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CLASSIFICATION OF HOMOGENEOUS BOUNDED DOMAINS OF LOWER DIMENSION

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Introduction

The theory of classification of homogeneous bounded domains in the complex number space C^n has been developed mainly in the recent papers [10], [6], [3] and [7]. As a result, the classification is reduced to that of S-algebras due to Takeuchi [7] which correspond to *irreducible* Siegel domains of type I or type II (For the definition of irreducibility see § 1). On the other hand Pjateckii-Sapiro [5] found large classes of homogeneous Siegel domains obtained from classical self-dual cones. Even in lower-dimensional cases, however, there are still homogeneous Siegel domains which do not appear in his results.

In this article, we give a method of classification of S-algebras which correspond to irreducible Siegel domains; applying this, we classify all irreducible Siegel domains of type I and of type II up to dimension 10 and 8, respectively.

After reviewing results of [3] and [8] in §1, we define in §2 Nalgebras of type II and establish a relation between N-algebras and Salgebras. In §3 we define skeletons of type I or type II and isomorphisms among them. It turns out that to each isomorphism class of N-algebras there corresponds an isomorphism class of certain skeletons (Lemma 3.1 and Lemma 3.2). We classify all skeletons which are necessary to find all the N-algebras corresponding to the above-mentioned Siegel domains (Prop. 3.5 and Prop. 3.6). In §4 we will first restrict our attention to 3-skeletons of type I and 2-skeletons of type II. We study how to construct N-algebras from such a skeleton (Lemma 4.1 and 4.6) and study under what conditions these N-algebras are isomorphic

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(Lemma 4.2, 4.4, 4.5 and 4.8). In §5 and §6, applying results in §4, we will obtain all the N-algebras which correspond to each of skeletons classified in Proposition 3.5 and 3.6.

In §7, summing up results in §5 and §6 we get the main theorem (Theorem 7.1). We give also the numbers of irreducible Siegel domains in the respective dimensions (Theorem 7.2). Furthermore we give the explicit forms of all irreducible Siegel domains of type I (resp. type II) up to dimension 7 (resp. 8). Some of them are already known in Pjateckii-Sapiro [5]; but others are new and most of them are Siegel domains obtained from non-selfdual cones. It should be noted that there exists a *unique* one-parameter family of non-isomorphic irreducible Siegel domains of type II in C^7 or in C^8 (cf. §6 and §7).

Throughout this paper, we will employ notations and terminologies in the previous article [3]. We denote by E_n the unit matrix of degree *n* and by O(n) the real orthogonal group of degree *n*.

§1. Basic theorems and N-algebras of type I

1.1. Vinberg, Gindikin and Pjateckii-Sapiro [10] proved that every homogeneous bounded domain D is realized as a (affine) homogeneous Siegel domain of type I or type II. If D is realized as that of type I, it is called of tube type. A homogeneous bounded domain is called *irreducible*, if it is not (holomorphically) isomorphic to a direct product of any two homogeneous bounded domains of lower dimension. Then the following theorems are known:

THEOREM A ([3]). Every homogeneous bounded domain D is isomorphic to a direct product of irreducible domains; the decomposition is unique up to an order. Furthermore D is of tube type if and only if each irreducible factor of D is of tube type.

THEOREM B ([3], [4]). Let D(V, F) and D(V', F') be homogeneous Siegel domains of type I or type II. Then D(V, F) is (holomorphically) isomorphic to D(V', F') if and only if they are mutually linearly equivalent. In particular homogeneous Siegel domains D(V) and D(V') of type I are mutually isomorphic if and only if the homogeneous convex cones V and V' are linearly equivalent to each other.

A homogeneous convex cone V is called *irreducible* if it is not linearly

equivalent to a direct sum of any two homogeneous convex cones.

THEOREM C^{*)} ([3]). A homogeneous Siegel domain D(V, F) of type I or type II is irreducible as a homogeneous bounded domain if and only if the homogeneous cone V is irreducible.

It is known in [3] that a homogeneous Siegel domain of type II can not be realized as a homogeneous Siegel domain of type I. Therefore, in view of the above theorems, what we need to do, in order to classify homogeneous bounded domains up to holomorphic equivalence, is

A) to classify irreducible homogeneous convex cones V up to linear equivalence, and

B) to classify homogeneous Siegel domains D(V, F) of type II with V irreducible, up to linear equivalence.

1.2. We recall *N*-algebras of type I due to Vinberg [8].

DEFINITION ([8]). Let N be a finite dimensional associative algebra over the real number field **R**, and $m (\geq 2)$ be a positive integer. Suppose that N is the direct sum of bigraded subspaces N_{ij} $(1 \leq i < j \leq m)$ and that N is equipped with a positive definite inner product \langle , \rangle . Then N is called an N-algebra of type I of rank m, if the following conditions are satisfied;

- (N1) $N_{ij}N_{jk} \subset N_{ik}$,
- (N2) $N_{ij}N_{\ell k} = (0)$ if $j \neq \ell$,
- (N3) $\langle N_{ij}, N_{k\ell} \rangle = 0$ if $i \neq k$ or $j \neq \ell$,
- (N4) for every $a_{ij} \in N_{ij}$ and $b_{jk} \in N_{jk}$,

$$\langle a_{ij}b_{jk}, a_{ij}b_{jk} \rangle = \frac{1}{n_j} \langle a_{ij}, a_{ij} \rangle \langle b_{jk}, b_{jk} \rangle,$$

where $n_j = 1 + \frac{1}{2} \sum_{s < j} \dim N_{sj} + \frac{1}{2} \sum_{s > j} \dim N_{js}$,

(N5) if $a_{ik} \in N_{ik}$, $b_{jk} \in N_{jk}$ (i < j) and

 $\langle a_{ik}, Nb_{jk} \rangle = 0$, then $\langle Na_{ik}, Nb_{jk} \rangle = 0$.

An N-algebra of type I of *rank one* is defined to be an empty set. (N4) is equivalent to the following (N4') or (N4'').

^{*)} Taking this opportunity we correct a small error in the proof of this theorem; that is, in the line 6 ff, page 126 [3], $(\Delta^{(\alpha)} - \Delta^{(\beta)} + \Delta^{(\mu)})/2$ and $(\Delta^{(\mu)} - \Delta^{(\nu)} + \Delta^{(\alpha)})/2$ are not roots only for $\alpha \neq \beta$ or $\mu \neq \nu$. But, since we have $[jr_{\alpha}, W_2] = [jr_{\mu}, W_1] = 0$, the equality $[jR_1, W_2] = [jR_2, W_1] = 0$ in the line 7 ff is still valid.

(N4') For every $a_{ij}, a'_{ij} \in N_{ij}$ and $b_{jk}, b'_{jk} \in N_{jk}$,

$$\langle a_{ij}b_{jk}, a_{ij}'b_{jk}' \rangle + \langle a_{ij}b_{jk}', a_{ij}'b_{jk} \rangle = \frac{2}{n_j} \langle a_{ij}, a_{ij}' \rangle \langle b_{jk}, b_{jk}' \rangle.$$

(N4") For orthonormal bases $\{e_{ij}^{\ell}\}$ of N_{ij} ,

$$ig\langle e^a_{ij} e^b_{jk}, e^c_{ij} e^d_{jk} ig
angle + ig\langle e^a_{ij} e^d_{jk}, e^c_{ij} e^b_{jk} ig
angle = rac{2}{n_j} \delta_{ac} \delta_{bd} \; .$$

Let $N = \sum_{i < j} N_{ij}$ be an N-algebra of type I of rank m. A permutation σ of the index set $\{1, 2, \dots, m\}$ is called *admissible* to N if $N_{ij} =$ (0) as long as i < j and $\sigma(i) > \sigma(j)$. Let σ be a permutation admissible to N. If we replace each index i by $\sigma(i)$ in N, then we get a new Nalgebra N^{σ} of type I different from N only in bigrading. Let N and N^{\prime} be two N-algebras of type I of rank m. Then N is said to be isomorphic to N' if there exist a permutation σ admissible to N and an algebra isomorphism f of N^{σ} onto N' which is not only bigrade-preserving but isometric relative to the respective inner products. It is known in Vinberg [8] that there exists a natural bijection between the set of all linear equivalence classes of homogeneous convex cones and the set of all isomorphism classes of N-algebras of type I. To the N-algebra of type I of rank one there corresponds the cone of the positive real halfline.

Thus, to solve the problem A) we have only to consider N-algebras of type I.

§ 2. *N*-algebras of type II

We shall begin with some definitions due to Vinberg [8]. Let m be a positive integer and \mathfrak{A} be a finite dimensional algebra over \mathbf{R} . Then \mathfrak{A} is called a matrix algebra of rank m + 1 if it is bigraded with subspaces \mathfrak{A}_{ij} $(1 \leq i, j \leq m + 1)$ such that $\mathfrak{A}_{ij}\mathfrak{A}_{jk} \subset \mathfrak{A}_{ik}, \ \mathfrak{A}_{ii} \neq (0)$ $(1 \leq i \leq m$ + 1) and that $\mathfrak{A}_{ij}\mathfrak{A}_{ki} = (0)$ for $j \neq k$. Let $\mathfrak{A} = \sum_{1 \leq i, j \leq m+1} \mathfrak{A}_{ij}$ be a matrix algebra of rank m + 1. By an involution of \mathfrak{A} we mean an involutive anti-automorphism * of \mathfrak{A} such that

(2.1)
$$\mathfrak{A}_{ij}^* = \mathfrak{A}_{ji}$$
 $(1 \le i, j \le m+1)$.

A complex structure j of a matrix algebra $\mathfrak{A} = \sum_{1 \le i, j \le m+1} \mathfrak{A}_{ij}$ of rank m+1 with an involution * is, by definition, a linear endomorphism of

the subspace $\sum_{1 \le i \le m} (\mathfrak{A}_{i,m+1} + \mathfrak{A}_{m+1,i})$ of \mathfrak{A} such that

(2.2)
$$j\mathfrak{A}_{i,m+1} = \mathfrak{A}_{i,m+1} \quad (1 \le i \le m)$$
,

$$(2.3) j \circ * = * \circ j$$

(2.4)
$$j^2 = -1$$

We note that (2.1), (2.2) and (2.3) imply that $j\mathfrak{A}_{m+1,i} = \mathfrak{A}_{m+1,i}$ $(1 \le i \le m)$. From now on we shall use the following notations (cf. [8]);

,

$$\begin{split} & [a,b] = ab - ba & (a,b\in\mathfrak{A}) , \\ & [a,b,c] = a(bc) - (ab)c & (a,b,c\in\mathfrak{A}) , \\ & n_{ij} = \dim\mathfrak{A}_{ij} & (1\leq i, \ j\leq m+1) \\ & n_i = 1 + \frac{1}{2}\sum_{k < i} n_{ki} + \frac{1}{2}\sum_{i < k} n_{ik} , \end{split}$$

and we will denote by a_{ij} the \mathfrak{A}_{ij} -component of an element $a \in \mathfrak{A}$. In what follows, we will consider exclusively S-algebras (cf. Takeuchi [7]) with the additional condition (T, 0), which we call T-algebras of type II in accordance with the usual T-algebras in Vinberg [8].

DEFINITION 2.1 (cf. [7]). Let $\mathfrak{A} = \sum_{1 \le i,j \le m+1} \mathfrak{A}_{ij}$ be a matrix algebra of rank m + 1 with an involution * and a complex structure j. Then the triple $(\mathfrak{A}, *, j)$ is called a *T*-algebra of type II of rank m if the following axioms are satisfied;

- (T. 0) $\mathfrak{A}_{i,m+1} \neq (0)$ for some $i \ (1 \le i \le m)$,
- (T. 1) Each subalgebra \mathfrak{A}_{ii} $(1 \le i \le m+1)$ is isomorphic to the algebra R; These isomorphisms are denoted by ρ ,
- (T. 2) $a_{ii}b_{ij} = \rho(a_{ii})b_{ij}, \ a_{ij}b_{jj} = \rho(b_{jj})a_{ij} \ (1 \le i, \ j \le m+1),$
- (T. 3) Sp [a, b] = 0 $(a, b \in \mathfrak{A})$, where Sp is defined by Sp $a = \sum_{1 \le i \le m+1} n_i \rho(a_{ii})$,
- (T. 4) Sp [a, b, c] = 0 $(a, b, c \in \mathfrak{A}),$
- (T. 5) Sp $aa^* > 0$ if $a \neq 0$ $(a \in \mathfrak{A})$,
- (T. 6) [a, b, c] = 0 $(a, b, c \in \sum_{1 \le i \le j \le m+1} \mathfrak{A}_{ij}),$
- (T. 7) $[a, b, b^*] = 0$ $(a, b \in \sum_{1 \le i \le j \le m+1} \mathfrak{A}_{ij}),$
- (T. 8) $j(a_{ij}b_{j,m+1}) = a_{ij}j(b_{j,m+1})$ $(1 \le i < j \le m),$
- (T. 9) Sp (jajb) = Sp ab $(a, b \in \sum_{1 \le i \le m} (\mathfrak{A}_{i,m+1} + \mathfrak{A}_{m+1,i})).$

Remark 2.2. (T. 1)–(T. 7) imply that a T-algebra of type II of rank m is itself a usual T-algebra of rank m + 1 (cf. [8]).

