# TRANSFORMATION GROUPS WITH ( $n-1$ )DIMENSIONAL ORBITS ON NONCOMPACT MANIFOLDS 

TADASHI NAGANO

## Introduction

When a Lie group $G$ operates on a differentiable manifold $M$ as a Lie transformation group, the orbit of a point $p$ in $M$ under $G$, or the $G$-orbit of $p$, is by definition the submanifold $G(p)=\{G(p) ; g \in G\}$. The purpose of this paper is to characterize the structure of a non-compact manifold $M$ such that there exists a compact orbit of dimension ( $n-1$ ) , $n=\operatorname{dim} M$, under a connected Lie transformation group $G$, which is assumed to be compact or an isometry group of a Riemannian metric on $M$. When $G$ is compact there exists on $M$ a $G$-invariant Riemannian metric, and so we shall always consider $G$ as an isometry group. In order to state our main theorem we need another definition: a Riemann manifold $M$ is said isotropic (or $H$-isotropic) at a point $p$ in $M$ when there exists an isometry group $H$ of $M$ such that, for any two unit vectors $X$ and $Y$ at $p, H$ contains an isometry carrying $X$ to $Y$ (Some authors use this terminology in a different sense). Now the main theorem (Theorem 3) reads: If there exists a compact ( $n-1$ )-dimensional $G$-orbit then $M$ admits a fibre bundle structure over a compact orbit $B=G(b), b \in B$, associated with the principal bundle ( $G, G / H, H$ ) where $H$ is the isotropy subgroup at $b$, the fibre being diffeomorphic to the euclidean space on which the structure group $H$ operates as a linear group. The fibre is a submanifold of $M$ containing $b$ and $H$-isotropic at $b$, if $\operatorname{dim} B>n-1$. The hypothesis of the theorem can be replaced by a more geometric one: $G$ leaves invariant and operates transitively on a connected component of any submanifold consisting of the points at a constant distance from a fixed compact submanifold.
P. S. Mostert proved a similiar theorem [5] in a different formulation (see

[^0]Corollary 5.8 ) in case $G$ is compact and $M$ had not necessarily a differentiable structure. J. L. Koszul [2] showed the existence of the bundle structure in a neighborhood of an orbit of an arbitrary dimension when $G$ is compact.

Our formulation allows us to derive, for instance, a theorem of Montgomery and Zippin (see Corollary 5.4) and will be convenient for our purpose: in forthcoming papers [6], [7] we shall determine 1) $M$ as a differentiable mnaifold (compact or not) under an additional condition that there exists a 0 -dimensional $G$-orbit and 2) $G$ as a transformation group under another additional condition that $M$ is homeomorphic to a sphere.

Contents of various sections. In 1 we shall explain conventions and definitions together with known properties on geodesics. Existence of an ( $n-1$ )-dimensional orbit will turn out to be equivalent to existence of a $G$-orbit $B$ such that $G$, operating naturally on the tangent bundle of $M$, is transitive on a connected component of the set of unit vectors normal to $B$ (Corollary 4.9). In 2 we shall establish that for any point $p$ in $M$ there exists a geodesic of the minimum length joining $p$ to $B$ (Theorem 1). Section 3 is devoted to demonstrate that such a geodesic is unique unless $B$ is $(n-1)$-dimensional and twosided (Theorem 2). (The $G$-orbits are $(n-1)$-dimensional and two-sided except at most one orbit). From these two theorems follows the main theorem (Section 4). In the last section one will find several corollaries to the main theorem.

The author thanks to Professor K. Yano for his constant encouragement and instructions. He also appreciates valuable advices of Professor K. Nomizu and the referee.

## 1. Preliminaries

The letter $M$ will be reserved for a connected differentiable manifold of differentiability class $C^{3}$, whose dimension will be denoted by $n$. $G$ will denote a connected Lie group which operates on $M$ as a $C^{3}$-transformation group. Without special mention, $G$ is assumed to be an isometry group of a Riemannian metric on $M$ of differentiability class $C^{2}$ (The condition that $G$ is a Lie group is superfluous because of a theorem of Myers and Steenrod and that of Kuranishi and Yamabe). A submanifold is said compact when it is compact in its inner topology. Given a connected submanifold $B$ of $M$, we denote by
$N^{\prime}(B)$ the set of all unit normal vectors of $B$; i.e. every vector $X$ in $N^{\prime}(B)$ has its origin on $B$ and is orthogonal to the tangent space of $B . \quad N^{\prime}(B)$ is given the topology induced from the tangent bundle $T(M)$ of $M . \quad N^{\prime}(B)$ is a compact submanifold of $T(M)$ when $B$ is compact. We call $N^{\prime}(B)$ the normal bundle of $B . \quad N^{\prime}(B)$ has at most two connected components, any one of which is called a connected normal bundle of $B$, denoted by $N(B) . G$ operates naturally on $T(M)$. If $B$ is a $G$-orbit then $N(B)$ is invariant under $G$. If $N(B)$ is a $G$-orbit then so is the other component of $N^{\prime}(B)$.

