) $(1' \otimes x) = \sum_{\sigma} e_{\sigma} \otimes \tilde{\sigma}(x)$. Since $ML(u)(1' \otimes 1') = 1 \otimes 1' = \sum_{\sigma} e_{\sigma} \otimes 1'$, we have $\tilde{\sigma}(1') = 1'$ for all $\sigma \in G$.

Let us now show that

(8)
$$ML(u) (x \otimes y) = \sum_{\sigma} e_{\sigma} \otimes (x * \tilde{\sigma}(y)).$$

Since u verifies (5) and therefore (4), we have

 $h \cdot 1 \otimes M \cdot 1 \otimes L(u) \cdot M \otimes 1 \cdot L(u \otimes 1) = 1 \otimes p \cdot M \otimes 1 \cdot L(u) \otimes 1 \cdot 1 \otimes p \cdot 1 \otimes M \cdot 1 \otimes L(u).$ Applying this functions to $x \otimes 1' \otimes y$, $x, y \in E$, we obtain

$$\sum_{\sigma,\eta} e_{\sigma} \otimes e_{\sigma^{-1}\eta} \otimes (x \otimes y)_{\eta} = \sum_{\sigma,\eta} e_{\sigma} \otimes e_{\eta} \otimes (x \otimes \tilde{\eta}(y))_{\sigma}$$

and therefore $(x \otimes y)_{\eta} = (x \otimes \tilde{\eta}(y))_{1} = x * \tilde{\eta}(y)$.

If we apply the same relation to $x \otimes y \otimes z$ and use (8), then we obtain

$$\sum_{\sigma,\eta} e_{\sigma} \otimes e_{\sigma^{-1}\eta}(x \ast \tilde{\sigma}(y)) \ast \tilde{\eta}(z) = \sum_{\sigma,\eta} e_{\sigma} \otimes e_{\eta} \otimes x \ast \tilde{\sigma}(y \ast \tilde{\eta}(z))$$

and it follows that

(9)
$$x * \tilde{\sigma}(y * \tilde{\tau}(z)) = (x * \tilde{\sigma}(y)) * \tilde{\sigma}\tilde{\tau}(z) .$$

In particular, $x * \tilde{\sigma}(1' * \tilde{1}(z)) = (x * \tilde{\sigma}(1')) * \tilde{\sigma}(z)$. But (8) implies $1' \cdot z = 1' \cdot \tilde{1}(z)$ and $x * \tilde{\sigma}(1') = x * 1' = x$ by (7); then $1' * \tilde{\sigma}(1' * z) = 1' * \tilde{\sigma}(z)$. Thus $ML(u)(1' \otimes z) = 1' * \tilde{\sigma}(z)$.

 $\sum_{\sigma} e_{\sigma} \otimes (1' * \hat{\sigma}(z)) = \sum_{\sigma} e_{\sigma} \otimes (1' * \hat{\sigma}(1' * z)) = ML(u)(1' \otimes (1' * z)); \text{ therefore } 1' \otimes z = 1' \otimes (1' * z). \text{ Thus } z \otimes 1' = (1' * z) \otimes 1' \text{ and from } (7) \text{ we obtain } 1' * z = z \text{ for all } z \in E; \text{ therefore } 1' \text{ is the identity element for the product } (x, y) \to x * y. \text{ Moreover } z = 1' * z = \tilde{1}' * 1(z) \text{ and then } \tilde{1}(z) = z \text{ for every } z \in E. \text{ Now } (9) \text{ shows the associativity of the product, and we have } \sigma(x * y) = \hat{\sigma}(x) * \hat{\sigma}(y) \text{ and } \hat{\sigma}\tilde{\tau}(z) = \tilde{\sigma}\tilde{\tau}(z). \text{ If } r, s \in R \text{ then } ML(u) (r1' \otimes s1') = ML(u) (1' \otimes rs1') = \sum_{\sigma} e_{\sigma} \otimes (1' * \hat{\sigma}(rs1')) = \sum e_{\sigma} \otimes \hat{\sigma}(rs1'), \text{ and so we have } r1' * s1' = \tilde{1}(rs1') = rs1'. \text{ Since } r1' * x = x * r1' = rx, \text{ the map } R \to E (r \to r1') \text{ defines on } E \text{ with product } * a \text{ structure of } R \text{ -algebra, which we shall denote by } A. \text{ Also } \sum_{\sigma} e_{\sigma} \otimes \hat{\sigma}(rs1') = ML(u) (r1' \otimes s1') = ML(u) (rs1' \otimes 1') = \sum_{\sigma} e_{\sigma} \otimes rs1' * \hat{\sigma}(1') = \sum_{\sigma} e_{\sigma} \otimes rs1' * \sigma(1') = r1', \quad V \sigma \in G, r \in R. \text{ Thus } G \text{ acts on } A \text{ as a group of } R \text{ -algebra automorphisms. Note that } r1' * x = x * r1' = rx \text{ implies that } A \text{ is free over } R1'. \text{ If } x \in A^G \text{ we have } ML(u) (1' \otimes x) = \sum_{\sigma} e_{\sigma} \otimes x = 1 \otimes x = ML(u) (x \otimes 1'). \text{ Therefore } 1' \otimes x = x \otimes 1', \text{ and we must have } x \in R1', \text{ thus } A^G = R1'.$