DEFINITION 2.3. Let $N = \sum_{1 \le i < j \le m+1} N_{ij}$ be an *N*-algebra of type I of rank m + 1 with the inner product \langle , \rangle and j be a linear endomorphism of the subspace $\sum_{1 \le i \le m} N_{i,m+1}$ of *N*. Then the triple $(N, \langle , \rangle, j)$ is called an *N*-algebra of type II of rank m if the following conditions are satisfied;

$$(2.5) N_{i,m+1} \neq (0) for some i (1 \le i \le m),$$

$$(2.6) jN_{i,m+1} = N_{i,m+1} (1 \le i \le m) ,$$

(2.7)
$$j^2 = -1$$

(2.8)
$$\langle ja, jb \rangle = \langle a, b \rangle \quad \left(a, b \in \sum_{1 \leq i \leq m} N_{i,m+1}\right),$$

(2.9)
$$j(ab) = aj(b) \qquad \left(a \in \sum_{1 \le i < j \le m} N_{ij}, b \in \sum_{1 \le i \le m} N_{i,m+1}\right).$$

The above j is called the *complex structure* of N. For simplicity we will often denote $(\mathfrak{A}, *, j)$ by \mathfrak{A} and $(N, \langle , \rangle, j)$ by N, respectively.

Let \mathfrak{A} be a *T*-algebra of type II of rank *m* and *N* be an *N*-algebra of type II of rank *m*. Then a permutation σ of the index set $\{1, 2, \dots, m+1\}$ is said to be *admissible to* \mathfrak{A} (resp. *N*) if $\sigma(m+1) = m+1$ and if $\mathfrak{A}_{ij} = 0$ (resp. $N_{ij} = 0$) as long as i < j and $\sigma(i) > \sigma(j)$. For a permutation σ admissible to \mathfrak{A} (resp. *N*), we have a new *T*-algebra \mathfrak{A}^{σ} (resp. an *N*-algebra N^{σ}) of type II of rank *m* by replacing each index *i* by $\sigma(i)$ in \mathfrak{A} (resp. *N*), which is different from \mathfrak{A} (resp. *N*) only in bigrading.

DEFINITION 2.4 ([7]). Let $(\mathfrak{A}, *, j)$ and $(\mathfrak{A}', *', j')$ be two *T*-algebras of type II of rank *m*. Then \mathfrak{A} is said to be *isomorphic* to \mathfrak{A}' if there exist a permutation σ admissible to \mathfrak{A} and a grade-preserving algebra isomorphism φ of \mathfrak{A}'' onto \mathfrak{A}' such that

$$\varphi \circ * = *' \circ \varphi ,$$

(2.11)
$$\varphi \circ j = j' \circ \varphi$$
 on $\sum_{1 \le i \le m} (\mathfrak{A}_{i,m+1}^{\sigma} + \mathfrak{A}_{m+1,i}^{\sigma})$.

DEFINITION 2.5. Let $(N, \langle , \rangle, j)$ and $(N', \langle , \rangle', j')$ be two N-algebras of type II of rank m. Then N is said to be *isomorphic* to N' if there exist a permutation σ admissible to N and a grade-preserving algebra isomorphism ψ of N^{σ} onto N' such that

(2.12) ψ is an isometry with respect to \langle , \rangle and \langle , \rangle' ,

(2.13)
$$\psi \circ j = j' \circ \psi$$
 on $\sum_{1 \le i \le m} N_{i,m+1}^{\sigma}$

Let $(\mathfrak{A}, *, j)$ be a *T*-algebra of type II of rank *m*. We define the inner product \langle , \rangle in \mathfrak{A} by putting

$$(2.14) \qquad \langle a, b \rangle = \operatorname{Sp} ab^*$$

for $a, b \in \mathfrak{A}$ (cf. (T. 5) in Definition 2.1). Then this inner product has the following relations;

(2.15)
$$\langle a^*, b^* \rangle = \langle a, b \rangle, \quad \langle ab^*, c \rangle = \langle ba^*, c^* \rangle = \langle cb, a \rangle, \\ \langle a^*b, c \rangle = \langle b^*a, c^* \rangle = \langle ac, b \rangle$$

for $a, b, c \in \sum_{1 \le i < j \le m+1} \mathfrak{A}_{ij}$ (cf. [8], p. 349, (46), (51), (52)). Let us put $N(\mathfrak{A}) = \sum_{1 \le i < j \le m+1} \mathfrak{A}_{ij}$. Then, as is known in Vinberg [8], $N(\mathfrak{A})$ is an N-algebra of type I of rank m + 1 with respect to the inner product \langle , \rangle , since $(\mathfrak{A}, *)$ is a T-algebra of rank m + 1 (cf. Remark 2.2). From (T. 8) and (T. 9), it follows that the above inner product \langle , \rangle and the complex structure j restricted to $\sum_{1 \le i \le m} \mathfrak{A}_{i,m+1}$ satisfy (2.6)–(2.9). Thus $N(\mathfrak{A})$ is an N-algebra of type II of rank m. We denote by Φ the mapping which assigns each T-algebra ($\mathfrak{A}, *, j$) of type II of rank m.

THEOREM 2.6. The mapping Φ induces a natural bijection $\tilde{\Phi}$ between the set of all isomorphism classes of T-algebras of type II of rank m and the set of all isomorphism classes of N-algebras of type II of rank m.

Proof. We define $\tilde{\Phi}$ to be the mapping which carries the isomorphism class of $(\mathfrak{A}, *, j)$ to that of $(N(\mathfrak{A}), \langle , \rangle, j)$. First we will show that $\tilde{\Phi}$ is well-defined and injective. Let $(\mathfrak{A}, *, j)$ and $(\mathfrak{A}', *', j')$ be two *T*-algebras of type II of rank *m* and suppose that $(\mathfrak{A}, *, j)$ is isomorphic to $(\mathfrak{A}', *', j')$. Then there exist a permutation σ admissible to \mathfrak{A} and an isomorphism φ of \mathfrak{A}^{σ} onto \mathfrak{A}' . Since $\varphi(\mathfrak{A}_{ij}) = \mathfrak{A}'_{\sigma(i)\sigma(j)}$ $(1 \leq i < j \leq m + 1)$ and since $n_{ij} = 0$ as long as i < j, $\sigma(i) > \sigma(j)$, we have $n_i = n'_{\sigma(i)}$. Hence, it follows that for $a_{ij}, b_{ij} \in \mathfrak{A}_{ij}$ $(1 \leq i < j \leq m + 1)$

$$\langle \varphi(a_{ij}), \varphi(b_{ij}) \rangle' = \operatorname{Sp} \left(\varphi(a_{ij}) \varphi(b_{ij})^{*'} \right) = n'_{\sigma(i)} \rho'(\varphi(a_{ij} b^{*}_{ij}))$$
$$= n_i \rho(a_{ij} b^{*}_{ij}) = \langle a_{ij}, b_{ij} \rangle ,$$

where ρ' is the algebra isomorphism of \mathfrak{A}'_{ii} onto \mathbf{R} . Therefore φ induces an isometry of $N(\mathfrak{A})^{\sigma}$ onto $N(\mathfrak{A}')$. From this and the Definition 2.4 and 2.5, it can be seen that φ induces an isomorphism of $(N(\mathfrak{A})^{\sigma}, \langle , \rangle, j)$ onto $(N(\mathfrak{A}'), \langle , \rangle', j')$, which proves that $\tilde{\Phi}$ is well-defined.

Suppose that $(N(\mathfrak{A}), \langle , \rangle, j)$ is isomorphic to $(N(\mathfrak{A}'), \langle , \rangle', j')$. Then there exists a permutation σ admissible to $N(\mathfrak{A})$ and a grade-preserving isomorphism ψ of $N(\mathfrak{A})^{\sigma}$ onto $N(\mathfrak{A}')$. Let us define the map φ of \mathfrak{A}^{σ} onto \mathfrak{A}' as follows;

$$arphi = egin{cases} \psi & ext{ on } \mathfrak{A}_{ij}^{\sigma}, \ i < j \ , \
ho^{\prime - 1} \circ
ho & ext{ on } \mathfrak{A}_{ii}^{\sigma} \ , \ st^{\prime} \circ \psi \circ st & ext{ on } \mathfrak{A}_{ij}^{\sigma}, \ j < i \ . \end{cases}$$

Then by using (2.12)–(2.15), we can show that φ is an isomorphism of $(\mathfrak{A}^{\sigma}, *, j)$ onto $(\mathfrak{A}', *', j')$, which implies that $\tilde{\Phi}$ is injective.

We want to show that $\tilde{\Phi}$ is surjective. Let $(N, \langle , \rangle, j_1)$ be an *N*-algebra of type II of rank *m*. Then by Vinberg [8], there exists a *T*-algebra $(\mathfrak{A}, *)$ of rank m + 1 such that $N(\mathfrak{A}) = N$ as *N*-algebras of type I. We define a complex structure j on \mathfrak{A} as follows;

$$j = \begin{cases} j_1 & \text{on} \quad \mathfrak{A}_{i,m+1} = N_{i,m+1} \text{,} \\ * \circ j_1 \circ * & \text{on} \quad \mathfrak{A}_{m+1,i} = N^*_{i,m+1} \ (1 \le i \le m) \text{.} \end{cases}$$

It remains for us to show that $(\mathfrak{A}, *, j)$ satisfies the axioms (T. 8) and (T. 9). (2.9) implies (T. 8). On the other hand, for $a_{i,m+1} \in \mathfrak{A}_{i,m+1}$, $b_{m+1,i} \in \mathfrak{A}_{m+1,i}$ ($1 \le i \le m$),

$$\begin{split} \operatorname{Sp} (ja_{i,m+1}jb_{m+1,i}) &= \langle ja_{i,m+1}, (jb_{m+1,i})^* \rangle = \langle j_1a_{i,m+1}, j_1b_{m+1,i}^* \rangle \\ &= \langle a_{i,m+1}, b_{m+1,i}^* \rangle = \operatorname{Sp} (a_{i,m+1}b_{m+1,i}) , \end{split}$$

which implies (T. 9). So $\Phi((\mathfrak{A}, *, j)) = (N, \langle , \rangle, j_1)$. q.e.d.

From the above theorem and Theorem A in Takeuchi [7], we get the following:

COROLLARY 2.7. There exists a bijection between the set of all isomorphism classes of N-algebras of type II and the set of all linear equivalence classes of homogeneous Siegel domains of type II.

Thus, to work out the problem B) in §1, we have only to consider N-algebras of type II.

§ 3. Skeletons

3.1. We will define an m-skeletons of type I. Let us put m tiny

circles on \mathbb{R}^2 so that they may form the vertices of a regular *m*-polygon; by a regular 1-polygon (resp. 2-polygon) we mean a point (resp. a line segment). Let us number these circles counterclockwise, starting from the vertex at the upper left corner. The *i*-th circle is called the vertex *i*, or simply *i*. Some of these circles may be joined by line segments. By the notation $i \sim j$ (resp. $i \not\sim j$) we mean that the vertices *i* and *j* are joined (resp. not joined) by a line segment. The following assumption (*) has to be satisfied; (*) if i < j < k, $i \sim j$ and $j \sim k$, then $i \sim k$. A figure *S* satisfying (*) is called an *m*-skeleton of type *I*, if a positive integer n_{ij} is attached to each line segment ij (i < j) in *S* in such a way that

S1) if i < j < k, $i \sim j$ and $j \sim k$, then max $(n_{ij}, n_{jk}) \le n_{ik}$,

S2) if $i < j < k < \ell$, $i \sim j$, $j \sim \ell$, $i \sim k$, $k \sim \ell$, $i \sim \ell$ and $j \not\sim k$, then $\max(n_{ij} + n_{ik}, n_{ij} + n_{k\ell}, n_{j\ell} + n_{ik}, n_{j\ell} + n_{k\ell}) \le n_{i\ell}$.

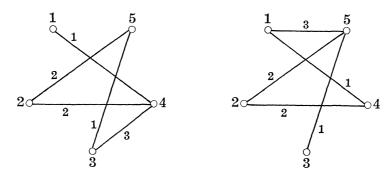
We often denote the skeleton S by the pair $(S, (n_{ij}))$. An *m*-skeleton S of type I is called *connected* if for any two vertices *i* and *j* there exists a series of vertices $i = i_0, i_1, \dots, i_{s-1}, i_s = j$ such that $i_{k-1} \sim i_k$ for each $1 \leq k \leq s$.

DEFINITION 3.1. Let $(S, (n_{ij}))$ and $(S', (n'_{ij}))$ be two *m*-skeletons of type I. S is said to be *isomorphic* to S', if there exists a permutation σ of the set $\{1, 2, \dots, m\}$ such that

- i) if i < j and $\sigma(i) > \sigma(j)$ in S, then $i \not\sim j$ in S,
- ii) $\sigma(i) \sim \sigma(j)$ in S' if and only if $i \sim j$ in S,
- iii) $n'_{\sigma(i)\sigma(j)} = n_{ij}$.

It can be seen that the above isomorphism is an equivalence relation in the set of all m-skeletons of type I.

EXAMPLE. The following 5-skeletons of type I are connected and mutually isomorphic under the permutation $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 2 & 1 & 5 & 4 \end{pmatrix}$.



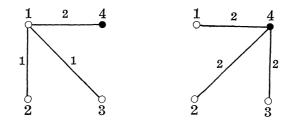
3.2. By an *m*-skeleton of type II we mean an (m + 1)-skeleton $(\mathfrak{S}, (n_{ij}))$ of type I satisfying the conditions;

i) there exists at least one vertex $i, 1 \le i \le m$ such that $i \sim m + 1$; in this case each $n_{i,m+1}$ is an even number,

ii) only the last vertex m + 1 is denoted by a black circle \blacksquare .

An *m*-skeleton \mathfrak{S} of type II is said to be *connected* if for any two vertices i and j $(i, j \neq m + 1)$ there exists a series of vertices $i = i_0, i_1, \dots, i_{s-1}, i_s = j$ such that $1 \leq i_1, \dots, i_{s-1} \leq m$ and that $i_{k-1} \sim i_k$ for each $1 \leq k \leq s$.

EXAMPLE. Consider the following 3-skeletons of type II.



The first one is connected, while the second is not connected.