Given a (piecewise differentiable) curve $\alpha$ we write $|\alpha|$ for the length of $\alpha$. For two points $p$ and $q$ we put $d(p, q)=$ the distance between them $=\inf _{\alpha \text { joining } p \text { to } q}$ $|\alpha|$, and $d(p, B)=$ the distance from $p$ to $B=\inf _{b \in B} d(p, b)$, which is denoted by $d(p)$ when no ambiguity is to fear. By definition a minimum geodesic $\gamma$ (from $p$ to $B$ ) is a geodesic joining $p$ to some point $b$ in $B$ with $\gamma=d(p)$. Then $b$ is called the initial point of $r$, and $p$ is said to admit a minimum geodesic. More generally, by a perpendicular $\gamma$ (to $B$ ) we mean a geodesic issuing from a point $b$ in $B$ and orthogonal to $B$ at $b$. $\quad r$ has not always the end point but $b$, while a minimum geodesic is compact. For a curve $r$ having two points $p$ and $q$ on it, $r(p, q)$ will denote the subarc of $\gamma$ lying between them, which will be well defined always when considered in the sequel. For a non-negative number $c, N_{c}$ shall denote the set $\{q \in M ; d(q)=c\}$, which depends on $B$. Given a point $p$ in $M$, we write $N_{(p)}$ for the connected component containing $p$ of $N_{d(p)}$.

Denoting by $T^{\prime}$ the set of all unit vectors on $M=\bigcup_{x \in M} N(\{x\})$, and by $R$ - the set of all non-negative numbers, we here recall three well known properties of geodesics, for which we need $C^{2}$-differentiability of the metric tensor on $M$ :
(G. 1) Given $X \in T^{\prime}$ and $s \in R_{+}$, the point $p$ is uniquely determined (if $p$ exists) by the condition that $p$ lies on a geodesic with $X$ as the initial tangent and at the arc length $s$ from the origin of $X$.
(G.2) The above defined map $\phi:(X, s) \rightarrow p$ is continuous, the definition domain of $\phi$ being a neighborhood $W$ of $T^{\prime} \times\{0\}$ in $T^{\prime} \times R_{+}$.
(G. 2a) $\phi$ is differentiable on $W-T^{\prime} \times\{0\}$.
(G.3) There exists an open covering $\left\{U_{\lambda}\right\}$ of $M$ such that every two points $p$ and $q$ in a $U_{\lambda}$ are joined by a geodesic $\gamma$ with $|\gamma|=d(p, q) . \quad \gamma$ is the unique
curve with this property.
Further we need two known properties:
(G.4) If a curve $\alpha$ joining two points $p$ and $q$ in $M$ satisfies $|\alpha|=d(p, q)$, then $\alpha$ is a geodesic.

This follows immediately from (G.3).
(G. 5 ) A minimum geodesic $r$ to a submanifold $B$ is a perpendicular.

We give an outline of the proof. Let $b$ be the initial point of $\gamma$. Consider a normal coordinate system $\nu$ with center $b$, a diffeomorphism of a neighborhood $U$ of $b$ onto an open subset $V$ of the euclidean space, and note that the given Riemannian metric tensor $\mu$ is asymptotic to the metric $\mu!$ induced by $\nu$ from the euclidean metric on $V$ if $U$ is sufficiently small. We have $\mu(0)=\mu^{\prime}(0)$. $\mu$ and $\mu^{\prime}$ have in common the geodesics starting at $b$ and the angle $\beta$ between $\gamma$ and $B$. There exist point sequences $\left\{p_{k}\right\}$ on $\gamma$ and $\left\{b_{k}\right\}$ on $B$ both converging to $b$ such that $\lim \left[d^{\prime}\left(b, b_{k}\right) / d^{\prime}\left(b, p_{k}\right)\right]=\sin \beta$ where $d^{\prime}$ is the distance function corresponding to $\mu^{\prime}$. One concludes $\sin \beta=1$ from this together with three relations: $d^{\prime}\left(b, p_{k}\right)=d\left(b, p_{k}\right), \lim \left[d^{\prime}\left(b, b_{k}\right) / d\left(b, b_{k}\right)\right]=1$, and $d\left(p_{k}, b\right) \leqq d\left(p_{k}, b\right)$ (due to the assumption on $\gamma$ ).