Since $M_A: A \otimes A \rightarrow E \otimes A$ is ML(u) by (8), we conclude that A is Galois extension of R with group G. Clearly the diagram (1) with j = identity shows that ψ (class of A) is the class of u.

We have remarked that if $u \in U(EG \otimes EG)$ is obtained from a Galois extension by means of the diagram (1) then every $v \in U(EG \otimes EG)$ equivalent to u is obtained in that way. It follows therefore that if u verifies (5) and (6) and v is equivalent to u then v also satisfies (5) and (6). Let H be the subset of C of classes whose elements satisfy (5) and (6). Then we have

Theorem. $\psi: E_G^f(R) \rightarrow H$ is bijective.

REMARKS.

1) Since $\psi([E]) = \text{class of 1}$, we have $\psi([E]) = \{\Delta_1(v^{-1}), v \otimes v : v \in U(EG)\}$

2) The group structure of $U(EG \otimes EG)$ does not induce a group structure on H; in fact, the inverse of an element verifying (5) and (6) does not necessarily verify (5) and (6), nor does the product of two such units. For example, if K is a field and $G=Z_2$, and $x=-e_{\sigma}+\sigma$, $x^{-1}=e_1+\sigma$ then $y=\Delta_1(x)\cdot x^{-1}\otimes x^{-1}$ verifies (5) and (6) but y^{-1} does not; if $K=Z_2$ then $z=\Delta_1(x^{-1})\cdot x\otimes x$ verifies (5) and (6) but z^2 does not.

3) If α is an automorphism of G and A is a Galois extension of R with group G, we can obtain a new structure of Galois extension A^{ω} on A by defining $\sigma(a) = \alpha(\sigma)(a)$. If $\alpha: E \to E$ is defined by $\alpha(e_{\sigma}) = e_{\alpha(\sigma)}$, and $\alpha: EG \otimes EG \to EG \otimes EG$ by $\alpha(a\sigma \otimes b\eta) = \alpha(a)\alpha(\sigma) \otimes \alpha(b)\alpha(\eta)$, then $\psi(A^{\omega}) = \alpha^{-1}\psi(A)$; more precisely, if u is obtained from $j: A \to E$, then $\alpha^{-1}(u)$ is obtained from $\alpha^{-1}j: A \to E$.

Abelian Extensions with normal basis.

If A is Galois over R with group G, we have a commutative diagram

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$$\begin{array}{ccc} A \otimes A & \xrightarrow{M_{A}} & E \otimes A \\ \sigma \otimes \eta & & & \downarrow \sigma \eta^{-1} \otimes \sigma \\ A \otimes A & \xrightarrow{M_{A}} & E \otimes A \end{array}$$

for every σ , $\eta \in G$.

Let $j: A \to E$ be an *R*-module isomorphism, and $u \in U(EG \otimes EG)$ the corresponding element in diagram (1). Then it follows from $M_A \cdot \sigma \otimes \eta = \sigma \eta^{-1} \otimes \sigma \cdot M_A$ (and $M\sigma \otimes \eta = \sigma \eta^{-1} \otimes \sigma M$) that j is an *RG*-isomorphism if and only if L(u) is an *RG* \otimes *RG*-isomorphism, *i.e.* if $u \in U(RG \otimes RG)$. Note that there exists an *RG*-isomorphism $A \to E$ if and only if A has a normal basis.