DEFINITION 3.2. Let $(\mathfrak{S}, (n_{ij}))$ and $(\mathfrak{S}', (n'_{ij}))$ be two *m*-skeletons of type II. Then they are said to be *isomorphic* to each other, if there exists a permutation σ of the set $\{1, 2, \dots, m, m+1\}$ leaving m+1 fixed and satisfying i)-iii) of Definition 3.1.

The above isomorphism is an equivalence relation in the set of all m-skeletons of type II.

3.3. Let $N = \sum_{i < j} N_{ij}$ be an N-algebra of type I of rank m. We put $n_{ij} = \dim N_{ij}$. For the N-algebra N we define its diagram S(N) in

the following way^{*)}; at first m tiny circles should be put and numbered in the same way as in 3.1; let us join the vertex i with j (i < j) by a line segment if and only if $n_{ij} \neq 0$, and let us attach the number n_{ij} to each line segment ij. For the case of m = 1, S(N) is defined to be just a vertex.

LEMMA 3.1. The diagram S(N) of an N-algebra N of type I of rank m is an m-skeleton of type I. If two N-algebras of type I are isomorphic to each other, then so are their diagrams.

Proof. Suppose that three vertices i < j < k in S(N) satisfy $i \sim j$ and $j \sim k$. Let x_0 be a non-zero element in N_{jk} . Then, by (N4) the map of N_{ij} to N_{ik} defined by $x_{ij} \in N_{ij} \mapsto x_{ij} x_0 \in N_{ik}$ is a linear isomorphism of N_{ij} into N_{ik} . Hence we have $n_{ij} \leq n_{ik}$, and analogously $n_{jk} \leq n_{ik}$. S(N) thus satisfies S1). Suppose that four vertices $i < j < k < \ell$ in S(N)satisfy the conditions $i \sim j$, $j \sim \ell$, $i \sim k$, $k \sim \ell$, $i \sim \ell$ and $j \not\sim k$. Then, for arbitrary elements $x_{j\ell} \in N_{j\ell}$ and $x_{k\ell} \in N_{k\ell}$ we have $\langle x_{j\ell}, Nx_{k\ell} \rangle = 0$. Hence, by (N5) we have $\langle NN_{j\ell}, NN_{k\ell} \rangle = 0$. Take non-zero elements $e_{j\ell} \in N_{j\ell}$, $e_{k\ell} \in N_{k\ell}$. Then the maps

$$f: x_{ij} \in N_{ij} \longmapsto x_{ij}e_{j\ell}$$
$$g: x_{ik} \in N_{ik} \longmapsto x_{ik}e_{k\ell}$$

are linear isomorphisms of N_{ij} into $N_{i\ell}$ and of N_{ik} into $N_{i\ell}$, respectively (cf. (N4)). The condition $\langle NN_{j\ell}, NN_{k\ell} \rangle = 0$ implies that the subspaces $f(N_{ij})$ and $g(N_{ik})$ of $N_{i\ell}$ are orthogonal to each other. Hence $n_{ij} + n_{ik} \leq n_{i\ell}$. Other assertions in S2) are analogously proved. Thus S(N) is an *m*-skeleton of type I. The second assertion of the lemma is immediate. q.e.d.

Let $(N, \langle , \rangle, j)$ be an N-algebra of type II of rank m. Then we can consider the diagram S(N) of N by regarding N as an N-algebra of type I of rank m + 1. By the *diagram* $\mathfrak{S}(N)$ of N as an N-algebra of type II of rank m we mean the figure which is obtained from S(N) by changing the color of the vertex m + 1 in black. By the quite similar way as in Lemma 3.1 we get

LEMMA 3.2. The diagram $\mathfrak{S}(N)$ of an N-algebra $(N, \langle , \rangle, j)$ of type

 $^{^{*)}}$ To define the diagram of an N-algebra of type I was motivated by the diagram of a T-algebra due to Asano [1].

II of rank m is an m-skeleton of type II. If two N-algebras of type II are isomorphic, then so are their diagrams.

3.4. Let D(V, F) be a homogeneous Siegel domain of type II. Let $(N, \langle , \rangle, j)$ be the corresponding N-algebra of type II and $(\mathfrak{S}, (n_{ij}))$ be its diagram. Suppose that rank N = m. Then it follows from Takeuchi [7] that the figure which is obtained from $(\mathfrak{S}, (n_{ij}))$ by removing the vertex m + 1 and all line segments starting from m + 1 is the diagram of the N-algebra of type I corresponding to the cone V. Hence, from Theorem C and a result of Asano [1] we have

PROPOSITION 3.3. Let D(V, F) be a homogeneous Siegel domain of type I or type II. Then it is irreducible if and only if the diagram of the N-algebra corresponding to D(V, F) is connected.

LEMMA 3.4. Let D(V) (resp. D(V, F)) be an irreducible Siegel domain of type I (resp. type II). Let N(V) (resp. N(V, F)) be the N-algebra corresponding to D(V) (resp. D(V, F)). If dim $D(V) \le 10$, then rank $N(V) \le 5$; if dim $D(V, F) \le 8$, then rank $N(V, F) \le 4$.

Proof. Suppose rank N(V) = n and rank N(V, F) = m. Let $(S, (n_{ij}))$ and $(\mathfrak{S}, (m_{ij}))$ be the diagrams of N(V) and N(V, F), respectively. Note that dim $D(V) = n + \sum_{1 \le i < j \le n} n_{ij}$ and dim $D(V, F) = m + \sum_{1 \le i < j \le m} m_{ij} + \sum_{1 \le i < j \le m} \frac{1}{2}m_{i,m+1}$ (cf. [8], [7]). Since S and \mathfrak{S} are connected by Proposition 3.3, it follows from a result of Asano [1] that $\sum_{1 \le i < j \le n} n_{ij} > n - 2$ and $\sum_{1 \le i < j \le m} m_{ij} > m - 2$. So we get $n - 2 < \sum_{1 \le i < j \le n} n_{ij} \le 10 - n$. On the other hand, at least one $m_{i,m+1}$ is not zero and so $\sum_{i=1}^{m} \frac{1}{2}m_{i,m+1} \ge 1$. Hence $m - 2 < \sum_{1 \le i < j \le m} m_{ij} \le 7 - m$. Thus we have $n \le 5$ and $m \le 4$. q.e.d.

Thus, to solve the problem A) for the case of dim $V \le 10$ and B) for the case of dim $D(V, F) \le 8$ (cf. §1), our task is

I) to classify (up to isomorphism) all connected n-skeletons $(S, (n_{ij}))$ of type I satisfying the condition

(3.1)
$$\begin{cases} n \le 5 \\ \sum_{1 \le i < j \le n} n_{ij} \le 10 - n \end{cases},$$

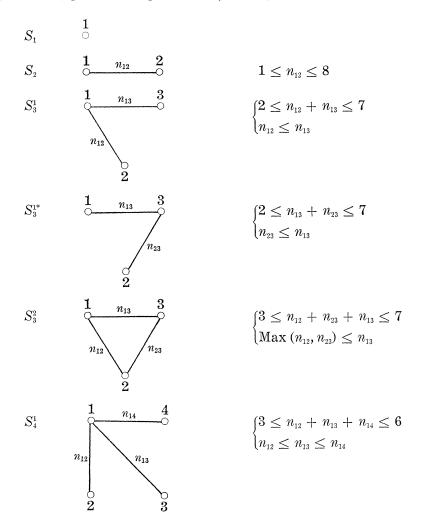
II) to classify all connected m-skeletons $(\mathfrak{S}, (m_{ij}))$ of type II satisfying the condition

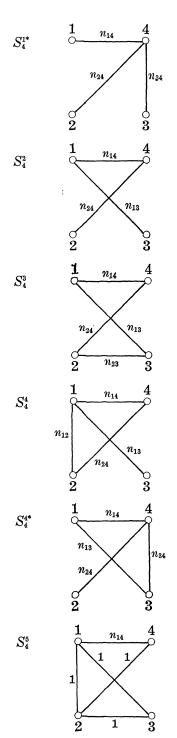
(3.2)
$$\begin{cases} m \le 4 \\ \sum_{1 \le i < j \le m} m_{ij} + \sum_{1 \le i \le m} \frac{1}{2} m_{i,m+1} \le 8 - m \end{cases}$$

III) for each skeleton S or \mathfrak{S} obtained in I) or II) find (up to isomorphism) all the N-algebras whose diagrams are isomorphic to S or \mathfrak{S} .

The answers to the above problems I) and II) are given in the following two propositions, the proofs of which are quite elementary but tedious; so we may omit them.

PROPOSITION 3.5. All the connected skeletons of type I satisfying (3.1) are (up to isomorphism) as follows;





$$\begin{cases} 3 \leq n_{14} + n_{24} + n_{34} \leq 6 \ n_{34} \leq n_{24} \leq n_{14} \end{cases}$$

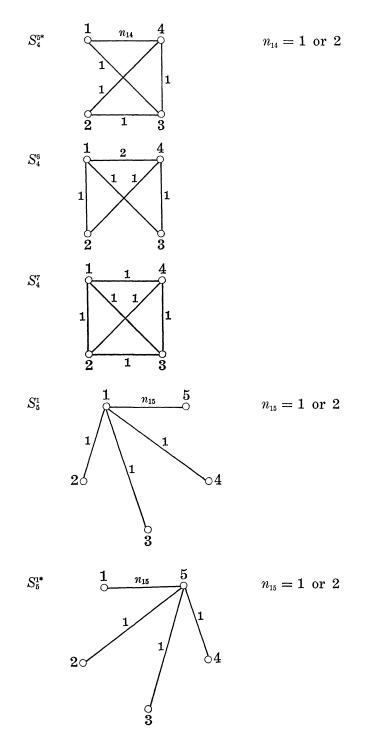
$$3 \leq n_{13} + n_{24} + n_{14} \leq 6$$

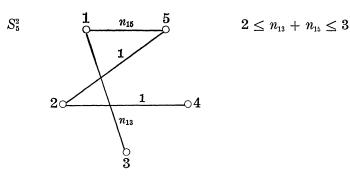
 $egin{cases} 4 \leq n_{13} + n_{14} + n_{23} + n_{24} \leq 6 \ \mathrm{Max}\,(n_{13},n_{23},n_{24}) \leq n_{14} \end{cases}$

 $egin{cases} 4 \leq n_{12} + n_{13} + n_{24} + n_{14} \leq 6 \ \mathrm{Max}\,(n_{12},n_{24}) \leq n_{14} \end{cases}$

 $egin{cases} 4 \leq n_{13} + n_{14} + n_{24} + n_{34} \leq 6 \ \mathrm{Max}\,(n_{13},n_{34}) \leq n_{14} \end{cases}$

 $n_{14} = 1$ or 2





5 9

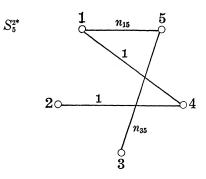
n₁₄

v4

 n_{15}

1

b 3



1

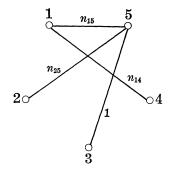
 $2^{n_{25}}$



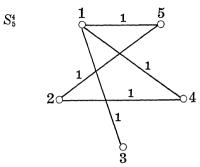
 $3 \le n_{\scriptscriptstyle 14} + \, n_{\scriptscriptstyle 15} + \, n_{\scriptscriptstyle 25} \le 4$

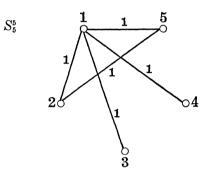


 S^3_5



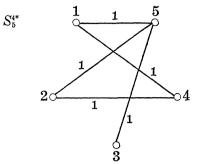
 $3 \le n_{14} + n_{15} + n_{25} \le 4$

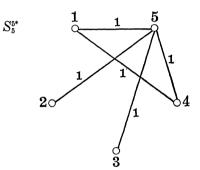


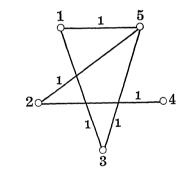


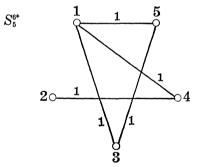
 S_5^6

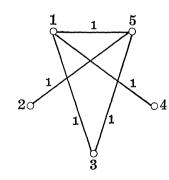
 S_5^7



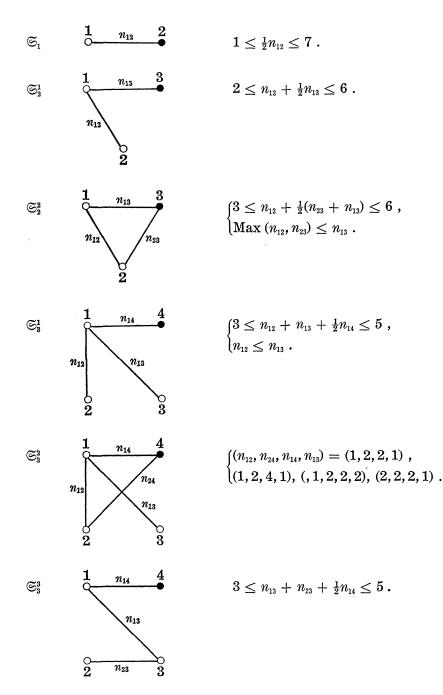


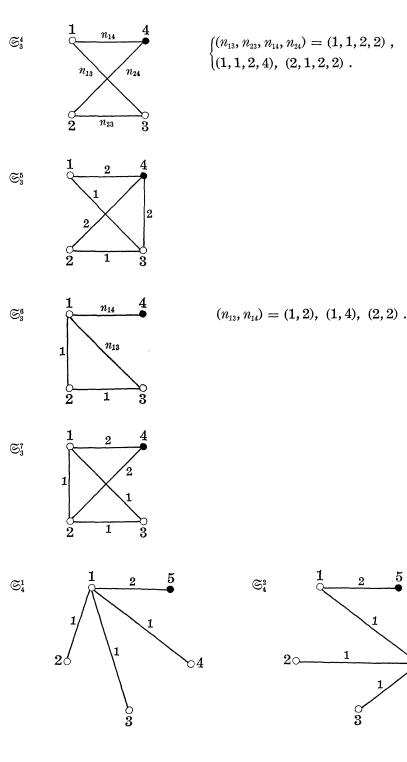






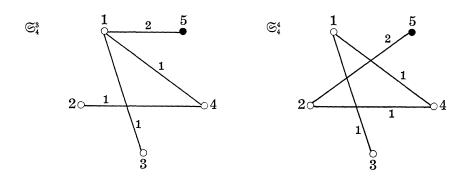
PROPOSITION 3.6. All the connected skeletons of type II satisfying (3.2) are (up to isomorphism) as follows;