## 2. Existence of minimum geodesics.

Theorem 1. Let $G$ be a connected isometry group of a Riemann manifold $M$, and $B$ a compact submanifold of $M$. If a connected normal bundle $N(B)$ is a $G$-orbit then any point of $M$ admits a minimum geodesic to $B$.

We shall prove this by establishing several lemmas.
(2.1) Let $N$ be the subset of $M$ consisting of the points $p$ such that any point $q$ with $d(q) \leqq d(p)$ admits a minimum geodesic. Since $N$ contains $B$ which is of course assumed to be nonvacuous, $N$ is nonvacuous.
(2.2) An arbitrary point $p$ in the closure $\vec{N}$ of $N$ admits a minimum geodesic to $B$.

Let $\left\{p_{k}\right\}$ be a point sequence in $N$ which converges to $p$. If we have $d(p)$ $\leqq d\left(p_{k}\right)$ for some $k$ then $p$ admits a minimum geodesic by the definition of $N$. We thus assume $d\left(p_{k}\right)<d(p)$ for all $k$. Belonging to $N, p_{k}$ admits a minimum geodesic, $\gamma_{k}$. The initial unit tangent vector $X_{k}$ of $\gamma_{k}$ belongs to $N^{\prime}(B)$ by (G. 5). Since $N^{\prime}(B)$ is compact, one may assume that all $X_{k}$ belong to.
$N(B)$ and the sequence $\left\{X_{k}\right\}$ converges to some $X$ in $N(B)$. From the fact that the connected Lie group $G$ is transitive on the manifold $N(B)$, it follows that there exists a sequence $\left\{g_{k}\right\}$ in $G$ converging to the identity such that each $g_{k}$ carries $X_{k}$ to $X$. The isometry $g_{k}$ carries $\gamma_{k}$ into the maximal geodesic, $r$, with the initial tangent $X$ by ( G .1 ), $\gamma$ being maximal in the sense that any geodesic with the initial tangent $X$ is contained in $\gamma$. The point $g_{k}\left(p_{k}\right)$ is the end point of $g_{k \tau_{k}} C_{i}$ other than the origin $b$ of $X$. The point sequence $\left\{g_{k}\left(p_{k}\right)\right\}$ on $i$ converges to $p$. Further the arc length $\left|g_{k}\left(r_{k}\right)\right|$ which equals $\left|\gamma_{k}\right|=d\left(p_{k}\right)$ converges to $d(p)$. Hence $p$ belongs to $r$ and we have $\mid r(p, b)=d(p)$; in other words $\gamma(p, b)$ is a minimum geodesic from $p$.

## (2.3) $N$ is closed.

Let $p$ be an arbitrary point in $\bar{N}-B$ and $q$ a point of $M-B$ with $d(q) \equiv d(p)$. There exists a point sequence $\left\{q_{k}\right\} \subset M$ converging to $q$ and satisfying $\left.d \backslash q_{k}\right\}$ $<d(q)$ for all $k$, [because otherwise there would exist a positive number $e<d(q)$ such that any point $x$ with $d(x, q)<e$ satisfies $d(q) \cong d(x)$. Then any curve joining $q$ to $B$ must be longer than $d(q)+e$, contrary to the fact that $d(q)$ equals the greatest lower bound of the length of such curves]. Hence we have $d\left(q_{k}\right)<d(p)$. Since $p$ belongs to $N$, this shows that $q_{k}$ belongs to $N$. Thus $q$ adheres to $N$, and so $q$ admits a minimum geodesic owing to (2.2). This gives that $N$ contains $p$.
(2.4) $N_{c}$ is compact, $c \in R_{+}$, if $N_{c} \cap N$ is nonvacuous.
$N_{c}$ is then contained by $N$. The map $\phi$ in (G.2) commutes with any element $g$ of $G$; i.e. one has $\phi(g X, s)=g \phi(X, s)$. Since $G$ is transitive on $N(B)$, it follows that $\phi$ is defined on $N^{\prime}(B) \times\{c\}$ or on its connected component and has $N_{c}$ as its image or a connected component of its image. Hence $N_{c}$, a continuous image of a compact set, is compact.

## (2.5) $\quad N$ is open.

Let $p$ be an arbitrary point of $N$. Set $c=d(p) . N_{c}$ is then contained in $N$. To establish (2.5) it is sufficient to show that a neighborhood of $N_{c}$ is contained in $N$. An arbitrary point $q$ of $N_{c}$ belongs to some open set $U=U$, mentioned in (G.3). Denote by $V(q)$ a neighborhood $\subset U$ of $q$ such that every point $x$ in $V(q)$ satisfies $2 d(x, q)<d(x, r)$ for any boundary point $r$ of $V$.