Let us recall the definition of Harrison's complex; if $(RG)^n = RG \otimes \cdots \otimes RG$ (*n*-times) we define $\Delta_i: (RG)^n \to (RG)^{n+1}$, $i=0, 1, \cdots, n+1$ by $\Delta_0(x)=1 \otimes x$, $\Delta_{n+1}(x)=x \otimes 1$ and for $i=1, \cdots, n, \Delta_i$ is the map induced by $\Delta_i(\sigma_1 \otimes \cdots \otimes \sigma_n)=$ $\sigma_1 \otimes \cdots \otimes \sigma_i \otimes \sigma_i \otimes \sigma_{i+1} \cdots \sigma_n$. Then the Δ_i 's are algebra homomorphisms and therefore $\Delta_j: U(RG^n) \to U(RG^{n+1})$. Since RG is commutative, setting $\Delta(x)=$ $\prod_{i=0}^{n+1} \Delta_i(x)^{(-1)^i}$ for $x \in U(RG)$ we obtain group homomorphisms $\Delta: U(RG^n) \to$ $U(RG^{n+1})$ such that $\Delta \Delta = 0$; we thus have a complex whose cohomology groups are denoted by $H^n(R, G)$, ([3]).

Note that the Δ_i 's defined on RG and on $RG \otimes RG$ are the restrictions of the Δ_i 's considered before; thus for $u \in (RG \otimes RG)$ the condition (5) is equivalent to $\Delta(u)=1$; also if $u, u' \in U(RG \otimes RG)$ then $u \sim u'$ is equivalent to $u'=u\Delta(v)$ for some $v \in U(RG)$. If it is known that for $u \in U(RG \otimes RG)$ (5) implies (6), it follows that there is a one to one correspondence from the set of Galois isomorphism classes of Galois extensions of R with group G that have normal basis onto $H^2(R, G)$. Now, let us show that (5) implies (6) for $u \in U(RG \otimes RG)$: If $\pi: RG \otimes RG \otimes RG \rightarrow RG \otimes RG$ is the map induced by $\pi(\sigma \otimes \eta \otimes \tau) = \sigma \otimes \tau$ then π is an R-algebra homomorphism and from $\Delta_2(u)\Delta_0(u) = \Delta_3(u) \Delta_1(u)$ we obtain $u \cdot \pi \Delta_0(u) = \pi \Delta_3(u) \cdot u$, and then $\pi \Delta_0(u^{-1}) = \pi \Delta_3(u^{-1})$. If $u^{-1} = \sum_{\sigma,\eta} r_{\sigma,\eta} \sigma \otimes \eta$ we have $\sum_{\sigma,\eta} r_{\sigma,\eta} 1 \otimes \eta = \sum_{\sigma,\eta} r_{\sigma,\eta} \sigma \otimes 1$ and therefore for $x \in E$, $L(u^{-1})(x \otimes 1) = \sum_{\sigma,\eta} r_{\sigma,\eta} \sigma(x) \otimes$ $1 = \sum_{\sigma,\eta} r_{\sigma,\eta} x \otimes 1 = x \otimes (\sum_{\sigma,\eta} r_{\sigma,\eta})$. Since $\sum_{\sigma,\eta} r_{\sigma,\eta} = m \cdot u^{-1}(1 \otimes 1)$, we have (6).

Recall now that the set $E_G(R)$ of Galois isomorphism classes of all Galois extensions of R with group G is a group, whose product is defined as follows: Let $g = \{\sigma, \sigma^{-1}\} \in G \times G$. If $A, B \in E_G(R)$ then $A.B = (A \otimes B)^g$ with $\sigma \in G$ acting on A.B as the restriction of $\sigma \otimes 1$. (see [1], [4]). The subset $E_G^n(R)$ of extensions with normal basis is a subgroup of $E_G(R)$; indeed, if A and B have normal bases there exist RG-isomorphisms $j_A: A \to E, j_B: B \to E$, then $j_A \otimes j_B:$ $(A \otimes B)^g \to (E \otimes E)^g$ and it is an RG-isomorphism. Since $t: E \to (E \otimes E)^g$ given by $t(e_\sigma) = \sum_{\alpha} e_{\sigma\alpha} \otimes e_{\alpha^{-1}}$ is a Galois isomorphism, we obtain that $j_{AB} = t^{-1} \cdot j_A \otimes i_B|_{A.B}$:

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 $A \cdot B \to E$ is an RG-isomorphism, *i.e.* $A \cdot B$ has a normal basis. On the other side, if $u, v \in U(RG \otimes RG)$ are the cocycles associated to j_A and j_B respectively, it is easy to verify that the cocycle associated to j_{AB} is u.v. Thus we have ([2], [4])

Proposition. Let R be a commutative ring, and G a finite abelian group. Then there is an isomorphism $\psi: E_G^n(R) \to H^2(R, G)$; if A has a normal basis and $j: A \to E$ is an RG-isomorophism, then $\psi([A])$ is the cohomology class of the cocylce u defined by the diagram (1).

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