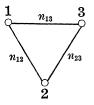


 $^{\circ}4$



§ 4. Some lemmas on N-algebras





and we consider a real square matrix $A = (a_{(ij)(k\ell)})$ of degree $n_{12}n_{23}$, where $1 \leq i, k \leq n_{12}, 1 \leq j, \ell \leq n_{23}$, (The double indices (*ij*) should be put in the lexicographic order) satisfying the following conditions

$$(4.1) a_{(ij)(k\ell)} = a_{(k\ell)(ij)},$$

(4.2)
$$a_{(ij)(k\ell)} + a_{(i\ell)(kj)} = \frac{2}{n_2} \delta_{ik} \delta_{j\ell}$$

where $n_2 = 1 + \frac{1}{2}(n_{12} + n_{23})$,

Such a matrix A is not uniquely determined in general and may contain several parameters t_1, \dots, t_s which are indeterminate coefficients of A. So we write A_t for A, where $t = (t_1, \dots, t_s)$. The matrix A_t is called the *Grammian* of S. Let B be an $n_{12}n_{23} \times n_{13}$ real matrix and consider the matrix equation with B as its indeterminate

It can be easily seen that the equation (4.4) has a solution if and only if rank $A_t \leq n_{13}$. Let $B_t = (b_{ij}^k)$ be a solution of (4.4), where $1 \leq i \leq n_{12}$,

 $1 \leq j \leq n_{23}$, and $1 \leq k \leq n_{13}$. Let $N = N_{12} + N_{23} + N_{13}$ be the orthogonal direct sum of the euclidean vector spaces N_{ij} with dim $N_{ij} = n_{ij}$ $(1 \leq i < j \leq 3)$. Then, for fixed orthonormal bases $\{e_{ij}^k\}$ of N_{ij} we define a multiplication in N as follows:

(4.5)
$$\begin{cases} e_{12}^{i}e_{23}^{j} = \sum_{k=1}^{n_{13}} b_{ij}^{k}e_{13}^{k}, & 1 \le i \le n_{12}, \ 1 \le j \le n_{23}, \\ e_{ij}^{k}e_{\ell m}^{h} = 0 & \text{for } j \ne \ell. \end{cases}$$

LEMMA 4.1. With respect to the multiplication (4.5) the euclidean vector space N is an N-algebra of type I having S as its diagram. Every N-algebra of type I of rank 3 having S as its diagram can be obtained in this way, provided that the value of the parameter t is suitably chosen.

Proof. The multiplication (4.5) satisfies (N1) and (N2). The associativity and (N5) are also trivially satisfied. Let \langle , \rangle be the inner product of N. Using (4.4) and (4.5) we have

$$egin{aligned} &\langle e^i_{12} e^j_{23}, e^k_{12} e^e_{23}
angle + \langle e^i_{12} e^e_{23}, e^k_{12} e^j_{23}
angle \ &= \sum_s \, b^s_{ij} b^s_{k\ell} + \sum_s \, b^s_{i\ell} b^s_{kj} = a_{_{(ij)(k\ell)}} + a_{_{(i\ell)(kj)}} \ &= rac{2}{n_2} \delta_{ik} \delta_{j\ell} \;, \end{aligned}$$

which proves (N4''). The first assertion of the lemma was thus proved. Let $N = N_{12} + N_{23} + N_{13}$ be an N-algebra of type I of rank 3 with S as its diagram, and let $\{e_{ij}^k\}$ be an orthonormal base of N_{ij} . We define the matrix $A = (a_{(ij)(k\ell)})$ by putting $a_{(ij)(k\ell)} = \langle e_{12}^i e_{23}^j, e_{12}^k e_{23}^\ell \rangle$. Then A satisfies (4.1)-(4.3) and coincides with the Grammian A_t of S for a fixed value of the parameter t. Since $e_{12}^i e_{23}^j$ is written in the form $\sum_{k=1}^{n_{13}} c_{ij}^k e_{13}^k$, we have $a_{(ij)(k\ell)} = \sum_s c_{ij}^s c_{k\ell}^s$, which implies $A = B_0^t B_0$, where $B_0 = (c_{ij}^k)$. This means that the matrix B_0 of the structure constants of N is a solution of (4.4). q.e.d.

For an N-algebra N having S as its diagram, the matrix $A = (\langle e_{12}^i e_{23}^j, e_{12}^k e_{23}^\ell \rangle)$ is called the *Grammian* of N with respect to the orthonormal bases $\{e_{ij}^k\}$. In what follows, an N-algebra N having S as its diagram is often called an N-algebra corresponding to S.

LEMMA 4.2. Let $N = N_{12} + N_{23} + N_{13}$ and $N' = N'_{12} + N'_{23} + N'_{13}$ be two N-algebras of type I corresponding to S. Let $\{e_{ij}^k\}$ (resp. $\{e_{ij}'\}$) be an orthonormal base of N_{ij} (resp. N'_{ij}) $(1 \le i < j \le 3)$, and let $B = (b_{ij}^k)$ (resp. $B' = (b_{ij}'^k)$) be the matrix of the structure constants of N (resp. N') with respect to these bases. Then N is isomorphic to N' if and only if there exist matrices $T_1 \in O(n_{12})$, $T_2 \in O(n_{23})$ and $T_3 \in O(n_{13})$ such that

$$(4.6) (T_1 \otimes T_2)B = B'T_3.$$

Proof. Suppose that there exist such matrices T_1, T_2 and T_3 . Put $T_1 = (\alpha_{ki}), T_2 = (\beta_{ij})$ and $T_3 = (\gamma_{ts})$. By (4.6) we have

$$\sum_{s} b_{ij}^{s} \gamma_{ts} = \sum_{\ell,k} \alpha_{ki} \beta_{\ell j} b_{k\ell}^{\prime t}$$

We define the linear isometry φ of N onto N' by $\varphi|N_{ij} = \varphi_{ij}$, where $\varphi_{12}(e_{12}^i) = \sum_k \alpha_{ki} e_{12}'^k$, $\varphi_{23}(e_{23}^j) = \sum_\ell \beta_{\ell j} e_{23}'^\ell$ and $\varphi_{13}(e_{13}^k) = \sum_s \gamma_{sk} e_{13}'^s$. Then φ is an isomorphism of N onto N'; in fact

$$arphi(e_{12}^i)arphi(e_{23}^j) = \sum_{k,\ell} lpha_{ki} eta_{\ell j} e_{12}^{\prime k} e_{23}^{\prime \ell} = \sum_{k,\ell,t} lpha_{ki} eta_{\ell j} b_{kl}^{\prime \ell} e_{13}^{\prime t} \ = \sum_{s,t} b_{ij}^s \gamma_{ts} e_{13}^{\prime t} = arphi(e_{12}^i e_{23}^j) \; .$$

The "only if" part is analogously proved.

q.e.d.

LEMMA 4.3. Let B_1 and B_2 be $n \times m$ real matrices such that $B_1^tB_1 = B_2^tB_2$. Then there exists a matrix $T_2 \in O(m)$ such that $B_2 = B_1T_2$.

Proof. Let us put $A = B_1^t B_1$. Let $\{\alpha_1^2, \dots, \alpha_s^2\}$ be the set of all nonzero different eigenvalues of A. We assume that $\alpha_1 > \alpha_2 > \dots > \alpha_s > 0$. There exists a matrix $U \in O(n)$ such that $A = UD^t U$, where

(4.7)
$$D = \begin{pmatrix} \alpha_1^2 E_{n_1} & & \\ & \ddots & \\ & & \alpha_s^2 E_{n_s} \\ & & & 0 \end{pmatrix}$$

Noting that rank $A \leq n, m$, we define the $n \times m$ real matrix D_0 as

$$D_0 = \begin{pmatrix} \alpha_1 E_{n_1} & & \\ & \ddots & \\ & & \alpha_s E_{n_s} \\ & & & 0 \end{pmatrix}$$

and put $B_0 = UD_0$. Then $A = B_0^t B_0$ holds^{*)}. So, in proving the lemma,

^{*)} This method of finding B_0 will be used in the proofs of the propositions 5.3, 6.3, 6.4, 6.5, 6.6 in order to find a solution B of (4.4). A simpler proof of Lemma 4.3 was kindly informed us by the referee.

without loss of generality we can assume that $B_1 = B_0$. Since $B_0^t B_0 = B_2^t B_2$, there exist the matrices $T \in O(n)$ and $T' \in O(m)$ such that $B_2 = TB_0T'$, as is known in the matrix theory. We have TA = AT; in fact $TA^tT = T(B_0^t B_0)^t T = TB_0^t (TB_0) = (B_2^t T')^t (B_2^t T') = A$. So, putting $Y = {}^t UTU$, we have YD = DY (cf. [2]). Since D is the diagonal matrix given by (4.7) and commutes with Y, it follows from the direct verification that Y is written in the form

$$Y = \begin{pmatrix} X_1 & & \\ & X_2 & & \\ & \ddots & & \\ & & X_s & \\ & & & X_{s+1} \end{pmatrix}$$

where X_i $(1 \le i \le s)$ is a matrix of degree n_i . By the definition of Y each X_i is an orthogonal matrix. We define the orthogonal matrix T'_1 of degree m by

$$T_1' = egin{pmatrix} X_1 & & & \ & X_2 & & \ & \ddots & & \ & & X_s & \ & & & E_k \end{bmatrix}$$
 ,

where $k = m - (n_1 + n_2 + \dots + n_s)$. Then an easy computation shows that $YD_0 = D_0T'_1$. Therefore $B_2 = TB_0T' = (UY^tU)(UD_0)T' = UYD_0T' = UD_0T'_1T' = B_0T_2$, where $T_2 = T'_1T'$. q.e.d.

COROLLARY 4.4. Let N and N' be two N-algebras of type I corresponding to the skeleton S. Let A (resp. A') be the Grammian of N (resp. N') for some fixed orthonormal bases. If A = A', then N is isomorphic to N'.

Proof. Let B (resp. B') be the matrix of the structure constants of N (resp. N') with respect to the given bases. Then, by the assumption, we have $B^{t}B = B'^{t}B'$. By Lemma 4.3 there exists an orthogonal matrix T_{3} such that $B' = BT_{3}$. Hence the corollary is immediate from Lemma 4.2.

PROPOSITION 4.5. Let A_t be the Grammian of the skeleton S with $n_{12} = 2$ or $n_{23} = 2$. Let N_1 and N_2 be the N-algebras of type I, having

S as their diagrams, which correspond to fixed values s_1 and s_2 of the parameter t, respectively. Then N_1 and N_2 are isomorphic if and only if the Grammians A_{s_1} and A_{s_2} have the same eigenvalues.

Proof. Suppose that N_1 is isomorphic to N_2 . Then the assertion is an immediate consequence of (4.6). To prove the converse, let us first consider the case of $n_{12} = 2$. Then, taking (4.1) and (4.2) into account, we can see that the Grammian A_t is written as

$$egin{pmatrix} rac{1}{n_2} E_{n_{23}} & - ilde{A}_t \ & & \ ilde{A}_t & rac{1}{n_2} E_{n_{23}} \end{pmatrix}$$
 ,

where \tilde{A}_t is a skew-symmetric matrix depending on the parameter t. Hence we can write A_t in the form

$$A_{\iota} = egin{pmatrix} 0 & -1 \ 1 & 0 \end{pmatrix} \otimes ilde{A}_{\iota} + rac{1}{n_2} E_{{}_{2n_{23}}}$$

for each t. Consequently, from the assumption of the proposition it follows that the skew-symmetric matrices \tilde{A}_{s_1} and \tilde{A}_{s_2} have the same eigenvalues. So there exists a matrix $T \in O(n_{23})$ such that $T\tilde{A}_{s_1}{}^tT = \tilde{A}_{s_2}$. Let B_{s_i} (i = 1, 2) be the matrix of the structure constants of N_i with respect to some orthonormal bases. Then B_{s_i} is a solution of the equation (4.4) for $t = s_i$ (i = 1, 2). Putting $B' = (E_2 \otimes T)B_{s_1}$, we have $B'{}^tB' = A_{s_2}$. Hence, by Lemma 4.3, there exists an orthogonal matrix T' such that $B' = B_{s_2}T'$. We have thus $(E_2 \otimes T)B_{s_1} = B_{s_2}T'$, which implies that N_1 and N_2 are isomorphic (cf. Lemma 4.2).

Next, let us consider the case of $n_{23} = 2$. Then, taking (4.1) and (4.2) into account, the Grammian A_t is seen to be

$$A_t = ilde{A}_t \otimes egin{pmatrix} 0 & -1 \ 1 & 0 \end{pmatrix} + rac{1}{n_2} E_{{\scriptscriptstyle 2} n_{12}}$$
 ,

where \tilde{A}_t is a skew-symmetric matrix depending on the parameter t. Hence, by the same way as in the case of $n_{12} = 2$, we can conclude that N_1 is isomorphic to N_2 . q.e.d.