There exists then a point $y$ in $N_{c}$ with $d(x, y)=d\left(x, N_{c}\right)$ by (2.4). $y$ belongs to $U$, for otherwise any curve $\alpha$ joining $x$ to $y$ intersects the boundary of $U$ and so we have $2 d(x, y) \leqslant 2 d(x, q)<|\alpha|$, which is a contradiction. Hence. by the definition of $U$, there exists a geodesic $r$, joining $x$ to $y$ with $\left|\gamma_{1}\right|=d(x, y)$ $=d\left(x, N_{c}\right)$. Belonging to $N_{c} \subset N, y$ admits a minimum geodesic $\gamma_{2}$ to $B$. We consider the curve $\gamma=\gamma_{1} \cup \gamma_{2}$. When $d(x)>c, \gamma$ will turn out to be a minimum geodesic to $B$. In fact any curve $\alpha$ joining $x$ to a point $p$ of $B$ must then intersect $N_{c}$ at a point, $z$, and we have $\left|\gamma_{1}\right|=d\left(x, N_{c}\right) \leqq|\alpha(x, z)|$ and $\left|\gamma_{2}\right|=c$ $\leqq|\alpha(z, p)|$. Hence one has $|\gamma|=d(x)$; thus $\gamma$ is a minimum geodesic by (G.4). We have proved that any point in $V(q)$ admits a minimum geodesic. The compact set $N_{c}$ is covered by $\cup_{q \in N_{c}} V(q)$. Therefore $N_{c}$ is contained in the interior of $N$.

Now Theorem 1 is clear; by (2.1), (2.3) and (2.5), $N$ coincides with $M$.

Corollary 2.1. Let $G$ be a connected isometry group of a Riemann manifold $M$, and $B$ be a compact submanifold of $M$. Then the following these conditions are equivalent:

1) A connected normal bundle $N(B)$ is an orbit under $G$;
2) For any point $p$ of $M$ the set $N_{(p)}$ is a compact G-orbit;
3) For any number $e>0$ there exists a point $p$ with $0<d(p)<e$ such that $N_{(p)}$ is a G-orbit.

Put $d(p)=c$. Assuming 1) we will prove 2). By Theorem 1, $N_{(p)}$ is the $\phi$-image of $N^{\prime}(B) \times c$ or its connected component $N(B) \times c$. Hence $N_{(p)}$ is compact. Denoting by $X$ the initial unit tangent of a minimum geodesic from $p$, we get $G(p)=G \phi(X, c)=\phi(G(X), c)=\phi(N(B), c)$. In the latter case above we thus obtain $G(p)=N_{(p)}$. In the former case we have $N_{(p)}=\phi(N(B), c)$ $\cup \phi\left(N^{\prime}(B)-N(B), c\right)$, and $\phi\left(N^{\prime}(B)-N(B), c\right)$ is compact. Hence $G(p)$ $=\phi(N(B), c)$ contains an open subset of $N_{(p)}$. Since $G$ is an isometry group of $N_{(p)}$ it follows that $G(p)=N_{(p)}$, and 2) is proved. 2) implies 3) obviously. Finally we shall derive 1) from 3). Since $B$ is compact, there exists by (G.3) a neighborhood $V$ such that any point in $V$ admits a minimum geodesic to $B$. Let $r$ be a minimum geodesic from a point $q$ in $V$. By 3) we may assume that there exists a point $p$ on $r, 0<d(p)=c<d(q)$, such that $N_{(p)}$ is a $G$-orbit contained by $V$. For an arbitrary point in $N_{(p)}$ the minimum geodesic is
unique. We may prove this for $p$ only. Suppose that $r_{1}$ and $r_{2}$ are different minimum geodesics from $p$ to $B$. Then $\gamma(q, p) \cup_{r_{1}}$ and $\gamma(p, q) \cup_{r_{2}}$ are minimum geodesics. Hence they are geodesics, contrary to (G.1). Denote by $N(B)$ the connected normal bundle of $B$ containing the initial tangent of $\sigma$ is defined on $N(B) \times c$ and a one-to-one map onto $N_{(p)}$. Since $\phi$ commutes with each $g$ in $G$, this gives that $N(B)$ is a $G$-orbit.

## 3. Uniqueness of minimum geodesics

Theorem 2. Under the hypothesis of Theorem 1, assume that the normal bundle $N^{\prime}(B)$ is connected and $M$ is not compact. Then every point of $M$ admits only one perpendicular to $B$.

The fact $N^{\prime}(B)=N(B)$ and (G.1) give:
(3.1) For two perpendiculars $r_{1}$ and $\gamma_{2}$ to $B$ there exists an isometry $g$ in $(\underset{r}{ }$ such that $g \gamma_{1}$ contains or is contained by $\gamma_{2}$.
(3.2) Every perpendicular $\gamma$ to $B$ is a minimum geodesic to $B$.