4.2. Let us consider the following two skeletons



and let $N = N_{12} + N_{23} + N_{13}$ be an N-algebra of type I corresponding to S; let $B = (b_{ij}^k)$ be the matrix of the structure constants of N relative to orthonormal bases $\{e_{ij}^k\}$ of N_{ij} . Then we have

LEMMA 4.6. The N-algebra N of type I is that of type II corresponding to the skeleton \mathfrak{S} if and only if there exist matrices $J_{13} \in O(n_{13})$ and $J_{23} \in O(n_{23})$ such that

(4.8)
$$\begin{cases} J_{13}^2 = -E_{n_{13}}, \quad J_{23}^2 = -E_{n_{23}}, \\ (E_{n_{12}} \otimes J_{23})B = BJ_{13}; \end{cases}$$

in this case the complex structure of N is given by the pair (J_{13}, J_{23}) .

Proof. Suppose that there exist such matrices J_{13} and J_{23} . Put $J_{13} = (\alpha_{ts})$, $J_{23} = (\beta_{tj})$. Then, from (4.8) we have

$$\sum_{s} b^{s}_{ij} lpha_{ts} = \sum_{k,\ell} \delta_{ki} eta_{\ell j} b^{t}_{k\ell} = \sum_{\ell} \beta_{\ell j} b^{t}_{i\ell} \; .$$

Let j_{i3} (i = 1, 2) be the orthogonal transformation defined by J_{i3} with respect to the bases $\{e_{ij}^k\}$. Then the above equality implies $j_{13}(e_{12}^ie_{23}^j) = e_{12}^i(j_{23}e_{23}^j)$. And the pair (j_{13}, j_{23}) is the desired complex structure on N (cf. Definition 2.3). The converse is immediate. q.e.d.

In view of the above lemma we can regard the complex structure of the N-algebra N of type II as the pair (J_{13}, J_{23}) of the orthogonal matrices satisfying (4.8).

DEFINITION 4.7. Let N be an N-algebra of type I corresponding to the skeleton S, and let B be the matrix of the structure constants with respect to orthonormal bases. Let $J = (J_{13}, J_{23})$ and $J' = (J'_{13}, J'_{23})$ be two complex structures on N. Then J is said to be *equivalent* to J' (or, simply denoted by $J \sim J'$) if there exist three matrices $T_1 \in O(n_{12})$, $T_2 \in O(n_{23})$ and $T_3 \in O(n_{13})$ such that

$$(T_1 \otimes T_2)B = BT_3$$
 , $T_2 J_{23} = J_{23}' T_2$,

and

$$T_{3}J_{13} = J_{13}'T_{3}$$
.

This is obviously an equivalence relation. From Lemma 4.2 and Definition 2.5 we have immediately

LEMMA 4.8. Let (N, J) and (N, J') be two N-algebra structures of type II on the N-algebra N of type I in Definition 4.7. Then (N, J) is isomorphic to (N, J') if and only if J is equivalent to J'.

LEMMA 4.9. Let $(S, (n_{ij}))$ (resp. $(\mathfrak{S}, (m_{ij})))$ be an *m*-skeleton of type *I* (resp. type *II*) satisfying either the condition (P) or (P');

(P) for each triple (i, j, k) of vertices such that i < j < k, the condition $i \not\sim j$ or $j \not\sim k$ is valid.

(P') $m \leq 2$ for $(S, (n_{ij}))$ (resp. m = 1 for $(\mathfrak{S}, (m_{ij}))$).

Then there exists a unique N-algebra of type I (resp. type II) corresponding to $(S, (n_{ij}))$ (resp. $(\mathfrak{S}, (m_{ij}))$); in this case the product of any two elements is always zero.

Proof. We will prove the lemma only for the case that $(\mathfrak{S}, (m_{ij}))$ satisfies (P), since other cases are similar. Let $N = \sum_{1 \leq i < j \leq m+1} N_{ij}$ be an euclidean vector space such that the right-hand side is the orthogonal direct sum of N_{ij} 's, where dim $N_{ij} = m_{ij}$. Since N must satisfy (N4) and (N2), it follows from (P) that the product of two elements of N should be zero; with this multiplication, N is an N-algebra of type I. Since $m_{i,m+1}$ is even, we can find a complex structure $j_{i,m+1}$ on $N_{i,m+1}$ $(1 \leq i \leq m)$ which leaves the given inner product invariant. N is thus an N-algebra of type II corresponding to $(\mathfrak{S}, (m_{ij}))$. If we change the inner product and the complex structure to another, then the N-algebra structure of type II remains isomorphic, since two hermitian vector spaces of the same dimension are isomorphic.

Remark 4.10. Suppose that there exists a unique N-algebra N corresponding to a given skeleton S of type I or type II. Then every N-algebra whose diagram is isomorphic to S is isomorphic to N.

§ 5. Classification of *N*-algebras of type I

Throughout this section we will call, for brevity, an N-algebra of type I an N-algebra. Let $\{e_{ij}^k\}$ always denote an orthonormal base of the euclidean space N_{ij} and \langle , \rangle denote the inner product of an N-algebra. As a corollary to Lemma 4.9 we have

PROPOSITION 5.1. There exists a unique N-algebra N whose diagram is one of the skeletons $S_1, S_2, S_3^1, S_3^{1*}, S_4^1, S_4^{1*}, S_4^2, S_5^3, S_5^1, S_5^2, S_5^3, S_5^3, S_5^4, S_5^4, S_5^4, S_5^4$ in Proposition 3.5; the product of any two elements is zero.

PROPOSITION 5.2. There exists a unique N-algebra whose diagram is S_3^2 with $n_{12} = 1$ or $n_{23} = 1$; the multiplications are as follows;

(5.1)
$$e_{12}^{i}e_{23}^{i} = \sqrt{\frac{2}{n_{23}+3}}e_{13}^{i} \quad (1 \le i \le n_{23}) \quad for \ n_{12} = 1 ,$$
$$e_{12}^{i}e_{23}^{1} = \sqrt{\frac{2}{n_{12}+3}}e_{13}^{i} \quad (1 \le i \le n_{12}) \quad for \ n_{23} = 1 .$$

Proof. We consider only the case of $n_{12} = 1$. The Grammian A_t of the skeleton is given by $(2/(n_{23} + 3))E_{n_{23}}$. The $n_{23} \times n_{13}$ matrix $B = \sqrt{2/(n_{23} + 3)}$ $(E_{n_{23}}, 0)$ is a solution of the equation (4.4), from which we get the multiplication (5.1). Since the Grammian A_t is a constant matrix, the uniqueness follows from Corollary 4.4 and Lemma 4.1. q.e.d.

PROPOSITION 5.3. There exists a unique N-algebra whose diagram is S_3^2 with $n_{12} = n_{23} = 2$ and $n_{13} = 3$; the multiplication is as follows;

(5.2)
$$\begin{cases} e_{12}^{1}e_{23}^{1} = \frac{1}{\sqrt{3}}e_{13}^{1}, \quad e_{12}^{2}e_{23}^{1} = \frac{1}{\sqrt{3}}e_{13}^{2}, \\ e_{12}^{1}e_{23}^{2} = \frac{1}{\sqrt{3}}e_{13}^{2}, \quad e_{12}^{2}e_{23}^{2} = -\frac{1}{\sqrt{3}}e_{13}^{1}. \end{cases}$$

Proof. Putting $a_{(12)(21)} = t$, the Grammian A_t of the given skeleton is

(5.3)
$$A_t = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 0 & t \\ -t & 0 \end{pmatrix} + \frac{1}{3}E_4 .$$

The eigenvalues of A_t are $\frac{1}{3} \pm t$ both with multiplicity two. As we remarked in §4, the equation (4.4) has a solution if and only if rank $A_t \leq n_{13} = 3$, from which we get $t = \pm \frac{1}{3}$. We have thus two Grammians $A_{1/3}$ and $A_{-1/3}$. Since $A_{1/3}$ and $A_{-1/3}$ have the same eigenvalues, the corresponding two N-algebra structures are isomorphic (cf. Proposition 4.5). The uniqueness in the proposition follows from this and Lemma 4.1. Put

$$B_{1/3} = rac{1}{\sqrt{3}} egin{pmatrix} 1 & 0 & 0 \ 0 & 1 & 0 \ 0 & 1 & 0 \ -1 & 0 & 0 \end{pmatrix}$$

Then $B_{1/3}$ is a solution of the equation $A_{1/3} = B^{t}B$, from which we get (5.2). q.e.d.

A result of Vinberg [9] shows that there exists a unique N-algebra corresponding to S_3^2 with $n_{12} = n_{23} = n_{13} = 2$ and that the multiplication is given by (5.2).

PROPOSITION 5.4. There exists a unique N-algebra whose diagram is one of the skeletons S_4^4 , S_5^4 , S_5^5 , S_5^5 , S_5^6 , S_5^{6*} and S_5^7 in Proposition 3.5.

Proof. Note that for $S_4^4 n_{12}$ or n_{24} is equal to one and that for $S_4^{4*} n_{13}$ or n_{34} is equal to one. The proposition is easily seen from the proof of Proposition 5.2 and Lemma 4.9. q.e.d.

Letting a be an element of an N-algebra N, we denote by L_a (resp. R_a) the left (resp. right) multiplication by a in the N-algebra N.

PROPOSITION 5.5. There exists a unique N-algebra corresponding to the skeleton S_4^5 (resp. S_4^{5*}); the multiplication is as follows;

(5.4)
$$\begin{cases} e_{12}^{1}e_{23}^{1} = \sqrt{\frac{2}{5}}e_{13}^{1} ,\\ e_{12}^{1}e_{24}^{1} = \sqrt{\frac{2}{5}}e_{14}^{1} ,\\ e_{13}^{1}e_{34}^{1} = \sqrt{\frac{2}{5}}e_{14}^{1} ,\\ e_{23}^{1}e_{34}^{1} = \sqrt{\frac{2}{5}}e_{14}^{1} ,\\ e_{23}^{1}e_{34}^{1} = \sqrt{\frac{2}{5}}e_{24}^{1} .\end{cases}$$

Proof. We give the proof only for the case of S_4^5 . Let $N = N_{12} + N_{23} + N_{13} + N_{24} + N_{14}$ be the orthogonal direct sum of the euclidean vector spaces N_{ij} of dimension n_{ij} , where $n_{12} = n_{23} = n_{13} = n_{24} = 1$ and $n_{14} = 1$ or 2. Suppose that N has the algebra structure whose multiplication is given by (5.4) and (N2). Then it is easy to see that N is an N-algebra having S_4^5 as its diagram.

Let $N' = \sum N'_{ij}$ be another N-algebra corresponding to S_4^5 . The subspaces $M = N_{12} + N_{24} + N_{14}$ and $M' = N'_{12} + N'_{24} + N'_{14}$ are subalgebras of N and N', respectively, whose multiplications satisfy (N4). Hence, as is seen from the proof of Proposition 5.2 there exists an algebra isomorphism φ_1 of M onto M', which is also isometric and grade-preserving. Let φ_2 be a natural isometry of the vector space N_{23} onto N'_{23} . The right

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multiplication $R_{e_{23}}$ is a bijection of N_{12} onto N_{13} by virtue of (N4). Let φ be a linear isomorphism of N onto N' defined by

$$arphi = egin{cases} arphi_1 & ext{ on } & M ext{ ,} \ arphi_2 & ext{ on } & N_{23} ext{ ,} \ R_{arphi_2(e_{13}^1)} \circ arphi_1 \circ R_{e_{23}^1}^{-1} & ext{ on } & N_{13} ext{ .} \end{cases}$$

Then φ is an isometry and isomorphism; in fact, $\varphi(e_{12}^1e_{23}^1) = R_{\varphi_2(e_{23}^1)} \circ \varphi_1$ $\circ R_{e_{23}^1}^{-1}(e_{12}^1e_{23}^1) = \varphi_1(e_{12}^1)\varphi_2(e_{23}^1) = \varphi(e_{12}^1)\varphi(e_{23}^1).$ q.e.d.

PROPOSITION 5.6. There exists a unique N-algebra corresponding to the skeleton S_4^6 ; the multiplication is given by

(5.5)
$$\begin{cases} e_{12}^{1}e_{24}^{1} = \frac{1}{\sqrt{2}}e_{14}^{1} ,\\ e_{13}^{1}e_{34}^{1} = \frac{1}{\sqrt{2}}e_{14}^{2} . \end{cases}$$

Proof. Let $N = N_{12} + N_{24} + N_{14} + N_{13} + N_{34}$ be the orthogonal direct sum of the euclidean vector spaces N_{ij} of dimension n_{ij} , where $n_{12} = n_{24} = n_{13} = n_{34} = 1$ and $n_{14} = 2$. We can easily see that if the multiplication (5.5) and (N2) is given to N, then N is an N-algebra corresponding to S_4^6 .

Let $N' = \sum N'_{ij}$ be another N-algebra corresponding to S_4^6 . Put $M_1 = N_{13} + N_{34} + N_{13}N_{34}$, $M_2 = N_{12} + N_{24} + N_{12}N_{24}$, $M'_1 = N'_{13} + N'_{34} + N'_{13}N'_{34}$ and $M'_2 = N'_{12} + N'_{24} + N'_{12}N'_{24}$. Then M_1 and M_2 (resp. M'_1 and M'_2) are ideals of N (resp. N'). By (N5) we have $\langle N_{13}N_{34}, N_{12}N_{24} \rangle = \langle N'_{13}N'_{34}, N'_{12}N'_{24} \rangle$ = 0. So N (resp. N') is the direct sum of ideals M_1 and M_2 (resp. M'_1 and M'_2). On the other hand, from the proof of Proposition 5.2, it follows that there exists an algebra isomorphism φ_i of M_i onto M'_i (i =1,2) which is also isometric and grade-preserving. The map φ of N onto N' defined by $\varphi | M_i = \varphi_i$ (i = 1, 2) is an isomorphism of N onto N'.

q.e.d.

According to Vinberg [9] there exists a unique N-algebra corresponding to S_4^7 ; the multiplication is given by

$$\left\{egin{array}{ll} e_{12}^1e_{23}^1=\sqrt{rac{2}{5}}e_{13}^1\ , & e_{13}^1e_{34}^1=\sqrt{rac{2}{5}}e_{14}^1\ , \ e_{12}^1e_{24}^1=\sqrt{rac{2}{5}}e_{14}^1\ , & e_{23}^1e_{34}^1=\sqrt{rac{2}{5}}e_{24}^1\ . \end{array}
ight.$$

In view of the results in this section and Remark 4.10 we have

worked out the classification of N-algebras of type I of the problem III) in §3.

§ 6. Classification of N-algebras of type II

Throughout this section, for simplicity, we will call an N-algebra of type II an N-algebra. $I(\varepsilon)$ denotes the matrix $\begin{pmatrix} 0 & -\varepsilon \\ \varepsilon & 0 \end{pmatrix}$ for $\varepsilon = \pm 1$. Let A and B be arbitrary two matrices. Then we define the direct sum $A \oplus B$ by $\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$. In following each proposition, complex structures and multiplications are represented with respect to the same orthonormal bases $\{e_{ij}^k\}$.

As a corollary to Lemma 4.9 we get

PROPOSITION 6.1. There exists a unique N-algebra whose diagram is one of the skeletons $\mathfrak{S}_1, \mathfrak{S}_2^1, \mathfrak{S}_3^1, \mathfrak{S}_3^4, \mathfrak{S}_4^1, \mathfrak{S}_4^2, \mathfrak{S}_4^3, \mathfrak{S}_4^4$ in Proposition 3.6.

PROPOSITION 6.2. There exists a unique N-algebra whose diagram is \mathfrak{S}_2^2 with $n_{12} = 1$. Furthermore the multiplication is given by (5.1) and the complex structure is represented as follows;

$$J_{i3} = I(1) \oplus \cdots \oplus I(1) \qquad \left(rac{n_{i3}}{2} - copies
ight), \quad i = 1, 2 \; .$$

Proof. By Proposition 5.2, there exists a unique N-algebra N of type I whose diagram is S with $n_{12} = 1$ in §4 and the matrix B of the structure constants is given by $B = \sqrt{(2/(n_{23} + 3))}(E_{n_{23}}0)$. Let $J_{13} \in O(n_{13})$ and $J_{23} \in O(n_{23})$ and let us decompose J_{13} into submatrices as follows;

$${J}_{13}=egin{pmatrix} {J}_{13}^{(1)}&{J}_{13}^{(3)}\ {J}_{13}^{(4)}&{J}_{13}^{(2)} \end{pmatrix}$$
 ,

where $J_{13}^{(1)}$ (resp. $J_{13}^{(2)}$) is a square matrix of degree n_{23} (resp. $n_{13} - n_{23}$). Then it is verified that $J = (J_{13}, J_{23})$ satisfies (4.8) if and only if $J_{13}^{(1)} = J_{23}, J_{13}^{(3)} = 0, J_{13}^{(4)} = 0, J_{13}^{(1)2} = -E_{n_{23}}, J_{13}^{(2)2} = -E_{n_{13}-n_{23}}$. We define $\tilde{J} = (\tilde{J}_{13}, \tilde{J}_{23})$ satisfying (4.8) by

$${ ilde J}_{i\scriptscriptstyle 3}=I(1)\oplus\cdots\oplus I(1) \qquad \left(rac{n_{i\scriptscriptstyle 3}}{2}-{
m copies}
ight), \ \ i=1,2 \; .$$

It can be seen that there exist two matrices $T_1 \in O(n_{23})$ and $T_2 \in O(n_{13} - n_{23})$ such that

$${T}_{1}{J}_{23}={ ilde J}_{23}{T}_{1}$$
 , $({T}_{1}\oplus {T}_{2}){J}_{13}={ ilde J}_{13}({T}_{1}\oplus {T}_{2})$.

Since $T_1B = B(T_1 \oplus T_2)$, it follows from Lemma 4.8 that (N, J) is isomorphic to (N, \tilde{J}) . q.e.d.

PROPOSITION 6.3. There exists a unique N-algebra whose diagram is \mathfrak{S}_2^2 with $(n_{12}, n_{23}, n_{13}) = (2, 2, 2)$. The multiplication is given by (5.2) and the complex structure is represented by $J_{13} = J_{23} = I(1)$.

Proof. As we remarked before Proposition 5.4, there exists a unique N-algebra N of type I whose diagram is S_3^2 with $(n_{12}, n_{23}, n_{13}) = (2, 2, 2)$ in Proposition 3.5 and the matrix B of the structure constants is given by

$$B = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 0\\ 0 & 1\\ 0 & 1\\ -1 & 0 \end{bmatrix}$$

Let $J = (J_{13}, J_{23})$ be a pair of the orthogonal matrices of degree 2. Then J satisfies (4.8) if and only if $J_{13} = J_{23} = I(\varepsilon)$. Put $J^{(\varepsilon)} = (J_{13}^{(\varepsilon)}, J_{23}^{(\varepsilon)})$, where $J_{13}^{(\varepsilon)} = J_{23}^{(\varepsilon)} = I(\varepsilon)$. Then $J^{(1)}$ is equivalent to $J^{(-1)}$. In fact, $T = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ satisfies the equalities $TJ_{i3}^{(1)} = J_{i3}^{(-1)}T$ (i = 1, 2) and $(T \otimes T)B = BT$. q.e.d.

PROPOSITION 6.4. The N-algebras whose diagrams are \mathfrak{S}_2^2 with $(n_{12}, n_{23}, n_{13}) = (2, 2, 4)$ or (2, 2, 6) are effectively parametrized by the closed interval $[0, \frac{1}{3}]$; the multiplication and the complex structure of the N-algebra N_t corresponding to $t \in [0, \frac{1}{3}]$ are given as follows;

$$e_{12}^1 e_{23}^1 = \lambda e_{13}^1 + \mu e_{13}^3$$
, $e_{12}^2 e_{23}^1 = \lambda e_{13}^2 - \mu e_{13}^4$,
 $e_{12}^1 e_{23}^2 = \lambda e_{13}^2 + \mu e_{13}^4$, $e_{12}^2 e_{23}^2 = -\lambda e_{13}^1 + \mu e_{13}^3$

where $\lambda = \sqrt{(1+3t)/6}$, $\mu = \sqrt{(1-3t)/6}$.

$$J_{13} = egin{cases} I(1) \oplus I(1) & for \ n_{13} = 4 \ I(1) \oplus I(1) \oplus I(1) & for \ n_{13} = 6 \end{cases}, \ J_{23} = I(1) \; .$$

Proof. First we show that N-algebras of type I whose diagrams are S in §4 satisfying $(n_{12}, n_{23}, n_{13}) = (2, 2, 4)$ or (2, 2, 6) are effectively parametrized by $[0, \frac{1}{3}]^{(*)}$. The Grammians A_t of these two skeletons are the

^{*)} The existence of a one-parameter family of non-isomorphic N-algebras of type I corresponding to S with $(n_{12}, n_{23}, n_{13}) = (2, 2, 4)$ has been stated in Vinberg [8].

same as in (5.3) and the eigenvalues of A_t are $\frac{1}{3} \pm t$ both with multiplicity 2 (cf. Proposition 5.3). Since A_t is positive semi-definite, it follows that $-\frac{1}{3} \le t \le \frac{1}{3}$. Since rank $A_t \le n_{13} = 4$ or 6, the solutions of the equation (4.4) always exist and one of the solutions is given by

$$B_{t} = \begin{pmatrix} \lambda & 0 & \mu & 0 \\ 0 & \lambda & 0 & \mu \\ 0 & \lambda & 0 & -\mu \\ -\lambda & 0 & \mu & 0 \end{pmatrix} \qquad \text{for } n_{13} = 4 ,$$
$$B_{t} = \begin{pmatrix} \lambda & 0 & \mu & 0 & 0 & 0 \\ 0 & \lambda & 0 & \mu & 0 & 0 \\ 0 & \lambda & 0 & -\mu & 0 & 0 \\ -\lambda & 0 & \mu & 0 & 0 & 0 \end{pmatrix} \qquad \text{for } n_{13} = 6 ,$$

where $\lambda = \sqrt{(1+3t)/6}$, $\mu = \sqrt{(1-3t)/6}$. For a fixed $t \in [-\frac{1}{3}, \frac{1}{3}]$ let N_t be the N-algebra of type I with B_t as the matrix of the structure constants. Let $t, s \in [-\frac{1}{3}, \frac{1}{3}]$. Then it follows from Proposition 4.5 that N_t is isomorphic to N_s if and only if $t = \pm s$. It remains to determine the complex structures with respect to which N_t ($t \in [0, \frac{1}{3}]$) is an N-algebra of type II. Let $J_t = (J_{t13}, J_{t23})$ be a pair of the orthogonal matrices of degree n_{13} and 2, respectively.

Case I. Suppose $t \neq \frac{1}{3}$. Then J_t satisfies (4.8) if and only if it is written as follows;

$$J_{t_{13}} = \begin{cases} I(\varepsilon_1) \oplus I(\varepsilon_1) & \text{for } n_{13} = 4\\ I(\varepsilon_1) \oplus I(\varepsilon_1) \oplus I(\varepsilon_2) & \text{for } n_{13} = 6 \end{cases}, \ J_{t_{23}} = I(\varepsilon_1) ,$$

where $\varepsilon_1, \varepsilon_2 = \pm 1$. We put

$$T_{\scriptscriptstyle 1} = egin{pmatrix} 1 & 0 \ 0 & arepsilon_{\scriptscriptstyle 1} \end{pmatrix}, \hspace{0.2cm} T_{\scriptscriptstyle 2} = egin{pmatrix} T_{\scriptscriptstyle 1} \oplus T_{\scriptscriptstyle 1} \oplus T_{\scriptscriptstyle 1} \oplus egin{pmatrix} 1 & 0 \ 0 & arepsilon_{\scriptscriptstyle 2} \end{pmatrix} \hspace{0.2cm} ext{ for } n_{\scriptscriptstyle 13} = 4 \ T_{\scriptscriptstyle 1} \oplus T_{\scriptscriptstyle 1} \oplus egin{pmatrix} 1 & 0 \ 0 & arepsilon_{\scriptscriptstyle 2} \end{pmatrix} \hspace{0.2cm} ext{ for } n_{\scriptscriptstyle 13} = 6 \ . \end{cases}$$

Then, from the direct verification it follows that $T_1J_{t23} = J_{23}T_1$, $T_2J_{t13} = J_{13}T_2$ and $(T_1 \otimes T_1)B_t = B_tT_2$, which shows that $(J_{t13}, J_{t23}) \sim (J_{13}, J_{23})$ (cf. Definition 4.7).

Case II. Suppose $t = \frac{1}{3}$. Then J_t satisfies (4.8) if and only if $J_{t23} = I(\varepsilon_1)$ and

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$${J}_{\scriptscriptstyle t13} = egin{cases} I(arepsilon_1) \oplus I(arepsilon_2) & ext{ for } n_{\scriptscriptstyle 13} = 4 \ I(arepsilon_1) \oplus J'_{\scriptscriptstyle t13} & ext{ for } n_{\scriptscriptstyle 13} = 6 \ , \end{cases}$$

where $J'_{t13} \in O(4)$ and $J'^2_{t13} = -E_4$. There exists a matrix $T \in O(4)$ such that $TJ'_{t13} = (I(1) \oplus I(1))T$. Put

$$T_{\scriptscriptstyle 3} = egin{cases} T_{\scriptscriptstyle 1} \oplus \begin{pmatrix} 1 & 0 \ 0 & arepsilon_2 \end{pmatrix} & ext{ for } n_{\scriptscriptstyle 13} = 4 \ T_{\scriptscriptstyle 1} \oplus T & ext{ for } n_{\scriptscriptstyle 13} = 6 \ . \end{cases}$$

Then it can be seen that $T_1J_{123} = J_{23}T_1$, $T_3J_{113} = J_{13}T_3$ and $(T_1 \otimes T_1)B_{1/3} = B_{1/3}T_3$, which shows $(J_{113}, J_{123}) \sim (J_{13}, J_{23})$.

Thus it follows from Lemma 4.8 that there exists a unique complex structure J with respect to which N_t is of type II for each $t \in [0, \frac{1}{3}]$. q.e.d.