Proof. We may assume that $r$ is maximal. Let $r^{\prime}$ be the maximal subarc of $r$ such that, for any point $p$ of $r^{\prime}$, the subarc $r^{\prime}(p, b), b$ being the initial point of $r$, is a minimum geodesic from $力$ to $B$. Patently $\gamma^{\prime}$ contains $b$, and $\gamma^{\prime}$ is nonvacuous. It is easy to see that $\gamma^{\prime}$ is closed in $\gamma$ (in its inner topology). Assume that $\gamma^{\prime} \neq \gamma$. Then $\gamma^{\prime}$ is compact. Since $N^{\prime}(B)$ is also compact, $\cup_{k \in i g \gamma^{\prime}}$ is compact. $M$ being non-compact, it follows from Theorem 1 that there exists a minimum geodesic $\gamma^{\prime \prime}$ to $B$ with $\left|\gamma^{\prime}\right|<i \gamma^{\prime \prime} \mid$. . By (3.1), some isometry $g$ in $G$ carries $\gamma^{\prime \prime}$ into $\gamma$, and $g \gamma^{\prime \prime}$ contains $\gamma^{\prime}$ as a proper subset, contrary to the definition of $\gamma^{\prime}$.

From this proof one deduce:
(3.3) Any minimum geodesic is a proper subset of another minimum geodesic.
(3.4) Every point $p$ of $M$ admits only one minimum geodesic.

Proof. Assume that $p$ admits two minimum geodesics $\gamma_{1}$ and $\gamma_{2}$. By (3.3) $r_{1}$ is a proper subset of another minimum geodesic $\gamma$. Denote by $r_{0}$ the subarc of $\gamma$ such that $\gamma=r_{0} \cup r_{1}$ and $\gamma_{0} \cap r_{1}=\{p\}$. Since $\left|r_{0} \cup \gamma_{2}\right|=\left|r_{0}\right|+\left|r_{2}\right|=\left|r_{0}\right|$ $+\left|\gamma_{1}\right|=\left|\gamma_{0} \cup_{r_{1}}\right|$, we find that $\gamma_{0} \cup \gamma_{2}$ is a minimum geodesic. But $\gamma_{0} \cup_{r_{2}}$ is not differentiable at $p$, contrary to (G.4).

Now Theorem 2 follows from (3.2) and (3.4).

Remark 3.1. $\quad N^{\prime}(B)$ is connected if $\operatorname{dim} B<n-1$.
Corollary 3.2. If a non-compact Riemann manifold $M$ is isotropic at a point o, then $M$ is homeomorphic to the euclidean space.

Put $B=O . \quad N(B)$ is an orbit of some connected isometry group $G$ of $M$. If $1<n, N^{\prime}(B)$ is connected and Theorems 1 and 2 imply that the normal coordinate system $\nu$ with center $o$ extends to a homeomorphism of the whole space $M$. When $n=1, M$ is obviously $C^{1}$-diffeomorphic to the euclidean space.

Remark 3.3. If further $M$ is homogeneous, then the isotropy subgroup of the isometry group of $M$ is irreducible. Since $M$ is not compact, one can apply Matsushima's theorem (unpublished) which states that $M$ is then symmetric. The corresponding compact symmetric space $M_{c}$ is isotropic at each point. Hence $M_{c}$ is one of the spaces determined by Wang [9]. Modifying his method and using Yamabe's theorem one can easily obtain the same conclusion for a locally and finitely compact metric space. But more general results have already been obtained by J. Tits [8] and H. Freudenthal [1].

## 4. The main theorem

When $H$ is a closed subgroup of a Lie group $G,(G, G / H, H)$ shall denote the principal bundle over $G / H$ relative to the projection of $G$ onto $G / H$.

Theorem 3. Let $G$ be a connected isometry group $G$ of a non-compact $n$ dimensional Riemann manifold $M$ with an $(n-1)$-dimensional compact orbit, then $M$ has a fibre bundle structure such that 1) the base space is a compact $G$-orbit, 2) the associated principal bundle is ( $G, G / H, H$ ) where $H$ is the isotropy subgroup of $G$ at a point b of $B, 3$ ) the fibre $E$ (containing b) is a submanifold of $M$ which is $C^{1}$-diffeomorphic to the euclidean space of dimension $n$-dim $B$, 4) the structure group $H$ acts on $E$ as a linear group in terms of some coordinate system of $E$, and finally 5), if $\operatorname{dim} B<n-1$, then $H$ acts transitively on the (unit sphere with center $b=E \cap B$ in the tangent space to $E$ at $b ; E$ is thus isotropic at $b$. (4.1) Every G-orbit is compact by Corollary 2.1.

We distinguish two cases;
Case I: All G-orbits are ( $n-1$ )-dimensional.
Case II: There exists a G-orbit $B$ of dimension $<n-1$.