PROPOSITION 6.5. There exist two non-isomorphic N-algebras $(N, J^{(1)})$ and $(N, J^{(2)})$ corresponding to \mathfrak{S}_2^2 with $(n_{12}, n_{23}, n_{13}) = (2, 4, 4)$. They are isomorphic to each other as N-algebras of type I, but the complex structure $J^{(1)}$ and $J^{(2)}$ are not equivalent: the multiplication and the complex structures $J^{(1)}$ and $J^{(2)}$ are given by

(6.1)

$$\begin{cases}
e_{12}^{1}e_{23}^{1} = \frac{1}{2}e_{13}^{2} & e_{12}^{2}e_{23}^{1} = \frac{1}{2}e_{13}^{1} \\
e_{12}^{1}e_{23}^{2} = -\frac{1}{2}e_{13}^{1} & e_{12}^{2}e_{23}^{2} = \frac{1}{2}e_{13}^{2} \\
e_{12}^{1}e_{23}^{2} = \frac{1}{2}e_{13}^{4} & e_{12}^{2}e_{23}^{2} = \frac{1}{2}e_{13}^{3} \\
e_{12}^{1}e_{23}^{2} = -\frac{1}{2}e_{13}^{3} & e_{12}^{2}e_{23}^{4} = \frac{1}{2}e_{13}^{3} \\
e_{12}^{1}e_{23}^{4} = -\frac{1}{2}e_{13}^{2} & e_{12}^{2}e_{23}^{4} = \frac{1}{2}e_{13}^{4} \\
I = J_{13}^{(1)} = J_{23}^{(1)} = I(1) \oplus I(1) , \qquad J_{13}^{(2)} = J_{23}^{(2)} = I(1) \oplus I(-1) .
\end{cases}$$

Proof. The Grammian A_t of the skeleton S in § 4 with $(n_{12}, n_{23}, n_{13}) = (2, 4, 4)$ is

$$A_t = egin{pmatrix} 0 & -t_1 & -t_5 & -t_4 \ t_1 & 0 & -t_3 & -t_6 \ t_5 & t_3 & 0 & -t_2 \ t_4 & t_6 & t_2 & 0 \ \end{pmatrix}$$
 , $ilde{A}_t = egin{pmatrix} 0 & -t_1 & -t_5 & -t_4 \ t_1 & 0 & -t_3 & -t_6 \ t_5 & t_3 & 0 & -t_2 \ t_4 & t_6 & t_2 & 0 \ \end{pmatrix}$,

where $t = (t_1, \dots, t_{\theta})$. The characteristic polynomial of A_t is given as follows,

$$\det (xE_8 - A_t) = \left\{ (x - 1/4)^4 - (x - 1/4)^2 \sum_{1 \le k \le 6} t_k^2 + (t_1t_2 + t_3t_4 - t_5t_6)^2 \right\}^2.$$

Considering (4.3) and the fact that rank $A_t \leq n_{13} = 4$, we conclude that for each value of t the eigenvalues of A_t are $\frac{1}{2}$ and 0 both with multiplicity 4. Furthermore we have

(6.2)
$$\begin{cases} t_1 = \varepsilon t_2, \ t_3 = \varepsilon t_4, \ t_5 = -\varepsilon t_6, \\ t_1^2 + t_3^2 + t_5^2 = (\frac{1}{4})^2, \end{cases}$$

where $\varepsilon = \pm 1$. So Proposition 4.5 shows that there exists a unique *N*-algebra of type I corresponding to *S* with $(n_{12}, n_{23}, n_{13}) = (2, 4, 4)$. To get the multiplication of *N*, take a special value of the parameter *t* satisfying (6.2), e.g., $t = (\frac{1}{4}, \frac{1}{4}, 0 \cdots 0)$, and put

$$B = \frac{1}{2} \begin{pmatrix} \tilde{B} \\ E_4 \end{pmatrix}, \qquad \tilde{B} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}.$$

Then B is a solution of the equation (4.4) for $t = (\frac{1}{4}, \frac{1}{4}, 0 \cdots 0)$ and the matrix B gives the multiplication (6.1). Let $J = (J_{13}, J_{23})$ be a pair of the orthogonal matrices of degree 4. Then J satisfies (4.8) if and only if $J_{13} = J_{23}$, $J_{13}^2 = -E_4$, $J_{13}\tilde{B} = \tilde{B}J_{13}$. Since $\tilde{B} = I(-1) \oplus I(-1)$, there exists an orthogonal matrix T of degree 4 such that

$$T ilde{B} = ilde{B}T, \ TJ_{i3}^{\ t}T = I(arepsilon_1) \oplus I(arepsilon_2) \qquad (i=1,2) \;,$$

where $(\varepsilon_1, \varepsilon_2) = (1, 1)$, (1, -1) or (-1, -1). Since $T\tilde{B} = \tilde{B}T$ implies $(E_2 \otimes T)B = BT$, it follows that $J \sim J^{(\varepsilon_1, \varepsilon_2)}$, where $J^{(\varepsilon_1, \varepsilon_2)} = (I(\varepsilon_1) \oplus I(\varepsilon_2), I(\varepsilon_1) \oplus I(\varepsilon_2))$ (cf. Definition 4.7). Put

$$T_1 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \quad T_2 = (-T_1) \oplus (-T_1).$$

Then we have $(T_1 \otimes T_2)B = BT_2$, $T_2(I(1) \oplus I(1)) = (I(-1) \oplus I(-1))T_2$. Hence, by Definition 4.7, $J^{(1,1)} \sim J^{(-1,-1)}$. Suppose $J^{(1,-1)} \sim J^{(1,1)}$. Then there exist three matrices $T_3 \in O(2)$, $T_4 \in O(4)$ and $T_5 \in O(4)$ such that

(6.3)
$$T_i(I(1) \oplus I(-1)) = (I(1) \oplus I(1))T_i \quad (i = 4, 5)$$

and

$$(6.4) (T_3 \otimes T_4)B = BT_5$$

Putting $T_3 = \begin{pmatrix} a & c \\ b & d \end{pmatrix}$, the condition (6.4) is equivalent to

(6.5)
$$\tilde{B}T_5 = aT_4\tilde{B} + cT_4, \qquad T_5 = bT_4\tilde{B} + dT_4.$$

Using (6.3) and (6.5), it follows from the direct calculation that T_4 is not invertible, which is a contradiction. So we have two different *N*-algebras $(N, J^{(1)})$ and $(N, J^{(2)})$. q.e.d.

PROPOSITION 6.6. There exists a unique N-algebra whose diagram is \mathfrak{S}_2^2 with $(n_{12}, n_{23}, n_{13}) = (3, 2, 4)$. The multiplication and the complex structure are as follows,

(6.6)
$$\begin{cases} e_{12}^{1}e_{23}^{1} = \sqrt{\frac{2}{7}}e_{13}^{3}, \quad e_{12}^{2}e_{23}^{1} = -\sqrt{\frac{2}{7}}e_{13}^{4}, \quad e_{12}^{3}e_{23}^{1} = \sqrt{\frac{2}{7}}e_{13}^{1}, \\ e_{12}^{1}e_{23}^{2} = \sqrt{\frac{2}{7}}e_{13}^{4}, \quad e_{12}^{2}e_{23}^{2} = \sqrt{\frac{2}{7}}e_{13}^{3}, \quad e_{12}^{3}e_{23}^{2} = \sqrt{\frac{2}{7}}e_{13}^{2}, \\ J_{13} = I(1) \oplus I(1), \quad J_{23} = I(1). \end{cases}$$

Proof. The Grammian A_t of the skeleton S in §4 with $(n_{12}, n_{23}, n_{13}) = (3, 2, 4)$ contains three parameters $t = (t_1, t_2, t_3)$ and is represented as

$$A_{\iota} = ilde{A}_{\iota} \otimes egin{pmatrix} 0 & -1 \ 1 & 0 \end{pmatrix} + rac{2}{7} E_{\mathfrak{s}} \ , \qquad ilde{A}_{\iota} = egin{pmatrix} 0 & -t_1 & -t_2 \ t_1 & 0 & -t_3 \ t_2 & t_3 & 0 \end{pmatrix} .$$

The eigenvalues of A_t are $\frac{2}{7}$ and $\frac{2}{7} \pm \sqrt{t_1^2 + t_2^2 + t_3^2}$ with multiplicity 2, respectively. Since rank $A_t \leq n_{13} = 4$, we get $t_1^2 + t_2^2 + t_3^2 = (\frac{2}{7})^2$ and so, for each value of the parameter t the eigenvalues of A_t are $\frac{4}{7}, \frac{2}{7}$ and 0 with multiplicity 2, respectively. So the corresponding N-algebras of type I are isomorphic to each other (cf. Proposition 4.5). Put

$$B = \sqrt{\frac{2}{7}} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}.$$

Then B is a solution of the equation (4.4) for $t = (\frac{2}{7}, 0, 0)$ and B gives the multiplication (6.6). Let $J = (J_{13}, J_{23})$ be a pair of the orthogonal matrices of degree 4 and 2, respectively. Then J satisfies (4.8) if and only if J is represented as follows,

$$J_{13} = I(\varepsilon) \oplus I(\varepsilon), \ J_{23} = I(\varepsilon) \ , \qquad \varepsilon = \pm 1 \ .$$

We write $J^{(\epsilon)} = (J_{13}^{(\epsilon)}, J_{23}^{(\epsilon)})$ instead of $J = (J_{13}, J_{23})$ in accordance with $\epsilon = \pm 1$. Put

$$T_1 = egin{pmatrix} 1 & 0 \ -1 & \ 0 & 1 \end{pmatrix}, \quad T_2 = egin{pmatrix} 1 & 0 \ 0 & -1 \end{pmatrix}, \quad T_3 = T_2 \oplus T_2 \ .$$

Then we have $J_{13}^{(-1)}T_3 = T_3J_{13}^{(1)}$, $J_{23}^{(-1)}T_2 = T_2J_{23}^{(1)}$, $(T_1 \otimes T_2)B = BT_3$, which implies $J^{(1)} \sim J^{(-1)}$. q.e.d.

The following proposition can be proved by the similar methods as in Lemma 4.9, Proposition 6.2 and 6.3, since $n_{12}, n_{24} \leq 2$ for \mathfrak{S}_3^2 . Thus we omit the proof.

PROPOSITION 6.7. There exists a unique N-algebra whose diagram is \mathfrak{S}_3^2 (resp. \mathfrak{S}_3^6).

PROPOSITION 6.8. There exists a unique N-algebra whose diagram is \mathfrak{S}_{3}^{5} . Furthermore the multiplication and the complex structure are as follows,

(6.7)
$$\begin{cases} e_{13}^{1}e_{34}^{k} = \frac{1}{\sqrt{3}}e_{14}^{k}, \ e_{23}^{1}e_{34}^{k} = \frac{1}{\sqrt{3}}e_{24}^{k} \qquad (k = 1, 2), \\ J_{14} = J_{24} = J_{34} = I(1). \end{cases}$$

Proof. Let $N = N_{13} + N_{34} + N_{14} + N_{23} + N_{24}$ be an orthogonal direct sum of the euclidean vector spaces N_{ij} of dimension n_{ij} with an inner product \langle , \rangle , where $(n_{13}, n_{34}, n_{14}, n_{23}, n_{24}) = (1, 2, 2, 1, 2)$. We define the multiplication and the complex structure j in N by (6.7). Then it is easy to see that with this structure, $(N, \langle , \rangle, j)$ is an N-algebra corresponding to \mathfrak{S}_3^5 . Let $(N', \langle , \rangle', j')$ be another N-algebra whose diagram is \mathfrak{S}_3^5 . Then, from Proposition 6.2 it follows that there exists a gradepreserving linear isometry f of $N_{13} + N_{34} + N_{14}$ onto $N'_{13} + N'_{34} + N'_{14}$ such that f(xy) = f(x)f(y) for $x \in N_{13}$ and $y \in N_{34}$ and that $f \circ j = j' \circ f$ on N_{34} $+ N_{14}$. We will extend f to an isomorphism of N onto N'. Let h be a linear isometry of N_{23} onto N'_{23} , and let $L_{e_{23}^{13}}$ and $L_{h(e_{23}^{13})}$ be the left multiplication by e_{23}^1 and $h(e_{23}^1)$, respectively. We define a map g of Nonto N' as

$$g = egin{cases} h & ext{ on } N_{23} ext{ ,} \ L_{h(e^1_{23})} \circ f \circ L_{e^1_{23}}^{-1} & ext{ on } N_{24} ext{ ,} \ f & ext{ on } N_{13} + N_{34} + N_{14} ext{ .} \end{cases}$$

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Then, by (N4) in §1 g is a grade-preserving linear isometry. Since $L_{e_{23}^{i}} \circ j = j \circ L_{e_{23}^{i}}$ and $L_{h(e_{23}^{i})} \circ j' = j' \circ L_{h(e_{23}^{i})}$ (cf. Definition 2.3), we have $g \circ j = j' \circ g$ on $N_{14} + N_{24} + N_{34}$. To show that g is a homomorphism, it is enough to verify that g(xy) = g(x)g(y) for $x \in N_{23}$ and $y \in N_{34}$. We can assume that $x = e_{23}^{1}$. Then $g(e_{23}^{1}y) = (L_{h(e_{23}^{i})} \circ f \circ L_{e_{33}}^{-1})(e_{23}^{-1}y) = h(e_{23}^{1})f(y) = g(e_{23}^{1})g(y)$. We have thus proved that N is isomorphic to N'. q.e.d.

By the analogous way as in the above proposition, we get

PROPOSITION 6.9. There exists a unique N-algebra whose diagram is \mathbb{S}_3^r . The multiplication and the complex structure are given as follows,

$$e_{12}^{1}e_{23}^{1} = rac{1}{\sqrt{3}}e_{13}^{1}, \; e_{12}^{1}e_{24}^{k} = rac{1}{\sqrt{3}}e_{14}^{k} \qquad (k=1,2) \; . \ J_{14} = J_{24} = I(1) \; .$$

We have thus showed that only to the skeleton \mathfrak{S}_2^2 there correspond several non-isomorphic N-algebras, and a skeleton isomorphic to \mathfrak{S}_2^2 is \mathfrak{S}_2^2 itself. Hence, in view of the above propositions and Remark 4.10, we have solved the problem III (§ 3) for N-algebras of type II.

§7. Final Results

7.1. Summing up results in $\S5$ and $\S6$, we get the following

THEOREM 7.1. (1) There exists a one-to-one correspondence between the set of (holomorphic) equivalence classes of all irreducible homogeneous Siegel domains of type I up to dimension 10 and the set of all the skeletons in Proposition 3.5.

(2) (i) To each of the skeletons in Proposition 3.6 except $\mathfrak{S}_2^{\mathfrak{s}}$ with $(n_{12}, n_{23}, n_{13}) = (2, 2, 4), (2, 2, 6)$ or (2, 4, 4), there corresponds one and only one irreducible homogeneous Siegel domain of type II of dimension ≤ 8 ;

(ii) to the skeleton \mathfrak{S}_2^2 with $(n_{12}, n_{23}, n_{13}) = (2, 2, 4)$ or (2, 2, 6) there corresponds a one-parameter family of non-equivalent irreducible homogeneous Siegel domains of type II of dimension 7 or 8;

(iii) to the skeleton \mathfrak{S}_2^2 with $(n_{12}, n_{23}, n_{13}) = (2, 4, 4)$ there correspond two non-equivalent irreducible homogeneous Siegel domains of type II of dimension 8; the domains in (i)-(iii) exhaust all irreducible homogeneous Siegel domains of type II of dimension ≤ 8 .

By the above theorem, we can count the numbers of all irreducible

homogeneous Siegel domains of type I (resp. type II) up to dimension 10 (resp. 8). And we have

THEOREM 7.2. Let $\Psi_{I}(n)$ (resp. $\Psi_{II}(n)$) denote the number of irreducible homogeneous bounded domains of dimension n which are realized as Siegel domains of type I (resp. type II). Then $\Psi_{I}(n)$ and $\Psi_{II}(n)$ are given as follows;

n	1	2	3	4	5	6	7	8	9	10
$\Psi_{\mathrm{I}}(n)$	1	0	1	1	3	4	9	16	34	66
$\Psi_{II}(n)$	0	1	1	2	4	8	$15 + \infty^1$	$34 + \infty^1$		

where ∞^1 denotes a one-parameter family of the domains.

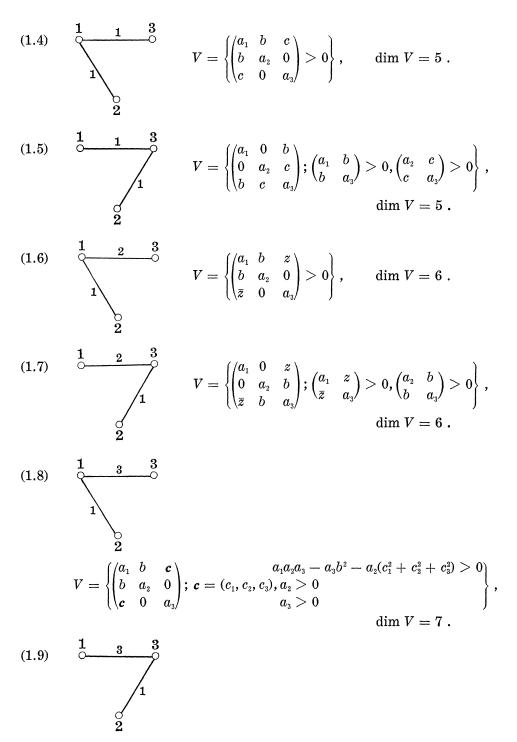
7.2. We will give here the explicit forms of all irreducible homogeneous convex cones up to dimension 7. These forms are obtained by using the multiplications of N-algebras described in §5 and a result of Vinberg [8]. In what follows we will use the following notations:

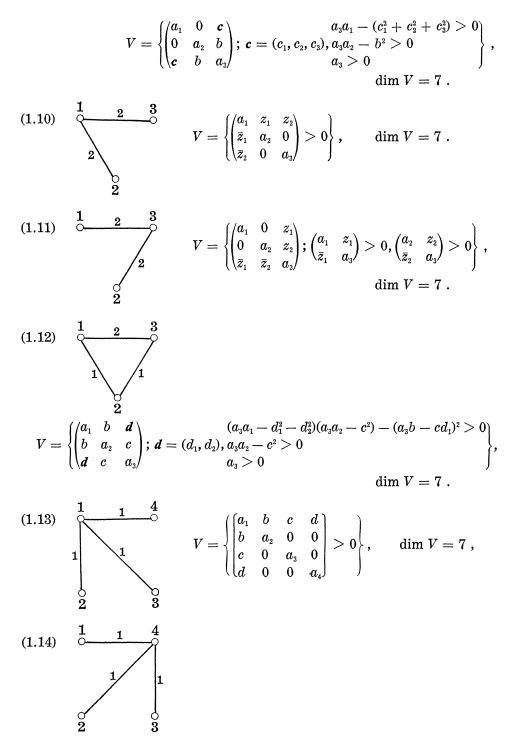
 $\begin{array}{lll} V & \mbox{A homogeneous convex cone in a real vector space.} \\ H(n: {\it R}) & \mbox{The vector space of all real symmetric matrices of degree n.} \\ {\it R}^+ & \mbox{The cone of all positive real numbers.} \\ H^+(n: {\it R}) & \mbox{The cone of all positive definite matrices in $H(n: {\it R})$.} \\ C(n) & \mbox{The circular cone of dimension n, that is, the set} \\ \{(x_1 \cdots x_n) \in {\it R}^n; x_1 x_2 - x_3^2 - \cdots - x_n^2 > 0, x_1 > 0\}. \\ b, c, d, a_i, c_i, d_i \ (i = 1, 2, \cdots) & \mbox{Real variables.} \\ z, z_i \ (i = 1, 2, \cdots) & \mbox{Complex variables.} \end{array}$

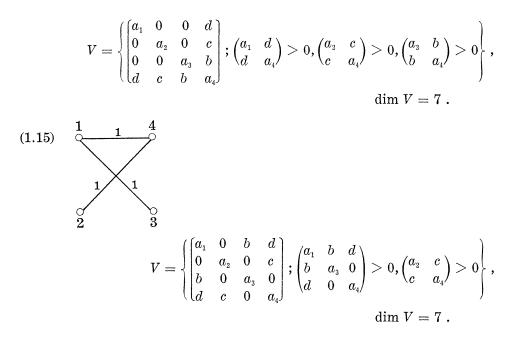
In the following list, the homogeneous convex cones (1.1), (1.2), (1.3), (1.4) and (1.5) are well known (for the last two, see Vinberg [8]), while others are new; the only cones (1.1), (1.2), (1.3) are self-dual.

(1.1)
$$\stackrel{1}{\circ}$$
 $V = \mathbf{R}^{+}$, $\dim V = 1$.
(1.2) $\stackrel{1}{\circ}$ $\mathbf{N} = C(n+2)$, $\dim V = n+2$.
(1.3) $\stackrel{1}{\circ}$ $V = H^{+}(3:\mathbf{R})$, $\dim V = 6$.

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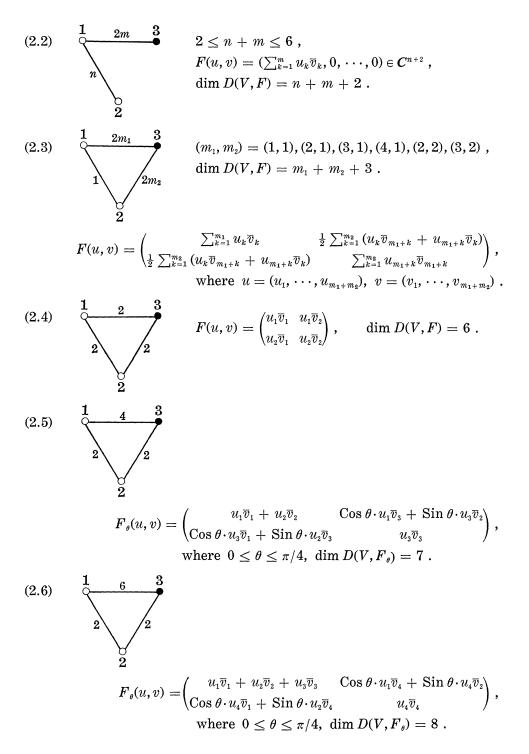




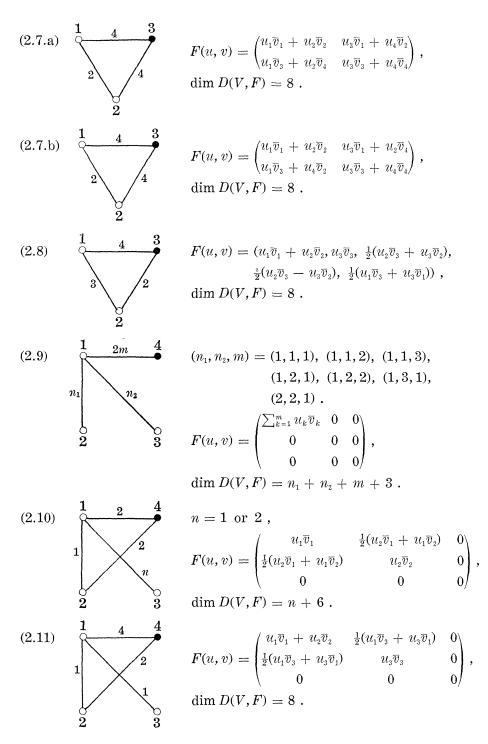
7.3. We will give here the explicit forms of all irreducible homogeneous Siegel domains D(V, F) of type II up to dimension 8. These forms are obtained by using the results in §6 and a result of Takeuchi [7]. As we mentioned in 3.4, the diagram of the cone V is obtained from the diagram of D(V, F) by eliminating the black vertex and all line segments starting from it. And by the assumption for D(V, F) the cone V is irreducible and dim $V \leq 7$. Hence, one can find the explicit form of the cone V by the list of 7.2. So we will give only the V-hermitian form F.

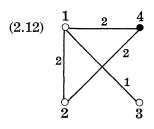
In the following list, the domains (2.1), (2.2), (2.3), (2.4), (2.5), (2.7.a),(2.7.b), (2.17) with n = 1 and (2.18) are found in Pjateckii-Sapiro [5], [6] (For (2.5), (2.6) see also [7]), while others are new. The domain (2.5) is different from a domain of Pjateckii-Sapiro (cf. [6] p. 28) in the form, but it can be seen that they are linearly equivalent. The domains (2.7.a) and (2.7.b) correspond to the N-algebras in Proposition 6.5 with the complex structures $J^{(1)}$ and $J^{(2)}$, respectively. The only domains (2.1), (2.4), (2.7.a) are symmetric.

(2.1)
$$\begin{array}{ccc} 1 & 2m & 2 \\ 0 & -2m & 0 \end{array} \qquad 1 \le m \le 7 , \qquad F(u,v) = \sum_{k=1}^{m} u_k \overline{v}_k , \\ \dim D(V,F) = m+1 . \end{array}$$

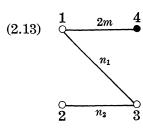


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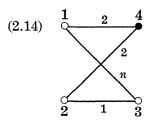


$$F(u, v) = egin{pmatrix} u_1 \overline{v}_1 & u_1 \overline{v}_2 & 0 \ u_2 \overline{v}_1 & u_2 \overline{v}_2 & 0 \ 0 & 0 & 0 \end{pmatrix}, \quad \dim D(V, F) = 8 \; .$$



$$\begin{split} (n_1, n_2, m) &= (1, 1, 1), \ (1, 1, 2), \ (1, 1, 3), \ (2, 1, 1), \\ (2, 1, 2), \ (3, 1, 1), \ (2, 2, 1), \ (1, 2, 1), \\ (1, 2, 2), \ (1, 3, 1), \\ F(u, v) &= \begin{pmatrix} \sum_{k=1}^m u_k \overline{v}_k & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \\ \dim D(V, F) &= n_1 + n_2 + m + 3 \;. \end{split}$$

,



$$n = 1 \text{ or } 2 ,$$

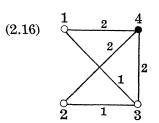
$$F(u, v) = \begin{pmatrix} n_1 \overline{v}_1 & 0 & 0 \\ 0 & u_2 \overline{v}_2 & 0 \\ 0 & 0 & 0 \end{pmatrix} ,$$

$$\dim D(V, F) = n + 6 .$$

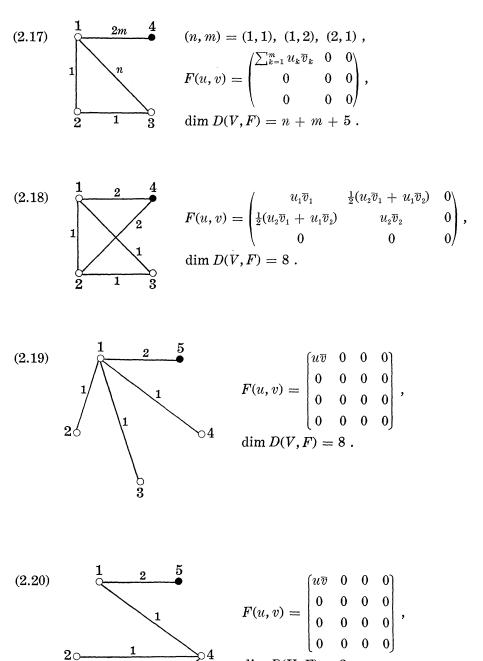
(2.15) $\frac{0}{2}$ 1 $\ddot{3}$

$$F(u,v) = \begin{pmatrix} u_1 \overline{v}_1 + u_2 \overline{v}_2 & 0 & 0 \\ 0 & u_3 \overline{v}_3 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

dim $D(V,F) = 8$.

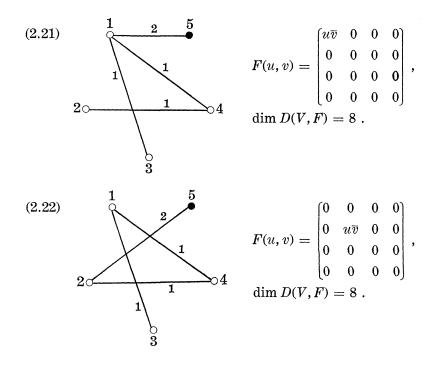


F(u, v) = $\begin{pmatrix} u_1\overline{v}_1 & 0 & \frac{1}{2}(u_3\overline{v}_1+u_1\overline{v}_3) \\ 0 & u_2\overline{v}_2 & \frac{1}{2}(u_3\overline{v}_2+u_2\overline{v}_3) \\ \frac{1}{2}(u_3\overline{v}_1+u_1\overline{v}_3) & \frac{1}{2}(u_3\overline{v}_2+u_2\overline{v}_3) & u_3\overline{v}_3 \end{pmatrix},$ $\dim D(V,F)=8.$



 $\dim D(V,F)=8.$

 $\frac{0}{3}$



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