In case I, we fix an arbitrary point $p$ and denote by $E$ the union of the two perpendiculars to $P=G(p)$ issuing from $p . E$ is a geodesic without end points. If $E$ contains a point $q$ of $P$ other than $p$ we denote by $b$ the middle point of the subarc $E(p, q)$; otherwise we put $b=p$. We set $B=G(b)$. In case II, we fix an arbitrary point $b$ in $B$, and denote by $E$ the union of all perpendicular to $B$ issuing from $b$.

## (4.2) $G$ is transitive on $N(B)$ and, in case $I, N(P)$.

In case I , the orbits $B$ and $P$ being ( $n-1$ )-dimensional, $G$ is transitive on $N(B)$ and $N(P)$. In case II, $B$ adheres to the union of ( $n-1$ ) -dimensional orbits as is easily seen. If $G(p)$ is $(n-1)$-dimensional, $G(p)$ is open in the subset $N_{(p)}$ which is left invariant by the isometry group $G$. Hence $N_{(p)}$ coincides with $G(p)$. Corollary 2.1 shows that $G$ is transitive on $N(B)$.
(4.3) The set $A=B \cap E$ contains $b$ only.

Case I. If $A$ contains two points, the set $E \cap P$ contains at least three points, $p_{i}(i=1,2,3)$. Assume that $p_{2}$ lies on minimum geodesic $\gamma$ to $P$ by Theorem 1 which applies due to (4.1) and (4.2). An isometry transforming the initial point of $\gamma$ to $p_{2}$ transforms $\gamma$ into $E\left(p_{1}, p_{2}\right)$. As in the proof of (3.2), we can infer that $M$ is compact, contrary to the assumption.

Case II. If $A$ contains a point $x$ other than $b$, then $E$ contains a geodesic $\gamma$ joining $x$ to $b$ by the definition of $E$ Hence there exist two perpendiculars $r$ and $x$ from $x$ to $B$, contrary to Theorem 2 which applies owing to (4.1), (4.2) and Remark 3.1.
(4.4) Let $h$ be an isometry in $G$. If $h(E)$ intersects $E$, then $h(E)$ coincides with $E$.

In case $I$ they are maximal geodesics. (4.4) follows from the fact that a geodesic $\gamma$, which is orthogonal to the orbit under a connected isometry group $G$ at a point, is orthogonal to the $G$-orbit at any point of $\gamma$ ([10]; p.48). In case II, $h(E)$ is the union of all perpendiculars to $B$ issuing from $h(b)$. Hence if $h(b)=b$ then $h(E)=E$. If a point $x$ belongs to $h(E) \cap E$, then there exist perpendiculars from $x$ to $b$ and to $h(b)$. By Theorem 2, we have $h(b)=b$. (4.4) is proved.

Let $\rho$ be a map of $G \times E$ into $M$ defined by $\rho(g, x)=g(x)$.
(4.5) $\rho$ is onto.

Given a point $y$ in $M$ there exists a minimum geodesic $\gamma$ to $B$. Let $g$ be the isometry in $G$ which carries $b$ to the initial point of $r$. Then $x=g^{-1}(y)$ belongs to $E$ and we have $\rho(g, x)=y$.
(4.6) We have $\rho(g, x)=\rho\left(g^{\prime}, x^{\prime}\right)$ if and only if $h=g^{-1} g^{\prime}$ belongs to $H$ and $x=h\left(x^{\prime}\right)$.

Assume $\rho(g, x)=\rho\left(g^{\prime}, x^{\prime}\right)$. Then $x=h\left(x^{\prime}\right)$ and $x$ belongs to $E \cap h(E)$. Hence we find $h(E)=E$ by (4.4). This gives that $h(b)$ belongs to $E \cap h(B)$ $=E \cap B$. By (4.3) we get $h(b)=b$; i.e. $h$ belongs to $H$. The converse is evident.

Now from (4.5) and (4.6) we conclude that $M$ is a fiber bundle with fibre $E$ and associated with the principal bundle $(G, G / H, H)$; we have proved 1) and 2).
(4.7) The assertion 3) in Theorem 3 is true.

In case I, 3) is obvious. In case II we consider a normal coordinate system $\nu$ with center $b$, a $C^{1}$-diffeomorphism of a neighborhood $V$ of $b$ in $M$ onto an open subset of the $n$-dimensional euclidean space. $W=E \cap V$ is a closed submanifold of $V$. The restriction $\nu^{\prime}$ of $\nu$ to $W$ extends to $E$ in such a way that every perpendicular to $\{b\}$ is isometrically mapped to a geodesic in the eudlidean space of dimension $(n-\operatorname{dim} B)$. This extension $\nu^{\prime \prime}$ is well defined and one-to-one due to Theorem $2 . \quad \nu^{\prime \prime}$ is diffeomorphic owing to the facts that $\nu^{\prime \prime-1}$ is differentiable by (G.2a) and that a neighborhood of any $N_{(p)}, p$ $\ddagger B$, is the direct product (as a differentiable manifold) of $N_{(p)}$ and a geodesic orthogonal to $N_{(p)}$ by (G. 2 a ).
(4.8) The assertion 4) in Theorem 3 is true.
$\nu^{\prime \prime}$ can be regarded naturally as a map into the tangent space $T$ to $E$ at $b$. The operations of $H$ on $E$ correspond to the operations of the linear isotropy group on $T$ (which we confound with $H$ ).
(4.9) The assertion 5) in Theorem 3 is true.

The unit sphere in $T$ coincides with $T \cap N(B) . N(B)$ is a $G$-orbit. An $h$ of $H$ is characterized in $G$ by the property that $h$ carries a vector in $T$ to another in $T$. It follows that $H$ is transitive on $T \cap N(B)$.

## 5. Corollaries to the main theorem

Corollary 5.1. Let $G^{\prime}$ be a compact $C^{3}$-transformation group of an $n$ dimensional connected non-compact paracompact differentiable manifold $M$ of class $C^{3}$. If there exists a $G^{\prime}$-orbit of dimension $n-1$, then the conclusion of Theorem 3 holds good.

In fact there exists on $M$ a $G^{\prime}$-invariant Riemannian metric of class $C^{2}$ by Whitney's theorem and compactness of $G$. Further the identity component $G$ of $G^{\prime}$ admits an $(n-1)$-dimensional compact orbit because $G^{\prime}$ is compact. Thus Theorem 3 applies.

Corollary 5.2. In Theorem 3, any point $x$ of $M$ can be joined to $B$ by exactly one perpendicular $\gamma_{x}$.

In case $\operatorname{dim} B<n-1$, this is nothing but Theorem 2. In case $\operatorname{dim} B=n-1$, our corollary follows from Theorem 3; $\gamma_{x}$ is contained in (hence coincides locally with) the fibre containing $x$.

Corollary 5.3. In Theorem 3 the subspace $B$ is a strong deformation retract of $M$. In particular the singular homology groups of $B$ and $M$ are isomorphic ; $H(B)=H(M)$. (So are their homotopy groups).

Let $f$ be the retraction, which is the projection of the bundle space $M$ onto the base space $B$. Given $t$ in the closed interval $[0,1]$ and $x$ in $M, D(t, x)$ shall denote the point on the perpendicular $\gamma_{x}$ (from $x$ ) at the distance $t d(x)$ from $B$. $D$ is well defined due to Corollary 5.2. The composition of $f$ and the inclusion map $B \rightarrow M$ is homotopic to the identity by $D$. In fact for an arbitrary point $p \in M$ there exists a neighborhood $U$ of $f(p)$ in $B$, a local crosssection $c: U \rightarrow G$, and a continuous map $e: f^{-1}(U) \rightarrow E$ such that we have $x=\rho(c f(x), e(x)), \rho$ being defined above (4.5). We get a similarity transformation with multiplicity $t(\neq 0)$ if we restrict the transformation $x \in M \rightarrow D(t, x)$ $E M$ to $E$ (more precisely, if one further induces this restricted map to the tangent space $T$ to $E$ at $b$ ). It follows $D$ is continuous on $[0,1] \times E$. On $[0,1]$ $\times f^{-1}(U)$ we have $D(t, x)=\rho(c f(x), D(t, e(x))$ and find that $D$ is continuous, whence $D$ is a homotopy. Therefore $B$ is a strong deformation retract of $M$.

Corollary 5.4. If, in Theorem 3, $M$ is homeomorphic to the euclidean space $E^{n}$, then $G$ admits a fixed point and $G$ is a linear group. (Montgomery
and Zippin [4] proved an analogous theorem assuming compactness of $G$ and no differentiability of $G$ and $M$. (See [3] also).

By Corollary 4.3, we have
$H(B)=H(M)=H\left(E^{n}\right)=H_{0}\left(E^{n}\right)$, i.e. $H(B)=H_{0}(B)=$ the integers, where $H_{0}$ denotes the 0 -dimensional homology group. $B$ is a compact manifold and again by Corollary 4.3, $B$ is simply connected; in particular $B$ is orientable. It follows that $B$ contains just one point.

Corollary 5.5. (The converse of the preceding corollary). If, in Theorem 3, $G$ admits a fixed point then $M$ is diffeomorphic to the euclidean spare.

Then $B$ contains just one point and we get $M=E$ (the fibre).
Corollary 5.6. In Theorem 3 the normal bundle $N^{\prime}(B)$ is connected if and only if $M-B$ is connected. When this is the case, $N_{(p)}=G(p), p \in M-B$, is a $k$-sphere bundle over $B, k=n-\operatorname{dim} B-1$, the strusture group being transitive on the fibre. In the other case $M$ is a trivial bundle $=B \times(a$ straight line $)$. (A zero-dimensional sphere is understood to consist of two points).

Corollary 5.7. In Theorem 3 the isotropy subgroup $H_{b}$ at a point $b$ in $B$ is characterized by the property to be maximal in the sense that if the isotropy subgroup $H_{x}$ at a point $x$ contains $H_{b}$ then it coincides with $H_{b}$. The other isotropy subgroups are all conjugate to each other.

Corollary 5.8. In Theorem 3, the orbit space $M / G$ is homeomorphic to (i) an open interval or (ii) the half open interval $J=(0,1)$. The case (i) occurs if and only if $M-B$ is not connected. In case (ii) there exist subgroups $H$ and $K$ with $K \subset H$ such that $M$ is homeomorphic to $(G / K) \times J$ with $(G / K) \times\{0\}$ identified to $G^{\prime} H \times\{0\}$ by the relation $(g K, 0) \equiv(h K, 0)$ if $h \in g H$. (Mostert [ऽ] proved an analogous theorem in assuming compactness of $G$ and no differentiability of $M$ ).

In fact $M / G$ can be identified with $E$ if $M-B$ is not connected, and with a maximal perpendicular $\gamma$ to $B$ if $M-B$ is connected. Let $H$ be the subgroup in Theorem 3, and $K$ the isotropy subgroup at' a point on $r-B$. Then our corollary will be evident.

Corollary 5.9. Let (i be a connected isometry group of a Riemann manifold $M$ of dimension $n$. Then the following three conditions are equivalent.
a) All orbits $C$ are compart, and $G$ is transitive on $N^{\prime}(C)$.
b) There exists a compart G-orbit $B$ such that $G$ is transitive on a connected normal bundle $N(B)$.
c) There exists an ( $n-1$ )-dimensional compart orbit.

Clearly a) implies b). Assume b). Consider a non-compact $G$-invariant neighborhood $V$ of $B$. Applying Corollary $\bar{j} .6$ to $V$, we find c) deduced. Finally assume $c^{c}$. Then Theorem 3 applies to $M$ if $M$ is not compact and to $M-A$ if $M$ is compact where $A$ is a $G$-orbit such that the isotropy subgroup at a point of $A$ is maximal, $M-A$ being then connected. By Corollary 5.6 all orbits but $B$ and $A$ are compact and ( $n-1$ ) dimensional. Hence $G$ is transitive on their connected normal bundles. By Corollary $2.1 G$ is transitive on $N(B)$. Considering $M-B$ instead of $M$ we also find that $G$ is transitive on $N(A)$.

Question 1. I do not know whether the assumption of compactness in Theorem 3 is indispensable or not.

Question 2. It would be desirable to generalize the whole theory to the case where $M$ is a topological manifold with a metric such that there exist geodesics satisfying local prolongeability, uniqueness of prolongation, etc. and $M$ is locally convex, etc.

## Bibliography

[1] H. Freudenthal, Neuere Fassungen des Riemann-Helmholtz-Lieschen Raumproblems. Math. Zeit., 63 (1955-1956), 374-405.
[2] J. L. Koszul, Sur certains groupes de transformations de Lie, Colloque de géométrie différentielle, Strasbourg, 1953, 137-141.
[3] D. Montgomery, H. Samelson and C. T. Yang, Groups on $E^{\cdot f}$ with ( $n-2$ )-dimensional orbits. Proc. Amer. Math. Soc., 7 (1956), 719-728.
[4] D. Montgomery and L. Zippin. Topological transformation groups, Interscience Press, 19.5.5.
[5] P. S. Mostert, On a compact Lie group acting on a manifold. Ann. of Math., 65 (1957), 447-455; 66(1957), p. 589.
[6] T. Nagano, Homogeneous sphere bundles and the isotropic Riemannian spaces, to appear.
[7] T. Nagano, Compact transformation groups on the $n$-sphere with ( $n-1$ )-dimensional orbits, to appear.
[8] J. Tits. Sur certaines classes d'espaces homogènes de groupes de Lie. Académie

Royale de Belgique. Mémoire t. 29 (1955).
[9] H. C. Wang, Two-point homogeneous spaces. Ann. of Math., 55 (1952), 177-191.
[10] K. Yano, The theory of Lie derivatives and its applications. North-Holland Publishing Co. 1957.

## Mathematical Institute

University of Tokyo


[^0]:    Received June 18, 1958.
    Revised September 7, 1958.

